

Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

- ▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No 1545-0047

2019

Open to Public Inspection

For calendar year 2019 or tax year beginning

, and ending

Name of foundation THE EDWARD JOHN & PATRICIA ROSENWALD FOUNDATION		A Employer identification number 74-3107995
Number and street (or P O box number if mail is not delivered to street address) C/O ANCHIN, 1375 BROADWAY	Room/suite	B Telephone number (212) 840-3456
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10018		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 116,569.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		5,124,371.		N/A	
2 Check ☐ if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		90.	90.		
4 Dividends and interest from securities					
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		13,982.			STATEMENT 1
b Gross sales price for all assets on line 6a		4,638,353.			
7 Capital gain net income (from Part IV, line 2)			1,710,100.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		5,138,443.	1,710,190.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 2		42,000.	10,500.		31,500.
c Other professional fees					
17 Interest					
18 Taxes STMT 3		2,145.	0.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 4		25.	0.		25.
24 Total operating and administrative expenses. Add lines 13 through 23		44,170.	10,500.		31,525.
25 Contributions, gifts, grants paid		4,987,214.			4,962,034.
26 Total expenses and disbursements. Add lines 24 and 25		5,031,384.	10,500.		4,993,559.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		107,059.			
b Net investment income (if negative, enter -0-)			1,699,690.		
c Adjusted net income (if negative, enter -0-)				N/A	

8-30-39

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	9,510.	116,569.	116,569.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock			
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	9,510.	116,569.	116,569.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ ☐			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ ☒			
	26 Capital stock, trust principal, or current funds	0.	0.	
	27 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	28 Retained earnings, accumulated income, endowment, or other funds	9,510.	116,569.	
	29 Total net assets or fund balances	9,510.	116,569.	
30 Total liabilities and net assets/fund balances	9,510.	116,569.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	9,510.
2 Enter amount from Part I, line 27a	2	107,059.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	116,569.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	116,569.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PUBLICLY TRADED SECURITIES	D	01/01/18	12/31/19
b PUBLICLY TRADED SECURITIES	D	01/01/18	12/31/19
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a 2,046,027.		1,520,340.	525,687.
b 2,592,326.		1,407,913.	1,184,413.
c			
d			
e			

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			525,687.
b			1,184,413.
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.			
2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	1,710,100.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	1,365,835.	135,829.	10.055548
2017	2,028,317.	212,898.	9.527177
2016	2,130,913.	177,695.	11.991969
2015	1,820,753.	242,321.	7.513806
2014	1,977,154.	261,856.	7.550539

2 Total of line 1, column (d)	2	46.639039
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	9.327808
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	170,627.
5 Multiply line 4 by line 3	5	1,591,576.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	16,997.
7 Add lines 5 and 6	7	1,608,573.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	4,993,559.

THE EDWARD JOHN &
PATRICIA ROSENWALD FOUNDATION

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	16,997.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	2	0.
3	Add lines 1 and 2	3	16,997.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	16,997.
6	Credits/Payments:		
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a	8,000.
b	Exempt foreign organizations - tax withheld at source	6b	0.
c	Tax paid with application for extension of time to file (Form 8868)	6c	0.
d	Backup withholding erroneously withheld	6d	0.
7	Total credits and payments. Add lines 6a through 6d	7	8,000.
8	Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	252.
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	9,249.
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be: Credited to 2020 estimated tax ☐ Refunded ☒	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions. <u>NY</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	X	
Website address N/A		
14 The books are in care of ANCHIN, BLOCK & ANCHIN LLP Telephone no. 212-840-3456		
Located at 1375 BROADWAY, NEW YORK, NY ZIP+4 10018		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here ☐ and enter the amount of tax-exempt interest received or accrued during the year 15 N/A		
16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?		X
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country N/A		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	N/A	
Organizations relying on a current notice regarding disaster assistance, check here ☐		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019?	☐ Yes ☒ No	
If "Yes," list the years N/A		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. N/A		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019.)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?		X

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Part VII-B. Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

N/A

Organizations relying on a current notice regarding disaster assistance, check here

☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

☐ Yes ☒ No

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

N/A

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?

☐ Yes ☒ No**Part VIII. Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, and foundation managers and their compensation.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
EDWARD JOHN ROSENWALD JR 270 PARK AVE, 27TH FL NEW YORK, NY 10017	PRESIDENT 5.00	0.	0.	0.
PATRICIA ROSENWALD (DECD. 10/26/19) 270 PARK AVE, 27TH FL NEW YORK, NY 10017	VICE PRESIDENT 5.00	0.	0.	0.
LAWRENCE B BUTTENWIESER C/O KATTEN MUCHIN ZAVIS ROSENMAN 575 NEW YORK, NY 10022	DIRECTOR 5.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

(continued)

3

Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	NOT APPLICABLE	
		0.
2		
3		
4		

Part IX-B

Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1	N/A	
2		
3	All other program-related investments. See instructions.	
Total. Add lines 1 through 3		0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	0.
b	Average of monthly cash balances	1b	173,225.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	173,225.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	173,225.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	2,598.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	170,627.
6	Minimum investment return. Enter 5% of line 5	6	8,531.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	8,531.
2a	Tax on investment income for 2019 from Part VI, line 5	2a	16,997.
b	Income tax for 2019. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	16,997.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	0.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	0.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	0.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	4,993,559.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	4,993,559.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	16,997.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	4,976,562.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				0.
2 Undistributed income, if any, as of the end of 2019			0.	
a Enter amount for 2018 only				
b Total for prior years.		0.		
3 Excess distributions carryover, if any, to 2019:				
a From 2014	1,986,853.			
b From 2015	1,823,125.			
c From 2016	2,138,202.			
d From 2017	2,029,174.			
e From 2018	1,371,334.			
f Total of lines 3a through e	9,348,688.			
4 Qualifying distributions for 2019 from Part XII, line 4: ► \$ 4,993,559.			0.	
a Applied to 2018, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	4,993,559.			
d Applied to 2019 distributable amount				0.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	14,342,247.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	5,124,371.			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a	9,217,876.			
10 Analysis of line 9:				
a Excess from 2015				
b Excess from 2016	823,809.			
c Excess from 2017	2,029,174.			
d Excess from 2018	1,371,334.			
e Excess from 2019	4,993,559.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) **N/A**

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4, for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6, for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

THE EDWARD JOHN &
PATRICIA ROSENWALD FOUNDATION

Form 990-PF (2019)

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Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
AJC - GLOBAL JEWISH ADVOCACY 165 EAST 56 STREET NEW YORK, NY 10022	NONE	PUBLIC CHARITY	GENERAL SUPPORT	50,000.
AMERICAN FOUNDATION OF THE SERPENTINE GALLERIES PO BOX 1510 NEW YORK, NY 10150	NONE	PUBLIC CHARITY	GENERAL SUPPORT	10,000.
AMERICAN FRIENDS OF THE ISRAEL MUSEUM 545 FIFTH AVENUE, SUITE 920 NEW YORK, NY 10017	NONE	PUBLIC CHARITY	GENERAL SUPPORT	5,000.
AMERICAN MUSEUM OF NATURAL HISTORY 79TH ST & CENTRAL PARK W NEW YORK, NY 10024	NONE	PUBLIC CHARITY	GENERAL SUPPORT	50,000.
ARCH INTERNATIONAL 1001 19TH ST N STE 1200 ARLINGTON, VA 22209	NONE	PUBLIC CHARITY	GENERAL SUPPORT	20,000.
Total	SEE CONTINUATION SHEET(S)			4,987,214.
b Approved for future payment				
NONE				
Total				0.

Form 990-PF (2019)

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

- | | |
|--|-------------------|
| <p>1 Did the organization directly or indirectly engage in any of the following in any of the following or any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?</p> <p>a Transfers from the reporting foundation to a noncharitable exempt organization of:</p> <p>(1) Cash</p> <p>(2) Other assets</p> <p>b Other transactions:</p> <p>(1) Sales of assets to a noncharitable exempt organization</p> <p>(2) Purchases of assets from a noncharitable exempt organization</p> <p>(3) Rental of facilities, equipment, or other assets</p> <p>(4) Reimbursement arrangements</p> <p>(5) Loans or loan guarantees</p> <p>(6) Performance of services or membership or fundraising solicitations</p> <p>c Sharing of facilities, equipment, mailing lists, other assets, or paid employees</p> <p>d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.</p> | <p>Yes</p> |
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[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

6/9/2020
Date

► **PRESIDENT**

May the IRS discuss this return with the preparer shown below? See instr

☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

TARA BUREK

Preparer's signature

Jana Burek, CPA

Date _____

06/04/20

Check ☐ if
self-employed

PTIN

P01256478

Firm's name ► **ANCHIN, BLOCK & ANCHIN LLP**

Firm's EIN ► 13-0436940

Firm's address ► 1375 BROADWAY
NEW YORK, NY 10018-7001

Phone no. 212-840-3456

Form **990-PF** (2019)

THE EDWARD JOHN &
PATRICIA ROSENWALD FOUNDATION

74-3107995

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ASIA SOCIETY 725 PARK AVENUE NEW YORK, NY 10021	NONE	PUBLIC CHARITY	GENERAL SUPPORT	10,000.
BREAST CANCER ALLIANCE, INC. 48 MAPLE AVENUE GREENWICH, CT 06830	NONE	PUBLIC CHARITY	GENERAL SUPPORT	2,000.
BREAST CANCER RESEARCH FOUNDATION 28 W. 44TH STREET SUITE 609 NEW YORK, NY 10036	NONE	PUBLIC CHARITY	GENERAL SUPPORT	27,250.
CANCER RESEARCH INSTITUTE 29 BROADWAY, FLOOR 4 NEW YORK, NY 10006	NONE	PUBLIC CHARITY	GENERAL SUPPORT	6,000.
CENTER FOR A NEW AMERICAN SECURITY INC 1152 15TH STREET NW STE 950 WASHINGTON, DC 20005	NONE	PUBLIC CHARITY	GENERAL SUPPORT	100,000.
CENTER FOR EDUCATIONAL INNOVATION 28 WEST 44TH STREET, SUITE 801 NEW YORK, NY 10036	NONE	PUBLIC CHARITY	GENERAL SUPPORT	5,000.
CHESS IN THE SCHOOLS, INC. 520 8TH AVENUE 22ND FLOOR NEW YORK, NY 10018	NONE	PUBLIC CHARITY	GENERAL SUPPORT	10,000.
CITY PARKS FOUNDATION 830 FIFTH AVENUE NEW YORK, NY 10065	NONE	PUBLIC CHARITY	GENERAL SUPPORT	5,000.
COLUMBIA UNIVERSITY 622 WEST 113TH STRET MAIL CODE 4524 NEW YORK, NY 10025	NONE	PUBLIC CHARITY	GENERAL SUPPORT	460,000.
COMMON SENSE 650 TOWNSEND, SUITE 435 SAN FRANCISCO, CA 94103	NONE	PUBLIC CHARITY	GENERAL SUPPORT	9,690.
Total from continuation sheets				4,852,214.

THE EDWARD JOHN &
PATRICIA ROSENWALD FOUNDATION

74-3107995

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CONGREGATION EMANU-EL OF THE CITY OF NEW YORK 1 E 65TH STREET NEW YORK, NY 10065	NONE	PUBLIC CHARITY	GENERAL SUPPORT	5,000.
COUNCIL ON FOREIGN RELATIONS 58 EAST 68TH STREET NEW YORK, NY 10065	NONE	PUBLIC CHARITY	GENERAL SUPPORT	50,000.
CRYSTAL BRIDGES MUSEUM OF AMERICAN ART INC 600 MUSEUM WAY BENTONVILLE, AR 72712	NONE	PUBLIC CHARITY	GENERAL SUPPORT	5,000.
DARTMOUTH COLLEGE 6066 DEVELOPMENT OFFICE HANOVER, NH 03755	NONE	PUBLIC CHARITY	GENERAL SUPPORT	35,000.
ENVIRONMENTAL DEFENSE FUND INCORPORATED 257 PARK AVE SOUTH, 17TH FLOOR NEW YORK, NY 10010	NONE	PUBLIC CHARITY	GENERAL SUPPORT	100,000.
GIFT OF LIFE MARROW REGISTRY 5901 BROKEN SOUND PKWY NW SUITE 600 BOCA RATON, FL 33487	NONE	PUBLIC CHARITY	GENERAL SUPPORT	10,000.
GNYS BOY SCOUTS OF AMERICA 475 RIVERSIDE DRIVE, SUITE 600 NEW YORK, NY 10115	NONE	PUBLIC CHARITY	GENERAL SUPPORT	5,000.
GREYSTON FOUNDATION, INC. 21 PARK AVENUE YONKERS, NY 10703	NONE	PUBLIC CHARITY	GENERAL SUPPORT	5,000.
HARLEM VILLAGE ACADEMY CHARTER SCHOOL 35 WEST 124TH STREET, 5TH FLOOR NEW YORK, NY 10027	NONE	PUBLIC CHARITY	GENERAL SUPPORT	25,000.
HARMONIE PRESERVATION FOUNDATION INC 4 EAST 60TH STREET NEW YORK, NY 10022	NONE	PUBLIC CHARITY	GENERAL SUPPORT	50,000.
Total from continuation sheets				

THE EDWARD JOHN &
PATRICIA ROSENWALD FOUNDATION

74-3107995

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
HBC FOUNDATION INC 901 NW 57TH ST GAINESVILLE, FL 32602	NONE	PUBLIC CHARITY	GENERAL SUPPORT	20,000.
HEART 9/11 320 S. LECANTO HWY. UNIT #738 LECANTO, FL 34460	NONE	PUBLIC CHARITY	GENERAL SUPPORT	50,000.
HISTORICAL SOCIETY OF PALM BEACH COUNTY PO BOX 4364 300 N DIXIE HWY, SUITE 471 WEST PALM BEACH, FL 33402	NONE	PUBLIC CHARITY	GENERAL SUPPORT	5,000.
INTERNATIONAL WOMEN'S HEALTH COALITION 333 SEVENTH AVENUE, 6TH FL NEW YORK, NY 10001	NONE	PUBLIC CHARITY	GENERAL SUPPORT	5,000.
JEWISH FEDERATION OF PALM BEACH COUNTY INC. 1 HARVARD CIRCLE, SUITE 100 WEST PALM BEACH, FL 33409	NONE	PUBLIC CHARITY	GENERAL SUPPORT	5,000.
JOHNS HOPKINS UNIVERSITY 3910 KESWICK ROAD SUITE N2100 BALTIMORE, MD 21211	NONE	PUBLIC CHARITY	GENERAL SUPPORT	10,000.
LINCOLN CENTER FOR THE PERFORMING ARTS INC 70 LINCOLN CENTER PLAZA NEW YORK, NY 10023	NONE	PUBLIC CHARITY	GENERAL SUPPORT	20,000.
MANURSING WAY AND ISLAND BEAUTIFICATION ASSOCIATION 920 E LINCOLN ST BIRMINGHAM, MI 48009	NONE	PUBLIC CHARITY	GENERAL SUPPORT	5,000.
MARYMOUNT SCHOOL NEW YORK 1026 5TH AVE NEW YORK, NY 10028	NONE	PUBLIC CHARITY	GENERAL SUPPORT	20,000.
NATIONAL JEWELRY INSTITUTE INC 150 EAST 58TH STREET, 38TH FLOOR NEW YORK, NY 10155	NONE	PUBLIC CHARITY	GENERAL SUPPORT	25,000.
Total from continuation sheets				

THE EDWARD JOHN &
PATRICIA ROSENWALD FOUNDATION

74-3107995

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NATIONAL MUSEUM OF AMERICAN JEWISH HISTORY 101 SOUTH INDEPENDENCE MALL EAST PHILADELPHIA, PA 19106	NONE	PUBLIC CHARITY	GENERAL SUPPORT	10,000.
NATIONAL SEPTEMBER 11 MEMORIAL & MUSEUM AT THE WORLD TRADE CENTER FOUNDATION, INC. 200 LIBERTY STREET, 16TH FLOOR NEW YORK, NY 10281	NONE	PUBLIC CHARITY	GENERAL SUPPORT	100,000.
NEW YORK CITY POLICE FOUNDATION INC. 555 FIFTH AVENUE, 15TH FLOOR NEW YORK, NY 10017	NONE	PUBLIC CHARITY	GENERAL SUPPORT	100,000.
NEW YORK JUNIOR TENNIS LEAGUE, INC. 58-12 QUEENS BOULEVARD, STE 1- WOODSIDE, NY 11377	NONE	PUBLIC CHARITY	GENERAL SUPPORT	5,000.
NEW YORK PHILHARMONIC 10 LINCOLN CENTER PLZ AVERY FISHER HALL NEW YORK, NY 10023	NONE	PUBLIC CHARITY	GENERAL SUPPORT	10,000.
NORTHSIDE CENTER FOR CHILD DEVELOPMENT INC 1301 5TH AVENUE NEW YORK, NY 10029	NONE	PUBLIC CHARITY	GENERAL SUPPORT	5,000.
NYU LANGONE HEALTH SYSTEM 550 FIRST AVENUE NEW YORK, NY 10016	NONE	PUBLIC CHARITY	GENERAL SUPPORT	200,000.
NYU LANGONE HOSPITALS ONE PARK AVENUE, 3RD FLOOR NEW YORK, NY 10016	NONE	PUBLIC CHARITY	GENERAL SUPPORT	746,000.
PALM BEACH COUNTRY CLUB FOUNDATION 760 N OCEAN BOULEVARD PALM BEACH, FL 33480	NONE	PUBLIC CHARITY	GENERAL SUPPORT	1,000.
PARTNERSHIP FOR PUBLIC SERVICE INC 1100 NEW YORK AVE NW SUITE 200 EAST WASHINGTON, DC 20005	NONE	PUBLIC CHARITY	GENERAL SUPPORT	25,000.
Total from continuation sheets				

THE EDWARD JOHN &
PATRICIA ROSENWALD FOUNDATION

74-3107995

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
PLANNED PARENTHOOD OF SOUTH, EAST AND NORTH FL 2300 N. FLORIDA MANGO ROAD WEST PALM BEACH, FL 33409	NONE	PUBLIC CHARITY	GENERAL SUPPORT	5,000.
PUBLICOLOR, INC 20 WEST 36 STREET, 9TH FLOOR NEW YORK, NY 10018	NONE	PUBLIC CHARITY	GENERAL SUPPORT	20,000.
SOCIETY OF THE FOUR ARTS FOUR ARTS PLAZA PALM BEACH, FL 33480	NONE	PUBLIC CHARITY	GENERAL SUPPORT	25,000.
SOUTH FLORIDA PBS P.O. BOX 610002 MIAMI, FL 33181	NONE	PUBLIC CHARITY	GENERAL SUPPORT	5,000.
SUCCESS ACADEMY CHARTER SCHOOLS - NYC 120 WALL STREET FL 6 NEW YORK, NY 10005	NONE	PUBLIC CHARITY	GENERAL SUPPORT	100,000.
TEACHERS COLLEGE COLUMBIA UNIVERSITY 525 WEST 120TH STREET BOX 306 NEW YORK, NY 10027	NONE	PUBLIC CHARITY	GENERAL SUPPORT	1,260,000.
THE ACTING COMPANY 630 NINTH AVENUE, SUITE 803B NEW YORK, NY 10036	NONE	PUBLIC CHARITY	GENERAL SUPPORT	1,000.
THE AMERICAN HIMALAYAN FOUNDATION 909 MONTGOMERY ST, SUITE 400 SAN FRANCISCO, CA 94133	NONE	PUBLIC CHARITY	GENERAL SUPPORT	5,000.
THE ASIA FOUNDATION 465 CALIFORNIA STREET, 9TH FLOOR SAN FRANCISCO, CA 94104	NONE	PUBLIC CHARITY	GENERAL SUPPORT	14,442.
THE CENTER 208 W 13 ST NEW YORK, NY 10011	NONE	PUBLIC CHARITY	GENERAL SUPPORT	1,000.
Total from continuation sheets				

THE EDWARD JOHN &
PATRICIA ROSENWALD FOUNDATION

74-3107995

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
THE CHANTICLEER FOUNDATION 786 CHURCH ROAD WAYNE, PA 19087	NONE	PRIVATE OPERATING FOUNDATION	GENERAL SUPPORT	5,000.
THE FUND FOR PARK AVENUE 445 PARK AVE, STE 900 NEW YORK, NY 10022	NONE	PUBLIC CHARITY	GENERAL SUPPORT	1,000.
THE METROPOLITAN MUSEUM OF ART 1000 FIFTH AVENUE NEW YORK, NY 10028	NONE	PUBLIC CHARITY	GENERAL SUPPORT	67,982.
THE MORGAN LIBRARY & MUSEUM 225 MADISON AVENUE NEW YORK, NY 10016	NONE	PUBLIC CHARITY	GENERAL SUPPORT	25,000.
THE MUSEUM OF MODERN ART 11 W 53RD ST NEW YORK, NY 10019	NONE	PUBLIC CHARITY	GENERAL SUPPORT	10,000.
THE NEW YORK BOTANICAL GARDEN 2900 SOUTHERN BOULEVARD BRONX, NY 10458	NONE	PUBLIC CHARITY	GENERAL SUPPORT	5,000.
THE PARIS FOUNDATION INC. 250 E 78TH ST NEW YORK, NY 10075	NONE	PUBLIC CHARITY	GENERAL SUPPORT	25,000.
THE PHILLIP AND PATRICIA FROST MUSEUM OF SCIENCE 1101 BISCAYNE BOULEVARD MIAMI, FL 33132	NONE	PUBLIC CHARITY	GENERAL SUPPORT	100,000.
THE ROCKEFELLER UNIVERSITY 1230 YORK AVENUE NEW YORK, NY 10065	NONE	PUBLIC CHARITY	GENERAL SUPPORT	53,350.
THE SECOND STAGE THEATRE INC 1501 BROADWAY SUITE 518 NEW YORK, NY 10036	NONE	PUBLIC CHARITY	GENERAL SUPPORT	10,000.
Total from continuation sheets				

THE EDWARD JOHN &
PATRICIA ROSENWALD FOUNDATION

74-3107995

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
THE SKIN CANCER FOUNDATION 205 LEXINGTON AVE, 11TH FLOOR NEW YORK, NY 10016	NONE	PUBLIC CHARITY	GENERAL SUPPORT	10,000.
THE URBAN ASSEMBLY 90 BROAD STREET, SUITE 2101 NEW YORK, NY 10004	NONE	PUBLIC CHARITY	GENERAL SUPPORT	10,000.
TINA LECLAIR MEMORIAL FOUNDATION INC 117 OLIVE ST. SARANAC LAKE, NY 12983	NONE	PUBLIC CHARITY	GENERAL SUPPORT	5,000.
TOWN OF PALM BEACH UNITED WAY INC 44 COCONUT ROW SUITE M201 PALM BEACH, FL 33480	NONE	PUBLIC CHARITY	GENERAL SUPPORT	1,500.
UJA FEDERATION NEW YORK 130 EAST 59TH STREET NEW YORK, NY 10022	NONE	PUBLIC CHARITY	GENERAL SUPPORT	150,000.
UNIVERSITY OF ROCHESTER PO BOX 270032 300 EAST RIVER ROAD ROCHESTER, NY 14627	NONE	PUBLIC CHARITY	GENERAL SUPPORT	100,000.
WHITNEY MUSEUM OF AMERICAN ART 945 MADISON AVE NEW YORK, NY 10021	NONE	PUBLIC CHARITY	GENERAL SUPPORT	10,000.
WILDLIFE CONSERVATION SOCIETY 2300 SOUTHERN BLVD BRONX, NY 10460	NONE	PUBLIC CHARITY	GENERAL SUPPORT	25,000.
WORLD JEWISH CONGRESS 501 MADISON AVENUE NEW YORK, NY 10022	NONE	PUBLIC CHARITY	GENERAL SUPPORT	10,000.
WORLD TRADE CENTER PERFORMING ARTS CENTER INC ONE LIBERTY PLAZA, 29TH FLOOR NEW YORK, NY 10006	NONE	PUBLIC CHARITY	GENERAL SUPPORT	500,000.
Total from continuation sheets				

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

- ▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No 1545-0047

2019

Name of the organization

THE EDWARD JOHN &
PATRICIA ROSENWALD FOUNDATION

Employer identification number

74-3107995

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

- ☐ 501(c)() (enter number) organization
- ☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- ☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation
- ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
- ☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000, or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2019)

Name of organization THE EDWARD JOHN & PATRICIA ROSENWALD FOUNDATION	Employer identification number 74-3107995
--	---

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	E. JOHN ROSENWALD, JR. 270 PARK AVE, 27TH FL NEW YORK, NY 10017	\$ 1,368,405.	Person ☒ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
2	E. JOHN ROSENWALD, JR. 270 PARK AVE, 27TH FL NEW YORK, NY 10017	\$ 791,111.	Person ☒ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
3	E. JOHN ROSENWALD, JR. 270 PARK AVE, 27TH FL NEW YORK, NY 10017	\$ 1,360,331.	Person ☒ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
4	E. JOHN ROSENWALD, JR. 270 PARK AVE, 27TH FL NEW YORK, NY 10017	\$ 300,213.	Person ☒ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
5	E. JOHN ROSENWALD, JR. 270 PARK AVE, 27TH FL NEW YORK, NY 10017	\$ 99,873.	Person ☒ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
6	E. JOHN ROSENWALD, JR. 270 PARK AVE, 27TH FL NEW YORK, NY 10017	\$ 704,438.	Person ☒ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)

Name of organization

Employer identification number

THE EDWARD JOHN &
PATRICIA ROSENWALD FOUNDATION

74-3107995

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
7	E. JOHN ROSENWALD, JR. 270 PARK AVE, 27TH FL NEW YORK, NY 10017	\$ 200,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
8	E. JOHN ROSENWALD, JR. 2008 NIMCRUT 270 PARK AVE, 27TH FL NEW YORK, NY 10017	\$ 300,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization

THE EDWARD JOHN &
PATRICIA ROSENWALD FOUNDATION

Employer identification number

74-3107995

Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
<u>1</u>	335 SHS CHUBB LIMITED	\$ 43,116.	01/10/19
<u>1</u>	350 SHS CHEVRON CORPORATION	\$ 39,435.	01/10/19
<u>1</u>	735 SHS CONOCOPHILLIPS COMPANY	\$ 49,039.	01/10/19
<u>1</u>	1,150 SHS CORNING INCORPORATED	\$ 34,425.	01/10/19
<u>1</u>	265 SHS DIAGEO PLC	\$ 36,877.	01/10/19
<u>1</u>	675 SHS HEALTHPEAK PROPERTIES (FKA HCP INC.)	\$ 19,295.	01/10/19

Name of organization

THE EDWARD JOHN &
PATRICIA ROSENWALD FOUNDATION

Employer identification number

74-3107995

Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
<u>1</u>	325 SHS JOHNSON & JOHNSON	\$ <u>41,857.</u>	<u>01/10/19</u>
<u>1</u>	410 SHS ELI LILLY & COMPANY	\$ <u>47,599.</u>	<u>01/10/19</u>
<u>1</u>	345 SHS MICROSOFT CORPORATION	\$ <u>35,557.</u>	<u>01/10/19</u>
<u>1</u>	305 SHS NEXTERA ENERGY INC.	\$ <u>53,096.</u>	<u>01/10/19</u>
<u>1</u>	500 SHS NOVARTIS AG - SPONSORED ADR	\$ <u>39,403.</u>	<u>01/10/19</u>
<u>1</u>	1,105 SHS PFIZER INC.	\$ <u>47,106.</u>	<u>01/10/19</u>

Name of organization THE EDWARD JOHN & PATRICIA ROSENWALD FOUNDATION	Employer identification number 74-3107995
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Part II **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
<u>1</u>	180 SHS RAYTHEON COMPANY	\$ <u>28,449.</u>	<u>01/10/19</u>
<u>1</u>	600 SHS ROYAL BANK OF CANADA	\$ <u>43,512.</u>	<u>01/10/19</u>
<u>1</u>	165 SHS 3M COMPANY	\$ <u>31,615.</u>	<u>01/10/19</u>
<u>1</u>	325 SHS UNILEVER N V-NY SHARES	\$ <u>17,326.</u>	<u>01/10/19</u>
<u>1</u>	1,500 SHS CSX CORPORATION	\$ <u>96,255.</u>	<u>01/10/19</u>
<u>1</u>	1,950 SHS CISCO SYSTEMS INC.	\$ <u>83,723.</u>	<u>01/10/19</u>

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Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
<u>1</u>	350 SHS EOG RESOURCES, INC. _____ _____ _____	\$ <u>34,405.</u>	<u>01/10/19</u>
<u>1</u>	1,050 SHS HCA HEALTHCARE, INC. _____ _____ _____	\$ <u>134,878.</u>	<u>01/10/19</u>
<u>1</u>	285 SHS HILL-ROM HOLDINGS, INC. _____ _____ _____	\$ <u>26,073.</u>	<u>01/10/19</u>
<u>1</u>	290 SHS INTERCONTINENTAL EXCHANGE, INC. _____ _____ _____	\$ <u>21,034.</u>	<u>01/10/19</u>
<u>1</u>	650 SHS METHANEX CORPORATION _____ _____ _____	\$ <u>36,117.</u>	<u>01/10/19</u>
<u>1</u>	700 SHS NIKE, INC. - CL B _____ _____ _____	\$ <u>53,480.</u>	<u>01/10/19</u>

Name of organization THE EDWARD JOHN & PATRICIA ROSENWALD FOUNDATION	Employer identification number 74-3107995
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Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
<u>1</u>	1,575 SHS PFIZER INC. _____ _____ _____	\$ <u>67,142.</u>	<u>01/10/19</u>
<u>1</u>	200 SHS RAYTHEON COMPANY _____ _____ _____	\$ <u>31,610.</u>	<u>01/10/19</u>
<u>1</u>	300 SHS 3M COMPANY _____ _____ _____	\$ <u>57,482.</u>	<u>01/10/19</u>
<u>1</u>	3,550 SHS US FOODS HOLDING CORP. _____ _____ _____	\$ <u>118,499.</u>	<u>01/10/19</u>
<u>2</u>	129 SHS ALLEGION PLC _____ _____ _____	\$ <u>10,550.</u>	<u>01/11/19</u>
<u>2</u>	122 SHS ACCENTURE PLC - CL A _____ _____ _____	\$ <u>17,717.</u>	<u>01/11/19</u>

Name of organization

Employer identification number

**THE EDWARD JOHN &
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74-3107995**Part II Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
<u>2</u>	21 SHS ADOBE INC. _____ _____ _____	\$ <u>4,983.</u>	<u>01/11/19</u>
<u>2</u>	48 SHS ALPHABET INC/CA - CL C _____ _____ _____	\$ <u>50,694.</u>	<u>01/11/19</u>
<u>2</u>	23 SHS ALPHABET INC/CA - CL A _____ _____ _____	\$ <u>24,493.</u>	<u>01/11/19</u>
<u>2</u>	90 SHS ANALOG DEVICES, INC. _____ _____ _____	\$ <u>8,063.</u>	<u>01/11/19</u>
<u>2</u>	66 SHS BERKSHIRE HATHAWAY INC. _____ _____ _____	\$ <u>12,908.</u>	<u>01/11/19</u>
<u>2</u>	16 SHS BIOGEN INC. _____ _____ _____	\$ <u>5,291.</u>	<u>01/11/19</u>

Name of organization THE EDWARD JOHN & PATRICIA ROSENWALD FOUNDATION	Employer identification number 74-3107995
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Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
<u>2</u>	54 SHS CHARTER COMMUNICATIONS, INC. - A	\$ 15,818.	01/11/19
<u>2</u>	264 SHS EOG RESOURCES, INC.	\$ 25,604.	01/11/19
<u>2</u>	163 SHS FIDELITY NATIONAL INFORMATION SERVICES, INC.	\$ 16,827.	01/11/19
<u>2</u>	149 SHS THE HOME DEPOT, INC.	\$ 26,625.	01/11/19
<u>2</u>	98 SHS INTERCONTINENTAL EXCHANGE, INC.	\$ 7,122.	01/11/19
<u>2</u>	1,762 SHS ISHARES MSCI EAFE ETF	\$ 107,491.	01/11/19

Name of organization

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Part II **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
2	204 SHS ELI LILLY & COMPANY	\$ 23,594.	01/11/19
2	177 SHS LOWE'S COMPANIES, INC.	\$ 17,081.	01/11/19
2	218 SHS MASTERCARD INCORPORATED - CLASS A	\$ 42,664.	01/11/19
2	245 SHS MERCK & CO., INC.	\$ 18,264.	01/11/19
2	786 SHS MICROSOFT CORPORATION	\$ 80,596.	01/11/19
2	51 SHS NEXTERA ENERGY INC.	\$ 8,920.	01/11/19

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Part II **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
<u>2</u>	332 SHS NISOURCE INC. _____ _____ _____	\$ <u>8,766.</u>	<u>01/11/19</u>
<u>2</u>	66 SHS NORFOLK SOUTHERN CORPORATION _____ _____ _____	\$ <u>10,673.</u>	<u>01/11/19</u>
<u>2</u>	29 SHS NORTHROP GRUMMAN CORPORATION _____ _____ _____	\$ <u>7,375.</u>	<u>01/11/19</u>
<u>2</u>	31 SHS O'REILLY AUTOMOTIVE, INC. _____ _____ _____	\$ <u>10,556.</u>	<u>01/11/19</u>
<u>2</u>	377 SHS OCCIDENTAL PETROLEUM CORPORATION _____ _____ _____	\$ <u>24,776.</u>	<u>01/11/19</u>
<u>2</u>	171 SHS PEPSICO, INC. _____ _____ _____	\$ <u>18,480.</u>	<u>01/11/19</u>

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Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
<u>2</u>	760 SHS PFIZER INC. _____ _____ _____	\$ <u>32,311.</u>	<u>01/11/19</u>
<u>2</u>	36 SHS PIONEER NATURAL RESOURCES COMPANY _____ _____ _____	\$ <u>5,133.</u>	<u>01/11/19</u>
<u>2</u>	51 SHS SVB FINANCIAL GROUP _____ _____ _____	\$ <u>10,857.</u>	<u>01/11/19</u>
<u>2</u>	212 SHS TEXAS INSTRUMENTS INCORPORATED _____ _____ _____	\$ <u>20,763.</u>	<u>01/11/19</u>
<u>2</u>	144 SHS UNION PACIFIC CORPORATION _____ _____ _____	\$ <u>22,185.</u>	<u>01/11/19</u>
<u>2</u>	216 SHS UNITEDHEALTH GROUP INCORPORATED _____ _____ _____	\$ <u>53,031.</u>	<u>01/11/19</u>

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Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
<u>2</u>	64 SHS VERTEX PHARMACEUTICALS INCORPORATED	\$ <u>11,913.</u>	<u>01/11/19</u>
<u>2</u>	126 SHS VISA INC. - CLASS A	\$ <u>17,389.</u>	<u>01/11/19</u>
<u>2</u>	150 SHS WASTE CONNECTIONS, INC.	\$ <u>11,596.</u>	<u>01/11/19</u>
<u>3</u>	25,274.78 SHS ARTISAN INTERNATIONAL VALUE FUND INSTITUTIONAL CLASS	\$ <u>813,595.</u>	<u>01/14/19</u>
<u>3</u>	14,193.55 SHS DODGE & COX INTERNATIONAL STOCK FUND	\$ <u>546,736.</u>	<u>01/14/19</u>
<u>4</u>	2,885 SHS JP MORGAN CHASE & CO.	\$ <u>300,213.</u>	<u>03/06/19</u>

Name of organization

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Employer identification number

74-3107995**Part II** **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
<u>5</u>	912 SHS JP MORGAN CHASE & CO. _____ _____ _____	\$ <u>99,873.</u>	<u>06/05/19</u>
<u>6</u>	5,983 SHS JP MORGAN CHASE & CO. _____ _____ _____	\$ <u>704,438.</u>	<u>09/25/19</u>
_____	_____ _____ _____ _____	\$ _____	_____
_____	_____ _____ _____ _____	\$ _____	_____
_____	_____ _____ _____ _____	\$ _____	_____
_____	_____ _____ _____ _____	\$ _____	_____

Name of organization

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74-3107995

Part III

Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this info once) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

X
f

FORM 990-PF		ACCOUNTING FEES		STATEMENT 2	
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ACCOUNTING FEES	42,000.	10,500.		31,500.	
TO FORM 990-PF, PG 1, LN 16B	42,000.	10,500.		31,500.	

FORM 990-PF

TAXES

STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAX ON NET INVESTMENT INCOME	2,145.	0.		0.
TO FORM 990-PF, PG 1, LN 18	2,145.	0.		0.

FORM 990-PF

OTHER EXPENSES

STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
NYS FILING FEE	25.	0.		25.
TO FORM 990-PF, PG 1, LN 23	25.	0.		25.