

Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No 1545-0047

2019

Open to Public Inspection

For calendar year 2019 or tax year beginning , and ending

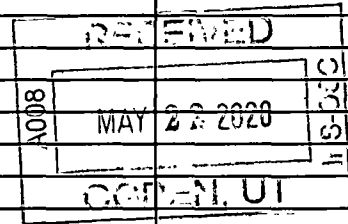
Name of foundation ANNETTE J. HAGENS MEMORIAL FOUNDATION		A Employer identification number 65-6248208
Number and street (or P.O. box number if mail is not delivered to street address) 800 S. OSPREY AVENUE	Room/suite	B Telephone number 941-366-3600
City or town, state or province, country, and ZIP or foreign postal code SARASOTA, FL 34236		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 3,348,300.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		111,082.	111,082.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		69,136.			
b Gross sales price for all assets on line 6a		338,615.			
7 Capital gain net income (from Part IV, line 2)			69,136.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		11,413.	11,413.		STATEMENT 2
12 Total. Add lines 1 through 11		191,631.	191,631.		
13 Compensation of officers, directors, trustees, etc		74,589.	68,149.		6,440.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 3		1,995.	1,995.		0.
c Other professional fees					
17 Interest					
18 Taxes STMT 4		3,428.	3,428.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 5		375.	375.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		80,387.	73,947.		6,440.
25 Contributions, gifts, grants paid		145,640.			145,640.
26 Total expenses and disbursements. Add lines 24 and 25		226,027.	73,947.		152,080.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		<34,396.>			
b Net investment income (if negative, enter -0-)			117,684.		
c Adjusted net income (if negative, enter -0-)				N/A	

ENVELOPE DATE MAY 1 2020

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		79,481.	64,234.	64,234.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations				
	b	Investments - corporate stock	STMT 6	2,065,434.	2,044,320.	2,440,120.
	c	Investments - corporate bonds	STMT 7	812,723.	812,949.	839,529.
	Liabilities	11	Investments - land, buildings, and equipment basis ▶			
		Less: accumulated depreciation ▶				
12		Investments - mortgage loans				
13		Investments - other				
14		Land, buildings, and equipment: basis ▶				
		Less: accumulated depreciation ▶				
15		Other assets (describe ▶ INTEREST RECEIVABLE)		2,678.	4,417.	4,417.
16		Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		2,960,316.	2,925,920.	3,348,300.
17		Accounts payable and accrued expenses				
18		Grants payable				
Net Assets or Fund Balances	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
	23	Total liabilities (add lines 17 through 22)		0.	0.	
Net Assets or Fund Balances		Foundations that follow FASB ASC 958, check here ▶ ☒ and complete lines 24, 25, 29, and 30.				
	24	Net assets without donor restrictions		2,960,316.	2,925,920.	
	25	Net assets with donor restrictions				
		Foundations that do not follow FASB ASC 958, check here ▶ ☐ and complete lines 26 through 30.				
	26	Capital stock, trust principal, or current funds				
	27	Paid-in or capital surplus, or land, bldg., and equipment fund				
	28	Retained earnings, accumulated income, endowment, or other funds				
	29	Total net assets or fund balances		2,960,316.	2,925,920.	
	30	Total liabilities and net assets/fund balances		2,960,316.	2,925,920.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	2,960,316.
2	Enter amount from Part I, line 27a	2	<34,396.>
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	2,925,920.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	2,925,920.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b SEE ATTACHED STATEMENT				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))	
a				
b				
c				
d				
e 338,615.		269,479.	69,136.	
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a				
b				
c				
d				
e			69,136.	
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	69,136.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8			3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2018	199,461.	3,276,666.	.060873
2017	178,124.	3,500,590.	.050884
2016	176,094.	3,235,667.	.054423
2015	186,012.	3,322,138.	.055992
2014	217,032.	3,616,682.	.060009
2 Total of line 1, column (d)			2 .282181
3 Average distribution ratio for the 5 year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years			3 .056436
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5			4 3,246,602.
5 Multiply line 4 by line 3			5 183,225.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 1,177.
7 Add lines 5 and 6			7 184,402.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions.			8 152,080.

Part VII Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	2,354.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		2	0.
3 Add lines 1 and 2		3	2,354.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	2,354.
6 Credits/Payments:			
a 2019 estimated tax payments and 2018 overpayment credited to 2019	6a	0.	
b Exempt foreign organizations - tax withheld at source	6b	0.	
c Tax paid with application for extension of time to file (Form 8868)	6c	0.	
d Backup withholding erroneously withheld	6d	0.	
7 Total credits and payments. Add lines 6a through 6d	7	0.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	20.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	2,374.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2020 estimated tax ☐ Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions <u>FL, IL</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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Part VII-A Statements Regarding Activities (continued)

- 11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions
- 12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions
- 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?

	Yes	No
11		X
12		X
13	X	

Website address **N/A**

- 14 The books are in care of
- THE NORTHERN TRUST COMPANY**

Telephone no. **941-329-2627**Located at **1515 RINGLING BLVD., SARASOTA, FL**ZIP+4 **34236**

- 15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year

15 **N/A**

- 16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?

	Yes	No
16		X

See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

- 1a During the year, did the foundation (either directly or indirectly):

- (1) Engage in the sale or exchange, or leasing of property with a disqualified person?
- (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
- (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
- (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
- (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
- (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)

☐ Yes ☒ No☐ Yes ☒ No☐ Yes ☒ No☐ Yes ☒ No☐ Yes ☒ No☐ Yes ☒ No

- b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance, check here

N/A☐

- c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?

	Yes	No
1b		
1c		X

- 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):

- a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019?

☐ Yes ☒ No

If "Yes," list the years

- b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)

N/A

- c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.

2b		
----	--	--

- 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?

☐ Yes ☒ No

- b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5 year period (or longer period approved by the Commissioner under section 1943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019.)

N/A

- 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?

- b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?

3b		
4a		X
4b		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

N/A

5b

Organizations relying on a current notice regarding disaster assistance, check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

☐ Yes ☒ No

7b

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?

☐ Yes ☒ No**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NORMAN J. SHEA, III, CPA	TRUSTEE			
800 S. OSPREY AVENUE				
SARASOTA, FL 34236	10.00	32,197.	0.	0.
MATT A. SPERLING	TRUSTEE			
800 S. OSPREY AVENUE				
SARASOTA, FL 34236	10.00	32,197.	0.	0.
THE NORTHERN TRUST COMPANY	TRUSTEE			
1515 RINGLING BLVD.				
SARASOTA, FL 34236	10.00	10,195.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	3,204,060.
b	Average of monthly cash balances	1b	91,983.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	3,296,043.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	3,296,043.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	49,441.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,246,602.
6	Minimum investment return. Enter 5% of line 5	6	162,330.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	162,330.
2a	Tax on investment income for 2019 from Part VI, line 5	2a	2,354.
b	Income tax for 2019. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	2,354.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	159,976.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	159,976.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	159,976.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	152,080.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	152,080.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	152,080.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				159,976.
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2019:				
a From 2014	39,699.			
b From 2015	21,176.			
c From 2016	15,019.			
d From 2017	19,847.			
e From 2018	36,598.			
f Total of lines 3a through e	132,339.			
4 Qualifying distributions for 2019 from Part XII, line 4: ► \$	152,080.			
a Applied to 2018, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2019 distributable amount				152,080.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a).)	7,896.			7,896.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	124,443.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7	31,803.			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a	92,640.			
10 Analysis of line 9:				
a Excess from 2015	21,176.			
b Excess from 2016	15,019.			
c Excess from 2017	19,847.			
d Excess from 2018	36,598.			
e Excess from 2019				

Part XIV	Private Operating Foundations (see instructions and Part VII-A, question 9)
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N/A

- 1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling ▶
- b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

		Prior 3 years				(e) Total
		(a) 2019	(b) 2018	(c) 2017	(d) 2016	
2	a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
	b 85% of line 2a					
	c Qualifying distributions from Part XII, line 4, for each year listed					
	d Amounts included in line 2c not used directly for active conduct of exempt activities					
	e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon:					
	a "Assets" alternative test - enter:					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
	b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6, for each year listed					
	c "Support" alternative test - enter:					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

- d. List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number or email address of the person to whom applications should be addressed:

SEE STATEMENT 8

- b. The form in which applications should be submitted and information and materials they should include:**

- c Any submission deadlines:**

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
BIG BROTHERS BIG SISTERS OF THE SUNCOAST 2831 RINGLING BLVD SUITE A-201 SARASOTA, FL 34237	NONE	501(C)3	DTW PROGRAM/HELPING AT RISK HIGH SCHOOL STUDENTS	5,000.
BOYS & GIRLS CLUB OF MANATEE COUNTY 5236 30TH ST W BRADENTON, FL 34207	NONE	501(C)3	GENERAL PURPOSE	10,000.
BOYS & GIRLS CLUB OF SARASOTA COUNTY P.O. BOX 4068 SARASOTA, FL 34230	NONE	501(C)3	TEEN PROGRAMMING IN 4 CLUBS	70,000.
CHILD PROTECTION CENTER INC 720 SOUTH ORANGE AVE SARASOTA, FL 34236	NONE	501(C)3	THERAPY TO CHILDREN & FAMILIES WHO EXPERIENCED SEXUAL AND/OR PHYSICAL ABUSE	2,500.
CHILDREN FIRST INC 1723 N ORANGE AVE SARASOTA, FL 34236	NONE	501(C)3	PROVIDE HEALTHY MEALS/SNACKS TO CHILDREN	5,000.
Total	SEE CONTINUATION SHEET(S)			145,640.
b Approved for future payment				
NONE				
Total				0.

[Part XVI] Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|-----|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c)(3) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | | X |
| | (2) Other assets | | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | | X |
| | (3) Rental of facilities, equipment, or other assets | | X |
| | (4) Reimbursement arrangements | | X |
| | (5) Loans or loan guarantees | | X |
| | (6) Performance of services or membership or fundraising solicitations | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

Title

May the IRS discuss this return with the preparer shown below? See instr

☒ Yes ☐ No**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date _____

Check ☐ if
self-employed

PTIN

NORMAN J. SHEA, III

III *WJL*

3/24/20

P00456227

Firm's name ▶ SUPLEE SHEA CRAMER & ROCKLEIN, P.A.

Firm's EIN ▶ 59-2213319

Firm's address ► 800 SOUTH OSPREY AVENUE
SARASOTA, FL 34236-7834

Phone no. 941-366-3600

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SHIRE PHARMACEUTICALS GROUP	P	06/03/16	01/10/19
b	TAKEDA PHARMACEUTICALS CO	P	01/10/19	01/24/19
c	WABTEC CORP	P	02/26/19	02/26/19
d	MFC ISHARES TR MSCI	P	02/22/17	07/02/19
e	TAKEDA PHARMACEUTICALS CO	P	01/10/19	07/02/19
f	WABTEC CORP	P	02/26/19	07/02/19
g	AMERICAN EXPRESS CO	P	VARIOUS	11/13/19
h	GENERAL ELECTRIC CO	P	11/09/17	11/13/19
i	INTERCONTINENTAL EXCHANGE INC	P	02/12/16	11/13/19
j	ISHARES MSCI EMERGING MKTS	P	VARIOUS	11/13/19
k	NORFOLK SOUTHERN CORP	P	02/02/12	11/13/19
l	WALMART STORES INC	P	11/03/17	11/13/19
m	CAPITAL GAINS DIVIDENDS			
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 23,345.		23,991.	<646.>
b 5.		5.	0.
c 51.		57.	<6.>
d 125,777.		114,823.	10,954.
e 11,097.		11,967.	<870.>
f 733.		770.	<37.>
g 48,264.		21,252.	27,012.
h 5,645.		9,980.	<4,335.>
i 18,498.		9,311.	9,187.
j 42,777.		44,871.	<2,094.>
k 38,308.		14,496.	23,812.
l 24,060.		17,956.	6,104.
m 55.			55.
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<646.>
b			0.
c			<6.>
d			10,954.
e			<870.>
f			<37.>
g			27,012.
h			<4,335.>
i			9,187.
j			<2,094.>
k			23,812.
l			6,104.
m			55.
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	69,136.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

Part XV Supplementary Information**3. Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CHILDREN'S GUARDIAN FUND INC P.O. BOX 49722 SARASOTA, FL 34230	NONE	501(C)3	PROVIDE SUPPORT TO CHILDREN WHO HAVE BEEN REMOVED FROM HOMES	2,500.
FAMILY PROMISE OF SOUTH SARASOTA COUNTY 720 SHAMROCK BLVD VENICE, FL 34293	NONE	501(C)3	GENERAL SUPPORT	2,500.
EASTER SEALS SOUTHWEST FLORIDA INC 350 BRADEN AVE SARASOTA, FL 34243	NONE	501(C)3	EDUCATIONAL PROGRAMMING WITH INTEGRATED THERAPY TO CHILDREN	.5,000.
THE HAVEN 4405 DESOTO RD SARASOTA, FL 34235	NONE	501(C)3	GENERAL SUPPORT	2,500.
INSTRIDE THERAPY 1629 RANCH RD NOKOMIS, FL 34275	NONE	501(C)3	GENERAL SUPPORT	1,500.
GIRLS INCORPORATED OF SARASOTA COUNTY 201 S TUTTLE AVE SARASOTA, FL 34237	NONE	501(C)3	AFTERSCHOOL SCHOLARSHIPS	1,500.
STILLPOINT MISSION 1608 14TH ST W BRADENTON, FL 34206	NONE	501(C)3	GENERAL SUPPORT	1,500.
INNER EXPLORER 430 FRANKLIN VILLAGE DR #325 FRANKLIN, MA 02038	NONE	501(C)3	INNER EXPLORER PROGRAM IN SARASOTA COUNTY SCHOOLS	3,500.
EVERYDAY BLESSINGS 8421 PRITCHER RD LITHIA, FL 33547	NONE	501(C)3	GENERAL SUPPORT	1,500.
PARENTING MATTERS 602 3RD ST E BRADENTON, FL 34208	NONE	501(C)3	GENERAL SUPPORT	1,500.
Total from continuation sheets				53,140.

Part XV Supplementary Information**3. Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SARASOTA BALLET OF FLORIDA INC 5555 NORTH TAMiami TRAIL SARASOTA, FL 34243	NONE	501(C)3	SCHOLARSHIPS FOR STUDENTS FROM LOW INCOME FAMILIES	2,500.
SAMARITAN COUNSELING OF THE GULF COAST 3224 BEE RIDGE RD SARASOTA, FL 34239	NONE	501(C)3	GENERAL SUPPORT	1,500.
SAFE CHILDREN COALITION 1500 INDEPENDENCE BLVD #210 SARASOTA, FL 34234	NONE	501(C)3	GENERAL SUPPORT	3,500.
SKY FAMILY YMCA 701 CENTER ROAD VENICE, FL 34285	NONE	501(C)3	SCHOLARSHIPS TO LOW INCOME FAMILIES FOR CHILDCARE	4,500.
ALL STAR CHILDREN'S FOUNDATION 3300 17TH ST SARASOTA, FL 34235	NONE	501(C)3	GENERAL SUPPORT	7,500.
SUNCOAST YOUTH BASKETBALL ASSOCIATION 6503 WATERFORD CIRCLE SARASOTA, FL 34238	NONE	501(C)3	SCHOLARSHIPS FOR BASKETBALL CAMP	2,500.
TAKE STOCK IN CHILDREN OF SARASOTA COUNTY P.O. BOX 48186 SARASOTA, FL 34230	NONE	501(C)3	HELP LOW INCOME STUDENT ATTEND COLLEGE	5,000.
TORNADO RUN CLUB 3201 N ORANGE AVE SARASOTA, FL 34234	NONE	501(C)3	GENERAL SUPPORT	2,640.
Total from continuation sheets				

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
NORTHERN TRUST	111,137.	55.	111,082.	111,082.	
TO PART I, LINE 4	111,137.	55.	111,082.	111,082.	

FORM 990-PF	OTHER INCOME	STATEMENT	2
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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
MISCELLANEOUS INCOME	11,413.	11,413.	
TOTAL TO FORM 990-PF, PART I, LINE 11	11,413.	11,413.	

FORM 990-PF	ACCOUNTING FEES	STATEMENT	3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	1,995.	1,995.		0.
TO FORM 990-PF, PG 1, LN 16B	1,995.	1,995.		0.

FORM 990-PF	TAXES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	3,428.	3,428.		0.
TO FORM 990-PF, PG 1, LN 18	3,428.	3,428.		0.

FORM 990-PF	OTHER EXPENSES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ACCOUNT FEES	375.	375.			0.
TO FORM 990-PF, PG 1, LN 23	375.	375.			0.

FORM 990-PF	CORPORATE STOCK			STATEMENT	6
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
GENERAL ELECTRIC	29,940.	16,740.
ISHARES TR MSCI EMERGE MKT	171,224.	237,811.
SCHLUMBERGER LTD	19,383.	12,060.
ABBOTT LABORATORIES	12,946.	43,430.
SPDR DOW JONES REIT ETF	76,118.	132,626.
WALT DISNEY CO	19,937.	72,315.
NORFOLK SOUTHERN CORP	7,248.	19,413.
MFC VANGUARD INTL EQUITY INDEX FDS	319,449.	395,224.
MFC FLEXSHARES TR INTL QUALITY DIVIDEND	154,299.	138,453.
ALLERGAN PLC	44,910.	38,234.
AMERICAN EXPRESS CO	15,726.	37,347.
DUKE ENERGY CORP	29,495.	36,484.
INTERCONTINENTAL EXCHANGE INC	27,748.	55,530.
NUCOR CORP	31,376.	42,210.
PFIZER INC	29,980.	39,180.
SUNCOR ENERGY INC	17,575.	16,400.
WAL-MART STORES INC	40,946.	59,420.
APACHE CORP	12,402.	7,677.
MFC ISHARES TR MSCI EAFE	157,126.	180,544.
MFC VANGUARD FTSE EMERGING MKTS	112,072.	116,422.
EOG RESOURCES INC	21,726.	16,752.
MFO T ROWE PRICE NEW ERA FDS	245,000.	242,812.
PROCTER & GAMBLE	20,790.	31,225.
SCHWAB CHARLES CORP	15,793.	14,268.
MFC ISHARES TR S&P MIDCAP 400 VALUE	97,338.	105,682.
MFC ISHARES TR RUSSELL 2000	50,045.	49,204.
BHP GROUP LTD	28,164.	32,826.
BOEING CO	14,541.	13,030.
COMCAST CORP	12,831.	13,491.
INTEL CORP	14,430.	17,955.
KLA CORPORATION	5,975.	8,909.
LOCKHEED MARTIN CORP	15,538.	15,575.
MFC ISHARES TR GLOBAL INFRASTRUCTURE	130,814.	134,052.
NVIDIA CORP	8,108.	11,765.

SALESFORCE COM INC	15,386.	16,264.
VISA INC	17,941.	18,790.
TOTAL TO FORM 990-PF, PART II, LINE 10B	2,044,320.	2,440,120.

FORM 990-PF	CORPORATE BONDS	STATEMENT	7
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
MFO BLACKROCK FDS HIGH YIELD BD	202,400.	203,207.
MFC ISHARES IBOXX \$ HIGH YIELD CORP BOND	209,186.	209,737.
MFC ISHARES TR SHORT-TERM CORP BD	99,019.	101,897.
MFC ISHARES TRUST INTERMEDIATE TERM CORPORATE BOND	302,344.	324,688.
TOTAL TO FORM 990-PF, PART II, LINE 10C	812,949.	839,529.

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 8

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

ANNETTE J. HAGENS MEMORIAL FOUNDATION TRUST
1515 RINGLING BLVD.
SARASOTA, FL 34236

TELEPHONE NUMBER

941-329-2627

FORM AND CONTENT OF APPLICATIONS

DESIGNATED APPLICATION TO INCLUDE ORGANIZATION'S GENERAL INFORMATION &
PURPOSE OF FUNDS REQUESTED.

ANY SUBMISSION DEADLINES

NONE

RESTRICTIONS AND LIMITATIONS ON AWARDS

NO RESTRICTIONS EXCEPT FOR THE GEOGRAPHICAL AREA OF SARASOTA AND MANATEE
COUNTIES.