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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to *www.irs.gov/Form990PF* for instructions and the latest information.

OMB No. 1545-0052

2019

Open to Public Inspection

For calendar year 2019, or tax year beginning 01-01-2019 , and ending 12-31-2019

Name of foundation
The Peltier Foundation

A Employer identification number
72-1416778

Number and street (or P.O. box number if mail is not delivered to street address) 101 St Louis St | Room/suite

B Telephone number (see instructions)
(985) 447-4033

City or town, state or province, country, and ZIP or foreign postal code
Thibodaux, LA 70301

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here............ ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ... ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here .... ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), *line 16)* ▶$ 18,743,490

J Accounting method: ☑ Cash ☐ Accrual ☐ Other (specify) ____
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here .... ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch. B				
	3	Interest on savings and temporary cash investments	169,771	169,771		
	4	Dividends and interest from securities	309,935	309,935		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	104,129			
	b	Gross sales price for all assets on line 6a 1,856,436				
	7	Capital gain net income (from Part IV, line 2)		104,129		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)	0			
	11	Other income (attach schedule)	390,773	390,773		
	12	**Total.** Add lines 1 through 11	974,608	974,608		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)	22,560	0	0	22,560
	b	Accounting fees (attach schedule)	8,460	0	0	8,460
	c	Other professional fees (attach schedule)	95,552	95,552		
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	51,580	44,686	0	0
	19	Depreciation (attach schedule) and depletion	0			
	20	Occupancy	17			17
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	15			15
	24	**Total operating and administrative expenses.** Add lines 13 through 23	178,184	140,238	0	31,052
	25	Contributions, gifts, grants paid	894,481			894,481
	26	**Total expenses and disbursements.** Add lines 24 and 25	1,072,665	140,238	0	925,533
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	-98,057			
	b	**Net investment income** (if negative, enter -0-)		834,370		
	c	**Adjusted net income** (if negative, enter -0-)			0	

Part II **Balance Sheets**	Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets 1	Cash—non-interest-bearing	11,711	4,283	4,283
2	Savings and temporary cash investments	8,812,521	8,649,012	8,649,212
3	Accounts receivable ▶ 12,189			
	Less: allowance for doubtful accounts ▶	33,926	12,189	12,189
4	Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)		0	
7	Other notes and loans receivable (attach schedule) ▶			
	Less: allowance for doubtful accounts ▶		0	
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U.S. and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule)	7,150,600	7,245,217	8,720,306
c	Investments—corporate bonds (attach schedule)			
11	Investments—land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation (attach schedule) ▶		0	
12	Investments—mortgage loans			
13	Investments—other (attach schedule)		0	
14	Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation (attach schedule) ▶		0	
15	Other assets (describe ▶)	1,032,929	1,032,929	1,357,500
16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	17,041,687	16,943,630	18,743,490
Liabilities 17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons		0	
21	Mortgages and other notes payable (attach schedule)		0	
22	Other liabilities (describe ▶)	0	0	
23	**Total liabilities**(add lines 17 through 22)		0	
Net Assets or Fund Balances	**Foundations that follow FASB ASC 958, check here ▶ ☑ and complete lines 24, 25, 29 and 30.**			
24	Net assets without donor restrictions	11,656,293	11,558,236	
25	Net assets with donor restrictions	5,385,394	5,385,394	
	Foundations that do not follow FASB ASC 958, check here ▶ ☐ and complete lines 26 through 30.			
26	Capital stock, trust principal, or current funds			
27	Paid-in or capital surplus, or land, bldg., and equipment fund			
28	Retained earnings, accumulated income, endowment, or other funds			
29	**Total net assets or fund balances** (see instructions)	17,041,687	16,943,630	
30	**Total liabilities and net assets/fund balances** (see instructions)	17,041,687	16,943,630	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	17,041,687
2	Enter amount from Part I, line 27a	2	-98,057
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	16,943,630
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	16,943,630

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a	LPL Financial Schedule 5	P	2018-01-01	2019-12-31
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,856,436	0	1,752,307	104,129
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a	0	0	0	104,129
b				
c				
d				
e				

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	**2**	104,129
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		**3**	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	889,765	17,985,614	0.049471
2017	911,697	17,968,342	0.050739
2016	1,013,584	18,356,590	0.055216
2015	1,050,591	20,623,249	0.050942
2014	975,422	21,496,681	0.045375

2 **Total** of line 1, column (d)	**2**	0.251743
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	**3**	0.050349
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	**4**	18,035,059
5 Multiply line 4 by line 3	**5**	908,047
6 Enter 1% of net investment income (1% of Part I, line 27b)	**6**	8,344
7 Add lines 5 and 6	**7**	916,391
8 Enter qualifying distributions from Part XII, line 4 , ...	**8**	925,533

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ **(attach copy of letter if necessary–see instructions)**		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b	1	8,344
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	8,344
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	8,344
6	Credits/Payments:		
a	2019 estimated tax payments and 2018 overpayment credited to 2019 — 6a: 5,200		
b	Exempt foreign organizations—tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments. Add lines 6a through 6d	7	5,200
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	3,144
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be: **Credited to 2020 estimated tax** ▶ 0 **Refunded** ▶	11	

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition).	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ ______ **(2)** On foundation managers. ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ LA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities (continued)

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶Stephen Peltier Telephone no. ▶(985) 447-4033

Located at ▶101 St Louis St Thibodaux LA ZIP+4 ▶70301

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here . . . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ 15

		Line	Yes	No
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. Yes No

1a During the year did the foundation (either directly or indirectly):

(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No

(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☑ No

(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No

(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No

(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☑ No

(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.). ☐ Yes ☑ No

b If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions . . . **1b**

Organizations relying on a current notice regarding disaster assistance check here. . . ▶ ☐

c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?. . . **1c** No

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):

a At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019?. ☐ Yes ☑ No
If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____

b Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.) . . . **2b**

c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here.
▶ 20____ , 20____ , 20____ , 20____

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☑ No

b If "Yes," did it have excess business holdings in 2019 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period?*(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019.)*. . . **3b** No

4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? **4a** No

b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019? **4b** No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions. ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	5b		
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No *If "Yes," attach the statement required by Regulations section 53.4945–5(d).*			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? *If "Yes" to 6b, file Form 8870.*	6b		No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year? ☐ Yes ☐ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
Stephen Peltier 101 St Louis St Thibodaux, LA 70301	Sec/Treas 5.00	22,560	0	0
Sarah Naquin 101 St Louis St Thibodaux, LA 70301	Vice-Pres 5.00	0	0	0
Dr James Peltier 101 St Louis St Thibodaux, LA 70301	President 5.00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000. ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** ***(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services. . . . . ▶	0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 None	0
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 None	0
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 . . . . ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities.		1a	8,285,734
b	Average of monthly cash balances.		1b	8,666,471
c	Fair market value of all other assets (see instructions).		1c	1,357,500
d	**Total** (add lines 1a, b, and c).		1d	18,309,705
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e 0		
2	Acquisition indebtedness applicable to line 1 assets.		2	
3	Subtract line 2 from line 1d.		3	18,309,705
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).		4	274,646
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4		5	18,035,059
6	**Minimum investment return.** Enter 5% of line 5.		6	901,753

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.		1	901,753
2a	Tax on investment income for 2019 from Part VI, line 5.	2a 8,344		
b	Income tax for 2019. (This does not include the tax from Part VI.).	2b		
c	Add lines 2a and 2b.		2c	8,344
3	Distributable amount before adjustments. Subtract line 2c from line 1.		3	893,409
4	Recoveries of amounts treated as qualifying distributions.		4	
5	Add lines 3 and 4.		5	893,409
6	Deduction from distributable amount (see instructions).		6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.		7	893,409

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	925,533
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	925,533
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	8,344
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	917,189

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7					893,409
2 Undistributed income, if any, as of the end of 2019:					
a Enter amount for 2018 only.				894,107	
b Total for prior years: 20___ , 20___ , 20___					
3 Excess distributions carryover, if any, to 2019:					
a From 2014.	0				
b From 2015.	0				
c From 2016.	0				
d From 2017.	0				
e From 2018.	0				
f **Total** of lines 3a through e.		0			
4 Qualifying distributions for 2019 from Part XII, line 4: ▶ $ 925,533					
a Applied to 2018, but not more than line 2a				894,107	
b Applied to undistributed income of prior years (Election required—see instructions).					
c Treated as distributions out of corpus (Election required—see instructions).		0			
d Applied to 2019 distributable amount.					
e Remaining amount distributed out of corpus		31,426			
5 Excess distributions carryover applied to 2019. *(If an amount appears in column (d), the same amount must be shown in column (a).)*		31,426			31,426
6 **Enter the net total of each column as indicated below:**					
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5		0			
b Prior years' undistributed income. Subtract line 4b from line 2b			0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.					
d Subtract line 6c from line 6b. Taxable amount —see instructions			0		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions				0	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020					861,983
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)					
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions)		0			
9 **Excess distributions carryover to 2020.** Subtract lines 7 and 8 from line 6a		0			
10 Analysis of line 9:					
a Excess from 2015.	0				
b Excess from 2016.	0				
c Excess from 2017.	0				
d Excess from 2018.	0				
e Excess from 2019.	0				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2019	**(b)** 2018	**(c)** 2017	**(d)** 2016	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: **a** "Assets" alternative test—enter: **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter: **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NA

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NA

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

Peltier Foundation
101 St Louis St
Thibodaux, LA 70301
(985) 447-4033

b The form in which applications should be submitted and information and materials they should include:

Letter of request

c Any submission deadlines:

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

501(c)(3) organizations

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
see schedule 13 Thibodaux, LA 70301		501(c)(3)	schedule #13	894,481
Total . . . . ▶ **3a**				894,481
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	169,771	
4 Dividends and interest from securities. . . .			14	309,935	
5 Net rental income or (loss) from real estate:					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			14	104,129	0
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a Royalties			14	368,866	
b Lease Bonus			14	8,743	
c Peltier farms			14	4,741	
d West Fork			14	7,616	
e Other			14	807	
12 Subtotal. Add columns (b), (d), and (e). .				974,608	0

13 Total. Add line 12, columns (b), (d), and (e). 13 974,608

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
n/a	Not Applicable

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash.	**1a(1)**		**No**
(2) Other assets.	**1a(2)**		**No**
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization.	**1b(1)**		**No**
(2) Purchases of assets from a noncharitable exempt organization.	**1b(2)**		**No**
(3) Rental of facilities, equipment, or other assets.	**1b(3)**		**No**
(4) Reimbursement arrangements.	**1b(4)**		**No**
(5) Loans or loan guarantees.	**1b(5)**		**No**
(6) Performance of services or membership or fundraising solicitations.	**1b(6)**		**No**
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1c**		**No**

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line No.	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule.

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

******	2020-03-30	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr.) ☑ **Yes** ☐ **No**

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☑	PTIN
Ann T Boudreaux		2020-05-12		P00841336
Firm's name ▶ Ann T Boudreaux CPA				Firm's EIN ▶
Firm's address ▶ 901 Ridgefield Road Thibodaux, LA 70301				Phone no. (985) 446-0994

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TY 2019 Accounting Fees Schedule

Name: The Peltier Foundation

EIN: 72-1416778

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Ann T Boudreaux Write-up/tax return	8,460	0	0	8,460

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Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2019 Gain/Loss from Sale of Other Assets Schedule

Name: The Peltier Foundation

EIN: 72-1416778

Gain Loss Sale Other Assets Schedule

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
LPL Financial # 9303		Purchased	2019-02		8,473	8,062			411	
LPL Financial # 9303		Purchased	2019-12		113,422	111,534			1,888	
LPL Financial #2633		Purchased	2019-02		277,668	271,148			6,520	
LPL Financial #2633			2019-12		470,838	440,884			29,954	
LPL Financial #1589			2019-04		52,574	57,957			-5,383	
LPL Financial #1589			2019-04		161,625	111,707			49,918	
LPL Financial #3811			2019-12		47,931	44,796			3,135	
LPL Financial #3811			2019-12		251,882	215,929			35,953	
LPL Financial #3353			2019-03		57,001	55,284			1,717	
LPL Financial #3353			2019-03		415,022	435,006			-19,984	

TY 2019 Investments Corporate Stock Schedule

Name: The Peltier Foundation

EIN: 72-1416778

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
Stock on Hand-Schedule 6	60,015	60,015
LPL Financial 1589-Schedule 8	694,502	1,032,219
LPL Financial 3811-Schedule 9	1,676,844	2,489,101
LPL Financial 3353-Schedule 10	2,003,069	2,243,273
LPL Financial 2633-Schedule 11	863,936	923,869
LPL Financial 9303-Schedule 12	1,946,851	1,971,829

TY 2019 Legal Fees Schedule

Name: The Peltier Foundation

EIN: 72-1416778

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Stephen Peltier recordation	22,560	0	0	22,560

TY 2019 Other Assets Schedule

Name: The Peltier Foundation

EIN: 72-1416778

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
Oil Royalties	1,032,929	1,032,929	1,357,500

TY 2019 Other Expenses Schedule

Name: The Peltier Foundation

EIN: 72-1416778

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Other	15			15

TY 2019 Other Income Schedule

Name: The Peltier Foundation

EIN: 72-1416778

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Royalties	368,866	368,866	
Lease	8,743	8,743	
Inv-Peltier Farms	4,741	4,741	
Inv-West Fork Land	7,616	7,616	
Other	807	807	

TY 2019 Other Professional Fees Schedule

Name: The Peltier Foundation

EIN: 72-1416778

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LPL Financial Investment Fees	95,552	95,552		

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TY 2019 Taxes Schedule

Name: The Peltier Foundation

EIN: 72-1416778

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Net Inv Tax	6,894	0	0	0
Royalty Taxes	44,598	44,598		0
Foreign Taxes	88	88		0

Peltier Foundation 72-1416778 Form 990PF 2019 Part I; Line 6a; 6b Schedule 5

LPL Financial Account 9303

Proceeds Not Reported to the IRS

2019 03/05/2020

Sales transactions are organized into sections according to term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulations. For these transactions the Cost or other basis is always presented as $0.00 and the Proceeds is the net of the amount received when the option was written and the cost to close the position. This means you may have a negative Proceeds number.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
FT ALT INCM 26 RE / CUSIP: 30306D554 / Symbol:							
12/20/19	884.577	8,411.03	Various	7,997.16	0.00	413.87	Total of 13 transactions
WABTEC / CUSIP: 929740108 / Symbol: WAB							
02/27/19	0.834	62.42	02/26/19	65.10	0.00	-2.68	Cash in lieu
	Totals:	**8,473.45**		**8,062.26**	**0.00**	**411.19**	

Peltier Foundation Form ... 20...
72-1416778 Part I; Line 6a; 6b Schedule 5

LPL Financial | Account /9303

Proceeds Not Reported to the IRS
(continued)

2019 | 03/05/2020

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]
Report on Form 8949, Part II, with Box F checked.

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
FT ALT INCM 26 RE / CUSIP: 30306D554 / Symbol:							
12/20/19	11,928.506	113,422.17	Various	111,533.79	0.00	1,888.38	Total of 11 transactions
	Totals:	**113,422.17**		**111,533.79**	**0.00**	**1,888.38**	

Peltier Foundation Form 990 PF 2019
72-1416778 Part I; Line 6a; 6b Schedule 5

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SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
ISHS CORE US AGGR BD ETF / CUSIP: 464287226 / Symbol: AGG							
01/17/19	72.000	7,664.34	07/17/18	7,660.08	0.00	4.26	Sale
02/06/19	348.000	37,249.68	07/17/18	37,023.72	0.00	225.96	Sale
	Security total:	44,914.02		44,683.80	0.00	230.22	
ISHS CORE S&P MDCP ETF / CUSIP: 464287507 / Symbol: IJH							
01/17/19	58.000	10,348.87	03/20/18	11,152.18	0.00	-803.31	Sale
ISHS CORE MSCI EAFE ETF / CUSIP: 46432F842 / Symbol: IEFA							
04/16/19	770.000	48,109.80	02/06/19	45,514.15	0.00	2,595.65	Sale
05/22/19	1,446.000	87,496.51	Various	84,124.04	0.00	3,372.47	Total of 2 transactions
	Security total:	135,606.31		129,638.19	0.00	5,968.12	
ISHS CORE MSCI E/MKT ETF / CUSIP: 46434G103 / Symbol: IEMG							
04/16/19	848.000	45,418.99	01/17/19	41,907.71	0.00	3,511.28	Sale
05/22/19	849.000	41,379.40	Various	43,765.92	0.00	-2,386.52	Total of 2 transactions

Peltier Foundation Form 990-PF 2019
72-1416778 Part I; Line 6a; 6b schedule 5

LPL Financial Account 2633

Proceeds Not Reported to the IRS
(continued)

2019 02/16/2020

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]
Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
ISHS CORE MSCI E/MKT ETF / CUSIP: 46434G103 / Symbol: IEMG (cont'd)							
	Security total:	86,798.39		85,673.63	0.00	1,124.76	
	Totals:	**277,667.59**		**271,147.80**	**0.00**	**6,519.79**	

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]
Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
ISHS CORE S&P 500 ETF / CUSIP: 464287200 / Symbol: IVV							
02/06/19	505.000	138,560.73	Various	120,466.89	0.00	18,093.84	Total of 3 transactions
03/06/19	165.000	46,033.14	04/20/17	39,081.84	0.00	6,951.30	Sale
06/05/19	316.000	89,664.12	03/20/18	86,577.59	0.00	3,086.53	Sale
	Security total:	274,257.99		246,126.32	0.00	28,131.67	
ISHS CORE US AGGR BD ETF / CUSIP: 464287226 / Symbol: AGG							
01/17/19	1,105.000	117,626.12	Various	121,087.20	0.00	-3,461.08	Total of 4 transactions
ISHS CORE S&P MDCP ETF / CUSIP: 464287507 / Symbol: IJH							
01/17/19	189.000	33,723.01	Various	34,414.09	0.00	-691.08	Total of 2 transactions

Peltier Foundation Form 990-PF 2019
72-1416778 Part I; Line 6a; 6b Schedule 5

LPL Financial Account 2633

Proceeds Not Reported to the IRS
(continued)

2019 02/16/2020

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]
Report on Form 8949, Part II, with Box F checked.

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
Description of property							
ISHS CORE S&P SMCP ETF / CUSIP: 464287804 / Symbol: IJR							
03/06/19	579.000	45,230.89	05/18/17	39,255.98	0.00	5,974.91	Sale
	Totals:	**470,838.01**		**440,883.59**	**0.00**	**29,954.42**	

Peltier Foundation
72-1416778
Part I; Line 6a;6b
Schedule 5

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SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
ARCHER DANIELS MIDLAND C / CUSIP: 039483102 / Symbol: ADM							
02/05/19	34.000	1,418.42	12/10/18	1,509.67	0.00	-91.25	Sale
11/19/19	75.000	3,228.37	12/10/18	3,330.15	0.00	-101.78	Sale
11/27/19	177.000	7,595.00	Various	7,934.63	0.00	-339.63	Total of 3 transactions
	Security total:	12,241.79		12,774.45	0.00	-532.66	
BARRICK GOLD CORP / CUSIP: 067901108 / Symbol: GOLD							
05/22/19	185.000	2,244.10	01/07/19	2,390.18	0.00	-146.08	Sale
CALLAWAY GOLF COMPANY / CUSIP: 131193104 / Symbol: ELY							
06/26/19	101.000	1,770.07	Various	2,290.18	0.00	-520.11	Total of 2 transactions
CENTERPOINT ENERGY INC / CUSIP: 15189T107 / Symbol: CNP							
06/07/19	84.000	2,452.15	09/14/18	2,416.75	0.00	35.40	Sale
CORNING INC / CUSIP: 219350105 / Symbol: GLW							
07/11/19	133.000	4,329.74	09/13/18	4,657.13	0.00	-327.39	Sale

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SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]
Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
HEARTLAND EXPRESS INC / CUSIP: 422347104 / Symbol: HTLD							
06/11/19	56.000	1,047.84	07/20/18	1,158.74	0.00	-110.90	Sale
06/26/19	91.000	1,618.54	Various	1,790.05	0.00	-171.51	Total of 2 transactions
	Security total:	2,666.38		2,948.79	0.00	-282.41	
M&T BANK CORP / CUSIP: 55261F104 / Symbol: MTB							
05/08/19	28.000	4,675.44	12/26/18	3,851.46	0.00	823.98	Sale
05/14/19	25.000	4,100.49	Various	4,261.03	0.00	-160.54	Total of 3 transactions
	Security total:	8,775.93		8,112.49	0.00	663.44	
NIKE INC B / CUSIP: 654106103 / Symbol: NKE							
04/10/19	2.000	169.47	07/27/18	153.82	0.00	15.65	Sale
SR HSG PPTYS TR SBI / CUSIP: 81721M109 / Symbol:							
01/15/19	76.000	959.20	07/20/18	1,366.44	0.00	-407.24	Sale
SPROUTS FARMERS MARKET / CUSIP: 85208M102 / Symbol: SFM							
04/24/19	56.000	1,170.43	Various	1,495.50	0.00	-325.07	Total of 3 transactions
04/30/19	137.000	2,933.77	Various	3,727.08	0.00	-793.31	Total of 3 transactions
	Security total:	4,104.20		5,222.58	0.00	-1,118.38	
VERINT SYSTEMS INC / CUSIP: 92343X100 / Symbol: VRNT							
09/05/19	75.000	3,460.86	Various	3,958.38	0.00	-497.52	Total of 3 transactions

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SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]
Report on Form 8949, Part I, with Box C checked.

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
VIACOM INC CL B NEW / CUSIP: 92553P201 / Symbol:							
03/20/19	120.000	3,130.16	Various	3,812.80	0.00	-682.64	Total of 2 transactions
08/23/19	177.000	4,519.40	Various	5,679.92	0.00	-1,160.52	Total of 3 transactions
	Security total:	7,649.56		9,492.72	0.00	-1,843.16	
VIRTUSA CORP / CUSIP: 92827P102 / Symbol: VRTU							
05/16/19	40.000	1,750.52	Various	2,172.72	0.00	-422.20	Total of 2 transactions
	Totals:	**52,573.97**		**57,956.63**	**0.00**	**-5,382.66**	

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]
Report on Form 8949, Part II, with Box F checked.

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
AMER ELEC PWR CO INC / CUSIP: 025537101 / Symbol: AEP							
09/12/19	29.000	2,667.03	Various	1,546.12	0.00	1,120.91	Total of 2 transactions
APPLE INC / CUSIP: 037833100 / Symbol: AAPL							
01/03/19	21.000	3,046.07	Various	1,654.69	0.00	1,391.38	Total of 2 transactions
04/10/19	2.000	398.55	01/14/14	154.88	0.00	243.67	Sale
	Security total:	3,444.62		1,809.57	0.00	1,635.05	

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Proceeds Not Reported to the IRS
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LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]
Report on Form 8949, Part II, with Box F checked.

Description of property Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
BARRICK GOLD CORP / CUSIP: 067901108 / Symbol: GOLD							
04/30/19	132.000	1,675.04	Various	1,989.43	0.00	-314.39	Total of 2 transactions
05/22/19	152.000	1,843.79	02/12/18	2,008.98	0.00	-165.19	Sale
	Security total:	3,518.83		3,998.41	0.00	-479.58	
BAXTER INTL INC / CUSIP: 071813109 / Symbol: BAX							
10/25/19	132.000	10,204.04	Various	6,260.19	0.00	3,943.85	Total of 3 transactions
BLACKROCK INC / CUSIP: 09247X101 / Symbol: BLK							
01/16/19	8.000	3,342.45	Various	1,480.37	0.00	1,862.08	Total of 2 transactions
CALIFORNIA WTR SVC GRP / CUSIP: 130788102 / Symbol: CWT							
09/05/19	47.000	2,635.46	04/27/09	909.92	0.00	1,725.54	Sale
09/12/19	30.000	1,626.55	Various	549.27	0.00	1,077.28	Total of 4 transactions
10/23/19	39.000	2,140.16	Various	780.27	0.00	1,359.89	Total of 4 transactions
	Security total:	6,402.17		2,239.46	0.00	4,162.71	
CHEVRON CORP / CUSIP: 166764100 / Symbol: CVX							
04/10/19	6.000	755.69	Various	645.10	0.00	110.59	Total of 2 transactions
CISCO SYSTEMS INC / CUSIP: 17275R102 / Symbol: CSCO							
09/12/19	5.000	250.54	08/14/15	144.64	0.00	105.90	Sale
12/06/19	48.000	2,110.38	08/14/15	1,388.53	0.00	721.85	Sale
	Security total:	2,360.92		1,533.17	0.00	827.75	
CITIZENS FINL GRP INC / CUSIP: 174610105 / Symbol: CFG							
08/07/19	339.000	11,202.29	Various	8,542.68	0.00	2,659.61	Total of 5 transactions

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LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]
Report on Form 8949, Part II, with Box F checked.

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
WALT DISNEY CO / CUSIP: 254687106 / Symbol: DIS							
09/05/19	15.000	2,080.78	04/27/09	296.40	0.00	1,784.38	Sale
EAGLE MATERIALS INC / CUSIP: 26969P108 / Symbol: EXP							
07/16/19	18.000	1,562.23	08/28/17	1,596.17	0.00	-33.94	Sale
EBAY INC / CUSIP: 278642103 / Symbol: EBAY							
11/08/19	151.000	5,304.61	Various	4,317.39	0.00	987.22	Total of 4 transactions
EURONET WORLDWIDE INC / CUSIP: 298736109 / Symbol: EEFT							
12/19/19	21.000	3,308.75	08/09/17	2,024.41	0.00	1,284.34	Sale
FASTENAL CO / CUSIP: 311900104 / Symbol: FAST							
10/07/19	182.000	5,681.70	11/10/16	4,081.30	0.00	1,600.40	Sale
FEDEX CORP / CUSIP: 31428X106 / Symbol: FDX							
07/11/19	15.000	2,444.13	03/17/16	2,397.41	0.00	46.72	Sale
FORTINET INC / CUSIP: 34959E109 / Symbol: FTNT							
02/06/19	37.000	3,002.08	Various	1,019.49	0.00	1,982.59	Total of 2 transactions
02/19/19	2.000	166.90	10/29/15	68.54	0.00	98.36	Sale
	Security total:	3,168.98		1,088.03	0.00	2,080.95	
GRANITE CONSTRUCTION INC / CUSIP: 387328107 / Symbol: GVA							
04/24/19	26.000	1,206.56	Various	945.71	0.00	260.85	Total of 2 transactions
05/08/19	32.000	1,421.41	06/16/15	1,171.91	0.00	249.50	Sale
05/29/19	83.000	3,449.00	Various	2,884.95	0.00	564.05	Total of 4 transactions
	Security total:	6,076.97		5,002.57	0.00	1,074.40	

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LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]
Report on Form 8949, Part II, with Box F checked.

Description of property Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
HARTFORD FINL SVCS GROUP / CUSIP: 416515104 / Symbol: HIG							
11/01/19	55.000	3,153.75	Various	2,651.35	0.00	502.40	Total of 2 transactions
HEARTLAND EXPRESS INC / CUSIP: 422347104 / Symbol: HTLD							
05/29/19	76.000	1,388.55	Various	1,816.49	0.00	-427.94	Total of 2 transactions
06/11/19	14.000	261.95	10/17/17	321.91	0.00	-59.96	Sale
	Security total:	1,650.50		2,138.40	0.00	-487.90	
HOME DEPOT INC / CUSIP: 437076102 / Symbol: HD							
05/14/19	17.000	3,268.96	Various	943.39	0.00	2,325.57	Total of 2 transactions
INTEL CORP / CUSIP: 458140100 / Symbol: INTC							
04/26/19	87.000	4,503.12	Various	2,140.59	0.00	2,362.53	Total of 3 transactions
JOHNSON & JOHNSON / CUSIP: 478160104 / Symbol: JNJ							
01/22/19	15.000	1,929.48	04/15/13	1,239.56	0.00	689.92	Sale
NATIONAL INSTR CORP / CUSIP: 636518102 / Symbol: NATI							
07/31/19	104.000	4,375.86	Various	3,483.89	0.00	891.97	Total of 4 transactions
NETAPP INC / CUSIP: 64110D104 / Symbol: NTAP							
02/14/19	60.000	3,815.69	Various	3,409.27	0.00	406.42	Total of 3 transactions
06/17/19	106.000	6,369.26	Various	6,763.36	0.00	-394.10	Total of 3 transactions
	Security total:	10,184.95		10,172.63	0.00	12.32	
NICE LTD SPON ADR / CUSIP: 653656108 / Symbol: NICE							
04/10/19	12.000	1,500.02	Various	477.94	0.00	1,022.08	Total of 2 transactions
09/26/19	7.000	1,018.00	09/20/13	288.00	0.00	730.00	Sale

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LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]
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Description of property Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
NICE LTD SPON ADR / CUSIP: 653656108 / Symbol: NICE (cont'd)							
10/21/19	17.000	2,519.27	Various	700.72	0.00	1,818.55	Total of 2 transactions
	Security total:	5,037.29		1,466.66	0.00	3,570.63	
PEPSICO INC / CUSIP: 713448108 / Symbol: PEP							
04/10/19	8.000	975.99	05/02/13	659.10	0.00	316.89	Sale
PFIZER INC / CUSIP: 717081103 / Symbol: PFE							
03/11/19	63.000	2,593.61	Various	1,957.58	0.00	636.03	Total of 2 transactions
PLANET FITNESS INC A / CUSIP: 72703H101 / Symbol: PLNT							
08/07/19	56.000	4,125.04	Various	1,136.02	0.00	2,989.02	Total of 2 transactions
PROCTER & GAMBLE CO / CUSIP: 742718109 / Symbol: PG							
09/12/19	29.000	3,558.80	Various	2,415.21	0.00	1,143.59	Total of 2 transactions
PROOFPOINT INC / CUSIP: 743424103 / Symbol: PFPT							
06/06/19	54.000	5,825.89	Various	3,203.02	0.00	2,622.87	Total of 4 transactions
RALPH LAUREN CORP CL A / CUSIP: 751212101 / Symbol: RL							
01/24/19	22.000	2,416.86	08/15/17	1,871.07	0.00	545.79	Sale
04/10/19	5.000	633.94	08/15/17	425.25	0.00	208.69	Sale
07/19/19	61.000	6,765.79	Various	6,037.38	0.00	728.41	Total of 3 transactions
	Security total:	9,816.59		8,333.70	0.00	1,482.89	
REPUBLIC SERVICES INC / CUSIP: 760759100 / Symbol: RSG							
09/12/19	37.000	3,179.15	10/07/15	1,567.58	0.00	1,611.57	Sale

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LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]
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Description of property Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
ROCKWELL AUTOMATION INC / CUSIP: 773903109 / Symbol: ROK							
05/29/19	28.000	4,288.44	Various	4,396.74	0.00	-108.30	Total of 2 transactions
SR HSG PPTYS TR SBI / CUSIP: 81721M109 / Symbol:							
01/10/19	108.000	1,387.87	Various	1,553.08	0.00	-165.21	Total of 2 transactions
01/15/19	22.000	277.66	02/03/16	321.97	0.00	-44.31	Sale AC Original basis: $332.43
	Security total:	1,665.53		1,875.05	0.00	-209.52	
T MOBILE US INC / CUSIP: 872590104 / Symbol: TMUS							
04/24/19	24.000	1,761.13	Various	1,203.89	0.00	557.24	Total of 2 transactions
TRAVELERS COS INC / CUSIP: 89417E109 / Symbol: TRV							
10/18/19	19.000	2,682.68	Various	2,035.48	0.00	647.20	Total of 3 transactions
TRIMBLE INC / CUSIP: 896239100 / Symbol: TRMB							
12/19/19	51.000	2,068.21	Various	2,113.37	0.00	-45.16	Total of 2 transactions
TRINET GROUP INC / CUSIP: 896288107 / Symbol: TNET							
12/03/19	59.000	3,176.26	Various	3,008.52	0.00	167.74	Total of 2 transactions
UNITEDHEALTH GROUP INC / CUSIP: 91324P102 / Symbol: UNH							
06/25/19	8.000	1,983.22	11/03/16	1,107.36	0.00	875.86	Sale
07/01/19	26.000	6,293.87	11/03/16	3,598.90	0.00	2,694.97	Sale
	Security total:	8,277.09		4,706.26	0.00	3,570.83	
	Totals:	**161,624.51**		**111,706.74**	**0.00**	**49,917.77**	

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Sales transactions are organized into sections according to term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulations. For these transactions the Cost or other basis is always presented as $0.00 and the Proceeds is the net of the amount received when the option was written and the cost to close the position. This means you may have a negative Proceeds number.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
OGE ENERGY CORP / CUSIP: 670837103 / Symbol: OGE							
03/19/19	668.000	28,337.86	04/18/18	21,881.07	0.00	6,456.79	Sale
TAPESTRY INC / CUSIP: 876030107 / Symbol: TPR							
12/09/19	756.000	19,592.69	04/23/19	22,914.81	0.00	-3,322.12	Sale
	Totals:	**47,930.55**		**44,795.88**	**0.00**	**3,134.67**	

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part II, with Box F checked.

Description of property Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
AIR PDTS & CHEMICALS INC / CUSIP: 009158106 / Symbol: APD							
11/20/19	42.000	10,031.34	11/13/17	6,850.53	0.00	3,180.81	Sale

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LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]
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Description of property Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
BB&T CORP / CUSIP: 054937107 / Symbol:							
05/17/19	605.000	28,990.63	03/19/18	33,151.10	0.00	-4,160.47	Sale
BRISTOL MYERS SQUIBB CO / CUSIP: 110122108 / Symbol: BMY							
07/10/19	429.000	19,692.23	08/16/17	24,670.64	0.00	-4,978.41	Sale
CMS ENERGY CORP / CUSIP: 125896100 / Symbol: CMS							
09/19/19	353.000	22,148.56	Various	16,095.31	0.00	6,053.25	Total of 2 transactions
10/25/19	349.000	22,226.19	07/24/18	16,408.79	0.00	5,817.40	Sale
	Security total:	44,374.75		32,504.10	0.00	11,870.65	
CISCO SYSTEMS INC / CUSIP: 17275R102 / Symbol: CSCO							
07/10/19	306.000	17,504.81	10/08/12	5,807.88	0.00	11,696.93	Sale
HCP INC / CUSIP: 40414L109 / Symbol:							
01/23/19	428.000	12,733.99	06/03/14	17,219.60	0.00	-4,485.61	Sale AC Original basis: $18,014.15
JPMORGAN CHASE & CO / CUSIP: 46625H100 / Symbol: JPM							
11/20/19	35.000	4,524.39	Various	1,607.47	0.00	2,916.92	Total of 2 transactions
KAR AUCTION SVCS INC / CUSIP: 48238T109 / Symbol: KAR							
04/23/19	387.000	21,544.23	05/15/14	11,250.84	0.00	10,293.39	Sale
ELI LILLY & CO / CUSIP: 532457108 / Symbol: LLY							
02/20/19	197.000	24,151.65	Various	8,624.75	0.00	15,526.90	Total of 10 transactions
MACYS INC / CUSIP: 55616P104 / Symbol: M							
08/15/19	576.000	9,216.05	03/19/18	16,852.15	0.00	-7,636.10	Sale
MERCK & COMPANY INC NEW / CUSIP: 58933Y105 / Symbol: MRK							
01/03/19	298.000	22,198.51	Various	11,118.63	0.00	11,079.88	Total of 5 transactions

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LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]
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Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORP / CUSIP: 594918104 / Symbol: MSFT							
11/20/19	44.000	6,557.62	Various	1,140.68	0.00	5,416.94	Total of 2 transactions
OCCIDENTAL PETRO CORP / CUSIP: 674599105 / Symbol: OXY							
06/20/19	303.000	15,438.56	Various	25,892.23	0.00	-10,453.67	Total of 15 transactions
WALMART INC / CUSIP: 931142103 / Symbol: WMT							
11/20/19	36.000	4,267.55	03/04/14	2,700.10	0.00	1,567.45	Sale
INVESCO LTD / CUSIP: G491BT108 / Symbol: IVZ							
01/03/19	637.000	10,655.34	Various	16,538.16	0.00	-5,882.82	Total of 14 transactions
	Totals:	**251,881.65**		**215,928.86**	**0.00**	**35,952.79**	

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2019 | 02/16/2020

Sales transactions are organized into sections according to term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulations. For these transactions the Cost or other basis is always presented as $0.00 and the Proceeds is the net of the amount received when the option was written and the cost to close the position. This means you may have a negative Proceeds number.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
ALLNZGI S/DUR HI INC P / CUSIP: 01880B884 / Symbol: ASHPX							
03/14/19	504.448	7,324.67	Various	7,361.82	0.00	-37.15	Total of 12 transactions
FED STR VAL DIV I / CUSIP: 314172560 / Symbol: SVAIX							
03/14/19	3,230.579	18,511.22	Various	18,617.44	0.00	-106.22	Total of 9 transactions
ROYCE DIV VAL INVT / CUSIP: 780905188 / Symbol: RDVIX							
03/14/19	4,007.751	25,409.21	Various	23,585.97	0.00	1,823.24	Total of 6 transactions
THORN INVT INC BLDR I / CUSIP: 885215467 / Symbol: TIBIX							
05/03/19	170.726	3,745.73	Various	3,613.74	0.00	131.99	Total of 4 transactions
WF DVR INC BLDR I / CUSIP: 94985D319 / Symbol: EKSYX							
03/14/19	344.180	2,010.01	Various	2,104.59	0.00	-94.58	Total of 7 transactions
	Totals:	**57,000.84**		**55,283.56**	**0.00**	**1,717.28**	

Peltier Foundation
72-1416778

Form 990 PF 2019
Part I; line 6a; 6b

Schedule 5

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]
Report on Form 8949, Part II, with Box F checked.

Description of property Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
ALLNZGI S/DUR HI INC P / CUSIP: 01880B884 / Symbol: ASHPX							
03/14/19	8,942.280	129,841.82	Various	137,951.56	0.00	-8,109.74	Total of 32 transactions
LOOMIS GRW Y / CUSIP: 543487110 / Symbol: LSGRX							
03/14/19	1,352.504	22,072.87	Various	15,150.02	0.00	6,922.85	Total of 8 transactions
ROYCE DIV VAL INVT / CUSIP: 780905188 / Symbol: RDVIX							
03/14/19	28,569.144	181,128.30	Various	202,093.16	0.00	-20,964.86	Total of 44 transactions
THORN INVT INC BLDR I / CUSIP: 885215467 / Symbol: TIBIX							
05/03/19	3,736.496	81,978.72	03/29/18	79,811.56	0.00	2,167.16	Sale
Totals:		**415,021.71**		**435,006.30**	**0.00**	**-19,984.59**	

Totals	1856,436	1752307	104,129

Peltier Foundation, Inc.
Form 990-PF
Schedule 6-Stocks on hand
72-1416778

	12/31/2018	12/31/2019
Capital One Inv #4R6-501560	107.22	107.22
4.76% membership interest West Fork Land Co LLC	13,228.03	13,481.03
3-74/100 shares Lafourche Sugar Cane Co. common	26.18	26.18
1-6/10 shares Lafourche Sugar Cane Co preferred	80.00	80.00
40 shares Terra Firma Trust	-	-
1 share Dixie Lafourche Club	19,200.00	19,200.00
25-60/100 units of Lafourche Sugars, LLC	8,960.00	8,960.00
.94810% membership Peltier Farms, LLC	17,604.65	18,160.65
	59,206.08	60,015.08

Account Holdings As of December 31, 2019

Cash and Cash Equivalents

Description	Interest / Dividend Paid in December	Interest / Dividend Rate[4]	Current Balance
Cash			$134.58
Insured Cash Account [5]			
First Horizon Bank			$6,582.12
Tristate Capital Bank			$4,287.11
Citibank NA			$2,846.57
Bank of Baroda			$304.91
Total Insured Cash Account	$1.56	0.147%	$14,020.71
Total Cash and Cash Equivalents			**$14,155.29**

000203 15/41

Equities and Options

Security ID / Description	Quantity / Price ($)	Market Value ($)	Cost Basis ($) / Purchase Cost ($)[6]	Unrealized Gain / Loss ($)	Estimated Annual Income ($)[a] / Est 30-Day Yield[a]
ACN ACCENTURE PLC IRELAND CLASS A NEW[C]	85 / $210.57	17,898.45	12,818.06 / 12,818.06	5,080.39	271 / 1.52%
GOOG ALPHABET INC CL C[C]	4 / $1,337.02	5,348.08	1,849.21 / 1,849.21	3,498.87	— / —
GOOGL ALPHABET INC CL A[C]	13 / $1,339.39	17,412.07	5,114.67 / 5,114.67	12,297.40	— / —
AMZN AMAZON.COM INC[C]	12 / $1,847.84	22,174.08	10,277.03 / 10,277.03	11,897.05	— / —
AMED AMEDISYS INC[C]	66 / $166.92	11,016.72	3,622.96 / 3,622.96	7,393.76	— / —
AEP AMERICAN ELECTRIC POWER COMPANY INC[C]	127 / $94.51	12,002.77	8,827.61 / 8,827.61	3,175.16	354 / 2.96%
AXP AMERICAN EXPRESS CO[C]	181 / $124.49	22,532.69	16,288.97 / 16,288.97	6,243.72	308 / 1.38%
AWR AMERICAN STS WATER COMPANY[C]	87 / $86.64	7,537.68	1,932.30 / 1,932.30	5,605.38	103 / 1.41%
AMP AMERIPRISE FINANCIAL INC[C]	65 / $166.58	10,827.70	9,520.66 / 9,520.66	1,307.04	252 / 2.33%
AMGN AMGEN INC[C]	54 / $241.07	13,017.78	6,246.89 / 6,246.89	6,770.89	343 / 2.65%

Equities and Options continued on next page...

4 *Bank Deposit Sweep interest is paid on daily balances at a rate reflecting the weighted average rate during the statement month. Money Market Sweep dividend is a 30-day yield.*
5 *Bank Deposit Sweep Accounts are FDIC insured, are not obligations of LPL Financial or SIPC, and are not available for margin purposes. See message section for further information.*
6 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings As of December 31, 2019 *Continued*

Equities and Options *Continued*

Security ID / Description	Quantity / Price ($)	Market Value ($)	Cost Basis ($) / Purchase Cost ($)[a]	Unrealized Gain / Loss ($)	Estimated Annual Income ($)[a] / Est 30-Day Yield[a]
ANSS ANSYS INC[c]	48 / $257.41	12,355.68	9,448.25 / 9,448.25	2,907.43	— / —
AAPL APPLE INC[c]	79 / $293.65	23,198.35	10,047.62 / 10,047.62	13,150.73	241 / 1.05%
AIZ ASSURANT INC[c]	34 / $131.08	4,456.72	3,049.04 / 3,049.04	1,407.68	85 / 1.92%
T AT&T INC[c]	348 / $39.08	13,599.84	10,307.30 / 10,307.30	3,292.54	715 / 5.32%
BAC BANK OF AMERICA CORP[c]	618 / $35.22	21,765.96	12,364.14 / 12,364.14	9,401.82	441 / 2.04%
BAX BAXTER INTL INC[c]	91 / $83.62	7,609.42	5,308.80 / 5,308.80	2,300.62	79 / 1.05%
BBY BEST BUY COMPANY INC[c]	140 / $87.80	12,292.00	7,419.10 / 7,419.10	4,872.90	280 / 2.28%
BIIB BIOGEN INC[c]	40 / $296.73	11,869.20	11,736.42 / 11,736.42	132.78	— / —
BLK BLACKROCK INC[c]	10 / $502.70	5,027.00	4,530.97 / 4,530.97	496.03	131 / 2.63%
CACI CACI INTERNATIONAL INC CLASS A[c]	34 / $249.99	8,499.66	7,080.83 / 7,080.83	1,418.83	— / —
CDNS CADENCE DESIGN SYSTEMS INC[c]	88 / $69.36	6,103.68	6,055.34 / 6,055.34	48.34	— / —
CWT CALIFORNIA WATER SERVICE GROUP[c]	186 / $51.56	9,590.16	4,740.95 / 4,740.95	4,849.21	144 / 1.53%
CRS CARPENTER TECHNOLGY CORP[c]	76 / $49.78	3,783.28	2,536.26 / 2,536.26	1,247.02	60 / 1.61%
CAT CATERPILLAR INC[c]	86 / $147.68	12,700.48	9,451.76 / 9,451.76	3,248.72	353 / 2.79%
CE CELANESE CORP DEL SER A[c]	96 / $123.12	11,819.52	9,107.48 / 9,107.48	2,712.04	236 / 2.01%
CNP CENTERPOINT ENERGY INC[c]	245 / $27.27	6,681.15	6,924.45 / 6,924.45	(243.30)	280 / 4.22%
CVX CHEVRON CORP[c]	135 / $120.51	16,268.85	12,300.50 / 12,300.50	3,968.35	638 / 3.95%

Equities and Options continued on next page...

a Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

Account Holdings As of December 31, 2019 *Continued*

Equities and Options *Continued*

Security ID / Description	Quantity / Price ($)	Market Value ($)	Cost Basis ($) / Purchase Cost ($)[6]	Unrealized Gain / Loss ($)	Estimated Annual Income ($)[a] / Est 30-Day Yield[a]
CHDN CHURCHILL DOWNS INC[C]	39 $137.20	5,350.80	1,943.42 1,943.42	3,407.38	*22* *0.42%*
CIEN CIENA CORP NEW[C]	339 $42.69	14,471.91	9,697.79 9,697.79	4,774.12	— —
CTAS CINTAS CORP[C]	29 $269.08	7,803.32	5,745.86 5,745.86	2,057.46	*72* *0.95%*
CSCO CISCO SYSTEMS INC[C]	318 $47.96	15,251.28	9,692.15 9,692.15	5,559.13	*444* *2.92%*
CL COLGATE-PALMOLIVE COMPANY[C]	86 $68.84	5,920.24	5,874.46 5,874.46	45.78	*147* *2.50%*
CCI CROWN CASTLE INTL CORP NEW[C]	65 $142.15	9,239.75	6,038.04 6,038.04	3,201.71	*311* *3.38%*
DHR DANAHER CORP[C]	65 $153.48	9,976.20	5,346.88 5,346.88	4,629.32	*43* *0.44%*
DRI DARDEN RESTAURANTS INC[C]	47 $109.01	5,123.47	5,304.62 5,304.62	(181.15)	*164* *3.23%*
FANG DIAMONDBACK ENERGY INC[C]	78 $92.86	7,243.08	8,690.59 8,690.59	(1,447.51)	*57* *0.81%*
EBAY EBAY INC[C]	129 $36.11	4,658.19	3,837.20 3,837.20	820.99	*70* *1.55%*
EW EDWARDS LIFESCIENCES CORP[C]	33 $233.29	7,698.57	3,930.27 3,930.27	3,768.30	— —
EPR EPR PROPERTIES BEN INT[C]	19 $70.64	1,342.16	1,300.15 1,300.15	42.01	*85* *6.37%*
ELS EQUITY LIFESTYLE PROPERTIES INC[C]	98 $70.39	6,898.22	7,140.26 7,140.26	(242.04)	*119* *1.74%*
EEFT EURONET WORLDWIDE INC[C]	33 $157.56	5,199.48	3,224.18 3,224.18	1,975.30	— —
FB FACEBOOK INC CL A[C]	26 $205.25	5,336.50	4,394.10 4,394.10	942.40	— —
FDX FEDEX CORP[C]	57 $151.21	8,618.97	9,677.72 9,677.72	(1,058.75)	*148* *1.72%*
FLT FLEETCOR TECHNOLOGIES INC[C]	25 $287.72	7,193.00	6,502.87 6,502.87	690.13	— —

Equities and Options continued on next page...

6 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

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Account Holdings As of December 31, 2019 *Continued*

Equities and Options *Continued*

Security ID / Description	Quantity / Price ($)	Market Value ($)	Cost Basis ($) / Purchase Cost ($)[6]	Unrealized Gain / Loss ($)	Estimated Annual Income ($)[a] / Est 30-Day Yield[a]
FTNT FORTINET INC[C]	217 / $106.76	23,166.92	8,042.61 / 8,042.61	15,124.31	— / —
GLPI GAMING & LEISURE PROPERTIES INC[C]	98 / $43.05	4,218.90	3,726.55 / 3,726.55	492.35	273 / 6.50%
HIG HARTFORD FINANCIAL SERVICES GROUP INC[C]	266 / $60.77	16,164.82	12,493.12 / 12,493.12	3,671.70	317 / 1.97%
HE HAWAIIAN ELECTRIC INDUSTRIES INC[C]	117 / $46.86	5,482.62	4,131.83 / 4,131.83	1,350.79	149 / 2.73%
HSY HERSHEY COMPANY[C]	104 / $146.98	15,285.92	8,498.37 / 8,498.37	6,787.55	316 / 2.10%
HD HOME DEPOT INC[C]	76 / $218.38	16,596.88	6,029.99 / 6,029.99	10,566.89	411 / 2.49%
HON HONEYWELL INTL INC[C]	73 / $177.00	12,921.00	7,439.26 / 7,439.26	5,481.74	260 / 2.03%
INTC INTEL CORP[C]	312 / $59.85	18,673.20	11,900.23 / 11,900.23	6,772.97	389 / 2.11%
IP INTERNATIONAL PAPER COMPANY[C]	164 / $46.05	7,552.20	6,598.50 / 6,598.50	953.70	335 / 4.45%
INTU INTUIT INC[C]	23 / $261.93	6,024.39	6,388.16 / 6,388.16	(363.77)	48 / 0.81%
JNJ JOHNSON & JOHNSON[C]	67 / $145.87	9,773.29	6,030.33 / 6,030.33	3,742.96	253 / 2.61%
JPM JPMORGAN CHASE & CO[C]	63 / $139.40	8,782.20	4,050.00 / 4,050.00	4,732.20	225 / 2.58%
KEY KEYCORP NEW[C]	467 / $20.24	9,452.08	9,113.01 / 9,113.01	339.07	344 / 3.66%
LH LABORATORY CORP OF AMER HOLDINGS NEW[C]	42 / $169.17	7,105.14	5,532.76 / 5,532.76	1,572.38	— / —
LMT LOCKHEED MARTIN CORP[C]	41 / $389.38	15,964.58	13,162.93 / 13,162.93	2,801.65	393 / 2.47%
MDC M D C HOLDINGS INC[C]	99 / $38.16	3,777.84	3,708.60 / 3,708.60	69.24	118 / 3.14%
MANH MANHATTAN ASSOCIATES INC[C]	58 / $79.75	4,625.50	3,947.20 / 3,947.20	678.30	— / —

Equities and Options continued on next page...

6 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings As of December 31, 2019 *Continued*

Equities and Options *Continued*

Security ID / Description	Quantity / Price ($)	Market Value ($)	Cost Basis ($) / Purchase Cost ($)[6]	Unrealized Gain / Loss ($)	Estimated Annual Income ($)[a] / Est 30-Day Yield[a]
MAN MANPOWERGROUP INC[C]	72 / $97.10	6,991.20	6,605.56 / 6,605.56	385.64	*156 / 2.25%*
MMC MARSH & MCLENNAN COS INC[C]	117 / $111.41	13,034.97	4,554.05 / 4,554.05	8,480.92	*210 / 1.63%*
MRK MERCK & COMPANY INC NEW[C]	231 / $90.95	21,009.45	14,283.11 / 14,283.11	6,726.34	*559 / 2.68%*
MET METLIFE INC[C]	206 / $50.97	10,499.82	9,466.82 / 9,466.82	1,033.00	*362 / 3.45%*
MU MICRON TECHNOLOGY INC[C]	218 / $53.78	11,724.04	6,667.44 / 6,667.44	5,056.60	— / —
MSFT MICROSOFT CORP[C]	167 / $157.70	26,335.90	7,314.01 / 7,314.01	19,021.89	*338 / 1.29%*
MAA MID-AMERICA APARTMENT COMMUNITIES[C]	44 / $131.86	5,801.84	5,852.37 / 5,852.37	(50.53)	*176 / 3.03%*
MLHR MILLER HERMAN INC[C]	106 / $41.65	4,414.90	4,322.68 / 4,322.68	92.22	*88 / 2.02%*
MOH MOLINA HEALTHCARE INC [C]	30 / $135.69	4,070.70	3,851.51 / 3,851.51	219.19	— / —
MCO MOODYS CORP[C]	34 / $237.41	8,071.94	6,367.95 / 6,367.95	1,703.99	*68 / 0.84%*
NICE NICE LTD SPON ADR[C]	101 / $155.15	15,670.15	4,500.20 / 4,500.20	11,169.95	— / —
NKE NIKE INC CL B[C]	80 / $101.31	8,104.80	6,050.02 / 6,050.02	2,054.78	*77 / 0.97%*
NVDA NVIDIA CORP[C]	29 / $235.30	6,823.70	5,926.56 / 5,926.56	897.14	*18 / 0.27%*
PEP PEPSICO INC[C]	144 / $136.67	19,680.48	13,143.01 / 13,143.01	6,537.47	*547 / 2.80%*
PFE PFIZER INC[C]	109 / $39.18	4,270.62	3,715.66 / 3,715.66	554.96	*164 / 3.88%*
PG PROCTER & GAMBLE CO[C]	159 / $124.90	19,859.10	13,830.59 / 13,830.59	6,028.51	*473 / 2.39%*
PHM PULTEGROUP INC[C]	179 / $38.80	6,945.20	5,645.48 / 5,645.48	1,299.72	*85 / 1.24%*

Equities and Options continued on next page...

6 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

000203 17/41

Account Holdings As of December 31, 2019 *Continued*

Equities and Options *Continued*

Security ID / Description	Quantity / Price ($)	Market Value ($)	Cost Basis ($) / Purchase Cost ($)[6]	Unrealized Gain / Loss ($)	Estimated Annual Income ($)[a] / Est 30-Day Yield[a]
RTN RAYTHEON COMPANY NEW C	74 / $219.74	16,260.76	9,849.70 / 9,849.70	6,411.06	277 / 1.72%
RSG REPUBLIC SERVICES INC C	114 / $89.63	10,217.82	4,883.55 / 4,883.55	5,334.27	183 / 1.81%
RDS/B ROYAL DUTCH SHELL PLC SPONSORED ADR REPSTG B SHARES C	218 / $59.97	13,073.46	12,946.48 / 12,946.48	126.98	818 / 6.27%
SABR SABRE CORP C	190 / $22.44	4,263.60	4,486.38 / 4,486.38	(222.78)	106 / 2.50%
SVC SERVICE PROPERTIES TR SBI C	202 / $24.33	4,914.66	5,448.40 / 5,448.40	(533.74)	431 / 8.88%
SPG SIMON PROPERTY GROUP INC NEW C	25 / $148.96	3,724.00	3,884.43 / 3,884.43	(160.43)	210 / 5.64%
SWKS SKYWORKS SOLUTIONS INC C	89 / $120.88	10,758.32	8,814.68 / 8,814.68	1,943.64	156 / 1.46%
SO SOUTHERN COMPANY C	112 / $63.70	7,134.40	6,049.88 / 6,049.88	1,084.52	277 / 3.89%
SBUX STARBUCKS CORP C	156 / $87.92	13,715.52	8,236.93 / 8,236.93	5,478.59	253 / 1.87%
STT STATE STREET CORP C	163 / $79.10	12,893.30	9,369.02 / 9,369.02	3,524.28	338 / 2.63%
SYK STRYKER CORP C	36 / $209.94	7,557.84	6,264.35 / 6,264.35	1,293.49	82 / 1.10%
SNPS SYNOPSYS INC C	63 / $139.20	8,769.60	6,411.95 / 6,411.95	2,357.65	— / —
TMUS T-MOBILE US INC C	90 / $78.42	7,057.80	4,570.14 / 4,570.14	2,487.66	— / —
TTEK TETRA TECH INC NEW C	62 / $86.16	5,341.92	4,778.04 / 4,778.04	563.88	37 / 0.70%
TRV TRAVELERS COS INC C	69 / $136.95	9,449.55	8,810.96 / 8,810.96	638.59	225 / 2.40%
UNH UNITEDHEALTH GROUP INC C	47 / $293.98	13,817.06	7,084.81 / 7,084.81	6,732.25	202 / 1.47%
MTN VAIL RESORTS INC C	19 / $239.83	4,556.77	1,779.69 / 1,779.69	2,777.08	133 / 2.94%

Equities and Options continued on next page...

6 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings As of December 31, 2019 *Continued*

Equities and Options *Continued*

Security ID / Description	Quantity / Price ($)	Market Value ($)	Cost Basis ($) / Purchase Cost ($)[6]	Unrealized Gain / Loss ($)	Estimated Annual Income ($)[a] / Est 30-Day Yield[a]
VRSN VERISIGN INC[C]	28 / $192.68	5,395.04	5,697.37 / 5,697.37	(302.33)	— / —
VRTX VERTEX PHARMACEUTICALS INC[C]	26 / $218.95	5,692.70	4,808.51 / 4,808.51	884.19	— / —
WMT WALMART INC[C]	46 / $118.84	5,466.64	4,567.05 / 4,567.05	899.59	*97* / *1.78%*
DIS WALT DISNEY CO[C]	88 / $144.63	12,727.44	6,181.18 / 6,181.18	6,546.26	*150* / *1.22%*
WAL WESTERN ALLIANCE BANCORP[C]	71 / $57.00	4,047.00	3,473.96 / 3,473.96	573.04	*71* / *1.75%*
WWD WOODWARD INC[C]	55 / $118.44	6,514.20	2,823.70 / 2,823.70	3,690.50	*35* / *0.55%*
XYL XYLEM INC[C]	67 / $78.79	5,278.93	3,142.60 / 3,142.60	2,136.33	*62* / *1.22%*
Total of Equities and Options		**1,032,218.73**	**694,502.36** / **694,502.36**	**337,716.37**	*18,291*

C Dividends and/or capital gains distributed by this security will be distributed as cash.

000203 27/41

Account Holdings As of December 31, 2019

Cash and Cash Equivalents

Description	Interest / Dividend Paid in December	Interest / Dividend Rate[4]	Current Balance
Cash			$858.54
Insured Cash Account [5]			
First Horizon Bank			$79,220.36
Citibank NA			$6,420.64
Bank of Baroda			$4,693.23
Tristate Capital Bank			$2,424.79
Total Insured Cash Account	$11.33	0.150%	$92,759.02
Total Cash and Cash Equivalents			**$93,617.56**

Equities and Options

Security ID / Description	Quantity / Price ($)	Market Value ($)	Cost Basis ($) / Purchase Cost ($)[6]	Unrealized Gain / Loss ($)	Estimated Annual Income ($)[a] / Est 30-Day Yield[a]
ABBV ABBVIE INC[C]	351 / $88.54	31,077.54	16,991.92 / 16,991.92	14,085.62	*1,654* / *5.33%*
AFL AFLAC INC[C]	762 / $52.90	40,309.80	19,536.32 / 19,536.32	20,773.48	*821* / *2.04%*
APD AIR PRODUCTS & CHEMICALS INC[C]	167 / $234.99	39,243.33	27,238.98 / 27,238.98	12,004.35	*774* / *1.97%*
MO ALTRIA GROUP INC[C]	464 / $49.91	23,158.24	11,586.79 / 11,586.79	11,571.45	*1,552* / *6.73%*
AEP AMERICAN ELECTRIC POWER COMPANY INC[C]	373 / $94.51	35,252.23	15,373.63 / 15,373.63	19,878.60	*1,040* / *2.96%*
AMP AMERIPRISE FINANCIAL INC[C]	290 / $166.58	48,308.20	19,432.86 / 19,432.86	28,875.34	*1,121* / *2.33%*
AMGN AMGEN INC[C]	140 / $241.07	33,749.80	24,287.28 / 24,287.28	9,462.52	*896* / *2.65%*
AJG ARTHUR J GALLAGHER & COMPANY[C]	442 / $95.23	42,091.66	14,444.94 / 14,444.94	27,646.72	*755* / *1.81%*
T AT&T INC[C]	1,311 / $39.08	51,233.88	41,595.22 / 41,595.22	9,638.66	*2,717* / *5.32%*
BAC BANK OF AMERICA CORP[C]	753 / $35.22	26,520.66	24,768.51 / 24,768.51	1,752.15	*541* / *2.04%*

Equities and Options continued on next page...

4 *Bank Deposit Sweep Interest is paid on daily balances at a rate reflecting the weighted average rate during the statement month. Money Market Sweep dividend is a 30-day yield.*
5 *Bank Deposit Sweep Accounts are FDIC insured, are not obligations of LPL Financial or SIPC, and are not available for margin purposes. See message section for further information.*
6 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings As of December 31, 2019 *Continued*

Equities and Options *Continued*

Security ID / Description	Quantity / Price ($)	Market Value ($)	Cost Basis ($) / Purchase Cost ($)[6]	Unrealized Gain / Loss ($)	Estimated Annual Income ($)[a] / Est 30-Day Yield[a]
BLK BLACKROCK INC[C]	81 / $502.70	40,718.70	17,192.48 / 17,192.48	23,526.22	*1,063* / *2.63%*
AVGO BROADCOM INC[C]	52 / $316.02	16,433.04	15,020.25 / 15,020.25	1,412.79	*676* / *4.11%*
CAT CATERPILLAR INC[C]	259 / $147.68	38,249.12	32,558.79 / 32,558.79	5,690.33	*1,066* / *2.79%*
CVX CHEVRON CORP[C]	486 / $120.51	58,567.86	47,019.92 / 47,019.92	11,547.94	*2,306* / *3.95%*
CSCO CISCO SYSTEMS INC[C]	1,049 / $47.96	50,310.04	28,424.81 / 28,424.81	21,885.23	*1,464* / *2.92%*
C CITIGROUP INC NEW[C]	334 / $79.89	26,683.26	24,788.24 / 24,788.24	1,895.02	*681* / *2.55%*
KO COCA-COLA COMPANY[C]	757 / $55.35	41,899.95	32,022.84 / 32,022.84	9,877.11	*1,211* / *2.89%*
CMCSA COMCAST CORP CL A NEW[C]	350 / $44.97	15,739.50	15,238.95 / 15,238.95	500.55	*294* / *1.87%*
CCI CROWN CASTLE INTL CORP NEW[C]	390 / $142.15	55,438.50	33,673.60 / 33,673.60	21,764.90	*1,871* / *3.38%*
EMN EASTMAN CHEMICAL CO[C]	293 / $79.26	23,223.18	22,604.96 / 22,604.96	618.22	*773* / *3.33%*
EMR EMERSON ELECTRIC CO[C]	268 / $76.26	20,437.68	17,184.85 / 17,184.85	3,252.83	*536* / *2.62%*
XOM EXXON MOBIL CORP[C]	758 / $69.78	52,893.24	60,903.44 / 60,903.44	(8,010.20)	*2,631* / *4.99%*
F FORD MOTOR CO NEW[C]	2,661 / $9.30	24,747.30	32,434.93 / 32,434.93	(7,687.63)	*1,596* / *6.45%*
GILD GILEAD SCIENCES INC[C]	436 / $64.98	28,331.28	30,049.50 / 30,049.50	(1,718.22)	*1,098* / *3.88%*
HD HOME DEPOT INC[C]	334 / $218.38	72,938.92	14,299.29 / 14,299.29	58,639.63	*1,810* / *2.49%*
HPQ HP INC[C]	1,832 / $20.55	37,647.60	19,212.00 / 19,212.00	18,435.60	*1,291* / *3.43%*
HBAN HUNTINGTON BANCSHRES INC[C]	1,416 / $15.08	21,353.28	22,173.85 / 22,173.85	(820.57)	*849* / *3.98%*

Equities and Options continued on next page...

6 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

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Form 990 PF 2019 Schedule 9

Account Holdings As of December 31, 2019 *Continued*

Equities and Options *Continued*

Security ID / Description	Quantity / Price ($)	Market Value ($)	Cost Basis ($) / Purchase Cost ($)[6]	Unrealized Gain / Loss ($)	Estimated Annual Income ($)[a] / Est 30-Day Yield[a]
INTC INTEL CORP[C]	928 / $59.85	55,540.80	29,563.76 / 29,563.76	25,977.04	1,169 / 2.11%
IP INTERNATIONAL PAPER COMPANY[C]	342 / $46.05	15,749.10	12,985.22 / 12,985.22	2,763.88	701 / 4.45%
IBM INTL BUSINESS MACHINES CORP[C]	106 / $134.04	14,208.24	15,303.14 / 15,303.14	(1,094.90)	686 / 4.83%
JNJ JOHNSON & JOHNSON[C]	449 / $145.87	65,495.63	43,178.75 / 43,178.75	22,316.88	1,705 / 2.61%
JPM JPMORGAN CHASE & CO[C]	558 / $139.40	77,785.20	23,483.99 / 23,483.99	54,301.21	2,004 / 2.58%
KEY KEYCORP NEW[C]	1,690 / $20.24	34,205.60	29,828.33 / 29,828.33	4,377.27	1,250 / 3.66%
KMI KINDER MORGAN INC DE[C]	1,938 / $21.17	41,027.46	34,230.51 / 34,230.51	6,796.95	1,938 / 4.72%
LPT LIBERTY PROPERTY TRUST[C]	552 / $60.05	33,147.60	19,417.10 / 19,417.10	13,730.50	901 / 2.73%
LMT LOCKHEED MARTIN CORP[C]	74 / $389.38	28,814.12	8,482.79 / 8,482.79	20,331.33	708 / 2.47%
LYB LYONDELLBASELL INDUSTRIES N V ORD SHS CL A[C]	279 / $94.48	26,359.92	21,914.96 / 21,914.96	4,444.96	1,171 / 4.45%
MDT MEDTRONIC PLC[C]	406 / $113.45	46,060.70	34,871.18 / 34,871.18	11,189.52	876 / 1.90%
MRK MERCK & COMPANY INC NEW[C]	551 / $90.95	50,113.45	24,407.71 / 24,407.71	25,705.74	1,338 / 2.68%
MET METLIFE INC[C]	862 / $50.97	43,936.14	42,733.61 / 42,733.61	1,202.53	1,516 / 3.45%
MSFT MICROSOFT CORP[C]	460 / $157.70	72,542.00	16,483.32 / 16,483.32	56,058.68	931 / 1.29%
MDLZ MONDELEZ INTERNATIONAL INC CL A[C]	653 / $55.08	35,967.24	28,085.60 / 28,085.60	7,881.64	744 / 2.07%
PEP PEPSICO INC[C]	390 / $136.67	53,301.30	44,316.60 / 44,316.60	8,984.70	1,489 / 2.80%
PFE PFIZER INC[C]	1,469 / $39.18	57,555.42	29,729.89 / 29,729.89	27,825.53	2,225 / 3.88%

Equities and Options continued on next page...

6 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

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Account Holdings As of December 31, 2019 *Continued*

Equities and Options *Continued*

Security ID / Description	Quantity / Price ($)	Market Value ($)	Cost Basis ($) / Purchase Cost ($)[6]	Unrealized Gain / Loss ($)	Estimated Annual Income ($)[a] / Est 30-Day Yield[a]
PM PHILIP MORRIS INTL INC[C]	543 / $85.09	46,203.87	42,677.39 / 42,677.39	3,526.48	*2,534* / *5.50%*
PSX PHILLIPS 66[C]	409 / $111.41	45,566.69	35,710.87 / 35,710.87	9,855.82	*1,472* / *3.23%*
PNC PNC FINANCIAL SERVICES GROUP INC[C]	187 / $159.63	29,850.81	13,824.49 / 13,824.49	16,026.32	*858* / *2.88%*
PG PROCTER & GAMBLE CO[C]	563 / $124.90	70,318.70	40,942.58 / 40,942.58	29,376.12	*1,668* / *2.39%*
PLD PROLOGIS INC[C]	418 / $89.14	37,260.52	16,281.81 / 16,281.81	20,978.71	*886* / *2.38%*
PRU PRUDENTIAL FINANCIAL INC[C]	577 / $93.74	54,087.98	43,210.86 / 43,210.86	10,877.12	*2,308* / *4.27%*
SLB SCHLUMBERGER LTD[C]	342 / $40.20	13,748.40	28,116.87 / 28,116.87	(14,368.47)	*684* / *4.98%*
SO SOUTHERN COMPANY[C]	714 / $63.70	45,481.80	31,639.34 / 31,639.34	13,842.46	*1,770* / *3.89%*
STLD STEEL DYNAMICS INC[C]	566 / $34.04	19,266.64	16,529.12 / 16,529.12	2,737.52	*543* / *2.82%*
TRI THOMSON REUTERS CORP NEW[C]	596 / $71.60	42,673.60	21,638.81 / 21,638.81	21,034.79	*858* / *2.01%*
USB U S BANCORP DE NEW[C]	1,015 / $59.29	60,179.35	40,791.04 / 40,791.04	19,388.31	*1,699* / *2.83%*
VLO VALERO ENERGY CORP[C]	440 / $93.65	41,206.00	36,440.36 / 36,440.36	4,765.64	*1,584* / *3.84%*
VTR VENTAS INC[C]	530 / $57.74	30,602.20	31,579.20 / 31,579.20	(977.00)	*1,680* / *5.49%*
VZ VERIZON COMMUNICATIONS INC[C]	1,108 / $61.40	68,031.20	50,460.84 / 50,460.84	17,570.36	*2,719* / *4.01%*
WMT WALMART INC[C]	587 / $118.84	69,759.08	43,696.21 / 43,696.21	26,062.87	*1,242* / *1.78%*
WFC WELLS FARGO & CO NEW[C]	1,236 / $53.80	66,496.80	44,228.59 / 44,228.59	22,268.21	*2,513* / *3.79%*
Total of Equities and Options		**2,489,101.35**	**1,676,843.99** / **1,676,843.99**	**812,257.36**	*79,457*

C Dividends and/or capital gains distributed by this security will be distributed as cash.

6 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

 Peltier Foundation 72-1416778 -3353

Form 990 PF 2019
Schedule 10

Account Holdings As of December 31, 2019

Cash and Cash Equivalents

Description	Interest / Dividend Paid in December	Interest / Dividend Rate[4]	Current Balance
Insured Cash Account[5]			
First Horizon Bank			$21,910.95
Total Insured Cash Account	$2.78	0.150%	$21,910.95
Total Cash and Cash Equivalents			**$21,910.95**

ETPs, Mutual Funds, Exchange-Traded Closed-End Funds and Interval Funds

Security ID / Description	Quantity / Price ($)	Market Value ($)	Cost Basis ($) / Purchase Cost ($)[6]	Unrealized Gain / Loss ($)	Estimated Annual Income ($)[8] / Est 30-Day Yield[8]
SHOYX AMERICAN BEACON SIM HIGH YIELD OPPTYS CL Y[R]	6,955.635 $9.52	66,217.64	66,179.22 59,756.66	38.42	*4,153* *6.29%*
ANGIX ANGEL OAK MULTI STRATEGY INCOME INSTL CL[R]	5,697.839 $11.03	62,847.16	62,984.63 51,650.48	(137.47)	*3,005* *4.82%*
DBLTX DOUBLELINE TOTAL RETURN BOND CL I[R]	10,217.913 $10.63	108,616.41	107,035.84 104,092.68	1,580.57	*3,951* *3.64%*
DSEEX DOUBLELINE SHILLER ENHANCED CAPE CL I[R]	11,633.928 $16.28	189,400.34	174,092.28 166,285.25	15,308.06	*5,229* *2.76%*
SVAIX FEDERATED STRATEGIC VALUE DIVIDEND INSTL CL[R]	83,429.90 $5.72	477,219.02	481,367.02 370,141.87	(4,148.00)	*18,460* *3.87%*
HACAX HARBOR CAP APPREC INSTL CL[R]	1,879.562 $75.75	142,376.82	89,474.15 53,872.07	52,902.67	*254* *0.18%*
LSGRX LOOMIS SAYLES GROWTH CL Y[R]	13,135.293 $18.34	240,901.27	125,739.13 108,292.86	115,162.14	*1,272* *0.53%*
IMLPX MAINGATE MLP CL I[R]	23,660.624 $6.41	151,664.59	197,373.76 161,137.98	(45,709.17)	*16,196* *10.69%*
EPSYX MAINSTAY EPOCH GLOBAL EQUITY YIELD CL I[R]	10,643.911 $19.06	202,872.94	179,563.49 126,383.25	23,309.45	*6,772* *3.35%*
MEIIX MFS VALUE CL I[R]	8,122.048 $44.68	362,893.10	297,304.91 264,992.16	65,588.19	*7,557* *2.09%*
NPSRX NUVEEN PREFERRED SECS & INCOME CL I[R]	2,546.147 $17.56	44,710.34	43,696.18 39,905.79	1,014.16	*2,406* *5.41%*
PBDPX PIMCO INVESTMENT GRADE CREDIT BOND CL I2[R]	7,437.803 $10.92	81,220.80	73,829.46 62,840.72	7,391.34	*3,082* *3.83%*

ETPs, Mutual Funds, Exchange-Traded Closed-End Funds and Interval Funds continued on next page...

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4 *Bank Deposit Sweep interest is paid on daily balances at a rate reflecting the weighted average rate during the statement month. Money Market Sweep dividend is a 30-day yield.*
5 *Bank Deposit Sweep Accounts are FDIC insured, are not obligations of LPL Financial or SIPC, and are not available for margin purposes. See message section for further information.*
6 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
8 *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Peltier Foundation
72-1416778

Form 990 PF 2019
Schedule 10

Account Holdings As of December 31, 2019 *Continued*

ETPs, Mutual Funds, Exchange-Traded Closed-End Funds and Interval Funds *Continued*

Security ID / Description	Quantity / Price ($)	Market Value ($)	Cost Basis ($) / Purchase Cost ($)[e]	Unrealized Gain / Loss ($)	Estimated Annual Income ($)[e] / Est 30-Day Yield[e]
EKSYX WELLS FARGO DIVERSIFIED INCOME BUILDER INSTL CL[R]	18,598.156 / $6.04	112,332.85	104,429.17 / 84,865.61	7,903.68	*4,785* / *4.28%*
Total of ETPs, Mutual Funds, Exchange-Traded Closed-End Funds and Interval Funds		**2,243,273.28**	**2,003,069.24**	**240,204.04**	*77,122*

R Dividends and/or capital gains distributed by this security will be reinvested.

Peltier Foundation
72-1416778
Form 990 PF 2019
Schedule 11

Account Holdings As of December 31, 2019

Cash and Cash Equivalents

Description	Interest / Dividend Paid in December	Interest / Dividend Rate[4]	Current Balance
Insured Cash Account[5]			
First Horizon Bank			$14,387.91
Citibank NA			$3,066.21
Bank of Baroda			$2,866.53
Total Insured Cash Account	$2.26	0.149%	$20,310.65
Total Cash and Cash Equivalents			**$20,310.65**

ETPs, Mutual Funds, Exchange-Traded Closed-End Funds and Interval Funds

Security ID / Description	Quantity / Price ($)	Market Value ($)	Cost Basis ($) / Purchase Cost ($)[6]	Unrealized Gain / Loss ($)	Estimated Annual Income ($)[a] / Est 30-Day Yield[a]
SHY ISHARES 1-3 YR TREASURY BOND ETF	1,608 / $84.63	136,085.04	135,279.43 / 135,279.43	805.61	*2,854* / *2.10%*
SHV ISHARES SHORT TREASURY BOND ETF	817 / $110.46	90,245.82	90,253.99 / 90,253.99	(8.17)	*2,000* / *2.22%*
IEFA ISHARES CORE MSCI EAFE ETF	741 / $65.24	48,342.84	42,466.39 / 42,466.39	5,876.45	*1,461* / *3.02%*
IJR ISHARES CORE S&P SMALL CAP ETF	564 / $83.85	47,291.40	42,136.99 / 42,136.99	5,154.41	*629* / *1.33%*
IVV ISHARES CORE S&P 500 ETF	319 / $323.24	103,113.56	79,307.32 / 79,307.32	23,806.24	*1,962* / *1.90%*
AGG ISHARES CORE U S AGGREGATE BOND ETF	4,035 / $112.37	453,412.95	430,529.01 / 430,529.01	22,883.94	*12,248* / *2.70%*
HYG ISHARES IBOXX $ HIGH YIELD CORP BOND ETF	516 / $87.94	45,377.04	43,962.91 / 43,962.91	1,414.13	*2,266* / *4.99%*
Total of ETPs, Mutual Funds, Exchange-Traded Closed-End Funds and Interval Funds		**923,868.65**	**863,936.04** / **863,936.04**	**59,932.61**	*23,420*

Account Holdings As of December 31, 2019

Cash and Cash Equivalents

Description	Interest / Dividend Paid in December	Interest / Dividend Rate[4]	Current Balance
Cash			$31.98
Insured Cash Account [5]			
Citibank NA			$121,836.20
First Horizon Bank			$6,247.70
Bank of Baroda			$2,604.81
Tristate Capital Bank			$138.21
Total Insured Cash Account	$4.13	0.150%	$130,826.92
Money Market Funds			
JPMorgan U.S. Government Money Market Fund - Service Shares	$108.35	0.623%	$204,097.25
Total Money Market Funds			$204,097.25
Total Cash and Cash Equivalents			**$334,956.15**

000203 3/41

Equities and Options

Security ID / Description	Quantity / Price ($)	Market Value ($)	Unrealized ain / Loss ($)	Estimated Annual Income ($)[a] / Est 30-Day Yield[a]
AXAHY AXA SA SPONSORED ADR[c]	53 / $28.145	1,491.68	N/A	*79* / *5.33%*
COF CAPITAL ONE FINANCIAL CORP[c]	5,992 / $102.91	616,636.72	N/A	*9,587* / *1.55%*
C CITIGROUP INC NEW[c]	70 / $79.89	5,592.30	N/A	*142* / *2.55%*
GE GENERAL ELECTRIC CO[c]	900 / $11.16	10,044.00	N/A	*36* / *0.36%*
JPM JPMORGAN CHASE & CO[c]	191 / $139.40	26,625.40	N/A	*687* / *2.58%*
NSANY NISSAN MOTOR LTD SPON ADR NEW[c]	1,000 / $11.565	11,565.00	N/A	*711* / *6.15%*
RF REGIONS FINANCIAL CORP NEW[c]	195 / $17.16	3,346.20	N/A	*120* / *3.61%*
TRV TRAVELERS COS INC[c]	39 / $136.95	5,341.05	N/A	*127* / *2.40%*

0.*
1,759.87+
429,475.38+
32,004.00+
34,254.00+
8,337.15+
20,710.00+
5,672.55+
1,907.00+
25,036.00+
559,155.95*

Equities and Options continued on next page...

4 *Bank Deposit Sweep Interest is paid on daily balances at a rate reflecting the weighted average rate during the statement month. Money Market Sweep dividend is a 30-day yield.*
5 *Bank Deposit Sweep Accounts are FDIC Insured, are not obligations of LPL Financial or SIPC, and are not available for margin purposes. See message section for further information.*
6 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings As of December 31, 2019 *Continued*

Equities and Options *Continued*

Security ID / Description	Quantity / Price ($)	Market Value ($)	Cost Basis ($) / Purchase Cost ($)[θ]	Unrealized Gain / Loss ($)	Estimated Annual Income ($)[a] / Est 30-Day Yield[a]
WAB WABTEC[C]	4 / $77.80	311.20	312.24 / 312.24	(1.04)	1 / 0.62%
WMT WALMART INC[C]	550 / $118.84	65,362.00	N/A / —	N/A	1,166 / 1.78%
Total of Equities and Options		**746,315.55**	**~~312.24~~ / ~~312.24~~** 559,115.95	**(1.04)**	**12,656**

C Dividends and/or capital gains distributed by this security will be distributed as cash.

ETPs, Mutual Funds, Exchange-Traded Closed-End Funds and Interval Funds

Security ID / Description	Quantity / Price ($)	Market Value ($)	Cost Basis ($) / Purchase Cost ($)[θ]	Unrealized Gain / Loss ($)	Estimated Annual Income ($)[a] / Est 30-Day Yield[a]
CABDX AB RELATIVE VALUE CL A[R]	27,147.874 / $5.63	152,842.53	115441.75 ~~N/A~~ +6502.38 / 121944.13	N/A	1,734 / 1.14%
FKINX FRANKLIN INCOME CL A1 [R]	64,313.19 / $2.35	151,135.99	148655.50 ~~N/A~~ +640.32 / 149295.82	N/A	7,772 / 5.20%
MLPEX INVESCO OPPENHEIMER STEELPATH MLP SELECT 40 CL C[R]	12,883.484 / $6.26	139863.44 80,650.60	~~112,046.00~~ / ~~75,653.07~~	(31,395.40)	9,618 / 11.97%
Total of ETPs, Mutual Funds, Exchange-Traded Closed-End Funds and Interval Funds		**384,629.12**	**~~112,046.00~~ / ~~75,653.07~~** 411103.39	**(31,395.40)**	**19,124**

R Dividends and/or capital gains distributed by this security will be reinvested.

Unit Investment Trusts

Security ID / Description	Quantity / Price ($)	Market Value ($)	Cost Basis ($)	Unrealized Gain / Loss ($) / Accrued Int ($)	Estimated Annual Income ($)[a]
30306G235 FIRST TRUST MLP & ENERGY 58 MO CE RE	9,204.129 / $7.534	69,343.90	76,028.77	(6,684.87) / —	5,363
30307H190 FIRST TRUST MLP FD & ENRGY 59 MO CE RE	3,223.369 / $9.1076	29,357.15	33,226.41	(3,869.26) / —	2,205
Total of Unit Investment Trusts		**98,701.05**	**109,255.18**	**(10,554.13)** / —	**7,568**

θ *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

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Account Holdings As of December 31, 2019 *Continued*

Alternative Investments

Security ID / Description	Category	Quantity / Price ($)	Cost Basis ($) / Purchase Cost ($)[7]	Unrealized Gain / Loss ($)	Market Value ($)
12558Q103 CIM REAL ESTATE FINANCE TRUST INC[K]	Non-Traded REITs	37,620.178 / $8.65	329,447.60 / —	(4,033.07)	325,414.53
30264D109 FS ENERGY & POWER FUND[K]	Non-Traded BDCs	23,673.397 / $5.75	221,170.21 / —	(85,048.18)	136,122.03
433240108 HINES GLOBAL REIT INC[K]	Non-Traded REITs	27,030.376 / $6.17	181,436.00 / —	(14,668.59)	166,777.41
40427D102 HMS INCOME FUND[K]	Non-Traded BDCs	14,413.878 / $7.90	135,282.09 / 100,000.00	(21,412.46)	113,869.63
Total of Alternative Investments			**867,335.90 / 100,000.00**	**(125,152.30)**	**742,183.60**

Cost Basis and Unrealized Gain or Loss Totals include alternative investment totals when available.
K Based on an independent appraisal.

Total 1,946,850 1,971,829

Total Account Holdings	Market Value ($)	Cost Basis ($) / Purchase Cost ($)[7]	Unrealized Gain / Loss ($)	Estimated Annual Income ($)[a]
	2,306,785.47	**1,423,905.47 / 510,921.46**	**(167,102.87)**	39,348

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Account Holdings (Not Held at LPL Financial) As of December 31, 2019

Alternative Investments[8] (as of December 27, 2019)

Security ID / Description	Quantity	Price ($)	Market Value ($)
30264D109 FS ENERGY AND POWER FUND	N/A	6.09	N/A
Total of Alternative Investments			—

Cash Activity Summary

	Since last statement 12/01 - 12/31/2019	4th Quarter 10/01 - 12/31/2019	Year to Date 01/01 - 12/31/2019
Securities Purchased	—	—	—
Securities Sold ⟨6052⟩ 1068	$121,833.20	$121,833.20	$121,833.20

Activity Summary continued on next page...

7 *Purchase Cost equals Cost Basis less any reinvested dividends, interest, Fixed Income and Alternative Investments.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*
8 *Based on program management's unconfirmed estimates of net assets. For pricing and return of capital information on Alternative Investments held outside LPL please contact the product manufacturer, which can be found in your monthly/quarterly statement.*

THE PELTIER FOUNDATION
DONATIONS AS OF 12/31/2019

YEAR	TOTAL TO BE DONATED	PLEDGED AMOUNT	DATE PAID
2019	$ 894,107.00		
1)	**Thibodaux Lions Club** P.O. Box 461 Thibodaux, LA 70302 **for AllStar basketball game**	$ 3,000.00	3/21/2019
2)	**Thibodaux Senior Citizens, Inc.** 1229 Canal Boulevard Thibodaux, LA 70301 **for annual holiday social**	$ 5,200.00	5/20/2019
3)	**Nicholls Alumni Federation** P.O. Box 2158 Thibodaux, LA 70310 **to endow Peltier Foundation scholarship**	$ 25,000.00	5/20/2019
4)	**Lafourche Crossing 308 Volunteer Fire Dept.** 691 Highway 308 Thibodaux, LA 70301 **for two gas detectors**	$ 6,500.00	5/20/2019
5)	**City of Thibodaux** P.O. Box 5418 Thibodaux, LA 70302 **for Police Dept. camera trailer**	$ 25,000.00	5/20/2019
6)	**St. Joseph Catholic Elementary School** 501 Cardinal Drive Thibodaux, LA 70301 **for purchase of Chromebooks**	$ 30,000.00	5/20/2019

7)	**St. Genevieve Catholic School** 807 Barbier Avenue Thibodaux, LA 70301 **for Media Center building**	$ 100,000.00	5/20/2019
8)	**Lafourche Animal Society, Inc.** 307 Cherokee Avenue Thibodaux, LA 70301 **for cat spay/neuter program**	$ 7,500.00	5/20/2019
9)	**St. Joseph Abbey & Seminary College** 75376 River Road St. Benedict, LA 70457 **for general fund**	$ 10,000.00	5/20/2019
10)	**St. John's Episcopal Church of Thibodaux, Louisiana** 718 Jackson Street Thibodaux, LA 70301 **for general fund**	$ 5,000.00	5/20/2019
11)	**St. Genevieve Catholic Church** 815 Barbier Avenue Thibodaux, LA 70301 **for general fund**	$ 10,000.00	5/20/2019
12)	**CASA of Lafourche** P.O. Box 1343 Thibodaux, LA 70302 **for general fund**	$ 10,000.00	5/20/2019
13)	**LSU Foundation** 3796 Nicholson Drive Baton Rouge, LA 70802 **for general fund**	$ 50,000.00	5/20/2019
14)	**Nicholls Foundation** P.O. Box 2066 Thibodaux, LA 70310 **for general fund**	$ 50,000.00	5/20/2019

15)	**E.D. White Catholic High School** 555 Cardinal Drive Thibodaux, LA 70301 **for general fund**	$	50,000.00	5/20/2019
16)	**Sisters of Mount Carmel** P.O. Box 1160 Lacombe, LA 70445 **for general fund**	$	10,000.00	5/20/2019
17)	**St. Luke Catholic Church** 300 East 11th Street Thibodaux, LA 70301 **for general fund**	$	10,000.00	5/20/2019
18)	**St. Mary's Residential Training School** P.O. Box 7768 Alexandria, LA 71306 **for general fund**	$	10,000.00	5/20/2019
19)	**M.D. Wright Academic Center, Inc.** P.O. Box 325 Thibodaux, LA 70302 **for general fund**	$	15,000.00	5/20/2019
20)	**Brothers of the Sacred Heart Foundation** 4600 Elysian Fields Avenue New Orleans, LA 70122 **for general fund**	$	10,000.00	5/20/2019
21)	**The Catholic Foundation of South Louisiana** P.O. Box 505 Schriever, LA 70395 **for seminarian's burse**	$	15,000.00	5/20/2019
22)	**Fletcher Foundation** 1407 Highway 311 Schriever, LA 70395 **for general fund**	$	10,000.00	5/20/2019

23)	**Louisiana Pediatric Cardiology Foundation** 2137-A Quail Run Drive, Suite A Baton Rouge, LA 70808 **for general fund**	$	10,000.00	5/20/2019
24)	**Good Samaritan Food Bank** 100 Birch Street Thibodaux, LA 70301 **for general fund**	$	25,000.00	5/20/2019
25)	**St. Vincent dePaul Triparish Community Pharmacy** P.O. Box 3479 Houma, LA 70361 **for general fund**	$	25,000.00	5/20/2019
26)	**Public Affairs Research Council** P.O. Box 14776 Baton Rouge, LA 70898 **for general fund**	$	10,000.00	5/20/2019
27)	**HOPE for Animals** P.O. Box 1341 Thibodaux, LA 70302 **for general fund**	$	5,000.00	5/20/2019
28)	**Hail Mary Rescue, Inc.** 259 School Lane Thibodaux, LA 70301 **for general fund**	$	5,000.00	5/20/2019
29)	**Council for a Better Louisiana (CABL)** 251 Florida St., Suite 400 Baton Rouge, LA 70801 **for general fund**	$	10,000.00	5/20/2019
30)	**The Catholic Foundation of South Louisiana** P.O. Box 505 Schriever, LA 70395 **for priests' retirement fund**	$	25,000.00	5/20/2019

31)	**National Votive Shrine of Our Lady of Prompt Succor** 2734 Nashville Avenue New Orleans, LA 70115-7008 **for general fund**	$	10,000.00	5/20/2019
32)	**Lafourche ARC** 100 West Main Street Thibodaux, LA 70301 **for general fund**	$	10,000.00	5/20/2019
33)	**Lafourche Education Foundation, Inc.** P.O. Box 529 Thibodaux, LA 70302 **for general fund**	$	10,000.00	5/20/2019
34)	**St. Joseph Co-Cathedral** 721 Canal Boulevard Thibodaux, LA 70301 **for general fund**	$	10,000.00	5/20/2019
35)	**Notre Dame Seminary** 2901 South Carrollton Avenue New Orleans, LA 70118-4391 **for general fund**	$	10,000.00	5/20/2019
36)	**Thibodaux Area Christmas Toy Drive** P.O. Box 1014 Thibodaux, LA 70302 **for general fund**	$	10,000.00	5/20/2019
37)	**St. John the Evangelist Catholic Church** 2085 St. Mary Street Thibodaux, LA 70301 **for general fund**	$	10,000.00	5/20/2019

38)	**Christ the Redeemer Catholic Church** 720 Talbot Avenue Thibodaux, LA 70301 **for general fund**	$	10,000.00	5/20/2019
39)	**St. Thomas Acquinas Catholic Church** P.O. Box 2051 Thibodaux, LA 70310 **for general fund**	$	10,000.00	5/20/2019
40)	**Our Lady of Prompt Succor Catholic Church** 529 Highway 20 Thibodaux, LA 70301 **for general fund**	$	10,000.00	5/20/2019
41)	**St. Charles Borromeo Catholic Church** 1985 Highway 308 Thibodaux, LA 70301 **for general fund**	$	10,000.00	5/20/2019
42)	**Our Lady of the Isle Church** P.O. Box 885 Grand Isle, LA 70358 **for general fund**	$	10,000.00	5/20/2019
43)	**Crossroads Pregnancy Resource Center** 105 St. Louis Street Thibodaux, LA 70301 **for office update**	$	15,000.00	10/30/2019
44)	**St. John's Historic Cemetery Association, Inc.** 718 Jackson Street Thibodaux, LA 70301 **for historic tomb restoration**	$	5,000.00	10/30/2019

45)	**St. Eloi Catholic Church** 1335 Bayou Dularge Road Theriot, LA 70397 **for All Saints Day Children's Retreat**	$	2,155.00	10/30/2019
46)	**Second Harvest Food Bank** 700 Edwards Avenue New Orleans, LA 70123 **for Mobile Pantry Program in Lafourche and Terrebonne Parishes**	$	15,000.00	10/30/2019
47)	**Boy Scouts of America Southeast Louisiana Council** 4200 South I-10 Service Road W Suite 101 Metairie, LA 70001 **for scouting in the Bayou District**	$	5,000.00	10/30/2019
48)	**Junior Achievement of Greater New Orleans, Inc.** 5100 Orleans Avenue New Orleans, LA 70124 **for 25 scholars in Lafourche and Terrebonne area to attend JA BizTown**	$	1,500.00	10/30/2019
49)	**Franciscan Missionary Sisters for Africa** 172 Foster Street Brighton, MA 02135 **for the elderly sisters living in the Motherhouse and Nursing Home in Ireland**	$	2,500.00	10/30/2019
50)	**St. Joseph Catholic Elementary School** 501 Cardinal Drive Thibodaux, LA 70301 **for the purchase of Catholic Bibles for children**	$	17,126.24	10/30/2019

51)	**St. Peter Baptist Church** 109 St. Peter Road Thibodaux, LA 70301 **for the church renovation/add-on**	$	10,000.00	10/30/2019
52)	**St. Joseph Abbey & Seminary College** 75376 River Road Saint Benedict, LA 70457 **for the general fund**	$	10,000.00	10/30/2019
53)	**Notre Dame Seminary** 2901 South Carrollton Avenue New Orleans, LA 70118-4391 **for the general fund**	$	10,000.00	10/30/2019
54)	**The Catholic Foundation of South Louisiana** P.O. Box 505 Schriever, LA 70395 **for seminarian's burse in the name of "Richard Peltier"**	$	30,000.00	10/30/2019
55)	**Fletcher Foundation** 1407 Highway 311 Schriever, LA 70395 **for a scholarship in the name of "Richard Peltier"**	$	10,000.00	10/30/2019
56)	**Brothers of the Sacred Heart Foundation** 4600 Elysian Fields Avenue New Orleans, LA 70122 **for the general fund**	$	15,000.00	10/30/2019
57)	**Sisters of Mount Carmel** P.O. Box 1160 Lacombe, LA 70445 **for the general fund**	$	15,000.00	10/30/2019

58)	**The Catholic Foundation of South Louisiana** P.O. Box 505 Schriever, LA 70395 **for the priests' retirement fund**	$	15,000.00	10/30/2019
59)	**Bayou Community Foundation** P.O. Box 582 Houma, LA 70361 **for the general fund**	$	14,000.00	10/30/2019

Total Committed for year 2019---------------------------$ 894,481.24

Total Uncommitted for year 2019-----------------------$ 0.00