2949105801608 1

Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation

**or Section 4947(a)(1) Trust Treated as Private Foundation**

▶ Do not enter social security numbers on this form as it may be made public.

▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No 1545-0047

**2019**

Open to Public Inspection

1912

For calendar year 2019 or tax year beginning , 2019, and ending , 20

Name of foundation: THE POLSKY FOUNDATION

A Employer identification number: 65-1164639

Number and street (or P O box number if mail is not delivered to street address): ONE SOUTH WACKER DRIVE, SUITE 1810

Room/suite

B Telephone number (see instructions): (312) 582-1405

City or town, state or province, country, and ZIP or foreign postal code: CHICAGO, IL 60606

C If exemption application is pending, check here ▶ ☐ 0

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation 04

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ $ 9,954,840.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d), must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

**Part I** **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

| | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|
| Revenue | 1 Contributions, gifts, grants, etc, received (attach schedule) | 8,239,760. | | | |
| | 2 Check ▶ ☐ if the foundation is not required to attach Sch B | | | | |
| | 3 Interest on savings and temporary cash investments | 12,374. | 12,374. | | |
| | 4 Dividends and interest from securities | | | | |
| | 5a Gross rents | | | | |
| | b Net rental income or (loss) | | | | |
| | 6a Net gain or (loss) from sale of assets not on line 10 | -67,608. | | | |
| | b Gross sales price for all assets on line 6a 6,666,094. | | | | |
| | 7 Capital gain net income (from Part IV, line 2) | | 0. | | |
| | 8 Net short-term capital gain | | | | |
| | 9 Income modifications | | | | |
| | 10a Gross sales less returns and allowances | | | | |
| | b Less Cost of goods sold | | | | |
| | c Gross profit or (loss) (attach schedule) | | | | |
| | 11 Other income (attach schedule) ATCH 1 | 481,448. | 437,536. | | |
| | 12 Total. Add lines 1 through 11 | 8,665,974. | 449,910. | 0. | |
| Operating and Administrative Expenses | 13 Compensation of officers, directors, trustees, etc | 0. | | | |
| | 14 Other employee salaries and wages | | | | |
| | 15 Pension plans, employee benefits | | | | |
| | 16a Legal fees (attach schedule) | | | | |
| | b Accounting fees (attach schedule) | | | | |
| | c Other professional fees (attach schedule) | | | | |
| | 17 Interest | | | | |
| | 18 Taxes (attach schedule) (see instructions)[2] | 5,986. | 246. | | |
| | 19 Depreciation (attach schedule) and depletion | | | | |
| | 20 Occupancy | | | | |
| | 21 Travel, conferences, and meetings | | | | |
| | 22 Printing and publications | | | | |
| | 23 Other expenses (attach schedule) ATCH 3 | 1,145. | 1,145. | | |
| | 24 Total operating and administrative expenses. Add lines 13 through 23 | 7,131. | 1,391. | | |
| | 25 Contributions, gifts, grants paid | 5,239,487. | | | 5,239,487. |
| | 26 Total expenses and disbursements Add lines 24 and 25 | 5,246,618. | 1,391. | 0. | 5,239,487. |
| | 27 Subtract line 26 from line 12 | | | | |
| | a Excess of revenue over expenses and disbursements | 3,419,356. | | | |
| | b Net investment income (if negative, enter -0-) | | 448,519. | | |
| | c Adjusted net income (if negative, enter -0-) | | | 0. | |

RECEIVED
NOV 2 1 2020
OGDEN, UT
IRS-OSC

SCANNED DEC 1 0 2022 3/4

JSA **For Paperwork Reduction Act Notice, see instructions.** Form **990-PF** (2019)
9E1410 1 000
6457GN 4116 V 19-7.5F

3

**Part II Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

| | | Description | Beginning of year (a) Book Value | End of year (b) Book Value | End of year (c) Fair Market Value |
|---|---|---|---|---|---|
| Assets | 1 | Cash - non-interest-bearing | 42,979. | 31,993. | 31,993. |
| | 2 | Savings and temporary cash investments | 1,208,899. | 540,225. | 540,225. |
| | 3 | Accounts receivable ▶ | | | |
| | | Less. allowance for doubtful accounts ▶ | | | |
| | 4 | Pledges receivable ▶ | | | |
| | | Less allowance for doubtful accounts ▶ | | | |
| | 5 | Grants receivable | | | |
| | 6 | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | |
| | 7 | Other notes and loans receivable (attach schedule) ▶ | | | |
| | | Less allowance for doubtful accounts ▶ | | | |
| | 8 | Inventories for sale or use | | | |
| | 9 | Prepaid expenses and deferred charges | | | |
| | 10a | Investments - U S and state government obligations (attach schedule) | | | |
| | b | Investments - corporate stock (attach schedule) | | | |
| | c | Investments - corporate bonds (attach schedule) | | | |
| | 11 | Investments - land, buildings, and equipment basis ▶ | | | |
| | | Less accumulated depreciation (attach schedule) ▶ | | | |
| | 12 | Investments - mortgage loans | | | |
| | 13 | Investments - other (attach schedule) ATCH 4 | 5,572,026. | 9,382,622. | 9,382,622. |
| | 14 | Land, buildings, and equipment basis ▶ | | | |
| | | Less accumulated depreciation (attach schedule) ▶ | | | |
| | 15 | Other assets (describe ▶ ) | | | |
| | 16 | **Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I) | 6,823,904. | 9,954,840. | 9,954,840. |
| Liabilities | 17 | Accounts payable and accrued expenses | | | |
| | 18 | Grants payable | | | |
| | 19 | Deferred revenue | | | |
| | 20 | Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 | Mortgages and other notes payable (attach schedule) | | | |
| | 22 | Other liabilities (describe ▶ ) | | | |
| | 23 | **Total liabilities** (add lines 17 through 22) | 0. | 0. | |
| Net Assets or Fund Balances | | **Foundations that follow FASB ASC 958, check here ▶ ☐ and complete lines 24, 25, 29, and 30.** | | | |
| | 24 | Net assets without donor restrictions | | | |
| | 25 | Net assets with donor restrictions | | | |
| | | **Foundations that do not follow FASB ASC 958, check here ▶ ☒ and complete lines 26 through 30.** | | | |
| | 26 | Capital stock, trust principal, or current funds | | | |
| | 27 | Paid-in or capital surplus, or land, bldg , and equipment fund | | | |
| | 28 | Retained earnings, accumulated income, endowment, or other funds | 6,823,904. | 9,954,840. | |
| | 29 | **Total net assets or fund balances** (see instructions) | 6,823,904. | 9,954,840. | |
| | 30 | **Total liabilities and net assets/fund balances** (see instructions) | 6,823,904. | 9,954,840. | |

**Part III Analysis of Changes in Net Assets or Fund Balances**

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) | 1 | 6,823,904. |
| 2 | Enter amount from Part I, line 27a | 2 | 3,419,356. |
| 3 | Other increases not included in line 2 (itemize) ▶ | 3 | |
| 4 | Add lines 1, 2, and 3 | 4 | 10,243,260. |
| 5 | Decreases not included in line 2 (itemize) ▶ ATCH 5 | 5 | 288,420. |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29 | 6 | 9,954,840. |

## Part IV Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co ) | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|---|
| 1 a | SEE PART IV SCHEDULE | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) ((e) plus (f) minus (g)) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| | (i) FMV as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col. (j), if any | (l) Gains (Col. (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | | | |
|---|---|---|---|
| 2 | Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } | 2 | -67,608. |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8 . . . . . . . . | 3 | 0. |

## Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
|---|---|---|---|
| 2018 | 3,240,749. | 7,304,742. | 0.443650 |
| 2017 | 1,852,746. | 6,679,153. | 0.277392 |
| 2016 | 1,353,437. | 8,869,331. | 0.152597 |
| 2015 | 1,187. | 794,302. | 0.001494 |
| 2014 | 279,968. | 36,671. | 7.634589 |

| | | | |
|---|---|---|---|
| 2 | **Total** of line 1, column (d) . . . . . . . . | 2 | 8.509722 |
| 3 | Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years . . . . | 3 | 1.701944 |
| 4 | Enter the net value of noncharitable-use assets for 2019 from Part X, line 5 . . . . | 4 | 7,286,663. |
| 5 | Multiply line 4 by line 3. . . . . . . . | 5 | 12,401,492. |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b). . . . . . | 6 | 4,485. |
| 7 | Add lines 5 and 6. . . . . . . . | 7 | 12,405,977. |
| 8 | Enter qualifying distributions from Part XII, line 4 . . . . . . | 8 | 5,239,487. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

## Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

| | | | |
|---|---|---|---|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 . . . Date of ruling or determination letter ________ (attach copy of letter if necessary - see instructions) | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b | 1 | 8,970. |
| c | All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col (b) | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-) | 2 | |
| 3 | Add lines 1 and 2 | 3 | 8,970. |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-) | 4 | 0. |
| 5 | **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0- | 5 | 8,970. |
| 6 | Credits/Payments: | | |
| a | 2019 estimated tax payments and 2018 overpayment credited to 2019 . . . . 6a | | |
| b | Exempt foreign organizations - tax withheld at source . . . . 6b | | |
| c | Tax paid with application for extension of time to file (Form 8868) . . . . 6c | | |
| d | Backup withholding erroneously withheld . . . . 6d | | |
| 7 | Total credits and payments. Add lines 6a through 6d | 7 | 0. |
| 8 | Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached | 8 | 241. |
| 9 | **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶ | 9 | 9,211. |
| 10 | **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | 10 | |
| 11 | Enter the amount of line 10 to be **Credited to 2020 estimated tax** ▶ Refunded ▶ | 11 | |

## Part VII-A Statements Regarding Activities

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | X |
| b | Did it spend more than $100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition | 1b | | X |
| | If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities | | | |
| c | Did the foundation file **Form 1120-POL** for this year? | 1c | | X |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________ | | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ________ | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities | 2 | | X |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes | 3 | | X |
| 4a | Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | X | |
| b | If "Yes," has it filed a tax return on **Form 990-T** for this year? | 4b | X | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by *General Instruction T* | 5 | | X |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | X | |
| 7 | Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV | 7 | X | |
| 8a | Enter the states to which the foundation reports or with which it is registered See instructions ▶ IL, | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G*? If "No," attach explanation | 8b | X | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV If "Yes," complete Part XIV | 9 | | X |
| 10 | Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses . ATCH 6 | 10 | X | |

2

**Part VII-A Statements Regarding Activities** *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions | 11 | | X |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |

Website address ▶ N/A

14 The books are in care of ▶ MICHAEL P. POLSKY Telephone no. ▶ 312-582-1402

Located at ▶ ONE SOUTH WACKER DRIVE, SUITE 1810 CHICAGO, IL ZIP+4 ▶ 60606

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

| | | | Yes | No |
|---|---|---|---|---|
| 16 | At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | | X |
| | See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶ | | | |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the year, did the foundation (either directly or indirectly) | | | |
| | **(1)** Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No | | | |
| | **(2)** Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No | | | |
| | **(3)** Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No | | | |
| | **(4)** Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No | | | |
| | **(5)** Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No | | | |
| | **(6)** Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ) ☐ Yes ☒ No | | | |
| b | If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance? See instructions | 1b | | X |
| | Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019? | 1c | | X |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) | | | |
| a | At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? ☐ Yes ☒ No | | | |
| | If "Yes," list the years ▶ ______, ______, ______, ______ | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions ) | 2b | | X |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ______, ______, ______, ______ | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No | | | |
| b | If "Yes," did it have excess business holdings in 2019 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019 ) | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019? | 4b | | X |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| 5a | During the year, did the foundation pay or incur any amount to: | | | |
| | (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? . . . . . . ☐ Yes ☒ No | | | |
| | (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? . . . . ☐ Yes ☒ No | | | |
| | (3) Provide a grant to an individual for travel, study, or other similar purposes? . . . . ☐ Yes ☒ No | | | |
| | (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions . . . . ☐ Yes ☒ No | | | |
| | (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . ☐ Yes ☒ No | | | |
| b | If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions . . . . | 5b | | X |
| | Organizations relying on a current notice regarding disaster assistance, check here . . . . ▶ ☐ | | | |
| c | If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . ☐ Yes ☒ No | | | |
| | If "Yes," attach the statement required by Regulations section 53.4945-5(d) | | | |
| 6a | Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . ☐ Yes ☒ No | | | |
| b | Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . | 6b | | X |
| | If "Yes" to 6b, file Form 8870 | | | |
| 7a | At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? . . ☐ Yes ☒ No | | | |
| b | If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? . . . . | 7b | | |
| 8 | Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment(s) during the year? . . . . ☐ Yes ☐ No | | | |

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| ATCH 7 | | 0. | 0. | 0. |
| | | | | |
| | | | | |
| | | | | |

**2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."**

| (a) Name and address of each employee paid more than $50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 . . . . ▶

## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

**3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."**

| (a) Name and address of each person paid more than $50,000 | (b) Type of service | (c) Compensation |
|---|---|---|
| NONE | | |
| | | |
| | | |
| | | |
| | | |

**Total** number of others receiving over $50,000 for professional services . . . . . . . . . . . . . . . . . . . . . . . . ▶

## Part IX-A Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc | Expenses |
|---|---|
| 1 N/A | |
| 2 | |
| 3 | |
| 4 | |

## Part IX-B Summary of Program-Related Investments (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|---|---|
| 1 NONE | |
| 2 | |
| All other program-related investments See instructions | |
| 3<br>NONE | |
| **Total.** Add lines 1 through 3 . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ | |

**Part X** **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | |
| a | Average monthly fair market value of securities | 1a | |
| b | Average of monthly cash balances | 1b | 41,148. |
| c | Fair market value of all other assets (see instructions) | 1c | 7,356,479. |
| d | **Total** (add lines 1a, b, and c) | 1d | 7,397,627. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | |
| 3 | Subtract line 2 from line 1d | 3 | 7,397,627. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions) | 4 | 110,964. |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 7,286,663. |
| 6 | **Minimum investment return.** Enter 5% of line 5 | 6 | 364,333. |

**Part XI** **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ▶ ☐ and do not complete this part.)

| | | | | | |
|---|---|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | | | 1 | 364,333. |
| 2a | Tax on investment income for 2019 from Part VI, line 5 | 2a | 8,970. | | |
| b | Income tax for 2019. (This does not include the tax from Part VI.) | 2b | | | |
| c | Add lines 2a and 2b | | | 2c | 8,970. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | | | 3 | 355,363. |
| 4 | Recoveries of amounts treated as qualifying distributions | | | 4 | |
| 5 | Add lines 3 and 4 | | | 5 | 355,363. |
| 6 | Deduction from distributable amount (see instructions) | | | 6 | |
| 7 | **Distributable amount** as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | | | 7 | 355,363. |

**Part XII** **Qualifying Distributions** (see instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 | 1a | 5,239,487. |
| b | Program-related investments - total from Part IX-B | 1b | |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | **Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 5,239,487. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions | 5 | 0. |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 5,239,487. |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

| | (a) Corpus | (b) Years prior to 2018 | (c) 2018 | (d) 2019 |
|---|---|---|---|---|
| 1 Distributable amount for 2019 from Part XI, line 7 | | | | 355,363. |
| 2 Undistributed income, if any, as of the end of 2019 | | | | |
| a Enter amount for 2018 only | | | | |
| b Total for prior years 20 17 ,20 16 ,20 15 | | | | |
| 3 Excess distributions carryover, if any, to 2019 | | | | |
| a From 2014 . . . 280,492. | | | | |
| b From 2015 | | | | |
| c From 2016 . . . 915,437. | | | | |
| d From 2017 . . . 1,520,106. | | | | |
| e From 2018 . . . 2,881,865. | | | | |
| f Total of lines 3a through e | 5,597,900. | | | |
| 4 Qualifying distributions for 2019 from Part XII, line 4 ▶ $ 5,239,487. | | | | |
| a Applied to 2018, but not more than line 2a | | | | |
| b Applied to undistributed income of prior years (Election required - see instructions) | | | | |
| c Treated as distributions out of corpus (Election required - see instructions) | | | | |
| d Applied to 2019 distributable amount | | | | 355,363. |
| e Remaining amount distributed out of corpus | 4,884,124. | | | |
| 5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a).) | | | | |
| 6 **Enter the net total of each column as indicated below:** | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 10,482,024. | | | |
| b Prior years' undistributed income Subtract line 4b from line 2b | | | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | | | |
| d Subtract line 6c from line 6b Taxable amount - see instructions | | | | |
| e Undistributed income for 2018 Subtract line 4a from line 2a Taxable amount - see instructions | | | | |
| f Undistributed income for 2019 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2020 | | | | |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) | | | | |
| 8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions) | 280,492. | | | |
| 9 **Excess distributions carryover to 2020.** Subtract lines 7 and 8 from line 6a | 10,201,532. | | | |
| 10 Analysis of line 9 | | | | |
| a Excess from 2015 | | | | |
| b Excess from 2016 . . . 915,437. | | | | |
| c Excess from 2017 . . . 1,520,106. | | | | |
| d Excess from 2018 . . . 2,881,865. | | | | |
| e Excess from 2019 . . . 4,884,124. | | | | |

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling . . . . . . . . . . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section [ ] 4942(j)(3) or [ ] 4942(j)(5)

| | Tax year | Prior 3 years | | | |
|---|---|---|---|---|---|
| | (a) 2019 | (b) 2018 | (c) 2017 | (d) 2016 | (e) Total |
| 2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . . . . . | | | | | |
| b 85% of line 2a . . . . . . | | | | | |
| c Qualifying distributions from Part XII, line 4, for each year listed . | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities . . . . . | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c . . . . . . | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon . . | | | | | |
| a "Assets" alternative test - enter | | | | | |
| (1) Value of all assets . . . . | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . . | | | | | |
| b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . | | | | | |
| c "Support" alternative test - enter | | | | | |
| (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . . . | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii) . . . . . . | | | | | |
| (3) Largest amount of support from an exempt organization . . . . . . | | | | | |
| (4) Gross investment income . | | | | | |

**Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)**

**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV Supplementary Information** *(continued)*

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| ATCH 8 | | | | |
| **Total** . . . . . . . . . . ▶ **3a** | | | | 5,239,487. |
| **b** *Approved for future payment* | | | | |
| **Total** . . . . . . . . . . ▶ **3b** | | | | |

**Part XVI-A Analysis of Income-Producing Activities**

| Enter gross amounts unless otherwise indicated. | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income (See instructions.) |
|---|---|---|---|---|---|
| | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | |
| 1 Program service revenue | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | 14 | 12,374. | |
| 4 Dividends and interest from securities | | | | | |
| 5 Net rental income or (loss) from real estate: | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | -67,608. | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue: a | | | | | |
| b ATCH 9 | | 43,912. | | 437,536. | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal. Add columns (b), (d), and (e) | | 43,912. | | 382,302. | |

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 426,214.

(See worksheet in line 13 instructions to verify calculations.)

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.) |
|---|---|

## Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of | | | |
| | (1) Cash | 1a(1) | | X |
| | (2) Other assets | 1a(2) | | X |
| b | Other transactions | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| | (4) Reimbursement arrangements | 1b(4) | | X |
| | (5) Loans or loan guarantees | 1b(5) | | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

| (a) Line no | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | N/A | | N/A |

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [signature] Date: 11/12/20 Title: President

May the IRS discuss this return with the preparer shown below? See instructions ☒ Yes ☐ No

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's signature | Date | Check ☐ if self-employed | PTIN |
|---|---|---|---|---|
| DAPHNE MCCOY | Daphne McCoy | 11/11/2020 | | P00223571 |

Firm's name ▶ MILLER, COOPER & CO., LTD. Firm's EIN ▶ 36-2897372

Firm's address ▶ 1751 LAKE COOK ROAD, SUITE 400 DEERFIELD, IL 60015 Phone no 847-205-5000

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | PUBLICLY TRADED SECURITIES | | | | | VARIOUS | VARIOUS |
| 6,666,094. | | 6,733,702. | | | | | -67,608. | |
| TOTAL GAIN(LOSS) | | | | | | | -67,608. | |

**Schedule B**
**(Form 990, 990-EZ, or 990-PF)**
Department of the Treasury
Internal Revenue Service

# Schedule of Contributors

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**
▶ **Go to *www.irs.gov/Form990* for the latest information.**

OMB No 1545-0047

**2019**

Name of the organization: THE POLSKY FOUNDATION

**Employer identification number**: 65-1164639

**Organization type** (check one):

| **Filers of:** | **Section:** |
|---|---|
| Form 990 or 990-EZ | ☐ 501(c)( ) (enter number) organization |
| | ☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation |
| | ☐ 527 political organization |
| Form 990-PF | ☒ 501(c)(3) exempt private foundation |
| | ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation |
| | ☐ 501(c)(3) taxable private foundation |

Check if your organization is covered by the **General Rule** or a **Special Rule.**

**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions

**General Rule**

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor Complete Parts I and II. See instructions for determining a contributor's total contributions

**Special Rules**

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000; or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling $5,000 or more during the year . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ $ ____________

**Caution:** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization THE POLSKY FOUNDATION

Employer identification number 65-1164639

**Part I** **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
|---|---|---|---|
| 1 | MICHAEL P. POLSKY<br>ONE SOUTH WACKER DRIVE, SUITE 1810<br>CHICAGO, IL 60606 | $ 1,506,058. | Person [X]<br>Payroll [ ]<br>Noncash [ ]<br>(Complete Part II for noncash contributions.) |
| 2 | MICHAEL P. POLSKY<br>ONE SOUTH WACKER DRIVE, SUITE 1810<br>CHICAGO, IL 60606 | $ 6,733,702. | Person [ ]<br>Payroll [ ]<br>Noncash [X]<br>(Complete Part II for noncash contributions ) |
| | | $ | Person [ ]<br>Payroll [ ]<br>Noncash [ ]<br>(Complete Part II for noncash contributions ) |
| | | $ | Person [ ]<br>Payroll [ ]<br>Noncash [ ]<br>(Complete Part II for noncash contributions ) |
| | | $ | Person [ ]<br>Payroll [ ]<br>Noncash [ ]<br>(Complete Part II for noncash contributions ) |
| | | $ | Person [ ]<br>Payroll [ ]<br>Noncash [ ]<br>(Complete Part II for noncash contributions ) |

Name of organization THE POLSKY FOUNDATION

Employer identification number 65-1164639

**Part II** **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions.) | (d) Date received |
|---|---|---|---|
| 2 | SEE ATTACHED | $ 6,733,702. | VAR |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions.) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions.) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions.) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions.) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions.) | (d) Date received |
|---|---|---|---|
| | | $ | |

Name of organization THE POLSKY FOUNDATION

Employer identification number 65-1164639

**Part III** ***Exclusively*** **religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor.** Complete columns **(a)** through **(e)** and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **$1,000 or less** for the year. (Enter this information once. See instructions.) ▶ $
Use duplicate copies of Part III if additional space is needed.

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

(e) Transfer of gift

| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
|---|---|
| | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

(e) Transfer of gift

| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
|---|---|
| | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

(e) Transfer of gift

| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
|---|---|
| | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

(e) Transfer of gift

| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
|---|---|
| | |

THE POLSKY FOUNDATION
FEIN 65-1164639
2019 STOCK CONTRIBUTIONS RECEIVED

| Security Name | Gift Qty | Purchase Date | Contribution Date | Total Cost | Gift Mkt Value | Recepient |
|---|---|---|---|---|---|---|
| FORTINET INC | 764 | 04/30/2014 | 01/29/2019 | $16,471 84 | $53,862 00 | Polsky Foundation |
| SALESFORCE COM INC | 51 | 04/30/2014 | 01/29/2019 | $2,574 48 | $7,778 01 | Polsky Foundation |
| KEYSIGHT TECHNOLOGIES INC | 217 | 02/18/2016 | 01/29/2019 | $5,040 91 | $15,098 86 | Polsky Foundation |
| BURLINGTON STORES INC | 86 | 04/21/2016 | 01/29/2019 | $4,954 46 | $14,574 42 | Polsky Foundation |
| AMAZON COM INC | 80 | 01/07/2016 | 01/29/2019 | $48,636 00 | $135,696 00 | Polsky Foundation |
| SALESFORCE COM INC | 12 | 10/08/2014 | 01/29/2019 | $676 56 | $1,830 12 | Polsky Foundation |
| Visa Inc | 20 | 10/08/2014 | 01/29/2019 | $1,042 80 | $2,770 00 | Polsky Foundation |
| Mastercard Inc | 678 | 07/18/2014 | 01/29/2019 | $52,545 00 | $136,956 00 | Polsky Foundation |
| Visa Inc | 32 | 07/28/2014 | 01/29/2019 | $1,713 92 | $4,432 00 | Polsky Foundation |
| PRIMERICA INC | 117 | 02/18/2016 | 01/29/2019 | $4,973 67 | $12,853 62 | Polsky Foundation |
| CSX CORP | 1008 | 12/15/2015 | 01/29/2019 | $26,510 40 | $67,898 88 | Polsky Foundation |
| TELEDYNE TECH | 59 | 03/18/2016 | 01/29/2019 | $5,214 42 | $13,283 26 | Polsky Foundation |
| Visa Inc | 816 | 07/18/2014 | 01/29/2019 | $44,920 80 | $113,016 00 | Polsky Foundation |
| LPL INVT HLDGS INC | 1581 | 08/23/2016 | 01/29/2019 | $45,564 42 | $112,061 28 | Polsky Foundation |
| ILLUMINA INC | 94 | 12/01/2016 | 01/29/2019 | $12,006 62 | $29,398 50 | Polsky Foundation |
| GENWORTH FINL INC | 2591 | 02/18/2016 | 01/29/2019 | $5,311 55 | $12,980 91 | Polsky Foundation |
| SERVICE CORP INTL | 75 | 01/31/2014 | 01/29/2019 | $1,331 25 | $3,246 75 | Polsky Foundation |
| GROUPE CGI INC CL A SUB VTG | 115 | 04/11/2013 | 01/29/2019 | $3,086 60 | $7,527 90 | Polsky Foundation |
| NETAPP INC COM | 196 | 07/20/2016 | 01/29/2019 | $5,078 36 | $12,344 08 | Polsky Foundation |
| LAMB WESTON HOLDINGS | 40 | 02/18/2016 | 01/29/2019 | $1,179 20 | $2,835 20 | Polsky Foundation |
| ILLUMINA INC | 112 | 12/16/2016 | 01/29/2019 | $14,674 24 | $35,028 00 | Polsky Foundation |
| Visa Inc | 299 | 01/22/2014 | 01/29/2019 | $17,377 88 | $41,411 50 | Polsky Foundation |
| UNITEDHEALTH GROUP INC | 125 | 01/07/2016 | 01/29/2019 | $14,012 50 | $33,187 50 | Polsky Foundation |
| DANAHER CORP DEL | 1259 | 05/02/2013 | 01/29/2019 | $57,574 07 | $135,279 55 | Polsky Foundation |
| SALESFORCE COM INC | 200 | 03/16/2015 | 01/29/2019 | $13,238 00 | $30,502 00 | Polsky Foundation |
| CISCO SYS INC | 1550 | 09/14/2012 | 01/29/2019 | $30,411 00 | $69,796 50 | Polsky Foundation |
| MICROSOFT CORP | 74 | 10/12/2015 | 01/29/2019 | $3,478 74 | $7,970 54 | Polsky Foundation |
| SMITH & NEPHEW PLC | 8 | 11/27/2013 | 01/29/2019 | $133 28 | $303 76 | Polsky Foundation |
| Marathon Petro | 163 | 06/10/2016 | 01/29/2019 | $4,740 04 | $10,772 67 | Polsky Foundation |
| NORFOLK SOUTHERN CORP | 66 | 02/18/2016 | 01/29/2019 | $4,939 44 | $11,157 30 | Polsky Foundation |
| DIAGEO P L C | 175 | 08/04/2009 | 01/29/2019 | $11,012 75 | $24,874 50 | Polsky Foundation |
| SERVICE CORP INTL | 10 | 04/08/2014 | 01/29/2019 | $192 00 | $432 90 | Polsky Foundation |
| METHANEX CORP COM | 175 | 01/21/2016 | 01/29/2019 | $4,593 75 | $10,318 00 | Polsky Foundation |
| SVB FINANCIAL GROUP COMMON COM | 48 | 07/20/2016 | 01/29/2019 | $4,974 24 | $11,087 52 | Polsky Foundation |
| Adtalem Global Education Inc | 228 | 07/20/2016 | 01/29/2019 | $5,006 88 | $11,144 64 | Polsky Foundation |
| UNITEDHEALTH GROUP INC | 986 | 12/29/2015 | 01/29/2019 | $118,152 38 | $261,783 00 | Polsky Foundation |
| CSL LTD ADR | 214 | 10/12/2015 | 01/29/2019 | $7,010 64 | $15,232 52 | Polsky Foundation |
| SVB FINANCIAL GROUP COMMON COM | 108 | 04/30/2014 | 01/29/2019 | $11,489 04 | $24,946 92 | Polsky Foundation |
| ALPHABET INC-CL A | 50 | 01/20/2015 | 01/29/2019 | $25,699 50 | $55,365 00 | Polsky Foundation |
| BANKAMERICA CORP | 7149 | 03/18/2016 | 01/29/2019 | $98,656 20 | $209,465 70 | Polsky Foundation |
| ANTHEM INC | 110 | 09/16/2016 | 01/29/2019 | $13,808 30 | $29,273 20 | Polsky Foundation |
| LEIDOS HOLDINGS INC | 451 | 12/29/2015 | 01/29/2019 | $12,104 84 | $25,652 88 | Polsky Foundation |
| DEUTSCHE BOERSE UNSP/ADR | 1160 | 04/11/2013 | 01/29/2019 | $7,168 80 | $15,080 00 | Polsky Foundation |
| BANKAMERICA CORP | 850 | 10/03/2013 | 01/29/2019 | $11,840 50 | $24,905 00 | Polsky Foundation |
| BHP BILLITON LTD | 268 | 02/25/2016 | 01/29/2019 | $6,121 12 | $12,874 72 | Polsky Foundation |
| ORACLE CORP | 4321 | 02/02/2010 | 01/29/2019 | $102,191 65 | $212,895 67 | Polsky Foundation |
| Zoetis Inc | 124 | 03/18/2016 | 01/29/2019 | $5,067 88 | $10,489 16 | Polsky Foundation |
| HP INC | 5165 | 02/18/2016 | 01/29/2019 | $54,387 45 | $112,338 75 | Polsky Foundation |
| FTI CONSULTING INC | 399 | 08/25/2017 | 01/29/2019 | $13,262 76 | $27,291 60 | Polsky Foundation |
| DEERE & CO | 61 | 02/18/2016 | 01/29/2019 | $4,900 74 | $10,041 21 | Polsky Foundation |
| TARGA RES CORP | 159 | 12/15/2015 | 01/29/2019 | $3,399 42 | $6,916 50 | Polsky Foundation |
| BANKAMERICA CORP | 6366 | 07/20/2016 | 01/29/2019 | $91,734 06 | $186,523 80 | Polsky Foundation |
| INTEL CORP | 965 | 06/21/2013 | 01/29/2019 | $23,381 95 | $47,468 35 | Polsky Foundation |
| IQVIA HOLDINGS | 84 | 02/18/2016 | 01/29/2019 | $5,270 16 | $10,683 96 | Polsky Foundation |
| MICROSOFT CORP | 529 | 11/12/2015 | 01/29/2019 | $28,211 57 | $56,978.59 | Polsky Foundation |
| PROGRESSIVE CORP OHIO | 156 | 02/18/2016 | 01/29/2019 | $4,985 76 | $10,049 52 | Polsky Foundation |
| NORFOLK SOUTHERN CORP | 263 | 03/18/2016 | 01/29/2019 | $22,099 89 | $44,460 15 | Polsky Foundation |
| LAUDER ESTEE COS INC | 755 | 03/15/2013 | 01/29/2019 | $47,972 70 | $96,292 70 | Polsky Foundation |
| BHP BILLITON LTD | 196 | 12/15/2015 | 01/29/2019 | $4,692 24 | $9,415 84 | Polsky Foundation |
| PT BK MANDIRI PERSERO TBK | 1062 | 08/28/2013 | 01/29/2019 | $5,957 82 | $11,883 78 | Polsky Foundation |
| HCA - THE HEALTHCARE COMPANY | 483 | 02/18/2016 | 01/29/2019 | $32,616 99 | $64,982 82 | Polsky Foundation |
| DEERE & CO | 62 | 03/18/2016 | 01/29/2019 | $5,123 68 | $10,205 82 | Polsky Foundation |
| CME Group Inc | 780 | 12/29/2015 | 01/29/2019 | $72,017 40 | $143,075 40 | Polsky Foundation |
| AMERICAN EQTY INVT LIF | 327 | 06/17/2016 | 01/29/2019 | $5,091 39 | $10,107 57 | Polsky Foundation |
| AMERICAN EQTY INVT LIF | 313 | 06/10/2016 | 01/29/2019 | $4,892 19 | $9,674 83 | Polsky Foundation |
| CACI International Inc (Cl CL A | 587 | 08/28/2015 | 01/29/2019 | $46,067 76 | $90,943 91 | Polsky Foundation |
| HCA - THE HEALTHCARE COMPANY | 276 | 11/05/2014 | 01/29/2019 | $18,820 44 | $37,133 04 | Polsky Foundation |
| ALPHABET INC-CL A | 100 | 03/16/2015 | 01/29/2019 | $56,279 00 | $110,730 00 | Polsky Foundation |
| INTEL CORP | 165 | 03/24/2014 | 01/29/2019 | $4,129 95 | $8,116 35 | Polsky Foundation |

| | | | | | | |
|---|---|---|---|---|---|---|
| E*TRADE Financial Corporati COM NEW | 189 | 06/10/2016 | 01/29/2019 | $4,879 98 | $9,574 74 | Polsky Foundation |
| UNILEVER N V | 682 | 08/04/2009 | 01/29/2019 | $18,598 14 | $36,350 60 | Polsky Foundation |
| DBS GROUP HLDGS LTD | 273 | 01/21/2016 | 01/29/2019 | $10,548 72 | $20,423 13 | Polsky Foundation |
| S&P Global Inc | 52 | 03/18/2016 | 01/29/2019 | $5,085 60 | $9,809 28 | Polsky Foundation |
| MICROSOFT CORP | 388 | 07/20/2016 | 01/29/2019 | $21,696 96 | $41,791 48 | Polsky Foundation |
| DEERE & CO | 58 | 06/10/2016 | 01/29/2019 | $4,969 44 | $9,547 38 | Polsky Foundation |
| Repsol YPF S A. ADS SPONSORED ADR | 1611 | 01/21/2016 | 01/29/2019 | $14,386 23 | $27,612 54 | Polsky Foundation |
| NextEra Energy Inc COM | 1024 | 03/12/2014 | 01/29/2019 | $94,054 40 | $180,316 16 | Polsky Foundation |
| LVMH MOET HENNESSY -ADR | 169 | 01/21/2016 | 01/29/2019 | $5,100 42 | $9,741 16 | Polsky Foundation |
| BEST BUY INC | 915 | 02/18/2016 | 01/29/2019 | $28,145 40 | $53,719 65 | Polsky Foundation |
| CDW CORP | 127 | 06/10/2016 | 01/29/2019 | $5,438 14 | $10,374 63 | Polsky Foundation |
| MICROSOFT CORP | 2004 | 12/29/2015 | 01/29/2019 | $113,346 24 | $215,850 84 | Polsky Foundation |
| FIRST INDUSTRIAL REALT | 98 | 10/04/2013 | 01/29/2019 | $1,618 96 | $3,066 42 | Polsky Foundation |
| HollyFrontier Corporation COM PAR $0 01 | 318 | 08/25/2017 | 01/29/2019 | $9,403 26 | $17,798 46 | Polsky Foundation |
| RITCHIE BROS AUCTIONEERS | 34 | 09/20/2012 | 01/29/2019 | $652 80 | $1,232 50 | Polsky Foundation |
| FIRST INDUSTRIAL REALT | 70 | 10/08/2013 | 01/29/2019 | $1,163 40 | $2,190 30 | Polsky Foundation |
| CF INDUSTRIES HOLDINGS INC | 645 | 10/18/2016 | 01/29/2019 | $15,138 15 | $28,496 10 | Polsky Foundation |
| ROYAL DSM N V SPON ADR | 620 | 02/25/2016 | 01/29/2019 | $7,526 80 | $14,148 40 | Polsky Foundation |
| UNION PAC CORP | 1315 | 01/22/2014 | 01/29/2019 | $110,788 75 | $208,217 10 | Polsky Foundation |
| RITCHIE BROS AUCTIONEERS | 25 | 09/21/2012 | 01/29/2019 | $483 50 | $906 25 | Polsky Foundation |
| TEXAS INSTRS INC | 93 | 02/18/2016 | 01/29/2019 | $4,935 51 | $9,246 06 | Polsky Foundation |
| HEWLETT PACKARD ENTERPRISE | 2411 | 02/18/2016 | 01/29/2019 | $18,950 46 | $35,393 48 | Polsky Foundation |
| FIRST INDUSTRIAL REALT | 127 | 10/07/2013 | 01/29/2019 | $2,128 52 | $3,973 83 | Polsky Foundation |
| FIRST INDUSTRIAL REALT | 68 | 10/01/2013 | 01/29/2019 | $1,140 36 | $2,127 72 | Polsky Foundation |
| ARMSTRONG WORLD INDS INC NEW | 125 | 02/18/2016 | 01/29/2019 | $4,353 75 | $8,113 75 | Polsky Foundation |
| AMERICAN EQTY INVT LIF | 2383 | 08/23/2016 | 01/29/2019 | $39,557 80 | $73,658 53 | Polsky Foundation |
| ABBOTT LABORATORIES | 128 | 06/10/2016 | 01/29/2019 | $4,916 48 | $9,141 76 | Polsky Foundation |
| RITCHIE BROS AUCTIONEERS | 2 | 09/19/2012 | 01/29/2019 | $39 00 | $72 50 | Polsky Foundation |
| VARIAN MED SYS INC | 73 | 02/18/2016 | 01/29/2019 | $5,030 43 | $9,332 32 | Polsky Foundation |
| NEWMONT MINING CORP | 3078 | 08/28/2015 | 01/29/2019 | $52,880 04 | $97,788 06 | Polsky Foundation |
| INGERSOLL-RAND PLC SHS | 95 | 02/18/2016 | 01/29/2019 | $4,950 45 | $9,130 45 | Polsky Foundation |
| ABBVIE INC | 12 | 10/23/2014 | 01/29/2019 | $583 08 | $1,074 00 | Polsky Foundation |
| SPIRIT AEROSYSTEMS HLDGS INC | 112 | 09/16/2016 | 01/29/2019 | $4,841 76 | $8,900 64 | Polsky Foundation |
| SOFTBANK CP UNSP ADR | 462 | 01/21/2016 | 01/29/2019 | $9,138.36 | $16,798 32 | Polsky Foundation |
| RELX PLC SPONSORED ADR | 790 | 04/11/2013 | 01/29/2019 | $9,322 00 | $17,119 30 | Polsky Foundation |
| ABBVIE INC | 1406 | 01/22/2014 | 01/29/2019 | $68,584 68 | $125,837 00 | Polsky Foundation |
| ANTHEM INC | 398 | 12/01/2016 | 01/29/2019 | $57,781 64 | $105,915 76 | Polsky Foundation |
| MORGAN STANLEY COM NEW | 206 | 02/18/2016 | 01/29/2019 | $4,937 82 | $9,000 14 | Polsky Foundation |
| COSTCO COMPANIES INC | 699 | 07/18/2014 | 01/29/2019 | $115,404 84 | $149,299 41 | Polsky Foundation |
| | | | | $2,332,300.51 | $4,800,079.08 | |
| APPLE INC | 263 | 01/07/2016 | 02/27/2019 | $25,368 98 | $45,243 89 | Polsky Foundation |
| ABBOTT LABORATORIES | 41 | 02/10/2017 | 02/27/2019 | $1,752 75 | $3,097 14 | Polsky Foundation |
| ACCENTURE PLC CL A | 500 | 03/16/2015 | 02/27/2019 | $44,375 00 | $79,855 00 | Polsky Foundation |
| AES CORP | 2330 | 12/15/2015 | 02/27/2019 | $21,575 80 | $39,959 50 | Polsky Foundation |
| AMERICAN TOWER REIT COM | 127 | 03/18/2016 | 02/27/2019 | $12,766 04 | $22,439 63 | Polsky Foundation |
| AMPHENOL CORP-CL A | 96 | 02/18/2016 | 02/27/2019 | $5,002 56 | $8,974 08 | Polsky Foundation |
| ALEXANDRIA REAL ESTATE | 69 | 02/18/2016 | 02/27/2019 | $5,023 20 | $9,312 93 | Polsky Foundation |
| ARMSTRONG WORLD INDS INC NEW | 117 | 03/18/2016 | 02/27/2019 | $4,540 77 | $8,144 37 | Polsky Foundation |
| AUTOZONE INC | 44 | 07/17/2017 | 02/27/2019 | $22,161 48 | $40,530 16 | Polsky Foundation |
| BOOZ ALLEN HAMILTON HLDG CORP | 170 | 06/10/2016 | 02/27/2019 | $4,967.40 | $9,139 20 | Polsky Foundation |
| BALCHEM CORP COM | 17 | 07/31/2014 | 02/27/2019 | $840 99 | $1,494 64 | Polsky Foundation |
| BALCHEM CORP COM | 20 | 07/31/2014 | 02/27/2019 | $989 40 | $1,758 40 | Polsky Foundation |
| CATERPILLAR INC DEL | 63 | 04/21/2016 | 02/27/2019 | $4,956 21 | $8,839 53 | Polsky Foundation |
| CBRE GROUP INC CL A | 328 | 10/18/2016 | 02/27/2019 | $8,744 48 | $16,439 36 | Polsky Foundation |
| CBRE GROUP INC CL A | 174 | 06/17/2016 | 02/27/2019 | $4,892 88 | $8,720 88 | Polsky Foundation |
| CROWN CASTLE INTL CORP | 997 | 11/06/2012 | 02/27/2019 | $66,789 03 | $119,221 26 | Polsky Foundation |
| Citizens Financial Group Inc | 804 | 07/20/2016 | 02/27/2019 | $17,149 32 | $30,278 64 | Polsky Foundation |
| CULLEN FROST BANKERS I | 90 | 03/18/2016 | 02/27/2019 | $5,257 80 | $9,513 00 | Polsky Foundation |
| Charter Communications Inc CL A NEW | 40 | 12/15/2015 | 02/27/2019 | $7,243 60 | $13,990 40 | Polsky Foundation |
| Charter Communications Inc CL A NEW | 105 | 02/18/2016 | 02/27/2019 | $19,714 80 | $36,724 80 | Polsky Foundation |
| CLEVELAND-CLIFFS INC | 1182 | 02/02/2018 | 02/27/2019 | $7,671 18 | $13,746 66 | Polsky Foundation |
| CHIPOTLE MEXICAN GRILL INC | 67 | 10/23/2017 | 02/27/2019 | $21,470 82 | $40,048 58 | Polsky Foundation |
| CUMMINS ENGINE INC | 785 | 12/15/2015 | 02/27/2019 | $68,381 35 | $121,981 15 | Polsky Foundation |
| CENTERPOINT ENERGY INC | 3865 | 11/12/2015 | 02/27/2019 | $65,395 80 | $119,737 70 | Polsky Foundation |
| CONOCOPHILLIPS | 720 | 10/29/2009 | 02/27/2019 | $28,000 80 | $50,868 00 | Polsky Foundation |
| CONOCOPHILLIPS | 125 | 09/16/2016 | 02/27/2019 | $4,985 00 | $8,831 25 | Polsky Foundation |
| CISCO SYS INC | 2361 | 02/18/2016 | 02/27/2019 | $62,424 84 | $117,176 43 | Polsky Foundation |
| EATON CORP | 200 | 11/30/2012 | 02/27/2019 | $8,768 00 | $15,944 00 | Polsky Foundation |
| F M C CORP | 127 | 06/17/2016 | 02/27/2019 | $6,134 10 | $11,106 15 | Polsky Foundation |
| CORNING INC | 264 | 02/18/2016 | 02/27/2019 | $4,947 36 | $9,150 24 | Polsky Foundation |
| GARMIN LTD SHS | 122 | 02/18/2016 | 02/27/2019 | $4,975 16 | $10,133 32 | Polsky Foundation |
| HP INC | 385 | 06/17/2016 | 02/27/2019 | $5,055 05 | $9,001 30 | Polsky Foundation |
| HUMANA INC | 32 | 10/18/2016 | 02/27/2019 | $5,544 96 | $9,884 16 | Polsky Foundation |

| | | | | | | |
|---|---|---|---|---|---|---|
| HUMANA INC | 29 | 09/16/2016 | 02/27/2019 | $5,064 27 | $8,957 52 | Polsky Foundation |
| JACOBS ENGR GROUP INC | 699 | 08/28/2015 | 02/27/2019 | $27,939 03 | $51,586 20 | Polsky Foundation |
| JP MORGAN CHASE & CO | 2536 | 01/07/2016 | 02/27/2019 | $152,870 08 | $268,029 84 | Polsky Foundation |
| L3 TECHNOLOGIES INC | 73 | 02/18/2016 | 02/27/2019 | $8,573 12 | $15,546 08 | Polsky Foundation |
| MARRIOTT INTL INC | 75 | 02/18/2016 | 02/27/2019 | $4,871 25 | $9,522 75 | Polsky Foundation |
| NIKE INC | 156 | 12/22/2014 | 02/27/2019 | $7,441 20 | $13,235 04 | Polsky Foundation |
| NIKE INC | 28 | 11/19/2014 | 02/27/2019 | $1,355 48 | $2,375 52 | Polsky Foundation |
| O REILLY AUTOMOTIVE IN | 108 | 08/25/2017 | 02/27/2019 | $21,847 32 | $41,426 64 | Polsky Foundation |
| OSHKOSH TRUCK CORP | 107 | 06/10/2016 | 02/27/2019 | $4,875 99 | $8,610 29 | Polsky Foundation |
| PACKAGING CORP AMER | 89 | 03/18/2016 | 02/27/2019 | $5,091 69 | $8,964 08 | Polsky Foundation |
| REGIONS FINL CORP | 549 | 06/17/2016 | 02/27/2019 | $4,995 90 | $8,981 64 | Polsky Foundation |
| ROBERT HALF INTL INC | 519 | 10/18/2016 | 02/27/2019 | $19,758 33 | $35,151 87 | Polsky Foundation |
| SLM CORPORATION | 815 | 02/18/2016 | 02/27/2019 | $4,841 10 | $9,274 70 | Polsky Foundation |
| SPIRIT AEROSYSTEMS HLDGS INC | 115 | 10/18/2016 | 02/27/2019 | $5,511 95 | $11,267 70 | Polsky Foundation |
| SUNTRUST BKS INC | 138 | 03/18/2016 | 02/27/2019 | $5,133 60 | $9,183 90 | Polsky Foundation |
| SEAGATE TECHNOLOGIES | 427 | 06/10/2016 | 02/27/2019 | $9,885 05 | $19,595 03 | Polsky Foundation |
| SEAGATE TECHNOLOGIES | 220 | 06/17/2016 | 02/27/2019 | $5,110 60 | $10,095 80 | Polsky Foundation |
| STRYKER CORP | 52 | 02/18/2016 | 02/27/2019 | $5,041 40 | $9,757 28 | Polsky Foundation |
| TCF FINL CORP COM | 413 | 03/18/2016 | 02/27/2019 | $5,183 15 | $9,750 93 | Polsky Foundation |
| TEXAS INSTRS INC | 89 | 03/18/2016 | 02/27/2019 | $5,081 90 | $9,606 66 | Polsky Foundation |
| UNITED TECHNOLOGIES CO | 1657 | 11/25/2011 | 02/27/2019 | $118,226 95 | $212,808 51 | Polsky Foundation |
| Versum Materials Inc | 100 | 03/20/2014 | 02/27/2019 | $1,997 00 | $3,928 00 | Polsky Foundation |
| Waste Management Inc COM | 643 | 02/18/2016 | 02/27/2019 | $35,570 76 | $63,772 74 | Polsky Foundation |
| WHITE MTN INSURANCE | 23 | 07/31/2012 | 02/27/2019 | $11,845 00 | $20,973 47 | Polsky Foundation |
| YUM CHINA HOLDINGS | 476 | 07/18/2014 | 02/27/2019 | $10,448 20 | $19,934 88 | Polsky Foundation |
| | | | | $1,066,427.23 | $1,933,622.82 | |

ATTACHMENT 1

## FORM 990PF, PART I - OTHER INCOME

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME | ADJUSTED NET INCOME |
|---|---|---|---|
| TACONIC CRE DISLOCATION FUND K-1 | 167,155. | 167,155. | |
| TCP DIRECT LENDING FUND VIII-L, LLC | 134,490. | 90,578. | |
| TACONIC CRE DISLOCATION FUND II L.P. | 30,468. | 30,468. | |
| MALACHITE CAPITAL PARTNERS LP | 156,087. | 156,087. | |
| VOLEON INVESTORS FUND LP | -6,752. | -6,752. | |
| TOTALS | 481,448. | 437,536. | |

ATTACHMENT 2

## FORM 990PF, PART I - TAXES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME | ADJUSTED NET INCOME | CHARITABLE PURPOSES |
|---|---|---|---|---|
| STATE TAXES - IL | 246. | 246. | | |
| FEDERAL TAXES | 5,740. | | | |
| TOTALS | 5,986. | 246. | | |

ATTACHMENT 3

## FORM 990PF, PART I - OTHER EXPENSES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME | ADJUSTED NET INCOME | CHARITABLE PURPOSES |
|---|---|---|---|---|
| OTHER EXPENSES | 1,145. | 1,145. | | |
| TOTALS | 1,145. | 1,145. | | |

ATTACHMENT 4

FORM 990PF, PART II - OTHER INVESTMENTS

| DESCRIPTION | ENDING BOOK VALUE | ENDING FMV |
|---|---|---|
| PINEHURST INSTITUTION | 1,471,004. | 1,471,004. |
| TACONIC CRE INVESTMENT FUNDS | 711,763. | 711,763. |
| TCP DIRECT LENDING FUND VIII | 1,953,892. | 1,953,892. |
| TACONIC CRE DISLOCATION FUND I | 1,096,628. | 1,096,628. |
| MALACHITE CAPITAL PARTNERS | 3,156,087. | 3,156,087. |
| VOLEON INVESTORS FUND LP | 993,248. | 993,248. |
| TOTALS | 9,382,622. | 9,382,622. |

ATTACHMENT 5

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

| DESCRIPTION | | AMOUNT |
|---|---|---|
| BV TO FMV DIFFERENCE | | 288,420. |
| | TOTAL | 288,420. |

FORM 990PF, PART VII-A, LINE 10 - SUBSTANTIAL CONTRIBUTORS ATTACHMENT 6

NAME AND ADDRESS

MICHAEL P. POLSKY
ONE SOUTH WACKER DRIVE, SUITE 1810
CHICAGO, IL 60606

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 7

| NAME AND ADDRESS | TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION | COMPENSATION | CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS | EXPENSE ACCT AND OTHER ALLOWANCES |
|---|---|---|---|---|
| MICHAEL P. POLSKY<br>ONE SOUTH WACKER DRIVE, SUITE 1810<br>CHICAGO, IL 60606 | PRESIDENT AND DIRECTOR | 0. | 0. | 0. |
| JONATHAN TAIBER<br>ONE SOUTH WACKER DRIVE, SUITE 1810<br>CHICAGO, IL 60606 | TREASURER AND DIRECTOR | 0. | 0. | 0. |
| DAVID CANMANN<br>111 W. WASHINGTON, SUITE 823<br>CHICAGO, IL 60602 | SECRETARY AND DIRECTOR | 0. | 0. | 0. |
| | GRAND TOTALS | 0. | 0. | 0. |

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 8

| RECIPIENT NAME AND ADDRESS | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
|---|---|---|---|
| AMERICAN COMMITTEE FOR WEIZMANN INSTITUTE<br>180 N LASALLE DR #3110<br>CHICAGO, IL 60601 | PC | GENERAL FUND | 50,000. |
| UNIVERSITY OF CHICAGO<br>5801 S ELLIS AVE<br>CHICAGO, IL 60637 | PC | GENERAL FUND | 2,000,000. |
| LATIN SCHOOL OF CHICAGO<br>1531 N DEARBORN PKWY<br>CHICAGO, IL 60610 | PC | GENERAL FUND | 384,487. |
| NORTHWESTERN MEMORIAL FOUNDATION<br>251 E HURON ST<br>SUITE 3-200<br>CHICAGO, IL 60611 | PC | GENERAL FUND | 2,200,000. |
| ILLINOIS HOLOCAUST MUSEUM<br>9603 WOODS DR<br>SKOKIE, IL 60077 | PC | GENERAL FUND | 600,000. |
| CITIZENS UNITED FOR RESEARCH IN EPILEPSY<br>430 W ERIE ST STE 210<br>CHICAGO, IL 60654 | PC | GENERAL FUND | 5,000. |
| | | TOTAL CONTRIBUTIONS PAID | 5,239,487. |

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 9

| DESCRIPTION | BUSINESS CODE | AMOUNT | EXCLUSION CODE | AMOUNT | RELATED OR EXEMPT FUNCTION INCOME |
|---|---|---|---|---|---|
| TACONIC CRE DISLOCATION FUND K-1 | | | 16 | 167,155. | |
| TCP DIRECT LENDING FUND VIII-L, LLC | 523000 | 43,912. | 16 | 90,578. | |
| TACONIC CRE DISLOCATION FUND II L.P. | | | 16 | 30,468. | |
| MALACHITE CAPTIAL PARTNERS LP | | | 16 | 156,087. | |
| VOLEON INVESTORS FUND LP | | | 16 | -6,752. | |
| TOTALS | | 43,912. | | 437,536. | |