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EXTENDED TO NOVEMBER 16, 2020

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public

▶ Go to www.irs.gov/Form990PF for instructions and the latest information

OMB No 1545-0047

2019

Open to Public Inspection

1912

For calendar year 2019 or tax year beginning , and ending

Name of foundation: THE WINTHROP ROCKEFELLER FOUNDATION

A Employer identification number: 71-0285871

Number and street (or P O box number if mail is not delivered to street address): 225 EAST MARKHAM STREET — Room/suite: 200

B Telephone number: (501)376-6854

City or town, state or province, country, and ZIP or foreign postal code: LITTLE ROCK, AR 72201

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶$ 146,819,862.

J Accounting method ☐ Cash [X] Accrual ☐ Other (specify) (Part I, column (d), must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue 1	Contributions, gifts, grants, etc , received	0.			
2	Check ▶ [X] if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments	552,264.	598,689.		STATEMENT 2
4	Dividends and interest from securities	1,106,132.	1,581,395.		STATEMENT 3
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	3,192,692.			STATEMENT 1
b	Gross sales price for all assets on line 6a 19,303,822.				
7	Capital gain net income (from Part IV, line 2)		4,246,695.		
8	Net short-term capital gain			N/A	
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss)				
11	Other income	109,609.	303,337.	0.	STATEMENT 4
12	Total Add lines 1 through 11	4,960,697.	6,123,442.	0.	
Operating and Administrative Expenses 13	Compensation of officers, directors, trustees, etc	834,683.	119,472.	0.	715,211.
14	Other employee salaries and wages	529,219.	0.	0.	529,219.
15	Pension plans, employee benefits	461,560.	22,468.	0.	439,092.
16a	Legal fees STMT 5	19,323.	2,920.	0.	16,403.
b	Accounting fees STMT 6	62,717.	33,120.	0.	29,597.
c	Other professional fees STMT 7	730,746.	558,996.	0.	192,436.
17	Interest	2.	2.	0.	0.
18	Taxes STMT 8	111,764.	99,376.	0.	0.
19	Depreciation and depletion	46,640.	0.	0.	
20	Occupancy	133,828.	0.	0.	133,828.
21	Travel, conferences, and meetings	76,322.	3,033.	0.	73,289.
22	Printing and publications	37,886.	0.	0.	37,886.
23	Other expenses STMT 9	844,920.	0.	0.	1,084,976.
24	Total operating and administrative expenses Add lines 13 through 23	3,889,610.	839,387.	0.	3,251,937.
25	Contributions, gifts, grants paid	3,631,684.			2,137,027.
26	Total expenses and disbursements Add lines 24 and 25	7,521,294.	839,387.	0.	5,388,964.
27	Subtract line 26 from line 12				
a	Excess of revenue over expenses and disbursements	-2,560,597.			
b	Net investment income (if negative, enter -0-)		5,284,055.		
c	Adjusted net income (if negative, enter -0-)			0.	

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Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets				
1 Cash - non-interest-bearing				
2 Savings and temporary cash investments		6,827,295.	5,951,389.	5,951,389.
3 Accounts receivable ▶	17,693.			
Less: allowance for doubtful accounts ▶		73,913.	17,693.	17,693.
4 Pledges receivable ▶				
Less: allowance for doubtful accounts ▶				
5 Grants receivable				
6 Receivables due from officers, directors, trustees, and other disqualified persons				
7 Other notes and loans receivable ▶	13,536.			
Less: allowance for doubtful accounts ▶	0.	75,289.	13,536.	13,536.
8 Inventories for sale or use				
9 Prepaid expenses and deferred charges				
10a Investments - U S. and state government obligations				
b Investments - corporate stock	STMT 11	98,470,499.	115,688,015.	115,688,015.
c Investments - corporate bonds	STMT 12	17,343,057.	17,409,741.	17,409,741.
11 Investments - land, buildings, and equipment basis ▶	639,687.			
Less accumulated depreciation ▶	338,525.	338,095.	301,162.	301,162.
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment basis ▶				
Less accumulated depreciation ▶				
15 Other assets (describe ▶)		7,655,498.	7,438,326.	7,438,326.
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		130,783,646.	146,819,862.	146,819,862.
Liabilities				
17 Accounts payable and accrued expenses		703,945.	468,418.	
18 Grants payable		1,142,837.	2,637,494.	
19 Deferred revenue				
20 Loans from officers, directors, trustees, and other disqualified persons				
21 Mortgages and other notes payable				
22 Other liabilities (describe ▶)				
23 Total liabilities (add lines 17 through 22)		1,846,782.	3,105,912.	
Net Assets or Fund Balances				
Foundations that follow FASB ASC 958, check here ▶ [X] and complete lines 24, 25, 29, and 30.				
24 Net assets without donor restrictions		128,936,864.	143,713,950.	
25 Net assets with donor restrictions				
Foundations that do not follow FASB ASC 958, check here ▶ [ ] and complete lines 26 through 30				
26 Capital stock, trust principal, or current funds				
27 Paid-in or capital surplus, or land, bldg., and equipment fund				
28 Retained earnings, accumulated income, endowment, or other funds				
29 Total net assets or fund balances		128,936,864.	143,713,950.	
30 Total liabilities and net assets/fund balances		130,783,646.	146,819,862.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	128,936,864.
2	Enter amount from Part I, line 27a	2	-2,560,597.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 10	3	17,337,683.
4	Add lines 1, 2, and 3	4	143,713,950.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	143,713,950.

Part IV Capital Gains and Losses for Tax on Investment Income SEE ATTACHED STATEMENT

	(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo , day, yr.)
1a				
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a				
b				
c				
d				
e	19,303,822.		15,057,127.	4,246,695.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

	(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col (j), if any	(l) Gains (Col. (h) gain minus col (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				4,246,695.

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7	2	4,246,695.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8		3	3,561,900.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e) Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col (c))
2018	4,942,505.	130,225,730.	.037953
2017	8,199,035.	126,532,909.	.064798
2016	7,852,817.	123,286,602.	.063696
2015	8,451,846.	134,529,115.	.062825
2014	6,509,196.	140,313,722.	.046390

2 **Total** of line 1, column (d)	2	.275662
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	.055132
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	129,639,342.
5 Multiply line 4 by line 3	5	7,147,276.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	52,841.
7 Add lines 5 and 6	7	7,200,117.
8 Enter qualifying distributions from Part XII, line 4	8	5,388,964.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo , day, yr.)
1a	PUBLICLY TRADED SECURITIES	P		
b	76,408 SHARES, SOUTHERN BANCORP SERIES B	P	05/01/88	12/13/19
c	K-1 - GQG PARTNERS	P		
d	K-1 - OWL VENTURES II, LP	P		
e	K-1 - OWNERSHIP CAPTIAL	P		
f	K-1 - DIAMOND STATE VENTURES III	P		
g	K-1 - DIAMOND STATE VENTURES II, LP	P		
h	K-1 - FUND FOR ARKANSAS' FUTURE	P		
i	K-1 - NEWTYN TE PARTNERS	P		
j	K-1 - CIM ENTERPRISE LOAN FUND	P		
k	CAPITAL GAINS DIVIDENDS			
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	17,889,170.		14,891,189.	2,997,981.
b	751,091.		147,344.	603,747.
c	281,473.			281,473.
d	39,780.			39,780.
e	49,596.			49,596.
f	153,064.			153,064.
g	4,303.			4,303.
h			9,483.	-9,483.
i	54,297.			54,297.
j			9,111.	-9,111.
k	81,048.			81,048.
l				
m				
n				
o				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				** 2,997,981.
b				603,747.
c				** 281,473.
d				** 39,780.
e				** 49,596.
f				** 153,064.
g				** 4,303.
h				** -9,483.
i				** 54,297.
j				** -9,111.
k				81,048.
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter "-0-" in Part I, line 7 }	2	4,246,695.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	3,561,900.

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** (SHORT-TERM)

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter ______ (attach copy of letter if necessary-see instructions)				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	105,681.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b)				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)			2	0.
3 Add lines 1 and 2			3	105,681.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)			4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	105,681.
6 Credits/Payments				
a 2019 estimated tax payments and 2018 overpayment credited to 2019	6a	115,945.		
b Exempt foreign organizations - tax withheld at source	6b	0.		
c Tax paid with application for extension of time to file (Form 8868)	6c	0.		
d Backup withholding erroneously withheld	6d	0.		
7 Total credits and payments. Add lines 6a through 6d			7	115,945.
8 Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	0.
9 **Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	10,264.
11 Enter the amount of line 10 to be Credited to 2020 estimated tax ▶		10,264. Refunded ▶	11	0.

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition	1b		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by *General Instruction T*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions. ▶ AR			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G*? If "No," attach explanation	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ WWW.WRFOUNDATION.ORG/WWW.GUIDESTAR.ORG

14 The books are in care of ▶ ANDREA M. DOBSON Telephone no. ▶ (501) 376-6854

Located at ▶ 225 EAST MARKHAM STREET, STE 200, LITTLE ROCK, AR ZIP+4 ▶ 72201

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year, did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		X
	Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? ☐ Yes ☒ No If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☒ Yes ☐ No			
b	If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019.)	3b		X
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b		X

Form **990-PF** (2019)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a During the year, did the foundation pay or incur any amount to:				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	[ ] Yes [X] No			
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	[ ] Yes [X] No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	[ ] Yes [X] No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	[X] Yes [ ] No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	[ ] Yes [X] No			
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance? See instructions		5b	X	
Organizations relying on a current notice regarding disaster assistance, check here ▶ [ ]				
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? SEE STATEMENT 15	[X] Yes [ ] No			
If "Yes," attach the statement required by Regulations section 53.4945-5(d)				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	[ ] Yes [X] No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		X
If "Yes" to 6b, file Form 8870				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	[ ] Yes [X] No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b		
8 Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment(s) during the year?	[ ] Yes [X] No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 14		834,683.	142,590.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CORY ANDERSON - 225 EAST MARKHAM STREET, STE 200, LITTLE ROCK, AR	CHIEF INNOVATION OFFICER 50.00	216,382.	36,243.	0.
ANDREW FORD - 225 EAST MARKHAM STREET, STE 200, LITTLE ROCK, AR	EQUITY OFFICER 40.00	106,261.	14,643.	0.
LISA DIXON - 225 EAST MARKHAM STREET, STE 200, LITTLE ROCK, AR	ORGANIZATIONAL EFFECTIVENESS ASSOC. 40.00	80,843.	20,784.	0.
MICHAEL CASTENS - 225 EAST MARKHAM STREET, STE 200, LITTLE ROCK, AR	GRANT AND OPERATIONS OFFICER 40.00	84,068.	16,785.	0.
SARAH MCBROOM - 225 EAST MARKHAM STREET, STE 200, LITTLE ROCK, AR	EQUITY OFFICER 40.00	78,292.	19,195.	0.

Total number of other employees paid over $50,000 ▶ 1

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
CAMBRIDGE ASSOCIATES 125 HIGH STREET, BOSTON , MA 02110-2112	INVESTMENT ADVISORY SERVICES	255,101.
THE NORTHERN TRUST COMPANY 5 SOUTH LASALLE STREET, CHICAGO, IL 60603	INVESTMENT CUSTODY & MANAGEMENT SERVICES	124,115.
RELATIONSHIPS MATTER NOW 1732 ASHLAND AVE UNIT 1, EVANSTON, IL 60201	COMMUNICATIONS	76,118.
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 PHILANTHROPIC COLLABORATION - SEE STATEMENT 19	273,268.
2 ABCS OF EQUITY - SEE STATEMENT 19	251,008.
3 STRENGTHENING ARKANSAS NONPROFITS - SEE STATEMENT 19	244,116.
4 FORWARD ARKANSAS - SEE STATEMENT 19	147,844.

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes.		
a	Average monthly fair market value of securities	1a	126,685,931.
b	Average of monthly cash balances	1b	4,927,614.
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	131,613,545.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	131,613,545.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	1,974,203.
5	**Net value of noncharitable-use assets** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	129,639,342.
6	**Minimum investment return** Enter 5% of line 5	6	6,481,967.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	6,481,967.
2a	Tax on investment income for 2019 from Part VI, line 5 — 2a 105,681.		
b	Income tax for 2019. (This does not include the tax from Part VI) — 2b		
c	Add lines 2a and 2b	2c	105,681.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	6,376,286.
4	Recoveries of amounts treated as qualifying distributions	4	239,564.
5	Add lines 3 and 4	5	6,615,850.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount as adjusted** Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	6,615,850.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	5,388,964.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	5,388,964.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	5,388,964.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				6,615,850.
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only			0.	
b Total for prior years: ____ , ____ , ____		0.		
3 Excess distributions carryover, if any, to 2019:				
a From 2014				
b From 2015 135,429.				
c From 2016 1,698,611.				
d From 2017 1,952,914.				
e From 2018				
f Total of lines 3a through e	3,786,954.			
4 Qualifying distributions for 2019 from Part XII, line 4 ▶ $ 5,388,964.				
a Applied to 2018, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2019 distributable amount				5,388,964.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a).)	1,226,886.			1,226,886.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	2,560,068.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2018 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2019 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2020				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	44,500.			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2020 Subtract lines 7 and 8 from line 6a	2,515,568.			
10 Analysis of line 9:				
a Excess from 2015				
b Excess from 2016 562,654.				
c Excess from 2017 1,952,914.				
d Excess from 2018				
e Excess from 2019				

Part XIV | Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4, for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon. a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6, for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV | Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc , to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed

ONLINE AT WWW.WRFOUNDATION.ORG, (501) 376-6854
225 EAST MARKHAM STREET, SUITE 200, LITTLE ROCK, AR 72201

b The form in which applications should be submitted and information and materials they should include:

SEE STATEMENT 20

c Any submission deadlines.

SEE STATEMENT 20

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

SEE STATEMENT 20

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE STATEMENT 21 VARIOUS LITTLE ROCK, AR 72201			SEE STATEMENT 21	2,137,027.
Total			▶ 3a	2,137,027.
b Approved for future payment				
SEE STATEMENT 21 VARIOUS LITTLE ROCK, AR 72201			SEE STATEMENT 21	2,637,494.
Total			▶ 3b	2,637,494.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	552,264.	
4 Dividends and interest from securities			14	1,106,132.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	109,609.	
8 Gain or (loss) from sales of assets other than inventory			18	3,192,692.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		4,960,697.	0.

13 Total. Add line 12, columns (b), (d), and (e) 13 4,960,697.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Andrea M Dobson 11-11-2020 COFO

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below? See instr ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
SHERRY CHESSER, CPA	Sherry Chesser	11-12-20		P00174819
Firm's name ▶ LANDMARK PLC, CPAS			Firm's EIN ▶ 71-0355269	
Firm's address ▶ 201 EAST MARKHAM, SUITE 500 LITTLE ROCK, AR 72201			Phone no. (501) 375-2025	

Form 990-PF (2019)

923622 12-17-19

FORM 990-PF GAIN OR (LOSS) FROM SALE OF ASSETS STATEMENT 1

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
PUBLICLY TRADED SECURITIES			

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
17,889,170.	15,386,052.	0.	0.	2,503,118.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
76,408 SHARES, SOUTHERN BANCORP SERIES B		05/01/88	12/13/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
751,091.	147,344.	0.	0.	603,747.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
K-1 - GQG PARTNERS	PURCHASED		

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
281,473.	281,473.	0.	0.	0.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
K-1 - OWL VENTURES II, LP	PURCHASED		

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
39,780.	35,001.	0.	0.	4,779.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
K-1 - OWNERSHIP CAPTIAL	PURCHASED		

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
49,596.	49,596.	0.	0.	0.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
K-1 - DIAMOND STATE VENTURES III	PURCHASED		

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
153,064.	153,064.	0.	0.	0.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
K-1 - DIAMOND STATE VENTURES II, LP	PURCHASED		

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
4,303.	4,303.	0.	0.	0.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
K-1 - FUND FOR ARKANSAS' FUTURE	PURCHASED		

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	0.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
K-1 - NEWTYN TE PARTNERS	PURCHASED		

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
54,297.	54,297.	0.	0.	0.

(A) DESCRIPTION OF PROPERTY		MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
K-1 - CIM ENTERPRISE LOAN FUND		PURCHASED		

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	0.

CAPITAL GAINS DIVIDENDS FROM PART IV	81,048.
TOTAL TO FORM 990-PF, PART I, LINE 6A	3,192,692.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 2

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
AQR STYLE PREMIA	0.	6,041.	0.
BAIN CAPITAL DOUBLE IMPACT	986.	522.	0.
BLACKROCK GLOBAL TACTICAL	129.	2,374.	0.
BLACKROCK ISHARES MSCI EM ESG OPZ	979.	519.	0.
BRECKINRIDGE CAPITAL ADVISORS	157,137.	115,102.	0.
BROWN SUSTAINABLE GROWTH FUND	137.	10.	0.
CCM	173,133.	1,040.	0.
CHECKING ACCOUNT	1,853.	1,853.	0.
COMPLUS ASIA	907.	480.	0.
GQG INTERNATIONAL	232.	6,793.	0.
ILLUMEN CAPITAL	439.	232.	0.
NORTHERN TRUST CASH	0.	14,334.	0.
NORTHERN TRUST STIF	27,066.	27,066.	0.
NT BARCLAYS AGG	152,542.	130,336.	0.
NTAM RUSSELL 1000 VALUE	47.	2,853.	0.
NTGI GROWTH RUSSELL 1000	14,898.	2,310.	0.
OAKVIEW	21,599.	11,439.	0.
OWL VENTURES II	180.	1,020.	0.
PASSTHROUGH INTEREST INCOME	0.	274,365.	0.
TOTAL TO PART I, LINE 3	552,264.	598,689.	0.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 3

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
AQR STYLE PREMIA	51,001.	0.	51,001.	19,459.	0.
ARIEL INTERNATIONAL	285,470.	0.	285,470.	324,926.	0.
BLACKROCK GLOBAL TACTICAL	17,917.	21,333.	-3,416.	-6,179.	0.
BLACKROCK ISHARES MSCI EM ESG OPZ	278,325.	0.	278,325.	303,347.	0.
BROWN SUSTAINABLE GROWTH FUND	1,235.	50,268.	-49,033.	-50,259.	0.
CBRE	79.	0.	79.	79.	0.
ELEVAR EQUITY IV	1,790.	0.	1,790.	0.	0.
NORTHERN TRUST CASH	0.	9,447.	-9,447.	3,481.	0.
NORTHERN TRUST STIF	197.	0.	197.	197.	0.
NTAM R1000 VALUE FUND	291,605.	0.	291,605.	260,965.	0.
NTGI RUSSELL 1000 GROWTH	128,342.	0.	128,342.	125,084.	0.
OAKVIEW VALUE	131,219.	0.	131,219.	114,115.	0.
TO PART I, LINE 4	1,187,180.	81,048.	1,106,132.	1,095,215.	0.

FORM 990-PF OTHER INCOME STATEMENT 4

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
BLACKROCK	0.	517.	0.
CCM	0.	1,834.	0.
NT BOND INDEX	0.	-3,805.	0.
RUSSELL 1000 GROWTH	0.	2,690.	0.
RUSSELL 1000 VALUE	0.	2,957.	0.
CBRE CLARION GLOBAL	0.	-18.	0.
OTHER INCOME FROM PASSTHROUGH	0.	-417,121.	0.
MISCELLANEOUS INCOME	2,651.	2,651.	0.
PROGRAM-RELATED INVESTMENTS INCOME	106,958.	106,958.	0.
TOTAL TO FORM 990-PF, PART I, LINE 11	109,609.	-303,337.	0.

FORM 990-PF	LEGAL FEES			STATEMENT 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL SERVICES	19,323.	2,920.	0.	16,403.
TO FM 990-PF, PG 1, LN 16A	19,323.	2,920.	0.	16,403.

FORM 990-PF	ACCOUNTING FEES			STATEMENT 6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	7,767.	0.	0.	7,767.
AUDIT	41,400.	33,120.	0.	8,280.
TAX PREPERATION	13,550.	0.	0.	13,550.
TO FORM 990-PF, PG 1, LN 16B	62,717.	33,120.	0.	29,597.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT 7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CUSTODIAL BANK FEES	80,542.	106,705.	0.	0.
INVESTMENT OVERSIGHT	255,101.	255,101.	0.	0.
NT INVESTMENT MANAGEMENT FEES	17,186.	17,630.	0.	0.
BRECKINRIDGE INVESTMENT MANAGEMENT FEES	5,512.	5,425.	0.	0.
OAKVIEW MANAGEMENT FEES	35,503.	29,669.	0.	0.
CBRE MANAGEMENT FEES	18.	18.	0.	0.
COMPLUS ASIA FEES	27.	27.	0.	0.
INVESTMENT EXPENSES ALLOCATION	144,421.	144,421.	0.	0.
OTHER CONSULTING FEES	192,436.	0.	0.	192,436.
TO FORM 990-PF, PG 1, LN 16C	730,746.	558,996.	0.	192,436.

FORM 990-PF TAXES STATEMENT 8

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	0.	99,376.	0.	0.
EXCISE TAXES	111,764.	0.	0.	0.
TO FORM 990-PF, PG 1, LN 18	111,764.	99,376.	0.	0.

FORM 990-PF OTHER EXPENSES STATEMENT 9

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK CHARGES	141.	0.	0.	141.
INSURANCE	15,531.	0.	0.	15,531.
POSTAGE	402.	0.	0.	402.
DUES & SUBSCRIPTIONS	59,470.	0.	0.	59,470.
OFFICE EXPENSES	161,520.	0.	0.	161,520.
PROGRAM EXPENSES	330,829.	0.	0.	330,829.
ACCRUAL TO CASH ADJUSTMENT	0.	0.	0.	244,240.
MEETINGS AND CONVENTIONS	188,068.	0.	0.	188,068.
PROFESSIONAL DEVELOPMENT	52,580.	0.	0.	52,580.
TRUSTEE OPERATIONS EXPENSE	32,195.	0.	0.	32,195.
TENANT IMPROVEMENT ALLOWANCE	2,283.	0.	0.	0.
BOARD COMITTEE SUPPORT	1,901.	0.	0.	0.
TO FORM 990-PF, PG 1, LN 23	844,920.	0.	0.	1,084,976.

FORM 990-PF OTHER INCREASES IN NET ASSETS OR FUND BALANCES STATEMENT 10

DESCRIPTION	AMOUNT
UNREALIZED GAINS/(LOSSES) ON INVESTMENTS	17,337,683.
TOTAL TO FORM 990-PF, PART III, LINE 3	17,337,683.

FORM 990-PF CORPORATE STOCK STATEMENT 11

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
NTGI RUSSELL 1000 GROWTH EQUITY	11,927,588.	11,927,588.
NTAM R1000 VALUE FUND EQUITY	11,961,431.	11,961,431.
OAKVIEW	4,984,520.	4,984,520.
BROWN SUSTAINABLE GROWTH EQUITY	6,837,518.	6,837,518.
KABOUTER INT'L OPP OFFSHORE FUND EQUITY	4,455,165.	4,455,165.
ARIEL INT'L FUND EQUITY	13,938,186.	13,938,186.
GQG INT'L FUND EQUITY	14,239,522.	14,239,522.
BLACKROCK ISHARES FUND EQUITY	10,758,149.	10,758,149.
OWNERSHIP CAPITAL FUND EQUITY	5,501,200.	5,501,200.
AQR STYLE PREMIA EQUITY	1,604,827.	1,604,827.
FORT GLOBAL OFFSHORE FUND EQUITY	2,261,549.	2,261,549.
CIM ENTERPRISE LOAN FUND	3,437,791.	3,437,791.
LONG POND OFFSHORE FUND EQUITY	3,600,342.	3,600,342.
COATUE	1,822,343.	1,822,343.
LAKEWOOD	1,826,697.	1,826,697.
SENTINEL DOME	1,919,057.	1,919,057.
VARADERO	1,855,567.	1,855,567.
HOLLIS PARK	1,652,370.	1,652,370.
NETWYN	1,711,971.	1,711,971.
ELIZABETH PARK CAPITAL OFFSHORE	1,614,905.	1,614,905.
PRESERVER PARTNERS	2,052,503.	2,052,503.
DIAMOND STATE VENTURES III	925,000.	925,000.
OWL VENTURES II - PRIVATE PARTNERSHIP	747,346.	747,346.
SJF VENTURES IV PRIVATE PARTNERSHIP	917,989.	917,989.
BAIN CAPITAL DOUBLE IMPACT PRIVATE PARTNERSHIP	971,322.	971,322.
MATERIAL IMPACT	677,301.	677,301.
ELEVAR EQUITY IV	613,170.	613,170.
ILLUMEN CAPITAL	285,032.	285,032.
IMPACT AMERICA	467,270.	467,270.
AVANATH AFFORDABLE HOUSING FUND IV	120,384.	120,384.
TOTAL TO FORM 990-PF, PART II, LINE 10B	115,688,015.	115,688,015.

FORM 990-PF CORPORATE BONDS STATEMENT 12

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
BRECKINRIDGE CAPITAL FIXED INCOME	6,177,899.	6,177,899.
CCM	6,288,783.	6,288,783.
NORTHERN TRUST BARCLAYS AGG BOND FUND	4,943,059.	4,943,059.
TOTAL TO FORM 990-PF, PART II, LINE 10C	17,409,741.	17,409,741.

FORM 990-PF | OTHER ASSETS | STATEMENT 13

DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
PROGRAM RELATED INVESTMENTS	7,535,605.	7,296,042.	7,296,042.
ACCRUED INTEREST RECEIVABLE	119,893.	142,284.	142,284.
TO FORM 990-PF, PART II, LINE 15	7,655,498.	7,438,326.	7,438,326.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS STATEMENT 14

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN-SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
SHERECE WEST-SCANTLEBURY 225 EAST MARKHAM STREET, STE 200 LITTLE ROCK, AR 72201	PRESIDENT & CEO 50.00	370,186.	51,446.	0.
ANDREA DOBSON 225 EAST MARKHAM STREET, STE 200 LITTLE ROCK, AR 72201	COFO 50.00	274,845.	57,744.	0.
REGAN GRUBER MOFFITT 225 EAST MARKHAM STREET, STE 200 LITTLE ROCK, AR 72201	SECRETARY 50.00	189,652.	33,400.	0.
CALVIN WHITE 225 EAST MARKHAM STREET, STE 200 LITTLE ROCK, AR 72201	CHAIR 3.40	0.	0.	0.
CHRIS VINCENT 225 EAST MARKHAM STREET, STE 200 LITTLE ROCK, AR 72201	VICE CHAIR 2.41	0.	0.	0.
CHRIS CARDONA 225 EAST MARKHAM STREET, STE 200 LITTLE ROCK, AR 72201	DIRECTOR 2.17	0.	0.	0.
KILOLO KIJAKAZI 225 EAST MARKHAM STREET, STE 200 LITTLE ROCK, AR 72201	DIRECTOR 1.61	0.	0.	0.
CHARLOTTE GREEN 225 EAST MARKHAM STREET, STE 200 LITTLE ROCK, AR 72201	DIRECTOR 1.76	0.	0.	0.
MIKE MALONE 225 EAST MARKHAM STREET, STE 200 LITTLE ROCK, AR 72201	DIRECTOR 1.83	0.	0.	0.
TERRY MAZANY 225 EAST MARKHAM STREET, STE 200 LITTLE ROCK, AR 72201	DIRECTOR 1.05	0.	0.	0.

WIN ROCKEFELLER, JR. 225 EAST MARKHAM STREET, STE 200 LITTLE ROCK, AR 72201	DIRECTOR 1.32	0.	0.	0.
KIISHA MARROW 225 EAST MARKHAM STREET, STE 200 LITTLE ROCK, AR 72201	DIRECTOR 1.34	0.	0.	0.
SHARON TOOMER 225 EAST MARKHAM STREET, STE 200 LITTLE ROCK, AR 72201	DIRECTOR 1.34	0.	0.	0.
LISENNE ROCKEFELLER 225 EAST MARKHAM STREET, STE 200 LITTLE ROCK, AR 72201	DIRECTOR 1.40	0.	0.	0.
ROSA VELAZQUEZ 225 EAST MARKHAM STREET, STE 200 LITTLE ROCK, AR 72201	DIRECTOR 1.97	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		834,683.	142,590.	0.

FORM 990-PF EXPENDITURE RESPONSIBILITY STATEMENT PART VII-B, LINE 5C STATEMENT 15

GRANTEE'S NAME

MARY REYNOLDS BABCOCK FOUNDATION, INC.

GRANTEE'S ADDRESS

2920 REYNOLDA ROAD
WINSTON-SALEM, NC 27106

GRANT AMOUNT	DATE OF GRANT	AMOUNT EXPENDED	VERIFICATION DATE
500,000.	09/29/16	500,000.	01/29/20

PURPOSE OF GRANT

TO LAUNCH UPLIFT AMERICA TO SUPPORT COMMUNITY-BASED LENDERS IN ACCESSING UP TO $500 MILLION IN US DEPARTMENT OF AGRICULTURE (USDA) CAPITAL TO DEVELOP OR REFINANCE COMMUNITY FACILITIES IN DISTRESSED RURAL COMMUNITIES.

RESULTS OF VERIFICATION

THE GRANTEE HAS SUBMITTED DETAILED SUPPORTING DOCUMENTATION FOR THE USE OF THE FUNDS. THE WINTHROP ROCKEFELLER FOUNDATION DEEMED FURTHER VERIFICATION OF THE GRANTEE'S REPORT UNNECESSARY.

GENERAL EXPLANATION STATEMENT 16

FORM/LINE IDENTIFIER AND DESCRIPTION/RETURN REFERENCE

PART XIII, LINE 7 - REG 53.4942(A)-3(C)(2) ELECTION STATEMENT

EXPLANATION:

THE TAXPAYER (THE WINTHROP ROCKEFELLER FOUNDATION, EIN 71-0285871) HEREBY ELECTS UNDER REGULATION 53.4942(A)-3(C)(2) TO TREAT $44,500 OF PRIOR-YEAR DISTRIBUTIONS MADE OUT OF CORPUS IN ORDER TO SATISFY THE REQUIREMENTS OF SECTION 4942(G)(3). THE PRIOR-YEAR DISTRIBUTIONS DESCRIBED ABOVE WERE TREATED UNDER REGULATION 53.4942(A)-3(D)(1)(III) AS DISTRIBUTIONS OUT OF CORPUS DURING THE TAXABLE YEAR ENDING DECEMBER 31, 2016.

STATEMENT 19

ABCs of Equity – $251,008

WRF commissioned research in 2019 to help communities, business leaders, and state policymakers to understand the economic status of families in the state, the cost of inequitable policy in the state, and the disparities in access to business capital faced by women and people of color. The ALICE (Asset Limited Income Constrained Employed) research provides a framework, language, statistics, and tools that community stakeholders can use to inform policy and drive innovation. The Business Case for Equity report details the potential economic impact of more equitable policy and public systems in Arkansas. The Access to Capital study provided both qualitative and quantitative data that describes the negative experiences of women and entrepreneurs of color who have attempted to access public and private capital systems in support of their businesses.

- *Organizations engaged*:
  - United Way - Little Rock, AR
  - Entergy Arkansas - Little Rock, AR
  - Arkansas Children's Hospital - Little Rock, AR
  - University of Arkansas School for Medical Sciences - Little Rock, AR
  - Arkansas Advocates for Children and Families - Little Rock, AR
  - Arkansas Community Foundation - Little Rock, AR
  - Women's Foundation of Arkansas - Little Rock, AR
  - Southern Bancorp Community Partners - Little Rock, AR
  - Communities Unlimited - Fayetteville, AR
  - Simmons Bank - Little Rock, AR
  - Winrock International - Little Rock, AR
  - Remix Ideas - Little Rock, AR
  - Arkansas Chapter of the American Academy of Pediatrics - Little Rock, AR
  - FORGE - Huntsville, AR
  - Rural Community Alliance - Little Rock, AR
  - Phoenix Youth and Family Services - Crossett, AR
  - Arkansas Community Colleges - Little Rock, AR
  - Human Rights Campaign of Arkansas - Little Rock, AR
  - Just Communities of Arkansas - Little Rock, AR
  - Arkansas Regional Innovation Hub - Little Rock, AR
  - Little Rock Regional Chamber of Commerce - Little Rock, AR
  - Southern Bancorp Community Partners - Little Rock, AR
  - Urban League of the State of Arkansas - Little Rock, AR
  - Philander Smith College - Social Justice Institute - Little Rock, AR

- ForwARd Arkansas - Little Rock, AR
- Arkansas Justice Collective - Springdale, AR

- *Research produced:*
  - *ALICE in Arkansas*
  - *Business Case for Equity*
  - *Capital Access Report*

- *Convenings:*
  - 9/5/19 WRF Equity Research Advisory Committee
  - 10/29/19 Entergy Partnership on ALICE
  - 11/6/19 Arkansas Asset Funders Network Access to Capital Roundtable

- *Webinars: N/A*

Strengthening Arkansas Nonprofits – $ 244,116

WRF has directly supported leadership development and stronger nonprofits through technical assistance for specific planning and coaching needs, leadership development, and nonprofit networks. Nonprofits received support for project evaluation, documentation, constituency building, strategic and business planning, communications, financial management, and board development.

- *Organizations engaged:*
  - Our House - Little Rock, AR
  - ForwARd Arkansas - Little Rock, AR
  - Hispanic Community Services, Inc. - Jonesboro, AR
  - Urban League of Arkansas - Little Rock, AR
  - Social Justice Institute at Philander Smith College - Little Rock, AR
  - Phoenix Youth and Family Services - Monticello, AR
  - Decarcerate - Little Rock, AR
  - Rural Community Alliance - Little Rock, AR
  - Arkansas Coalition to Abolish the Death Penalty - Little Rock, AR
  - Northwest Arkansas Workers Justice Center - Springdale, AR
  - Arkansas Transgender Equality Coalition - Little Rock, AR
  - Boys Girls Adults Community Development Center - Marvell, AR
  - People Trust Community Loan Fund - Little Rock, AR
  - Arkansas Justice Collective - Springdale, AR

  - Arkansas Impact Philanthropy - Little Rock, AR
  - Arkansas United Community Coalition - Springdale, AR
  - Women's Foundation of Arkansas - Little Rock, AR
  - United Way of Northwest Arkansas - Lowell, AR
  - Winrock International - Little Rock, AR
  - United Way of Central Arkansas - Conway, AR
  - IDEALS Institute at the University of Arkansas Fayetteville - Fayetteville, AR

- *Research Produced:*
  - *N/A*

- *Convenings:*
  - *N/A*

- *Webinars: N/A*

ForwARd Arkansas – $ 147,844

ForwARd Arkansas (ForwARd) is an independent nonprofit organization founded through a partnership of the Winthrop Rockefeller Foundation, the Walton Family Foundation, and the Arkansas State Board of Education. Committed to making Arkansas a leading state in education and to closing the achievement gap within a generation, ForwARd is guided by a comprehensive strategic plan for P-16 public education created by Arkansas residents, educators, business leaders, and policymakers. In 2019, WRF staff provided extensive technical assistance, capacity building, and direct support to ForwARd during a leadership transition and the early stages of the development of a new strategic direction for the organization.

Organizations engaged:

NON-PROFIT / STATE AGENCIES / EDUCATION GROUPS

- Arkansas Association of Educational Administrators - Little Rock, AR
- Arkansas Department of Education – Little Rock, AR
- Arkansas Department of Higher Education - Little Rock, AR
- Arkansas Education Association - Little Rock, AR
- Arkansas State Board of Education - Little Rock, AR

- Arkansas State Chamber of Commerce - Little Rock, AR
- Arkansas State Teachers Association - Little Rock, AR
- EAST Initiative - Little Rock, AR
- Little Rock School District - Little Rock, AR
- Pea Ridge School District - Pea Ridge, AR
- Crossett School District - Crossett, AR
- Independence County Chamber of Commerce - Batesville, AR
- Rural Community Alliance - Fox, AR
- Springdale School District - Springdale, AR
- Walton Family Foundation - Bentonville, AR

- *Research Produced: N/A*

- *Convenings:*
  - *3/5/19 ForwARd Board Annual Meeting*
  - *5/22/19 ForwARd Board Orientation*
  - *6/3/19 ForwARd Board Orientation*
  - *6/4/19 ForwARd Board Meeting*
  - *8/6/19 ForwARd Nominating Committee Planning*
  - *8/22/19 Executive Evaluation & Compensation Committee Meeting*
  - *9/4/19 Governance and Nominating Committee Meeting*
  - *9/10/19 ForwARd Board Meeting*
  - *11/15/10 ForwARd Governing Board Meeting*
  - *12/3/19 ForwARd Board Meeting*
  - *12/9/19 ForwARd Meeting with Kathy Smith*
  - *12/10/19 Organizational Assessment of ForwARd's Culture and Climate*

- *Webinars: N/A*

Philanthropic Collaboration – $273,268

WRF has directly supported the infrastructure for funders to have collective impact on significant challenges facing the state of Arkansas. Through groups like Arkansas Asset Funders Network (AFN), Arkansas Impact Philanthropy (AIP), and Delta Philanthropy Forum (DPF), this philanthropic collaboration has brought together coalitions and resources to support a complete count of the census, increased access to bank accounts for low-income individuals, and more resources for the Delta region.

- *Organizations engaged*:
    - Carl B. & Florence E. King Foundation - Dallas, TX
    - Communities Unlimited, Inc. - Fayetteville, AR
    - Excellerate Foundation - Springdale, AR
    - Ross Foundation - Arkadelphia, AR
    - Heart of Arkansas United Way - Little Rock, AR
    - United Way of Northwest Arkansas - Lowell, AR
    - Rockefeller Foundation - New York, NY
    - Clinton Foundation - Little Rock, AR
    - Delta Dental of Arkansas Foundation - Little Rock, AR
    - Mary Reynolds Babcock Foundation - Winston-Salem, NC
    - Tyson Foods - Springdale, AR
    - Walmart Foundation - Bentonville, AR
    - Arkansas Asset Funders Network
    - Arkansas Bankers Association - Little Rock, AR
    - Arkansas Community Action Agencies Association, Inc. - Little Rock, AR
    - Arkansas Community Colleges - Little Rock, AR
    - Arkansas Foodbank - Little Rock, AR
    - Arkansas Small Business & Technology Development Center - Little Rock, AR
    - Arvest Bank - Bentonville, AR
    - Bank of America - Charlotte, NC
    - Central Arkansas Development Council - Benton, AR
    - Central Arkansas Library System - Little Rock, AR
    - Citizens Bank - Providence, RI
    - Clinton School of Public Service - Little Rock, AR
    - Credit Counseling of Arkansas - Fayetteville, AR
    - Crowley's Ridge Development Council - Jonesboro, AR
    - Diamond Lakes Federal Credit Union - Malvern, AR
    - Economic Opportunity Agency of Washington County Springdale, AR
    - Economics Arkansas - Little Rock, AR
    - First Community Bank - Bluefield, VA
    - FORGE Community Loan Fund - Huntsville, AR
    - Habitat for Humanity of Central Arkansas - Little Rock, AR

STATEMENT 19

THE WINTHROP ROCKEFELLER FOUNDATION **71-0285871**

FORM 990-PF, PART IX-A **SUMMARY OF DIRECT CHARITABLE ACTIVITIES** **STATEMENT**

- Harmony Health Clinic - Little Rock, AR
- HouseAboutIt Community and Economic Development Agency - Little Rock, AR
- Iberia Bank - Lafayette, LA
- Interfaith Arkansas - Little Rock, AR
- Mexican Consulate - Dallas, TX
- Our House - Little Rock, AR
- People Trust Community Loan Funds - Little Rock, AR
- Regions Bank - Birmingham, AL
- Simmons Bank - Little Rock, AR
- Southern Bancorp - Arkadelphia, AR
- Southern Bancorp Community Partners - Little Rock, AR
- U.S. Bank - Minneapolis, MN
- United Way of Central Arkansas - Conway, AR
- United Way of Northeast Arkansas - Jonesboro, AR
- University of Arkansas Cooperative Extension Service - Little Rock, AR
- University of Arkansas at Little Rock College of Business - Little Rock, AR
- WK Kellogg Foundation - Battle Creek, MI
- Southern Bancorp Community Partners - Little Rock, AR
- Federal Reserve of St. Louis - St. Louis, MO
- Walton Family Foundation - Bentonville, AR
- Delta Circles - Helena-West Helena, AR
- Feeding America - Washington, DC
- Clinton School of Public Service - Center for Community Philanthropy - Little Rock, AR
- Arkansas Community Foundation - Little Rock, AR
- Mississippi Alliance of Nonprofits and Philanthropy - Jackson, MS
- Women's Foundation of Arkansas - Little Rock, AR
- Delta Regional Authority - Little Rock, AR
- Community Foundation of Greater Memphis - Memphis, TN
- MDC, Inc. - Durham, NC
- Casey Family Programs - Seattle, WA
- Annie E. Casey Foundation - Baltimore, MD
- Community Foundation of Northwest Mississippi - Hernando, MS
- Woodward Hines Foundation - Jackson, MS
- HOPE Credit Union - Jackson, MS
- Foundation for the Midsouth - Jackson, MS

- Entergy Arkansas - Little Rock, AR

- *Research produced:*
    - *N/A*

- *Convenings:*
    - 3/6/19 AIP Meeting in NW Arkansas
    - 3/12/19 Arkansas AFN & Partners Black Women Owned Business Event
    - 3/27/19 Arkansas AFN Board Meeting
    - 3/27/19 AIP State Census Conversation
    - 4/16/19 Arkansas AFN Steering Committee Meeting
    - 5/7/19 - 5/9/19 AFN 2019 Grantmaker Conference
    - 6/26/19 AIP Next Steps Early Childhood Development
    - 7/2/19 Delta Philanthropy Forum Meeting
    - 7/24/19 AFN Board Meeting
    - 8/15/19 AFN Bank on AR + Future Home Discussion
    - 8/21/19 AIP Meeting
    - 9/3/19 AFN Nominating Committee Meeting
    - 9/19/19 AFN Steering Committee Meeting
    - 9/19/19 DPF Planning Meeting
    - 9/25/19 AFN Board Meeting
    - 10/1/19 AIP Meeting
    - 10/2/19 AIP, AFN, + Hispanics in Philanthropy Meeting
    - 10/7/19 AFN Narrative Change Meeting
    - 10/7/19 - 10/8/19 DPF Meeting
    - 10/23/19 AIP Meeting in NW Arkansas
    - 11/6/19 Arkansas AFN Access to Capital Roundtable
    - 11/12/19 AFN Steering Committee Meeting
    - 12/4/19 AFN Board Meeting
    - 12/17/19 AIP Meeting

- *Webinars: N/A*

STATEMENT 21

winthrop rockefeller foundation

PART XV 2019 Grant Activity - Supplemental Information

Grant Name	Foundation Status of Recipient	Grant Name	Grant Purpose	2019 Grants Approved	2019 Grants Paid	Future payments on grants approved in 2019
Advocates For Community And Rural Education - Little Rock, AR	PC	*Mission Critical Rural Community Alliance*	To support the Rural Community Alliance's to carry out its mission to help rural schools and communities thrive through parent and youth organizing, parent and resident engagement, and education advocacy	$ 200,000 00	$ 50,000 00	$ 150,000 00
Arkansas Community Foundation, Inc - Little Rock, AR	PC	*Arkansas Impact Philanthropy*	To provide general operating support to Arkansas Impact Philanthropy as it identifies and takes on additional collaborative philanthropic strategies to advance equity	$ 100,000 00	$ 50,000 00	$ 50,000 00
Arkansas Community Foundation, Inc - Little Rock, AR	PC	*Mission Critical AR-GLR Management 2019-2020*	To serve as fiscal sponsor for the Arkansas Campaign for Grade-Level Reading as it implements the Excel by Eight initiative to increase health and education outcomes and decrease existing gaps across gender, income, race/ethnicity, and geography, for children ages birth to 8.	$ 1,000,000 00		$ 1,000,000 00
Arkansas Community Foundation, Inc - Little Rock, AR	PC	*Mission Critical AR GLR Management-2018 2019*	To support the day to-day management and implementation of the strategic plan for the Arkansas Campaign for Grade Level Reading	$ -	$ 375,000 00	$ -
Arkansas Community Foundation, Inc - Little Rock, AR	PC	*Delivering on the Dream Fund V*	To support direct services, advocacy and organizing so that immigrants and refugees can fully participate in a thriving and prosperous state that benefits all Arkansans	$ 50,000 00	$ 50,000 00	$ -
Arkansas Community Foundation, Inc - Little Rock, AR	PC	*Mission Critical ForwARd Arkansas Management 2018*	To support the management and implementation of ForwARd Arkansas	$ -	$ 300,000 00	$ -
Arkansas Community Foundation, Inc - Little Rock, AR	PC	*Fund for Trans and Queer Arkansans*	To conduct a needs assessment to inform the creation of a grantmaking fund to support the needs of trans and queer Arkansans		$ 30,000 00	
Arkansas Public Policy Panel Inc - Little Rock, AR	PC	*Center for Artistic Revolution*	To build the leadership and organizing capacity of the Center for Artistic Revolution		$ 2,500 00	$
Arkansas United Community Coalition - Fayetteville, AR	PC	*Strategic Support*	To increase Arkansas United Community Coalition's capacity to hire an interim deputy director		$ 10,000 00	$
Arkansas United Community Coalition Fayetteville, AR	PC	*2019 Change Champions*	2019 Change Champion sponsorship	$ 5,000 00	$ 5,000 00	$
Asset Funders Network - Chicago, IL	PC	*Arkansas Asset Funders Network*	To support a local coordinator for the Arkansas Asset Funders Network who will oversee the steering committee, membership and programs to advance economic equity in Arkansas	$ 40,000 00	$ 20,000 00	$ 20,000 00
Asset Funders Network - Chicago, IL	PC	*Gates Grand Challenge for Economic Mobility*	To support the Arkansas Asset Funders Network partipation in a national cohort of narrative change collaborators to build a network of funders, businesses, banks, and policy-maker champions for an Asset Limited Income Constrained Employed (ALICE) agenda and to mobilize data-driven chnge on barriers to ALICE families.	$ 100,000 00		$ 100,000 00
Association of Black Foundation Executives, Inc -New York, NY	PC	*2019 Women in Philanthropy Leaderhsip*	*2019 Women in Philanthropy Leadership sponsorship*	$ 5,000 00	$ 5,000 00	$
William J Clinton Foundation - Little Rock, AR	PC	*Economic Inclusion and Growth The Way Forward*	*Sponsorship for domestic economic poliy conference*	$ 5,000 00	$ 5,000 00	$ -
Boys Girls Adults Community Development Center - Marvell, AR	PC	*Sustainable Services in East Arkansas*	To support the Boys, Girls Adults Community Development Center Inc, in its mission to create a healthy and safe community with a strong education system, while diversifying funding, and planning for the next generation of leadership	$ -	$ 20,176 00	$ -
Capacity for Change		*2020 Capacity for Change fund*	2020 Capacity for Change fund	$ 150,000 00		$ 150,000 00
Capacity for Change		*2019 Capacity for Change fund*	2019 Capacity for Change fund	$ 150,000 00		$ 42,494 00
Communities Unlimited - Fayetteville, AR	PC	*Build Models for Rural Entrepreneurship*	To provide matching funds for USDA Rural Business Development Grants for Arkansas to provide technical assistance programs to entrepreneurs and to create and strenghten entreprenuerial ecosystems in three Delta communities	$ 75,000 00		$ 75,000 00
Credit Counseling of Arkansas, Inc - Fayetteville, AR	PC	*Canopy NWA Reach to Enrich*	*To build the capacity of members at another organization, Canopy NWA, to identify and address financial wellness issues for their refugee clients*		$ 4,000 00	
Delta Circles, Inc Helena, AR	PC	*Delta Circles*	To build the organizational capacity of Delta Circles to carry its mission to create equitable economic outcomes for women of color in the Arkansas Delta		$ 20,000 00	$ -
ForwARd Arkansas - Little Rock, AR	PC	*General Operating Support 2019-2020*	To support ForwARd Arkansas in fulfilling its mission and work plan to improve equity and increase student achievement and economic prosperity for Arkansas, to serve in the roles of catalyst, convener, and truth-teller, and to engage and empower communities and deliver results through systemic change	$ 1,200,000 00	$ 450,000 00	$ 750,000 00
Griffin Gracy Educational Retreat & Historical Center, Inc - Little Rock, AR	PC	*Capacity for Change House of GG*	To assist our Board and executive management team in the development of a realistic strategic plan that coincides with our organization's Mission, goals and objectives	$ -	$ 15,000 00	$
Hickory Ridge Missionary Baptist Church - Camden, AR	PC	*2019 Calvin White Board of Directors Honorarium*	2019 Calvin White Board of Directors Honorarium	$ 5,000 00	$ 5,000 00	$ -
Hope Enterprise Corporation - Jacksonville, MS	PC	*Capacity for Change Hispanic Women's Organization of Arkansas*	25th Anniversary Celebration Sponsorship	$ 5,000 00	$ 5,000 00	$ -

STATEMENT 21

winthrop
rockefeller
foundation

PART XV 2019 Grant Activity - Supplemental Information

Grant Name	Foundation Status of Recipient	Grant Name	Grant Purpose	2019 Grants Approved	2019 Grants Paid	Future payments on grants approved in 2019
Ioby - Brooklyn, NY	PC	*In Our Own Backyards Phase 0*	To support ioby's launch of its "Phase 0" research in the Northwest Arkansas and Mississippi Delta regions	$ -	$ 30,000 00	$
Just Communities of Arkansas - Little Rock, AR	PC	*Inclusive and Equitable Russellville*	To support a community wide initiative that focuses on addressing racial inequity using a place-based, strategic planning approach to achieve systemic change	$ -	$ -	$ 25,000 00
Little Rock Public Education Foundation, Inc Little Rock, AR	PC	*Little Rock School District Parent Engagement*	To provide resources for a Chief Education Officer for the City of Little Rock to ensure parents of students in schools categorized as "D" and "F" are part of planning and decision making	$ -	$ 30,000 00	$ -
Mary Reynolds Babcock Foundation - Winston Salem, NC	PF	*Uplift America*	To launch Uplift America to support community-based lenders in accessing up to $500 million in US Department of Agriculture (USDA) capital to develop or refinance community facilities in distressed rural communities	$ -	$ 166,667 00	$ -
Nieghborhood Funders Group Oakland, CA	PC	*Integrated Rural Strategies*	To support the Integrated Rural Strategies working group in highighing important social justice movements coming from and to rural communities and to influence the philantrhopic sector's approach to funding rural organizing	$ 5,000 00	$ 5,000 00	$ -
People Trust Little Rock, AR	PC	*Access to Capital in Central Arkansas*	To provide financial products otherwise not available to residents of southwest Little Rock and the 12th Street Corridor to meet the short and long term financial needs of families seeking to grow assets	$ 60,000 00	$ 40,000 00	$ 20,000 00
Phoenix Youth and Family Services, Inc - Crossett, AR	PC	*Transition to Equity*	*To support Phoenix Youth and Family Services,* Inc's mission to strengthen economic outcomes in Southeast Arkansas through expanded educational and job training programming for youth and young adults	$ -	$ 45,000 00	$ -
Project South The Institution for the Elimination Poverty and Genocide Atlanta GA	PC	*Arkansas People's Movement Assembly*	To organize a network of trans and queer activists from across the state of Arkansas to identify root causes of inequity and develop local strategies for change based on member skills, resources, and community-based solutions	$ -	$ 7,000 00	
7Hills Homeless Shelter - Fayetteville, AR	PC	*2019 Calvin White Board of Directors Honorarium*	2019 Calvin White Board of Directors Honorarium	$ 5,000 00	$ 5,000 00	
Southeastern Council of Foundations - Atlanta, GA	PC	*Equity and Impact Annual Meeting Sponsorship*	*Annual Meeting Sponsorship to promote the importance of diversity, equity and inclusion in philanthropy an dprovide tools to members to help them place these principles at the center of their own work*	$ 15,000 00	$ 15,000 00	$ -
St James United Methodist Church - Fayetteville, AR	PC	*2019 Calvin White Board of Directors Honorarium*	2019 Calvin White Board of Directors Honorarium	$ 5,000 00	$ 5,000 00	
The New School Fayetteville, AR	PC	*2019 Calvin White Board of Directors Honorarium*	2019 Calvin White Board of Directors Honorarium	$ 5,000 00	$ 5,000 00	
United Way of New Jersey Cedar Knolls, NJ	PC	*ALICE in Arkansas*	The United Way of Northern New Jersey will complete the ALICE® in Arkansas report	$	$ 60,000 00	$ -
University of Arkansas Clinton School of Public Service	NC	*Expanding Capacity to Strengthen Community Philanthropy*	To build the Center on Community Philanthropy's capacity to increase prosperity and advance equity in Arkansas The Center on Community Philanthropy is a department of the University of Arkansas - Clinton School of Public Service	$ -	$ 60,000 00	
University of Ccentral Arkansas - Conway, AR	NC	*UCA Normal School Center for Educational Equity*	Partner with the Harvard Disruptive Equity Education Project (Harvard DEEP) to launch UCA DEEP to examine inequity and system disruption within the UCA teacher preparation program and other programs statewide	$ 150,000 00	$ 75,000 00	$ 75,000 00
Urban League of the State of Arkansas Inc - Little Rock, AR	PC	*General Operating Support*	To support the Urban League of Arkansas to carry out its mission to support advocacy, research, and services for black and brown communities so that all families can participate in a thriving and prosperous state that benefits all Arkansans	$ 160,000 00	$ 80,000 00	$ 80,000 00
Women's Foundation of Arkansas - Little Rock, AR	PC	*Capacity for Equity Changing the Narrative on Women in the Arkansas Economy*	To partner with the Yarn to develop and document the stories of women entreprenuers in Arkansas and equip the Women's Foundation with new storytelling tools to advance economic equity	$ -	$ 10,000 00	$ -
Women's Foundation of Arkansas - Little Rock, AR	PC	*General Operating Support*	To provide general operating funds for its mission to support women and girls in Arkansas to realize and achieve their full potential, advancing economic equity for women	$ 150,000 00	$ 50,000 00	$ 100,000 00
World Women Foundation - Winnetka, CA	PC	*World Women Summit Sponsorship*	2019 World Women Summit Sponsorship	$ 5,000 00	$ 5,000 00	
Unrestricted Grants Under $5,000	PC			$ 21,684 01	$ 21,684 01	$
Recission of previously awarded Grant				$ (40,000 00)		
Total				$ 3,631,684 01	$ 2,137,027 01	$ 2,637,494 00