

Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Trust Treated as Private Foundation

- ▶ Do not enter social security numbers on this form as it may be made public
▶ Go to www.irs.gov/Form990PF for instructions and the latest information

OMB No. 1545-0047

2019

Open to Public Inspection

For calendar year 2019 or tax year beginning , 2019, and ending

THE LUPIN FOUNDATION
234 METAIRIE ROAD
METAIRIE, LA 70005

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

H Check type of organization ☒ Section 501(c)(3) exempt private foundation **34**
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16)
▶ \$ 20,506,363

J Accounting method ☐ Cash ☒ Accrual
☐ Other (specify) _____
(Part I, column (d), must be on cash basis)

A Employer identification number
72-0940770

B Telephone number (see instructions)
504 849 0518

C If exemption application is pending, check here ▶ ☐ **il**

D 1 Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60 month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
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Revenue	1 Contribution, gifts, grants, etc. received (attach schedule)					
	2 Check ☒ if the foundation is not required to attach Sch B					
	3 Interest on savings and temporary cash investments	1,893	1,893	1,893		
	4 Dividends and interest from securities	279,181	279,181	279,181		
	5a Gross rents					
	b Net rental income or (loss)					
	6a Net gain or (loss) from sale of assets not on line 10	558,317				
	b Gross sales price for all assets on line 6a	7,828,844				
	7 Capital gain net income (from Part IV, line 2)		558,317			
	8 Net short-term capital gain					
	9 Income modifications					
	10a Gross sales less returns and allowances					
b Less: Cost of goods sold						
c Gross profit or (loss) (attach schedule)						
11 Other income (attach schedule)						
	See Statement 1	82,244				
12 Total. Add lines 1 through 11		921,635	839,391	281,074		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	173,541	137,541		36,000	
	14 Other employee salaries and wages	14,076			14,076	
	15 Pension plans, employee benefits					
	16a Legal fees (attach schedule) See St 2	8,585			8,585	
	b Accounting fees (attach sch) See St 3	42,000	10,234		31,766	
	c Other professional fees (attach sch) See St 4	56,461	56,461			
	17 Interest					
	18 Tax, (attach schedule) (see instrs) See Stmt 5	29,954	24,270		5,684	
	19 Depreciation (attach schedule) and depletion See Stmt 6	16,373				
	20 Occupancy					
	21 Travel, conferences, and meetings	7,957			7,957	
	22 Printing and publications					
	23 Other expenses (attach schedule)					
		See Statement 7	79,083	30,060		49,123
	24 Total operating and administrative expenses. Add lines 13 through 23	428,030	258,566		153,191	
25 Contribution, gifts, grants paid Part XV	805,258			805,258		
26 Total expenses and disbursements. Add lines 24 and 25	1,233,288	258,566	0	958,449		
27 Subtract line 26 from line 12						
a Excess of revenue over expenses and disbursements	-311,653					
b Net investment income (if negative, enter 0)		580,825				
c Adjusted net income (if negative, enter 0)			281,074			

BAA For Paperwork Reduction Act Notice, see instructions

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash — non-interest-bearing		276,009.	219,504.	219,504.
	2	Savings and temporary cash investments				
	3	Accounts receivable				
		Less: allowance for doubtful accounts				
	4	Pledges receivable				
		Less: allowance for doubtful accounts				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach sch)				
		Less: allowance for doubtful accounts				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments — U.S. and state government obligations (attach schedule)				
	b	Investments — corporate stock (attach schedule) Statement 8	10,466,235.	10,330,142.	20,117,137.	
	c	Investments — corporate bonds (attach schedule)				
	11	Investments — land, buildings, and equipment basis				
	Less: accumulated depreciation (attach schedule)					
12	Investments — mortgage loans					
13	Investments — other (attach schedule) Statement 9	250,187.	169,722.	169,722.		
14	Land, buildings, and equipment basis 595,919.					
	Less: accumulated depreciation (attach schedule) See Stmt 10	395,620.				
15	Other assets (describe)					
16	Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I)	11,209,103.	10,919,667.	20,506,363.		
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, & other disqualified persons St 11	5,419.	5,417.		
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe)				
23	Total liabilities (add lines 17 through 22)	5,419.	5,417.			
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here and complete lines 24, 25, 29, and 30. ☐					
	24	Net assets without donor restrictions				
	25	Net assets with donor restrictions				
	Foundations that do not follow FASB ASC 958, check here and complete lines 26 through 30. ☒					
	26	Capital stock, trust principal, or current funds				
	27	Paid-in or capital surplus, or land, bldg, and equipment fund				
	28	Retained earnings, accumulated income, endowment, or other funds	11,203,684.	10,914,250.		
	29	Total net assets or fund balances (see instructions)	11,203,684.	10,914,250.		
	30	Total liabilities and net assets/fund balances (see instructions)	11,209,103.	10,919,667.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	11,203,684.
2	Enter amount from Part I, line 27a	2	-311,653.
3	Other increases not included in line 2 (itemize) See Statement 12	3	22,219.
4	Add lines 1, 2, and 3	4	10,914,250.
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 29	6	10,914,250.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Statement 13				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss)		If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2 558,317.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)		If gain, also enter in Part I, line 8, column (c) See instructions If (loss), enter -0- in Part I, line 8		3 -142,039.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation doesn't qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	868,280.	17,688,678.	0.049087
2017	730,830.	17,046,556.	0.042873
2016	712,962.	14,401,301.	0.049507
2015	923,078.	16,213,532.	0.056933
2014	991,820.	20,124,188.	0.049285
2 Total of line 1, column (d)			2 0.247685
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years			3 0.049537
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5			4 18,973,732.
5 Multiply line 4 by line 3			5 939,902.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 5,808.
7 Add lines 5 and 6			7 945,710.
8 Enter qualifying distributions from Part XII, line 4			8 958,449.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter 'N/A' on line 1
Date of ruling or determination letter _____ (attach copy of letter if necessary – see instructions)

b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b

c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)

2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)

3 Add lines 1 and 2

4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)

5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-

6 Credits/Payments

6 a	2019 estimated tax pymts and 2018 overpayment credited to 2019	8,012.
6 b	Exempt foreign organizations – tax withheld at source	
6 c	Tax paid with application for extension of time to file (Form 8868)	
6 d	Backup withholding erroneously withheld	

7 Total credits and payments. Add lines 6a through 6d

8 Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached

9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed

10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid

11 Enter the amount of line 10 to be Credited to 2020 estimated tax **2,204.** Refunded **0.**

Part VII A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1 b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition		X
If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
1 c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ <u>0.</u> (2) On foundation managers ▶ \$ <u>0.</u>		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ <u>0.</u>		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If 'Yes,' attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If 'Yes,' attach the statement required by <i>General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either		
• By language in the governing instrument, or		
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, col (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered. See instructions <u>LA</u>		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? If 'No,' attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If 'Yes,' complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule See instructions	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement See instructions	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X
14 The books are in care of <u>THE LUPIN FOUNDATION</u> Telephone no <u>504 849 0518</u> Located at <u>234 METAIRIE ROAD</u> ZIP + 4 <u>70005</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 — check here and enter the amount of tax-exempt interest received or accrued during the year <u>N/A</u>	15	N/A
16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114 If 'Yes,' enter the name of the foreign country <u>N/A</u>	16	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year, did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes	☒ No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes	☒ No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes	☒ No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes	☒ No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes	☒ No
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes	☒ No
b If any answer is 'Yes' to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here ☐	1 b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? If 'Yes,' list the years <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>	☐ Yes	☒ No
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement — see instructions)	2 b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes	☒ No
b If 'Yes,' did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019)	3 b	N/A
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4 b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5 a** During the year, did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

Organizations relying on a current notice regarding disaster assistance, check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If 'Yes' to 6b, file Form 8870

☐ Yes ☒ No**7 a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?☐ Yes ☒ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 14		173,541.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000

0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a Average monthly fair market value of securities	1 a	18,592,136.
b Average of monthly cash balances	1 b	300,515.
c Fair market value of all other assets (see instructions)	1 c	370,021.
d Total (add lines 1a, b, and c)	1 d	19,262,672.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	19,262,672.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions).	4	288,940.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	18,973,732.
6 Minimum investment return. Enter 5% of line 5	6	948,687.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6		1	948,687.
2 a Tax on investment income for 2019 from Part VI, line 5	2 a	5,808.	
b Income tax for 2019 (This does not include the tax from Part VI.)	2 b		
c Add lines 2a and 2b		2 c	5,808.
3 Distributable amount before adjustments. Subtract line 2c from line 1		3	942,879.
4 Recoveries of amounts treated as qualifying distributions		4	
5 Add lines 3 and 4		5	942,879.
6 Deduction from distributable amount (see instructions).		6	
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1		7	942,879.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	958,449.
b Program-related investments — total from Part IX-B	1 b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the		
a Suitability test (prior IRS approval required)	3 a	
b Cash distribution test (attach the required schedule)	3 b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	958,449.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	5,808.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	952,641.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				942,879.
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only			676,449.	
b Total for prior years 20____, 20____, 20____		0.		
3 Excess distributions carryover, if any, to 2019				
a From 2014				
b From 2015				
c From 2016				
d From 2017				
e From 2018				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2019 from Part XII, line 4 ▶ \$ 958,449.				
a Applied to 2018, but not more than line 2a			676,449.	
b Applied to undistributed income of prior years (Election required – see instructions)		0.		
c Treated as distributions out of corpus (Election required – see instructions)	0.			
d Applied to 2019 distributable amount				282,000.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount – see instructions		0.		
e Undistributed income for 2018 Subtract line 4a from line 2a Taxable amount – see instructions			0.	
f Undistributed income for 2019 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2020				660,879.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required – see instructions)	0.			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2015				
b Excess from 2016				
c Excess from 2017				
d Excess from 2018				
e Excess from 2019				

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Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year See attached schedule			See attached schedule	805,258.
Total				3 a 805,258.
b Approved for future payment				
Total				3 b

Enter gross amounts unless otherwise indicated

(See worksheet in line 13 instructions to verify calculations)

Line No.	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
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THE LUPIN FOUNDATION

72-0940770

Statement 1
Form 990-PF, Part I, Line 11
Other Income

	(a) Revenue per Books	(b) Net Investment Income	(c) Adjusted Net Income
Other Investment Income			
Total	\$ 82,244.	\$ 0.	\$ 0.

Statement 2
Form 990-PF, Part I, Line 16a
Legal Fees

	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Kings Kreb	\$ 8,585.			\$ 8,585.
Total	\$ 8,585.	\$ 0.	\$ 0.	\$ 8,585.

Statement 3
Form 990-PF, Part I, Line 16b
Accounting Fees

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Stern Associates	\$ 42,000.	\$ 10,234.		\$ 31,766.
Total	\$ 42,000.	\$ 10,234.	\$ 0.	\$ 31,766.

Statement 4
Form 990-PF, Part I, Line 16c
Other Professional Fees

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Investment Brokers	\$ 56,461.	\$ 56,461.		
Total	\$ 56,461.	\$ 56,461.	\$ 0.	\$ 0.

THE LUPIN FOUNDATION

72-0940770

Statement 5
Form 990-PF, Part I, Line 18
Taxes

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Excise Tax	\$ 16,024.	\$ 16,024.		
Foreign Tax	2,332.	2,332.		
Payroll Taxes	11,598.	5,914.		\$ 5,684.
Total	<u>\$ 29,954.</u>	<u>\$ 24,270.</u>	<u>\$ 0.</u>	<u>\$ 5,684.</u>

Statement 6
Form 990-PF, Part I, Line 19
Allocated Depreciation

Date Acquired	Cost Basis	Prior Yr Depr	Method	Rate	Life	Current Yr Depr	Net Invest Income	Adjusted Net Income
Building 9/10/04	420,216	218,375	S/L	0.0364		15,279	0	0
Improvements 11/01/04	21,032	10,806	S/L	0.0364		765	0	0
Improvements 6/14/05	9,062	4,459	S/L	0.0364		329	0	0

Statement 7
Form 990-PF, Part I, Line 23
Other Expenses

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Bank Charges	\$ 20,427.	\$ 10,213.		\$ 10,314.
Insurance	24,135.	12,067.		12,068.
Office Expenses	22,471.	4,718.		17,753.
Repairs & Maintenance	5,338.	1,176.		4,162.
Telephone	3,148.	1,101.		2,047.
Utilities	3,564.	785.		2,779.
Total	<u>\$ 79,083.</u>	<u>\$ 30,060.</u>	<u>\$ 0.</u>	<u>\$ 49,123.</u>

Statement 8
Form 990-PF, Part II, Line 10b
Investments - Corporate Stocks

Corporate Stocks	Valuation Method	Book Value	Fair Market Value
MARKETABLE SECURITIES	Mkt Val	\$ 10,330,142.	\$ 20,117,137.
	Total	<u>\$ 10,330,142.</u>	<u>\$ 20,117,137.</u>

THE LUPIN FOUNDATION

72-0940770

Statement 9
Form 990-PF, Part II, Line 13
Investments - Other

	<u>Valuation Method</u>	<u>Book Value</u>	<u>Fair Market Value</u>
<u>Other Investments</u>			
OTHER INVESTMENTS	Cost	\$ 169,722.	\$ 169,722.
	Total	<u>\$ 169,722.</u>	<u>\$ 169,722.</u>

Statement 10
Form 990-PF, Part II, Line 14
Land, Buildings, and Equipment

<u>Category</u>	<u>Basis</u>	<u>Accum. Deprec.</u>	<u>Book Value</u>	<u>Fair Market Value</u>
Furniture and Fixtures	\$ 101,993.	\$ 101,993.	\$ 0.	\$ 0.
Machinery and Equipment	43,614.	43,614.	0.	0.
Buildings	420,217.	233,654.	186,563.	0.
Improvements	30,095.	16,359.	13,736.	0.
Total	<u>\$ 595,919.</u>	<u>\$ 395,620.</u>	<u>\$ 200,299.</u>	<u>\$ 0.</u>

Statement 11
Form 990-PF, Part II, Line 20
Loans from Officers, Directors, Trustees, and Key Employees

		<u>Balance Due</u>
Lender's Name:		
Lender's Title:		
Date of Note:		
Maturity Date:		
Repayment Terms:		
Interest Rate:		
Security Provided:		
Purpose of Loan:		
Desc. of Consideration:		
FMV of Consideration:	0.	
Original Amount:	0.	
Balance Due:		\$ 5,417.
	Total	<u>\$ 5,417.</u>

Statement 12
Form 990-PF, Part III, Line 3
Other Increases

Net Unrealized Gains or Losses on Investments		\$ 22,219.
	Total	<u>\$ 22,219.</u>

THE LUPIN FOUNDATION

72-0940770

Statement 13
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

Item	(a) Description	(b) How Acquired	(c) Date Acquired	(d) Date Sold
1	SCHEDULE ATTACHED-A LUPIN-ST	Purchased	Various	Various
2	SCHEDULE ATTACHED-A LUPIN-LT	Purchased	Various	Various
3	SCHEDULE ATTACHED- ST-CJL-	Purchased	Various	Various
4	SCHEDULE ATTACHED- LT-CJL	Purchased	Various	Various
5	SCHEDULE ATTACHED-SCHWAB 6649 ST	Purchased	Various	Various
6	SCHEDULE ATTACHED-SCHWAB 6649 LT	Purchased	Various	Various
7	SCHEDULE ATTACHED-SCHWAB 3183 ST	Purchased	Various	Various
8	SCHEDULE ATTACHED-SCHWAB 3183 LT	Purchased	Various	Various

Item	(e) Gross Sales	(f) Deprec. Allowed	(g) Cost Basis	(h) Gain (Loss)	(i) FMV 12/31/69	(j) Adj. Bas. 12/31/69	(k) Excess (i) - (j)	(l) Gain (Loss)
1	4399949.		4483048.	-83,099.				\$ -83,099.
2	888,698.		604,120.	284,578.				284,578.
3	107,508.		108,632.	-1,124.				-1,124.
4	503,205.		335,265.	167,940.				167,940.
5	5,249.		6,169.	-920.				-920.
6	204,459.		181,249.	23,210.				23,210.
7	921,391.		979,207.	-57,816.				-57,816.
8	798,385.		572,837.	225,548.				225,548.
Total								\$ 558,317.

Statement 14
Form 990-PF, Part VIII, Line 1
List of Officers, Directors, Trustees, and Key Employees

Name and Address	Title and Average Hours Per Week Devoted	Compen- sation	Contri- bution to EBP & DC	Expense Account/ Other
ARNOLD M. LUPIN 234 METAIRIE ROAD METAIRIE, LA 70005	Pres./Director 40.00	\$ 131,541.	\$ 0.	\$ 0.
JAY LUPIN 12 CHURCH LANE SOUTH SCARSDALE, NY 10583	Director 1.00	4,500.	0.	0.
LILY R. LUPIN 690 W. HANCOCK ATHENS, GA 30601	Director 1.00	4,500.	0.	0.
MAX LUPIN 607 WEBSTER STREET NEW ORLEANS, LA 70118	Director 1.00	4,500.	0.	0.
LOUIS J. LUPIN 909 POYDRAS, SUITE #2600 NEW ORLEANS, LA 70112	Director 1.00	10,500.	0.	0.

THE LUPIN FOUNDATION

72-0940770

Statement 14 (continued)
Form 990-PF, Part VIII, Line 1
List of Officers, Directors, Trustees, and Key Employees

<u>Name and Address</u>	<u>Title and Average Hours Per Week Devoted</u>	<u>Compensation</u>	<u>Contribution to EBP & DC</u>	<u>Expense Account/ Other</u>
LISA R. LUPIN 6025 ANNUNCIATION STREET NEW ORLEANS, LA 70118	Director 1.00	\$ 4,500.	\$ 0.	\$ 0.
NANCY LUPIN 160 E. 84TH STREET, APT 12B NEW YORK, NY 10023	Director 1.00	4,500.	0.	0.
L. TIMOTHY LUPIN 535 ARABELLA STREET NEW ORLEANS, LA 70115	Director 1.00	4,500.	0.	0.
ANDREW LUPIN 214 SAINT JOHNS PLACE #4 BROOKLYN, NY 11217	Director 1.00	4,500.	0.	0.
		Total \$ 173,541.	\$ 0.	\$ 0.

Statement 15
Form 990-PF, Part XV, Line 2a-d
Application Submission Information

Name of Grant Program: LUPIN FOUNDATION
Name:
Care Of: DR. ARNOLD M. LUPIN
Street Address: 234 METAIRIE ROAD
City, State, Zip Code: METAIRIE, LA 70005
Telephone: 504-849-0518
E-Mail Address:
Form and Content: AN OUTLINE OF THE PROPOSED USE OF GRANTS
Submission Deadlines: NONE
Restrictions on Awards: NONE

DETAIL OF SHORT-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail		Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
500	ABB LTD SPONSORED ADR	02/04/2019	02/28/2019	9,856.51	9,679.95			176.56
100.	ACTIVISION BLIZZARD INC	03/05/2019	04/17/2019	4,482.47	4,160.52			321.95
100	AEORJET ROCKETDYNE HOLDINGS	09/03/2019	09/16/2019	5,241.93	5,154.95			86.98
200	ADVANCED MICRO DEVICES INC COM	VAR	09/25/2019	5,833.32	6,282.49			-449.17
250	ADVANCED MICRO DEVICES INC COM	VAR	10/14/2019	7,437.30	6,636.14			801.16
300.	ALEXION PHARMACEUTICALS INC	VAR	02/01/2019	37,494.52	37,080.90			413.62
250.	ALTRIA GROUP INC	02/27/2019	05/29/2019	12,310.94	12,942.45			-631.51
600.	AMARIN CORP PLC-ADR	VAR	08/05/2019	9,869.39	11,457.37			-1,587.98
100.	AMERICAN STATES WATER	03/01/2019	03/29/2019	7,117.88	7,039.95			77.93
100.	AMGEN INC	07/11/2018	05/13/2019	16,738.56	19,354.95			-2,616.39
200.	ANGIODYNAMICS INC	04/04/2019	05/13/2019	3,903.40	4,574.95			-671.55
200	APPTAN CORP	03/07/2019	04/18/2019	6,386.89	7,104.95			-718.06
200.	ARROWHEAD PHARMACEUTICALS INC	VAR	12/13/2019	13,367.70	12,960.00			407.70
350.	ASTRAZENECA PLC SPONSORED AD R	VAR	02/15/2019	14,246.82	14,242.40			4.42
200.	AVANTOR INC COM	07/08/2019	08/05/2019	3,244.96	3,780.95			-535.99
100.	BB&T CORP COM	12/04/2018	05/29/2019	4,723.89	4,929.95			-206.06
250.	BAE SYSTEMS PLC SPON ADR	01/22/2019	01/24/2019	6,807.43	6,754.95			52.48
200.	BJS WHOLESALE CLUB HOLDINGS	02/08/2019	03/20/2019	5,234.96	5,214.95			20.01
304.	BP AMOCO PLC SPONSORED ADR	VAR	02/05/2019	12,990.84	12,961.79			29.05
400.	BANK OF AMERICA CORP COM	VAR	06/14/2019	10,725.35	10,934.90			-209.55
250.	BAUSCH HEALTH COS INC COM	VAR	07/31/2019	6,090.02	6,250.10			-160.08
200.	BAXTER INTL INC.	VAR	02/23/2019	15,012.70	15,291.86			-279.16
100.	BECTON DICKINSON & CO	02/01/2019	02/15/2019	24,694.71	24,679.95			14.76
300.	BED BATH & BEYOND INC	10/17/2019	11/20/2019	3,806.92	3,750.00			56.92
100	BERKSHIRE INC DEL CL B NEW	VAR	07/22/2019	20,522.62	22,201.70			-1,679.08
250.	BICYCLE THERAPEUTICS LTD	06/17/2019	08/09/2019	1,869.98	3,254.95			-1,384.97
200.	BOOZ ALLEN HAMILTON HOLDINGS	VAR	05/01/2019	11,840.80	11,544.90			295.90
100.	BORG WARNER INC	02/21/2019	02/27/2019	4,132.70	4,104.95			27.75
300.	BORG WARNER INC	VAR	05/29/2019	10,735.38	12,559.90			-1,824.52
500	BOSTON SCIENTIFIC CORP	09/05/2018	01/09/2019	17,961.50	17,739.95			221.55
200	BROWN & BROWN INC	08/12/2019	09/05/2019	7,403.75	7,324.95			78.80
150.	BRUKER CORP	05/29/2019	06/26/2019	7,182.88	6,235.95			946.93
200	BRUKER CORP	11/13/2019	12/18/2019	9,831.87	9,750.00			81.87
Totals								

DETAIL OF SHORT-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
100. BRUKER CORP	11/13/2019	12/26/2019	5,119.85	4,875.00			244.85
100 CBS CORP NEW	03/06/2019	04/05/2019	5,010.18	4,993.95			16.23
100 CADENCE DESIGN SYSTEMS INC	02/13/2019	03/06/2019	5,858.96	5,144.95			714.01
100 CADENCE DESIGN SYSTEMS INC	05/22/2019	07/23/2019	7,267.49	6,469.95			797.54
1000 CAESARS ENTERTAIN CORP	VAR	06/24/2019	11,624.68	9,457.42			2,167.26
250. CANADA GOOSE HOLDINGS INC	11/27/2018	02/27/2019	13,947.71	15,836.05			-1,888.34
250 CANADA GOOSE HOLDINGS INC	VAR	03/26/2019	11,497.62	15,812.16			-4,314.54
200. CARA THERAPEUTICS INC	07/25/2019	12/03/2019	3,599.90	4,754.95			-1,155.05
100. CARNIVAL CORP	02/07/2019	02/11/2019	5,683.96	5,679.95			4.01
200. CENTENE CORP	10/17/2018	05/07/2019	11,092.80	14,554.95			-3,462.15
100. CHARLOTTE WEB HOLDINGS INC	08/07/2019	08/19/2019	1,993.54	1,985.99			7.55
400. CHARLOTTE WEB HOLDINGS INC	08/07/2019	08/19/2019	7,991.78	7,943.96			47.82
125. CHEGG INC	03/14/2019	05/03/2019	4,496.14	5,107.49			-611.35
375. CHEGG INC	03/14/2019	05/03/2019	13,490.98	15,322.46			-1,831.48
100. CHEGG INC	08/12/2019	08/19/2019	4,139.95	4,174.95			-35.00
250 CISCO SYS INC	VAR	08/15/2019	11,713.80	13,846.70			-2,132.90
250. CISCO SYS INC	VAR	08/27/2019	11,717.27	12,941.80			-1,224.53
500 CISCO SYS INC	VAR	09/10/2019	24,339.98	22,543.75			1,796.23
250. COMCAST CORP	01/25/2019	02/08/2019	9,369.89	9,004.95			364.94
250 COMCAST CORP	03/18/2019	05/13/2019	10,561.18	9,979.95			581.23
400. COMCAST CORP	VAR	12/03/2019	17,455.58	18,119.90			-664.32
100. COSTCO WHOLESALE CORP COM	01/22/2019	01/30/2019	21,009.76	21,125.95			-116.19
200. CROWDSTRIKE HOLDINGS INC CL A	VAR	09/26/2019	11,664.78	17,584.90			-5,920.12
200 CROWDSTRIKE HOLDINGS INC CL A	VAR	10/14/2019	10,991.76	16,231.93			-5,240.17
250. CROWDSTRIKE HOLDINGS INC CL A	VAR	12/20/2019	12,249.71	18,545.42			-6,295.71
.32 DELL TECHNOLOGIES CL C	12/14/2018	02/15/2019	16.27	18.67			-2.40
250 DELTA AIR LINES INC	03/14/2019	05/07/2019	14,159.72	12,790.45			1,369.27
100. DENTSPLY SIRONA INC	06/06/2019	07/31/2019	5,490.75	5,528.95			-38.20
100. DISCOVER FINL SVCS	08/06/2019	09/09/2019	8,429.86	8,394.95			34.91
50 EDWARDS LIFESCIENCES CORP COM	10/08/2019	11/07/2019	11,776.24	10,950.00			826.24
250 ELANCO ANIMAL HEALTH INC	10/05/2018	01/31/2019	7,232.22	8,492.45			-1,260.23
150. ELANCO ANIMAL HEALTH INC	12/11/2018	11/04/2019	4,109.89	4,975.95			-866.06
100. ELECTRONIC ARTS COMMON STOCK	03/19/2019	04/02/2019	10,158.98	9,829.95			329.03
Totals							

DETAIL OF SHORT-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail		Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
200. ENTEGRIS INC		02/27/2019	04/03/2019	7,494.93	7,454.95			39.98
600. EVERI HOLDINGS INC COM		VAR	07/16/2019	7,224.84	7,215.20			9.64
100. EXAGEN INC		11/18/2019	12/11/2019	1,750.95	1,960.00			-209.05
400. FMC CORP NEW		VAR	10/04/2019	32,814.32	31,004.85			1,809.47
200. FIRST TRUST CLOUD COMPUTING		VAR	06/20/2019	11,888.78	11,787.95			100.83
100. FISERV INC COM		04/29/2019	05/10/2019	8,424.86	8,504.95			-80.09
100. FIVE9 INC COM		09/03/2019	09/19/2019	5,774.92	6,204.95			-430.03
500. FIVE PRIME THERAPEUTICS INC		10/05/2018	06/05/2019	3,124.27	6,679.95			-3,555.68
20. FLEETCOR TECHNOLOGIES		11/21/2019	12/03/2019	6,132.87	5,925.00			207.87
400. FORD MTR CO DEL COM PAR \$0.01		05/06/2019	07/16/2019	4,184.91	4,175.96			8.95
100. FORD MTR CO DEL COM PAR \$0.01		05/06/2019	07/16/2019	1,047.26	1,043.99			3.27
200. FORTINET INC		05/03/2019	07/17/2019	17,160.67	17,104.95			55.72
150. FRANCO NEVADA CORP COM		VAR	09/19/2019	14,036.23	13,516.90			519.33
100. GENUINE PARTS CO COM		12/27/2018	01/04/2019	9,386.92	9,304.95			81.97
500. GLAXO WELLCOME PLC SPONSORED ADR		VAR	03/13/2019	20,091.87	19,377.70			714.17
1. 218 GOLDCORP INC NEW COM		VAR	04/24/2019	13.42	13.02			0.40
100. HMS HOLDINGS CORP		08/27/2019	09/05/2019	3,699.96	3,679.95			20.01
859. HANCOCK HDG CO		09/17/2019	09/17/2019	33,517.47	33,458.25			59.22
41. HANCOCK HDG CO		09/17/2019	09/17/2019	1,595.24	1,596.96			-1.72
100. HANCOCK HDG CO		09/17/2019	09/17/2019	3,902.26	3,895.02			7.24
5352. HANCOCK HDG CO		09/17/2019	09/17/2019	209,520.87	208,461.66			1,059.21
2500. HANCOCK HDG CO		09/17/2019	09/17/2019	97,317.73	97,375.59			-57.86
10000. HANCOCK HDG CO		09/17/2019	09/17/2019	389,985.78	389,502.35			483.43
2000. HANCOCK HDG CO		09/17/2019	09/17/2019	77,823.19	77,900.47			-77.28
200. HANCOCK HDG CO		09/17/2019	10/22/2019	7,915.81	7,790.05			125.76
250. HEALTH CATALYST INC		12/02/2019	12/18/2019	7,812.30	9,437.50			-1,625.20
100. HEALTHEQUITY INC		08/02/2019	11/04/2019	5,965.86	7,879.95			-1,914.09
300. HEALTHEQUITY INC		VAR	12/11/2019	20,657.52	20,428.82			228.70
500. HERCULES TECHNOLOGY GROWTH		03/20/2019	04/10/2019	6,329.90	6,254.95			74.95
559. HERCULES TECHNOLOGY GROWTH		07/11/2019	08/19/2019	7.12	7.24			-0.12
779. HERCULES TECHNOLOGY GROWTH		11/18/2019	11/18/2019	10.83	10.84			-0.01
104. HERCULES TECHNOLOGY GROWTH		11/18/2019	11/18/2019	1.45	1.45			
100. HILL-ROM HOLDINGS		01/31/2019	02/14/2019	10,301.10	9,979.95			321.15
Totals								

DETAIL OF SHORT-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
100. HILL-ROM HOLDINGS	03/08/2019	02/23/2019	9,664.83	10,251.98			-587.15
150 HILL-ROM HOLDINGS	03/08/2019	06/07/2019	15,449.21	15,377.97			71.24
100. HONDA MOTOR CO LTD ADR	03/06/2019	04/02/2019	2,793.00	2,768.30			24.70
50. HONDA MOTOR CO LTD ADR	03/06/2019	04/05/2019	1,416.20	1,384.15			32.05
100 INSPIRE MEDICAL SYSTEMS INC COM	02/14/2019	03/11/2019	5,786.96	5,679.95			107.01
50 INSULET CORP COM	09/09/2019	09/19/2019	7,602.88	7,383.15			219.73
100. INTEL CORP	12/27/2018	01/08/2019	4,728.19	4,504.95			223.24
250. INTEL CORP	VAR	01/25/2019	11,619.86	12,119.90			-500.04
100. INTEL CORP	04/02/2019	05/01/2019	5,144.09	5,434.95			-290.86
50. INTERNATIONAL BUSINESS MACHS	11/11/2019	11/15/2019	6,745.85	6,763.00			-17.15
75. INTUITIVE SURGICAL	12/28/2018	01/04/2019	35,319.58	35,329.95			-10.37
250 ISHARES MSCI EMERGING MARKETS ETF	01/03/2019	02/14/2019	10,460.93	9,604.95			855.98
300 J P MORGAN CHASE & CO NT	VAR	07/16/2019	34,614.09	32,239.85			2,374.24
250. KBR INC.	07/25/2019	08/05/2019	5,951.27	6,629.95			-678.68
100. KLA TENCOR CORPORATION	05/07/2019	02/23/2019	10,369.82	11,604.95			-1,235.13
5. KVH INDUSTRIES COM	02/19/2019	08/05/2019	42.64	58.25			-15.61
675. KVH INDUSTRIES COM	VAR	08/13/2019	6,036.09	7,814.65			-1,778.56
200. KELLOGG COMPANY COMMON STOCK	VAR	11/07/2019	12,673.70	11,226.90			1,446.80
100. KEYSIGHT TECHNOLOGIES INC	02/28/2019	03/06/2019	8,498.92	8,414.95			83.97
100. KIMBERLY CLARK CORP COM	10/22/2019	11/04/2019	13,075.71	13,000.00			75.71
100 LEIDOS HOLDINGS INC	11/01/2019	11/04/2019	8,584.81	8,560.00			24.81
500. LEXINFINTech HOLDINGS L-ADR	VAR	12/18/2019	6,271.11	5,547.67			723.44
50 LULULEMON ATHLETICA	12/12/2019	12/13/2019	11,209.50	10,987.50			222.00
100. MAGELLAN HEALTH INC COM NEW	02/22/2019	03/12/2019	6,334.95	7,054.95			-720.00
2000. MANNKIND CORP	10/22/2018	01/18/2019	2,794.77	3,743.96			-949.19
2000. MANNKIND CORP	VAR	10/03/2019	2,434.75	3,623.96			-1,189.21
50 MASTERCARD INC	05/07/2019	02/23/2019	12,728.16	12,179.95			548.21
100 MC DONALDS CORP COMMON STOCK	01/16/2019	11/15/2019	19,429.58	18,092.95			1,336.63
350 MEDICINES	VAR	11/25/2019	29,329.35	10,024.95			19,304.40
100. MERCK & CO NEW	01/03/2019	04/18/2019	7,241.08	7,404.95			-163.87
100. MERCURY SYSTEMS	05/03/2019	05/07/2019	7,334.88	7,229.95			104.93
100 MERITOR, INC.	04/22/2019	05/29/2019	2,041.23	2,494.95			-453.72
100 MICRO FOCUS INTL ADR	05/30/2019	06/04/2019	2,340.99	2,389.95			-48.96
Totals							

DETAIL OF SHORT-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail		Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
150. MILESTONE PHARMACEUTICALS INC COM		06/06/2019	06/26/2019	3,309.96	3,042.45			267.51
100 MYRIAD GENETICS INC		11/05/2018	05/07/2019	3,476.96	3,834.95			-357.99
100. NEOGENOMICS, INC		02/28/2019	03/13/2019	1,975.01	1,954.95			20.06
350 NEOGENOMICS, INC.		VAR	09/09/2019	8,020.35	8,195.35			-175.00
20 NETFLIX INC		VAR	01/30/2019	6,645.29	6,574.76			70.53
10 NETFLIX INC		04/24/2019	07/09/2019	3,807.62	3,789.95			17.67
100. NEUROCRINE BIOSCIENCES INC		07/16/2019	07/22/2019	8,718.85	8,679.95			38.90
100 NEUROCRINE BIOSCIENCES INC		08/08/2019	08/07/2019	9,474.74	9,693.02			-218.28
250. NEW YORK CMNTY BANCORP INC COM		VAR	02/01/2019	2,957.48	2,928.33			29.15
200. NEXTERA ENERGY INC		VAR	01/15/2019	35,008.88	34,914.90			93.98
200. NIKE INC CL B		VAR	11/07/2019	18,039.60	18,339.95			-300.35
100. NINTENDO LTD ADR		02/26/2019	03/06/2019	3,461.99	3,459.95			2.04
250. NINTENDO LTD ADR		VAR	09/24/2019	11,889.77	11,471.25			418.52
25 NORTHROP GRUMMAN CORP		09/09/2019	09/23/2019	9,289.85	8,879.95			409.90
10 NORTHROP GRUMMAN CORP		10/25/2019	10/30/2019	3,524.82	3,456.80			68.02
100 OKTA INC		01/29/2019	02/04/2019	8,189.93	7,764.95			424.98
100. OKTA INC		04/04/2019	04/18/2019	8,970.85	8,454.95			515.90
100. OKTA INC		05/07/2019	05/15/2019	10,619.82	10,504.95			114.87
50. OLLIES BARGAIN OUTLET HOLDINGS		05/10/2019	02/23/2019	4,975.93	4,892.45			83.48
100 OMNICELL INC		06/25/2019	07/16/2019	7,110.77	8,784.95			-1,674.18
450. ORACLE CORP COM		VAR	01/17/2019	21,909.70	21,889.85			19.85
100. PALO ALTO NETWORKS, INC		09/26/2018	02/25/2019	23,399.73	22,819.95			579.78
100. PALO ALTO NETWORKS, INC		03/27/2019	04/11/2019	24,394.72	23,704.95			689.77
100. PALO ALTO NETWORKS, INC		05/30/2019	06/18/2019	20,672.29	20,204.95			467.34
50. PALO ALTO NETWORKS, INC		11/26/2019	12/11/2019	11,302.25	11,000.00			302.25
100. PAYPAL HLDGS INC		01/17/2019	01/29/2019	9,109.38	9,044.95			64.43
100 PELOTON INTERACTIVE INC CL A		11/20/2019	12/11/2019	3,102.05	2,845.00			257.05
100. PFIZER INC		10/18/2018	02/11/2019	4,152.10	4,404.95			-252.85
300. PILGRIM'S PRIDE CORP		11/14/2019	12/06/2019	9,824.79	9,675.00			149.79
300. PINDUODUO INC		VAR	10/22/2019	10,553.74	10,279.90			273.84
100 PLANET FITNESS INC - CL A		01/16/2019	01/25/2019	5,859.96	5,804.95			55.01
400 PLANET FITNESS INC - CL A		VAR	05/03/2019	30,034.80	27,174.80			2,860.00
20. POOL CORPORATION		07/15/2019	07/22/2019	3,802.97	3,704.95			98.02
Totals								

DETAIL OF SHORT-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
200. PROCTER & GAMBLE CO	04/23/2019	02/23/2019	21,221.09	20,717.21			503.88
100. PROCTER & GAMBLE CO	09/27/2019	12/04/2019	12,427.73	12,384.95			42.78
100. PROGRESSIVE CORP OHIO	01/31/2019	04/09/2019	7,155.59	6,668.94			486.65
100. PROGRESSIVE CORP OHIO	05/30/2019	06/04/2019	8,068.74	8,004.95			63.79
100. PROSIGHT GLOBAL INC	07/26/2019	08/05/2019	1,725.00	1,654.95			70.05
500. PULTE HOMES INC COM	01/11/2019	03/14/2019	13,494.81	14,247.90			-753.09
50. QORVO INC	11/14/2019	11/25/2019	5,174.88	5,179.00			-4.12
250. PYXUS INTERNATIONAL INC	03/19/2019	03/27/2019	6,106.69	7,679.95			-1,573.26
250. PYXUS INTERNATIONAL INC	03/19/2019	04/09/2019	5,244.95	7,504.95			-2,260.00
200. QUANTA SERVICES INC	12/03/2019	12/11/2019	8,019.81	8,133.22			-113.41
30. REGENERON PHARMACEUTICAL	VAR	04/03/2019	12,378.88	12,394.90			-16.02
100. REPLIGEN CORPORATION	07/30/2019	10/30/2019	7,809.82	9,439.95			-1,630.13
100. RESTAURANT BRANDS INTERNATIONAL INC	09/20/2019	10/30/2019	6,411.08	7,279.95			-868.87
200. RESTAURANT BRANDS INTERNATIONAL INC	VAR	11/14/2019	13,649.70	13,971.95			-322.25
50. RESTAURANT BRANDS INTERNATIONAL INC	11/14/2019	12/02/2019	3,283.42	3,410.00			-126.58
250. ROCHE HLDG LTD SPONSORED ADR	07/25/2019	07/30/2019	8,369.84	8,312.45			57.39
100. ROKU INC	05/31/2019	06/20/2019	10,536.87	9,044.95			1,491.92
200. SPX CORP	09/20/2019	10/22/2019	8,307.80	8,264.95			42.85
500. SPDR S&P OIL & GAS EXP & PR ETF	10/10/2018	02/22/2019	15,349.79	21,404.95			-6,055.16
100. SS&C TECHNOLOGIES HLDG	02/21/2019	03/06/2019	6,159.95	5,879.95			280.00
300. SS&C TECHNOLOGIES HLDG	VAR	07/30/2019	14,990.64	18,424.90			-3,434.26
250. SAITA INC.	VAR	09/24/2019	23,469.53	22,264.85			1,204.68
100. SAITA INC	10/31/2019	11/07/2019	9,819.78	8,850.00			969.78
8500. SANGAMO THERAPEUTICS INC	VAR	12/10/2019	80,237.33	81,853.00			-1,615.67
10000. SANGAMO THERAPEUTICS INC	12/10/2019	12/10/2019	94,196.86	95,800.00			-1,603.14
20000. SANGAMO THERAPEUTICS INC	12/10/2019	12/10/2019	188,193.72	191,500.00			-3,406.28
10000. SANGAMO THERAPEUTICS INC	12/10/2019	12/10/2019	91,996.90	95,800.00			-3,803.10
6000. SANGAMO THERAPEUTICS INC	12/10/2019	12/10/2019	54,418.76	57,480.00			-3,061.24
5080. SANGAMO THERAPEUTICS INC	12/10/2019	12/10/2019	46,074.04	48,666.40			-2,592.36
4342. SANGAMO THERAPEUTICS INC	12/10/2019	12/10/2019	39,424.02	41,596.36			-2,172.34
276. SANGAMO THERAPEUTICS INC	12/10/2019	12/10/2019	2,504.61	2,644.08			-139.47
17507. SANGAMO THERAPEUTICS INC	12/10/2019	12/10/2019	158,432.99	167,717.06			-9,284.07
2200. SANGAMO THERAPEUTICS INC	12/10/2019	12/10/2019	19,909.54	21,076.00			-1,166.46
Totals							

DETAIL OF SHORT-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail		Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
500 SANGAMO THERAPEUTICS INC		12/10/2019	12/10/2019	4,712.34	4,790.00			-77.66
500 SANGAMO THERAPEUTICS INC		12/10/2019	12/10/2019	4,709.89	4,790.00			-80.11
8000. SANGAMO THERAPEUTICS INC		12/10/2019	12/10/2019	75,277.49	76,640.00			-1,362.51
1000. SANGAMO THERAPEUTICS INC		12/10/2019	12/10/2019	9,419.68	9,580.00			-160.32
3300 SANGAMO THERAPEUTICS INC		12/10/2019	12/10/2019	30,226.98	31,614.00			-1,387.02
100 SCIENCE APPLICATIONS INTERNATIONAL CORP		02/26/2019	04/02/2019	7,535.04	7,529.95			5.09
125 EW SCRIPPS		03/20/2019	05/10/2019	2,587.43	2,752.48			-165.05
175 EW SCRIPPS		VAR	05/10/2019	3,627.65	3,748.46			-120.81
100 EW SCRIPPS		02/22/2019	05/10/2019	2,070.99	1,991.98			79.01
100. EW SCRIPPS		02/22/2019	05/10/2019	2,074.94	1,991.98			82.96
100 SELECT SECTOR SPDR ENERGY		05/16/2018	02/22/2019	6,561.33	7,734.45			-1,173.12
75 AMEX TECHNOLOGY SELECT SPDR		VAR	09/05/2019	6,102.16	6,030.80			71.36
50. SERVICENOW		10/23/2019	12/18/2019	13,872.42	10,700.00			3,172.42
100. SHAKE SHACK INC - A		04/09/2019	04/17/2019	5,812.91	5,799.95			12.96
100. SHAKE SHACK INC - A		VAR	10/10/2019	9,394.80	9,963.57			-568.77
150. SHAKE SHACK INC - A		VAR	10/30/2019	12,352.23	13,102.90			-750.67
100 SHAKE SHACK INC - A		VAR	11/07/2019	6,373.86	6,446.51			-72.65
100. SHOCKWAVE MEDICAL INC COM		06/06/2019	08/05/2019	4,533.94	5,857.95			-1,324.01
250. SLACK TECHNOLOGIES INC CL A		VAR	11/08/2019	5,032.36	7,453.83			-2,421.47
150. SLACK TECHNOLOGIES INC CL A		VAR	12/31/2019	3,348.47	3,852.42			-503.95
100. SMARTSHEET INC CL A		03/26/2019	04/09/2019	3,841.63	4,144.95			-303.32
100. SNAP INC		07/30/2019	09/23/2019	1,709.00	1,704.95			4.05
100. SOFTBANK GROUP CORP-UNSP ADR		02/14/2019	03/06/2019	4,659.97	4,843.30			-183.33
50. SOFTBANK GROUP CORP-UNSP ADR		02/14/2019	03/06/2019	2,332.95	2,421.65			-88.70
3000. SOLENO THERAPEUTICS INC		VAR	01/31/2019	5,154.62	5,377.90			-223.28
800. SOTHEBYS		VAR	06/17/2019	44,354.03	29,434.85			14,919.18
250 SUNCOR ENERGY INC NEW		02/20/2019	05/07/2019	7,909.85	8,567.45			-657.60
50. SYNOPSIS INC		12/02/2019	12/18/2019	6,931.49	6,800.00			131.49
200 SYNEOS HEALTH INC		VAR	02/27/2019	10,324.88	10,001.90			322.98
250. TPG SPECIALTY LENDING INC		10/28/2019	11/04/2019	5,337.35	5,325.00			12.35
100 TANDEM DIABETES CARE		03/18/2019	04/17/2019	5,488.80	6,862.50			-1,373.70
600 TENCENT MUSIC ENTERTAINM- ADR		VAR	09/10/2019	7,848.81	7,753.44			95.37
100 TERADYNE INC		10/02/2019	10/22/2019	5,963.60	5,704.95			258.65
Totals								

DETAIL OF SHORT-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail		Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
250. TIMKEN CO		05/02/2019	05/07/2019	12,736.23	12,604.95			131.28
250 TRADEWEB MARKETS INC CL A		VAR	05/29/2019	10,644.79	10,414.90			229.89
250. TRIMBLE NAV LTD		07/17/2019	08/12/2019	9,359.82	11,104.95			-1,745.13
500. TURTLE BEACH		02/20/2019	03/08/2019	7,669.89	8,304.95			-635.06
100 TWILIO INC-A		02/13/2019	03/06/2019	11,369.89	10,804.95			564.94
100. TWILIO INC-A		04/04/2019	04/18/2019	12,220.47	11,954.95			265.52
100. TWILIO INC-A		05/01/2019	05/02/2019	13,256.76	13,204.95			51.81
200. TWILIO INC-A		05/07/2019	07/01/2019	27,374.46	26,153.96			1,220.50
50. TWILIO INC-A		05/07/2019	08/02/2019	6,694.90	6,538.49			156.41
100 TYSON FOODS INC		03/06/2019	05/01/2019	7,520.00	6,374.95			1,145.05
500. US BANCORP DEL COM NEW		01/24/2019	05/07/2019	26,424.44	25,379.95			1,044.49
50. ULTA BEAUTY INC		11/20/2018	02/12/2019	15,194.84	15,149.95			44.89
100 UPLAND SOFTWARE INC		06/21/2019	07/22/2019	4,709.94	4,754.95			-45.01
10. VANGUARD TELECOM SERVICE ETF		07/09/2019	07/31/2019	906.68	886.40			20.28
100. VEEVA SYSTEMS INC - CLASS A		02/21/2019	03/11/2019	11,808.88	11,654.95			153.93
100 VEEVA SYSTEMS INC - CLASS A		02/23/2019	06/26/2019	15,614.71	13,904.95			1,709.76
50. VEEVA SYSTEMS INC - CLASS A		07/15/2019	12/18/2019	7,274.85	8,702.45			-1,427.60
100. VERTEX PHARMACEUTICALS		07/16/2019	08/02/2019	17,822.03	17,634.95			187.08
500 VIAVI SOLUTIONS INC		08/08/2019	10/02/2019	6,699.85	7,189.95			-490.10
250 VIPER ENERGY PARTNERS LP		10/28/2019	12/31/2019	6,239.84	6,775.00			-535.16
250. VIRTU FINANCIAL INC-CLASS A		VAR	03/21/2019	5,965.75	6,617.26			-651.51
255 VIRTU FINANCIAL INC-CLASS A		VAR	05/03/2019	6,038.40	6,744.36			-705.96
245. VIRTU FINANCIAL INC-CLASS A		VAR	05/30/2019	5,527.10	6,479.80			-952.70
750. VONAGE HOLDINGS CORP		05/15/2019	06/21/2019	8,754.77	8,562.45			192.32
100. WAL MART STORES INC		02/20/2019	03/18/2019	9,929.24	9,895.94			33.30
50. WAYFAIR INC-CLASS A		05/10/2019	05/22/2019	7,572.38	7,197.27			375.11
100. WAYFAIR INC-CLASS A		02/23/2019	06/05/2019	15,002.63	14,404.95			597.68
100. WAYFAIR INC-CLASS A		06/20/2019	07/11/2019	15,233.72	15,004.95			228.77
100. WORLD WRESTLING ENTERTAIN-A		03/26/2019	04/02/2019	8,734.92	8,729.95			4.97
100. ZENDESK INC COM		04/04/2019	05/01/2019	8,463.07	8,054.95			408.12
100. ZSCALER INC		11/01/2018	01/02/2019	3,984.98	3,867.47			117.51
200. ZSCALER INC		VAR	09/27/2019	9,568.28	15,944.88			-6,376.60
100. ZSCALER INC		VAR	10/22/2019	4,203.96	7,504.95			-3,300.99
Totals								

DETAIL OF SHORT-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
500. ZSCALER INC	VAR	12/31/2019	23,174.46	31,884.77			-8,710.31
200 ZOOM VIDEO COMMUNICATIONS INC CL A	VAR	09/27/2019	15,814.71	19,353.39			-3,538.68
100. ZOOM VIDEO COMMUNICATIONS INC CL A	VAR	10/22/2019	6,369.86	9,179.95			-2,810.09
150 ZOOM VIDEO COMMUNICATIONS INC CL A	VAR	12/31/2019	10,076.77	13,507.42			-3,430.65
100 ZOOM VIDEO COMMUNICATIONS INC CL A	07/05/2019	12/31/2019	6,725.35	8,904.95			-2,179.60
100 ZOOM VIDEO COMMUNICATIONS INC CL A	10/08/2019	12/31/2019	6,718.85	7,400.00			-681.15
500. ZUORA INC COM CL A	04/05/2019	05/31/2019	6,984.84	10,104.95			-3,120.11
150. ATIASSIAN CORP PLC-CLASS A	03/08/2019	04/17/2019	16,672.67	15,604.95			1,067.72
100 ATIASSIAN CORP PLC-CLASS A	04/18/2019	04/18/2019	10,083.83	10,100.93			-17.10
100 ATIASSIAN CORP PLC-CLASS A	05/31/2019	06/24/2019	12,969.77	12,594.95			374.82
100. MMECAST LTD	05/08/2019	05/22/2019	4,661.94	5,164.95			-503.01
100. ESSENT GROUP LTD	07/15/2019	07/31/2019	4,669.94	4,804.95			-135.01
500 GENPACT LTD	03/08/2019	05/13/2019	17,644.62	16,729.95			914.67
100. GENPACT LTD	07/08/2019	07/29/2019	4,014.95	3,854.20			160.75
100. APTIV PLC	03/26/2019	04/18/2019	8,990.08	7,869.95			1,120.13
500 MYOVANT SCIENCES LTD	VAR	01/18/2019	9,074.87	8,030.30			1,044.57
50. NORWEGIAN CRUISE LINE HOLDINGS	05/10/2019	06/04/2019	2,626.83	2,849.29			-222.46
350. NOVOCURE LTD	VAR	09/16/2019	27,525.44	27,303.90			221.54
200. PAGSEGURO DIGITAL LTD CL A	VAR	11/07/2019	6,739.84	9,892.90			-3,153.06
500. PAGSEGURO DIGITAL LTD CL A	VAR	11/20/2019	16,399.60	20,566.85			-4,167.25
100 STERIS PLC SHS USD	02/26/2019	03/18/2019	12,202.39	12,054.95			147.44
100. STERIS PLC	09/03/2019	11/15/2019	14,709.68	15,104.95			-395.27
500 STONECO LTD CL A	VAR	06/19/2019	14,869.67	17,992.87			-3,123.20
150. STONECO LTD CL A	VAR	08/15/2019	4,595.43	4,070.48			524.95
500 STONECO LTD CL A	VAR	11/20/2019	17,259.64	12,898.60			4,361.04
100 AUDIODES LTD	12/05/2019	12/11/2019	2,424.57	2,375.00			49.57
200. CYBERARK SOFTWARE LTD/ISRAEL	VAR	07/09/2019	25,834.49	25,764.85			69.64
100. CYBERARK SOFTWARE LTD/ISRAEL	08/07/2019	11/04/2019	10,613.77	11,973.75			-1,359.98
100. CYBERARK SOFTWARE LTD/ISRAEL	08/07/2019	12/04/2019	12,004.74	11,504.95			499.79
100. INMODE LTD	09/06/2019	09/17/2019	2,749.98	2,529.95			220.03
100. KORNIT DIGITAL LTD	04/10/2019	04/22/2019	2,739.98	2,739.95			0.03
250 TUFIN SOFTWARE TECH	04/15/2019	05/29/2019	5,369.90	5,217.45			152.45
150 WIX.COM LTD	VAR	05/30/2019	20,792.09	20,734.90			57.19
Totals							

DETAIL OF LONG-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail		Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
28% RATE LONG-TERM CAPITAL GAINS (LOSSES)								
ISHARES SILVER TR ISHARES		04/02/2009	12/31/2019	75.37	60.92	C		14.45
TOTAL 28% RATE LONG-TERM CAPITAL GAINS (LOSSES)				75.37	60.92			14.45
OTHER LONG-TERM CAPITAL GAINS (LOSSES)								
250. ABBVIE INC		VAR	01/30/2019	19,334.75	14,328.57			5,006.18
1500. ACHAAGEN INC		VAR	04/24/2019	168.26	17,340.24			-17,171.98
1500. ALPABA INC		VAR	09/24/2019	29,379.27	20,986.06			8,393.21
250. APPLE COMPUTER INC COMMON STOCK		10/30/2009	01/24/2019	38,302.02	6,788.57			31,513.45
2000. AVRO PHARMACEUTICALS INC		VAR	01/31/2019	1,694.78	6,547.30			-4,852.52
5000. AVRO PHARMACEUTICALS INC		VAR	12/31/2019	3,127.84	12,321.13			-9,193.29
100. BB&T CORP COM		03/03/2017	05/29/2019	4,723.89	4,861.99			-138.10
250. BB&T CORP COM		02/15/2017	08/14/2019	11,470.53	12,042.42			-571.89
200. BANK OF AMERICA CORP COM		04/06/2018	08/14/2019	5,362.67	6,004.95			-642.28
100. BERKSHIRE INC DEL CL B NEW		02/28/2018	08/05/2019	19,962.66	20,754.95			-792.29
250. CELGENE CORP		VAR	09/03/2019	24,175.90	29,434.47			-5,258.57
250. CELGENE CORP		VAR	09/04/2019	24,211.00	28,682.97			-4,471.97
667. CORTEVA INC		10/25/2017	06/10/2019	16.72	25.53			-8.81
166. CORTEVA INC		10/25/2017	07/17/2019	4,523.41	6,352.72			-1,829.31
182. DIAGEO PLC SPONSORED ADR NEW		VAR	12/19/2019	29,912.88	19,680.98			10,231.90
667. DOW INC COM		10/25/2017	04/04/2019	38.49	46.10			-7.61
166. DOW INC COM		10/25/2017	07/22/2019	8,453.93	11,474.10			-3,020.17
667. DUPONT DE NEMOURS INC COM		10/25/2017	06/11/2019	49.34	70.36			-21.02
166. DUPONT DE NEMOURS INC COM		10/25/2017	08/12/2019	11,407.29	17,511.14			-6,103.85
100. ELANCO ANIMAL HEALTH INC		10/10/2018	11/04/2019	2,739.93	3,329.95			-590.02
100. EXXON MOBIL CORP COM		11/19/2001	08/14/2019	6,794.89	3,709.50			3,085.39
100. FACEBOOK INC		07/29/2015	03/18/2019	16,245.82	9,502.49			6,743.33
100. FACEBOOK INC		01/15/2016	11/07/2019	19,250.85	9,482.99			9,767.86
473. GOLDCORP INC NEW COM		03/17/2009	01/11/2019	4.34	13.48			-9.14
783. GOLDCORP INC NEW COM		VAR	04/18/2019	8,630.82	19,902.21			-11,271.39
Totals								

DETAIL OF LONG-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail		Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
215.782 GOLDCORP INC NEW COM	VAR	06/20/2002	04/24/2019	2,378.51	4,900.38			-2,521.87
1000. IMMUNOMEDICS INC		06/20/2002	01/22/2019	12,507.86	6,044.98			6,462.88
1000. IMMUNOMEDICS INC		06/20/2002	03/11/2019	17,314.70	6,044.97			11,269.73
100. JOHNSON & JOHNSON		12/29/2000	02/05/2019	13,341.09	4,072.27			9,268.82
100. JOHNSON & JOHNSON		12/29/2000	02/27/2019	13,574.30	4,072.27			9,502.03
130 JOHNSON & JOHNSON		12/29/2000	03/15/2019	17,864.34	5,293.95			12,570.39
70. JOHNSON & JOHNSON		12/29/2000	03/15/2019	9,614.38	2,850.59			6,763.79
100 JOHNSON & JOHNSON		12/29/2000	03/15/2019	13,742.81	4,072.27			9,670.54
100. JOHNSON & JOHNSON		12/29/2000	04/09/2019	13,541.14	4,072.27			9,468.87
100. JOHNSON & JOHNSON		12/29/2000	07/16/2019	13,279.43	4,072.27			9,207.16
100 JOHNSON & JOHNSON		12/29/2000	07/18/2019	13,163.18	4,072.27			9,090.91
100. JOHNSON & JOHNSON		12/29/2000	07/25/2019	12,996.82	4,072.27			8,924.55
100. JOHNSON & JOHNSON		12/29/2000	08/29/2019	12,753.62	4,072.27			8,681.35
100. JOHNSON & JOHNSON		12/29/2000	11/07/2019	13,199.34	4,072.27			9,127.07
250. LIONSGATE ENTERTAINMENT - B	11/02/2016	08/12/2019	3,002.45	4,978.99				-1,976.54
2000. MANKIND CORP	VAR	11/26/2019	2,279.72	3,513.96				-1,234.24
3800. MANKIND CORP	VAR	12/18/2019	5,034.44	6,543.52				-1,509.08
200 MANKIND CORP	11/19/2018	12/18/2019	263.99	344.40				-80.41
2250 MEDICINES	VAR	11/25/2019	188,545.82	67,275.22				121,270.60
150. MERCK & CO NEW	08/06/2015	04/18/2019	10,861.61	8,775.92				2,085.69
.176 NEWMONT MNG CORP	01/18/2012	04/30/2019	5.65	10.57				-4.92
.824 NEWMONT MNG CORP	01/18/2012	04/30/2019	25.29	49.47				-24.18
500 PARATEK PHARMACEUTICALS INC	06/20/2018	09/05/2019	1,874.95	5,517.45				-3,642.50
8. PEMBINA PIPELINE CORPORATION	01/15/2016	09/05/2019	291.12	216.70				74.42
100. QUAKER CHEM CORP COM	09/02/2010	10/22/2019	14,624.68	3,125.15				11,499.53
1500. SANGAMO THERAPEUTICS INC	VAR	12/10/2019	14,159.52	25,672.04				-11,512.52
1000. SOUTHWESTERN ENERGY CO	01/06/2016	07/30/2019	2,024.88	7,007.99				-4,983.11
100. STARBUCKS CORP COM	02/10/2012	08/27/2019	9,624.34	2,439.50				7,184.84
250. TANDEM DIABETES CARE	02/23/2018	07/05/2019	15,869.69	746.24				15,123.45
50. 3M CO COM	04/16/2010	05/07/2019	8,933.64	4,169.99				4,763.65
200 3M CO COM	VAR	05/07/2019	35,990.24	16,299.49				19,690.75
100 3M CO COM	VAR	08/08/2019	16,474.68	7,204.39				9,270.29
100. 3M CO COM	12/01/2008	08/28/2019	15,704.71	6,500.80				9,203.91
Totals								

DETAIL OF SHORT-TERM CAPITAL GAINS AND LOSSES

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DETAIL OF LONG-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail		Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
25	ADOBE SYS INC	08/18/2016	03/06/2019	6,329.71	2,527.02			3,802.69
25	ADOBE SYS INC	08/18/2016	11/08/2019	7,250.93	2,527.02			4,723.91
20	ALIBABA GROUP HOLDING LTD - SP ADR	06/07/2018	12/10/2019	3,989.66	4,105.68			-116.02
5	ALPHABET INC CL A	04/25/2016	03/06/2019	5,792.79	3,731.06			2,061.73
10	ALPHABET INC CL A	06/19/2012	11/08/2019	13,017.79	2,896.11			10,121.68
10	AMAZON COM INC COM	09/09/2015	03/06/2019	16,674.53	5,251.21			11,423.32
60	APPLE COMPUTER INC COMMON STOCK	07/26/2010	03/06/2019	10,440.58	2,695.96			7,744.62
40	AUTODESK INC	01/11/2018	03/06/2019	6,072.52	4,534.42			1,538.10
15	AUTODESK INC	01/11/2018	11/08/2019	2,246.92	1,700.41			546.51
200	BANK OF AMERICA CORP COM	01/16/2018	03/06/2019	5,741.97	6,314.23			-572.26
80	BECTON DICKINSON & CO	VAR	11/08/2019	19,533.44	19,725.78			-192.34
50	BOSTON SCIENTIFIC CORP	09/04/2018	12/10/2019	2,140.69	1,809.38			331.31
45	BROADRIDGE FINL SOLUTIONS INC	11/23/2015	03/06/2019	4,409.85	2,478.92			1,930.93
20	BROADRIDGE FINL SOLUTIONS INC	11/23/2015	12/10/2019	2,392.09	1,101.74			1,290.35
150	CORNING INC	04/26/2016	03/06/2019	5,154.48	2,917.60			2,236.88
100	CORNING INC	04/26/2016	12/10/2019	2,797.99	1,945.06			852.93
30	COSTCO WHOLESALE CORP COM	VAR	03/06/2019	6,517.64	2,470.62			4,047.02
15	COSTCO WHOLESALE CORP COM	06/14/2011	11/08/2019	4,488.47	1,190.58			3,297.89
35	FACEBOOK INC	06/19/2014	03/06/2019	5,982.14	2,278.15			3,703.99
15	FACEBOOK INC	06/19/2014	12/10/2019	2,971.68	976.35			1,995.33
130	HOME DEPOT INC	VAR	01/29/2019	23,254.12	7,267.90			15,986.22
20	HOME DEPOT INC	09/24/2010	12/10/2019	4,273.43	631.52			3,641.91
30	ILLUMINA INC	12/01/2017	03/06/2019	8,934.66	6,781.83			2,152.83
40	ILLUMINA INC	12/01/2017	05/02/2019	12,405.64	9,042.44			3,363.20
120	ILLUMINA INC	VAR	08/26/2019	33,629.01	21,854.83			11,774.18
80	J P MORGAN CHASE & CO NT	08/07/2017	03/06/2019	8,261.06	7,553.41			707.65
40	JOHNSON & JOHNSON	08/10/2011	03/06/2019	5,515.59	2,416.99			3,098.60
20	JOHNSON & JOHNSON	08/10/2011	12/10/2019	2,768.29	1,208.50			1,559.79
50	MASTERCARD INC	05/25/2012	03/06/2019	11,197.79	2,077.74			9,120.05
160	MICROSOFT CORP	10/06/2015	03/06/2019	17,812.43	7,519.14			10,293.29
25	MICROSOFT CORP	10/06/2015	12/10/2019	3,740.35	1,174.87			2,565.48
25	NEXTERA ENERGY INC	11/05/2018	11/08/2019	5,505.80	4,277.00			1,228.80
40	RAYTHEON COM NEW	02/22/2016	03/06/2019	7,228.99	5,024.97			2,204.02
Totals								

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