

For calendar year 2019, or tax year beginning 01-01-2019, and ending 12-31-2019

Name of foundation CAERUS FOUNDATION INC		A Employer identification number 71-0935903	
Number and street (or P.O. box number if mail is not delivered to street address) 3100 SANDERS ROAD SUITE 500		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code NORTHBROOK, IL 60062		B Telephone number (see instructions) (847) 559-2000	
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here..... 2. Foreign organizations meeting the 85% test, check here and attach computation ...	
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 127,883,559		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here	
J Accounting method: ☐ Cash ☒ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities . . .	2,730,610	2,821,091		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	7,967,287			
	b Gross sales price for all assets on line 6a				
		53,166,365			
	7 Capital gain net income (from Part IV, line 2) . . .		7,753,720		
	8 Net short-term capital gain				
	9 Income modifications				
Operating and Administrative Expenses	10a Gross sales less returns and allowances				
	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	 628,063	669,915	0	
	12 Total. Add lines 1 through 11	11,325,960	11,244,726	0	
	13 Compensation of officers, directors, trustees, etc.	0	0	0	0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)	 17,765	17,765	0	0
	b Accounting fees (attach schedule)	 101,919	50,960	0	56,732
	c Other professional fees (attach schedule)	 1,250,669	696,190	0	372,321
	17 Interest	14,748	19,649	0	0
	18 Taxes (attach schedule) (see instructions)	 421,636	65,089	0	0
	19 Depreciation (attach schedule) and depletion	571	0	0	
	20 Occupancy				
	21 Travel, conferences, and meetings	52,749	5,259	0	40,254
	22 Printing and publications				
	23 Other expenses (attach schedule)	 851,794	842,329	0	41,414
	24 Total operating and administrative expenses. Add lines 13 through 23	2,711,851	1,697,241	0	510,721
	25 Contributions, gifts, grants paid	27,790,199			14,742,219
	26 Total expenses and disbursements. Add lines 24 and 25	30,502,050	1,697,241	0	15,252,940
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	-19,176,090			
	b Net investment income (if negative, enter -0-)		9,547,485		
	c Adjusted net income (if negative, enter -0-)			0	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	285,663	827,722	827,722
	2 Savings and temporary cash investments	5,432,751	10,587,990	10,587,990
	3 Accounts receivable ▶ <u>4,088,765</u>			
	Less: allowance for doubtful accounts ▶ _____	69,263	4,088,765	4,088,765
	4 Pledges receivable ▶ _____			
	Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____			
	Less: allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	10,784	12,773	12,773
	10a Investments—U.S. and state government obligations (attach schedule)	4,288,557 	1,020,011	1,020,011
	b Investments—corporate stock (attach schedule)	34,773,071 	35,413,494	35,413,494
	c Investments—corporate bonds (attach schedule)	2,491,789 	2,298,909	2,298,909
	11 Investments—land, buildings, and equipment: basis ▶ _____			
Less: accumulated depreciation (attach schedule) ▶ _____				
12 Investments—mortgage loans				
13 Investments—other (attach schedule)	75,382,148 	73,633,758	73,633,758	
14 Land, buildings, and equipment: basis ▶ <u>2,855</u>				
Less: accumulated depreciation (attach schedule) ▶ <u>2,718</u>	708	137	137	
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	122,734,734	127,883,559	127,883,559	
Liabilities	17 Accounts payable and accrued expenses	335,133	242,285	
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)	 96 	13,324,544	
	23 Total liabilities (add lines 17 through 22)	335,229	13,566,829	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ ☐			
	and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ ☒			
	and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds	122,399,505	114,316,730	
	27 Paid-in or capital surplus, or land, bldg., and equipment fund	0	0	
28 Retained earnings, accumulated income, endowment, or other funds	0	0		
29 Total net assets or fund balances (see instructions)	122,399,505	114,316,730		
30 Total liabilities and net assets/fund balances (see instructions) .	122,734,734	127,883,559		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	122,399,505
2 Enter amount from Part I, line 27a	2	-19,176,090
3 Other increases not included in line 2 (itemize) ▶ 	3	11,093,315
4 Add lines 1, 2, and 3	4	114,316,730
5 Decreases not included in line 2 (itemize) ▶ _____	5	0
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	6	114,316,730

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	7,753,720
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?



Yes



No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	16,421,895	132,073,855	0.124339
2017	17,657,973	153,585,973	0.114971
2016	23,926,203	136,152,267	0.175731
2015	25,243,723	154,316,681	0.163584
2014	31,270,056	150,206,142	0.208181

2 Total of line 1, column (d)	2	0.786806
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	0.157361
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	122,170,522
5 Multiply line 4 by line 3	5	19,224,876
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	95,475
7 Add lines 5 and 6	7	19,320,351
8 Enter qualifying distributions from Part XII, line 4	8	15,252,940

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	190,950
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	190,950
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	190,950
6	Credits/Payments:		
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a	185,005
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	65,000
d	Backup withholding erroneously withheld	6d	0
7	Total credits and payments. Add lines 6a through 6d.	7	250,005
8	Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached.	8	599
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	58,456
11	Enter the amount of line 10 to be: Credited to 2020 estimated tax 58,456 Refunded	11	0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		No
c Did the foundation file Form 1120-POL for this year?		No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0 (2) On foundation managers. \$ 0		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	Yes	
b If "Yes," has it filed a tax return on Form 990-T for this year?	Yes	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	Yes	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	Yes	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) IL		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	Yes	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i>		No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>WWW.CAERUSFOUNDATION.ORG</u>	13	Yes	
14	The books are in care of ► <u>CATHY WEREDA</u> Telephone no. ► <u>(847) 559-2000</u>			

Located at ► 3100 SANDERS ROAD SUITE 500 NORTHBROOK IL ZIP+4 ► 60062

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here	☐		
	and enter the amount of tax-exempt interest received or accrued during the year	► 15		
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly):		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		No
	Organizations relying on a current notice regarding disaster assistance check here. ► ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019? ☐ Yes ☒ No			
	If "Yes," list the years ► 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☒ Yes ☐ No			
b	If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019.)	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to:		Yes	No
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	☐ Yes ☒ No		
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions		5b	
	Organizations relying on a current notice regarding disaster assistance check here. 	☐		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d).	☐ Yes ☐ No		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No	6b	No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No		7b	
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?			
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	☐ Yes ☒ No		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000. 				0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)
3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
OLD WORLD INDUSTRIES LLC 3100 SANDERS ROAD NORTHBROOK, IL 60062	PROFESSIONAL FEES	765,375
RMB 115 S LASALLE STREET CHICAGO, IL 60603	INVESTMENT MGMT	220,104
WELLS FARGO 10 S WACKER DRIVE CHICAGO, IL 60606	INVESTMENT MGMT	145,998
JPMORGAN CHASE BANK 270 PARK AVENUE NEW YORK, NY 10017	INVESTMENT MGMT	118,615
Total number of others receiving over \$50,000 for professional services. ►		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ►	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	101,863,989
b	Average of monthly cash balances.	1b	1,177,231
c	Fair market value of all other assets (see instructions).	1c	20,989,767
d	Total (add lines 1a, b, and c).	1d	124,030,987
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	124,030,987
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	1,860,465
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	122,170,522
6	Minimum investment return. Enter 5% of line 5.	6	6,108,526

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	6,108,526
2a	Tax on investment income for 2019 from Part VI, line 5.	2a	190,950
b	Income tax for 2019. (This does not include the tax from Part VI.).	2b	43,074
c	Add lines 2a and 2b.	2c	234,024
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	5,874,502
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	5,874,502
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	5,874,502

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	15,252,940
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	15,252,940
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	15,252,940

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				5,874,502
2 Undistributed income, if any, as of the end of 2019:				
a Enter amount for 2018 only.			0	
b Total for prior years: 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2019:				
a From 2014.				
b From 2015.				
c From 2016.				15,791,390
d From 2017.				10,179,371
e From 2018.				25,970,761
f Total of lines 3a through e.	51,941,522			
4 Qualifying distributions for 2019 from Part XII, line 4: ► \$ _____ 15,252,940				
a Applied to 2018, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2019 distributable amount.				5,874,502
e Remaining amount distributed out of corpus	9,378,438			
5 Excess distributions carryover applied to 2019. (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	61,319,960			
b Prior years' undistributed income. Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions.		0		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions.			0	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions). . . .	0			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a.	61,319,960			
10 Analysis of line 9:				
a Excess from 2015.				
b Excess from 2016.				15,791,390
c Excess from 2017.				10,179,371
d Excess from 2018.				25,970,761
e Excess from 2019.				9,378,438

Part XIV

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling.

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(i)(3) or ☐ 4942(j)(5)

		Prior 3 years				(e) Total
		(a) 2019	(b) 2018	(c) 2017	(d) 2016	
2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon:					
a	"Assets" alternative test—enter:					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter:					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			▶ 3a	14,742,219
b <i>Approved for future payment</i> See Additional Data Table				
Total			▶ 3b	13,047,980

Enter gross amounts unless otherwise indicated.

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
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1a(1)	No
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1a(2)		No
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--	--	--

1b(1)	No
--------------	-----------

1b(2)		No
--------------	--	-----------

1b(3)		No
--------------	--	-----------

1b(4)		No
--------------	--	-----------

1b(5)		No
--------------	--	-----------

1b(6)		No
--------------	--	-----------

1c		No
-----------	--	-----------

value
ue[illegible]

described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

**Sign
Here**

2020-11-12

May the IRS discuss this return with the preparer shown below

(see instr.) ☒ **Yes** ☐ **No**

Signature of officer or trustee

Date _____

Title

**Paid
Preparer
Use Only**

Print/Type preparer's name

JACOB ZEHNDER

Preparer's Signature

Date _____

Check if self-employed ► ☐

PTIN

P01564049

Firm's name ▶	ERNST & YOUNG US LLP
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Firm's EIN ► 34-6565596

Firm's address ► 155 N WACKER DRIVE

Phone no. (312) 879-2000

CHICAGO, IL 60606

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
BIOTECHNOLOGY VALUE FUND II, LP LTCG	P	2019-12-31	2019-12-31
BIOTECHNOLOGY VALUE FUND II, LP STCG	P	2019-12-31	2019-12-31
FPA GLOBAL OPPORTUNITY FUND, LLC LTCG	P	2019-12-31	2019-12-31
FPA GLOBAL OPPORTUNITY FUND, LLC SEC 1256 GAIN (LOSS)	P	2019-12-31	2019-12-31
FPA GLOBAL OPPORTUNITY FUND, LLC STCG	P	2019-12-31	2019-12-31
FPA GLOBAL OPPORTUNITY FUND, LLC TAXABLE DISTRIBUTION	P	2019-12-31	2019-12-31
LOGAN STONE CAPITAL QP, LP LTCG	P	2019-12-31	2019-12-31
MARATHON PARTNERS, LP LTCG	P	2019-12-31	2019-12-31
MARATHON PARTNERS, LP STCG	P	2019-12-31	2019-12-31
MENDEN CAPITAL TAXABLE DISTRIBUTION	P	2019-12-31	2019-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
878,480			878,480
979,186			979,186
225,380			225,380
4,669			4,669
		24,232	-24,232
1,464			1,464
2,901			2,901
527,717			527,717
		20,648	-20,648
126			126

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			878,480
			979,186
			225,380
			4,669
			-24,232
			1,464
			2,901
			527,717
			-20,648
			126

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
MENDON CAPITAL, LLC LTCG	P	2019-12-31	2019-12-31
MENDON GLOBAL LONG/SHORT FINANCIAL QP FUND LP LTCG	P	2019-12-31	2019-12-31
MENDON GLOBAL LONG/SHORT FINANCIAL QP FUND LP STCG	P	2019-12-31	2019-12-31
METHOD ONE, LLC LTCG	P	2019-12-31	2019-12-31
METHOD ONE, LLC STCG	P	2019-12-31	2019-12-31
PASSPORT- AA2 (II) SERIES 01 (SIDE POCKET) TAXABLE DISTRIBUTION	P	2019-12-31	2019-12-31
PUBLICLY TRADED SECURITIES			
RMB FIXED INCOME OPPORTUNITIES QP, LLC LTCG	P	2019-12-31	2019-12-31
RMB FIXED INCOME OPPORTUNITIES QP, LLC SEC 1231 GAIN (LOSS)	P	2019-12-31	2019-12-31
RMB FIXED INCOME OPPORTUNITIES QP, LLC SEC 1256 GAIN (LOSS)	P	2019-12-31	2019-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
233,142			233,142
		87,034	-87,034
		255,236	-255,236
117,004			117,004
6,436			6,436
321,708			321,708
49,337,588		44,986,096	4,351,492
18,954			18,954
34			34
		2,485	-2,485

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			233,142
			-87,034
			-255,236
			117,004
			6,436
			321,708
			4,351,492
			18,954
			34
			-2,485

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
RMB FIXED INCOME OPPORTUNITIES QP, LLC STCG	P	2019-12-31	2019-12-31
RMB JAPAN OPPORTUNITIES FUND, LP LTCG	P	2019-12-31	2019-12-31
RMB JAPAN OPPORTUNITIES FUND, LP STCG	P	2019-12-31	2019-12-31
TWIN LAKE TOTAL RETURN PARTNERS QP, LP LTCG	P	2019-12-31	2019-12-31
TWIN LAKE TOTAL RETURN PARTNERS QP, LP STCG	P	2019-12-31	2019-12-31
Z CAPITAL LOAN OPPORTUNITY FUND, LP LTCG	P	2019-12-31	2019-12-31
Z CAPITAL LOAN OPPORTUNITY FUND, LP STCG	P	2019-12-31	2019-12-31
MARATHON PARTNERS, LP LOSS ON PARTNERSHIP DISPOSITION	P	2019-12-31	2019-12-31
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
27,516			27,516
		14,171	-14,171
		10,633	-10,633
4,724			4,724
		11,050	-11,050
3,328			3,328
3,051			3,051
		1,060	-1,060
472,957			472,957

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			27,516
			-14,171
			-10,633
			4,724
			-11,050
			3,328
			3,051
			-1,060
			472,957

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
J THOMAS HURVIS 3100 SANDERS ROAD SUITE 500 NORTHBROOK, IL 60062	PRESIDENT/DIRECTOR 5.00	0	0	0
SARA H YOUNKIN 3100 SANDERS ROAD SUITE 500 NORTHBROOK, IL 60062				
CHRISTINA M HURVIS 3100 SANDERS ROAD SUITE 500 NORTHBROOK, IL 60062	VP/DIRECTOR 20.00	0	0	0
JAMES C BROWN UNTIL 102019 3100 SANDERS ROAD SUITE 500 NORTHBROOK, IL 60062				
CATHY WEREDA EFF 102019 3100 SANDERS ROAD SUITE 500 NORTHBROOK, IL 60062	TREASURER 1.00	0	0	0
BRYON STANISLAW 3100 SANDERS ROAD SUITE 500 NORTHBROOK, IL 60062				
CONNIE GIAMPAPA 3100 SANDERS ROAD SUITE 500 NORTHBROOK, IL 60062	ASSISTANT SECRETARY 1.00	0	0	0

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
826 VALENCIA826 VALENCIA STREET SAN FRANCISCO, CA 94110		PC	MISSION AND TENDERLOIN PROGRAMS	200,000
826CHI1276 N MILWAUKEE AVENUE CHICAGO, IL 60622		PC	ADVANCING OUR GROWTH: 826CHIS VOLUNTEER DEPARTMENT AND INAUGURAL TEACHING ARTIST MODEL	40,000
AIM HIGH 2030 HARRISON ST 3RD FLOOR SAN FRANCISCO, CA 94110		PC	AIM HIGH SUMMER LEARNING PROGRAMNARROWING THE ACHIEVEMENT GAP IN SAN FRANCISCO	25,000
Total ▶ 3a				14,742,219

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ALBANY PARK THEATER PROJECT PO BOX 25072 CHICAGO, IL 60625		PC	SUSTAIN AND ENHANCE APTPS SCHOOLBASED PROGRAMS	50,000
AMERICA SCORES BAY AREA C/O IMPACT HUB SF 1885 MISSION STREET SAN FRANCISCO, CA 94103		PC	SCORES 2020 INITIATIVE	50,000
AMERICA SCORES CHICAGO 600 WEST CERMAK RD 204 CHICAGO, IL 60616		PC	AMERICA SCORES CHICAGO: LITERACY IN ACTION PROGRAMMING	35,000
Total ► 3a				14,742,219

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ATHLETIC SCHOLARS ADVANCEMENT PROGRAM (ASAP) MISSION HIGH SCHOOL 3750 18TH STREET ROOM 408 SAN FRANCISCO, CA 94114		PC	ASAP SUMMER PROGRAM 2019 & 2020	45,000
ATHLETIC SCHOLARS ADVANCEMENT PROGRAM (ASAP) MISSION HIGH SCHOOL 3750 18TH STREET ROOM 408 SAN FRANCISCO, CA 94114		PC	ASAP PROMISE SCHOLARS COHORT 7	44,000
ATHLETIC SCHOLARS ADVANCEMENT PROGRAM (ASAP) MISSION HIGH SCHOOL 3750 18TH STREET ROOM 408 SAN FRANCISCO, CA 94114		PC	ASAP'S APPLICATION FOR 6TH COHORT OF PROMISE SCHOLARS AND ADDITIONAL FUNDS FOR 3 COHORT #2 SCHOLARS	44,000
Total ▶ 3a				14,742,219

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ATHLETIC SCHOLARS ADVANCEMENT PROGRAM (ASAP) MISSION HIGH SCHOOL 3750 18TH STREET ROOM 408 SAN FRANCISCO, CA 94114		PC	ASAP PROMISE SCHOLARS COHORT 8	44,000
ATHLETIC SCHOLARS ADVANCEMENT PROGRAM (ASAP) MISSION HIGH SCHOOL 3750 18TH STREET ROOM 408 SAN FRANCISCO, CA 94114		PC	ENHANCED MENTORING FOR PROMISE SCHOLARS 201920	20,000
ATHLETIC SCHOLARS ADVANCEMENT PROGRAM (ASAP) MISSION HIGH SCHOOL 3750 18TH STREET ROOM 408 SAN FRANCISCO, CA 94114		PC	ADDITIONAL SUPPORT FOR COHORT 4	9,000
Total ▶ 3a				14,742,219

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ATHLETIC SCHOLARS ADVANCEMENT PROGRAM (ASAP) MISSION HIGH SCHOOL 3750 18TH STREET ROOM 408 SAN FRANCISCO, CA 94114		PC	ASAP'S APPLICATION FOR 5TH COHORT OF PROMISE SCHOLARS	44,000
BIG BROTHERS BIG SISTERS OF METROPOLITAN CHICAGO 560 W LAKE ST 5TH FLOOR CHICAGO, IL 60661		PC	BIG BROTHERS BIG SISTERS GENERAL ANNUAL SUPPORT FOR MENTORING PROGRAMS	50,000
BIG BROTHERS BIG SISTERS OF METROPOLITAN CHICAGO 560 W LAKE ST 5TH FLOOR CHICAGO, IL 60661		PC	BIG BROTHERS BIG SISTERS PROJECT 6	250,000
Total ▶ 3a				14,742,219

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BIG CITY MOUNTAINEERS 710 10TH STREET STE 120 GOLDEN, CO 80401		PC	BIG CITY MOUNTAINEERS WISCONSIN EXPANSION	33,000
BLACK ENSEMBLE THEATER 4450 N CLARK STREET CHICAGO, IL 60640		PC	BLACK ENSEMBLE THEATER EDUCATIONAL OUTREACH PROGRAMS	75,000
BOTTOM LINE INC 65 EAST WACKER PLACE SUITE 800 CHICAGO, IL 60601		PC	BOTTOM LINE CHICAGO SUCCESS PROGRAM EXPANSION	50,000
Total ► 3a				14,742,219

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BUILD INC5100 W HARRISON ST CHICAGO, IL 60644		PC	BUILDS MENTAL HEALTH PROGRAM (MHP)	75,000
BUILD INC5100 W HARRISON ST CHICAGO, IL 60644		PC	BUILD ACADEMY	78,495
CHICAGO COMMONS ASSOCIATION 515 E 50TH STREET SUITE 200 CHICAGO, IL 60615		PC	GUADALUPANO FAMILY CENTER YOUTH AFTER SCHOOL PROGRAM	25,000
Total ▶ 3a				14,742,219

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CHICAGO JAZZ PHILHARMONIC 1111 NORTH WELLS STREET SUITE 501 CHICAGO, IL 60610		PC	JAZZ ACADEMY 20192020	75,000
CHICAGO LIGHTS 126 E CHESTNUT STREET CHICAGO, IL 60611		PC	CHICAGO LIGHTS 201920 TUTORING AND 2020 SUMMER DAY PROGRAMS	75,000
CHICAGO SCHOLARS 247 S STATE STREET SUITE 700 CHICAGO, IL 60604		PC	1:1 MATCH DURING ONSITE ADMISSIONS FORUM 10/23/18	83,132
Total ▶ 3a				14,742,219

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CHICAGO SCHOLARS 247 S STATE STREET SUITE 700 CHICAGO, IL 60604		PC	1YEAR SUSTAIN AND GROWTH GRANT	500,000
CHICAGO SCHOLARS 247 S STATE STREET SUITE 700 CHICAGO, IL 60604		PC	1YEAR SUSTAIN AND GROWTH GRANT	257,750
CHICAGO SCHOLARS 247 S STATE STREET SUITE 700 CHICAGO, IL 60604		PC	1YEAR SUSTAIN AND GROWTH GRANT	242,250
Total ▶ 3a				14,742,219

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CITY YEAR INC 36 SOUTH WABASH AVENUE SUITE 1300 CHICAGO, IL 60603		PC	CITY YEAR CHICAGOENGLEWOOD EXPANSION	425,000
COLLEGE BOUND OPPORTUNITIES 2033 N MILWAUKEE AVENUE SUITE 246 RIVERWOODS, IL 60015		PC	COLLEGE ACCESS & SUCCESS PROGRAM SUPPORT	40,000
COLLEGE BOUND OPPORTUNITIES 2033 N MILWAUKEE AVENUE SUITE 246 RIVERWOODS, IL 60015		PC	SUPPORT FOR 8 SCHOLARS IN CLASS OF 2020	50,000
Total ▶ 3a				14,742,219

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
COLLEGE BOUND OPPORTUNITIES 2033 N MILWAUKEE AVENUE SUITE 246 RIVERWOODS, IL 60015		PC	SUPPORT FOR 8 SCHOLARS IN THE CLASS OF 2021	50,000
COLLEGE POSSIBLE 215 W SUPERIOR ST SUITE 300 CHICAGO, IL 60654		PC	PROMISE SCHOLAR PROGRAM COHORT 1 (CLASS OF 2023)	44,000
DOCTORS WITHOUT BORDERS USA INC 40 RECTOR STREET 16TH FLOOR NEW YORK, NY 10006		PC	GENERAL OPERATING SUPPORT GRANT	500,000
Total ▶ 3a				14,742,219

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ENSEMBLE ESPANOL SPANISH DANCE THEATER 5500 N ST LOUIS AVE ROOM C609 CHICAGO, IL 60625		PC	GROWTH SUPPORT	69,424
FIRST GRADUATE 2973 16TH STREET SUITE 400 SAN FRANCISCO, CA 94103		PC	PROMISE SCHOLARS COHORT 6	44,000
FIRST GRADUATE 2973 16TH STREET SUITE 400 SAN FRANCISCO, CA 94103		PC	GENERAL OPERATING SUPPORT AND BEYOND THE COHORT	100,000
Total ▶ 3a				14,742,219

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FIRST GRADUATE 2973 16TH STREET SUITE 400 SAN FRANCISCO, CA 94103		PC	PROMISE SCHOLARS: COHORT 5	44,000
FIRST GRADUATE 2973 16TH STREET SUITE 400 SAN FRANCISCO, CA 94103		PC	FIRST GRADUATE PROMISE SCHOLARS COHORT 8	40,000
FIRST GRADUATE 2973 16TH STREET SUITE 400 SAN FRANCISCO, CA 94103		PC	FIRST GRADUATE PROMISE SCHOLARS COHORT 7	40,000
Total ► 3a				14,742,219

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FIRST GRADUATE 2973 16TH STREET SUITE 400 SAN FRANCISCO, CA 94103		PC	COLLEGE SUCCESS POSITION AND PROMISE SCHOLARS PROGRAM ADMINISTRATIVE COSTS	83,000
FIRST GRADUATE 2973 16TH STREET SUITE 400 SAN FRANCISCO, CA 94103		PC	201720 PROGRAM ENHANCEMENTS FOR SAN FRANCISCO PROMISE SCHOLARS PROGRAM	9,500
FOREFRONT 208 SOUTH LASALLE STREET SUITE 1540 CHICAGO, IL 60604		PC	CAPACITY BUILDING FOR PROFESSIONAL DEVELOPMENT AND RESEARCH	28,600
Total ▶ 3a				14,742,219

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
GATHERING WATERS WISCONSIN'S ALLIANCE FOR LAND TRUSTS 211 S PATERSON STREET SUITE 270 MADISON MADISON, WI 53703		PC	GENERAL OPERATING GRANT	100,000
GATHERING WATERS WISCONSIN'S ALLIANCE FOR LAND TRUSTS 211 S PATERSON STREET SUITE 270 MADISON, WI 53703		PC	EXPANDED ADVOCACY & COMMUNICATIONS ACTIVITIES	80,000
GATHERING WATERS WISCONSIN'S ALLIANCE FOR LAND TRUSTS 211 S PATERSON STREET SUITE 270 MADISON, WI 53703		PC	EXPANDED ADVOCACY & COMMUNICATIONS ACTIVITIES	40,000
Total			▶ 3a	14,742,219

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Name and address (home or business)				
a <i>Paid during the year</i>				
GIRL SCOUTS OF GREATER CHICAGO AND NORTHWEST INDIANA 20 S CLARK STREET SUITE 200 CHICAGO, IL 60603		PC	GIRLSpace CHICAGO	45,000
GLOBAL HEALTH CORPS ONE PENN PLAZA SUITE 6271 NEW YORK, NY 10001		PC	SUPPORT FOR GHC FELLOWSHIPS AND STRATEGIC GROWTH	205,000
HEIFER INTERNATIONAL 1 WORLD AVENUE LITTLE ROCK, AR 72202		PC	GENERAL OPERATING SUPPORT 2018	100,000
Total ▶ 3a				14,742,219

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
HOWARD AREA COMMUNITY CENTER 7648 N PAULINA STREET CHICAGO, IL 60626		PC	HACC COHORT SEVEN	44,000
HOWARD AREA COMMUNITY CENTER 7648 N PAULINA STREET CHICAGO, IL 60626		PC	PROMISE SCHOLARS COHORT SIX	44,000
HOWARD AREA COMMUNITY CENTER 7648 N PAULINA STREET CHICAGO, IL 60626		PC	COHORT 5: PROMISE SCHOLARS PROGRAM	59,090
Total ▶ 3a				14,742,219

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
HOWARD AREA COMMUNITY CENTER 7648 N PAULINA STREET CHICAGO, IL 60626		PC	COHORT EIGHT	44,000
INGENUITY INC CHICAGO 440 N WELLS SUITE 505 CHICAGO, IL 60654		PC	GENERAL OPERATING SUPPORT	10,000
JAMESTOWN COMMUNITY CENTER 2929 19TH STREET SAN FRANCISCO, CA 94110		PC	JAMESTOWN SUMMER CAMPS 2018 AND 2019	60,000
Total ▶ 3a				14,742,219

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
JOE GOODE PERFORMANCE GROUP 499 ALABAMA ST 150 SAN FRANCISCO, CA 94110		PC	INSPIRED BODIES YOUTH DANCE THEATER PROGRAM 20192020	30,000
LAKELAND UNIVERSITY W3718 SOUTH DRIVE PLYMOUTH, WI 53073		PC	HURVIS SCHOLARS CLASS OF 2021	30,000
LAKELAND UNIVERSITY W3718 SOUTH DRIVE PLYMOUTH, WI 53073		PC	HURVIS SCHOLARS CLASS OF 2022	30,000
Total ▶ 3a				14,742,219

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
LAKELAND UNIVERSITY W3718 SOUTH DRIVE PLYMOUTH, WI 53073		PC	HURVIS SCHOLARS CLASS OF 2023	30,000
LAKELAND UNIVERSITY W3718 SOUTH DRIVE PLYMOUTH, WI 53073		PC	FUNDING FOR 2 HURVIS SCHOLARSHIPS CLASS OF 2020	26,000
MADISON AUDUBON SOCIETY 1400 E WASHINGTON STE 170 MADISON, WI 53703		PC	MADISON AUDUBON'S EDUCATION PROGRAM: EMPOWERING KIDS THROUGH DISCOVERY!	60,000
Total ▶ 3a				14,742,219

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MAG AMERICA 1776 K STREET NW SUITE 350 WASHINGTON, DC 20006		PC	IMPROVING LIVES AND LIVELIHOODS IN CAMBODIA THROUGH LANDMINE AND CLUSTER MUNITION CLEARANCE	1,019,186
MERIT SCHOOL OF MUSIC 38 S PEORIA STREET CHICAGO, IL 60607		PC	MERIT TUITIONFREE CONSERVATORY SOLO VOICE PROGRAM	130,000
METROSQUASH 6100 S COTTAGE GROVE AVENUE CHICAGO, IL 606372528		PC	METROSQUASH SUMMER 2019 REQUEST	22,000
Total ▶ 3a				14,742,219

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
METROSQUASH 6100 S COTTAGE GROVE AVENUE CHICAGO, IL 606372528		PC	COLLEGE SUPPORT FOR 20192020 SCHOOL YEAR	40,000
MISSION GRADUATES 3040 16TH STREET SAN FRANCISCO, CA 94103		PC	MISSION GRADUATES PROMISE SCHOLARS COHORT 7	44,000
MISSION GRADUATES 3040 16TH STREET SAN FRANCISCO, CA 94103		PC	PROMISE SCHOLARS: COHORT 6	44,000
Total ▶ 3a				14,742,219

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MISSION GRADUATES 3040 16TH STREET SAN FRANCISCO, CA 94103		PC	PARENT PARTNER PROGRAM SUPPORT	60,000
MISSION GRADUATES 3040 16TH STREET SAN FRANCISCO, CA 94103		PC	PROMISE SCHOLARS COHORT 8	44,000
MISSION GRADUATES MISSION HIGH SCHOOL 3750 18TH STREET ROOM 408 SAN FRANCISCO, CA 94114		PC	ADDITIONAL SUPPORT FOR COHORT 2	10,000
Total ▶ 3a				14,742,219

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Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MISSION GRADUATES 3040 16TH STREET SAN FRANCISCO, CA 94103		PC	PROMISE SCHOLARS: COHORT 5	44,000
MISSION GRADUATES 3040 16TH STREET SAN FRANCISCO, CA 94103		PC	MISSION GRADUATES GENERAL OPERATING SUPPORT	75,000
MISSION GRADUATES 3040 16TH STREET SAN FRANCISCO, CA 94103		PC	PROMISE SCHOLARS PROGRAM MANAGEMENT	175,000
Total ▶ 3a				14,742,219

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Name and address (home or business)				
a <i>Paid during the year</i>				
MOUNT MERCY UNIVERSITY 1330 ELMHURST DRIVE NE WARDE 206A CEDAR RAPIDS, IA 52402		PC	HURVIS SCHOLAR #6	12,000
MOUNT MERCY UNIVERSITY 1330 ELMHURST DRIVE NE WARDE 206A CEDAR RAPIDS, IA 52402		PC	HURVIS SCHOLAR #5	12,000
MOUNT MERCY UNIVERSITY 1330 ELMHURST DRIVE NE WARDE 206A CEDAR RAPIDS, IA 52402		PC	HURVIS SCHOLAR #7	12,000
Total ▶ 3a				14,742,219

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
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Name and address (home or business)				
a <i>Paid during the year</i>				
MOUNT MERCY UNIVERSITY 1330 ELMHURST DRIVE NE WARDE 206A CEDAR RAPIDS, IA 52402		PC	HURVIS SCHOLAR #4	10,000
MOUNT MERCY UNIVERSITY 1330 ELMHURST DRIVE NE WARDE 206A CEDAR RAPIDS, IA 52402		PC	PROJECT CONNECT RENEWAL	100,000
MUTTVILLEPO BOX 410207 SAN FRANCISCO, CA 94141		PC	MUTTVILLE'S SENIORS FOR SENIORS PROGRAM & HUMANE EDUCATION PROGRAM	30,000
Total ▶ 3a				14,742,219

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Name and address (home or business)				
a <i>Paid during the year</i>				
NATIONAL AUDUBON SOCIETY 225 VARICK ST 7TH FL NEW YORK, NY 10014		PC	STRENGTHENING NETWORK MOMENTUM FOR CONSERVATION WINS TODAY, IN 2021, & BEYOND	963,072
NATIONAL FISH AND WILDLIFE FOUNDATION 8011 34TH AVE SOUTH SUITE 242 BLOOMINGTON, MN 55425		PC	PIONEERING A WISCONSIN GREAT LAKES FUNDING COLLABORATION	400,000
NATURAL RESOURCES FOUNDATION OF WISCONSIN PO BOX 2317 MADISON, WI 53701		PC	WISCONSIN STATE NATURAL AREA RESTORATION AND CONSERVATION EDUCATION PROJECT	140,000
Total ▶ 3a				14,742,219

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Name and address (home or business)				
a <i>Paid during the year</i>				
NORTHWOODS LAND TRUST INC PO BOX 321 EAGLE RIVER, WI 54521		PC	NORTHWOODS CONSERVATION EASEMENTS PROJECT	25,000
ONE MILLION DEGREES 180 N WABASH SUITE 310 CHICAGO, IL 60601		PC	COMMUNITY COLLEGE PROGRAM ENHANCEMENT	150,000
ONE MILLION DEGREES 180 N WABASH SUITE 310 CHICAGO, IL 60601		PC	ACCELERATING COMMUNITY COLLEGE STUDENTS CAREER PATHWAYS TO ECONOMIC MOBILITY	350,000
Total ► 3a				14,742,219

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
PARTNERS IN HEALTH 800 BOYLSTON STREET SUITE 300 BOSTON, MA 02199		PC	MATERNAL AND CHILD HEALTH AT MIREBALAIS HOSPITAL IN HAITI, FY20	100,000
PARTNERS IN HEALTH 800 BOYLSTON STREET SUITE 300 BOSTON, MA 02199		PC	CLOSING THE GAP ON MATERNAL HEALTH IN SIERRA LEONE: SEWAFE HEALTH CENTER	50,552
PAWS CHICAGO 1997 NORTH CLYBOURN AVENUE CHICAGO, IL 60614		PC	PAWS FOR LIFE COMMUNITY OUTREACH PROGRAM	75,000
Total ▶ 3a				14,742,219

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Name and address (home or business)				
a <i>Paid during the year</i>				
PROJECT EDUCATION PLUS HOLY FAMILY LUTHERAN CHURCH 542 W HOBBIE ST CHICAGO, IL 60610		PC	SCHOLARSHIP PROGRAM '19'20	20,000
ROOM TO READ 465 CALIFORNIA STREET SUITE 1000 SAN FRANCISCO, CA 94104		PC	CREATING OPPORTUNITY THROUGH EDUCATION	400,000
SCHOLARMATCH849 VALENCIA STREET SAN FRANCISCO, CA 94110		PC	PROGRAM SUPPORT AND FINANCE STAFFING FOR SCHOLARMATCH	100,000
Total ▶ 3a				14,742,219

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Name and address (home or business)				
a <i>Paid during the year</i>				
SKYART3026 E 91ST STREET CHICAGO, IL 60617		PC	SKYART TEEN PROGRAM SUPPORT	100,000
SMART1061 MARKET STREET MEZZANIE SAN FRANCISCO, CA 94103		PC	GENERAL OPERATING SUPPORT '19'20	20,000
STORYCATCHERS THEATRE 544 W OAK STREET 1005 CHICAGO, IL 60610		PC	STORYCATCHERS PARTNERSHIP WITH THE CHICAGO POLICE DEPARTMENT	52,000
Total ▶ 3a				14,742,219

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Name and address (home or business)				
a <i>Paid during the year</i>				
THE ANTICRUELTY SOCIETY 157 WEST GRAND AVENUE CHICAGO, IL 60654		PC	CAERUS GRANT APPLICATION OUTREACH PROGRAMS	50,000
THE CHICAGO HIGH SCHOOL FOR THE ARTS 2714 W AUGUSTA BLVD CHICAGO, IL 60622		PC	201920 CHIARTS OUTREACH PROGRAMMING: NOVICE ARTS ACCESS	100,000
THE HALO TRUST USA INC 1730 RHODE ISLAND AVENUE NW SUITE 206 WASHINGTON, DC 20036		PC	1:1 MATCHING FUNDS TO ACHIEVE FULL MINE CLEARANCE IN KOSOVO AND NAGORNO KARABAKH	520,497
Total ▶ 3a				14,742,219

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Name and address (home or business)				
a <i>Paid during the year</i>				
THE HALO TRUST USA INC 1730 RHODE ISLAND AVENUE NW SUITE 206 WASHINGTON, DC 20036		PC	1:1 MATCHING FUNDS TO ACHIEVE FULL MINE CLEARANCE IN KOSOVO AND NAGORNO KARABAKH	36,177
THE HALO TRUST USA INC 1730 RHODE ISLAND AVENUE NW SUITE 206 WASHINGTON, DC 20036		PC	HALO ANGOLA AND TRAINING	50,000
THE HALO TRUST USA INC 1730 RHODE ISLAND AVENUE NW SUITE 206 WASHINGTON, DC 20036		PC	1:1 MATCHING FUNDS TO ACHIEVE FULL MINE CLEARANCE IN KOSOVO AND NAGORNO KARABAKH	1,837,692
Total ▶ 3a				14,742,219

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Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE PEOPLE'S MUSIC SCHOOL 931 W EASTWOOD AVENUE CHICAGO, IL 60640		PC	THE PEOPLE'S MUSIC SCHOOL SOUTH SIDE PROGRAMS '19'21	250,000
THE RESURRECTION PROJECT 1818 S PAULINA CHICAGO, IL 60608		PC	CAPACITY BUILDING SUPPORT FOR TRP: BEYOND PILSEN	1,500,000
TRICITY FAMILY SERVICES 1120 RANDALL COURT GENEVA, IL 60134		PC	WILDERNESS CHALLENGE PROGRAM, CHICK CHAT AND CORE	20,000
Total ▶ 3a				14,742,219

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
TUTORING CHICAGO 2145 NORTH HALSTED CHICAGO, IL 60614		PC	FIVE YEAR EXPANSION INITIATIVE	309,802
TUTORING CHICAGO 2145 NORTH HALSTED CHICAGO, IL 60614		PC	FY20/FY21 GENERAL OPERATING SUPPORT	50,000
UP ON TOP1187 FRANKLIN STREET SAN FRANCISCO, CA 94109		PC	UP ON TOP AFTERSCHOOL & SUMMER PROGRAM ('19/'20)	30,000
Total ▶ 3a				14,742,219

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
YOUTH SERVICES OF GLENVIEWNORTHBROOK 3080 WEST LAKE AVENUE GLENVIEW, IL 60026		PC	AFTERSCHOOL PROGRAMMING 2018	35,000
Total ▶ 3a				14,742,219

TY 2019 Accounting Fees Schedule**Name:** CAERUS FOUNDATION INC**EIN:** 71-0935903

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	101,919	50,960	0	56,732

TY 2019 Investments Corporate Bonds Schedule**Name:** CAERUS FOUNDATION INC**EIN:** 71-0935903**Investments Corporate Bonds Schedule**

Name of Bond	End of Year Book Value	End of Year Fair Market Value
APPLE INC NOTE CALL MAKE WHOLE	177,986	177,986
BANK AMER CORP MTN	177,080	177,080
DISNEY ALT CO MTNS BE	171,124	171,124
INTEL CORP NOTE CALL MAKE WHOLE	179,969	179,969
JPMORGAN CHASE & CO NOTE	185,519	185,519
LOCKHEED MARTIN CORP NOTE CALL MAKE WHOLE	187,751	187,751
MCDONALDS CORP MED TERM NT BE MTN	182,280	182,280
OCCIDENTAL PETE CORP NOTE CALL MAKE WHOLE	172,960	172,960
PEPSICO INC NOTE CALL MAKE WHOLE	173,554	173,554
PFIZER INC NOTE CALL MAKE WHOLE	153,420	153,420
VISA INC NOTE CALL MAKE WHOLE	180,716	180,716
WALMART INC NOTE CALL MAKE WHOLE	176,286	176,286
WELLS FARGO CO NEW NOTE	180,264	180,264

TY 2019 Investments Corporate Stock Schedule

Name: CAERUS FOUNDATION INC
EIN: 71-0935903

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ACCENTURE PLC CLS A USD0.0000225 (ACN)	66,119	66,119
ALFA LAVAL AB NPV ISIN #SE0000695876	39,115	39,115
ALPHABET INC CAP STK CL A (GOOGL)	135,278	135,278
ALPHABET INC CAP STK CL C (GOOG)	136,376	136,376
AMERICAN FINANCIAL GROUP INC OHIO COM	47,478	47,478
AMERIS BANCORP COM (ABCB)	100,565	100,565
AMGEN INC (AMGN)	70,392	70,392
APPLE INC COM USD0.00001 (AAPL)	93,968	93,968
APTARGROUP INC (ATR)	33,183	33,183
ARGO GROUP INTL HLDGS LTD COM	21,763	21,763
ARGO GROUP INTL HLDGS LTD COM USD1.00 (ARGO)	116,969	116,969
ASPEN TECHNOLOGY INC COM (AZPN)	169,060	169,060
ASPEN TECHNOLOGY INC COM (AZPN)	24,186	24,186
ATOS SE EUR1 ISIN #FR0000051732	55,310	55,310
AVERY DENNISON CORP COM USD1 (AVY)	53,767	53,767
AVERY DENNISON CORP COM USD1 (AVY)	40,685	40,685
BANKINTER SA EUR0.30(REGD)	71,687	71,687
BASF SE NPV ISIN #DE000BASF111	76,574	76,574
BAUSCH HEALTH COMPANIES INC COM NPV (BHC)	98,437	98,437
BECTON DICKINSON &CO COM USD1.00 (BDX)	72,888	72,888
BOOKING HLDGS INC COM (BKNG)	188,943	188,943
BRIGHT HORIZONS FAM SOLUTIONS INC COM (BFAM)	33,665	33,665
BRINKS CO (BCO)	45,975	45,975
CARPENTER TECHNOLOGY CORP (CRS)	24,342	24,342
CATALENT INC COM (CTLT)	34,568	34,568
CDW CORP COM USD0.01 (CDW)	8,142	8,142
CDW CORP COM USD0.01 (CDW)	63,421	63,421
CERNER CORP COM USD0.01 (CERN)	60,106	60,106
CHART INDUSTRIES INC COM USD0.01 (GTLS)	29,021	29,021
CHESAPEAKE UTILS CORP (CPK)	42,261	42,261

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
CHEVRON CORP NEW COM (CVX)	36,756	36,756
CME GROUP INC COM (CME)	64,030	64,030
COLUMBIA SPORTSWEAR CO (COLM)	39,174	39,174
COMPASS GROUP PLC ORD GBP0.1105	89,886	89,886
COOPER COS INC COM USD0.10 (COO)	192,453	192,453
CURTISS-WRIGHT CORP (CW)	30,150	30,150
CVB FINL CORP COM (CVBF)	34,334	34,334
DAIICHI SANKYO COMPANY LIMITED NPV	28,542	28,542
DANAHER CORPORATION COM (DHR)	221,165	221,165
DIAGEO ADR EACH REPR 4 ORD GBX28.935185 (DEO)	57,263	57,263
DIAGEO ORD GBP0.28 101/108	84,585	84,585
DIGIMARC CORP NEW COM (DMRC)	10,001	10,001
E TRADE FINL CORP COM NEW (ETFC)	118,416	118,416
EDWARDS LIFESCIENCES CORP (EW)	207,861	207,861
EOG RESOURCES INC (EOG)	126,561	126,561
EXPONENT INC (EXPO)	30,226	30,226
FAIR ISAAC CORP COM (FICO)	29,600	29,600
FIDELITY 500 INDEX FD-AI	1,716,739	1,716,739
FIRST REPUBLIC BANK SAN FRANCISCO COM USD0.01 (FRC)	143,876	143,876
FORTUNE BRANDS HOME & SECURITY INC USD0.01 (FBHS)	187,526	187,526
FRONTDOOR INC COM (FTDR)	132,539	132,539
GARDNER DENVER HLDGS INC COM (GDI)	147,710	147,710
GLANBIA ORD EUR0.06(DUBLIN	51,284	51,284
GLOBAL X FDS GLOBAL X URANIUM (URA)	86,923	86,923
GRIFOLS S A SP ADR REP B NVT (GRFS)	70,251	70,251
GUIDEWIRE SOFTWARE INC COM USD0.0001 (GWRE)	43,579	43,579
HENRY JACK &ASSOCIATES INC COM USD0.01 (JKHY)	174,804	174,804
HISCOX ORD GBP0.065 (DI)	89,795	89,795
IHS MARKIT LTD COM USD0.01 ISIN #BMG475671050 SEDOL #BH0K6S8 (INFO)	254,457	254,457
ILLINOIS TOOL WORKS INC COM USD0.01 (ITW)	62,511	62,511

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ING GROEP N.V. SPONS ADR-EACH REPR 1 ORD	55,601	55,601
INTERTEK GROUP ORD GBP0.01	58,221	58,221
INVESTORS BANCORP INC (ISBC)	33,946	33,946
ISHARES CORE MSCI EAFE ETF	1,079,135	1,079,135
ISHARES-MSCI EAFE INTL-K	2,940,503	2,940,503
JPM BTABLDRS DEV ASIA X-JPN	1,263,164	1,263,164
JPMORGAN BETABUILDERS CANADA	1,040,036	1,040,036
JPMORGAN BETABUILDERS EUROPE - ETF	1,756,139	1,756,139
JPMORGAN BETABUILDERS JAPAN ETF	937,992	937,992
KADANT INC (KAI)	37,185	37,185
KAO CORP NPV ISIN #JP3205800000	84,797	84,797
KERRY GROUP PLC-A ISIN #IE0004906560	93,408	93,408
KEYENCE CORP NPV ISIN #JP3236200006	70,295	70,295
KINDER MORGAN INC COM USD0.01 (KMI)	113,175	113,175
LONZA GROUP AG-REG ISIN #CH0013841017	86,886	86,886
LOWES COMPANIES INC COM USD0.50 (LOW)	86,826	86,826
MACQUARIE INFRASTRUCTURE CORP COM (MIC)	110,527	110,527
MARKETAXESS HLDGS INC COM (MKTX)	160,364	160,364
MARSH &MCLENNAN COMPANIES INC COM USD1.00 (MMC)	49,132	49,132
MARVELL TECH GROUP COM USD0.002	121,060	121,060
MASCO CORP COM USD1.00 (MAS)	169,069	169,069
MICROCHIP TECHNOLOGY COM USD0.001 (MCHP)	70,895	70,895
MICROSOFT CORP (MSFT)	108,340	108,340
MIDDLEBY CORP COM USD0.01 (MIDD)	188,922	188,922
MONOLITHIC PWR SYS INC COM (MPWR)	25,457	25,457
MORGAN STANLEY COM USD0.01 (MS)	73,511	73,511
MS&AD INS GP HLDGS NPV	66,933	66,933
MURATA MANUFACTURING CO NPV	57,100	57,100
NEOGEN CORP COM (NEOG)	41,897	41,897
NEOGENOMICS INC COM NEW (NEO)	27,992	27,992

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
NIPPON TEL & TEL CORP NPV	19,367	19,367
NORDSON CORP COM (NDSN)	190,360	190,360
NOVARTIS A G SPONSORED ADR (NVS)	97,247	97,247
OMNICELL INC COM USD0.001 (OMCL)	19,041	19,041
OPEN TEXT CORP COM ISIN #CA6837151068	68,337	68,337
ORIX CORPORATION NPV ISIN	54,537	54,537
PAYPAL HLDGS INC COM (PYPL)	179,887	179,887
POOL CORP COM (POOL)	52,245	52,245
PRESTIGE CONSMR HEALTHCARE INC COM (PBH)	120,933	120,933
PROGRESSIVE CORP OHIO COM USD 1.00 (PGR)	132,257	132,257
PROOFPOINT INC COM USD0.0001 (PFPT)	34,778	34,778
PTC INC COM (PTC)	193,441	193,441
RAYTHEON CO COM NEW (RTN)	79,546	79,546
RBC BEARINGS INC (ROLL)	32,935	32,935
RECRUIT HLDGS CO LTD ISIN #JP3970300004	56,683	56,683
RENTOKIL INITIAL ORD GBP0.01	111,381	111,381
REPLIGEN CORP (RGEN)	30,433	30,433
RIO TINTO ADR EACH REP 1 ORD (RIO)	56,511	56,511
RITCHIE BROS AUCTIONEERS COM	63,523	63,523
ROYAL CARIBBEAN CRUISES COM USD0.01	150,065	150,065
ROYAL DUTCH SHELL ADR EA REP 2 CL A EUR0.07 (RDSA)	95,094	95,094
SAFRAN EUR0.20 ISIN #FR00000073272	49,907	49,907
SAMPO OYJ SER A NPV ISIN #FI0009003305	84,216	84,216
SCHNEIDER ELECTRIC EUR4.00	57,003	57,003
SEACOAST BKG CORP FLA COM NEW (SBCF)	38,029	38,029
SERVICEMASTER GLOBAL HLDGS INC COM (SERV)	116,908	116,908
SHIONOGI & CO NPV ISIN #JP3347200002	55,006	55,006
SIX CIRCLES U.S. UNCONSTRAINED	2,451,437	2,451,437
SIX CIRCLES U.S. UNCONSTRAINED	1,098,743	1,098,743
SIX FLAGS ENTMT CORP NEW COM (SIX)	98,340	98,340

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SKYLINE CHAMPION CORPORATION COM (SKY)	135,549	135,549
SOFTBANK GROUP CORP NPV	29,533	29,533
SOLVAY SA NPV ISIN #BE0003470755	53,803	53,803
SONY CORP NPV ISIN #JP3435000009	53,345	53,345
SPDR S&P 500 ETF TRUST	8,285,642	8,285,642
SS&C TECHNOLOGIES HLDGS INC COM (SSNC)	125,870	125,870
STARBUCKS CORP COM USD0.001 (SBUX)	71,655	71,655
STERIS PLC ORD USD0.001 (STE)	235,794	235,794
STMICROELECTRONICS NY SHS-EACH REPR 1	59,509	59,509
SVB FINL GROUP (SIVB)	159,912	159,912
TABULA RASA HEALTHCARE INC COM (TRHC)	132,896	132,896
TABULA RASA HEALTHCARE INC COM (TRHC)	21,565	21,565
TAKUMA CO LTD NPV ISIN #JP3462600002	70,340	70,340
TELEDYNE TECH INC (TDY)	57,526	57,526
TIFFANY &CO NEW COM (TIF)	104,648	104,648
TJX COS INC NEW COM (TJX)	186,599	186,599
TOYOTA MOTOR CORP SP ADR REP2COM	80,080	80,080
TRICO BANCSHARES (TCBK)	38,239	38,239
TV ASAHI HOLDINGS CORP NPV	89,089	89,089
UNION PACIFIC CORP COM (UNP)	74,124	74,124
UNITED TECHNOLOGIES CORP COM USD1 (UTX)	126,397	126,397
UNITEDHEALTH GROUP (UNH)	83,490	83,490
US BANCORP DEL COM NEW (USB)	53,480	53,480
VAIL RESORTS INC COM (MTN)	49,645	49,645
VANGUARD MID-CAP ETF	846,355	846,355
VERRA MOBILITY CORP COM (VRRM)	139,242	139,242
VINCI EUR2.50 ISIN #FR0000125486	53,119	53,119
VIPER ENERGY PARTNERS LP COM UNT RP INT (VNOM)	77,852	77,852
VISA INC COM CL A (V)	279,783	279,783
VISTEON CORP COM NEW (VC)	30,133	30,133

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
WEST PHARMACEUTICAL SERVICES (WST)	55,472	55,472
WPX ENERGY INC COM USD1 (WPX)	38,869	38,869

TY 2019 Investments Government Obligations Schedule**Name:** CAERUS FOUNDATION INC**EIN:** 71-0935903**US Government Securities - End
of Year Book Value:**

1,020,011

**US Government Securities - End
of Year Fair Market Value:**

1,020,011

**State & Local Government
Securities - End of Year Book
Value:**

0

**State & Local Government
Securities - End of Year Fair
Market Value:**

0

TY 2019 Investments - Other Schedule

Name: CAERUS FOUNDATION INC
EIN: 71-0935903

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
AMERICAN TOWER CORP COM	FMV	99,742	99,742
ASCENDANCE CAPITAL PARTNERS II, LLC	FMV	1,348,691	1,348,691
BIOTECHNOLOGY VALUE FUND II	FMV	8,908,445	8,908,445
BLCKRCK HI YLD BND PORT-K	FMV	1,567,685	1,567,685
CONTROLLED ENVIRONMENT FOODS	FMV	959,565	959,565
DOUBLELINE TTL RTRN BND-I	FMV	698,753	698,753
DRIEHAUS MICRO CAP GROWTH	FMV	694,331	694,331
EDGEWOOD GROWTH INSTL #2131	FMV	4,076,583	4,076,583
FIDELITY REAL ESTATE INDEX FUND	FMV	287,731	287,731
FIDELITY REAL ESTATE INDEX FUND	FMV	25,620	25,620
FUNDING UNIVERSITY, INC	FMV	500,000	500,000
IMPACT ENGINE VENTURES II, LP	FMV	51,633	51,633
INTEREST RECEIVABLE TO FUNDING UNIVERSITY, INC	FMV	9,529	9,529
INVESCO BALANCED RISK COMM STRATEGY Y	FMV	491,662	491,662
ISHARES 20+ YEAR TREASURY BO	FMV	324,881	324,881
ISHARES 7-10 YEAR TREASURY B	FMV	336,281	336,281
ISHARES CORE S&P 500 ETF	FMV	1,480,439	1,480,439
ISHARES MSCI EMERGING MARKETS	FMV	2,429,710	2,429,710
ISHARES RUSSELL MID-CAP ETF	FMV	5,786,359	5,786,359
ISHARES S&P 500 GROWTH ETF	FMV	2,940,423	2,940,423
JOHCM INTERNATIONAL SELECT FUND CLASS I #180	FMV	3,645,110	3,645,110
LHP ATLAS THREE BRIDGES EUROPE LTD	FMV	820,421	820,421
LINK REAL ESTATE INVESTMENT TRUST	FMV	89,609	89,609
LOAN RECEIVABLE TO FUNDING UNIVERSITY, INC	FMV	500,000	500,000
LOGAN STONE CAPITAL QP LP	FMV	1,501,842	1,501,842
LORD ABBETT INFL FOCUSED BOND FUND I	FMV	444,670	444,670
LORD ABBETT INFL FOCUSED BOND FUND I	FMV	149,647	149,647
METHOD ONE, LLC	FMV	1,377,941	1,377,941
NORTHERN EMERGING MARKETS EQUITY	FMV	1,594,109	1,594,109
PASSPORT SPECIAL OPPORTUNTIES FUND (LTD) - SIDEPOCKET	FMV	346,655	346,655

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
PATAMAR CAPITAL	FMV	738,784	738,784
PATAMAR FUND II LP	FMV	240,249	240,249
PRINCIPAL PREFERRED SECURITIES FUND CLASS R6 #8200	FMV	1,990,968	1,990,968
REDWOOD TRUST INC	FMV	140,405	140,405
RMB FIXED INCOME OPPORTUNITIES QP LLC	FMV	1,760,658	1,760,658
RMB JAPAN OPPORTUNITIES FUND LP	FMV	1,573,714	1,573,714
RMB MENDON FIN SERVICES CL	FMV	857,133	857,133
SIX CIRCLES ULTRA SHORT DURATION	FMV	333,013	333,013
SPF SECURITIZED PRODUCTS FUND LTD	FMV	1,058,618	1,058,618
TORTOISE MLP & PIPELINE FD INSTL	FMV	392,753	392,753
TORTOISE MLP & PIPELINE FD INSTL	FMV	96,129	96,129
TWIN LAKE TOTAL RETURN PARTNERS QP LP	FMV	832,016	832,016
VANGUARD SMALL CAP GROWTH VIPER	FMV	1,070,000	1,070,000
VANGUARD TOTAL BOND MARKET INDEX	FMV	3,313,811	3,313,811
VANGUARD TOTAL BOND MARKET INDEX-ADMIRAL CLASS #584	FMV	5,345,960	5,345,960
VANGUARD TOTAL INTL BND-ADM	FMV	3,499,357	3,499,357
VIRTUS KAR SMALL-CAP CORE FUND	FMV	884,006	884,006
Z CAPITAL LOAN OPPORTUNITY FUND, LP	FMV	4,483,590	4,483,590
Z CAPITAL PARTNERS III, LP	FMV	1,534,527	1,534,527

TY 2019 Legal Fees Schedule**Name:** CAERUS FOUNDATION INC**EIN:** 71-0935903

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	17,765	17,765	0	0

TY 2019 Other Expenses Schedule**Name:** CAERUS FOUNDATION INC**EIN:** 71-0935903**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
DEDUCTIONS FROM PASS-THROUGH INVESTMENTS	809,646	841,596	0	0
GRANT SOFTWARE	15,314	0	0	15,314
INSURANCE EXPENSE	7,995	0	0	7,995
TELEPHONE	4,943	273	0	4,669
SUPPLIES	1,600	5	0	1,595
PROFESSIONAL DEVELOPMENT	1,094	0	0	1,094
DUES & SUBSCRIPTIONS	545	455	0	90
POSTAGE	16	0	0	16
MISCELLANEOUS DEDUCTIONS	10,641	0	0	10,641

TY 2019 Other Income Schedule

Name: CAERUS FOUNDATION INC

EIN: 71-0935903

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
INCOME FROM PASS-THROUGH INVESTMENTS	628,063	669,915	0

TY 2019 Other Increases Schedule

Name: CAERUS FOUNDATION INC
EIN: 71-0935903

Description	Amount
UNREALIZED GAINS	11,093,315

TY 2019 Other Liabilities Schedule**Name:** CAERUS FOUNDATION INC**EIN:** 71-0935903

Description	Beginning of Year - Book Value	End of Year - Book Value
OTHER LIABILITIES	96	276,564
ACCRUED GRANT COMMITMENTS	0	13,047,980

TY 2019 Other Professional Fees Schedule**Name:** CAERUS FOUNDATION INC**EIN:** 71-0935903

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BROKERAGE FEES	485,294	485,294	0	0
OLD WORLD INDUSTRIES	765,375	210,896	0	372,321

TY 2019 Taxes Schedule**Name:** CAERUS FOUNDATION INC**EIN:** 71-0935903

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAX	284,000	0	0	0
FEDERAL INCOME TAX	9,000	0	0	0
STATE INCOME TAX	66,001	0	0	0
FOREIGN TAXES	62,635	65,089	0	0