

For calendar year 2019, or tax year beginning 01-01-2019, and ending 12-31-2019

Name of foundation John S Dunn Foundation		A Employer identification number 74-1933660	
% DONNA E NASSO			
Number and street (or P.O. box number if mail is not delivered to street address) 3355 West Alabama Suite 990	Room/suite	B Telephone number (see instructions) (713) 626-0368	
City or town, state or province, country, and ZIP or foreign postal code Houston, TX 770981718		C If exemption application is pending, check here ▶ <input type="checkbox"/>	
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here..... ▶ <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ... ▶ <input type="checkbox"/>	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 89,098,016	J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	0			
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities . . .	2,245,949	2,224,777		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	1,430,196			
	b Gross sales price for all assets on line 6a 44,995,501				
	7 Capital gain net income (from Part IV, line 2) . . .		1,430,196		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	142,644	278,205		
	12 Total. Add lines 1 through 11	3,818,789	3,933,178		
	13 Compensation of officers, directors, trustees, etc.	228,000	114,000		114,000
	14 Other employee salaries and wages	95,425	47,713		47,712
	15 Pension plans, employee benefits	18,008	9,004		9,004
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	50,004	25,002	0	25,002
	c Other professional fees (attach schedule)				
	17 Interest	20,771	20,771		
	18 Taxes (attach schedule) (see instructions) . . .	58,434	20,989		3,650
	19 Depreciation (attach schedule) and depletion . . .	4,423			
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	399,415	359,140		40,275
	24 Total operating and administrative expenses. Add lines 13 through 23	874,480	596,619	0	239,643
	25 Contributions, gifts, grants paid	4,793,097			4,793,097
	26 Total expenses and disbursements. Add lines 24 and 25	5,667,577	596,619	0	5,032,740
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	-1,848,788			
	b Net investment income (if negative, enter -0-)		3,336,559		
c Adjusted net income (if negative, enter -0-) . . .					

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	139,394	59,135	59,135
	2 Savings and temporary cash investments	-289,867	13,585,936	13,585,936
	3 Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	40,493,049	31,986,757	44,323,757
	c Investments—corporate bonds (attach schedule)	14,157,993	10,000,000	10,090,500
	11 Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	20,225,805	17,242,978	20,752,803
	14 Land, buildings, and equipment: basis ▶ _____ 164,513 Less: accumulated depreciation (attach schedule) ▶ _____ 128,628	40,310	35,885	35,885
15 Other assets (describe ▶ _____)	250,000	250,000	250,000	
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	75,016,684	73,160,691	89,098,016	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)	7,338	133	
	23 Total liabilities (add lines 17 through 22)	7,338	133	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions	75,009,346	73,160,558	
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds			
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
	29 Total net assets or fund balances (see instructions)	75,009,346	73,160,558	
30 Total liabilities and net assets/fund balances (see instructions) .	75,016,684	73,160,691		

Part III Analysis of Changes in Net Assets or Fund Balances		
1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	75,009,346
2 Enter amount from Part I, line 27a	2	-1,848,788
3 Other increases not included in line 2 (itemize) ▶ _____	3	
4 Add lines 1, 2, and 3	4	73,160,558
5 Decreases not included in line 2 (itemize) ▶ _____	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	6	73,160,558

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	1,430,196
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?



Yes



No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	5,318,187	88,972,791	0.059773
2017	5,011,811	84,835,714	0.059077
2016	4,188,339	81,681,308	0.051277
2015	5,437,268	90,190,768	0.060286
2014	5,386,408	90,053,260	0.059814

2 Total of line 1, column (d)	2	0.290227
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	0.058045
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	82,445,124
5 Multiply line 4 by line 3	5	4,785,527
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	33,366
7 Add lines 5 and 6	7	4,818,893
8 Enter qualifying distributions from Part XII, line 4	8	5,032,740

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	33,366
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	33,366
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	33,366
6	Credits/Payments:		
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a	33,233
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	5,000
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	38,233
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached.	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ▶	10	4,867
11	Enter the amount of line 10 to be: Credited to 2020 estimated tax ▶ 4,867 Refunded ▶	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ _____ (2) On foundation managers. ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	Yes
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	Yes
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ TX _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation .</i>	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► _____	13	Yes	
14	The books are in care of ► <u>DONNA E NASSO</u> Telephone no. ► <u>(713) 626-0368</u>			

Located at ► 3355 W ALABAMA STE 990 Houston TX ZIP+4 ► 770981718

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here	<input type="checkbox"/>		
	and enter the amount of tax-exempt interest received or accrued during the year	► 15		
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ► _____			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b	No
	Organizations relying on a current notice regarding disaster assistance check here. ► <input type="checkbox"/>		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1c	No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	If "Yes," list the years ► 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019.)	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to:		Yes	No
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.		5b	
	Organizations relying on a current notice regarding disaster assistance check here.	<input checked="" type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d).	<input type="checkbox"/> Yes <input type="checkbox"/> No		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	6b	No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	7b	
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?			
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DONNA E NASSO	ACCOUNTANT	95,425	18,008	0
3355 WEST ALABAMA	40.0			
HOUSTON, TX 770981718				
Total number of other employees paid over \$50,000.				0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

[illegible]

Total number of others receiving over \$50,000 for professional services.	0
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Part IX-A	Summary of Direct Charitable Activities
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List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses

generations and other documents (e.g., conference notices, research papers, preprints, etc.)	
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	75,927,805
b	Average of monthly cash balances.	1b	7,477,296
c	Fair market value of all other assets (see instructions).	1c	295,533
d	Total (add lines 1a, b, and c).	1d	83,700,634
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	83,700,634
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	1,255,510
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	82,445,124
6	Minimum investment return. Enter 5% of line 5.	6	4,122,256

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	4,122,256
2a	Tax on investment income for 2019 from Part VI, line 5.	2a	33,366
b	Income tax for 2019. (This does not include the tax from Part VI.).	2b	
c	Add lines 2a and 2b.	2c	33,366
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	4,088,890
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	4,088,890
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	4,088,890

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	5,032,740
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	5,032,740
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	33,366
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	4,999,374

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				4,088,890
2 Undistributed income, if any, as of the end of 2019:				
a Enter amount for 2018 only.			0	
b Total for prior years: 2017, 2016, 2015		0		
3 Excess distributions carryover, if any, to 2019:				
a From 2014.	985,081			
b From 2015.	993,594			
c From 2016.	167,040			
d From 2017.	855,249			
e From 2018.	988,681			
f Total of lines 3a through e.	3,989,645			
4 Qualifying distributions for 2019 from Part XII, line 4: ► \$ <u>5,032,740</u>				
a Applied to 2018, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2019 distributable amount.				4,088,890
e Remaining amount distributed out of corpus	943,850			
5 Excess distributions carryover applied to 2019. (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	4,933,495			
b Prior years' undistributed income. Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b. Taxable amount—see instructions.		0		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions.			0	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions).	985,081			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a.	3,948,414			
10 Analysis of line 9:				
a Excess from 2015.	993,594			
b Excess from 2016.	167,040			
c Excess from 2017.	855,249			
d Excess from 2018.	988,681			
e Excess from 2019.	943,850			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

JOHN S DUNN RESEARCH FOUNDATION
3355 WEST ALABAMA ST SUITE 990
HOUSTON, TX 770981718
(713) 626-0368

b The form in which applications should be submitted and information and materials they should include:

A LETTER SHOULD BE SUBMITTED STATING THE NAME OF THE ORGANIZATION, THE AMOUNT REQUESTED, AND THE PURPOSE FOR WHICH THE FUNDS ARE REQUESTED.

c Any submission deadlines:

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

GENERALLY, GRANTS ARE LIMITED TO DONEES WITHIN THE STATE OF TEXAS WHOSE PRIMARY EFFORTS ARE MEDICALLY RELATED TO EDUCATIONAL AND RESEARCH ACTIVITIES.

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year See Additional Data Table				
Total ▶ 3a				4,793,097
b Approved for future payment Rice University - Gulf Coast Consortia 6500 Main St Suite 160 Houston, TX 77030	unrelated	PC	Collaborative Research Award Program	2,700,000
University of St Thomas 3800 Montrose Blvd Houston, TX 77006	unrelated	PC	Nursing Scholarships	50,000
UT Health Science Center 1941 East Rd Houston, TX 77054	unrelated	PC	JSDF Distinguished Chair in Faillace Dept. of Psychiatry	1,000,000
Total ▶ 3b				3,750,000

Enter gross amounts unless otherwise indicated.

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII

		Yes	No
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--	--	--

1a(1)		No
1a(2)		No

--	--	--

1b(1)	No
--------------	-----------

1b(2)		No
--------------	--	-----------

1b(3)		No
--------------	--	-----------

1b(4)		No
--------------	--	-----------

1b(5)		No
--------------	--	-----------

1b(6)		No
--------------	--	-----------

1c		No
----	--	----

value
ue

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations

described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

<p>Sign Here</p> <p>*****</p> <p>_____</p> <p>Signature of officer or trustee</p>	<p>2020-11-15</p> <p>_____</p> <p>Date</p>	<p>*****</p> <p>_____</p> <p>Title</p>
--	--	--

May the IRS discuss this return with the preparer shown below

(see instr.) ☒ **Yes** ☐ **No**

Paid Preparer Use Only	Amanda Maya				
	Firm's name ► BKD LLP				Firm's EIN ►
	Firm's address ► 2700 Post Oak Blvd Ste 1500 HOUSTON, TX 77056				Phone no. (713) 499-4600

May the IRS discuss this return with the preparer shown below

(see instr.) ☒ **Yes** ☐ **No**

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
SEE ATTACHMENT D-1	P		
SEE ATTACHMENT D-2	P		
SEE ATTACHMENT D-2 ST PORTION	P		
LESS: SEE ATTACHMENT D-2 ST PORTION	P		
2461 SHS OF VALERO ENERGY PTRS LP	P	2016-12-02	2019-01-10
0.460 SHS OF MPLX LP	P	2016-12-02	2019-07-30
SEE ATTACHMENT D-3	P		
SEE ATTACHMENT D-4	P		
SEE ATTACHMENT D-4 ST PORTION	P		
LESS: SEE ATTACHMENT D-4 ST PORTION	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,600,000		5,599,506	494
11,888,245		10,838,403	1,054,478
383,934			383,934
		383,934	-383,934
103,977		98,922	5,055
13		19	-6
6,271,447		6,697,380	-425,933
717,176		533,393	183,783
		10,053	-9,980
9,980			9,980

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col. (i) (k) over col. (j), if any	
			494
			1,049,842
			383,934
			-383,934
			5,055
			-6
			-425,933
			183,783
			-10,053
			9,980

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
SEE ATTACHMENT D-5	P		
SEE ATTACHMENT D-5 ST PORTION	P		
LESS: SEE ATTACHMENT D-5 ST PORTION	P		
SEE ATTACHMENT D-6	P		
20,145 SHS OF ISH MSI EAFE ETF	P	2013-04-22	2019-03-14
144,102 SHS OF NORTH HAVEN CAPITAL PTR VI	P		
SEE ATTACHMENT D-7	P		
3,358 SHS OF PRIVATE EQUITY CO-INV. OPP FD I	P		2019-05-24
SEE ATTACHMENT D-8	P		
SEE ATTACHMENT D-8 ST PORTION	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,986,138		1,901,968	1,084,170
		141,322	-141,322
141,322			141,322
125,298		92,574	32,724
1,298,731		1,190,177	108,554
139,228		142,836	-3,608
129,817			129,817
3,358			3,358
1,691,145		1,636,638	54,507
		19,893	-19,893

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			1,084,170
			-141,322
			141,322
			32,724
			108,554
			-3,608
			129,817
			3,358
			54,507
			-19,893

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
LESS: SEE ATTACHMENT D-8 ST PORTION	P		
SEE ATTACHMENT D-9	P		
SEE ATTACHMENT D-10	P		
SEE ATTACHMENT D-11	P		
SEE ATTACHMENT D-11 ST PORTION	P		
LESS: SEE ATTACHMENT D-11 ST PORTION	P		
SEE ATTACHMENT D-12	P		
SEE ATTACHMENT D-12 ST PORTION	P		
LESS: SEE ATTACHMENT D-12 ST PORTION	P		
HANCOCK TIMBERLAND VII INC CLASS B SHARES	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
19,893			19,893
1,710,616		897,447	813,169
2,492,511		2,208,442	284,069
3,394,757		3,652,168	-257,411
		739	-739
739			739
1,144,204		3,141,856	-1,997,652
		188	-188
188			188
248,913			248,913

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			19,893
			813,169
			284,069
			-257,411
			-739
			739
			-1,997,652
			-188
			188
			248,913

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
4,013 SHS OF TCG BDC, INC	P	2017-12-11	2019-07-11
10,828 SHS OF TCG BDC, INC	P	2019-05-15	2019-07-11
178,818.180 SHS OF YORK CREDIT OPP. FUND CLASS A	P	2013-08-20	2019-12-31
1,084,412.75 SHS OF TRICADIA CREDIT STRATEGIES FD	P	2013-08-30	2019-01-31
43,590 SHS OF WISDOM TREE EUR HEDGED EQ. FUND	P		2019-03-14
224,034 SHS OF PRIVATE MARKETS FUND VII (CAYMAN) LP	P		
ENLINK MIDSTREAM PARTNERS - RECLASS ORDINARY INCOME PORTION	P		2019-01-25
MPLX LP - RECLASS ORDINARY INCOME PORTION	P		2019-07-30
VALERO ENERGY PARTNERS - RECLASS ORDINARY INCOME PORTION	P		2019-01-10
MISCELLANEOUS BASIS ADJUSTMENTS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
61,160			61,160
165,024			165,024
178,818		180,000	-1,182
1,084,413		1,001,818	82,595
2,775,056		2,778,485	-3,429
224,034		304,783	-80,749
		93,736	-93,736
		10	-10
		23,324	-23,324
3,596			3,596

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			61,160
			165,024
			-1,182
			82,595
			-3,429
			-80,749
			-93,736
			-10
			-23,324
			3,596

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
David G Key	Trustee 7.46	36,000	0	0
3355 West Alabama Suite 990 Houston, TX 770981718				
J Dickson Rogers	Trustee 5.14	36,000	0	0
3355 West Alabama Suite 990 Houston, TX 770981718				
Dan S Wilford	Trustee/VP 5.26	36,000	0	0
3355 West Alabama Suite 990 Houston, TX 770981718				
John R Wallace	Trustee/Secretary/Treasurer 5.22	36,000	0	0
3355 West Alabama Suite 990 Houston, TX 770981718				
Charles M Lusk III	Trustee/President 7.7	36,000	0	0
3355 West Alabama Suite 990 Houston, TX 770981718				
Cynthia J Adkins	Trustee 7.28	24,000	0	0
3355 West Alabama Suite 990 Houston, TX 770981718				
Terry Lacy	Trustee 7.28	24,000	0	0
3355 West Alabama Suite 990 Houston, TX 770981718				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Baylor College of Medicine One Baylor Plaza HOUSTON, TX 77030	UNRELATED	PC	Grant to the Garland School of Social Work in Houston for the 2019-20 academic year for students pursuing mental health- related social work	50,000
Houston Achievement Place 245 West 17th Street HOUSTON, TX 77008	UNRELATED	PC	Grant to support Project CLASS, a mental health program for young children with emotional, behavior and family problems	35,000
Rice UniversityPO Box 1892 HOUSTON, TX 77251	UNRELATED	PC	Grant to support a fund for research seed grants with the Collaborative Research Center explicitly designed to bring together Rice researchers with their colleagues in the Texas Medical Center	300,000
Total ▶ 3a				4,793,097

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

<div>Recipient</div>	<div>If recipient is an individual, show any relationship to any foundation manager or substantial contributor</div>	<div>Foundation status of recipient</div>	<div>Purpose of grant or contribution</div>	<div>Amount</div>
<div>Name and address (home or business)</div>				
a <i>Paid during the year</i>				
UTMB - Health301 UNIVERSITY BLVD galveston, TX 77555	UNRELATED	PC	Grant to support research to develop an engineered Zika virus for glioblastoma treatment led by Dr. Pei-Yong Shi; Grant to support infectious disease research infrastructure at UTMB to be named the "John S. Dunn Laboratory"	250,000
Communities In Schools 2345 Crystal Drive Suite 700 arlington, VA 22202	UNRELATED	PC	Grants to support Project MOVE (Mobile Operations Vehicle for Everybody) dental program	45,000
Memorial Hermann Foundation 929 Gessner Suite 2650 HOUSTON, TX 77024	UNRELATED	PC	Grant to expedite innovations in burn care that advance treatment and improve patient outcomes by funding specialized equipment, state-of-the-art technology, and research; Grant to support the second phase of the Ogilvie Fund research in which Dr. Dong Kim and his research team will be conducting human clinical trials to create a stem cell treatment for people with spinal cord injury	341,400
Total ► 3a				4,793,097

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i>				
Bridges To Life9426 Old Katy Rd houston, TX 77055	UNRELATED	PC	Grant to support their 2019 project at Jester I Unit, Richmond, a Substance Abuse Felony Punishment (SAFP) specializing in abuse and mental illness	10,350
San Jacinto Community College Foundation 4624 FAIRMONT PARKWAY NO 212 pasadena, TX 77504	UNRELATED	PC	Grant to provide 30 \$1,000 scholarships for the John S. Dunn Scholarship Fund	30,000
The University of Texas MD Anderson Cancer Center PO Box 4486 HOUSTON, TX 77210	UNRELATED	PC	TO SUPPORT DR. WRIGHT'S AND DR. WALLACE'S JSD RADIOLOGICAL SCIENCES BUDGET AND DR. LI'S AND DR. WALLACE'S JSD IMAGING SCIENCES BUDGET	450,000
Total ► 3a				4,793,097

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

<div>Recipient</div>	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Houston Methodist Hospital Foundation 6565 Fannin St HOUSTON, TX 77030	UNRELATED	PC	Grant to establish the John S. "Steve" Dunn, Jr. Distinguished Professorship in Brain Tumor Research; Dagmar Dunn Pickens Gipe Distinguished Professorship in Brain Tumor Research; and Milby Dow Dunn Fund for Brain Tumor Research, Grant to establish the "Eva Lynn and John S. Dunn, Jr. Center for Research in Reconstructive and Plastic Surgery, Grant to establish the John S. Dunn Foundation Distinguished Centennial Chair in Behavioral Health to explore connection between mental health and gut microbiome	657,143
Bo's Place10050 Buffalo Speedway HOUSTON, TX 77054	UNRELATED	PC	Grant to provide grief support services and education at no cost to children, teens, adults, and community members	25,000
The Center for Hearing and Speech 3636 West Dallas HOUSTON, TX 77019	UNRELATED	PC	Grant to support programs to identify and serve children with hearing impairments	25,000
Total ► 3a				4,793,097

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Christian Community Service Center 3230 Mercer Street HOUSTON, TX 77027	UNRELATED	PC	Grant to be used for emergency medical needs for the Emergency Services program	10,000
El Centro de Corazon7037 Capitol St HOUSTON, TX 77011	UNRELATED	PC	Grant to support operating expenses for medical, dental and behavioral health services for Houston's East End	75,000
San Jose Clinic2615 Fannin HOUSTON, TX 77002	UNRELATED	PC	Grant to support their dental program for uninsured	60,000
Total ▶ 3a				4,793,097

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
The Council on Recovery 303 Jackson Hill Street houston, TX 77007	UNRELATED	PC	Grant to support core programs at the Behavioral Health Center for Women & Children	100,000
Depression and Bipolar Support Alliance 55 E Jackson Blvd Suite 490 chicago, IL 60604	UNRELATED	PC	Grant to provide free support groups for diagnosed individuals, family members and friends impacted by depression or bipolar disorder	100,000
Halo House Foundation 2940 Corder Street HOUSTON, TX 77054	UNRELATED	PC	Grant to help cover operating costs and program administration	25,000
Total ▶ 3a				4,793,097

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
The Rise School of Houston 5618 H Mark Crosswell Jr Street HOUSTON, TX 77021	UNRELATED	PC	Grant to support a part-time nurse and basic nursing supplies	51,854
Camp For All 10500 NW Freeway Ste 220 houston, TX 77092	UNRELATED	PC	Grant to sponsor the Camp's Health Center	25,000
Star of Hope 4848 Loop Central Drive Suite 500 HOUSTON, TX 77081	UNRELATED	PC	Grant to be used to support the Men's Development Center - John S. Dunn Wellness Center	40,000
Total ▶ 3a				4,793,097

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Will Erwin Headache Research Foundation 712 Main Street Suite 2000E HOUSTON, TX 77002	UNRELATED	PC	Grant to support headache and migraine research at Memorial Hermann's Mischer Neuroscience Institute	25,000
Palmer Drug Abuse Program 840 Gessner Rd Suite 1300 HOUSTON, TX 77024	UNRELATED	PC	Grant to support their recovery services	25,000
The Beacon1117 Texas Ave HOUSTON, TX 77002	UNRELATED	PC	To support general operating expenses for The Beacon and its four programs	50,000
Total ▶ 3a				4,793,097

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Casa de EsperanzaPO Box 40115 st paul, MN 55104	UNRELATED	PC	Grant to support their Residential Program	90,000
Children's Assessment Center 2500 Bolsover HOUSTON, TX 77005	UNRELATED	PC	Grant to continue support of their Therapy and Psychological Services Program	170,000
The Gateway Academy Inc 3721 Dacoma Street HOUSTON, TX 77092	UNRELATED	PC	Grant to support their Social/Emotional Program	54,350
Total ▶ 3a				4,793,097

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Houston Eye Associates Foundation 7155 Old Katy Rd Ste N100 HOUSTON, TX 77024	UNRELATED	PC	Grant to preserve and restore the sight of adults and children faced with financial hardship	20,000
Houston Hospice1905 HOLCOMBE BLVD houston, TX 77030	unrelated	PC	Grant to fund the second year of a 3-year budget for new technology and communication systems	25,000
UT Health Science Center - Dept of Psychiatry 1941 East Rd HOUSTON, TX 77054	unrelated	PC	Grant to establish the Mark Chapman Powell Pediatric Bipolar Registry Endowment to support in perpetuity research to find vital treatments for this debilitating condition	187,500
Total ► 3a				4,793,097

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Texas Children's Hospital 1919 S Braeswood Blvd Ste 5214 HOUSTON, TX 77030	UNRELATED	PC	Grant to establish the John S. Dunn Foundation Chair in Neurosurgery at The Comprehensive Epilepsy Program at Texas Children's to advance research	200,000
University of St Thomas 3800 Montrose Blvd HOUSTON, TX 77006	UNRELATED	PC	Grant to support the John S. Dunn Scholarship Fund which will provide scholarships from \$500 to \$5,000 to per student	50,000
Covenant House1111 Lovett Blvd HOUSTON, TX 77006	UNRELATED	PC	Grant to be used to support programs and services to homeless and runaway youth in Houston/Harris County	50,000
Total ▶ 3a				4,793,097

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Christ Church Cathedral1117 TEXAS ST HOUSTON, TX 77002	UNRELATED	PC	Grant to support the At-Risk Youth Project which helps families in distress	20,000
Houston Baptist University 7502 FONDREN RD HOUSTON, TX 77074	UNRELATED	PC	Grant to provide partial scholarship to 20 full-time undergraduate BSN students and to provide 50% scholarships to 11 graduate MSN students	300,000
Mental Health America of Greater Houston 2211 Norfolk St Suite 810 Houston, TX 77098	UNRELATED	PC	Grant to support their Veteran's Behavioral Health Initiative	100,000
Total ▶ 3a				4,793,097

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Houston Pediatric Bipolar Consortium 6431 Fannin Houston, TX 77030	unrelated	PC	Grant to support pediatric bipolar research	350,000
Counseling Connections for Change Inc PO Box 841656 Pearland, TX 77584	unrelated	PC	To hire an Intake Coordinator; grant management system with service contract, purchase a scanner with EMR, purchase updated computers, and for the down payment to purchase property	100,500
University of Houston4800 Calhoun Rd Houston, TX 77004	unrelated	PC	Grant for the John S. Dunn Nursing Scholarships for MSN students	75,000
Total ► 3a				4,793,097

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Bladder Cancer Advocacy Network Inc 4520 East-West Highway Suite 610 Bethesda, MD 20814	unrelated	PC	Grant to support the Houston Walk to End Bladder Cancer which will support bladder cancer research in Houston	10,000
Vecino Health Centers424 Hahlo St Houston, TX 77020	unrelated	PC	Grant to be distributed at your discretion with the aim of directly assisting those impacted by this disaster specifically in the Houston area	50,000
Kelsey Research Foundation 5615 Kirby Dr Suite 660 Houston, TX 77005	unrelated	PC	Grant to support a microbiome research study of the gut microbiome of 100 Non-Alcoholic Fatty Liver Disease patients	50,000
Total ► 3a				4,793,097

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
The Harris School6210 Rookin Street Houston, TX 77074	unrelated	PC	Grant to support their scholarship program	25,000
Headstrong Project Inc 655 Madison Avenue 18th Floor New York, NY 10065	unrelated	PC	Grant to provide individually tailored mental health treatment to Houston-area veterans	50,000
St Luke's United Methodist Church 3471 Westheimer Road Houston, TX 77027	unrelated	PC	Grant to fund the Nick Finnegan Counseling Center operations in fiscal year 2019 for counseling sessions	10,000
Total ▶ 3a				4,793,097

TY 2019 Accounting Fees Schedule**Name:** John S Dunn Foundation**EIN:** 74-1933660

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	50,004	25,002		25,002

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2019 Depreciation Schedule

Name: John S Dunn Foundation

EIN: 74-1933660

TY 2019 Investments Corporate Bonds Schedule

Name: John S Dunn Foundation

EIN: 74-1933660

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
SEE STATEMENT	10,000,000	10,090,500

TY 2019 Investments Corporate Stock Schedule**Name:** John S Dunn Foundation**EIN:** 74-1933660**Investments Corporation Stock Schedule**

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SEE STATEMENT	31,986,757	44,323,757

TY 2019 Investments - Other Schedule**Name:** John S Dunn Foundation**EIN:** 74-1933660**Investments Other Schedule 2**

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
SEE STATEMENT		17,242,967	20,752,792
CLEAR BAYOU		10	10
CEMETARY LOT		1	1

TY 2019 Other Assets Schedule

Name: John S Dunn Foundation

EIN: 74-1933660

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
CORINNOVA INC-PROG RELATED INV	250,000	250,000	250,000

TY 2019 Other Expenses Schedule**Name:** John S Dunn Foundation**EIN:** 74-1933660**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ASSET MANAGEMENT FEES	318,864	318,864		
INSURANCE EXPENSE	13,439	6,720		6,719
OFFICE EXPENSE	67,112	33,556		33,556

TY 2019 Other Income Schedule**Name:** John S Dunn Foundation**EIN:** 74-1933660**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Partnership Income	-262,322	-9,691	
Litigation Settlement Income	287,751	287,751	
Sale of PTPs - Ordinary Income	117,070		
Royalties	145	145	

TY 2019 Other Liabilities Schedule**Name:** John S Dunn Foundation**EIN:** 74-1933660

Description	Beginning of Year - Book Value	End of Year - Book Value
FEDERAL EXCISE TAX PAYABLE	7,338	133

TY 2019 Taxes Schedule**Name:** John S Dunn Foundation**EIN:** 74-1933660

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EMPLOYEE MEDICARE	1,384	692		692
EMPLOYEE FICA	5,916	2,958		2,958
FOREIGN TAXES	17,339	17,339		
EXCISE TAX	33,795			