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Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation

**or Section 4947(a)(1) Trust Treated as Private Foundation**

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to *www.irs.gov/Form990PF* for instructions and the latest information.

OMB No. 1545-0052

**2019**

**Open to Public Inspection**

**For calendar year 2019, or tax year beginning 01-01-2019 , and ending 12-31-2019**

Name of foundation
THE MARIE M AND JAMES H GALLOWAY
FOUNDATION

**A Employer identification number**
76-0314195

Number and street (or P.O. box number if mail is not delivered to street address) 1800 BERING DRIVE NO 315
Room/suite

**B** Telephone number (see instructions)
(713) 888-1073

City or town, state or province, country, and ZIP or foreign postal code
HOUSTON, TX 77057

**C** If exemption application is pending, check here ▶ ☐

**G** Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

**D 1.** Foreign organizations, check here............ ▶ ☐
**2.** Foreign organizations meeting the 85% test, check here and attach computation ... ▶ ☐

**H** Check type of organization: ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

**E** If private foundation status was terminated under section 507(b)(1)(A), check here ....... ▶ ☐

**I** Fair market value of all assets at end of year (from Part II, col. (c), *line 16)* ▶$ 46,658,161

**J** Accounting method: ☑ Cash ☐ Accrual ☐ Other (specify)
*(Part I, column (d) must be on cash basis.)*

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ....... ▶ ☐

**Part I** **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

| | | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|---|
| Revenue | 1 | Contributions, gifts, grants, etc., received (attach schedule) | 129,184 | | | |
| | 2 | Check ▶ ☐ if the foundation is **not** required to attach Sch. B | | | | |
| | 3 | Interest on savings and temporary cash investments | 6,644 | 6,644 | | |
| | 4 | Dividends and interest from securities | 1,847,451 | 1,847,451 | | |
| | 5a | Gross rents | | | | |
| | b | Net rental income or (loss) | | | | |
| | 6a | Net gain or (loss) from sale of assets not on line 10 | 17,094 | | | |
| | b | Gross sales price for all assets on line 6a 7,613,810 | | | | |
| | 7 | Capital gain net income (from Part IV, line 2) | | 17,094 | | |
| | 8 | Net short-term capital gain | | | | |
| | 9 | Income modifications | | | | |
| | 10a | Gross sales less returns and allowances | | | | |
| | b | Less: Cost of goods sold | | | | |
| | c | Gross profit or (loss) (attach schedule) | | | | |
| | 11 | Other income (attach schedule) | 477,933 | 0 | | |
| | 12 | **Total.** Add lines 1 through 11 | 2,478,306 | 1,871,189 | | |
| Operating and Administrative Expenses | 13 | Compensation of officers, directors, trustees, etc. | 0 | 0 | | 0 |
| | 14 | Other employee salaries and wages | | | | |
| | 15 | Pension plans, employee benefits | | | | |
| | 16a | Legal fees (attach schedule) | | | | |
| | b | Accounting fees (attach schedule) | 35,026 | 31,523 | | 3,503 |
| | c | Other professional fees (attach schedule) | 155,593 | 155,593 | | 0 |
| | 17 | Interest | | | | |
| | 18 | Taxes (attach schedule) (see instructions) | 31,542 | 900 | | 0 |
| | 19 | Depreciation (attach schedule) and depletion | | | | |
| | 20 | Occupancy | 13,353 | 6,677 | | 6,676 |
| | 21 | Travel, conferences, and meetings | 85 | 22 | | 21 |
| | 22 | Printing and publications | | | | |
| | 23 | Other expenses (attach schedule) | 5,885 | 4,752 | | 1,133 |
| | 24 | **Total operating and administrative expenses.** Add lines 13 through 23 | 241,484 | 199,467 | | 11,333 |
| | 25 | Contributions, gifts, grants paid | 2,359,808 | | | 2,359,808 |
| | 26 | **Total expenses and disbursements.** Add lines 24 and 25 | 2,601,292 | 199,467 | | 2,371,141 |
| | 27 | Subtract line 26 from line 12: | | | | |
| | a | **Excess of revenue over expenses and disbursements** | -122,986 | | | |
| | b | **Net investment income** (if negative, enter -0-) | | 1,671,722 | | |
| | c | **Adjusted net income** (if negative, enter -0-) | | | | |

**Part II** **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

| | | | Beginning of year | End of year | |
|---|---|---|---|---|---|
| | | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | 1 | Cash—non-interest-bearing | 6,613 | 27,845 | 27,845 |
| | 2 | Savings and temporary cash investments | 749,818 | 444,832 | 444,832 |
| | 3 | Accounts receivable ▶<br>Less: allowance for doubtful accounts ▶ | | | |
| | 4 | Pledges receivable ▶<br>Less: allowance for doubtful accounts ▶ | | | |
| | 5 | Grants receivable | | | |
| | 6 | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | |
| | 7 | Other notes and loans receivable (attach schedule) ▶<br>Less: allowance for doubtful accounts ▶ | | | |
| | 8 | Inventories for sale or use | | | |
| | 9 | Prepaid expenses and deferred charges | | | |
| | 10a | Investments—U.S. and state government obligations (attach schedule) | 394,368 | 349,859 | 349,836 |
| | b | Investments—corporate stock (attach schedule) | 46,885,129 | 47,421,148 | 45,175,681 |
| | c | Investments—corporate bonds (attach schedule) | | | |
| | 11 | Investments—land, buildings, and equipment: basis ▶<br>Less: accumulated depreciation (attach schedule) ▶ | | | |
| | 12 | Investments—mortgage loans | | | |
| | 13 | Investments—other (attach schedule) | 865,499 | 536,265 | 658,302 |
| | 14 | Land, buildings, and equipment: basis ▶<br>Less: accumulated depreciation (attach schedule) ▶ | | | |
| | 15 | Other assets (describe ▶ ) | 0 | 1,665 | 1,665 |
| | 16 | **Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I) | 48,901,427 | 48,781,614 | 46,658,161 |
| Liabilities | 17 | Accounts payable and accrued expenses | | | |
| | 18 | Grants payable | | | |
| | 19 | Deferred revenue | | | |
| | 20 | Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 | Mortgages and other notes payable (attach schedule) | | | |
| | 22 | Other liabilities (describe ▶ ) | | | |
| | 23 | **Total liabilities**(add lines 17 through 22) | 0 | 0 | |
| Net Assets or Fund Balances | | **Foundations that follow FASB ASC 958, check here ▶ ☑ and complete lines 24, 25, 29 and 30.** | | | |
| | 24 | Net assets without donor restrictions | 48,901,427 | 48,781,614 | |
| | 25 | Net assets with donor restrictions | | | |
| | | **Foundations that do not follow FASB ASC 958, check here ▶ ☐ and complete lines 26 through 30.** | | | |
| | 26 | Capital stock, trust principal, or current funds | | | |
| | 27 | Paid-in or capital surplus, or land, bldg., and equipment fund | | | |
| | 28 | Retained earnings, accumulated income, endowment, or other funds | | | |
| | 29 | **Total net assets or fund balances** (see instructions) | 48,901,427 | 48,781,614 | |
| | 30 | **Total liabilities and net assets/fund balances** (see instructions) | 48,901,427 | 48,781,614 | |

**Part III** **Analysis of Changes in Net Assets or Fund Balances**

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) | 1 | 48,901,427 |
| 2 | Enter amount from Part I, line 27a | 2 | -122,986 |
| 3 | Other increases not included in line 2 (itemize) ▶ | 3 | 3,173 |
| 4 | Add lines 1, 2, and 3 | 4 | 48,781,614 |
| 5 | Decreases not included in line 2 (itemize) ▶ | 5 | 0 |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 | 6 | 48,781,614 |

## Part IV Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|---|
| 1a | See Additional Data Table | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | See Additional Data Table | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| | (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|---|
| a | See Additional Data Table | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | | | |
|---|---|---|---|
| 2 | Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } | 2 | 17,094 |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 . . . . . . . . . . . . . . . . . . . . . . . . | 3 | |

## Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see instructions before making any entries.

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col. (b) divided by col. (c)) |
|---|---|---|---|
| 2018 | 1,582,145 | 47,924,824 | 0.033013 |
| 2017 | 2,614,477 | 43,069,288 | 0.060704 |
| 2016 | 306,605 | 43,519,827 | 0.007045 |
| 2015 | 730,018 | 19,006,642 | 0.038409 |
| 2014 | 19,002 | 626,375 | 0.030336 |

| | | | |
|---|---|---|---|
| 2 | **Total** of line 1, column (d) . . . . . . . . . . . . . . . . . . . . . . | 2 | 0.169507 |
| 3 | Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years . . . . . . | 3 | 0.033901 |
| 4 | Enter the net value of noncharitable-use assets for 2019 from Part X, line 5 . . . . . . . | 4 | 46,510,849 |
| 5 | Multiply line 4 by line 3 . . . . . . . . . . . . . . . . . . . . . . | 5 | 1,576,764 |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b) . . . . . . . . | 6 | 16,717 |
| 7 | Add lines 5 and 6 . . . . . . . . . . . . . . . . . . . . . . . | 7 | 1,593,481 |
| 8 | Enter qualifying distributions from Part XII, line 4 , . . . . . . . . . . . . . . | 8 | 2,371,141 |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI** **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

| | | | |
|---|---|---|---|
| **1a** | Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _________ **(attach copy of letter if necessary–see instructions)** | | |
| **b** | Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b | **1** | 16,717 |
| **c** | All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b) | | |
| **2** | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | **2** | 0 |
| **3** | Add lines 1 and 2 | **3** | 16,717 |
| **4** | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | **4** | 0 |
| **5** | **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0- | **5** | 16,717 |
| **6** | Credits/Payments: | | |
| **a** | 2019 estimated tax payments and 2018 overpayment credited to 2019 — **6a** 27,000 | | |
| **b** | Exempt foreign organizations—tax withheld at source — **6b** | | |
| **c** | Tax paid with application for extension of time to file (Form 8868) — **6c** 0 | | |
| **d** | Backup withholding erroneously withheld — **6d** 0 | | |
| **7** | Total credits and payments. Add lines 6a through 6d | **7** | 27,000 |
| **8** | Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached. | **8** | 20 |
| **9** | **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶ | **9** | |
| **10** | **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | **10** | 10,263 |
| **11** | Enter the amount of line 10 to be: **Credited to 2020 estimated tax** ▶ 10,263 **Refunded** ▶ | **11** | 0 |

**Part VII-A** **Statements Regarding Activities**

| | | | Yes | No |
|---|---|---|---|---|
| **1a** | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | No |
| **b** | Did it spend more than $100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). | 1b | | No |
| | *If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.* | | | |
| **c** | Did the foundation file **Form 1120-POL** for this year?. | 1c | | No |
| **d** | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0 **(2)** On foundation managers. ▶ $ 0 | | | |
| **e** | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0 | | | |
| **2** | Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.* | 2 | | No |
| **3** | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* | 3 | | No |
| **4a** | Did the foundation have unrelated business gross income of $1,000 or more during the year?. | 4a | | No |
| **b** | If "Yes," has it filed a tax return on **Form 990-T** for this year?. | 4b | | |
| **5** | Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.* | 5 | | No |
| **6** | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | Yes | |
| **7** | Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.* | 7 | Yes | |
| **8a** | Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ TX | | | |
| **b** | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation* . | 8b | Yes | |
| **9** | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV | 9 | | No |
| **10** | Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.* | 10 | | No |

**Part VII-A Statements Regarding Activities** (continued)

| | | Line | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions. | 11 | | No |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions | 12 | Yes | |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | Yes | |

Website address ▶N/A

14 The books are in care of ▶FRIERSON SOLA SIMONTON KUTAC P Telephone no. ▶(713) 651-9250

Located at ▶801 TRAVIS ST SUITE 1900 HOUSTON TX ZIP+4 ▶77002

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here . . . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ 15

| | | Line | Yes | No |
|---|---|---|---|---|
| 16 | At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | | No |
| | See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶ | | | |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.** Yes No

1a During the year did the foundation (either directly or indirectly):

**(1)** Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No

**(2)** Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☑ No

**(3)** Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No

**(4)** Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No

**(5)** Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☑ No

**(6)** Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.). ☐ Yes ☑ No

**b** If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions . . . **1b**

Organizations relying on a current notice regarding disaster assistance check here. . . ▶ ☐

**c** Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?. . . **1c** No

**2** Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):

**a** At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019?. ☐ Yes ☑ No

If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____

**b** Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.) . . . **2b**

**c** If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here.
▶ 20____ , 20____ , 20____ , 20____

**3a** Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☑ No

**b** If "Yes," did it have excess business holdings in 2019 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period?*(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019.)*. . . **3b**

**4a** Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? **4a** No

**b** Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019? **4b** No

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** *(continued)*

| | | | | Yes | No |
|---|---|---|---|---|---|
| 5a | During the year did the foundation pay or incur any amount to: | | | | |
| | **(1)** Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? | ☐ Yes ☑ No | | | |
| | **(2)** Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? | ☐ Yes ☑ No | | | |
| | **(3)** Provide a grant to an individual for travel, study, or other similar purposes? | ☐ Yes ☑ No | | | |
| | **(4)** Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions. | ☐ Yes ☑ No | | | |
| | **(5)** Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?. | ☐ Yes ☑ No | | | |
| b | If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions | | 5b | | |
| | Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐ | | | | |
| c | If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?. | ☐ Yes ☐ No | | | |
| | *If "Yes," attach the statement required by Regulations section 53.4945–5(d).* | | | | |
| 6a | Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?. | ☐ Yes ☑ No | | | |
| b | Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | | 6b | | No |
| | *If "Yes" to 6b, file Form 8870.* | | | | |
| 7a | At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? | ☐ Yes ☑ No | | | |
| b | If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction? | | 7b | | |
| 8 | Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year? | ☐ Yes ☑ No | | | |

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation. See instructions**

| **(a)** Name and address | **(b)** Title, and average hours per week devoted to position | **(c)** Compensation **(If not paid, enter -0-)** | **(d)** Contributions to employee benefit plans and deferred compensation | **(e)** Expense account, other allowances |
|---|---|---|---|---|
| JAMES R MONTAGUE<br>1800 BERING DRIVE STE 315<br>HOUSTON, TX 77057 | DIRECTOR<br>2.00 | 0 | 0 | 0 |
| STEVEN J LINDLEY<br>3900 ESSEX LANE STE 400<br>HOUSTON, TX 77027 | DIRECTOR<br>2.00 | 0 | 0 | 0 |
| RICHARD ROWE<br>1800 BERING DRIVE STE 315<br>HOUSTON, TX 77057 | DIRECTOR<br>2.00 | 0 | 0 | 0 |

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

| **(a)** Name and address of each employee paid more than $50,000 | **(b)** Title, and average hours per week devoted to position | **(c)** Compensation | **(d)** Contributions to employee benefit plans and deferred compensation | **(e)** Expense account, other allowances |
|---|---|---|---|---|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000. ▶ 0

**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** ***(continued)***

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

| **(a)** Name and address of each person paid more than $50,000 | **(b)** Type of service | **(c)** Compensation |
|---|---|---|
| AVALON ADVISORS LLC<br>2929 ALLEN PARKWAY SUITE 3000<br>HOUSTON, TX 77019 | INVESTMENT MANAGEMENT | 102,853 |
| | | |
| | | |
| | | |
| | | |
| **Total** number of others receiving over $50,000 for professional services. ▶ | | 0 |

**Part IX-A** **Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|---|---|
| **1** NO DIRECT CHARITABLE ACTIVITIES. ALL CONTRIBUTIONS WILL BE MADE TO CHARITIES FOR THEIR UNRESTRICTED USE. | 0 |
| **2** | |
| **3** | |
| **4** | |

**Part IX-B** **Summary of Program-Related Investments** (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | Amount |
|---|---|
| **1** | |
| **2** | |
| All other program-related investments. See instructions.<br>**3** | |
| **Total.** Add lines 1 through 3 ▶ | 0 |

## Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | | |
|---|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | | |
| a | Average monthly fair market value of securities. | | 1a | 46,489,381 |
| b | Average of monthly cash balances. | | 1b | 729,755 |
| c | Fair market value of all other assets (see instructions). | | 1c | 0 |
| d | **Total** (add lines 1a, b, and c). | | 1d | 47,219,136 |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). | 1e 0 | | |
| 2 | Acquisition indebtedness applicable to line 1 assets. | | 2 | 0 |
| 3 | Subtract line 2 from line 1d. | | 3 | 47,219,136 |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions). | | 4 | 708,287 |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4 | | 5 | 46,510,849 |
| 6 | **Minimum investment return.** Enter 5% of line 5. | | 6 | 2,325,542 |

## Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

| | | | | |
|---|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6. | | 1 | 2,325,542 |
| 2a | Tax on investment income for 2019 from Part VI, line 5. | 2a 16,717 | | |
| b | Income tax for 2019. (This does not include the tax from Part VI.). | 2b | | |
| c | Add lines 2a and 2b. | | 2c | 16,717 |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1. | | 3 | 2,308,825 |
| 4 | Recoveries of amounts treated as qualifying distributions. | | 4 | 0 |
| 5 | Add lines 3 and 4. | | 5 | 2,308,825 |
| 6 | Deduction from distributable amount (see instructions). | | 6 | 0 |
| 7 | **Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1. | | 7 | 2,308,825 |

## Part XII Qualifying Distributions (see instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26. | 1a | 2,371,141 |
| b | Program-related investments—total from Part IX-B. | 1b | 0 |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes. | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required). | 3a | |
| b | Cash distribution test (attach the required schedule). | 3b | |
| 4 | **Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 2,371,141 |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions. | 5 | 16,717 |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4. | 6 | 2,354,424 |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII** **Undistributed Income** (see instructions)

| | (a) Corpus | (b) Years prior to 2018 | (c) 2018 | (d) 2019 |
|---|---|---|---|---|
| **1** Distributable amount for 2019 from Part XI, line 7 | | | | 2,308,825 |
| **2** Undistributed income, if any, as of the end of 2019: | | | | |
| **a** Enter amount for 2018 only. . . . . . . | | | 2,359,808 | |
| **b** Total for prior years: 20___ , 20___ , 20___ | | 0 | | |
| **3** Excess distributions carryover, if any, to 2019: | | | | |
| **a** From 2014. . . . . . | | | | |
| **b** From 2015. . . . . . | | | | |
| **c** From 2016. . . . . . | | | | |
| **d** From 2017. . . . . . | | | | |
| **e** From 2018. . . . . . | | | | |
| **f** **Total** of lines 3a through e. . . . . . . . . | 0 | | | |
| **4** Qualifying distributions for 2019 from Part XII, line 4: ▶ $ 2,371,141 | | | | |
| **a** Applied to 2018, but not more than line 2a | | | 2,359,808 | |
| **b** Applied to undistributed income of prior years (Election required—see instructions). . . . . | | 0 | | |
| **c** Treated as distributions out of corpus (Election required—see instructions). . . . . . . . | 0 | | | |
| **d** Applied to 2019 distributable amount. . . . . | | | | 11,333 |
| **e** Remaining amount distributed out of corpus | 0 | | | |
| **5** Excess distributions carryover applied to 2019. *(If an amount appears in column (d), the same amount must be shown in column (a).)* | 0 | | | 0 |
| **6** **Enter the net total of each column as indicated below:** | | | | |
| **a** Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 | 0 | | | |
| **b** Prior years' undistributed income. Subtract line 4b from line 2b . . . . . . . . . . . | | 0 | | |
| **c** Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . . . | | 0 | | |
| **d** Subtract line 6c from line 6b. Taxable amount —see instructions . . . . . . . . . . . . . | | 0 | | |
| **e** Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions . . . . . . . . . . . . . . . | | | 0 | |
| **f** Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020 . . . . . . . . . . . . | | | | 2,297,492 |
| **7** Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) . . . . . . . | 0 | | | |
| **8** Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions) . . . | 0 | | | |
| **9** **Excess distributions carryover to 2020.** Subtract lines 7 and 8 from line 6a . . . . . . . | 0 | | | |
| **10** Analysis of line 9: | | | | |
| **a** Excess from 2015. . . . | | | | |
| **b** Excess from 2016. . . . | | | | |
| **c** Excess from 2017. . . . | | | | |
| **d** Excess from 2018. . . . | | | | |
| **e** Excess from 2019. . . . | | | | |

**Part XIV** **Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling . . . . . . . ▶

**b** Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | (e) Total |
|---|---|---|---|---|---|
| | **(a)** 2019 | **(b)** 2018 | **(c)** 2017 | **(d)** 2016 | |
| **2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| **b** 85% of line 2a | | | | | |
| **c** Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| **d** Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| **e** Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c | | | | | |
| **3** Complete 3a, b, or c for the alternative test relied upon: | | | | | |
| **a** "Assets" alternative test—enter: | | | | | |
| **(1)** Value of all assets | | | | | |
| **(2)** Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| **b** "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. | | | | | |
| **c** "Support" alternative test—enter: | | | | | |
| **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| **(2)** Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). | | | | | |
| **(3)** Largest amount of support from an exempt organization | | | | | |
| **(4)** Gross investment income | | | | | |

**Part XV** **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

**a** The name, address, and telephone number or email address of the person to whom applications should be addressed:

**b** The form in which applications should be submitted and information and materials they should include:

**c** Any submission deadlines:

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year*<br>See Additional Data Table | | | | |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 2,359,808 |
| **b** *Approved for future payment* | | | | |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3b** | | | | 0 |

## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated. | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income (See instructions.) |
|---|---|---|---|---|---|
| | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | |
| 1 Program service revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments. . . . | | | | | |
| 3 Interest on savings and temporary cash investments . . . . . . . . . . . . . | | | 14 | 6,644 | |
| 4 Dividends and interest from securities. . . . | | | 14 | 1,847,451 | |
| 5 Net rental income or (loss) from real estate: | | | | | |
| a Debt-financed property. . . . . . | | | | | |
| b Not debt-financed property. . . . . | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income. . . . . | | | 18 | 477,933 | |
| 8 Gain or (loss) from sales of assets other than inventory . . . . . . . . . . . . . . | | | 18 | 17,094 | |
| 9 Net income or (loss) from special events: | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue: a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal. Add columns (b), (d), and (e). . | | 0 | | 2,349,122 | 0 |

**13 Total.** Add line 12, columns (b), (d), and (e). . . . . . . . . . . . . . . . . . . . . . 13 2,349,122

(See worksheet in line 13 instructions to verify calculations.)

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.) |
|---|---|
| | |

## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

| | | | Yes | No |
|---|---|---|---|---|
| **1** | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| **a** | Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| | **(1)** Cash. | **1a(1)** | | No |
| | **(2)** Other assets. | **1a(2)** | | No |
| **b** | Other transactions: | | | |
| | **(1)** Sales of assets to a noncharitable exempt organization. | **1b(1)** | | No |
| | **(2)** Purchases of assets from a noncharitable exempt organization. | **1b(2)** | | No |
| | **(3)** Rental of facilities, equipment, or other assets. | **1b(3)** | | No |
| | **(4)** Reimbursement arrangements. | **1b(4)** | | No |
| | **(5)** Loans or loan guarantees. | **1b(5)** | | No |
| | **(6)** Performance of services or membership or fundraising solicitations. | **1b(6)** | | No |
| **c** | Sharing of facilities, equipment, mailing lists, other assets, or paid employees. | **1c** | | No |

**d** If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

| **(a)** Line No. | **(b)** Amount involved | **(c)** Name of noncharitable exempt organization | **(d)** Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | | |

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

**b** If "Yes," complete the following schedule.

| **(a)** Name of organization | **(b)** Type of organization | **(c)** Description of relationship |
|---|---|---|
| | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

| ****** | 2020-11-14 | ****** | May the IRS discuss this return with the preparer shown below (see instr.) ☑ Yes ☐ No |
|---|---|---|---|
| Signature of officer or trustee | Date | Title | |

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's Signature | Date | Check if self-employed ▶ ☐ | PTIN |
|---|---|---|---|---|
| RAY FRIERSON CPACFP | | 2020-11-14 | | P00652742 |
| Firm's name ▶ FRIERSON SOLA SIMONTON & KUTAC PLLC | | | | Firm's EIN ▶46-1379281 |
| Firm's address ▶ 801 TRAVIS ST STE 1900<br>HOUSTON, TX 770025730 | | | | Phone no. (713) 651-9250 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| ABBOTT LABORATORIES, ABT, 002824100 | P | 2019-06-14 | 2019-11-22 |
| ADOBE INC COM, ADBE, 00724F101 | P | 2018-10-10 | 2019-01-07 |
| ALPHABET INC CAP STKCL A, GOOGL, 02079K305 | P | 2018-10-12 | 2019-06-06 |
| AMAZON.COM INC, AMZN, 023135106 | P | 2018-10-10 | 2019-05-31 |
| AMERICAN EXPRESS CO COM USD0.20, AXP, 025816109 | P | 2018-10-19 | 2019-03-29 |
| AMERICAN EXPRESS CO COM USD0.20, AXP, 025816109 | P | 2018-11-12 | 2019-03-29 |
| AMERICAN EXPRESS CO COM USD0.20, AXP, 025816109 | P | 2018-10-19 | 2019-05-31 |
| AMERICAN EXPRESS CO COM USD0.20, AXP, 025816109 | P | 2018-10-19 | 2019-06-06 |
| AMERICAN EXPRESS CO COM USD0.20, AXP, 025816109 | P | 2019-11-01 | 2019-11-22 |
| AMERIPRISE FINANCIALINC COM USD0.01, AMP, 03076C106 | P | 2018-10-04 | 2019-02-11 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 5,608 | | 5,527 | 81 |
| 1,154 | | 1,210 | -56 |
| 1,044 | | 1,104 | -60 |
| 3,553 | | 3,658 | -105 |
| 26,420 | | 25,713 | 707 |
| 21,925 | | 21,481 | 444 |
| 1,724 | | 1,600 | 124 |
| 712 | | 640 | 72 |
| 5,825 | | 5,826 | -1 |
| 33,690 | | 40,632 | -6,942 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 81 |
| | | | -56 |
| | | | -60 |
| | | | -105 |
| | | | 707 |
| | | | 444 |
| | | | 124 |
| | | | 72 |
| | | | -1 |
| | | | -6,942 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| AMERIPRISE FINANCIALINC COM USD0.01, AMP, 03076C106 | P | 2018-10-23 | 2019-02-11 |
| AMGEN INC, AMGN, 031162100 | P | 2018-10-19 | 2019-03-27 |
| AMGEN INC, AMGN, 031162100 | P | 2018-10-19 | 2019-05-31 |
| AMGEN INC, AMGN, 031162100 | P | 2018-10-19 | 2019-06-06 |
| APPLE INC COM USD0.00001, AAPL, 037833100 | P | 2018-10-10 | 2019-03-07 |
| ARCHER DANIELS MIDLAND, ADM, 039483102 | P | 2018-07-27 | 2019-02-08 |
| ARCHER DANIELS MIDLAND, ADM, 039483102 | P | 2018-07-27 | 2019-02-08 |
| ARCHER DANIELS MIDLAND, ADM, 039483102 | P | 2018-10-10 | 2019-02-08 |
| ARCHER DANIELS MIDLAND, ADM, 039483102 | P | 2018-10-11 | 2019-02-08 |
| BANK OF AMERICA CORP, BAC, 060505104 | P | 2019-03-19 | 2019-05-31 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 11,439 | | 12,005 | -566 |
| 18,055 | | 19,686 | -1,631 |
| 1,338 | | 1,624 | -286 |
| 702 | | 812 | -110 |
| 2,605 | | 3,314 | -709 |
| 7,636 | | 8,753 | -1,117 |
| 26,312 | | 30,125 | -3,813 |
| 498 | | 606 | -108 |
| 6,391 | | 7,521 | -1,130 |
| 39,933 | | 44,796 | -4,863 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -566 |
| | | | -1,631 |
| | | | -286 |
| | | | -110 |
| | | | -709 |
| | | | -1,117 |
| | | | -3,813 |
| | | | -108 |
| | | | -1,130 |
| | | | -4,863 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| BANK OF AMERICA CORP, BAC, 060505104 | P | 2019-03-19 | 2019-06-06 |
| BANK OF AMERICA CORP, BAC, 060505104 | P | 2019-03-19 | 2019-11-22 |
| BERKSHIRE HATHAWAY INC COM USD0.0033 CLA, BRKB, 084670702 | P | 2018-10-10 | 2019-03-08 |
| BERKSHIRE HATHAWAY INC COM USD0.0033 CLA, BRKB, 084670702 | P | 2018-10-29 | 2019-03-08 |
| BERKSHIRE HATHAWAY INC COM USD0.0033 CLA, BRKB, 084670702 | P | 2018-11-09 | 2019-03-08 |
| BERKSHIRE HATHAWAY INC COM USD0.0033 CLA, BRKB, 084670702 | P | 2018-11-09 | 2019-03-08 |
| BOEING CO COM USD5.00, BA, 097023105 | P | 2018-10-10 | 2019-05-31 |
| BOEING CO COM USD5.00, BA, 097023105 | P | 2018-10-10 | 2019-06-06 |
| BOEING CO COM USD5.00, BA, 097023105 | P | 2018-10-26 | 2019-06-06 |
| BOEING CO COM USD5.00, BA, 097023105 | P | 2018-10-26 | 2019-06-07 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 1,080 | | 1,165 | -85 |
| 7,244 | | 6,540 | 704 |
| 988 | | 1,075 | -87 |
| 28,665 | | 29,364 | -699 |
| 3,163 | | 3,511 | -348 |
| 25,502 | | 28,277 | -2,775 |
| 343 | | 371 | -28 |
| 348 | | 371 | -23 |
| 697 | | 724 | -27 |
| 17,368 | | 17,730 | -362 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -85 |
| | | | 704 |
| | | | -87 |
| | | | -699 |
| | | | -348 |
| | | | -2,775 |
| | | | -28 |
| | | | -23 |
| | | | -27 |
| | | | -362 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| BRISTOL-MYERS SQUIBBCO COM USD0.10, BMY, 110122108 | P | 2019-05-16 | 2019-05-31 |
| BRISTOL-MYERS SQUIBBCO COM USD0.10, BMY, 110122108 | P | 2019-05-16 | 2019-06-06 |
| BRISTOL-MYERS SQUIBBCO COM USD0.10, BMY, 110122108 | P | 2019-05-16 | 2019-11-22 |
| BROADCOM INC COM, AVGO, 11135F101 | P | 2019-01-10 | 2019-05-31 |
| BROADCOM INC COM, AVGO, 11135F101 | P | 2019-01-10 | 2019-06-06 |
| BROADCOM INC COM, AVGO, 11135F101 | P | 2019-01-10 | 2019-11-22 |
| CATERPILLAR INC COM USD1.00, CAT, 149123101 | P | 2018-10-05 | 2019-05-31 |
| CATERPILLAR INC COM USD1.00, CAT, 149123101 | P | 2018-10-05 | 2019-06-06 |
| CBOE GLOBAL MARKETS INC, CBOE, 12503M108 | P | 2018-11-14 | 2019-01-11 |
| CBOE GLOBAL MARKETS INC, CBOE, 12503M108 | P | 2018-11-14 | 2019-01-14 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 1,536 | | 1,621 | -85 |
| 695 | | 715 | -20 |
| 4,895 | | 4,148 | 747 |
| 759 | | 748 | 11 |
| 270 | | 249 | 21 |
| 3,143 | | 2,494 | 649 |
| 1,197 | | 1,521 | -324 |
| 615 | | 760 | -145 |
| 25,674 | | 30,761 | -5,087 |
| 13,561 | | 16,311 | -2,750 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -85 |
| | | | -20 |
| | | | 747 |
| | | | 11 |
| | | | 21 |
| | | | 649 |
| | | | -324 |
| | | | -145 |
| | | | -5,087 |
| | | | -2,750 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| CENTENE CORP DEL, CNC, 15135B101 | P | 2018-10-10 | 2019-01-10 |
| CHEVRON CORP NEW COM, CVX, 166764100 | P | 2018-10-10 | 2019-05-31 |
| CHEVRON CORP NEW COM, CVX, 166764100 | P | 2019-03-27 | 2019-05-31 |
| CHEVRON CORP NEW COM, CVX, 166764100 | P | 2019-03-27 | 2019-06-06 |
| CHEVRON CORP NEW COM, CVX, 166764100 | P | 2019-03-27 | 2019-11-22 |
| CHUBB LIMITED COM NPV ISIN #CH0044328745, CB, H1467J104 | P | 2019-03-27 | 2019-05-31 |
| CHUBB LIMITED COM NPV ISIN #CH0044328745, CB, H1467J104 | P | 2019-03-27 | 2019-06-06 |
| CHUBB LIMITED COM NPV ISIN #CH0044328745, CB, H1467J104 | P | 2019-11-01 | 2019-11-22 |
| CIGNA CORP NEW COM, CI, 125523100 | P | 2019-01-09 | 2019-05-16 |
| CIGNA CORP NEW COM, CI, 125523100 | P | 2019-01-09 | 2019-05-16 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 586 | | 717 | -131 |
| 570 | | 616 | -46 |
| 3,421 | | 3,688 | -267 |
| 1,792 | | 1,844 | -52 |
| 10,489 | | 10,817 | -328 |
| 1,606 | | 1,532 | 74 |
| 895 | | 836 | 59 |
| 5,894 | | 5,880 | 14 |
| 26,422 | | 32,015 | -5,593 |
| 11,354 | | 13,639 | -2,285 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -131 |
| | | | -46 |
| | | | -267 |
| | | | -52 |
| | | | -328 |
| | | | 74 |
| | | | 59 |
| | | | 14 |
| | | | -5,593 |
| | | | -2,285 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| CIGNA CORP NEW COM, CI, 125523100 | P | 2019-01-10 | 2019-05-16 |
| CISCO SYS INC COM, CSCO, 17275R102 | P | 2019-05-16 | 2019-05-31 |
| CISCO SYS INC COM, CSCO, 17275R102 | P | 2019-05-16 | 2019-06-06 |
| CISCO SYS INC COM, CSCO, 17275R102 | P | 2019-05-16 | 2019-11-22 |
| CITIGROUP INC COM NEW, C, 172967424 | P | 2018-10-10 | 2019-03-22 |
| CITIGROUP INC COM NEW, C, 172967424 | P | 2018-11-09 | 2019-03-22 |
| CME GROUP INC COM CLA, CME, 12572Q105 | P | 2019-01-11 | 2019-03-14 |
| CME GROUP INC COM CLA, CME, 12572Q105 | P | 2019-01-14 | 2019-03-14 |
| COCA COLA CO, KO, 191216100 | P | 2018-10-10 | 2019-03-07 |
| COCA COLA CO, KO, 191216100 | P | 2018-10-31 | 2019-03-07 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 17,980 | | 21,918 | -3,938 |
| 2,553 | | 2,738 | -185 |
| 1,203 | | 1,230 | -27 |
| 5,544 | | 6,930 | -1,386 |
| 1,091 | | 1,275 | -184 |
| 18,000 | | 19,603 | -1,603 |
| 21,120 | | 22,693 | -1,573 |
| 21,120 | | 22,558 | -1,438 |
| 543 | | 553 | -10 |
| 8,008 | | 8,423 | -415 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -3,938 |
| | | | -185 |
| | | | -27 |
| | | | -1,386 |
| | | | -184 |
| | | | -1,603 |
| | | | -1,573 |
| | | | -1,438 |
| | | | -10 |
| | | | -415 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| **(a)** List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | **(b)** How acquired P—Purchase D—Donation | **(c)** Date acquired (mo., day, yr.) | **(d)** Date sold (mo., day, yr.) |
|---|---|---|---|
| COCA COLA CO, KO, 191216100 | P | 2018-10-31 | 2019-03-07 |
| CONOCOPHILLIPS COM, COP, 20825C104 | P | 2018-10-29 | 2019-04-12 |
| CONOCOPHILLIPS COM, COP, 20825C104 | P | 2018-11-01 | 2019-04-12 |
| CONOCOPHILLIPS COM, COP, 20825C104 | P | 2019-03-05 | 2019-04-12 |
| CONOCOPHILLIPS COM, COP, 20825C104 | P | 2019-03-05 | 2019-04-12 |
| CONOCOPHILLIPS COM, COP, 20825C104 | P | 2018-10-29 | 2019-05-31 |
| CONOCOPHILLIPS COM, COP, 20825C104 | P | 2018-10-29 | 2019-06-06 |
| CONOCOPHILLIPS COM, COP, 20825C104 | P | 2018-11-09 | 2019-10-31 |
| CONOCOPHILLIPS COM, COP, 20825C104 | P | 2018-11-09 | 2019-10-31 |
| CONOCOPHILLIPS COM, COP, 20825C104 | P | 2018-11-09 | 2019-10-31 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| **(e)** Gross sales price | **(f)** Depreciation allowed (or allowable) | **(g)** Cost or other basis plus expense of sale | **(h)** Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 1,041 | | 1,093 | -52 |
| 10,900 | | 11,037 | -137 |
| 9,749 | | 9,931 | -182 |
| 13,470 | | 13,761 | -291 |
| 26,132 | | 26,692 | -560 |
| 1,948 | | 2,262 | -314 |
| 808 | | 960 | -152 |
| 3,929 | | 4,752 | -823 |
| 18,932 | | 22,900 | -3,968 |
| 4,359 | | 5,279 | -920 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | **(l)** Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| **(i)** F.M.V. as of 12/31/69 | **(j)** Adjusted basis as of 12/31/69 | **(k)** Excess of col. (i) over col. (j), if any | |
| | | | -52 |
| | | | -137 |
| | | | -182 |
| | | | -291 |
| | | | -560 |
| | | | -314 |
| | | | -152 |
| | | | -823 |
| | | | -3,968 |
| | | | -920 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| CONOCOPHILLIPS COM, COP, 20825C104 | P | 2018-11-16 | 2019-10-31 |
| CUMMINS INC, CMI, 231021106 | P | 2019-06-12 | 2019-11-22 |
| CVS HEALTH CORPORATION COM USD0.01, CVS, 126650100 | P | 2018-10-10 | 2019-05-31 |
| CVS HEALTH CORPORATION COM USD0.01, CVS, 126650100 | P | 2018-11-30 | 2019-05-31 |
| CVS HEALTH CORPORATION COM USD0.01, CVS, 126650100 | P | 2018-11-30 | 2019-06-06 |
| CVS HEALTH CORPORATION COM USD0.01, CVS, 126650100 | P | 2018-11-30 | 2019-11-22 |
| CVS HEALTH CORPORATION COM USD0.01, CVS, 126650100 | P | 2018-12-12 | 2019-11-22 |
| DOLLAR TREE INC COM, DLTR, 256746108 | P | 2019-03-07 | 2019-05-31 |
| DOLLAR TREE INC COM, DLTR, 256746108 | P | 2019-03-07 | 2019-06-06 |
| DOLLAR TREE INC COM, DLTR, 256746108 | P | 2019-03-07 | 2019-11-22 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 33,774 | | 40,877 | -7,103 |
| 3,603 | | 3,264 | 339 |
| 314 | | 314 | 0 |
| 52 | | 52 | 0 |
| 487 | | 487 | 0 |
| 5,733 | | 6,015 | -282 |
| 75 | | 80 | -5 |
| 1,522 | | 1,540 | -18 |
| 814 | | 821 | -7 |
| 4,356 | | 4,107 | 249 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -7,103 |
| | | | 339 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | -282 |
| | | | -5 |
| | | | -18 |
| | | | -7 |
| | | | 249 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| DOLLAR TREE INC COM, DLTR, 256746108 | P | 2019-03-07 | 2019-12-19 |
| DOLLAR TREE INC COM, DLTR, 256746108 | P | 2019-03-14 | 2019-12-19 |
| DOLLAR TREE INC COM, DLTR, 256746108 | P | 2019-03-14 | 2019-12-19 |
| DOW INC COM, DOW, 260557103 | P | 2018-10-10 | 2019-04-10 |
| DOW INC COM, DOW, 260557103 | P | 2018-10-23 | 2019-04-10 |
| DOW INC COM, DOW, 260557103 | P | 2018-10-23 | 2019-04-10 |
| DOW INC COM, DOW, 260557103 | P | 2018-11-02 | 2019-04-10 |
| DOW INC COM, DOW, 260557103 | P | 2018-10-23 | 2019-05-29 |
| DOWDUPONT INC COM, 26078J100 | P | 2018-10-10 | 2019-05-16 |
| DOWDUPONT INC COM, 26078J100 | P | 2018-10-23 | 2019-05-16 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 37,580 | | 41,891 | -4,311 |
| 19,251 | | 20,986 | -1,735 |
| 4,621 | | 5,021 | -400 |
| 203 | | 223 | -20 |
| 1,443 | | 1,433 | 10 |
| 4,836 | | 4,796 | 40 |
| 11,096 | | 11,653 | -557 |
| 49 | | 55 | -6 |
| 337 | | 435 | -98 |
| 10,474 | | 12,229 | -1,755 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -4,311 |
| | | | -1,735 |
| | | | -400 |
| | | | -20 |
| | | | 10 |
| | | | 40 |
| | | | -557 |
| | | | -6 |
| | | | -98 |
| | | | -1,755 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| DOWDUPONT INC COM, 26078J100 | P | 2018-11-02 | 2019-05-16 |
| ELI LILLY AND CO COM, LLY, 532457108 | P | 2018-10-10 | 2019-06-12 |
| ELI LILLY AND CO COM, LLY, 532457108 | P | 2018-12-19 | 2019-06-12 |
| EQUINIX INC COM, EQIX, 29444U700 | P | 2019-06-06 | 2019-11-22 |
| ESTEE LAUDER COMPANIES INC COM USD0.01 C, EL, 518439104 | P | 2019-03-07 | 2019-05-31 |
| ESTEE LAUDER COMPANIES INC COM USD0.01 C, EL, 518439104 | P | 2019-03-07 | 2019-06-06 |
| ESTEE LAUDER COMPANIES INC COM USD0.01 C, EL, 518439104 | P | 2019-03-07 | 2019-11-22 |
| FACEBOOK INC-CLASS A, FB, 30303M102 | P | 2019-04-10 | 2019-05-31 |
| FACEBOOK INC-CLASS A, FB, 30303M102 | P | 2019-04-10 | 2019-06-06 |
| FACEBOOK INC-CLASS A, FB, 30303M102 | P | 2019-04-10 | 2019-11-22 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 18,375 | | 22,679 | -4,304 |
| 575 | | 571 | 4 |
| 12,426 | | 11,764 | 662 |
| 2,785 | | 2,473 | 312 |
| 1,288 | | 1,242 | 46 |
| 519 | | 466 | 53 |
| 3,286 | | 2,640 | 646 |
| 2,126 | | 2,128 | -2 |
| 1,015 | | 1,064 | -49 |
| 6,160 | | 5,498 | 662 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -4,304 |
| | | | 4 |
| | | | 662 |
| | | | 312 |
| | | | 46 |
| | | | 53 |
| | | | 646 |
| | | | -2 |
| | | | -49 |
| | | | 662 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| GOLDMAN SACHS GROUP INC COM USD0.01, GS, 38141G104 | P | 2019-03-19 | 2019-05-31 |
| GOLDMAN SACHS GROUP INC COM USD0.01, GS, 38141G104 | P | 2019-03-19 | 2019-06-06 |
| GOLDMAN SACHS GROUP INC COM USD0.01, GS, 38141G104 | P | 2019-03-08 | 2019-06-13 |
| GOLDMAN SACHS GROUP INC COM USD0.01, GS, 38141G104 | P | 2019-03-19 | 2019-06-13 |
| GOLDMAN SACHS GROUP INC COM USD0.01, GS, 38141G104 | P | 2019-03-08 | 2019-11-22 |
| HCA HEALTHCARE INC COM, HCA, 40412C101 | P | 2018-10-10 | 2019-03-14 |
| HOME DEPOT INC COM, HD, 437076102 | P | 2019-04-11 | 2019-05-31 |
| HOME DEPOT INC COM, HD, 437076102 | P | 2019-04-11 | 2019-06-06 |
| INGERSOLL-RAND PLC SHS USD1, IR, G47791101 | P | 2019-04-03 | 2019-05-31 |
| INGERSOLL-RAND PLC SHS USD1, IR, G47791101 | P | 2019-04-03 | 2019-06-06 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 2,008 | | 2,235 | -227 |
| 754 | | 813 | -59 |
| 7,090 | | 7,210 | -120 |
| 34,302 | | 36,375 | -2,073 |
| 3,303 | | 2,923 | 380 |
| 791 | | 818 | -27 |
| 1,896 | | 2,006 | -110 |
| 982 | | 1,003 | -21 |
| 1,539 | | 1,447 | 92 |
| 619 | | 556 | 63 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -227 |
| | | | -59 |
| | | | -120 |
| | | | -2,073 |
| | | | 380 |
| | | | -27 |
| | | | -110 |
| | | | -21 |
| | | | 92 |
| | | | 63 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| INTEL CORP COM USD0.001, INTC, 458140100 | P | 2018-10-29 | 2019-03-29 |
| INTEL CORP COM USD0.001, INTC, 458140100 | P | 2018-10-29 | 2019-05-31 |
| INTEL CORP COM USD0.001, INTC, 458140100 | P | 2018-10-29 | 2019-06-06 |
| INTEL CORP COM USD0.001, INTC, 458140100 | P | 2018-10-29 | 2019-06-07 |
| INTERPUBLIC GROUP COS INC COM USD0.10, IPG, 460690100 | P | 2018-10-05 | 2019-02-11 |
| INTERPUBLIC GROUP COS INC COM USD0.10, IPG, 460690100 | P | 2018-10-05 | 2019-02-11 |
| INTERPUBLIC GROUP COS INC COM USD0.10, IPG, 460690100 | P | 2018-10-05 | 2019-02-11 |
| INTERPUBLIC GROUP COS INC COM USD0.10, IPG, 460690100 | P | 2018-10-23 | 2019-02-11 |
| INTERPUBLIC GROUP COS INC COM USD0.10, IPG, 460690100 | P | 2018-11-09 | 2019-02-11 |
| JOHNSON &JOHNSON COMUSD1.00, JNJ, 478160104 | P | 2018-10-10 | 2019-03-19 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 3,594 | | 3,075 | 519 |
| 882 | | 918 | -36 |
| 402 | | 413 | -11 |
| 40,397 | | 40,806 | -409 |
| 21,264 | | 22,757 | -1,493 |
| 5,322 | | 5,672 | -350 |
| 6,483 | | 6,914 | -431 |
| 9,964 | | 10,990 | -1,026 |
| 10,358 | | 11,289 | -931 |
| 1,245 | | 1,252 | -7 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 519 |
| | | | -36 |
| | | | -11 |
| | | | -409 |
| | | | -1,493 |
| | | | -350 |
| | | | -431 |
| | | | -1,026 |
| | | | -931 |
| | | | -7 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| JPMORGAN CHASE &CO. COM USD1.00, JPM, 46625H100 | P | 2018-10-10 | 2019-05-31 |
| JPMORGAN CHASE &CO. COM USD1.00, JPM, 46625H100 | P | 2018-10-10 | 2019-06-06 |
| JPMORGAN CHASE &CO. COM USD1.00, JPM, 46625H100 | P | 2018-10-10 | 2019-06-07 |
| KOHLS CORPORATION COM USD0.01, KSS, 500255104 | P | 2018-10-11 | 2019-02-19 |
| KOHLS CORPORATION COM USD0.01, KSS, 500255104 | P | 2018-10-11 | 2019-02-19 |
| KOHLS CORPORATION COM USD0.01, KSS, 500255104 | P | 2018-10-19 | 2019-02-19 |
| LAM RESEARCH CORP COM USD0.001, LRCX, 512807108 | P | 2019-06-13 | 2019-11-22 |
| LINDE PLC COM EUR0.001, LIN, G5494J103 | P | 2018-10-04 | 2019-05-31 |
| LINDE PLC COM EUR0.001, LIN, G5494J103 | P | 2018-10-04 | 2019-06-06 |
| LYONDELLBASELL INDUSTRIES N V COM USD0.0, LYB, N53745100 | P | 2019-05-16 | 2019-05-31 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 529 | | 563 | -34 |
| 1,207 | | 1,239 | -32 |
| 219 | | 225 | -6 |
| 12,463 | | 13,569 | -1,106 |
| 14,254 | | 15,518 | -1,264 |
| 17,900 | | 19,316 | -1,416 |
| 3,929 | | 2,707 | 1,222 |
| 181 | | 165 | 16 |
| 588 | | 494 | 94 |
| 296 | | 328 | -32 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -34 |
| | | | -32 |
| | | | -6 |
| | | | -1,106 |
| | | | -1,264 |
| | | | -1,416 |
| | | | 1,222 |
| | | | 16 |
| | | | 94 |
| | | | -32 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| LYONDELLBASELL INDUSTRIES N V COM USD0.0, LYB, N53745100 | P | 2019-05-16 | 2019-06-06 |
| LYONDELLBASELL INDUSTRIES N V COM USD0.0, LYB, N53745100 | P | 2019-05-16 | 2019-11-22 |
| MERCK & CO INC NEW COM, MRK, 58933Y105 | P | 2019-04-11 | 2019-05-31 |
| METLIFE INC COM USD0.01, MET, 59156R108 | P | 2019-05-31 | 2019-06-06 |
| METLIFE INC COM USD0.01, MET, 59156R108 | P | 2019-05-31 | 2019-11-01 |
| NIKE INC CLASS B COMNPV, NKE, 654106103 | P | 2019-03-08 | 2019-04-11 |
| NIKE INC CLASS B COMNPV, NKE, 654106103 | P | 2019-03-14 | 2019-04-11 |
| NIKE INC CLASS B COMNPV, NKE, 654106103 | P | 2019-01-10 | 2019-05-31 |
| NIKE INC CLASS B COMNPV, NKE, 654106103 | P | 2019-03-08 | 2019-05-31 |
| NIKE INC CLASS B COMNPV, NKE, 654106103 | P | 2019-01-10 | 2019-06-06 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 475 | | 492 | -17 |
| 3,087 | | 2,703 | 384 |
| 2,142 | | 2,154 | -12 |
| 484 | | 462 | 22 |
| 47,198 | | 46,357 | 841 |
| 20,264 | | 20,159 | 105 |
| 24,927 | | 25,406 | -479 |
| 927 | | 924 | 3 |
| 618 | | 675 | -57 |
| 661 | | 616 | 45 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -17 |
| | | | 384 |
| | | | -12 |
| | | | 22 |
| | | | 841 |
| | | | 105 |
| | | | -479 |
| | | | 3 |
| | | | -57 |
| | | | 45 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| NIKE INC CLASS B COMNPV, NKE, 654106103 | P | 2019-01-10 | 2019-11-22 |
| NORTHROP GRUMMAN CORP COM USD1, NOC, 666807102 | P | 2019-05-31 | 2019-06-06 |
| NORTHROP GRUMMAN CORP COM USD1, NOC, 666807102 | P | 2019-05-31 | 2019-11-22 |
| NVIDIA CORP, NVDA, 67066G104 | P | 2019-03-20 | 2019-05-16 |
| NVIDIA CORP, NVDA, 67066G104 | P | 2019-03-22 | 2019-05-16 |
| NVIDIA CORP, NVDA, 67066G104 | P | 2019-03-22 | 2019-05-16 |
| NVIDIA CORP, NVDA, 67066G104 | P | 2019-03-29 | 2019-05-16 |
| NVIDIA CORP, NVDA, 67066G104 | P | 2019-03-29 | 2019-05-16 |
| PAYPAL HLDGS INC COM, PYPL, 70450Y103 | P | 2019-01-17 | 2019-05-31 |
| PAYPAL HLDGS INC COM, PYPL, 70450Y103 | P | 2019-01-17 | 2019-06-06 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 4,565 | | 3,771 | 794 |
| 316 | | 305 | 11 |
| 3,139 | | 2,743 | 396 |
| 45,564 | | 49,499 | -3,935 |
| 9,744 | | 10,879 | -1,135 |
| 10,268 | | 11,414 | -1,146 |
| 15,309 | | 17,147 | -1,838 |
| 7,667 | | 8,574 | -907 |
| 1,208 | | 995 | 213 |
| 778 | | 633 | 145 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 794 |
| | | | 11 |
| | | | 396 |
| | | | -3,935 |
| | | | -1,135 |
| | | | -1,146 |
| | | | -1,838 |
| | | | -907 |
| | | | 213 |
| | | | 145 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| PAYPAL HLDGS INC COM, PYPL, 70450Y103 | P | 2018-12-12 | 2019-11-06 |
| PAYPAL HLDGS INC COM, PYPL, 70450Y103 | P | 2018-12-12 | 2019-11-06 |
| PAYPAL HLDGS INC COM, PYPL, 70450Y103 | P | 2019-01-17 | 2019-11-06 |
| PAYPAL HLDGS INC COM, PYPL, 70450Y103 | P | 2019-01-17 | 2019-11-06 |
| PFIZER INC, PFE, 717081103 | P | 2018-10-10 | 2019-05-31 |
| PFIZER INC, PFE, 717081103 | P | 2018-10-10 | 2019-06-06 |
| PHILLIPS 66 COM, PSX, 718546104 | P | 2019-10-31 | 2019-11-22 |
| PROGRESSIVE CORP(OHIO) COM USD1.00, PGR, 743315103 | P | 2018-11-09 | 2019-05-31 |
| PROGRESSIVE CORP(OHIO) COM USD1.00, PGR, 743315103 | P | 2018-11-09 | 2019-06-06 |
| QUALCOMM INC, QCOM, 747525103 | P | 2018-10-31 | 2019-01-17 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 49,815 | | 43,615 | 6,200 |
| 3,711 | | 3,254 | 457 |
| 7,130 | | 6,422 | 708 |
| 13,458 | | 12,121 | 1,337 |
| 167 | | 180 | -13 |
| 557 | | 584 | -27 |
| 5,250 | | 5,233 | 17 |
| 474 | | 440 | 34 |
| 750 | | 660 | 90 |
| 18,180 | | 20,950 | -2,770 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 6,200 |
| | | | 457 |
| | | | 708 |
| | | | 1,337 |
| | | | -13 |
| | | | -27 |
| | | | 17 |
| | | | 34 |
| | | | 90 |
| | | | -2,770 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| QUALCOMM INC, QCOM, 747525103 | P | 2018-10-31 | 2019-01-30 |
| RAYTHEON CO COM NEW, RTN, 755111507 | P | 2018-12-17 | 2019-04-03 |
| RAYTHEON CO COM NEW, RTN, 755111507 | P | 2018-12-17 | 2019-04-03 |
| REGENERON PHARMACEUTICALS, REGN, 75886F107 | P | 2019-04-05 | 2019-05-16 |
| RIO TINTO ADR EACH REP 1 ORD, RIO, 767204100 | P | 2019-05-16 | 2019-05-31 |
| RIO TINTO ADR EACH REP 1 ORD, RIO, 767204100 | P | 2019-05-16 | 2019-06-06 |
| RIO TINTO ADR EACH REP 1 ORD, RIO, 767204100 | P | 2019-05-16 | 2019-11-22 |
| ROSS STORES INC COM, ROST, 778296103 | P | 2018-10-10 | 2019-01-07 |
| STARBUCKS CORP COM USD0.001, SBUX, 855244109 | P | 2018-12-17 | 2019-04-11 |
| STARBUCKS CORP COM USD0.001, SBUX, 855244109 | P | 2019-03-14 | 2019-04-11 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 20,817 | | 26,298 | -5,481 |
| 29,917 | | 28,366 | 1,551 |
| 18,054 | | 16,884 | 1,170 |
| 38,437 | | 50,578 | -12,141 |
| 232 | | 238 | -6 |
| 466 | | 476 | -10 |
| 2,525 | | 2,797 | -272 |
| 538 | | 575 | -37 |
| 4,549 | | 3,917 | 632 |
| 20,846 | | 19,407 | 1,439 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -5,481 |
| | | | 1,551 |
| | | | 1,170 |
| | | | -12,141 |
| | | | -6 |
| | | | -10 |
| | | | -272 |
| | | | -37 |
| | | | 632 |
| | | | 1,439 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| STARBUCKS CORP COM USD0.001, SBUX, 855244109 | P | 2019-03-14 | 2019-04-11 |
| STARBUCKS CORP COM USD0.001, SBUX, 855244109 | P | 2018-12-17 | 2019-05-31 |
| STARBUCKS CORP COM USD0.001, SBUX, 855244109 | P | 2018-12-17 | 2019-06-06 |
| STARBUCKS CORP COM USD0.001, SBUX, 855244109 | P | 2018-12-17 | 2019-11-22 |
| TJX COS INC NEW COM, TJX, 872540109 | P | 2018-10-10 | 2019-01-07 |
| TJX COS INC NEW COM, TJX, 872540109 | P | 2018-10-10 | 2019-01-07 |
| TJX COS INC NEW COM, TJX, 872540109 | P | 2018-10-19 | 2019-01-07 |
| TJX COS INC NEW COM, TJX, 872540109 | P | 2018-10-19 | 2019-01-07 |
| TRACTOR SUPPLY CO, TSCO, 892356106 | P | 2018-10-09 | 2019-03-20 |
| TRACTOR SUPPLY CO, TSCO, 892356106 | P | 2018-10-09 | 2019-03-20 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 4,549 | | 4,234 | 315 |
| 1,591 | | 1,371 | 220 |
| 814 | | 653 | 161 |
| 4,563 | | 3,591 | 972 |
| 11,200 | | 13,145 | -1,945 |
| 22,508 | | 26,344 | -3,836 |
| 470 | | 538 | -68 |
| 7,892 | | 9,043 | -1,151 |
| 11,536 | | 11,225 | 311 |
| 24,655 | | 24,054 | 601 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 315 |
| | | | 220 |
| | | | 161 |
| | | | 972 |
| | | | -1,945 |
| | | | -3,836 |
| | | | -68 |
| | | | -1,151 |
| | | | 311 |
| | | | 601 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| TRACTOR SUPPLY CO, TSCO, 892356106 | P | 2018-10-23 | 2019-03-20 |
| ULTA BEAUTY INC COM, ULTA, 90384S303 | P | 2019-03-20 | 2019-05-31 |
| ULTA BEAUTY INC COM, ULTA, 90384S303 | P | 2019-03-20 | 2019-06-06 |
| ULTA BEAUTY INC COM, ULTA, 90384S303 | P | 2019-03-20 | 2019-10-31 |
| UNION PACIFIC CORP COM, UNP, 907818108 | P | 2018-10-11 | 2019-05-31 |
| UNION PACIFIC CORP COM, UNP, 907818108 | P | 2018-10-11 | 2019-06-06 |
| UNITED CONTINENTAL HOLDINGS INC COM USD0, UAL, 910047109 | P | 2018-10-19 | 2019-05-31 |
| UNITED CONTINENTAL HOLDINGS INC COM USD0, UAL, 910047109 | P | 2018-10-19 | 2019-06-06 |
| UNITED TECHNOLOGIES CORP COM USD1, UTX, 913017109 | P | 2018-10-10 | 2019-05-31 |
| UNITED TECHNOLOGIES CORP COM USD1, UTX, 913017109 | P | 2019-04-03 | 2019-05-31 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 13,606 | | 12,938 | 668 |
| 1,335 | | 1,338 | -3 |
| 337 | | 334 | 3 |
| 33,188 | | 47,823 | -14,635 |
| 333 | | 310 | 23 |
| 512 | | 465 | 47 |
| 1,548 | | 1,749 | -201 |
| 742 | | 787 | -45 |
| 630 | | 662 | -32 |
| 756 | | 790 | -34 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 668 |
| | | | -3 |
| | | | 3 |
| | | | -14,635 |
| | | | 23 |
| | | | 47 |
| | | | -201 |
| | | | -45 |
| | | | -32 |
| | | | -34 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| UNITED TECHNOLOGIES CORP COM USD1, UTX, 913017109 | P | 2019-04-03 | 2019-06-06 |
| UNITED TECHNOLOGIES CORP COM USD1, UTX, 913017109 | P | 2019-04-03 | 2019-06-12 |
| UNITEDHEALTH GROUP, UNH, 91324P102 | P | 2018-10-10 | 2019-03-27 |
| VERIZON COMMUNICATIONS, VZ, 92343V104 | P | 2018-11-09 | 2019-05-31 |
| VERIZON COMMUNICATIONS, VZ, 92343V104 | P | 2018-11-09 | 2019-06-06 |
| WALMART INC COM, WMT, 931142103 | P | 2018-10-10 | 2019-03-20 |
| WALMART INC COM, WMT, 931142103 | P | 2019-05-16 | 2019-05-31 |
| WALMART INC COM, WMT, 931142103 | P | 2019-05-16 | 2019-06-06 |
| WALMART INC COM, WMT, 931142103 | P | 2019-05-16 | 2019-11-22 |
| WALMART INC COM, WMT, 931142103 | P | 2019-05-16 | 2019-11-22 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 655 | | 659 | -4 |
| 17,659 | | 18,707 | -1,048 |
| 1,445 | | 1,602 | -157 |
| 651 | | 702 | -51 |
| 1,038 | | 1,053 | -15 |
| 890 | | 869 | 21 |
| 2,130 | | 2,130 | 0 |
| 948 | | 946 | 2 |
| 5,021 | | 4,341 | 680 |
| 1,434 | | 1,261 | 173 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -4 |
| | | | -1,048 |
| | | | -157 |
| | | | -51 |
| | | | -15 |
| | | | 21 |
| | | | 0 |
| | | | 2 |
| | | | 680 |
| | | | 173 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| WELLS FARGO CO NEW COM, WFC, 949746101 | P | 2019-04-12 | 2019-05-31 |
| WELLS FARGO CO NEW COM, WFC, 949746101 | P | 2019-04-12 | 2019-06-06 |
| WELLS FARGO CO NEW COM, WFC, 949746101 | P | 2019-04-12 | 2019-11-22 |
| ADOBE INC COM, ADBE, 00724F101 | P | 2016-08-01 | 2019-01-07 |
| ADOBE INC COM, ADBE, 00724F101 | P | 2016-08-01 | 2019-01-07 |
| ADOBE INC COM, ADBE, 00724F101 | P | 2016-09-02 | 2019-01-07 |
| ADOBE INC COM, ADBE, 00724F101 | P | 2016-11-15 | 2019-01-07 |
| ADOBE INC COM, ADBE, 00724F101 | P | 2017-04-27 | 2019-01-07 |
| ADOBE INC COM, ADBE, 00724F101 | P | 2017-06-14 | 2019-01-07 |
| ADOBE INC COM, ADBE, 00724F101 | P | 2017-09-13 | 2019-01-07 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 1,246 | | 1,295 | -49 |
| 592 | | 601 | -9 |
| 3,901 | | 3,330 | 571 |
| 13,151 | | 5,561 | 7,590 |
| 10,423 | | 4,390 | 6,033 |
| 923 | | 415 | 508 |
| 24,226 | | 10,895 | 13,331 |
| 30,455 | | 17,593 | 12,862 |
| 1,615 | | 966 | 649 |
| 3,461 | | 2,342 | 1,119 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -49 |
| | | | -9 |
| | | | 571 |
| | | | 7,590 |
| | | | 6,033 |
| | | | 508 |
| | | | 13,331 |
| | | | 12,862 |
| | | | 649 |
| | | | 1,119 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| ADVANCED MICRO DEVICES INC COM, AMD, 007903107 | P | 2017-07-28 | 2019-05-31 |
| ADVANCED MICRO DEVICES INC COM, AMD, 007903107 | P | 2017-07-28 | 2019-06-06 |
| ADVANCED MICRO DEVICES INC COM, AMD, 007903107 | P | 2018-10-10 | 2019-11-22 |
| ADVANCED MICRO DEVICES INC COM, AMD, 007903107 | P | 2018-11-09 | 2019-11-22 |
| ALPHABET INC CAP STKCL A, GOOGL, 02079K305 | P | 2017-06-14 | 2019-05-31 |
| ALPHABET INC CAP STKCL A, GOOGL, 02079K305 | P | 2018-10-12 | 2019-11-22 |
| AMAZON.COM INC, AMZN, 023135106 | P | 2017-04-27 | 2019-04-12 |
| AMAZON.COM INC, AMZN, 023135106 | P | 2018-10-10 | 2019-11-22 |
| AMERICAN TOWER CORP COM USD0.01, AMT, 03027X100 | P | 2017-09-13 | 2019-05-31 |
| AMERICAN TOWER CORP COM USD0.01, AMT, 03027X100 | P | 2017-09-13 | 2019-06-06 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 852 | | 433 | 419 |
| 846 | | 377 | 469 |
| 1,410 | | 915 | 495 |
| 5,249 | | 2,739 | 2,510 |
| 2,215 | | 1,930 | 285 |
| 9,052 | | 7,731 | 1,321 |
| 49,800 | | 24,826 | 24,974 |
| 8,711 | | 9,146 | -435 |
| 419 | | 287 | 132 |
| 636 | | 431 | 205 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 419 |
| | | | 469 |
| | | | 495 |
| | | | 2,510 |
| | | | 285 |
| | | | 1,321 |
| | | | 24,974 |
| | | | -435 |
| | | | 132 |
| | | | 205 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| AMERICAN TOWER CORP COM USD0.01, AMT, 03027X100 | P | 2017-06-14 | 2019-11-22 |
| AMERICAN TOWER CORP COM USD0.01, AMT, 03027X100 | P | 2017-09-13 | 2019-11-22 |
| AMERICAN TOWER CORP COM USD0.01, AMT, 03027X100 | P | 2018-10-10 | 2019-11-22 |
| AMGEN INC, AMGN, 031162100 | P | 2018-10-19 | 2019-11-22 |
| APPLE INC COM USD0.00001, AAPL, 037833100 | P | 2017-04-27 | 2019-03-07 |
| APPLE INC COM USD0.00001, AAPL, 037833100 | P | 2017-06-14 | 2019-03-07 |
| APPLE INC COM USD0.00001, AAPL, 037833100 | P | 2017-04-27 | 2019-05-31 |
| APPLE INC COM USD0.00001, AAPL, 037833100 | P | 2017-04-27 | 2019-06-06 |
| APPLE INC COM USD0.00001, AAPL, 037833100 | P | 2017-04-27 | 2019-11-22 |
| AUTOMATIC DATA PROCESSING INC COM USD0.1, ADP, 053015103 | P | 2017-09-13 | 2019-05-31 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 1,050 | | 653 | 397 |
| 1,679 | | 1,148 | 531 |
| 1,050 | | 734 | 316 |
| 4,836 | | 4,262 | 574 |
| 39,591 | | 32,780 | 6,811 |
| 3,820 | | 3,185 | 635 |
| 3,681 | | 3,019 | 662 |
| 1,652 | | 1,294 | 358 |
| 15,411 | | 8,482 | 6,929 |
| 160 | | 108 | 52 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 397 |
| | | | 531 |
| | | | 316 |
| | | | 574 |
| | | | 6,811 |
| | | | 635 |
| | | | 662 |
| | | | 358 |
| | | | 6,929 |
| | | | 52 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| AUTOMATIC DATA PROCESSING INC COM USD0.1, ADP, 053015103 | P | 2017-09-13 | 2019-06-06 |
| AUTOMATIC DATA PROCESSING INC COM USD0.1, ADP, 053015103 | P | 2017-04-27 | 2019-11-22 |
| AUTOMATIC DATA PROCESSING INC COM USD0.1, ADP, 053015103 | P | 2017-09-13 | 2019-11-22 |
| AUTOMATIC DATA PROCESSING INC COM USD0.1, ADP, 053015103 | P | 2018-10-10 | 2019-11-22 |
| AUTOZONE INC COM USD0.01, AZO, 053332102 | P | 2018-10-04 | 2019-11-22 |
| BERKSHIRE HATHAWAY INC COM USD0.0033 CLA, BRKB, 084670702 | P | 2017-09-13 | 2019-03-08 |
| BERKSHIRE HATHAWAY INC COM USD0.0033 CLA, BRKB, 084670702 | P | 2016-08-01 | 2019-03-19 |
| BERKSHIRE HATHAWAY INC COM USD0.0033 CLA, BRKB, 084670702 | P | 2016-11-15 | 2019-03-19 |
| BERKSHIRE HATHAWAY INC COM USD0.0033 CLA, BRKB, 084670702 | P | 2017-04-27 | 2019-03-19 |
| BERKSHIRE HATHAWAY INC COM USD0.0033 CLA, BRKB, 084670702 | P | 2017-06-14 | 2019-03-19 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 651 | | 431 | 220 |
| 1,018 | | 631 | 387 |
| 1,527 | | 969 | 558 |
| 848 | | 722 | 126 |
| 3,489 | | 2,281 | 1,208 |
| 1,582 | | 1,429 | 153 |
| 22,482 | | 15,668 | 6,814 |
| 21,244 | | 16,175 | 5,069 |
| 27,226 | | 21,993 | 5,233 |
| 1,444 | | 1,194 | 250 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 220 |
| | | | 387 |
| | | | 558 |
| | | | 126 |
| | | | 1,208 |
| | | | 153 |
| | | | 6,814 |
| | | | 5,069 |
| | | | 5,233 |
| | | | 250 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| BERKSHIRE HATHAWAY INC COM USD0.0033 CLA, BRKB, 084670702 | P | 2017-09-13 | 2019-03-19 |
| BOEING CO COM USD5.00, BA, 097023105 | P | 2018-10-26 | 2019-11-22 |
| CATERPILLAR INC COM USD1.00, CAT, 149123101 | P | 2018-10-05 | 2019-11-22 |
| CENTENE CORP DEL, CNC, 15135B101 | P | 2017-09-29 | 2019-01-10 |
| CITIGROUP INC COM NEW, C, 172967424 | P | 2017-06-13 | 2019-03-22 |
| CITIGROUP INC COM NEW, C, 172967424 | P | 2017-06-13 | 2019-03-22 |
| CITIGROUP INC COM NEW, C, 172967424 | P | 2017-06-14 | 2019-03-22 |
| CITIGROUP INC COM NEW, C, 172967424 | P | 2017-06-23 | 2019-03-22 |
| CITIGROUP INC COM NEW, C, 172967424 | P | 2017-08-10 | 2019-03-22 |
| CITIGROUP INC COM NEW, C, 172967424 | P | 2017-09-13 | 2019-03-22 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 1,444 | | 1,250 | 194 |
| 4,075 | | 3,980 | 95 |
| 3,737 | | 3,953 | -216 |
| 36,588 | | 29,976 | 6,612 |
| 7,576 | | 8,092 | -516 |
| 28,848 | | 30,899 | -2,051 |
| 788 | | 838 | -50 |
| 16,424 | | 17,253 | -829 |
| 18,727 | | 20,924 | -2,197 |
| 1,818 | | 2,084 | -266 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 194 |
| | | | 95 |
| | | | -216 |
| | | | 6,612 |
| | | | -516 |
| | | | -2,051 |
| | | | -50 |
| | | | -829 |
| | | | -2,197 |
| | | | -266 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| COCA COLA CO, KO, 191216100 | P | 2016-08-01 | 2019-03-07 |
| COCA COLA CO, KO, 191216100 | P | 2016-09-02 | 2019-03-07 |
| COCA COLA CO, KO, 191216100 | P | 2016-11-15 | 2019-03-07 |
| COCA COLA CO, KO, 191216100 | P | 2017-04-27 | 2019-03-07 |
| COCA COLA CO, KO, 191216100 | P | 2017-06-14 | 2019-03-07 |
| COCA COLA CO, KO, 191216100 | P | 2017-09-13 | 2019-03-07 |
| COMCAST CORP NEW CL A, CMCSA, 20030N101 | P | 2017-06-14 | 2019-05-31 |
| COMCAST CORP NEW CL A, CMCSA, 20030N101 | P | 2017-04-27 | 2019-06-06 |
| COMCAST CORP NEW CL A, CMCSA, 20030N101 | P | 2017-06-14 | 2019-06-06 |
| COMCAST CORP NEW CL A, CMCSA, 20030N101 | P | 2017-04-27 | 2019-11-22 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 9,954 | | 9,591 | 363 |
| 362 | | 349 | 13 |
| 10,180 | | 9,329 | 851 |
| 12,804 | | 12,172 | 632 |
| 769 | | 770 | -1 |
| 1,357 | | 1,408 | -51 |
| 533 | | 535 | -2 |
| 493 | | 475 | 18 |
| 246 | | 247 | -1 |
| 4,738 | | 4,196 | 542 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 363 |
| | | | 13 |
| | | | 851 |
| | | | 632 |
| | | | -1 |
| | | | -51 |
| | | | -2 |
| | | | 18 |
| | | | -1 |
| | | | 542 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| CONOCOPHILLIPS COM, COP, 20825C104 | P | 2018-10-29 | 2019-10-31 |
| COSTCO WHOLESALE CORP COM USD0.01, COST, 22160K105 | P | 2018-02-08 | 2019-05-31 |
| COSTCO WHOLESALE CORP COM USD0.01, COST, 22160K105 | P | 2018-02-08 | 2019-06-06 |
| COSTCO WHOLESALE CORP COM USD0.01, COST, 22160K105 | P | 2018-10-31 | 2019-11-22 |
| DANAHER CORPORATION COM, DHR, 235851102 | P | 2017-09-13 | 2019-05-31 |
| DANAHER CORPORATION COM, DHR, 235851102 | P | 2017-09-13 | 2019-06-06 |
| DANAHER CORPORATION COM, DHR, 235851102 | P | 2017-06-14 | 2019-11-22 |
| DANAHER CORPORATION COM, DHR, 235851102 | P | 2017-09-13 | 2019-11-22 |
| DANAHER CORPORATION COM, DHR, 235851102 | P | 2018-10-10 | 2019-11-22 |
| DISNEY WALT CO, DIS, 254687106 | P | 2017-04-27 | 2019-05-31 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 18,201 | | 22,897 | -4,696 |
| 480 | | 365 | 115 |
| 500 | | 365 | 135 |
| 3,288 | | 2,507 | 781 |
| 132 | | 87 | 45 |
| 540 | | 349 | 191 |
| 860 | | 506 | 354 |
| 1,290 | | 785 | 505 |
| 717 | | 525 | 192 |
| 792 | | 695 | 97 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -4,696 |
| | | | 115 |
| | | | 135 |
| | | | 781 |
| | | | 45 |
| | | | 191 |
| | | | 354 |
| | | | 505 |
| | | | 192 |
| | | | 97 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| DISNEY WALT CO, DIS, 254687106 | P | 2017-04-27 | 2019-06-06 |
| DISNEY WALT CO, DIS, 254687106 | P | 2018-11-09 | 2019-11-22 |
| DOW INC COM, DOW, 260557103 | P | 2016-08-01 | 2019-04-10 |
| DOW INC COM, DOW, 260557103 | P | 2016-08-16 | 2019-04-10 |
| DOW INC COM, DOW, 260557103 | P | 2016-11-15 | 2019-04-10 |
| DOW INC COM, DOW, 260557103 | P | 2017-04-27 | 2019-04-10 |
| DOW INC COM, DOW, 260557103 | P | 2017-06-14 | 2019-04-10 |
| DOW INC COM, DOW, 260557103 | P | 2017-09-13 | 2019-04-10 |
| DOWDUPONT INC COM, 26078J100 | P | 2016-08-01 | 2019-05-16 |
| DOWDUPONT INC COM, 26078J100 | P | 2016-08-16 | 2019-05-16 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 1,092 | | 927 | 165 |
| 6,671 | | 5,382 | 1,289 |
| 3,569 | | 3,501 | 68 |
| 148 | | 148 | 0 |
| 3,680 | | 3,580 | 100 |
| 4,624 | | 5,379 | -755 |
| 259 | | 307 | -48 |
| 499 | | 636 | -137 |
| 5,911 | | 6,813 | -902 |
| 245 | | 289 | -44 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 165 |
| | | | 1,289 |
| | | | 68 |
| | | | 0 |
| | | | 100 |
| | | | -755 |
| | | | -48 |
| | | | -137 |
| | | | -902 |
| | | | -44 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| DOWDUPONT INC COM, 26078J100 | P | 2016-11-15 | 2019-05-16 |
| DOWDUPONT INC COM, 26078J100 | P | 2017-04-27 | 2019-05-16 |
| DOWDUPONT INC COM, 26078J100 | P | 2017-06-14 | 2019-05-16 |
| DOWDUPONT INC COM, 26078J100 | P | 2017-09-13 | 2019-05-16 |
| EDWARDS LIFESCIENCESCORP, EW, 28176E108 | P | 2018-02-13 | 2019-05-31 |
| EDWARDS LIFESCIENCESCORP, EW, 28176E108 | P | 2018-02-13 | 2019-06-06 |
| EDWARDS LIFESCIENCESCORP, EW, 28176E108 | P | 2018-02-13 | 2019-11-22 |
| EDWARDS LIFESCIENCESCORP, EW, 28176E108 | P | 2018-10-10 | 2019-11-22 |
| ELI LILLY AND CO COM, LLY, 532457108 | P | 2017-09-13 | 2019-05-31 |
| ELI LILLY AND CO COM, LLY, 532457108 | P | 2017-09-13 | 2019-06-06 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 6,094 | | 6,966 | -872 |
| 7,656 | | 10,468 | -2,812 |
| 429 | | 597 | -168 |
| 827 | | 1,238 | -411 |
| 170 | | 127 | 43 |
| 537 | | 380 | 157 |
| 3,377 | | 1,775 | 1,602 |
| 965 | | 564 | 401 |
| 811 | | 575 | 236 |
| 356 | | 246 | 110 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -872 |
| | | | -2,812 |
| | | | -168 |
| | | | -411 |
| | | | 43 |
| | | | 157 |
| | | | 1,602 |
| | | | 401 |
| | | | 236 |
| | | | 110 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| ELI LILLY AND CO COM, LLY, 532457108 | P | 2017-02-16 | 2019-06-12 |
| ELI LILLY AND CO COM, LLY, 532457108 | P | 2017-02-16 | 2019-06-12 |
| ELI LILLY AND CO COM, LLY, 532457108 | P | 2017-04-27 | 2019-06-12 |
| ELI LILLY AND CO COM, LLY, 532457108 | P | 2017-06-14 | 2019-06-12 |
| ELI LILLY AND CO COM, LLY, 532457108 | P | 2017-09-13 | 2019-06-12 |
| HCA HEALTHCARE INC COM, HCA, 40412C101 | P | 2018-02-12 | 2019-03-14 |
| HCA HEALTHCARE INC COM, HCA, 40412C101 | P | 2018-02-12 | 2019-03-14 |
| HOME DEPOT INC COM, HD, 437076102 | P | 2016-03-15 | 2019-11-22 |
| HOME DEPOT INC COM, HD, 437076102 | P | 2017-09-13 | 2019-11-22 |
| HOME DEPOT INC COM, HD, 437076102 | P | 2018-10-10 | 2019-11-22 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 5,062 | | 3,521 | 1,541 |
| 14,611 | | 10,156 | 4,455 |
| 12,310 | | 8,708 | 3,602 |
| 690 | | 489 | 201 |
| 115 | | 82 | 33 |
| 35,184 | | 26,315 | 8,869 |
| 13,441 | | 10,043 | 3,398 |
| 1,745 | | 1,037 | 708 |
| 3,490 | | 2,559 | 931 |
| 873 | | 787 | 86 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 1,541 |
| | | | 4,455 |
| | | | 3,602 |
| | | | 201 |
| | | | 33 |
| | | | 8,869 |
| | | | 3,398 |
| | | | 708 |
| | | | 931 |
| | | | 86 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| HONEYWELL INTERNATIONAL INC COM USD1, HON, 438516106 | P | 2017-09-13 | 2019-05-31 |
| HONEYWELL INTERNATIONAL INC COM USD1, HON, 438516106 | P | 2017-09-13 | 2019-06-06 |
| HONEYWELL INTERNATIONAL INC COM USD1, HON, 438516106 | P | 2017-06-14 | 2019-11-22 |
| HONEYWELL INTERNATIONAL INC COM USD1, HON, 438516106 | P | 2017-09-13 | 2019-11-22 |
| HONEYWELL INTERNATIONAL INC COM USD1, HON, 438516106 | P | 2018-10-10 | 2019-11-22 |
| INGERSOLL-RAND PLC SHS USD1, IR, G47791101 | P | 2018-11-09 | 2019-11-22 |
| INTEL CORP COM USD0.001, INTC, 458140100 | P | 2017-09-25 | 2019-03-27 |
| INTEL CORP COM USD0.001, INTC, 458140100 | P | 2017-09-25 | 2019-03-27 |
| INTEL CORP COM USD0.001, INTC, 458140100 | P | 2017-09-25 | 2019-03-27 |
| INTEL CORP COM USD0.001, INTC, 458140100 | P | 2017-10-04 | 2019-03-27 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 164 | | 133 | 31 |
| 680 | | 530 | 150 |
| 1,060 | | 772 | 288 |
| 1,767 | | 1,325 | 442 |
| 883 | | 767 | 116 |
| 4,287 | | 3,377 | 910 |
| 18,063 | | 12,603 | 5,460 |
| 1,967 | | 1,369 | 598 |
| 6,434 | | 4,485 | 1,949 |
| 2,709 | | 1,989 | 720 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 31 |
| | | | 150 |
| | | | 288 |
| | | | 442 |
| | | | 116 |
| | | | 910 |
| | | | 5,460 |
| | | | 598 |
| | | | 1,949 |
| | | | 720 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| INTEL CORP COM USD0.001, INTC, 458140100 | P | 2017-10-04 | 2019-03-27 |
| INTEL CORP COM USD0.001, INTC, 458140100 | P | 2017-09-13 | 2019-03-29 |
| INTEL CORP COM USD0.001, INTC, 458140100 | P | 2017-09-25 | 2019-03-29 |
| INTEL CORP COM USD0.001, INTC, 458140100 | P | 2017-09-25 | 2019-03-29 |
| JOHNSON &JOHNSON COMUSD1.00, JNJ, 478160104 | P | 2017-04-27 | 2019-03-19 |
| JOHNSON &JOHNSON COMUSD1.00, JNJ, 478160104 | P | 2017-06-14 | 2019-03-19 |
| JOHNSON &JOHNSON COMUSD1.00, JNJ, 478160104 | P | 2017-09-13 | 2019-03-19 |
| JOHNSON &JOHNSON COMUSD1.00, JNJ, 478160104 | P | 2017-04-27 | 2019-05-31 |
| JOHNSON &JOHNSON COMUSD1.00, JNJ, 478160104 | P | 2017-04-27 | 2019-06-06 |
| JOHNSON &JOHNSON COMUSD1.00, JNJ, 478160104 | P | 2014-08-20 | 2019-11-22 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 5,791 | | 4,248 | 1,543 |
| 2,038 | | 1,379 | 659 |
| 17,369 | | 11,986 | 5,383 |
| 1,663 | | 1,147 | 516 |
| 25,594 | | 22,884 | 2,710 |
| 1,660 | | 1,596 | 64 |
| 3,044 | | 2,913 | 131 |
| 1,048 | | 990 | 58 |
| 410 | | 371 | 39 |
| 829 | | 617 | 212 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 1,543 |
| | | | 659 |
| | | | 5,383 |
| | | | 516 |
| | | | 2,710 |
| | | | 64 |
| | | | 131 |
| | | | 58 |
| | | | 39 |
| | | | 212 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| JOHNSON &JOHNSON COMUSD1.00, JNJ, 478160104 | P | 2017-04-27 | 2019-11-22 |
| JPMORGAN CHASE & CO COM USD1.00, JPM, 46625H100 | P | 2017-04-27 | 2019-11-22 |
| JPMORGAN CHASE & CO COM USD1.00, JPM, 46625H100 | P | 2017-08-10 | 2019-11-22 |
| JPMORGAN CHASE & CO COM USD1.00, JPM, 46625H100 | P | 2017-09-13 | 2019-11-22 |
| JPMORGAN CHASE & CO COM USD1.00, JPM, 46625H100 | P | 2017-08-10 | 2019-06-07 |
| LINDE PLC COM EUR0.001, LIN, G5494J103 | P | 2018-10-04 | 2019-11-22 |
| MCCORMICK &COMPANY INC COM NPV, MKC, 579780206 | P | 2017-06-14 | 2019-05-31 |
| MCCORMICK &COMPANY INC COM NPV, MKC, 579780206 | P | 2017-06-14 | 2019-06-06 |
| MCCORMICK &COMPANY INC COM NPV, MKC, 579780206 | P | 2018-10-10 | 2019-11-22 |
| MCCORMICK &COMPANY INC COM NPV, MKC, 579780206 | P | 2018-10-11 | 2019-11-22 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 1,934 | | 1,732 | 202 |
| 131 | | 88 | 43 |
| 653 | | 463 | 190 |
| 5,745 | | 4,015 | 1,730 |
| 27,289 | | 23,056 | 4,233 |
| 3,081 | | 2,471 | 610 |
| 156 | | 105 | 51 |
| 628 | | 421 | 207 |
| 669 | | 544 | 125 |
| 2,676 | | 2,140 | 536 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 202 |
| | | | 43 |
| | | | 190 |
| | | | 1,730 |
| | | | 4,233 |
| | | | 610 |
| | | | 51 |
| | | | 207 |
| | | | 125 |
| | | | 536 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| MCDONALDS CORP, MCD, 580135101 | P | 2017-10-05 | 2019-05-31 |
| MCDONALDS CORP, MCD, 580135101 | P | 2017-10-05 | 2019-06-06 |
| MCDONALDS CORP, MCD, 580135101 | P | 2017-10-05 | 2019-11-22 |
| MCDONALDS CORP, MCD, 580135101 | P | 2018-10-10 | 2019-11-22 |
| MERCK & CO INC NEW COM, MRK, 58933Y105 | P | 2017-09-13 | 2019-06-06 |
| MERCK & CO INC NEW COM, MRK, 58933Y105 | P | 2016-11-15 | 2019-11-22 |
| MERCK & CO INC NEW COM, MRK, 58933Y105 | P | 2017-09-13 | 2019-11-22 |
| MERCK & CO INC NEW COM, MRK, 58933Y105 | P | 2018-10-10 | 2019-11-22 |
| MICROSOFT CORP, MSFT, 594918104 | P | 2017-09-13 | 2019-05-31 |
| MICROSOFT CORP, MSFT, 594918104 | P | 2017-09-13 | 2019-06-06 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 198 | | 158 | 40 |
| 607 | | 474 | 133 |
| 2,123 | | 1,737 | 386 |
| 772 | | 678 | 94 |
| 988 | | 786 | 202 |
| 3,175 | | 2,350 | 825 |
| 1,716 | | 1,310 | 406 |
| 1,030 | | 853 | 177 |
| 865 | | 525 | 340 |
| 2,278 | | 1,351 | 927 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 40 |
| | | | 133 |
| | | | 386 |
| | | | 94 |
| | | | 202 |
| | | | 825 |
| | | | 406 |
| | | | 177 |
| | | | 340 |
| | | | 927 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| MICROSOFT CORP, MSFT, 594918104 | P | 2018-09-05 | 2019-11-22 |
| ORACLE CORP COM, ORCL, 68389X105 | P | 2017-09-13 | 2019-05-31 |
| ORACLE CORP COM, ORCL, 68389X105 | P | 2017-09-13 | 2019-06-06 |
| ORACLE CORP COM, ORCL, 68389X105 | P | 2017-06-14 | 2019-11-22 |
| ORACLE CORP COM, ORCL, 68389X105 | P | 2017-09-13 | 2019-11-22 |
| ORACLE CORP COM, ORCL, 68389X105 | P | 2018-10-10 | 2019-11-22 |
| PEPSICO INC, PEP, 713448108 | P | 2017-09-13 | 2019-05-31 |
| PEPSICO INC, PEP, 713448108 | P | 2017-09-13 | 2019-06-06 |
| PEPSICO INC, PEP, 713448108 | P | 2017-09-13 | 2019-11-22 |
| PEPSICO INC, PEP, 713448108 | P | 2018-10-31 | 2019-11-22 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 15,541 | | 11,350 | 4,191 |
| 152 | | 158 | -6 |
| 473 | | 474 | -1 |
| 732 | | 581 | 151 |
| 1,183 | | 1,106 | 77 |
| 732 | | 624 | 108 |
| 384 | | 344 | 40 |
| 527 | | 458 | 69 |
| 2,280 | | 1,947 | 333 |
| 805 | | 675 | 130 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 4,191 |
| | | | -6 |
| | | | -1 |
| | | | 151 |
| | | | 77 |
| | | | 108 |
| | | | 40 |
| | | | 69 |
| | | | 333 |
| | | | 130 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| PFIZER INC, PFE, 717081103 | P | 2016-09-02 | 2019-11-22 |
| PFIZER INC, PFE, 717081103 | P | 2017-09-13 | 2019-11-22 |
| PFIZER INC, PFE, 717081103 | P | 2018-10-10 | 2019-11-22 |
| PROCTER & GAMBLE CO COM, PG, 742718109 | P | 2017-04-27 | 2019-11-22 |
| PROCTER & GAMBLE CO COM, PG, 742718109 | P | 2017-04-27 | 2019-05-31 |
| PROCTER & GAMBLE CO COM, PG, 742718109 | P | 2017-04-27 | 2019-06-06 |
| PROGRESSIVE CORP OHIO COM USD 1.00, PGR, 743315103 | P | 2018-11-09 | 2019-11-22 |
| ROSS STORES INC COM, ROST, 778296103 | P | 2016-08-22 | 2019-01-07 |
| ROSS STORES INC COM, ROST, 778296103 | P | 2016-09-02 | 2019-01-07 |
| ROSS STORES INC COM, ROST, 778296103 | P | 2016-11-15 | 2019-01-07 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 766 | | 695 | 71 |
| 1,878 | | 1,718 | 160 |
| 77 | | 90 | -13 |
| 3,730 | | 2,718 | 1,012 |
| 205 | | 175 | 30 |
| 644 | | 526 | 118 |
| 3,327 | | 3,444 | -117 |
| 9,949 | | 7,173 | 2,776 |
| 448 | | 314 | 134 |
| 10,218 | | 7,212 | 3,006 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 71 |
| | | | 160 |
| | | | -13 |
| | | | 1,012 |
| | | | 30 |
| | | | 118 |
| | | | -117 |
| | | | 2,776 |
| | | | 134 |
| | | | 3,006 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| ROSS STORES INC COM, ROST, 778296103 | P | 2017-04-27 | 2019-01-07 |
| ROSS STORES INC COM, ROST, 778296103 | P | 2017-06-14 | 2019-01-07 |
| ROSS STORES INC COM, ROST, 778296103 | P | 2017-09-13 | 2019-01-07 |
| THERMO FISHER SCIENTIFIC INC, TMO, 883556102 | P | 2017-09-13 | 2019-05-31 |
| THERMO FISHER SCIENTIFIC INC, TMO, 883556102 | P | 2017-09-13 | 2019-06-06 |
| THERMO FISHER SCIENTIFIC INC, TMO, 883556102 | P | 2018-10-10 | 2019-11-22 |
| THERMO FISHER SCIENTIFIC INC, TMO, 883556102 | P | 2018-10-31 | 2019-11-22 |
| UNION PACIFIC CORP COM, UNP, 907818108 | P | 2018-10-11 | 2019-11-22 |
| UNITED AIRLINES HLDGS INC COM, UAL, 910047109 | P | 2018-10-19 | 2019-11-22 |
| UNITED TECHNOLOGIES CORP COM USD1, UTX, 913017109 | P | 2016-08-01 | 2019-06-12 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 12,907 | | 9,449 | 3,458 |
| 717 | | 487 | 230 |
| 1,434 | | 984 | 450 |
| 268 | | 192 | 76 |
| 552 | | 383 | 169 |
| 616 | | 467 | 149 |
| 3,078 | | 2,330 | 748 |
| 3,337 | | 2,945 | 392 |
| 4,487 | | 4,286 | 201 |
| 14,301 | | 12,263 | 2,038 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 3,458 |
| | | | 230 |
| | | | 450 |
| | | | 76 |
| | | | 169 |
| | | | 149 |
| | | | 748 |
| | | | 392 |
| | | | 201 |
| | | | 2,038 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| UNITED TECHNOLOGIES CORP COM USD1, UTX, 913017109 | P | 2016-09-02 | 2019-06-12 |
| UNITED TECHNOLOGIES CORP COM USD1, UTX, 913017109 | P | 2016-11-15 | 2019-06-12 |
| UNITED TECHNOLOGIES CORP COM USD1, UTX, 913017109 | P | 2017-04-27 | 2019-06-12 |
| UNITED TECHNOLOGIES CORP COM USD1, UTX, 913017109 | P | 2017-06-14 | 2019-06-12 |
| UNITED TECHNOLOGIES CORP COM USD1, UTX, 913017109 | P | 2017-09-13 | 2019-06-12 |
| UNITEDHEALTH GROUP, UNH, 91324P102 | P | 2016-03-15 | 2019-03-27 |
| UNITEDHEALTH GROUP, UNH, 91324P102 | P | 2017-04-27 | 2019-03-27 |
| UNITEDHEALTH GROUP, UNH, 91324P102 | P | 2017-06-14 | 2019-03-27 |
| UNITEDHEALTH GROUP, UNH, 91324P102 | P | 2017-09-13 | 2019-03-27 |
| UNITEDHEALTH GROUP, UNH, 91324P102 | P | 2015-01-06 | 2019-03-28 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 622 | | 535 | 87 |
| 9,451 | | 8,197 | 1,254 |
| 15,421 | | 14,749 | 672 |
| 871 | | 838 | 33 |
| 1,617 | | 1,431 | 186 |
| 5,297 | | 2,751 | 2,546 |
| 33,951 | | 24,661 | 9,290 |
| 1,926 | | 1,456 | 470 |
| 3,612 | | 2,974 | 638 |
| 2,160 | | 901 | 1,259 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 87 |
| | | | 1,254 |
| | | | 672 |
| | | | 33 |
| | | | 186 |
| | | | 2,546 |
| | | | 9,290 |
| | | | 470 |
| | | | 638 |
| | | | 1,259 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| UNITEDHEALTH GROUP, UNH, 91324P102 | P | 2016-03-15 | 2019-03-28 |
| VERIZON COMMUNICATIONS, VZ, 92343V104 | P | 2018-11-09 | 2019-11-22 |
| VISA INC COM CL A, V, 92826C839 | P | 2017-09-13 | 2019-05-31 |
| VISA INC COM CL A, V, 92826C839 | P | 2017-09-13 | 2019-06-06 |
| VISA INC COM CL A, V, 92826C839 | P | 2017-06-14 | 2019-11-22 |
| VISA INC COM CL A, V, 92826C839 | P | 2017-09-13 | 2019-11-22 |
| VISA INC COM CL A, V, 92826C839 | P | 2018-10-10 | 2019-11-22 |
| WALMART INC COM, WMT, 931142103 | P | 1997-02-26 | 2019-03-20 |
| WALMART INC COM, WMT, 931142103 | P | 2016-09-02 | 2019-03-20 |
| WALMART INC COM, WMT, 931142103 | P | 2016-11-15 | 2019-03-20 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 46,570 | | 24,260 | 22,310 |
| 6,034 | | 5,907 | 127 |
| 484 | | 317 | 167 |
| 997 | | 635 | 362 |
| 1,791 | | 953 | 838 |
| 3,045 | | 1,798 | 1,247 |
| 1,791 | | 1,375 | 416 |
| 5,836 | | 793 | 5,043 |
| 198 | | 145 | 53 |
| 5,935 | | 4,279 | 1,656 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 22,310 |
| | | | 127 |
| | | | 167 |
| | | | 362 |
| | | | 838 |
| | | | 1,247 |
| | | | 416 |
| | | | 5,043 |
| | | | 53 |
| | | | 1,656 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| WALMART INC COM, WMT, 931142103 | P | 2017-04-27 | 2019-03-20 |
| WALMART INC COM, WMT, 931142103 | P | 2017-06-14 | 2019-03-20 |
| WALMART INC COM, WMT, 931142103 | P | 2017-09-13 | 2019-03-20 |
| WALMART INC COM, WMT, 931142103 | P | 2017-09-29 | 2019-03-20 |
| ABBVIE INC COM USD0.01, ABBV, 00287Y109 | P | 2019-11-21 | 2019-11-22 |
| AES CORP COM USD0.01,AES,00130H105 | P | 2018-04-23 | 2019-02-13 |
| AES CORP COM USD0.01,AES,00130H105 | P | 2018-04-23 | 2019-02-13 |
| AES CORP COM USD0.01,AES,00130H105 | P | 2018-05-16 | 2019-02-13 |
| AES CORP COM USD0.01,AES,00130H105 | P | 2018-09-19 | 2019-02-13 |
| AES CORP COM USD0.01,AES,00130H105 | P | 2019-08-01 | 2019-09-11 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 7,517 | | 5,730 | 1,787 |
| 396 | | 319 | 77 |
| 890 | | 719 | 171 |
| 32,740 | | 26,068 | 6,672 |
| 4,222 | | 4,218 | 4 |
| 5,104 | | 3,566 | 1,538 |
| 1,034 | | 725 | 309 |
| 14,938 | | 10,805 | 4,133 |
| 220 | | 179 | 41 |
| 3,489 | | 3,909 | -420 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 1,787 |
| | | | 77 |
| | | | 171 |
| | | | 6,672 |
| | | | 4 |
| | | | 1,538 |
| | | | 309 |
| | | | 4,133 |
| | | | 41 |
| | | | -420 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| AMERICAN ELEC PWR COINC COM, AEP, 025537101 | P | 2019-03-06 | 2019-11-22 |
| APPLE INC COM USD0.00001, AAPL, 037833100 | P | 2019-01-03 | 2019-10-10 |
| APPLE INC COM USD0.00001, AAPL, 037833100 | P | 2019-01-03 | 2019-11-21 |
| APPLE INC COM USD0.00001, AAPL, 037833100 | P | 2019-01-03 | 2019-11-22 |
| AT&T INC COM USD1, T, 00206R102 | P | 2018-09-19 | 2019-02-13 |
| AT&T INC COM USD1, T, 00206R102 | P | 2019-08-01 | 2019-11-22 |
| BOEING CO COM USD5.00, BA, 097023105 | P | 2019-02-04 | 2019-11-22 |
| BOEING CO COM USD5.00, BA, 097023105 | P | 2019-01-11 | 2019-12-12 |
| BOEING CO COM USD5.00, BA, 097023105 | P | 2019-02-04 | 2019-12-12 |
| BROADCOM INC COM, AVGO, 11135F101 | P | 2019-11-21 | 2019-11-22 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 2,932 | | 2,606 | 326 |
| 13,079 | | 8,133 | 4,946 |
| 13,896 | | 7,562 | 6,334 |
| 3,657 | | 1,998 | 1,659 |
| 295 | | 335 | -40 |
| 5,589 | | 5,099 | 490 |
| 3,704 | | 3,938 | -234 |
| 58,951 | | 59,902 | -951 |
| 25,314 | | 28,749 | -3,435 |
| 3,457 | | 3,441 | 16 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 326 |
| | | | 4,946 |
| | | | 6,334 |
| | | | 1,659 |
| | | | -40 |
| | | | 490 |
| | | | -234 |
| | | | -951 |
| | | | -3,435 |
| | | | 16 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| CINCINNATI FINANCIALCORP COM USD2.00, CINF, 172062101 | P | 2018-09-19 | 2019-07-02 |
| CMS ENERGY CORP COM USD0.01, CMS, 125896100 | P | 2018-09-19 | 2019-07-29 |
| CVS HEALTH CORPORATION COM USD0.01, CVS, 126650100 | P | 2019-11-21 | 2019-11-22 |
| DICKS SPORTING GOODSINC COM, DKS, 253393102 | P | 2018-12-13 | 2019-06-12 |
| DICKS SPORTING GOODSINC COM, DKS, 253393102 | P | 2018-12-13 | 2019-06-12 |
| DOMINION ENERGY INC COM, D, 25746U109 | P | 2018-09-19 | 2019-01-16 |
| GILEAD SCIENCES INC COM USD0.001, GILD, 375558103 | P | 2018-08-09 | 2019-02-13 |
| GILEAD SCIENCES INC COM USD0.001, GILD, 375558103 | P | 2018-09-19 | 2019-02-13 |
| GILEAD SCIENCES INC COM USD0.001, GILD, 375558103 | P | 2019-10-10 | 2019-11-22 |
| GOODYEAR TIRE &RUBBER CO COM NPV, GT, 382550101 | P | 2019-09-12 | 2019-11-22 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 315 | | 237 | 78 |
| 235 | | 197 | 38 |
| 4,225 | | 4,188 | 37 |
| 46,755 | | 46,908 | -153 |
| 1,743 | | 1,755 | -12 |
| 137 | | 142 | -5 |
| 8,235 | | 9,758 | -1,523 |
| 198 | | 223 | -25 |
| 4,182 | | 4,046 | 136 |
| 3,112 | | 2,708 | 404 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 78 |
| | | | 38 |
| | | | 37 |
| | | | -153 |
| | | | -12 |
| | | | -5 |
| | | | -1,523 |
| | | | -25 |
| | | | 136 |
| | | | 404 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| HOST HOTELS & RESORTS INC COM, HST, 44107P104 | P | 2018-04-23 | 2019-01-03 |
| HOST HOTELS & RESORTS INC COM, HST, 44107P104 | P | 2018-04-23 | 2019-01-03 |
| HOST HOTELS & RESORTS INC COM, HST, 44107P104 | P | 2018-09-19 | 2019-01-03 |
| INVESCO EXCHNG TRADED FD TR II PFD ETF, PGX, 46138E511 | P | 2019-08-05 | 2019-11-22 |
| IRON MOUNTAIN INC COM USD0.01, IRM, 46284V101 | P | 2019-08-21 | 2019-11-22 |
| KINDER MORGAN INC COM USD0.01, KMI, 49456B101 | P | 2019-03-06 | 2019-11-22 |
| LYONDELLBASELL INDUSTRIES N V COM USD0.0, LYB, N53745100 | P | 2018-09-19 | 2019-01-11 |
| METLIFE INC COM USD0.01, MET, 59156R108 | P | 2019-07-02 | 2019-11-22 |
| NATIONAL RETAIL PROPERTIES INC COM USD0., NNN, 637417106 | P | 2019-11-21 | 2019-11-22 |
| NEXTERA ENERGY PARTNERS LP COM UNITPART, NEP, 65341B106 | P | 2019-07-03 | 2019-11-22 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 2,239 | | 2,657 | -418 |
| 7,176 | | 8,537 | -1,361 |
| 165 | | 213 | -48 |
| 2,589 | | 2,596 | -7 |
| 11,716 | | 11,052 | 664 |
| 2,565 | | 2,522 | 43 |
| 172 | | 204 | -32 |
| 3,022 | | 3,046 | -24 |
| 3,590 | | 3,653 | -63 |
| 2,532 | | 2,387 | 145 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -418 |
| | | | -1,361 |
| | | | -48 |
| | | | -7 |
| | | | 664 |
| | | | 43 |
| | | | -32 |
| | | | -24 |
| | | | -63 |
| | | | 145 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| **(a)** List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | **(b)** How acquired P—Purchase D—Donation | **(c)** Date acquired (mo., day, yr.) | **(d)** Date sold (mo., day, yr.) |
|---|---|---|---|
| OCCIDENTAL PETE CORPCOM, OXY, 674599105 | P | 2018-09-19 | 2019-03-06 |
| OMNICOM GROUP INC COM USD0.15, OMC, 681919106 | P | 2019-07-02 | 2019-11-22 |
| PEOPLES UNITED FINANCIAL INC COM, PBCT, 712704105 | P | 2018-01-23 | 2019-01-11 |
| PEOPLES UNITED FINANCIAL INC COM, PBCT, 712704105 | P | 2018-09-19 | 2019-08-21 |
| PEOPLES UNITED FINANCIAL INC COM, PBCT, 712704105 | P | 2019-08-05 | 2019-08-21 |
| PFIZER INC, PFE, 717081103 | P | 2018-09-19 | 2019-08-21 |
| PRUDENTIAL FINL INC, PRU, 744320102 | P | 2018-09-19 | 2019-08-21 |
| PRUDENTIAL FINL INC, PRU, 744320102 | P | 2019-06-14 | 2019-08-21 |
| ROYAL DUTCH SHELL PLC SPON ADR B, RDSB, 780259107 | P | 2018-09-19 | 2019-08-01 |
| ROYAL DUTCH SHELL PLC SPON ADR B, RDSB, 780259107 | P | 2018-09-20 | 2019-08-01 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| **(e)** Gross sales price | **(f)** Depreciation allowed (or allowable) | **(g)** Cost or other basis plus expense of sale | **(h)** Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 198 | | 237 | -39 |
| 2,887 | | 2,888 | -1 |
| 10,486 | | 13,973 | -3,487 |
| 130 | | 160 | -30 |
| 12,899 | | 13,352 | -453 |
| 559 | | 695 | -136 |
| 9,536 | | 12,046 | -2,510 |
| 4,483 | | 5,441 | -958 |
| 240 | | 273 | -33 |
| 1,919 | | 2,194 | -275 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | **(l)** Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| **(i)** F.M.V. as of 12/31/69 | **(j)** Adjusted basis as of 12/31/69 | **(k)** Excess of col. (i) over col. (j), if any | |
| | | | -39 |
| | | | -1 |
| | | | -3,487 |
| | | | -30 |
| | | | -453 |
| | | | -136 |
| | | | -2,510 |
| | | | -958 |
| | | | -33 |
| | | | -275 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| **(a)** List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | **(b)** How acquired P—Purchase D—Donation | **(c)** Date acquired (mo., day, yr.) | **(d)** Date sold (mo., day, yr.) |
|---|---|---|---|
| SCHLUMBERGER LIMITEDCOM USD0.01, SLB, 806857108 | P | 2019-02-13 | 2019-10-10 |
| SCHLUMBERGER LIMITEDCOM USD0.01, SLB, 806857108 | P | 2019-02-13 | 2019-10-10 |
| SCHLUMBERGER LIMITEDCOM USD0.01, SLB, 806857108 | P | 2019-08-05 | 2019-10-10 |
| SIMON PPTY GRP INC, SPG, 828806109 | P | 2018-09-19 | 2019-06-14 |
| TARGET CORP COM, TGT, 87612E106 | P | 2019-03-06 | 2019-11-22 |
| UNITED PARCEL SVC INC CL B, UPS, 911312106 | P | 2019-08-01 | 2019-11-22 |
| WATSCO INC COMMON CL A RECLASSIFIED ASCO, WSO, 942622200 | P | 2018-04-25 | 2019-01-16 |
| WATSCO INC COMMON CL A RECLASSIFIED ASCO, WSO, 942622200 | P | 2018-04-27 | 2019-01-16 |
| WATSCO INC COMMON CL A RECLASSIFIED ASCO, WSO, 942622200 | P | 2018-05-16 | 2019-01-16 |
| WATSCO INC COMMON CL A RECLASSIFIED ASCO, WSO, 942622200 | P | 2018-06-13 | 2019-01-16 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| **(e)** Gross sales price | **(f)** Depreciation allowed (or allowable) | **(g)** Cost or other basis plus expense of sale | **(h)** Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 7,096 | | 10,311 | -3,215 |
| 36,482 | | 52,671 | -16,189 |
| 21,333 | | 24,851 | -3,518 |
| 163 | | 181 | -18 |
| 4,180 | | 2,529 | 1,651 |
| 5,849 | | 5,849 | 0 |
| 19,532 | | 23,744 | -4,212 |
| 19,957 | | 23,980 | -4,023 |
| 7,784 | | 9,927 | -2,143 |
| 7,360 | | 9,959 | -2,599 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | **(l)** Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| **(i)** F.M.V. as of 12/31/69 | **(j)** Adjusted basis as of 12/31/69 | **(k)** Excess of col. (i) over col. (j), if any | |
| | | | -3,215 |
| | | | -16,189 |
| | | | -3,518 |
| | | | -18 |
| | | | 1,651 |
| | | | 0 |
| | | | -4,212 |
| | | | -4,023 |
| | | | -2,143 |
| | | | -2,599 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| WATSCO INC COMMON CL A RECLASSIFIED ASCO, WSO, 942622200 | P | 2018-09-19 | 2019-01-16 |
| WHIRLPOOL CORP, WHR, 963320106 | P | 2019-02-14 | 2019-11-22 |
| ABBVIE INC COM USD0.01, ABBV, 00287Y109 | P | 2016-08-01 | 2019-02-04 |
| ABBVIE INC COM USD0.01, ABBV, 00287Y109 | P | 2016-09-02 | 2019-02-04 |
| ABBVIE INC COM USD0.01, ABBV, 00287Y109 | P | 2016-11-15 | 2019-02-04 |
| AES CORP COM USD0.01,AES,00130H105 | P | 2018-04-23 | 2019-09-11 |
| AMGEN INC, AMGN, 031162100 | P | 2018-09-20 | 2019-11-22 |
| ARES CAPITAL CORP COM STK USD0.001, ARCC, 04010L103 | P | 2018-08-15 | 2019-11-22 |
| AT&T INC COM USD1, T, 00206R102 | P | 2016-08-01 | 2019-02-13 |
| AT&T INC COM USD1, T, 00206R102 | P | 2016-08-01 | 2019-03-06 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 142 | | 179 | -37 |
| 2,742 | | 2,621 | 121 |
| 43,160 | | 37,038 | 6,122 |
| 2,722 | | 2,245 | 477 |
| 15,164 | | 12,220 | 2,944 |
| 57,177 | | 43,882 | 13,295 |
| 5,066 | | 4,504 | 562 |
| 2,614 | | 2,451 | 163 |
| 39,503 | | 57,744 | -18,241 |
| 19,401 | | 28,116 | -8,715 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -37 |
| | | | 121 |
| | | | 6,122 |
| | | | 477 |
| | | | 2,944 |
| | | | 13,295 |
| | | | 562 |
| | | | 163 |
| | | | -18,241 |
| | | | -8,715 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| AT&T INC COM USD1, T, 00206R102 | P | 2016-09-02 | 2019-03-06 |
| AT&T INC COM USD1, T, 00206R102 | P | 2016-11-15 | 2019-03-06 |
| BB&T CORP COM USD5.00, 054937107 | P | 2018-05-16 | 2019-11-22 |
| BHP GROUP PLC SPON ADS EACH REP 2 ORD SH, BBL, 05545E209 | P | 2018-08-07 | 2019-11-22 |
| CENTERPOINT ENERGY INC, CNP, 15189T107 | P | 2014-08-20 | 2019-11-21 |
| CENTERPOINT ENERGY INC, CNP, 15189T107 | P | 2015-01-06 | 2019-11-21 |
| CENTERPOINT ENERGY INC, CNP, 15189T107 | P | 2015-01-06 | 2019-11-21 |
| CENTERPOINT ENERGY INC, CNP, 15189T107 | P | 2016-03-15 | 2019-11-21 |
| CENTERPOINT ENERGY INC, CNP, 15189T107 | P | 2016-09-02 | 2019-11-21 |
| CENTERPOINT ENERGY INC, CNP, 15189T107 | P | 2016-11-15 | 2019-11-21 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 4,738 | | 6,505 | -1,767 |
| 26,732 | | 32,936 | -6,204 |
| 3,167 | | 3,208 | -41 |
| 3,207 | | 3,207 | 0 |
| 12,653 | | 12,788 | -135 |
| 7,130 | | 6,668 | 462 |
| 267 | | 250 | 17 |
| 31,729 | | 26,612 | 5,117 |
| 608 | | 566 | 42 |
| 18,688 | | 17,886 | 802 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -1,767 |
| | | | -6,204 |
| | | | -41 |
| | | | 0 |
| | | | -135 |
| | | | 462 |
| | | | 17 |
| | | | 5,117 |
| | | | 42 |
| | | | 802 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| CENTERPOINT ENERGY INC, CNP, 15189T107 | P | 2017-11-03 | 2019-11-21 |
| CENTERPOINT ENERGY INC, CNP, 15189T107 | P | 2017-11-03 | 2019-11-21 |
| CENTERPOINT ENERGY INC, CNP, 15189T107 | P | 2018-09-19 | 2019-11-21 |
| CHEVRON CORP NEW COM, CVX, 166764100 | P | 2016-11-15 | 2019-11-22 |
| CINCINNATI FINANCIALCORP COM USD2.00, CINF, 172062101 | P | 2017-07-27 | 2019-06-12 |
| CINCINNATI FINANCIALCORP COM USD2.00, CINF, 172062101 | P | 2017-07-27 | 2019-06-12 |
| CINCINNATI FINANCIALCORP COM USD2.00, CINF, 172062101 | P | 2016-08-01 | 2019-07-02 |
| CINCINNATI FINANCIALCORP COM USD2.00, CINF, 172062101 | P | 2016-09-02 | 2019-07-02 |
| CINCINNATI FINANCIALCORP COM USD2.00, CINF, 172062101 | P | 2016-11-15 | 2019-07-02 |
| CINCINNATI FINANCIALCORP COM USD2.00, CINF, 172062101 | P | 2017-03-31 | 2019-07-02 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 1,415 | | 1,718 | -303 |
| 6,570 | | 7,998 | -1,428 |
| 292 | | 339 | -47 |
| 3,814 | | 3,483 | 331 |
| 5,230 | | 3,830 | 1,400 |
| 8,207 | | 6,007 | 2,200 |
| 39,643 | | 28,190 | 11,453 |
| 3,260 | | 2,275 | 985 |
| 15,352 | | 10,595 | 4,757 |
| 5,889 | | 4,061 | 1,828 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -303 |
| | | | -1,428 |
| | | | -47 |
| | | | 331 |
| | | | 1,400 |
| | | | 2,200 |
| | | | 11,453 |
| | | | 985 |
| | | | 4,757 |
| | | | 1,828 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| CINCINNATI FINANCIALCORP COM USD2.00, CINF, 172062101 | P | 2017-07-27 | 2019-07-02 |
| CISCO SYS INC COM, CSCO, 17275R102 | P | 2017-11-03 | 2019-11-22 |
| CISCO SYS INC COM, CSCO, 17275R102 | P | 2018-09-19 | 2019-11-22 |
| CMS ENERGY CORP COM USD0.01, CMS, 125896100 | P | 2016-08-01 | 2019-07-29 |
| CMS ENERGY CORP COM USD0.01, CMS, 125896100 | P | 2016-08-01 | 2019-07-29 |
| CMS ENERGY CORP COM USD0.01, CMS, 125896100 | P | 2016-09-02 | 2019-07-29 |
| CMS ENERGY CORP COM USD0.01, CMS, 125896100 | P | 2016-11-15 | 2019-07-29 |
| CROWN CASTLE INTL CORP NEW COM ISIN #US2, CCI, 22822V101 | P | 2018-08-23 | 2019-11-22 |
| CROWN CASTLE INTL CORP NEW COM ISIN #US2, CCI, 22822V101 | P | 2018-09-19 | 2019-11-22 |
| CUMMINS INC, CMI, 231021106 | P | 2018-09-19 | 2019-11-22 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 3,575 | | 2,553 | 1,022 |
| 6,438 | | 4,945 | 1,493 |
| 626 | | 662 | -36 |
| 16,938 | | 13,067 | 3,871 |
| 17,904 | | 13,791 | 4,113 |
| 3,639 | | 2,622 | 1,017 |
| 20,428 | | 13,914 | 6,514 |
| 4,511 | | 3,744 | 767 |
| 398 | | 332 | 66 |
| 3,423 | | 2,825 | 598 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 1,022 |
| | | | 1,493 |
| | | | -36 |
| | | | 3,871 |
| | | | 4,113 |
| | | | 1,017 |
| | | | 6,514 |
| | | | 767 |
| | | | 66 |
| | | | 598 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| DIGITAL RLTY TR INC COM, DLR, 253868103 | P | 2018-08-09 | 2019-11-22 |
| DIGITAL RLTY TR INC COM, DLR, 253868103 | P | 2018-09-19 | 2019-11-22 |
| DOMINION ENERGY INC COM, D, 25746U109 | P | 2016-08-01 | 2019-01-16 |
| DOMINION ENERGY INC COM, D, 25746U109 | P | 2016-09-02 | 2019-01-16 |
| DOMINION ENERGY INC COM, D, 25746U109 | P | 2016-11-15 | 2019-01-16 |
| DUKE ENERGY CORP NEWCOM NEW ISIN #US2644, DUK, 26441C204 | P | 2016-08-01 | 2019-11-22 |
| ENTERGY CORP NEW, ETR, 29364G103 | P | 2018-11-08 | 2019-11-22 |
| FIRSTENERGY CORP COMUSD0.10, FE, 337932107 | P | 2018-11-08 | 2019-11-22 |
| GARMIN LTD COM CHF10.00, GRMN, H2906T109 | P | 2018-08-30 | 2019-10-10 |
| GARMIN LTD COM CHF10.00, GRMN, H2906T109 | P | 2018-08-30 | 2019-10-10 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 3,718 | | 3,790 | -72 |
| 360 | | 368 | -8 |
| 31,946 | | 36,097 | -4,151 |
| 3,023 | | 3,287 | -264 |
| 16,969 | | 17,609 | -640 |
| 4,436 | | 4,370 | 66 |
| 3,479 | | 2,539 | 940 |
| 3,172 | | 2,510 | 662 |
| 9,257 | | 7,442 | 1,815 |
| 1,276 | | 1,024 | 252 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -72 |
| | | | -8 |
| | | | -4,151 |
| | | | -264 |
| | | | -640 |
| | | | 66 |
| | | | 940 |
| | | | 662 |
| | | | 1,815 |
| | | | 252 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| GARMIN LTD COM CHF10.00, GRMN, H2906T109 | P | 2018-09-19 | 2019-10-10 |
| GARMIN LTD COM CHF10.00, GRMN, H2906T109 | P | 2018-02-21 | 2019-11-22 |
| GENUINE PARTS CO COMUSD1.00, GPC, 372460105 | P | 2018-11-16 | 2019-11-22 |
| GILEAD SCIENCES INC COM USD0.001, GILD, 375558103 | P | 2017-06-21 | 2019-02-13 |
| GILEAD SCIENCES INC COM USD0.001, GILD, 375558103 | P | 2017-06-23 | 2019-02-13 |
| GILEAD SCIENCES INC COM USD0.001, GILD, 375558103 | P | 2017-08-09 | 2019-02-13 |
| GLAXOSMITHKLINE PLC SPONSORED ADR, GSK, 37733W105 | P | 2018-11-08 | 2019-11-22 |
| HOST HOTELS & RESORTS INC COM, HST, 44107P104 | P | 2017-05-16 | 2019-01-03 |
| HOST HOTELS & RESORTS INC COM, HST, 44107P104 | P | 2017-05-16 | 2019-01-03 |
| HOST HOTELS & RESORTS INC COM, HST, 44107P104 | P | 2017-05-16 | 2019-01-03 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 255 | | 207 | 48 |
| 2,913 | | 1,884 | 1,029 |
| 3,106 | | 3,065 | 41 |
| 23,057 | | 23,403 | -346 |
| 20,158 | | 21,481 | -1,323 |
| 1,713 | | 1,932 | -219 |
| 4,318 | | 4,058 | 260 |
| 3,317 | | 3,597 | -280 |
| 4,920 | | 5,324 | -404 |
| 30,402 | | 32,856 | -2,454 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 48 |
| | | | 1,029 |
| | | | 41 |
| | | | -346 |
| | | | -1,323 |
| | | | -219 |
| | | | 260 |
| | | | -280 |
| | | | -404 |
| | | | -2,454 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| HOST HOTELS & RESORTS INC COM, HST, 44107P104 | P | 2017-05-16 | 2019-01-03 |
| HOST HOTELS & RESORTS INC COM, HST, 44107P104 | P | 2017-06-14 | 2019-01-03 |
| INTERNATIONAL PAPER CO COM USD1.00, IP, 460146103 | P | 2016-08-01 | 2019-11-22 |
| INTERNATIONAL PAPER CO COM USD1.00, IP, 460146103 | P | 2016-09-02 | 2019-11-22 |
| INTERNATIONAL PAPER CO COM USD1.00, IP, 460146103 | P | 2016-11-15 | 2019-11-22 |
| INTERNATIONAL PAPER CO COM USD1.00, IP, 460146103 | P | 2016-11-16 | 2019-11-22 |
| INTERNATIONAL PAPER CO COM USD1.00, IP, 460146103 | P | 2017-06-20 | 2019-11-22 |
| INTERNATIONAL PAPER CO COM USD1.00, IP, 460146103 | P | 2017-07-27 | 2019-11-22 |
| INTERNATIONAL PAPER CO COM USD1.00, IP, 460146103 | P | 2018-09-19 | 2019-11-22 |
| IRON MOUNTAIN INC COM USD0.01, IRM, 46284V101 | P | 2017-08-02 | 2019-11-22 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 3,290 | | 3,561 | -271 |
| 8,983 | | 10,235 | -1,252 |
| 38,022 | | 38,349 | -327 |
| 2,382 | | 2,607 | -225 |
| 13,348 | | 14,159 | -811 |
| 5,888 | | 6,296 | -408 |
| 4,944 | | 6,244 | -1,300 |
| 8,494 | | 10,838 | -2,344 |
| 225 | | 271 | -46 |
| 12,690 | | 13,652 | -962 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -271 |
| | | | -1,252 |
| | | | -327 |
| | | | -225 |
| | | | -811 |
| | | | -408 |
| | | | -1,300 |
| | | | -2,344 |
| | | | -46 |
| | | | -962 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| IRON MOUNTAIN INC COM USD0.01, IRM, 46284V101 | P | 2017-08-02 | 2019-11-22 |
| IRON MOUNTAIN INC COM USD0.01, IRM, 46284V101 | P | 2017-08-03 | 2019-11-22 |
| IRON MOUNTAIN INC COM USD0.01, IRM, 46284V101 | P | 2017-08-03 | 2019-11-22 |
| IRON MOUNTAIN INC COM USD0.01, IRM, 46284V101 | P | 2017-08-09 | 2019-11-22 |
| IRON MOUNTAIN INC COM USD0.01, IRM, 46284V101 | P | 2017-08-09 | 2019-11-22 |
| IRON MOUNTAIN INC COM USD0.01, IRM, 46284V101 | P | 2017-11-09 | 2019-11-22 |
| IRON MOUNTAIN INC COM USD0.01, IRM, 46284V101 | P | 2018-08-23 | 2019-11-22 |
| IRON MOUNTAIN INC COM USD0.01, IRM, 46284V101 | P | 2018-09-19 | 2019-11-22 |
| ISHARES IBOXX INVESTMENT GRADE CORPORATE, LQD, 464287242 | P | 2016-08-01 | 2019-11-22 |
| ISHARES IBOXX INVESTMENT GRADE CORPORATE, LQD, 464287242 | P | 2016-09-02 | 2019-11-22 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 17,020 | | 18,342 | -1,322 |
| 6,311 | | 6,912 | -601 |
| 6,278 | | 6,895 | -617 |
| 101 | | 113 | -12 |
| 2,383 | | 2,659 | -276 |
| 4,566 | | 5,472 | -906 |
| 9,030 | | 9,511 | -481 |
| 235 | | 241 | -6 |
| 127 | | 123 | 4 |
| 2,166 | | 2,098 | 68 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -1,322 |
| | | | -601 |
| | | | -617 |
| | | | -12 |
| | | | -276 |
| | | | -906 |
| | | | -481 |
| | | | -6 |
| | | | 4 |
| | | | 68 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| ISHARES TR IBOXX HI YD ETF, HYG, 464288513 | P | 2016-09-02 | 2019-11-22 |
| ISHARES TR IBOXX HI YD ETF, HYG, 464288513 | P | 2018-09-20 | 2019-11-22 |
| ISHARES TR PFD AND INCM SEC, PFF, 464288687 | P | 2016-08-01 | 2019-11-22 |
| ISHARES TR PFD AND INCM SEC, PFF, 464288687 | P | 2016-09-02 | 2019-11-22 |
| ISHARES TR PFD AND INCM SEC, PFF, 464288687 | P | 2016-11-15 | 2019-11-22 |
| ISHARES TR PFD AND INCM SEC, PFF, 464288687 | P | 2017-06-20 | 2019-11-22 |
| ISHARES TR PFD AND INCM SEC, PFF, 464288687 | P | 2018-09-19 | 2019-11-22 |
| JPMORGAN CHASE & CO COM USD1.00, JPM, 46625H100 | P | 2018-09-25 | 2019-11-22 |
| KIMBERLY CLARK CORP COM USD1.25, KMB, 494368103 | P | 2016-08-01 | 2019-11-22 |
| LAMAR ADVERTISING COCOM USD0.001 CL A, LAMR, 512816109 | P | 2016-08-01 | 2019-11-22 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 2,425 | | 2,433 | -8 |
| 260 | | 259 | 1 |
| 57,668 | | 62,291 | -4,623 |
| 3,574 | | 3,841 | -267 |
| 20,253 | | 20,400 | -147 |
| 7,371 | | 7,740 | -369 |
| 223 | | 223 | 0 |
| 6,398 | | 5,710 | 688 |
| 5,466 | | 5,364 | 102 |
| 4,326 | | 3,440 | 886 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -8 |
| | | | 1 |
| | | | -4,623 |
| | | | -267 |
| | | | -147 |
| | | | -369 |
| | | | 0 |
| | | | 688 |
| | | | 102 |
| | | | 886 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| LOCKHEED MARTIN CORPCOM USD1.00, LMT, 539830109 | P | 2016-11-15 | 2019-08-21 |
| LOCKHEED MARTIN CORPCOM USD1.00, LMT, 539830109 | P | 2016-11-15 | 2019-11-22 |
| LOCKHEED MARTIN CORPCOM USD1.00, LMT, 539830109 | P | 2018-09-19 | 2019-11-22 |
| LYONDELLBASELL INDUSTRIES N V COM USD0.0, LYB, N53745100 | P | 2017-09-12 | 2019-01-11 |
| LYONDELLBASELL INDUSTRIES N V COM USD0.0, LYB, N53745100 | P | 2017-09-12 | 2019-01-11 |
| LYONDELLBASELL INDUSTRIES N V COM USD0.0, LYB, N53745100 | P | 2017-11-09 | 2019-01-11 |
| MAXIM INTEGRATED PRODUCTS COM USD0.001, MXIM, 57772K101 | P | 2016-08-01 | 2019-11-22 |
| MERCK & CO INC NEW COM, MRK, 58933Y105 | P | 2016-11-15 | 2019-11-22 |
| MERCK & CO INC NEW COM, MRK, 58933Y105 | P | 2018-09-19 | 2019-11-22 |
| OCCIDENTAL PETE CORPCOM, OXY, 674599105 | P | 2017-09-19 | 2019-03-06 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 11,071 | | 7,711 | 3,360 |
| 3,512 | | 2,393 | 1,119 |
| 390 | | 345 | 45 |
| 37,422 | | 41,032 | -3,610 |
| 5,598 | | 6,145 | -547 |
| 6,295 | | 7,668 | -1,373 |
| 2,761 | | 2,063 | 698 |
| 3,518 | | 2,604 | 914 |
| 343 | | 282 | 61 |
| 50,453 | | 47,244 | 3,209 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 3,360 |
| | | | 1,119 |
| | | | 45 |
| | | | -3,610 |
| | | | -547 |
| | | | -1,373 |
| | | | 698 |
| | | | 914 |
| | | | 61 |
| | | | 3,209 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| PAYCHEX INC COM USD0.01, PAYX, 704326107 | P | 2017-11-09 | 2019-11-22 |
| PAYCHEX INC COM USD0.01, PAYX, 704326107 | P | 2018-09-19 | 2019-11-22 |
| PEOPLES UTD FINL INCCOM, PBCT, 712704105 | P | 2016-08-01 | 2019-08-21 |
| PEOPLES UTD FINL INCCOM, PBCT, 712704105 | P | 2016-09-02 | 2019-08-21 |
| PEOPLES UTD FINL INCCOM, PBCT, 712704105 | P | 2016-11-15 | 2019-08-21 |
| PEOPLES UTD FINL INCCOM, PBCT, 712704105 | P | 2018-01-23 | 2019-08-21 |
| PEPSICO INC, PEP, 713448108 | P | 2018-01-09 | 2019-11-22 |
| PFIZER INC, PFE, 717081103 | P | 2017-09-07 | 2019-08-21 |
| PFIZER INC, PFE, 717081103 | P | 2017-12-19 | 2019-08-21 |
| PFIZER INC, PFE, 717081103 | P | 2018-04-23 | 2019-08-21 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 3,552 | | 2,712 | 840 |
| 423 | | 370 | 53 |
| 30,562 | | 31,720 | -1,158 |
| 1,897 | | 2,141 | -244 |
| 10,728 | | 13,754 | -3,026 |
| 2,678 | | 3,714 | -1,036 |
| 7,778 | | 6,872 | 906 |
| 11,425 | | 11,086 | 339 |
| 26,869 | | 28,522 | -1,653 |
| 11,460 | | 12,055 | -595 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 840 |
| | | | 53 |
| | | | -1,158 |
| | | | -244 |
| | | | -3,026 |
| | | | -1,036 |
| | | | 906 |
| | | | 339 |
| | | | -1,653 |
| | | | -595 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| PFIZER INC, PFE, 717081103 | P | 2017-09-07 | 2019-11-22 |
| PROCTER & GAMBLE CO COM, PG, 742718109 | P | 2016-08-01 | 2019-11-22 |
| PROCTER & GAMBLE CO COM, PG, 742718109 | P | 2017-03-23 | 2019-02-05 |
| PROCTER & GAMBLE CO COM, PG, 742718109 | P | 2017-08-09 | 2019-02-05 |
| PROCTER & GAMBLE CO COM, PG, 742718109 | P | 2017-08-09 | 2019-02-05 |
| PROCTER & GAMBLE CO COM, PG, 742718109 | P | 2016-08-01 | 2019-08-21 |
| PROCTER & GAMBLE CO COM, PG, 742718109 | P | 2016-09-02 | 2019-08-21 |
| PROCTER & GAMBLE CO COM, PG, 742718109 | P | 2017-02-15 | 2019-08-21 |
| PROCTER & GAMBLE CO COM, PG, 742718109 | P | 2017-03-23 | 2019-08-21 |
| PROCTER & GAMBLE CO COM, PG, 742718109 | P | 2017-08-02 | 2019-08-21 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 4,445 | | 3,933 | 512 |
| 6,136 | | 4,411 | 1,725 |
| 8,413 | | 7,862 | 551 |
| 9,000 | | 8,445 | 555 |
| 1,565 | | 1,469 | 96 |
| 13,936 | | 10,119 | 3,817 |
| 5,479 | | 4,072 | 1,407 |
| 29,182 | | 22,203 | 6,979 |
| 2,025 | | 1,554 | 471 |
| 17,986 | | 13,765 | 4,221 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 512 |
| | | | 1,725 |
| | | | 551 |
| | | | 555 |
| | | | 96 |
| | | | 3,817 |
| | | | 1,407 |
| | | | 6,979 |
| | | | 471 |
| | | | 4,221 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| PRUDENTIAL FINL INC, PRU, 744320102 | P | 2018-08-08 | 2019-08-21 |
| ROYAL DUTCH SHELL PLC SPON ADR B, RDSB, 780259107 | P | 2016-08-01 | 2019-08-01 |
| ROYAL DUTCH SHELL PLC SPON ADR B, RDSB, 780259107 | P | 2016-09-02 | 2019-08-01 |
| ROYAL DUTCH SHELL PLC SPON ADR B, RDSB, 780259107 | P | 2016-09-02 | 2019-08-01 |
| ROYAL DUTCH SHELL PLC SPON ADR B, RDSB, 780259107 | P | 2016-11-15 | 2019-08-01 |
| ROYAL DUTCH SHELL PLC SPON ADR B, RDSB, 780259107 | P | 2018-01-09 | 2019-08-01 |
| SIMON PPTY GRP INC, SPG, 828806109 | P | 2016-08-01 | 2019-06-14 |
| SIMON PPTY GRP INC, SPG, 828806109 | P | 2016-09-02 | 2019-06-14 |
| SIMON PPTY GRP INC, SPG, 828806109 | P | 2016-11-15 | 2019-06-14 |
| SIMON PPTY GRP INC, SPG, 828806109 | P | 2016-11-16 | 2019-06-14 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 40,671 | | 50,269 | -9,598 |
| 33,218 | | 28,994 | 4,224 |
| 1,019 | | 894 | 125 |
| 1,079 | | 947 | 132 |
| 11,632 | | 10,239 | 1,393 |
| 6,416 | | 7,564 | -1,148 |
| 35,550 | | 49,511 | -13,961 |
| 2,283 | | 3,033 | -750 |
| 12,393 | | 14,012 | -1,619 |
| 5,871 | | 6,577 | -706 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -9,598 |
| | | | 4,224 |
| | | | 125 |
| | | | 132 |
| | | | 1,393 |
| | | | -1,148 |
| | | | -13,961 |
| | | | -750 |
| | | | -1,619 |
| | | | -706 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| TEXAS INSTRUMENTS INC COM USD1.00, TXN, 882508104 | P | 2018-11-08 | 2019-11-22 |
| TORONTO DOMINION BANK ONT COM NPV ISIN TD, 891160509 | P | 2018-08-30 | 2019-11-22 |
| TORONTO DOMINION BANK ONT COM NPV ISIN TD, 891160509 | P | 2018-09-19 | 2019-11-22 |
| VERIZON COMMUNICATIONS, VZ, 92343V104 | P | 2016-08-01 | 2019-11-22 |
| WESTERN UNION CO COM, WU, 959802109 | P | 2018-06-13 | 2019-11-22 |
| CONOCOPHILLIPS COM, COP, 20825C104 | P | 2019-05-08 | 2019-09-17 |
| LAM RESEARCH CORP COM USD0.001, LRCX, 512807108 | P | 2018-08-15 | 2019-04-23 |
| CONOCOPHILLIPS COM, COP, 20825C104 | P | 2017-05-04 | 2019-09-17 |
| CONOCOPHILLIPS COM, COP, 20825C104 | P | 2017-06-14 | 2019-09-17 |
| CONOCOPHILLIPS COM, COP, 20825C104 | P | 2017-07-10 | 2019-09-17 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 4,216 | | 3,548 | 668 |
| 2,720 | | 2,876 | -156 |
| 232 | | 245 | -13 |
| 6,631 | | 6,087 | 544 |
| 4,565 | | 3,566 | 999 |
| 11,288 | | 11,393 | -105 |
| 26,460 | | 23,398 | 3,062 |
| 49,669 | | 37,388 | 12,281 |
| 6,468 | | 4,818 | 1,650 |
| 427 | | 304 | 123 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 668 |
| | | | -156 |
| | | | -13 |
| | | | 544 |
| | | | 999 |
| | | | -105 |
| | | | 3,062 |
| | | | 12,281 |
| | | | 1,650 |
| | | | 123 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| CONOCOPHILLIPS COM, COP, 20825C104 | P | 2017-07-10 | 2019-09-17 |
| DISCOVER FINL SVCS, DFS, 254709108 | P | 2017-05-04 | 2019-05-31 |
| DISCOVER FINL SVCS, DFS, 254709108 | P | 2017-07-10 | 2019-05-31 |
| DISCOVER FINL SVCS, DFS, 254709108 | P | 2017-05-04 | 2019-06-03 |
| LAM RESEARCH CORP COM USD0.001, LRCX, 512807108 | P | 2018-08-15 | 2019-10-25 |
| TRUIST FINL CORP COM, TFC, 89832Q109 | P | 2017-05-04 | 2019-12-10 |
| TRUIST FINL CORP COM, TFC, 89832Q109 | P | 2017-06-14 | 2019-12-10 |
| TRUIST FINL CORP COM, TFC, 89832Q109 | P | 2017-07-10 | 2019-12-10 |
| ACUITY BRANDS INC: AVI | P | 2018-08-28 | 2019-02-05 |
| ACUITY BRANDS INC: AYI | P | 2018-09-13 | 2019-02-05 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 4,709 | | 3,345 | 1,364 |
| 6,439 | | 5,212 | 1,227 |
| 7,487 | | 6,107 | 1,380 |
| 25,593 | | 20,727 | 4,866 |
| 23,306 | | 14,968 | 8,338 |
| 43 | | 35 | 8 |
| 5 | | 4 | 1 |
| 4 | | 4 | 0 |
| 3,103 | | 3,822 | -719 |
| 18,617 | | 23,592 | -4,975 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 1,364 |
| | | | 1,227 |
| | | | 1,380 |
| | | | 4,866 |
| | | | 8,338 |
| | | | 8 |
| | | | 1 |
| | | | 0 |
| | | | -719 |
| | | | -4,975 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| CHARLES SCHWAB CORP: SCHW | P | 2019-01-23 | 2019-11-25 |
| DOLLAR TREE INC; DLTR | P | 2019-02-05 | 2019-11-25 |
| ECOLAB INC: ECL | P | 2018-09-04 | 2019-03-29 |
| ECOLAB INC: ECL | P | 2018-09-04 | 2019-08-02 |
| ECOLAB INC: ECL | P | 2018-09-04 | 2019-08-21 |
| ECOLAB INC: ECL | P | 2018-10-30 | 2019-08-21 |
| FACEBOOK INC CLASS A: FB | P | 2019-08-01 | 2019-11-25 |
| JOHNSON & JOHNSON: JNJ | P | 2019-10-30 | 2019-11-25 |
| KNIGHT SWIFTTRANSPORTATCLASS | P | 2018-09-11 | 2019-03-21 |
| NEW RESIDENTIAL INVE REIT: NRZ | P | 2018-11-14 | 2019-06-27 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 25,530 | | 24,911 | 619 |
| 25,213 | | 22,180 | 3,033 |
| 70,305 | | 60,230 | 10,075 |
| 77,952 | | 58,724 | 19,228 |
| 33,118 | | 24,092 | 9,026 |
| 15,524 | | 11,042 | 4,482 |
| 15,033 | | 14,602 | 431 |
| 10,246 | | 9,905 | 341 |
| 40,910 | | 46,572 | -5,662 |
| 57,284 | | 64,169 | -6,885 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 619 |
| | | | 3,033 |
| | | | 10,075 |
| | | | 19,228 |
| | | | 9,026 |
| | | | 4,482 |
| | | | 431 |
| | | | 341 |
| | | | -5,662 |
| | | | -6,885 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| NEW RESIDENTIAL INVE REIT: NRZ | P | 2018-11-14 | 2019-07-12 |
| NEW RESIDENTIAL INVE REIT: NRZ | P | 2019-01-08 | 2019-07-12 |
| NEW RESIDENTIAL INVE REIT: NRZ | P | 2019-02-20 | 2019-07-12 |
| PIONEER NATURAL RES: PXD | P | 2019-10-10 | 2019-11-25 |
| QUALCOMM INC: QCOM | P | 2019-08-21 | 2019-11-25 |
| RAYTHEON CO: RTN | P | 2019-02-12 | 2019-11-22 |
| SNAP ON INC: SNA | P | 2018-06-27 | 2019-02-13 |
| VIRTU FINL INCORPORAOOSOCLASS | P | 2019-05-22 | 2019-11-25 |
| VIRTU FINL INCORPORAOOSOCLASS | P | 2019-05-22 | 2019-12-17 |
| VIRTU FINL INCORPORAOOSOCLASS | P | 2019-05-23 | 2019-12-17 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 42,782 | | 49,122 | -6,340 |
| 17,344 | | 17,206 | 138 |
| 31,219 | | 33,244 | -2,025 |
| 9,730 | | 9,771 | -41 |
| 10,714 | | 9,606 | 1,108 |
| 51,825 | | 43,431 | 8,394 |
| 50,428 | | 52,667 | -2,239 |
| 11,669 | | 16,422 | -4,753 |
| 5,738 | | 8,211 | -2,473 |
| 22,542 | | 32,337 | -9,795 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -6,340 |
| | | | 138 |
| | | | -2,025 |
| | | | -41 |
| | | | 1,108 |
| | | | 8,394 |
| | | | -2,239 |
| | | | -4,753 |
| | | | -2,473 |
| | | | -9,795 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| WHEATON PRECIOUS METAL F: WPM | P | 2019-08-22 | 2019-11-25 |
| AT&TINC:T | P | 2017-07-28 | 2019-07-26 |
| AT&TINC:T | P | 2017-07-28 | 2019-10-30 |
| AT&TINC:T | P | 2017-07-28 | 2019-11-25 |
| AT&TINC:T | P | 2017-07-28 | 2019-11-25 |
| AT&TINC:T | P | 2017-07-28 | 2019-12-13 |
| AT&T INC: T | P | 2017-07-28 | 2019-12-20 |
| AT&TINC:T | P | 2017-07-31 | 2019-12-20 |
| AT&TINC:T | P | 2017-08-04 | 2019-12-20 |
| ABBVIE INC: ABBV | P | 2018-10-11 | 2019-11-25 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 9,559 | | 9,468 | 91 |
| 46,418 | | 53,939 | -7,521 |
| 49,911 | | 50,997 | -1,086 |
| 5,602 | | 5,884 | -282 |
| 11,203 | | 10,279 | 924 |
| 15,274 | | 13,705 | 1,569 |
| 13,331 | | 11,681 | 1,650 |
| 35 | | 31 | 4 |
| 13,028 | | 11,276 | 1,752 |
| 26,062 | | 27,495 | -1,433 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 91 |
| | | | -7,521 |
| | | | -1,086 |
| | | | -282 |
| | | | 924 |
| | | | 1,569 |
| | | | 1,650 |
| | | | 4 |
| | | | 1,752 |
| | | | -1,433 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| ARES CAPITAL CORP: ARCC | P | 2016-12-12 | 2019-02-01 |
| ARES CAPITAL CORP: ARCC | P | 2016-12-12 | 2019-11-25 |
| ARES CAPITAL CORP: ARCO | P | 2016-12-12 | 2019-11-25 |
| ARES CAPITAL CORP: ARCO | P | 2017-02-27 | 2019-11-25 |
| BERKSHIRE HATHAWAY CLASS | P | 2016-09-01 | 2019-02-20 |
| BERKSHIRE HATHAWAY CLASS | P | 2016-09-01 | 2019-10-30 |
| BERKSHIRE HATHAWAY CLASS | P | 2016-09-01 | 2019-11-25 |
| CAMECO CORP F: CCJ | P | 2018-01-22 | 2019-10-16 |
| CAMECO CORP F: CCJ | P | 2018-01-22 | 2019-10-24 |
| CAMECO CORP F: CCJ | P | 2018-01-22 | 2019-10-31 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 33,413 | | 34,610 | -1,197 |
| 2,351 | | 2,110 | 241 |
| 7,522 | | 6,896 | 626 |
| 6,111 | | 5,781 | 330 |
| 18,533 | | 13,420 | 5,113 |
| 33,984 | | 23,857 | 10,127 |
| 21,865 | | 14,911 | 6,954 |
| 23,102 | | 23,872 | -770 |
| 7,936 | | 8,355 | -419 |
| 8,233 | | 8,833 | -600 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -1,197 |
| | | | 241 |
| | | | 626 |
| | | | 330 |
| | | | 5,113 |
| | | | 10,127 |
| | | | 6,954 |
| | | | -770 |
| | | | -419 |
| | | | -600 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| CAMECO CORP F: CCJ | P | 2018-01-22 | 2019-11-25 |
| CITIGROUP INC: C | P | 2018-01-22 | 2019-11-25 |
| ELECTRONIC ARTS INC: EA | P | 2018-09-07 | 2019-11-25 |
| ESTEE LAUDER CO INC CLASS A: | P | 2017-04-05 | 2019-08-01 |
| ESTEE LAUDER CO INC CLASS A: | P | 2017-04-05 | 2019-09-18 |
| ESTEE LAUDER CO INC CLASS A | P | 2017-05-05 | 2019-09-18 |
| ESTEE LAUDER CO INC CLASS A: | P | 2017-09-14 | 2019-09-18 |
| ESTEE LAUDER CO INC CLASS A: | P | 2018-05-08 | 2019-09-18 |
| ESTEE LAUDERCO INC CLASS A: | P | 2017-04-05 | 2019-01-04 |
| GENERAL DYNAMICS CO: GD | P | 2016-09-01 | 2019-11-25 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 10,107 | | 10,265 | -158 |
| 11,352 | | 11,740 | -388 |
| 27,660 | | 31,804 | -4,144 |
| 18,405 | | 8,611 | 9,794 |
| 33,440 | | 15,069 | 18,371 |
| 14,331 | | 6,858 | 7,473 |
| 9,554 | | 5,495 | 4,059 |
| 42,994 | | 30,220 | 12,774 |
| 16,196 | | 10,764 | 5,432 |
| 22,911 | | 18,968 | 3,943 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -158 |
| | | | -388 |
| | | | -4,144 |
| | | | 9,794 |
| | | | 18,371 |
| | | | 7,473 |
| | | | 4,059 |
| | | | 12,774 |
| | | | 5,432 |
| | | | 3,943 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| HOME DEPOT INC: HD | P | 2017-04-24 | 2019-02-04 |
| HOME DEPOT INC: HD | P | 2017-04-24 | 2019-11-22 |
| KNIGHT SWIFT TRANSPORTATCLASS | P | 2018-09-11 | 2019-11-25 |
| KOSMOS ENERGY LTD: KOS | P | 2017-01-12 | 2019-11-25 |
| MASTERCARD INC CLASS. A: | P | 2016-09-01 | 2019-01-04 |
| MASTERCARD INC CLASS A: | P | 2016-09-01 | 2019-11-25 |
| MASTERCARD INC CLASS A: | P | 2016-12-05 | 2019-11-25 |
| MICROSOFT CORP: MSFT | P | 2016-09-01 | 2019-06-26 |
| MICROSOFT CORP: MSFT | P | 2016-09-01 | 2019-11-25 |
| MOODYS CORP: MCO | P | 2016-09-01 | 2019-01-16 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 9,275 | | 7,599 | 1,676 |
| 74,104 | | 51,670 | 22,434 |
| 15,952 | | 15,524 | 428 |
| 23,874 | | 22,299 | 1,575 |
| 23,671 | | 12,109 | 11,562 |
| 4,307 | | 1,453 | 2,854 |
| 10,049 | | 3,615 | 6,434 |
| 47,472 | | 20,043 | 27,429 |
| 26,377 | | 10,022 | 16,355 |
| 50,308 | | 34,939 | 15,369 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 1,676 |
| | | | 22,434 |
| | | | 428 |
| | | | 1,575 |
| | | | 11,562 |
| | | | 2,854 |
| | | | 6,434 |
| | | | 27,429 |
| | | | 16,355 |
| | | | 15,369 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| MOODYS CORP: MCO | P | 2016-09-01 | 2019-11-25 |
| ROPER TECHNOLOGIES: ROP | P | 2018-06-21 | 2019-06-27 |
| ROPER TECHNOLOGIES: ROP | P | 2018-06-21 | 2019-07-25 |
| ROPER TECHNOLOGIES: ROP | P | 2018-06-21 | 2019-08-02 |
| ROPER TECHNOLOGIES: ROP | P | 2018-06-27 | 2019-08-02 |
| ROPER TECHNOLOGIES: ROP | P | 2018-07-27 | 2019-08-02 |
| ROPER TECHNOLOGIES: ROP | P | 2018-07-27 | 2019-11-25 |
| SHERWIN WILLIAMS CO: SHW | P | 2017-05-09 | 2019-02-04 |
| SHERWIN WILLIAMS CO: SHW | P | 2017-05-09 | 2019-02-20 |
| SHERWIN WILLIAMS DO: SHW | P | 2017-05-09 | 2019-10-22 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 5,629 | | 2,688 | 2,941 |
| 79,662 | | 60,759 | 18,903 |
| 25,989 | | 19,333 | 6,656 |
| 12,514 | | 9,666 | 2,848 |
| 62,571 | | 48,660 | 13,911 |
| 14,302 | | 11,928 | 2,374 |
| 8,964 | | 7,455 | 1,509 |
| 20,903 | | 16,939 | 3,964 |
| 4,405 | | 3,388 | 1,017 |
| 45,500 | | 27,102 | 18,398 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 2,941 |
| | | | 18,903 |
| | | | 6,656 |
| | | | 2,848 |
| | | | 13,911 |
| | | | 2,374 |
| | | | 1,509 |
| | | | 3,964 |
| | | | 1,017 |
| | | | 18,398 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| SHERWIN WILLIAMS GO: SHW | P | 2017-05-09 | 2019-11-22 |
| SNAP ON INC: SNA | P | 2017-08-29 | 2019-02-13 |
| SNAP ON INC: SNA | P | 2017-08-30 | 2019-02-13 |
| SNAP ON INC: SNA | P | 2017-09-13 | 2019-02-13 |
| SNAP ON INC: SNA | P | 2017-09-14 | 2019-02-13 |
| SNAP ON INC; SNA | P | 2017-10-03 | 2019-02-13 |
| TEXAS INSTRUMENTS: TXN | P | 2017-05-05 | 2019-04-16 |
| TEXAS INSTRUMENTS: TXN | P | 2017-09-14 | 2019-04-16 |
| TEXAS INSTRUMENTS: TXN | P | 2018-01-25 | 2019-04-16 |
| TEXAS INSTRUMENTS: TXN | P | 2018-01-25 | 2019-11-25 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 40,170 | | 23,714 | 16,456 |
| 73,702 | | 69,212 | 4,490 |
| 27,153 | | 25,394 | 1,759 |
| 19,395 | | 18,702 | 693 |
| 3,879 | | 3,694 | 185 |
| 23,274 | | 22,591 | 683 |
| 5,803 | | 3,979 | 1,824 |
| 5,803 | | 4,182 | 1,621 |
| 20,312 | | 19,352 | 960 |
| 14,832 | | 13,823 | 1,009 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 16,456 |
| | | | 4,490 |
| | | | 1,759 |
| | | | 693 |
| | | | 185 |
| | | | 683 |
| | | | 1,824 |
| | | | 1,621 |
| | | | 960 |
| | | | 1,009 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| THERMO FISHER SCNTFC: TMO | P | 2016-09-01 | 2019-11-25 |
| UNITEDHEALTH GRP INC: UNH | P | 2016-09-01 | 2019-03-21 |
| UNITEDHEALTH GRP INC: UNH | P | 2016-09-01 | 2019-11-25 |
| WALT DISNEY CO: DIS | P | 2018-10-05 | 2019-11-25 |
| US TREASURY BILL19U S T BILL DUE 03/19/19 | P | 2019-02-19 | 2019-02-22 |
| US TREASURY BILL19U S T BILL DUE 06/20/19 | P | 2019-03-26 | 2019-05-14 |
| US TREASURY BILL19U S T BILL DUE 12/26/19 | P | 2019-09-11 | 2019-11-25 |
| CALL EXXON MOBIL CORP $77.5 EXP 03/15/19 | P | 2019-01-24 | 2019-01-24 |
| CALL EXXON MOBIL CORP $77.5 EXP 03/15/19 | P | 2019-01-24 | 2019-01-24 |
| CALL EXXON MOBIL CORP $75 EXP 04/18/19 | P | 2019-03-07 | 2019-03-07 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 15,449 | | 7,532 | 7,917 |
| 50,167 | | 27,116 | 23,051 |
| 21,001 | | 10,168 | 10,833 |
| 26,090 | | 20,117 | 5,973 |
| 79,885 | | 79,858 | 27 |
| 1,995 | | 1,989 | 6 |
| 664,141 | | 661,609 | 2,532 |
| 2,283 | | 714 | 1,569 |
| 254 | | 79 | 175 |
| 14,562 | | 40,830 | -26,268 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 7,917 |
| | | | 23,051 |
| | | | 10,833 |
| | | | 5,973 |
| | | | 27 |
| | | | 6 |
| | | | 2,532 |
| | | | 1,569 |
| | | | 175 |
| | | | -26,268 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| CALL EXXON MOBIL CORP $75 EXP 04/18/19 | P | 2019-03-07 | 2019-03-07 |
| CALL EXXON MOBIL CORP $75 EXP 04/18/19 | P | 2019-03-07 | 2019-03-07 |
| CALL EXXON MOBIL CORP $80 EXP 06/21/19 | P | 2019-05-02 | 2019-05-03 |
| CALL EXXON MOBIL CORP $80 EXP 07/19/19 | P | 2019-05-22 | 2019-05-22 |
| CALL EXXON MOBIL CORP $80 EXP 07/19/19 | P | 2019-05-22 | 2019-05-22 |
| CALL EXXON MOBIL CORP $80 EXP 07/19/19 | P | 2019-05-22 | 2019-05-22 |
| CALL EXXON MOBIL CORP $80 EXP 07/19/19 | P | 2019-05-22 | 2019-05-22 |
| CALL EXXON MOBIL CORP $80 EXP 07/19/19 | P | 2019-05-22 | 2019-05-22 |
| CALL EXXON MOBIL CORP $80 EXP 07/19/19 | P | 2019-05-22 | 2019-05-22 |
| CALL EXXON MOBIL CORP $80 EXP 10/18/19 | P | 2019-08-05 | 2019-08-05 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 455 | | 1,276 | -821 |
| 152 | | 425 | -273 |
| 21,968 | | 7,432 | 14,536 |
| 299 | | 178 | 121 |
| 3,289 | | 1,958 | 1,331 |
| 4,187 | | 2,491 | 1,696 |
| 1,296 | | 771 | 525 |
| 299 | | 178 | 121 |
| 598 | | 356 | 242 |
| 3,737 | | 613 | 3,124 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -821 |
| | | | -273 |
| | | | 14,536 |
| | | | 121 |
| | | | 1,331 |
| | | | 1,696 |
| | | | 525 |
| | | | 121 |
| | | | 242 |
| | | | 3,124 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| CALL EXXON MOBIL CORP $70 EXP 01/17/20 | P | 2019-11-01 | 2019-11-01 |
| CALL EXXON MOBIL CORP $75 EXP 11/15/19 | P | 2019-11-07 | 2019-11-07 |
| CALL EXXON MOBIL CORP $75 EXP 11/15/19 | P | 2019-11-07 | 2019-11-07 |
| CALL EXXON MOBIL CORP $75 EXP 11/15/19 | P | 2019-11-07 | 2019-11-07 |
| CALL EXXON MOBIL CORP $75 EXP 11/15/19 | P | 2019-11-07 | 2019-11-07 |
| CALL EXXON MOBIL CORP $75 EXP 11/15/19 | P | 2019-11-07 | 2019-11-07 |
| CALL EXXON MOBIL CORP $75 EXP 11/15/19 | P | 2019-11-07 | 2019-11-07 |
| CALL EXXON MOBIL CORP $75 EXP 11/15/19 | P | 2019-11-07 | 2019-11-07 |
| CALL EXXON MOBIL CORP $75 EXP 11/15/19 | P | 2019-11-07 | 2019-11-07 |
| CALL EXXON MOBIL CORP $75 EXP 11/15/19 | P | 2019-11-06 | 2019-11-07 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 3,742 | | 2,888 | 854 |
| 70 | | 4 | 66 |
| 139 | | 8 | 131 |
| 70 | | 4 | 66 |
| 70 | | 4 | 66 |
| 70 | | 4 | 66 |
| 70 | | 4 | 66 |
| 70 | | 4 | 66 |
| 278 | | 16 | 262 |
| 905 | | 52 | 853 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 854 |
| | | | 66 |
| | | | 131 |
| | | | 66 |
| | | | 66 |
| | | | 66 |
| | | | 66 |
| | | | 66 |
| | | | 262 |
| | | | 853 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| CALL EXXON MOBIL CORP $75 EXP 11/15/19 | P | 2019-11-07 | 2019-11-07 |
| CALL EXXON MOBIL CORP $75 EXP 11/15/19 | P | 2019-11-07 | 2019-11-07 |
| CALL EXXON MOBIL CORP $75 EXP 11/15/19 | P | 2019-11-07 | 2019-11-07 |
| CALL EXXON MOBIL CORP $75 EXP 11/15/19 | P | 2019-11-07 | 2019-11-07 |
| CALL EXXON MOBIL CORP $75 EXP 01/17/20 | P | 2019-11-13 | 2019-11-13 |
| CALL EXXON MOBIL CORP $75 EXP 01/17/20 | P | 2019-11-13 | 2019-11-13 |
| FINAL YEAR ADJUSTMENT FROM MARIE M GALLOWAY ESTATE EIN: 47-6938977 | D | 2019-12-31 | 2019-12-31 |
| CAPITAL GAINS DIVIDENDS | P | | |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 696 | | 40 | 656 |
| 627 | | 36 | 591 |
| 70 | | 4 | 66 |
| 348 | | 20 | 328 |
| 1,241 | | 499 | 742 |
| 1,241 | | 499 | 742 |
| | | 475,886 | -475,886 |
| 3,087 | | | 3,087 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 656 |
| | | | 591 |
| | | | 66 |
| | | | 328 |
| | | | 742 |
| | | | 742 |
| | | | -475,886 |
| | | | 3,087 |

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| ARTS FOR RURAL TEXAS<br>114 N LIVE OAK<br>FAYETTEVILLE, TX 78940 | N/A | SEC. 501(C)(3) | GENERAL FUND | 150,000 |
| BOYS AND GIRLS COUNTRY<br>18806 ROBERTS RD<br>HOCKLEY, TX 77447 | N/A | SEC. 501(C)(3) | GENERAL FUND | 10,000 |
| CAMP ALLEN18800 FM 362<br>NAVASOTA, TX 77868 | N/A | SEC. 501(C)(3) | GENERAL FUND | 30,000 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 2,359,808 |

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| CHILD ADVOCATES3701 KIRBY DR 400<br>HOUSTON, TX 77098 | N/A | SEC. 501(C)(3) | GENERAL FUND | 25,000 |
| COVENANT HOUSE TEXAS<br>1111 LOVETT BLVD<br>HOUSTON, TX 77006 | N/A | SEC. 501(C)(3) | GENERAL FUND | 200,000 |
| MIRACLE FOUNDATION1506 W 6TH ST<br>AUSTIN, TX 78703 | N/A | SEC. 501(C)(3) | GENERAL FUND | 25,000 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 2,359,808 |

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| NORA'S HOME8300 EL RIO STREET<br>HOUSTON, TX 77054 | N/A | SEC. 501(C)(3) | GENERAL FUND | 40,000 |
| OUTREACH MINISTRIES<br>10000 MEMORIAL DRIVE STE 950<br>HOUSTON, TX 77057 | N/A | SEC. 501(C)(3) | GENERAL FUND | 100,000 |
| ST MARTIN'S EPISCOPAL CHURCH<br>717 SAGE ROAD<br>HOUSTON, TX 77056 | N/A | SEC. 501(C)(3) | GENERAL FUND | 25,000 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 2,359,808 |

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| TEXAS A&M FOUNDATION<br>401 GEORGE BUSH DRIVE<br>COLLEGE STATION, TX 778402811 | N/A | SEC. 501(C)(3) | CENTER FOR TEACHING EXCELLENCE DIRECTOR ENDOWMENT | 200,000 |
| THE GALLOWAY SCHOOL<br>3200 W BAY AREA BLVD<br>FRIENDSWOOD, TX 77546 | N/A | SEC. 501(C)(3) | GENERAL FUND | 325,000 |
| THE YMCA<br>2600 NORTH LOOP WEST STE 300<br>HOUSTON, TX 77092 | N/A | SEC. 501(C)(3) | SUMMER CAMP PROGRAM | 60,000 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 2,359,808 |

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| FIRST LIBERTY<br>2001 WEST PLANO PARKWAY STE 1600<br>PLANO, TX 75075 | N/A | SEC. 501(C)(3) | GENERAL FUND | 50,000 |
| TEXAS STATE HISTORY MUSEUM FOUNDATION<br>1800 N CONGRESS<br>AUSTIN, TX 78701 | N/A | SEC. 501(C)(3) | SCHOOL PROGRAMS | 20,000 |
| HOUSTON BOTANIC GARDEN<br>8205 N BAYOU DRIVE<br>HOUSTON, TX 77017 | N/A | SEC. 501(C)(3) | GENERAL FUND | 500,000 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 2,359,808 |

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| BO'S PLACE10050 BUFFALO SPEEDWAY<br>HOUSTON, TX 77054 | N/A | SEC. 501(C)(3) | GENERAL FUND | 20,000 |
| CHINQUAPIN PREP SCHOOL<br>2615 E WALLISVILLE RD<br>HIGHLANDS, TX 77562 | N/A | SEC. 501(C)(3) | GENERAL FUND | 30,000 |
| ALZHEIMER'S ASSOCIATION<br>6055 S LOOP E<br>HOUSTON, TX 77087 | N/A | SEC. 501(C)(3) | GENERAL FUND | 20,000 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 2,359,808 |

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| BOYS AND GIRLS CLUBS OF CHAMPION VALLEY<br>1368 HIGHWAY 71 N<br>COLUMBUS, TX 78934 | N/A | SEC. 501(C)(3) | GENERAL FUND | 25,000 |
| CAMP FOR ALL FOUNDATION<br>3701 KIRBY DR 570<br>HOUSTON, TX 77098 | N/A | SEC. 501(C)(3) | GENERAL FUND | 20,000 |
| CAESAR KLEBERG RESEARCH INSTITUTE<br>700 UNIVERSITY BLVD MSC 218<br>KINGSVILLE, TX 78363 | N/A | SEC. 501(C)(3) | GENERAL FUND | 25,000 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 2,359,808 |

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| MY CONNECT COMMUNITY<br>6700 BELLAIRE BLVD<br>HOUSTON, TX 77074 | N/A | SEC. 501(C)(3) | GENERAL FUND | 25,000 |
| SCHWAB CHARITABLEPO BOX 628298<br>ORLANDO, FL 32862 | N/A | SEC. 501(C)(3) | DONOR ADVISED FUND | 234,808 |
| AGAPE DEVELOPMENT MINISTRIES<br>6401 CALHOUN<br>HOUSTON, TX 77021 | N/A | SEC. 501(C)(3) | GENERAL FUND | 125,000 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 2,359,808 |

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| HOSPITALITY APARTMENTS<br>7300 BERTNER<br>HOUSTON, TX 77030 | N/A | SEC. 501(C)(3) | GENERAL FUND | 75,000 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 2,359,808 |

# TY 2019 Accounting Fees Schedule

**Name:** THE MARIE M AND JAMES H GALLOWAY FOUNDATION

**EIN:** 76-0314195

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| ACCOUNTING FEES | 35,026 | 31,523 | | 3,503 |

| efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491321025590 |
|---|---|---|

# TY 2019 Investments Corporate Stock Schedule

**Name:** THE MARIE M AND JAMES H GALLOWAY FOUNDATION

**EIN:** 76-0314195

**Investments Corporation Stock Schedule**

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| ABBVIE INC COM | 197,727 | 221,350 |
| AT&T INC | 97,461 | 114,973 |
| ARES CAPITAL CORP | 118,851 | 131,949 |
| BERKSHIRE HATHAWAY | 148,741 | 186,863 |
| CAMECO CORPORATION | 95,850 | 86,330 |
| CHARLES SCHWAB | 200,972 | 217,587 |
| CITIGROUP INC | 94,914 | 107,852 |
| DISNEY WALT CO | 174,632 | 220,561 |
| DOLLAR TREE INC COM | 204,939 | 190,451 |
| ELECTRONIC ARTS | 226,084 | 258,024 |
| FACEBOOK INC | 177,154 | 189,856 |
| FEDEX CORP | 123,942 | 117,188 |
| GENERAL DYNAMICS CO | 188,782 | 182,522 |
| HOME DEPOT INC | 129,884 | 182,347 |
| JOHNSON & JOHNSON | 103,775 | 113,049 |
| KNIGHT SWIFT TRANSPORTATION | 119,097 | 131,712 |
| KOSMOS ENERGY LTD | 199,066 | 177,413 |
| MASTERCARED INC | 52,302 | 146,309 |
| MICROSOFT CORP | 85,112 | 224,723 |
| MOODYS CORP | 27,865 | 59,353 |
| PIONEER NATURAL RESOURCES CO | 91,693 | 105,959 |
| QUALCOMM INC TRD | 86,452 | 99,259 |
| ROPER TECHNOLOGIES | 105,230 | 118,667 |
| RAYTHEON CO COM NEW | 96,038 | 117,561 |
| SHERMAN WILLIAMS CO | 124,575 | 210,074 |
| TEXAS INSTRUMENTS | 120,874 | 141,119 |
| THERMO FISHER SCNTFC | 81,773 | 165,684 |
| UNITEDHEALTH GRP INC | 91,824 | 183,737 |
| VIRTU FINL INCORP | 93,087 | 66,758 |
| WHEATON PRECIOUS METAL | 112,815 | 123,463 |

**Investments Corporation Stock Schedule**

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| ADVANCED MICRO DEVICES INC COM | 55,661 | 130,839 |
| ALPHABET INC | 94,993 | 152,690 |
| AMAZON.COM INC | 81,640 | 138,588 |
| BROADCOM LTD | 41,643 | 52,775 |
| AMERICAN EXPRESS | 87,807 | 102,455 |
| AMGEN INC | 63,925 | 86,785 |
| APPLE INC | 136,177 | 288,364 |
| ABBOTT LABRATORIES TRD | 91,229 | 97,457 |
| AUTOMATIC DATA PROCESSING INC | 33,379 | 55,924 |
| AUTOZONE INC NEV | 38,011 | 59,566 |
| BOEING CO | 50,154 | 63,197 |
| BANK OF AMERICA CORP | 103,278 | 129,117 |
| BRISTOL MYERS SQUIBB | 69,282 | 93,268 |
| CVS HEALTH CORP COM | 89,172 | 96,503 |
| CATERPILLAR INC | 49,337 | 63,207 |
| CHUBB LIMITED COM NPV | 92,136 | 101,179 |
| CHEVRON CORP NEW COM | 167,963 | 175,945 |
| CISCO SYSTEMS INC | 86,669 | 99,325 |
| COMCAST CORP NEW CL A | 64,695 | 79,012 |
| CUMMINS INC TRD | 55,473 | 60,846 |
| COSTCO WHOLESALE | 36,257 | 56,727 |
| DANAHER CORP COM | 27,801 | 52,337 |
| DISNEY WALT CO | 79,702 | 108,906 |
| ESTEE LAUDERCO INC | 43,947 | 58,451 |
| EQUINIX INC COM | 45,498 | 53,700 |
| EDWARDS LIFESCIENCES | 38,675 | 71,153 |
| FACEBOOK INC | 91,864 | 106,320 |
| GOLDMAN SACHS GROUP INC COM | 47,154 | 55,643 |
| HOME DEPOT INC | 70,591 | 100,236 |
| HONEYWELL INTL INC | 39,940 | 61,242 |

Investments Corporation Stock Schedule

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| INGERSOLL-RAND PLC | 55,925 | 71,511 |
| JPMORGAN CHASE & CO | 64,181 | 116,817 |
| JOHNSON & JOHNSON | 33,290 | 47,845 |
| LAM RESEARCH CORP | 46,558 | 75,439 |
| LYONDELLBASELL INDUSTRIES | 45,957 | 53,003 |
| LINDE PLC | 42,837 | 55,354 |
| MCCORMICK & CO INC | 35,192 | 56,860 |
| MCDONALDS CORP | 34,948 | 50,983 |
| MERCK & CO INC | 78,640 | 104,956 |
| MICROSOFT CORP | 112,649 | 272,979 |
| NIKE INC CLASS B | 62,480 | 82,264 |
| NORTHROP GRUMMAN CORP COM | 45,416 | 51,251 |
| ORACLE CORP COM | 33,278 | 41,695 |
| PEPSICO INC | 41,683 | 53,438 |
| PFIZER INCORPORATED | 40,896 | 46,546 |
| PROCTER AND GAMBLE CO | 43,864 | 64,573 |
| PROGRESSIVE CORP OHIO | 53,762 | 57,550 |
| PHILLIPS 66 COM | 82,547 | 79,101 |
| QUALCOMM INC TRD | 76,955 | 76,937 |
| RIO TINTO ADR EACH REP | 46,068 | 45,945 |
| STARBUCKS CORP | 58,905 | 79,831 |
| THERMO FISHER SCNTFC | 34,133 | 61,076 |
| UNION PACIFIC CORP | 45,473 | 54,599 |
| UNITED CONTINENTAL/AIRLINES HOLDINGS INC | 65,958 | 72,410 |
| VISA INC | 51,722 | 116,310 |
| VERIZON COMMUNICATIONS | 91,253 | 103,643 |
| WELLS FARGO BANK | 55,506 | 64,560 |
| WAL-MART STORES INC | 95,279 | 105,054 |
| AT&T INC COM | 141,032 | 167,731 |
| APPLE INC | 51,367 | 105,714 |

Investments Corporation Stock Schedule

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| ABBVIE INC | 85,917 | 111,029 |
| AMERICAN ELEC PWR CO | 57,373 | 71,166 |
| AMGEN INC | 94,883 | 133,070 |
| CHEVRON CORP NEW COM | 78,726 | 93,395 |
| CISCO SYSTEMS INC | 110,709 | 176,205 |
| CVS HEALTH CORP COM | 91,770 | 107,572 |
| COCA COLA CO | 184,403 | 224,278 |
| CUMMINS INC TRD | 69,509 | 85,006 |
| DUKE ENERGY CORP | 92,258 | 107,810 |
| ENTERGY CORP NEW | 59,750 | 84,579 |
| FIRSTENERGY CORP TRD | 59,857 | 77,711 |
| GENUINE PARTS CO | 65,302 | 74,255 |
| GILEAD SCIENCES INC | 105,958 | 108,971 |
| GARMIN LTD COM | 48,481 | 75,316 |
| GLAXOSMITHKLINE ADR EACH CNV GSK | 93,674 | 108,359 |
| GOODYEAR TIRE AND RUBBER CO COM | 68,647 | 77,806 |
| JP MORGAN CHASE | 145,072 | 173,692 |
| KIMBERLY CLARK CORP | 117,042 | 136,862 |
| KINDER MORGAN INC COM | 56,067 | 64,992 |
| LOCKHEED MARTIN CORP | 68,116 | 104,743 |
| MAXIM INTEGRATED PRODS INC | 49,266 | 74,304 |
| NEXTERA ENERGY INC | 54,390 | 64,865 |
| MERCK & CO INC | 62,407 | 95,679 |
| METLIFE INC | 71,414 | 79,666 |
| NATIONAL RETAIL PPTYS INC | 93,056 | 89,921 |
| OMNICOM GROUP | 92,076 | 95,604 |
| PAYCHEX INC | 63,435 | 91,354 |
| PEPSICO INC | 152,064 | 189,425 |
| PFIZER INCORPORATED | 82,821 | 114,954 |
| PROCTER AND GAMBLE CO | 106,524 | 160,996 |

**Investments Corporation Stock Schedule**

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| SPDR SER TR WELLS FARGO PFD | 85,103 | 85,467 |
| TARGET CORP COM TRD | 56,235 | 102,953 |
| TEXAS INSTRUMENTS | 92,315 | 119,566 |
| TORONTO-DOMINION BANK COM NPB | 70,832 | 67,131 |
| TRUIST FINL CORP COM | 57,875 | 78,060 |
| UNITED PARCEL SVC INC | 137,439 | 145,974 |
| VERIZON COMMUNICATIONS | 140,236 | 164,675 |
| WHIRLPOOL CORP | 61,085 | 65,356 |
| WESTERN UNION CO COM | 80,313 | 105,379 |
| SELECT SECTOR SPDR TR RL EST | 68,261 | 69,103 |
| ARES CAPITAL CORP | 63,324 | 67,252 |
| BROADCOM LTD | 79,437 | 95,754 |
| BHP BILLITON PLC | 79,913 | 84,524 |
| DELTA AIRLINES INC DEL COM NE | 10,023 | 10,015 |
| EXXON MOBIL CORP | 34,912,564 | 29,205,233 |
| ACCENTURE PLC CLS A | 57,001 | 98,757 |
| BERKSHIRE HATHAWAY | 60,148 | 81,540 |
| CH ROBINSON WORLDWIDE INC | 77,151 | 83,127 |
| CAL MAINE FOODS INC | 70,326 | 77,207 |
| CUMMINS INC TRD | 74,180 | 79,279 |
| DISCOVER FINL SVCS | 56,205 | 79,392 |
| EMERSON ELECTRIC CO | 71,719 | 93,571 |
| GILEAD SCIENCES INC | 83,729 | 80,575 |
| LAM RESEARCH CORP | 47,476 | 85,088 |
| MERCK & CO INC | 71,769 | 106,048 |
| OMNICOM CAP INC | 76,188 | 77,050 |
| PEPSICO INC | 74,883 | 92,799 |
| PHILLIPS 66 COM | 82,431 | 95,033 |
| PRICE T ROWE GROUP INC | 59,988 | 98,690 |
| PUBLIC SERVICE ENTERPRISE GROUP INC | 58,019 | 78,832 |

**Investments Corporation Stock Schedule**

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| TRUIST FINL CORP COM | 58,242 | 73,610 |
| UNITEDHEALTH GRP INC | 70,489 | 113,770 |
| WELLS FARGO BANK | 81,964 | 80,861 |

# TY 2019 Investments Government Obligations Schedule

**Name:** THE MARIE M AND JAMES H GALLOWAY FOUNDATION

**EIN:** 76-0314195

| | |
|---|---|
| **US Government Securities - End of Year Book Value:** | 349,859 |
| **US Government Securities - End of Year Fair Market Value:** | 349,836 |
| **State & Local Government Securities - End of Year Book Value:** | 0 |
| **State & Local Government Securities - End of Year Fair Market Value:** | 0 |

# TY 2019 Investments - Other Schedule

**Name:** THE MARIE M AND JAMES H GALLOWAY FOUNDATION

**EIN:** 76-0314195

**Investments Other Schedule 2**

| Category/ Item | Listed at Cost or FMV | Book Value | End of Year Fair Market Value |
|---|---|---|---|
| AMERICAN TOWER CORPORATION | FMV | 35,904 | 71,014 |
| ISHARES IBOXX INVESTMENT GRADE | FMV | 51,280 | 54,127 |
| ISHARES TR IBOXX HI YD ETF | FMV | 62,437 | 64,548 |
| ISHARES TR GL TIMB FORE ETF | FMV | 71,463 | 73,901 |
| INVESCO EXCHNG TR | FMV | 64,633 | 66,344 |
| CROWN CASTLE INTL CORP | FMV | 82,096 | 122,675 |
| DIGITAL RLTY TR INC | FMV | 86,451 | 94,475 |
| LAMAR ADVERTISING CO | FMV | 82,001 | 111,218 |

# TY 2019 Other Assets Schedule

**Name:** THE MARIE M AND JAMES H GALLOWAY FOUNDATION

**EIN:** 76-0314195

## Other Assets Schedule

| Description | Beginning of Year - Book Value | End of Year - Book Value | End of Year - Fair Market Value |
|---|---|---|---|
| ACCRUED INTEREST - BONDS | 0 | 1,665 | 1,665 |

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# TY 2019 Other Expenses Schedule

**Name:** THE MARIE M AND JAMES H GALLOWAY FOUNDATION

**EIN:** 76-0314195

**Other Expenses Schedule**

| Description | Revenue and Expenses per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| TELEPHONE | 1,055 | 1,055 | | 0 |
| POSTAGE | 92 | 92 | | 0 |
| OFFICE SUPPLIES | 472 | 472 | | 0 |
| PROFESSIONAL FEES | 1,542 | 1,542 | | 0 |
| BANK CHARGES | 72 | 72 | | 0 |
| INSURANCE | 386 | 386 | | 0 |
| PARKING | 430 | 215 | | 215 |
| MOVING EXPENSES | 1,836 | 918 | | 918 |

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491321025590

# TY 2019 Other Income Schedule

**Name:** THE MARIE M AND JAMES H GALLOWAY FOUNDATION

**EIN:** 76-0314195

## Other Income Schedule

| Description | Revenue And Expenses Per Books | Net Investment Income | Adjusted Net Income |
|---|---|---|---|
| FINAL YEAR ADJUSTMENT FROM MARIE M GALLOWAY ESTATE EIN: 47-6938977 | 477,933 | 0 | 477,933 |

| efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491321025590 |
|---|---|---|

# TY 2019 Other Increases Schedule

**Name:** THE MARIE M AND JAMES H GALLOWAY FOUNDATION

**EIN:** 76-0314195

| Description | Amount |
|---|---|
| ADJUSTMENT TO TIE STOCK BASIS TO BROKERS | 3,173 |

# TY 2019 Other Professional Fees Schedule

**Name:** THE MARIE M AND JAMES H GALLOWAY FOUNDATION

**EIN:** 76-0314195

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| INVESTMENT MANAGEMENT FEES | 155,593 | 155,593 | | 0 |

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491321025590

# TY 2019 Taxes Schedule

**Name:** THE MARIE M AND JAMES H GALLOWAY FOUNDATION

**EIN:** 76-0314195

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| CHARLES SCHWAB & FIDELITY - FOREIGN TAXES PAID | 900 | 900 | | 0 |
| FEDERAL EXCISE TAX | 30,642 | 0 | | 0 |

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491321025590

# Schedule B
**(Form 990, 990-EZ, or 990-PF)**
Department of the Treasury
Internal Revenue Service

# Schedule of Contributors

▶ **Attach to Form 990, 990-EZ, or 990-PF.**
▶ **Go to *www.irs.gov/Form990* for the latest information.**

OMB No. 1545-0047

**2019**

| Name of the organization | Employer identification number |
| --- | --- |
| THE MARIE M AND JAMES H GALLOWAY FOUNDATION | 76-0314195 |

**Organization type** (check one):

| **Filers of:** | **Section:** |
| --- | --- |
| Form 990 or 990-EZ | ☐ 501(c)( ) (enter number) organization |
| | ☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation |
| | ☐ 527 political organization |
| Form 990-PF | ☑ 501(c)(3) exempt private foundation |
| | ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation |
| | ☐ 501(c)(3) taxable private foundation |

Check if your organization is covered by the **General Rule** or a **Special Rule.**
**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling $5,000 or more during the year . . . . . . . . . . ▶ $ ____________

**Caution:** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

| Name of organization | Employer identification number |
| --- | --- |
| THE MARIE M AND JAMES H GALLOWAY FOUNDATION | 76-0314195 |

**Part I** **Contributors** **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| --- | --- | --- | --- |
| 1 | MARIE M GALLOWAY ESTATE<br>1800 BERING DRIVE STE 315<br>HOUSTON, TX 77057 | $ 129,184 | ☑ Person<br>☐ Payroll<br>☐ Noncash<br>(Complete Part II for noncash contributions.) |
| - | | $ | ☐ Person<br>☐ Payroll<br>☐ Noncash<br>(Complete Part II for noncash contributions.) |
| - | | $ | ☐ Person<br>☐ Payroll<br>☐ Noncash<br>(Complete Part II for noncash contributions.) |
| - | | $ | ☐ Person<br>☐ Payroll<br>☐ Noncash<br>(Complete Part II for noncash contributions.) |
| - | | $ | ☐ Person<br>☐ Payroll<br>☐ Noncash<br>(Complete Part II for noncash contributions.) |
| - | | $ | ☐ Person<br>☐ Payroll<br>☐ Noncash<br>(Complete Part II for noncash contributions.) |

| Name of organization | Employer identification number |
|---|---|
| THE MARIE M AND JAMES H GALLOWAY FOUNDATION | 76-0314195 |

**Part II** **Noncash Property**
(see instructions). Use duplicate copies of Part II if additional space is needed.

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions) | (d) Date received |
|---|---|---|---|
| - | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions) | (d) Date received |
|---|---|---|---|
| - | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions) | (d) Date received |
|---|---|---|---|
| - | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions) | (d) Date received |
|---|---|---|---|
| - | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions) | (d) Date received |
|---|---|---|---|
| - | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions) | (d) Date received |
|---|---|---|---|
| - | | $ | |

| Name of organization | **Employer identification number** |
|---|---|
| THE MARIE M AND JAMES H GALLOWAY FOUNDATION | 76-0314195 |

**Part III** ***Exclusively*** **religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of** ***exclusively*** **religious, charitable, etc., contributions of $1,000 or less for the year. (Enter this information once. See instructions.)** ▶ $ ____________

Use duplicate copies of Part III if additional space is needed.

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |
| | (e) Transfer of gift | | |
| | Transferee's name, address, and ZIP 4 | | Relationship of transferor to transferee |
| | | | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |
| | (e) Transfer of gift | | |
| | Transferee's name, address, and ZIP 4 | | Relationship of transferor to transferee |
| | | | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |
| | (e) Transfer of gift | | |
| | Transferee's name, address, and ZIP 4 | | Relationship of transferor to transferee |
| | | | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |
| | (e) Transfer of gift | | |
| | Transferee's name, address, and ZIP 4 | | Relationship of transferor to transferee |
| | | | |