

Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

- Do not enter social security numbers on this form as it may be made public.
- Go to www.irs.gov/Form990PF for instructions and the latest information.

2019

Open to Public
Inspection

For calendar year 2019, or tax year beginning 01-01-2019, and ending 12-31-2019

| | | | |
|--|---|---|--|
| Name of foundation Harvey R Houck Jr and Patricia W Houck Foundation Inc | | A Employer identification number 76-0435413 | |
| Number and street (or P.O. box number if mail is not delivered to street address) 8811 Westheimer | | B Telephone number (see instructions) (713) 334-6777 | |
| City or town, state or province, country, and ZIP or foreign postal code Houston, TX 77063 | | C If exemption application is pending, check here ► <input type="checkbox"/> | |
| G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change | | D 1. Foreign organizations, check here ► <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ... ► <input type="checkbox"/> | |
| H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation | | E If private foundation status was terminated under section 507(b)(1)(A), check here ► <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► <input type="checkbox"/> | |
| I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ► \$ <u>32,132,014</u> | J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ | (Part I, column (d) must be on cash basis.) | |

| Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).) | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|--|-----------|------------------------------------|---------------------------|-------------------------|---|
| 1 Contributions, gifts, grants, etc., received (attach schedule) | | 1,018,133 | | | |
| 2 Check ► <input type="checkbox"/> if the foundation is not required to attach Sch. B | | | | | |
| 3 Interest on savings and temporary cash investments | | 22 | 22 | | |
| 4 Dividends and interest from securities | | 487,752 | 487,752 | | |
| 5a Gross rents | | | | | |
| b Net rental income or (loss) | | | | | |
| 6a Net gain or (loss) from sale of assets not on line 10 | | -258,771 | | | |
| b Gross sales price for all assets on line 6a | 1,394,245 | | | | |
| 7 Capital gain net income (from Part IV, line 2) | | | | | |
| 8 Net short-term capital gain | | | | | |
| 9 Income modifications | | | | | |
| 10a Gross sales less returns and allowances | | | | | |
| b Less: Cost of goods sold | | | | | |
| c Gross profit or (loss) (attach schedule) | | | | | |
| 11 Other income (attach schedule) | | 262,463 | 262,120 | | |
| 12 Total. Add lines 1 through 11 | 1,509,599 | | 749,894 | | |
| 13 Compensation of officers, directors, trustees, etc. | | | | | |
| 14 Other employee salaries and wages | | | | | |
| 15 Pension plans, employee benefits | | | | | |
| 16a Legal fees (attach schedule) | | | | | |
| b Accounting fees (attach schedule) | | | | | |
| c Other professional fees (attach schedule) | | 99,263 | 99,263 | | |
| 17 Interest | | | | | |
| 18 Taxes (attach schedule) (see instructions) | | 11,272 | 11,272 | | |
| 19 Depreciation (attach schedule) and depletion | | | | | |
| 20 Occupancy | | | | | |
| 21 Travel, conferences, and meetings | | | | | |
| 22 Printing and publications | | | | | |
| 23 Other expenses (attach schedule) | | 76,203 | 73,953 | 2,250 | |
| 24 Total operating and administrative expenses. | | | | | |
| Add lines 13 through 23 | | 186,738 | 184,488 | | 2,250 |
| 25 Contributions, gifts, grants paid | | 1,405,000 | | | 1,405,000 |
| 26 Total expenses and disbursements. Add lines 24 and 25 | | 1,591,738 | 184,488 | | 1,407,250 |
| 27 Subtract line 26 from line 12: | | | | | |
| a Excess of revenue over expenses and disbursements | | -82,139 | | | |
| b Net investment income (if negative, enter -0-) | | | 565,406 | | |
| c Adjusted net income (if negative, enter -0-) | | | | | |

| | Part II Balance Sheets | Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.) | Beginning of year | End of year | |
|--|--|--|-------------------|----------------|-----------------------|
| | | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| | 1 Cash—non-interest-bearing | | 127,467 | 57,313 | 57,313 |
| | 2 Savings and temporary cash investments | | 3,647,042 | 2,539,810 | 2,539,810 |
| | 3 Accounts receivable ► _____ | | | | |
| | Less: allowance for doubtful accounts ► _____ | | | | |
| | 4 Pledges receivable ► _____ | | | | |
| | Less: allowance for doubtful accounts ► _____ | | | | |
| | 5 Grants receivable | | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | | |
| | 7 Other notes and loans receivable (attach schedule) ► _____ | | | | |
| | Less: allowance for doubtful accounts ► _____ | | | | |
| | 8 Inventories for sale or use | | | | |
| | 9 Prepaid expenses and deferred charges | | | | |
| | 10a Investments—U.S. and state government obligations (attach schedule) | | | | |
| | b Investments—corporate stock (attach schedule) | 11,333,930 | 12,904,799 | 17,439,260 | |
| | c Investments—corporate bonds (attach schedule) | 1,718,868 | 878,479 | 901,329 | |
| | 11 Investments—land, buildings, and equipment: basis ► _____ | | | | |
| | Less: accumulated depreciation (attach schedule) ► _____ | | | | |
| | 12 Investments—mortgage loans | | | | |
| | 13 Investments—other (attach schedule) | 9,859,842 | 9,770,476 | 11,194,302 | |
| | 14 Land, buildings, and equipment: basis ► _____ | | | | |
| | Less: accumulated depreciation (attach schedule) ► _____ | | | | |
| | 15 Other assets (describe ► _____) | | | | |
| | 16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I) | 26,687,149 | 26,150,877 | 32,132,014 | |
| | 17 Accounts payable and accrued expenses | | | | |
| | 18 Grants payable | | | | |
| | 19 Deferred revenue | | | | |
| | 20 Loans from officers, directors, trustees, and other disqualified persons | | | | |
| | 21 Mortgages and other notes payable (attach schedule) | | | | |
| | 22 Other liabilities (describe ► _____) | | | | |
| | 23 Total liabilities (add lines 17 through 22) | 0 | | | |
| | Foundations that follow FASB ASC 958, check here ► <input type="checkbox"/> and complete lines 24, 25, 29 and 30. | | | | |
| | 24 Net assets without donor restrictions | | | | |
| | 25 Net assets with donor restrictions | | | | |
| | Foundations that do not follow FASB ASC 958, check here ► <input checked="" type="checkbox"/> and complete lines 26 through 30. | | | | |
| | 26 Capital stock, trust principal, or current funds | 26,687,149 | 26,150,877 | | |
| | 27 Paid-in or capital surplus, or land, bldg., and equipment fund | | | | |
| | 28 Retained earnings, accumulated income, endowment, or other funds | | | | |
| | 29 Total net assets or fund balances (see instructions) | 26,687,149 | 26,150,877 | | |
| | 30 Total liabilities and net assets/fund balances (see instructions) | 26,687,149 | 26,150,877 | | |

Part III Analysis of Changes in Net Assets or Fund Balances

| | | |
|---|----------|------------|
| 1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) | 1 | 26,687,149 |
| 2 Enter amount from Part I, line 27a | 2 | -82,139 |
| 3 Other increases not included in line 2 (itemize) ► _____ | 3 | |
| 4 Add lines 1, 2, and 3 | 4 | 26,605,010 |
| 5 Decreases not included in line 2 (itemize) ► _____ | 5 | 454,133 |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 | 6 | 26,150,877 |

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|--|---|---|--|----------------------------------|
| 1 a Publicly traded securities | | | | |
| b Passthrough K-1 capital gain | | | | |
| c AB Financial Services Opp Cayman Fund LP | | P | 2014-05-16 | 2019-06-01 |
| d Tiger Accelerator Fund LP | | P | 2011-06-01 | 2019-06-01 |
| e | | | | |
| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) | |
| a 1,646,984 | | 1,572,507 | | 74,477 |
| b 255,883 | | | | -255,883 |
| c 2,156 | | 2,930 | | -774 |
| d | | 77,579 | | -77,579 |
| e | | | | |
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | | |
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) | |
| a | | | | 74,477 |
| b | | | | -255,883 |
| c | | | | -774 |
| d | | | | -77,579 |
| e | | | | |
| 2 Capital gain net income or (net capital loss) | | { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 } | 2 | -259,759 |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 | | | 3 | |

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

 Yes No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col. (b) divided by col. (c)) |
|---|--|--|--|
| 2018 | 1,025,665 | 20,840,051 | 0.04922 |
| 2017 | 867,602 | 18,696,473 | 0.04641 |
| 2016 | 618,135 | 14,480,593 | 0.04269 |
| 2015 | 453,147 | 13,191,711 | 0.03435 |
| 2014 | 457,233 | 12,851,807 | 0.03558 |
| 2 Total of line 1, column (d) | | | 2 0.208236 |
| 3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years | | | 3 0.041647 |
| 4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5 | | | 4 28,942,389 |
| 5 Multiply line 4 by line 3 | | | 5 1,205,364 |
| 6 Enter 1% of net investment income (1% of Part I, line 27b) | | | 6 5,654 |
| 7 Add lines 5 and 6 | | | 7 1,211,018 |
| 8 Enter qualifying distributions from Part XIII, line 4 | | | 8 1,407,250 |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

| | | | |
|----|--|----------|--------|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter "N/A" on line 1. | 1 | 5,654 |
| | Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions) | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b | 1 | 5,654 |
| c | All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b) | 2 | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | 3 | 5,654 |
| 3 | Add lines 1 and 2. | 4 | |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | 5 | 5,654 |
| 5 | Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-. | 6 | |
| 6 | Credits/Payments: | 7 | 22,683 |
| a | 2019 estimated tax payments and 2018 overpayment credited to 2019 | 6a | 22,683 |
| b | Exempt foreign organizations—tax withheld at source | 6b | |
| c | Tax paid with application for extension of time to file (Form 8868) | 6c | |
| d | Backup withholding erroneously withheld | 6d | |
| 7 | Total credits and payments. Add lines 6a through 6d. | 7 | 22,683 |
| 8 | Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached. | 8 | |
| 9 | Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed | 9 | |
| 10 | Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid | 10 | 17,029 |
| 11 | Enter the amount of line 10 to be: Credited to 2020 estimated tax ► 17,029 | Refunded | ► 11 |

Part VII-A Statements Regarding Activities

| | | | | |
|-----|--|--------------------------|------------------------------------|-----------|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | <input type="checkbox"/> | Yes | No |
| 1b | Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). | <input type="checkbox"/> | Yes | No |
| | <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i> | | | |
| 1c | Did the foundation file Form 1120-POL for this year? | <input type="checkbox"/> | Yes | No |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: | | | |
| (1) | On the foundation. ► \$ _____ | (2) | On foundation managers. ► \$ _____ | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ _____ | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? | <input type="checkbox"/> | Yes | No |
| | <i>If "Yes," attach a detailed description of the activities.</i> | | | |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> | <input type="checkbox"/> | Yes | No |
| 4a | Did the foundation have unrelated business gross income of \$1,000 or more during the year? | <input type="checkbox"/> | Yes | |
| b | If "Yes," has it filed a tax return on Form 990-T for this year? | <input type="checkbox"/> | Yes | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? | <input type="checkbox"/> | Yes | No |
| | <i>If "Yes," attach the statement required by General Instruction T.</i> | | | |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: | | | |
| | • By language in the governing instrument, or | | | |
| | • By state legislation that effectively amends the governing instrument so that no mandatory directions | | | |
| | that conflict with the state law remain in the governing instrument? | | | |
| 7 | Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i> | <input type="checkbox"/> | Yes | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see instructions) | | | |
| | ► TX | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i> | <input type="checkbox"/> | Yes | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i> | <input type="checkbox"/> | Yes | No |
| 10 | Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i> | <input type="checkbox"/> | Yes | No |

Part VII-A Statements Regarding Activities (continued)

| | | | |
|--|---|-------------------------------|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions. | 11 | No |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions | 12 | Yes |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ►None | 13 | Yes |
| 14 | The books are in care of ►Gordon Rose | Telephone no. ►(713) 334-6777 | |
| Located at ►8811 Westheimer Ste 208 Houston TX | | | ZIP+4 ►77063 |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here. | 15 | <input type="checkbox"/> |
| 16 | At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ► | 16 | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

| | | Yes | No |
|-----|---|------------------------------|---|
| 1a | During the year did the foundation (either directly or indirectly): | | |
| (1) | Engage in the sale or exchange, or leasing of property with a disqualified person? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| (2) | Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| (3) | Furnish goods, services, or facilities to (or accept them from) a disqualified person? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| (4) | Pay compensation to, or pay or reimburse the expenses of, a disqualified person? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| (5) | Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| (6) | Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.). | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| b | If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance check here. ► | 1b | No <input type="checkbox"/> |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019? | 1c | No <input type="checkbox"/> |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | |
| a | At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019? If "Yes," list the years ► 20____, 20____, 20____, 20____ | 2a | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| b | Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.) | 2b | |
| c | If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____ | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? | 3a | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| b | If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019.) | 3b | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019? | 4b | No <input type="checkbox"/> |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

| | | Yes | No |
|-----|---|--------------------------|---|
| 5a | During the year did the foundation pay or incur any amount to: | | |
| (1) | Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? | <input type="checkbox"/> | <input checked="" type="checkbox"/> Yes |
| (2) | Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? | <input type="checkbox"/> | <input checked="" type="checkbox"/> Yes |
| (3) | Provide a grant to an individual for travel, study, or other similar purposes? | <input type="checkbox"/> | <input checked="" type="checkbox"/> Yes |
| (4) | Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions. | <input type="checkbox"/> | <input checked="" type="checkbox"/> Yes |
| (5) | Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? | <input type="checkbox"/> | <input checked="" type="checkbox"/> Yes |
| b | If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance check here. | <input type="checkbox"/> | <input checked="" type="checkbox"/> Yes |
| c | If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <i>If "Yes," attach the statement required by Regulations section 53.4945-5(d).</i> | <input type="checkbox"/> | <input checked="" type="checkbox"/> Yes |
| 6a | Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | <input type="checkbox"/> | <input checked="" type="checkbox"/> Yes |
| b | Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <i>If "Yes" to 6b, file Form 8870.</i> | <input type="checkbox"/> | <input checked="" type="checkbox"/> Yes |
| 7a | At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? | <input type="checkbox"/> | <input checked="" type="checkbox"/> Yes |
| b | If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction? | <input type="checkbox"/> | <input checked="" type="checkbox"/> Yes |
| 8 | Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year? | <input type="checkbox"/> | <input checked="" type="checkbox"/> Yes |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

| 1 List all officers, directors, trustees, foundation managers and their compensation. See instructions | | | | |
|---|--|--|--|--|
| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
| Patricia West Houck 8811 Westheimer 208 Houston, TX 77063 | Pres/Asst Sec 1.00 | 0 | | |
| Mary Elizabeth Houck Moore 8811 Westheimer 208 Houston, TX 77063 | VP/Asst Sec 1.00 | 0 | | |
| Gordon B Rose 8811 Westheimer 208 Houston, TX 77063 | VP/Treasurer 20.00 | 0 | | |
| Dunham F Jewett 8811 Westheimer 208 Houston, TX 77063 | Secretary 1.00 | 0 | | |
| 2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE." | | | | |
| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| Total number of other employees paid over \$50,000. | | | | |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)
3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|--|---------------------|------------------|
| NONE | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| Total number of others receiving over \$50,000 for professional services. ► | | |

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

| 1 | Expenses |
|---|----------|
| | |
| 2 | |
| | |
| 3 | |
| | |
| 4 | |
| | |

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

| 1 | Amount |
|---|--------|
| | |
| 2 | |
| | |
| 3 | |
| | |
| Total. Add lines 1 through 3 ► | |

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|---|--|----|------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | |
| a | Average monthly fair market value of securities. | 1a | 18,191,514 |
| b | Average of monthly cash balances. | 1b | 137,478 |
| c | Fair market value of all other assets (see instructions). | 1c | 11,054,144 |
| d | Total (add lines 1a, b, and c). | 1d | 29,383,136 |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). | 1e | 0 |
| 2 | Acquisition indebtedness applicable to line 1 assets. | 2 | |
| 3 | Subtract line 2 from line 1d. | 3 | 29,383,136 |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions). | 4 | 440,747 |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 28,942,389 |
| 6 | Minimum investment return. Enter 5% of line 5. | 6 | 1,447,119 |

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign**Part XI** organizations check here ► and do not complete this part.)

| | | | |
|----|--|----|-----------|
| 1 | Minimum investment return from Part X, line 6. | 1 | 1,447,119 |
| 2a | Tax on investment income for 2019 from Part VI, line 5. | 2a | 5,654 |
| b | Income tax for 2019. (This does not include the tax from Part VI.). | 2b | 63 |
| c | Add lines 2a and 2b. | 2c | 5,717 |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1. | 3 | 1,441,402 |
| 4 | Recoveries of amounts treated as qualifying distributions. | 4 | |
| 5 | Add lines 3 and 4. | 5 | 1,441,402 |
| 6 | Deduction from distributable amount (see instructions). | 6 | |
| 7 | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1. | 7 | 1,441,402 |

Part XII Qualifying Distributions (see instructions)

| | | | |
|--|--|----|-----------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26. | 1a | 1,407,250 |
| b | Program-related investments—total from Part IX-B. | 1b | |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes. | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required). | 3a | |
| b | Cash distribution test (attach the required schedule). | 3b | |
| 4 | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 1,407,250 |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions. | 5 | 5,654 |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4. | 6 | 1,401,596 |
| Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years. | | | |

Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2018 | (c) 2018 | (d) 2019 |
|--|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2019 from Part XI, line 7 | | | | 1,441,402 |
| 2 Undistributed income, if any, as of the end of 2019: | | | | |
| a Enter amount for 2018 only. | | | 777,304 | |
| b Total for prior years: 20____, 20____, 20____ | | | | |
| 3 Excess distributions carryover, if any, to 2019: | | | | |
| a From 2014. | | | | |
| b From 2015. | | | | |
| c From 2016. | | | | |
| d From 2017. | | | | |
| e From 2018. | | | | |
| f Total of lines 3a through e. | | | | |
| 4 Qualifying distributions for 2019 from Part XII, line 4: ► \$ 1,407,250 | | | | |
| a Applied to 2018, but not more than line 2a | | | 777,304 | |
| b Applied to undistributed income of prior years (Election required—see instructions). | | | | |
| c Treated as distributions out of corpus (Election required—see instructions). | 0 | | | |
| d Applied to 2019 distributable amount. | | | | 629,946 |
| e Remaining amount distributed out of corpus | | | | |
| 5 Excess distributions carryover applied to 2019. (If an amount appears in column (d), the same amount must be shown in column (a).) | | | | |
| 6 Enter the net total of each column as indicated below: | | | | |
| a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 | | | | |
| b Prior years' undistributed income. Subtract line 4b from line 2b. | | | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. | | | | |
| d Subtract line 6c from line 6b. Taxable amount—see instructions | | | | |
| e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions | | | | |
| f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020. | | | | 811,456 |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) | | | | |
| 8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions) | | | | |
| 9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a. | | | | |
| 10 Analysis of line 9: | | | | |
| a Excess from 2015. | | | | |
| b Excess from 2016. | | | | |
| c Excess from 2017. | | | | |
| d Excess from 2018. | | | | |
| e Excess from 2019. | | | | |

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

| | | | | |
|-----|--|--|--|--|
| 1a | If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. ► | | | |
| b | Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5) | | | |
| 2a | Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed. | | | |
| b | 85% of line 2a. | | | |
| c | Qualifying distributions from Part XII, line 4 for each year listed. | | | |
| d | Amounts included in line 2c not used directly for active conduct of exempt activities. | | | |
| e | Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c. | | | |
| 3 | Complete 3a, b, or c for the alternative test relied upon: | | | |
| a | "Assets" alternative test—enter: | | | |
| (1) | Value of all assets. | | | |
| (2) | Value of assets qualifying under section 4942(j)(3)(B)(i). | | | |
| b | "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. | | | |
| c | "Support" alternative test—enter: | | | |
| (1) | Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties). | | | |
| (2) | Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). | | | |
| (3) | Largest amount of support from an exempt organization. | | | |
| (4) | Gross investment income. | | | |

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:**

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)
- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ► if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

- a The name, address, and telephone number or email address of the person to whom applications should be addressed:

- b The form in which applications should be submitted and information and materials they should include:

- c Any submission deadlines:

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|--|--------------------------------------|-------------------------------------|-----------|
| <i>a Paid during the year</i> See Additional Data Table | | | | |
| Total | | | ► 3a | 1,405,000 |
| <i>b Approved for future payment</i> | | | | |
| Total | | | ► 3b | |

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

| | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income (See instructions.) |
|---|---------------------------|---------------|--------------------------------------|---------------|--|
| | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | |
| 1 Program service revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments. | | | | | |
| 3 Interest on savings and temporary cash investments | | | 14 | 22 | |
| 4 Dividends and interest from securities. | | | 14 | 487,752 | |
| 5 Net rental income or (loss) from real estate: | | | | | |
| a Debt-financed property. | | | | | |
| b Not debt-financed property. | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income. | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | | | |
| 9 Net income or (loss) from special events: | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue: | | | | | |
| a Passthrough K-1 income | 525990 | 988 | 18 | -259,759 | |
| b PFIC dividends | 525990 | 343 | 14 | 155,577 | |
| c | | | 14 | 106,543 | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal. Add columns (b), (d), and (e). | | 1,331 | | 490,135 | |
| 13 Total. Add line 12, columns (b), (d), and (e). | | | 13 | | 491,466 |

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

| | Yes | No |
|--------------|-----|-----------|
| 1a(1) | | No |
| 1a(2) | | No |
| 1b(1) | | No |
| 1b(2) | | No |
| 1b(3) | | No |
| 1b(4) | | No |
| 1b(5) | | No |
| 1b(6) | | No |
| 1c | | No |

a Transfers from the reporting foundation to a noncharitable exempt organization of:

| | | |
|---|-------|----|
| (1) Cash. | 1a(1) | No |
| (2) Other assets. | 1a(2) | No |
| Other transactions: | | |
| (1) Sales of assets to a noncharitable exempt organization. | 1b(1) | No |
| (2) Purchases of assets from a noncharitable exempt organization. | 1b(2) | No |
| (3) Rental of facilities, equipment, or other assets. | 1b(3) | No |
| (4) Reimbursement arrangements. | 1b(4) | No |
| (5) Loans or loan guarantees. | 1b(5) | No |
| (6) Performance of services or membership or fundraising solicitations. | 1b(6) | No |
| Sharing of facilities, equipment, mailing lists, other assets, or paid employees. | 1c | No |

c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations?

described in section 501(c) (other than section 501(c)(3)) or in section 527? Yes No

b If "Yes," complete the following schedule.

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|--------------------------|--------------------------|---------------------------------|
| | | |
| | | |
| | | |
| | | |

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

2020-10-31

May the IRS discuss this return with the preparer shown below
(see instr.) Yes No

Signature of officer or trustee

Date

>Title

**Sign
Here**

**Paid
Preparer
Use Only**

| | | | | | |
|---|-----------------------------------|----------------------|------|---|--|
| | | | | | (see instr.) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No |
| Paid Preparer Use Only | Print/Type preparer's name | Preparer's Signature | Date | Check if self-employed ► <input type="checkbox"/> | PTIN |
| | Kurt Coburn | | | | P01638285 |
| | Firm's name ► Blazek & Vetterling | | | | Firm's EIN ► 76-0269860 |
| Firm's address ► 2900 Weslayan Suite 200 Houston, TX 77027 | | | | Phone no. (713) 439-5739 | |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|--|--------------------------------------|-------------------------------------|-----------|
| Name and address (home or business) | | | | |
| a Paid during the year | | | | |
| A 2nd Cup 1111 E 11th St Houston, TX 77009 | N/A | PC | General support | 50,000 |
| ACLU Foundation of Texas Inc PO Box 8306 Houston, TX 77288 | N/A | PC | General support | 70,000 |
| American National Red Cross 8550 Arlington Blvd Fairfax, VA 22031 | N/A | PC | General support | 37,500 |
| Total | | | ► 3a | 1,405,000 |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|---|-----------|
| Name and address (home or business) | | | | |
| a Paid during the year | | | | |
| Avenue Community Development Corpor 2505 Washington Ste 400 Houston, TX 77007 | N/A | PC | New building - \$100,000 -General Support \$50,000 | 150,000 |
| Buffalo Bayou Partnership 1019 Commerce St Ste 200 Houston, TX 77002 | N/A | PC | General support | 2,500 |
| Children at Risk 2900 Weslayan Ste 400 Houston, TX 77027 | N/A | PC | Anti human trafficking and general support | 100,000 |
| Total | | | ► 3a | 1,405,000 |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|--|--------------------------------------|-------------------------------------|-----------|
| Name and address (home or business) | | | | |
| a Paid during the year | | | | |
| Coop for Assist and Relief Everywhe 151 Ellis St NE Atlanta, GA 30303 | N/A | PC | General support | 5,000 |
| Dallas Foundation 3963 Maple Ave Suite 390 Dallas, TX 75219 | N/A | PC | Tornado disaster relief | 12,500 |
| FE Y Justicia Worker Center 1922 Common St Houston, TX 77009 | N/A | PC | General support | 25,000 |
| Total | | | ► 3a | 1,405,000 |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|--|--------------------------------------|-------------------------------------|-----------|
| Name and address (home or business) | | | | |
| a Paid during the year | | | | |
| Fidelity Charitable PO Box 770001 Cincinnati, OH 45277 | N/A | PC | General support | 35,075 |
| Genesys Works 14400 Memorial Dr Ste 200 Houston, TX 77079 | N/A | PC | General support | 50,000 |
| Goodwill Industries of Houston 1140 West Loop North Houston, TX 77055 | N/A | PC | General support | 100,000 |
| Total | | | ► 3a | 1,405,000 |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|----------------------------------|-----------|
| Name and address (home or business) | | | | |
| a Paid during the year | | | | |
| Gordon Education Initiatives for th 3400 Main St 2 Houston, TX 77002 | N/A | PC | General support | 2,000 |
| Hermann Park Conservancy 1700 Hermann Dr Houston, TX 77004 | N/A | PC | General support | 2,500 |
| Hope Healing Center and Institute 717 Sage Rd Houston, TX 77056 | N/A | PC | General support | 75,000 |
| Total | | | ► 3a | 1,405,000 |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|----------------------------------|-----------|
| Name and address (home or business) | | | | |
| a Paid during the year | | | | |
| Houston Area Women's Center Inc 1010 Waugh Dr Houston, TX 77019 | N/A | PC | General support | 50,000 |
| Houston Botanic Garden 8205 N Bayou Dr Houston, TX 77017 | N/A | PC | General support | 5,000 |
| Houston Food Bank 535 Portwall St Houston, TX 77029 | N/A | PC | General support | 50,000 |
| Total | | | ► 3a | 1,405,000 |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|----------------------------------|-----------|
| Name and address (home or business) | | | | |
| <i>a Paid during the year</i> | | | | |
| Houston Habitat For Humanity Inc 3750 N McCarty St Houston, TX 77029 | N/A | PC | General support | 25,000 |
| Houston Jazz Orchestra 819 Lovett Blvd Houston, TX 77006 | N/A | PC | General support | 2,500 |
| Houston Park Boards 300 N Post Oak Ln Houston, TX 77024 | N/A | PC | Bayou greenways | 12,500 |
| Total | | | ► 3a | 1,405,000 |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|--|--------------------------------------|-------------------------------------|-----------|
| Name and address (home or business) | | | | |
| a Paid during the year | | | | |
| Houston Youth Symphony 4550 Post Oak Place Dr Ste 245 Houston, TX 77027 | N/A | PC | General support | 10,000 |
| Houston Zoo Inc 1513 Cambridge St Houston, TX 77030 | N/A | PC | General support | 5,000 |
| Lone Star Legal Aid 1415 Fannin 3rd Floor Houston, TX 77002 | N/A | PC | General support | 25,000 |
| Total | | | ► 3a | 1,405,000 |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|----------------------------------|-----------|
| Name and address (home or business) | | | | |
| <i>a Paid during the year</i> | | | | |
| Memorial Park Conservancy Inc 7575 N Picnic Ln Houston, TX 77007 | N/A | PC | General support | 2,500 |
| National Multiple Sclerosis Society 8111 N Stadium Dr Ste 100 Houston, TX 77054 | N/A | PC | General support | 350 |
| Northwest Assistance Ministries 15555 Kuykendahl Rd Houston, TX 77090 | N/A | PC | Disaster Assistance programs | 25,000 |
| Total | | | ► 3a | 1,405,000 |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|---------------------------------------|-----------|
| Name and address (home or business) | | | | |
| a Paid during the year | | | | |
| Oficina Legal Del Pueblo Unido Inc 1405 Montopolis Austin, TX 78741 | N/A | PC | General support | 2,500 |
| Palmer Drug Abuse Program-Houston I 840 Gessner Rd Ste 1300 Houston, TX 77024 | N/A | PC | General support | 2,500 |
| Project Row HousesPO Box 1011 Houston, TX 77251 | N/A | PC | Single Mothers Residential Initiative | 50,000 |
| Total | | | ► 3a | 1,405,000 |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|----------------------------------|-----------|
| Name and address (home or business) | | | | |
| <i>a Paid during the year</i> | | | | |
| Rice UniversityPO Box 1892 Houston, TX 77251 | N/A | PC | Shepherd School of Music | 2,500 |
| Safe Diversity Communities 15331 Kuykendahl Rd Apt 1201 Houston, TX 77090 | N/A | PC | General support | 15,000 |
| Schwab Charitable211 Main St San Francisco, CA 94105 | N/A | PC | General support | 50,000 |
| Total | | | ► 3a | 1,405,000 |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|----------------------------------|-----------|
| Name and address (home or business) | | | | |
| <i>a Paid during the year</i> | | | | |
| Southern Poverty Law Center 400 Washington AVe Montgomery, AL 36104 | N/A | PC | General support | 30,000 |
| Spring Branch Education Foundation 955 Campbell Rd 206 Houston, TX 77024 | N/A | PC | General support | 2,575 |
| Spring Branch West Super Neighb Cou PO Box 430574 Houston, TX 77243 | N/A | PC | General support | 5,000 |
| Total | | | ► 3a | 1,405,000 |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|--|-----------|
| Name and address (home or business) | | | | |
| a Paid during the year | | | | |
| St Edwards University 3001 South Congress Ave Austin, TX 78704 | N/A | PC | Scholarships for Houston area students | 5,000 |
| Tahirih Justice Center 6402 Arlington Blvd Ste 300 Falls Church, VA 22042 | N/A | PC | General support | 25,000 |
| Texas Childrens Hospital 1919 S Braeswood Blvd Ste 5214 Houston, TX 77030 | N/A | PC | Trauma & Grief Center Mobile Unit | 100,000 |
| Total | | | ► 3a | 1,405,000 |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|----------------------------------|-----------|
| Name and address (home or business) | | | | |
| a Paid during the year | | | | |
| Texas Organizing Project Education PO Box 120296 San Antonio, TX 78212 | N/A | PC | General support | 15,000 |
| Texas Women's Foundation 8150 North Central Expwy Ste 110 Dallas, TX 75206 | N/A | PC | Houston young women's initiative | 100,000 |
| Trees For Houston PO Box 270477 Houston, TX 77227 | N/A | PC | General support | 2,500 |
| Total | | | ► 3a | 1,405,000 |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|----------------------------------|-----------|
| Name and address (home or business) | | | | |
| <i>a Paid during the year</i> | | | | |
| Trust for Public Land 101 Montgomery St Ste 900 San Francisco, CA 94104 | N/A | PC | General support | 2,500 |
| US Fund for UNICEF 125 Maiden Ln 10th Floor New York, NY 10038 | N/A | PC | General support | 5,000 |
| United Against Human Trafficking PO Box 541184 Houston, TX 77254 | N/A | PC | General support | 50,000 |
| Total | | | ► 3a | 1,405,000 |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|--|--------------------------------------|-------------------------------------|-----------|
| Name and address (home or business) | | | | |
| <i>a Paid during the year</i> | | | | |
| Wateraid America Inc 233 Broadway 2705 New York, NY 10279 | N/A | PC | General support | 5,000 |
| YMCA of Greater Houston Area 2600 North Loop W Ste 300 Houston, TX 77092 | N/A | PC | General support | 5,000 |
| Total | | | ► 3a | 1,405,000 |

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2019 Gain/Loss from Sale of Other Assets Schedule

Name: Harvey R Houck Jr and Patricia W
Houck Foundation Inc

EIN: 76-0435413

Software ID: 19009920

Software Version: 2019v5.0

Gain Loss Sale Other Assets Schedule

| Name | Date Acquired | How Acquired | Date Sold | Purchaser Name | Gross Sales Price | Basis | Basis Method | Sales Expenses | Total (net) | Accumulated Depreciation |
|-------------------------------|---------------|--------------|-----------|----------------|-------------------|-------|--------------|----------------|-------------|--------------------------|
| From Schedule K-1 (Form 1065) | | | | | 168 | | Cost | | 168 | |
| From Schedule K-1 (Form 1065) | | | | | 108 | | Cost | | 108 | |
| Section 751 gain | | | | | 712 | | Cost | | 712 | |

TY 2019 Investments Corporate Bonds Schedule

Name: Harvey R Houck Jr and Patricia W
Houck Foundation Inc

EIN: 76-0435413

Software ID: 19009920

Software Version: 2019v5.0

Investments Corporate Bonds Schedule

| Name of Bond | End of Year Book Value | End of Year Fair Market Value |
|---------------------------------------|------------------------|-------------------------------|
| Aerojet Rocketdyne Holdings Inc Bond | 1,327 | 1,816 |
| Akamai Technologies Inc Bond | 2,287 | 2,209 |
| Anthem Inc Bond | 4,264 | 4,200 |
| Atlas Air Worldwide Holdings Inc Bond | 2,055 | 1,817 |
| Atlassian Inc Bond | 3,112 | 3,162 |
| 79,089 Barings Active Sht Dur Bond A | 779,657 | 786,931 |
| Biomarin Pharmaceutical Inc Bond | 2,126 | 2,113 |
| Booking Holdings Inc Bond | 4,457 | 4,654 |
| 2 Broadcom Inc 8% Cnv Pfd Ser A | 2,109 | 2,356 |
| Cleveland-Cliffs Inc Bond | 1,232 | 1,196 |
| Cypress Semiconductor Corp Bond | 1,467 | 1,744 |
| Danaher Corp Bond | 1,977 | 5,853 |
| Dish Network Corp Bond | 3,506 | 2,886 |
| EnSCO Jersey Finance Ltd Bond | 1,895 | 1,419 |
| Etsy Inc Bond | 1,851 | 1,408 |
| Exact Sciences Corp Bond | 2,275 | 2,887 |
| 2 Fortive Corp Pfd Cv S-A 5% | 2,143 | 1,946 |
| Helix Energy Solutions Group Inc Bond | 2,105 | 2,133 |
| Illumina Inc Bond | 2,266 | 2,781 |
| Inphi Corp Bond | 1,358 | 1,859 |

Investments Corporate Bonds Schedule

| Name of Bond | End of Year Book Value | End of Year Fair Market Value |
|------------------------------------|-------------------------------|--------------------------------------|
| Intel Corp Bond | 2,333 | 2,887 |
| Intercept Pharmaceuticals Inc Bond | 1,113 | 1,008 |
| Ionis Pharmaceuticals Inc Bond | 1,026 | 1,144 |
| Lendingtree Inc Bond | 1,466 | 1,564 |
| Liberty Media Corp Bond | 2,125 | 2,691 |
| Lumentum Holdings Inc Bond | 2,555 | 2,915 |
| Marriott Vacations Worldwide Bond | 1,009 | 1,066 |
| Microchip Technology Inc Bond | 2,087 | 4,302 |
| Molina Healthcare Inc Bond | 2,073 | 3,321 |
| Neurocrine Biosciences Inc Bond | 2,638 | 3,063 |
| Nice Systems Inc Bond | 2,471 | 3,811 |
| NRG Energy Inc Bond | 2,292 | 2,267 |
| Nuvasive Inc Bond | 2,267 | 2,662 |
| Oasis Petroleum Inc Bond | 2,248 | 1,552 |
| On Semiconductor Corp Bond | 2,435 | 2,718 |
| Pacira Biosciences Inc Bond | 966 | 1,040 |
| Patrick Industries Inc Bond | 2,878 | 2,882 |
| Ringcentral Inc Bond | 2,760 | 4,195 |
| Servicenow Inc Bond | 2,945 | 4,219 |
| Silicon Laboratories Inc Bond | 1,248 | 1,328 |

Investments Corporate Bonds Schedule

| Name of Bond | End of Year Book Value | End of Year Fair Market Value |
|--------------------------------------|------------------------|-------------------------------|
| Splunk Inc Bond | 2,447 | 2,466 |
| 19 Stanley Black & Decker Inc 5.375% | 2,277 | 2,073 |
| Teladoc Health Inc Bond | 2,700 | 4,008 |
| Transocean Inc Bond | 1,390 | 781 |
| Verint Systems Inc Bond | 1,922 | 2,152 |
| Viavi Solutions Inc Bond | 1,050 | 1,289 |
| Workday Inc Bond | 2,289 | 2,555 |

TY 2019 Investments Corporate Stock Schedule

Name: Harvey R Houck Jr and Patricia W
Houck Foundation Inc

EIN: 76-0435413

Software ID: 19009920

Software Version: 2019v5.0

Investments Corporation Stock Schedule

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---------------------------------------|-------------------------------|--------------------------------------|
| 80 3M | 6,498 | 14,114 |
| 869 Abbott Laboratories | 21,141 | 75,481 |
| 1,495 Abbvie Inc | 41,222 | 132,367 |
| 43 Acuity Brands Inc | 4,213 | 5,934 |
| 516 Acushnet Holdings Corp | 11,498 | 16,770 |
| 334 Adient PLC | 15,559 | 7,097 |
| 325 Adobe Systems Inc | 26,652 | 28,229 |
| 1,300 Aercap Holdings NV | 55,510 | 79,911 |
| 30 Affiliated Mgrs Group Inc | 2,757 | 2,542 |
| 94 Agree Realty Corp | 5,671 | 6,596 |
| 25 Air Prod & Chem Inc | 2,127 | 5,875 |
| 229 Alaska Air Group Inc | 14,663 | 15,515 |
| 76 Albany International | 5,176 | 5,770 |
| 250 Albemarle Corp | 16,663 | 18,260 |
| 444 Alcoa Corporation | 9,546 | 9,550 |
| 560 Alcon Inc | 29,288 | 31,679 |
| 224 Align Tech Inc | 32,938 | 47,168 |
| 500 Allergan PLC | 114,214 | 95,585 |
| 133 Allete Inc | 9,922 | 10,796 |
| 277 Alliant Energy Corp | 11,635 | 15,157 |
| 150 Alphabet Inc Cl A | 41,078 | 200,908 |
| 215 Alphabet Inc Cl C | 75,938 | 287,459 |
| 101 Alphabet Inc Non-Voting | 21,672 | 28,183 |
| 180 Alphabet Inc Voting | 42,735 | 59,366 |
| 2,387 Altegris Futures Evolution Cl I | 25,885 | 22,129 |
| 151 Altria Group Inc | 2,830 | 7,536 |
| 8 Amazon | 14,190 | 14,783 |
| 122 Amdocs Ltd | 7,332 | 8,807 |
| 72 American Express Co | 5,009 | 8,963 |
| 200 Amgen Inc | 26,750 | 48,214 |

Investments Corporation Stock Schedule

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|--------------------------------------|-------------------------------|--------------------------------------|
| 39 Anheuser Busch Inbev SA | 4,350 | 3,200 |
| 2,981 Apple Inc | 302,150 | 875,371 |
| 80 Aptargroup Inc | 4,326 | 9,250 |
| 335 Aramark Holdings Corporation | 11,847 | 14,539 |
| 1,333 Arconic Inc | 26,167 | 41,016 |
| 31 ASML Holding NV | 3,160 | 9,174 |
| 375 Assured Guaranty Ltd | 14,688 | 18,382 |
| 1,600 Astrazeneca ADR Each Rep 1 Ord | 60,413 | 79,776 |
| 2,224 AT&T Inc | 51,470 | 86,914 |
| 258 Autoliv Inc | 19,289 | 21,778 |
| 16 Automatic Data | 17,010 | 21,430 |
| 20 Automatic Data Processing Inc | 756 | 3,410 |
| 94 Avery Dennison Corp | 8,840 | 12,297 |
| 405 Banco Latinoamericano De | 7,376 | 8,659 |
| 2 Bank of America 7.25% Pref | 2,442 | 2,898 |
| 5,000 Bank of America Corp | 108,505 | 176,100 |
| 667 Bank Ozk | 17,610 | 20,347 |
| 1,500 Bayer Ag | 33,521 | 30,648 |
| 38 Becton Dickinson & Co Ser A | 2,250 | 2,487 |
| 262 Berkley WR Corp | 11,703 | 18,104 |
| 1,200 Berkshire Hathaway Inc | 90,980 | 271,800 |
| 3,126 BGC Partners Inc Cl A | 17,527 | 18,568 |
| 800 BHP Group Ltd | 37,794 | 43,768 |
| 17 Bio Rad Lab A | 1,396 | 6,291 |
| 35 Bio-Techne Corp | 3,691 | 7,683 |
| 104 Blackbaud Inc | 3,000 | 8,278 |
| 20 Blackrock Inc | 4,267 | 10,054 |
| 1,500 Boeing Co | 498,419 | 488,640 |
| 97 BOK Financial Corporation | 7,659 | 8,478 |
| 51 Booking Hldgs Inc | 53,706 | 68,188 |

Investments Corporation Stock Schedule

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---------------------------------------|-------------------------------|--------------------------------------|
| 400 BP PLC | 17,182 | 15,096 |
| 500 Brighthouse Finl Inc | 20,273 | 19,615 |
| 3,000 Bristol-Myers Squibb Co | 150,500 | 192,570 |
| 99 Broadridge Financial Solutions Inc | 8,770 | 12,231 |
| 750 Brookfield Asset Management Inc | 13,996 | 43,350 |
| 150 Brunswick Corp | 9,138 | 8,997 |
| 7 Caci International Inc Cl A | 1,697 | 1,750 |
| 3,500 Cameco Corp | 37,608 | 31,150 |
| 3,000 Canadian Natural Resources Ltd | 71,165 | 97,050 |
| 24 Canadian Pacific Railway Ltd | 2,875 | 6,119 |
| 40 Cantel Medical Corp | 2,818 | 2,836 |
| 67 Carlisle Co Inc | 3,489 | 10,843 |
| 450 Carnival Corp Paired Ctf | 20,254 | 22,873 |
| 2,000 Caterpillar Inc | 170,114 | 295,360 |
| 359 Cathay General Bancorp | 13,147 | 13,660 |
| 76 CDW Corporation | 2,818 | 10,856 |
| 2,500 Centurylink Inc | 28,375 | 33,025 |
| 1,000 Chemours Co | 20,636 | 18,090 |
| 296 Chevron Corporation | 23,369 | 35,671 |
| 68 Choice Hotels Intl Inc | 5,444 | 7,033 |
| 65 Chubb Ltd | 6,674 | 10,118 |
| 200 Cigna Corp | 31,161 | 40,898 |
| 172 Cimarex Energy Co | 8,311 | 9,028 |
| 4,000 Cisco Sys Inc | 118,504 | 191,840 |
| 4,500 Citigroup Inc | 166,935 | 359,505 |
| 4,500 Cleveland-Cliffs Inc | 276,398 | 37,800 |
| 6,000 Cloud Peak Energy Inc | 3,247 | 21 |
| 1,645 Coca-Cola Co | 73,886 | 91,051 |
| 1,173 Colony Cap Inc Cl A | 18,092 | 5,572 |
| 56 Columbia Sportswear Co | 2,321 | 5,611 |

Investments Corporation Stock Schedule

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---|-------------------------------|--------------------------------------|
| 357 Comcast Corp Class A | 11,590 | 16,054 |
| 5,000 Compagnie Financiere Richemont SA | 40,582 | 39,275 |
| 72 Conmed Corporation | 5,597 | 8,052 |
| 887 Conocophillips | 51,359 | 57,682 |
| 1,000 Corning Inc | 13,037 | 29,110 |
| 3,803 Corteva Inc | 74,026 | 112,417 |
| 160 Crane | 12,573 | 13,821 |
| 133 Cullen Frost Bankers Inc | 12,321 | 13,005 |
| 1,000 Cummins Inc | 91,615 | 178,960 |
| 358 Cyrusone Inc | 18,169 | 23,424 |
| 2,500 Deere & | 209,360 | 433,150 |
| 383 Delek Us Hldgs Inc | 12,977 | 12,842 |
| 1,600 Delta Air Lines Inc Del | 73,474 | 93,568 |
| 133 Dentsply Sirona Inc | 5,337 | 7,526 |
| 2,000 Diamond Offshore Drilling | 18,336 | 14,380 |
| 185 Dollar General Corp | 24,264 | 31,542 |
| 95 Donaldson | 4,370 | 5,474 |
| 65 Donaldson Co Inc | 2,320 | 3,745 |
| 4,069 Dow Inc | 160,779 | 222,696 |
| 3,000 Dream Unlimited Corp | 14,555 | 27,068 |
| 3,000 Dundee Corp Cl A | 2,355 | 2,753 |
| 4,069 Dupont De Nemours Inc | 235,960 | 261,229 |
| 399 East West Bancorp Inc | 19,000 | 19,431 |
| 43 Eastgroup Pptys Inc | 4,072 | 5,705 |
| 2,000 Element Solutions Inc | 26,227 | 23,360 |
| 2,000 Eli Lilly And Co | 217,272 | 262,860 |
| 1,000 Endo International PLC | 18,602 | 4,690 |
| 204 Energizer Hldgs Inc | 8,530 | 10,245 |
| 29 Enpro Industries Inc | 1,949 | 1,940 |
| 229 Epr Pptys | 15,470 | 16,177 |

Investments Corporation Stock Schedule

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---------------------------------|-------------------------------|--------------------------------------|
| 45 Estee Lauder Co Inc Cl A | 849 | 9,294 |
| 4,467 Exxon Mobil Corp | 319,551 | 311,707 |
| 2,000 Exxon Mobil Corporation | 146,609 | 139,560 |
| 9 Facebook Inc | 14,922 | 18,484 |
| 124 Facebook Inc Cl-A | 14,206 | 25,451 |
| 18 Factset Research Systems Inc | 990 | 4,829 |
| 12 Fair Isaac & Co Inc | 273 | 4,496 |
| 51 Federal Agricultural | 4,169 | 4,258 |
| 1,500 Fedex Corporation | 124,612 | 226,815 |
| 179 Fidelity 500 Index Fund | 15,426 | 20,042 |
| 101 Flir Systems Inc | 3,001 | 5,259 |
| 417 FnF Group | 14,316 | 18,911 |
| 208 Four Corners Property | 5,533 | 5,864 |
| 6,500 Freeport-Mcmoran Inc | 211,565 | 85,280 |
| 97 Frontdoor Inc | 2,766 | 4,600 |
| 172 Fuller H B Co | 7,802 | 8,870 |
| 282 Gartner Inc | 30,679 | 43,907 |
| 15,000 General Electric Co | 176,161 | 167,400 |
| 2,500 General Mtrs Co | 85,567 | 91,500 |
| 907 Gilead Sciences Inc | 66,445 | 58,937 |
| 78 Graco Inc | 709 | 4,056 |
| 1,000 Greenlight Capital Re Ltd | 24,250 | 10,110 |
| 164 Hasbro Inc | 14,186 | 17,320 |
| 287 Hawaiian Holdings Inc | 8,835 | 8,406 |
| 139 Henry Schein Inc | 7,321 | 9,274 |
| 43 Hexcel Corp | 3,454 | 3,152 |
| 283 Hope Bancorp Inc | 3,862 | 4,205 |
| 4,000 Hsbc Hldgs PLC | 163,072 | 156,360 |
| 50 Hubbell Inc | 5,273 | 7,391 |
| 703 Huntsman Corp | 14,606 | 16,984 |

Investments Corporation Stock Schedule

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|--|-------------------------------|--------------------------------------|
| 119 IAA Inc | 5,468 | 5,600 |
| 32 Idacorp Inc | 3,504 | 3,418 |
| 34 Idex Corporation Delaware | 854 | 5,848 |
| 1,109 II-VI Incorporated | 41,199 | 37,340 |
| 1,500 Imperial Oil | 38,090 | 39,705 |
| 161 Ingredion Incorporated | 14,322 | 14,965 |
| 7,000 Intel Corp | 244,026 | 418,950 |
| 28 Inter Parfums Inc | 1,994 | 2,036 |
| 61 Intercontinentalexchange Group | 2,886 | 5,646 |
| 5,000 International Consolidated Airline | 69,661 | 82,795 |
| 3,000 International Paper Co | 125,595 | 138,150 |
| 21 Intuitive Surgical Inc | 5,784 | 12,414 |
| 33 Jack Henry & Assoc Inc | 851 | 4,807 |
| 366 James River Grp Holdings | 13,159 | 15,083 |
| 97 JB Hunt Transport Services Inc | 6,477 | 11,328 |
| 1,500 Jefferies Finl Group Inc | 32,794 | 32,055 |
| 204 John Wiley & Sons Inc Cl | 8,956 | 9,898 |
| 1,000 Johnson &Johnson | 65,678 | 145,870 |
| 3,342 Johnson Controls International PLC | 160,784 | 136,053 |
| 35 Jones Lang Lasalle Inc | 4,573 | 6,093 |
| 2,029 JPMorgan Chase & Co | 109,430 | 282,843 |
| 9,028 Keyera Corp | 164,585 | 236,848 |
| 10,722 Kinder Morgan Inc | 209,013 | 226,985 |
| 86 Kirby Cp | 6,002 | 7,700 |
| 618 Kulicke & Soffa Ind Inc | 13,452 | 16,810 |
| 44 Landstar System Inc | 2,864 | 5,010 |
| 141 LCI Industries | 10,838 | 15,105 |
| 161 Leidos Holdings Inc | 9,051 | 15,760 |
| 65 Lemaitre Vascular Inc | 2,237 | 2,337 |
| 35 Lennox Intl Inc | 6,269 | 8,539 |

Investments Corporation Stock Schedule

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|-----------------------------------|-------------------------------|--------------------------------------|
| 650 Liberty Global PLC Class C | 14,944 | 14,167 |
| 127 Lincoln Electric Hldgs | 10,451 | 12,285 |
| 41 Linde PLC | 6,702 | 8,729 |
| 97 Littelfuse Inc | 17,690 | 18,556 |
| 95 Manhattan Assoc Inc | 4,303 | 7,576 |
| 650 Marathon Petroleum Corp | 32,838 | 39,162 |
| 6 Markel Corp (Holding Co) | 2,175 | 6,859 |
| 369 Mastercard Inc Cl A | 56,700 | 79,378 |
| 3,333 McDermott International Inc | 120,912 | 2,255 |
| 51 McDonalds Corp | 3,806 | 10,078 |
| 768 Medical Properties Trust | 12,689 | 16,212 |
| 595 Medtronic PLC | 45,023 | 67,503 |
| 700 Micron Technology | 19,605 | 37,646 |
| 3,268 Microsoft Corp | 292,876 | 514,669 |
| 216 MKS Instruments Inc | 15,751 | 23,762 |
| 4,000 Mondelez Intl Inc | 162,143 | 220,320 |
| 14 Monolithic Power Systems | 2,410 | 2,492 |
| 34 Morningstar Inc | 1,227 | 5,145 |
| 3,000 Mosaic | 236,195 | 64,920 |
| 107 Msa Safety Inc | 10,558 | 13,521 |
| 177 MSCI Inc | 38,280 | 52,850 |
| 104 National Health Invs Inc | 8,091 | 8,474 |
| 4,000 National Oilwell Varco Inc | 174,797 | 100,200 |
| 151 National Retail Properties | 7,529 | 8,097 |
| 579 Nestle SA Reg ADR | 59,224 | 91,308 |
| 489 Nestle SA Sponsored ADR | 26,302 | 52,917 |
| 1,554 Newmark Group Inc | 14,963 | 20,909 |
| 700 Newmont Goldcorp Corporation | 23,621 | 30,415 |
| 167 Nike Inc Class B | 22,894 | 29,468 |
| 136 Nordson Corp | 16,701 | 22,147 |

Investments Corporation Stock Schedule

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---|-------------------------------|--------------------------------------|
| 200 Northrop Grumman Corp | 10,309 | 68,794 |
| 2,800 Novartis AG Sponsored ADR | 210,452 | 265,132 |
| 151 Novo Nordisk A/S ADR | 4,000 | 8,740 |
| 1,000 Now Inc | 18,874 | 11,240 |
| 373 Nvent Electric PLC | 7,257 | 9,541 |
| 22 One Gas Inc | 2,082 | 2,059 |
| 291 O'Reilly Automotive Inc | 24,579 | 31,504 |
| 87 Packaging Corp of Amer | 7,902 | 9,743 |
| 567 Pacwest Bancorp Del | 20,485 | 21,699 |
| 401 Paypal Holdings Inc | 30,666 | 40,625 |
| 457 Pepsico Inc | 28,799 | 62,458 |
| 6,155 Pfizer Inc | 199,973 | 241,153 |
| 127 Phibro Animal Health | 3,034 | 3,153 |
| 154 Philip Morris Intl Inc | 5,157 | 13,104 |
| 77 PNM Resources Inc | 3,188 | 3,905 |
| 16 Pool Corp | 2,627 | 3,398 |
| 76 Portland General | 3,873 | 4,240 |
| 39 Preferred Bank Los Angeles | 2,339 | 2,344 |
| 3,500 Procter & Gamble Co | 324,609 | 437,150 |
| 64 Progressive Corp Ohio | 4,858 | 4,633 |
| 60 Prosperity Bancshares | 4,238 | 4,313 |
| 960 QUALCOMM Inc | 57,079 | 84,701 |
| 83 Regeneron Pharmaceutical | 28,122 | 36,376 |
| 2,000 Resideo Technologies Inc | 18,973 | 23,860 |
| 233 Roche Holdings ADR | 6,241 | 9,474 |
| 900 Royal Dutch Shell PLC ADR Class A | 60,061 | 53,082 |
| 7,200 Royal Dutch Shell PLC ADR Class B | 456,015 | 431,784 |
| 191 RPM International Inc | 10,073 | 14,661 |
| 400 Ryanair Holdings PLC | 30,668 | 35,044 |
| 39 S&P Global Inc | 8,270 | 10,649 |

Investments Corporation Stock Schedule

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---------------------------------------|-------------------------------|--------------------------------------|
| 288 Salesforce | 26,532 | 31,153 |
| 267 Sally Beauty Hldgs Inc | 5,117 | 4,873 |
| 2,200 Sanofi Sponsored ADR | 85,795 | 110,440 |
| 3,950 Schlumberger NV | 210,568 | 158,790 |
| 127 SEI Investments Co | 2,752 | 8,316 |
| 59 Sensient Tech Corp | 3,999 | 3,899 |
| 78 Service Corp Intl | 3,283 | 3,590 |
| 260 Servicemaster Global Hldgs Inc | 8,937 | 10,052 |
| 63 ServiceNow Inc | 25,772 | 23,655 |
| 90 Servisfirst Bancshares | 3,272 | 3,391 |
| 9 Sherwin Williams | 4,100 | 5,252 |
| 76 Snap-On Inc | 12,190 | 12,874 |
| 320 South32 Ltd Spon ADR | 3,579 | 3,037 |
| 37 Spectrum Brands Hldgs Inc | 1,951 | 2,379 |
| 57 Spire Inc | 4,646 | 4,749 |
| 119 Starbucks Corp | 18,591 | 19,354 |
| 97 Steris PLC | 10,612 | 14,785 |
| 277 Store Capital Corp | 9,722 | 10,315 |
| 1,300 Stryker Corp | 66,086 | 272,922 |
| 3,000 Suez ADR | 19,804 | 22,704 |
| 1,960 Suncor Energy | 52,701 | 64,288 |
| 316 Targa Resources Corp | 13,307 | 12,902 |
| 79 Teleflex Incorporated | 14,080 | 29,739 |
| 500 Tencent Hldgs Ltd | 20,485 | 24,103 |
| 550 Teva Pharmaceutical Industries | 5,203 | 5,390 |
| 110 Texas Instruments | 4,717 | 14,112 |
| 400 The Boeing | 46,250 | 130,304 |
| 2,000 The Container Store Group Inc | 8,590 | 8,440 |
| 5,000 The Hartford Financial Services | 130,392 | 303,850 |
| 300 Thermo Fisher Scientific Inc | 15,488 | 97,461 |

Investments Corporation Stock Schedule

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|--|-------------------------------|--------------------------------------|
| 2,000 Tourmaline Oil Corp | 24,223 | 23,474 |
| 180 Transunion | 6,446 | 15,410 |
| 86 Trimble Inc | 2,483 | 3,585 |
| 299 Umpqua Holdings Corp | 4,382 | 5,292 |
| 3,000 Unilever PLC Spon ADR | 157,775 | 171,510 |
| 68 Union Pacific Corp | 6,557 | 12,294 |
| 62 United Technologies Corp | 4,813 | 9,285 |
| 57 Unitedhealth Gp Inc | 10,844 | 16,757 |
| 43 Utili Corp | 2,652 | 2,658 |
| 2,800 Vaneck Vectors Agribusiness ETF | 152,655 | 192,444 |
| 30 Varian Medical Sys Inc | 949 | 4,260 |
| 4,000 Veolia Environnement Sponsored ADR | 74,649 | 106,456 |
| 44 Verisk Analytics Inc | 4,268 | 6,571 |
| 57 Veritiv Corp | 1,418 | 1,121 |
| 500 Verizon | 27,292 | 30,700 |
| 604 Vermilion Energy Inc | 14,550 | 9,881 |
| 542 Visa Inc Cl A | 77,828 | 108,924 |
| 51 Wabtec Corp | 3,772 | 3,968 |
| 1,840 Walmart Inc | 105,514 | 218,666 |
| 82 Walt Disney Co Hldg Co | 5,013 | 11,860 |
| 4,000 Walter Energy Inc | 294,756 | |
| 168 Washington Tr Bancorp | 8,613 | 9,037 |
| 1 Weatherford Intl PLC | 367 | 28 |
| 2,501 Wells Fargo Co | 123,289 | 135,950 |
| 51 Westamerica Bancorporation | 2,379 | 3,456 |
| 5 Westinghouse Air Brake Technologies | 390 | 389 |
| 47 Wex Inc | 3,796 | 9,845 |
| 246,730 WideOrbit Inc | 64,000 | 518,133 |
| 1,155 Zoetis Inc | 91,097 | 140,823 |

TY 2019 Investments - Other Schedule

Name: Harvey R Houck Jr and Patricia W
Houck Foundation Inc

EIN: 76-0435413

Software ID: 19009920

Software Version: 2019v5.0

Investments Other Schedule 2

| Category/ Item | Listed at Cost or FMV | Book Value | End of Year Fair Market Value |
|--|------------------------------|-------------------|--------------------------------------|
| AB DBT AB European Opportunities Series | AT COST | 1,426,868 | 1,683,097 |
| AB DBT AB Global Insights Series | AT COST | 1,151,845 | 1,376,695 |
| AB Financial Services Opp Cayman Fund LP | AT COST | 1,500,000 | 1,660,509 |
| Alkeon Growth Offshore Fund Ltd | AT COST | 1,000,000 | 1,315,729 |
| Alkeon Innovation Offshore Fund Ltd | AT COST | 227,000 | 228,783 |
| Oaktree Strategic Income (Cayman) Ltd | AT COST | 1,511,093 | 1,519,185 |
| RE Prem Blackstone RE Debt Str II TE Fd | AT COST | 59,172 | 31,461 |
| Stanley Partners Fund LP | AT COST | 2,894,498 | 3,378,843 |

TY 2019 Other Decreases Schedule

Name: Harvey R Houck Jr and Patricia W
Houck Foundation Inc

EIN: 76-0435413

Software ID: 19009920

Software Version: 2019v5.0

| Description | Amount |
|---|---------|
| Difference between book and FMV of stock contribution | 454,133 |

TY 2019 Other Expenses Schedule

Name: Harvey R Houck Jr and Patricia W
Houck Foundation Inc

EIN: 76-0435413

Software ID: 19009920

Software Version: 2019v5.0

Other Expenses Schedule

| Description | Revenue and Expenses per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|--------------------------|--------------------------------|-----------------------|---------------------|---------------------------------------|
| Dues and subscriptions | 1,500 | | | 1,500 |
| Insurance | 750 | | | 750 |
| Passthrough K-1 expenses | 73,953 | 73,953 | | |

TY 2019 Other Income Schedule

Name: Harvey R Houck Jr and Patricia W
Houck Foundation Inc

EIN: 76-0435413

Software ID: 19009920

Software Version: 2019v5.0

Other Income Schedule

| Description | Revenue And Expenses Per Books | Net Investment Income | Adjusted Net Income |
|------------------------|-----------------------------------|--------------------------|---------------------|
| Passthrough K-1 income | 155,920 | 155,577 | |
| PFIC dividends | 106,543 | 106,543 | |

TY 2019 Other Professional Fees Schedule

Name: Harvey R Houck Jr and Patricia W
Houck Foundation Inc

EIN: 76-0435413

Software ID: 19009920

Software Version: 2019v5.0

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|----------------------------|---------------|------------------------------|----------------------------|--|
| Investment management fees | 99,263 | 99,263 | 0 | 0 |

TY 2019 Taxes Schedule

Name: Harvey R Houck Jr and Patricia W
Houck Foundation Inc

EIN: 76-0435413

Software ID: 19009920

Software Version: 2019v5.0

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|-------------|--------|-----------------------|---------------------|---------------------------------------|
| Foreign tax | 11,272 | 11,272 | | |

Schedule B
(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

OMB No. 1545-0047

► Attach to Form 990, 990-EZ, or 990-PF.
► Go to www.irs.gov/Form990 for the latest information.

2019Name of the organization
Harvey R Houck Jr and Patricia W
Houck Foundation Inc**Employer identification number**
76-0435413**Organization type** (check one):**Filers of:****Section:**

Form 990 or 990-EZ

501(c)() (enter number) organization
 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
 527 political organization

Form 990-PF

501(c)(3) exempt private foundation
 4947(a)(1) nonexempt charitable trust treated as a private foundation
 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33^{1/3}% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ► \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization
 Harvey R Houck Jr and Patricia W
 Houck Foundation Inc

Employer identification number
 76-0435413

| Part I | | Contributors (see instructions). Use duplicate copies of Part I if additional space is needed. | |
|--------------------|---|---|--|
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| 1 | Houck Management Trust 8811 Westheimer Rd Ste 200 Houston, TX 77063 | \$ 1,018,133 | <input checked="" type="checkbox"/> Person <input type="checkbox"/> Payroll <input checked="" type="checkbox"/> Noncash (Complete Part II for noncash contributions.) |
| | | \$ | <input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.) |
| | | \$ | <input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.) |
| | | \$ | <input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.) |
| | | \$ | <input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.) |
| | | \$ | <input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.) |
| | | \$ | <input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.) |
| | | \$ | <input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.) |
| | | \$ | <input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.) |
| | | \$ | <input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.) |

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| Part II | | Noncash Property | | |
|------------------------------------|--|--|---|-----------------------------|
| | | (see instructions). Use duplicate copies of Part II if additional space is needed. | | |
| | | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions) | (d) Date received |
| (a) No. from Part I | | | | |
| 1 | | 246,730 shares WideOrbit Inc | \$ 518,133 | 2019-12-19 |
| (a) No. from Part I | | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions) | (d) Date received |
| - | | | \$ | |
| (a) No. from Part I | | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions) | (d) Date received |
| - | | | \$ | |
| (a) No. from Part I | | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions) | (d) Date received |
| - | | | \$ | |
| (a) No. from Part I | | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions) | (d) Date received |
| - | | | \$ | |
| (a) No. from Part I | | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions) | (d) Date received |
| - | | | \$ | |
| (a) No. from Part I | | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions) | (d) Date received |
| - | | | \$ | |
| (a) No. from Part I | | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions) | (d) Date received |
| - | | | \$ | |

