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Form 990-PF

Department of the Treasury  
Internal Revenue Service

Return of Private Foundation  
or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

OMB No 1545-0052

2019

Open to Public Inspection

For calendar year 2019, or tax year beginning 01-01-2019, and ending 12-31-2019

Name of foundation  
THE BATTLE FAMILY FOUNDATION

Number and street (or P O box number if mail is not delivered to street address)  
6552 WATERFRONT DRIVE

City or town, state or province, country, and ZIP or foreign postal code  
TUSCALOOSA, AL 35406

G Check all that apply

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☐ Amended return

☐ Address change

☐ Name change

H Check type of organization

☒ Section 501(c)(3) exempt private foundation

☐ Section 4947(a)(1) nonexempt charitable trust

☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 0

J Accounting method

☐ Cash

☐ Accrual

☒ Other (specify) FMV

(Part I, column (d) must be on cash basis )

A Employer identification number  
58-6343708

B Telephone number (see instructions)  
(205) 348-3620

C If exemption application is pending, check here

D 1. Foreign organizations, check here

2 Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)			
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B			
	3 Interest on savings and temporary cash investments	86,804	86,804	86,804
	4 Dividends and interest from securities	76,483	76,483	76,483
	5a Gross rents			
	b Net rental income or (loss)			
	6a Net gain or (loss) from sale of assets not on line 10	581,801		
	b Gross sales price for all assets on line 6a			
	7 Capital gain net income (from Part IV, line 2)		581,801	
	8 Net short-term capital gain			498
	9 Income modifications			
	10a Gross sales less returns and allowances			
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)	1,096,326	11,337	11,337	
12 Total. Add lines 1 through 11	1,841,414	756,425	175,122	
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc			
	14 Other employee salaries and wages			
	15 Pension plans, employee benefits			
	16a Legal fees (attach schedule)			
	b Accounting fees (attach schedule)			
	c Other professional fees (attach schedule)	21,997	21,997	21,997
	17 Interest			
	18 Taxes (attach schedule) (see instructions)	1,487	1,487	1,487
	19 Depreciation (attach schedule) and depletion			
	20 Occupancy			
	21 Travel, conferences, and meetings			
	22 Printing and publications			
	23 Other expenses (attach schedule)			
	24 Total operating and administrative expenses. Add lines 13 through 23	23,484	23,484	23,484
	25 Contributions, gifts, grants paid	90,000		90,000
	26 Total expenses and disbursements. Add lines 24 and 25	113,484	23,484	23,484
	27 Subtract line 26 from line 12			
	a Excess of revenue over expenses and disbursements	1,727,930		
	b Net investment income (if negative, enter -0-)		732,941	
c Adjusted net income (if negative, enter -0-)			151,638	

For Paperwork Reduction Act Notice, see instructions.

Cat No 11289X

Form 990-PF (2019)

<b>Part II Balance Sheets</b>		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
<b>Assets</b>	<b>1</b> Cash—non-interest-bearing . . . . .		3,659	23,659		
	<b>2</b> Savings and temporary cash investments . . . . .		35,941	196,863		
	<b>3</b> Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____					
	<b>4</b> Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____					
	<b>5</b> Grants receivable . . . . .					
	<b>6</b> Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .					
	<b>7</b> Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____					
	<b>8</b> Inventories for sale or use . . . . .					
	<b>9</b> Prepaid expenses and deferred charges . . . . .					
	<b>10a</b> Investments—U S and state government obligations (attach schedule)					
	<b>b</b> Investments—corporate stock (attach schedule) . . . . .	2,647,803	3,809,903			
	<b>c</b> Investments—corporate bonds (attach schedule) . . . . .	2,883,247	3,268,155			
	<b>11</b> Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____					
	<b>12</b> Investments—mortgage loans . . . . .					
	<b>13</b> Investments—other (attach schedule) . . . . .					
	<b>14</b> Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____					
<b>15</b> Other assets (describe ▶ _____)						
<b>16</b> <b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	5,570,650	7,298,580	0			
<b>Liabilities</b>	<b>17</b> Accounts payable and accrued expenses . . . . .					
	<b>18</b> Grants payable . . . . .					
	<b>19</b> Deferred revenue . . . . .					
	<b>20</b> Loans from officers, directors, trustees, and other disqualified persons					
	<b>21</b> Mortgages and other notes payable (attach schedule) . . . . .					
	<b>22</b> Other liabilities (describe ▶ _____)					
	<b>23</b> <b>Total liabilities</b> (add lines 17 through 22) . . . . .			0		
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/></b> <b>and complete lines 24, 25, 29 and 30.</b>					
	<b>24</b> Net assets without donor restrictions . . . . .					
	<b>25</b> Net assets with donor restrictions . . . . .					
	<b>Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/></b> <b>and complete lines 26 through 30.</b>					
	<b>26</b> Capital stock, trust principal, or current funds . . . . .					
	<b>27</b> Paid-in or capital surplus, or land, bldg, and equipment fund					
	<b>28</b> Retained earnings, accumulated income, endowment, or other funds	5,570,650	7,298,580			
	<b>29</b> <b>Total net assets or fund balances</b> (see instructions) . . . . .	5,570,650	7,298,580			
<b>30</b> <b>Total liabilities and net assets/fund balances</b> (see instructions) .	5,570,650	7,298,580				

<b>Part III Analysis of Changes in Net Assets or Fund Balances</b>		
<b>1</b> Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) . . . . .	<b>1</b>	5,570,650
<b>2</b> Enter amount from Part I, line 27a . . . . .	<b>2</b>	1,727,930
<b>3</b> Other increases not included in line 2 (itemize) ▶ _____	<b>3</b>	
<b>4</b> Add lines 1, 2, and 3 . . . . .	<b>4</b>	7,298,580
<b>5</b> Decreases not included in line 2 (itemize) ▶ _____	<b>5</b>	
<b>6</b> Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	<b>6</b>	7,298,580

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
<b>1a</b> See Additional Data Table				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
<b>a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

<b>2</b> Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	<b>2</b>	581,801
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		<b>3</b>	498

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

**1** Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2018	284,778	6,026,289	0 04726
2017	210,000	5,509,524	0 03812
2016	36,500	4,080,957	0 00894
2015	408,440	3,645,927	0 11203
2014	11,000	3,481,634	0 00316

<b>2</b> Total of line 1, column (d)	<b>2</b>	0 209501
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	0 041900
<b>4</b> Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	<b>4</b>	6,170,958
<b>5</b> Multiply line 4 by line 3	<b>5</b>	258,563
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	7,329
<b>7</b> Add lines 5 and 6	<b>7</b>	265,892
<b>8</b> Enter qualifying distributions from Part XII, line 4	<b>8</b>	90,000

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . .	<b>1</b>	14,659
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>	
<b>3</b>	Add lines 1 and 2. . . . .	<b>3</b>	14,659
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>	
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0- . . . . .	<b>5</b>	14,659
<b>6</b>	Credits/Payments		
<b>a</b>	2019 estimated tax payments and 2018 overpayment credited to 2019	<b>6a</b>	
<b>b</b>	Exempt foreign organizations—tax withheld at source . . . . .	<b>6b</b>	
<b>c</b>	Tax paid with application for extension of time to file (Form 8868) . . . . .	<b>6c</b>	
<b>d</b>	Backup withholding erroneously withheld . . . . .	<b>6d</b>	
<b>7</b>	Total credits and payments. Add lines 6a through 6d. . . . .	<b>7</b>	
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	<b>8</b>	544
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . . <b>▶</b>	<b>9</b>	15,203
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . . <b>▶</b>	<b>10</b>	
<b>11</b>	Enter the amount of line 10 to be <b>Credited to 2020 estimated tax</b> <b>▶</b> <b>Refunded</b> <b>▶</b>	<b>11</b>	

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .	<b>1a</b>	No
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). . . . . <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	<b>1b</b>	No
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .	<b>1c</b>	No
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year <b>(1)</b> On the foundation <b>▶</b> \$ _____ <b>(2)</b> On foundation managers <b>▶</b> \$ _____		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <b>▶</b> \$ _____		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . <i>If "Yes," attach a detailed description of the activities</i>	<b>2</b>	No
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> . . . . .	<b>3</b>	No
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	<b>4a</b>	No
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .	<b>4b</b>	
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . <i>If "Yes," attach the statement required by General Instruction T</i>	<b>5</b>	No
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	<b>6</b>	Yes
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i> . . . . .	<b>7</b>	Yes
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) <b>▶</b> GA _____		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	<b>8b</b>	Yes
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV <i>If "Yes," complete Part XIV</i> . . . . .	<b>9</b>	No
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i> . . . . .	<b>10</b>	No

**Part VII-A Statements Regarding Activities** (continued)

<b>11</b>	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions. . . . .	<b>11</b>		<b>No</b>
<b>12</b>	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions. . . . .	<b>12</b>		<b>No</b>
<b>13</b>	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <b>▶</b> N/A	<b>13</b>	<b>Yes</b>	
<b>14</b>	The books are in care of <b>▶</b> WILLIAM R BATTLE III Telephone no <b>▶</b> (205) 348-3620			

Located at **▶** 6552 WATERFRONT DRIVE TUSCALOOSA AL ZIP+4 **▶** 35406

<b>15</b>	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —check here . . . . . <b>▶</b> <input type="checkbox"/>			
	and enter the amount of tax-exempt interest received or accrued during the year . . . . . <b>▶</b> <b>15</b>			
<b>16</b>	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .	<b>16</b>	<b>Yes</b>	<b>No</b>
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country <b>▶</b>			

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

<b>1a</b>	During the year did the foundation (either directly or indirectly)		<b>Yes</b>	<b>No</b>
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b>	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. . . . . <input type="checkbox"/>	<b>1b</b>		<b>No</b>
<b>c</b>	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019? . . . . . <input type="checkbox"/>	<b>1c</b>		<b>No</b>
<b>2</b>	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
<b>a</b>	At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	If "Yes," list the years <b>▶</b> 20____, 20____, 20____, 20____			
<b>b</b>	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions). . . . .	<b>2b</b>		
<b>c</b>	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here <b>▶</b> 20____, 20____, 20____, 20____			
<b>3a</b>	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b>	If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019). . . . .	<b>3b</b>		
<b>4a</b>	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	<b>4a</b>		<b>No</b>
<b>b</b>	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	<b>4b</b>		<b>No</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

<b>5a</b>	During the year did the foundation pay or incur any amount to		<b>Yes</b>	<b>No</b>
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.		<b>5b</b>	
	Organizations relying on a current notice regarding disaster assistance check here.	<input checked="" type="checkbox"/>		
<b>c</b>	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d)	<input type="checkbox"/> Yes <input type="checkbox"/> No		
<b>6a</b>	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<b>6b</b>	<b>No</b>
<b>b</b>	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870			
<b>7a</b>	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<b>7b</b>	
<b>b</b>	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?			
<b>8</b>	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

<b>1 List all officers, directors, trustees, foundation managers and their compensation. See instructions</b>				
<b>(a) Name and address</b>	<b>(b) Title, and average hours per week devoted to position</b>	<b>(c) Compensation (If not paid, enter -0-)</b>	<b>(d) Contributions to employee benefit plans and deferred compensation</b>	<b>(e) Expense account, other allowances</b>
WILLIAM R BATTLE III 6552 WATERFRONT DRIVE TUSCALOOSA, AL 35406	TRUSTEE 1 00	0		
<b>2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."</b>				
<b>(a) Name and address of each employee paid more than \$50,000</b>	<b>(b) Title, and average hours per week devoted to position</b>	<b>(c) Compensation</b>	<b>(d) Contributions to employee benefit plans and deferred compensation</b>	<b>(e) Expense account, other allowances</b>
NONE				
<b>Total number of other employees paid over \$50,000.</b>				

**Part VIII**

**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3**

**Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
<b>Total</b> number of others receiving over \$50,000 for professional services.		

**Part IX-A**

**Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
<b>1</b> TO PAY OUT OR USE INCOME OR PRINCIPAL EXCLUSIVELY TOOR FOR CHARITABLE ORGANIZATIONS DESCRIBED IN AND EXEMPTFROM TAX UNDER SECTION 501(C)(3) OF THE INTERNAL REVENUECODE	0
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B**

**Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
<b>1</b>	
<b>2</b>	
All other program-related investments. See instructions.	
<b>3</b>	
<b>Total.</b> Add lines 1 through 3	

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities.	<b>1a</b>	6,171,512
<b>b</b>	Average of monthly cash balances.	<b>1b</b>	93,420
<b>c</b>	Fair market value of all other assets (see instructions).	<b>1c</b>	0
<b>d</b>	<b>Total</b> (add lines 1a, b, and c).	<b>1d</b>	6,264,932
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	<b>1e</b>	0
<b>2</b>	Acquisition indebtedness applicable to line 1 assets.	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d.	<b>3</b>	6,264,932
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	<b>4</b>	93,974
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4.	<b>5</b>	6,170,958
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5.	<b>6</b>	308,548

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6.	<b>1</b>	308,548
<b>2a</b>	Tax on investment income for 2019 from Part VI, line 5.	<b>2a</b>	14,659
<b>b</b>	Income tax for 2019 (This does not include the tax from Part VI).	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b.	<b>2c</b>	14,659
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1.	<b>3</b>	293,889
<b>4</b>	Recoveries of amounts treated as qualifying distributions.	<b>4</b>	
<b>5</b>	Add lines 3 and 4.	<b>5</b>	293,889
<b>6</b>	Deduction from distributable amount (see instructions).	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	<b>7</b>	293,889

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	<b>1a</b>	90,000
<b>b</b>	Program-related investments—total from Part IX-B.	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required).	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule).	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	<b>4</b>	90,000
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	<b>5</b>	
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4.	<b>6</b>	90,000

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
<b>1</b> Distributable amount for 2019 from Part XI, line 7				293,889
<b>2</b> Undistributed income, if any, as of the end of 2019				
<b>a</b> Enter amount for 2018 only. . . . .				
<b>b</b> Total for prior years 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2019				
<b>a</b> From 2014. . . . .				
<b>b</b> From 2015. . . . .				63,274
<b>c</b> From 2016. . . . .				
<b>d</b> From 2017. . . . .				
<b>e</b> From 2018. . . . .				
<b>f</b> <b>Total</b> of lines 3a through e. . . . .	63,274			
<b>4</b> Qualifying distributions for 2019 from Part XII, line 4 ▶ \$ 90,000				
<b>a</b> Applied to 2018, but not more than line 2a				
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
<b>d</b> Applied to 2019 distributable amount. . . . .				90,000
<b>e</b> Remaining amount distributed out of corpus				
<b>5</b> Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a) )	63,274			63,274
<b>6 Enter the net total of each column as indicated below:</b>				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5				
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b . . . . .				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions . . . . .				
<b>e</b> Undistributed income for 2018 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .				
<b>f</b> Undistributed income for 2019 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2020 . . . . .				140,615
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .				
<b>8</b> Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions). . . . .				
<b>9 Excess distributions carryover to 2020.</b> Subtract lines 7 and 8 from line 6a . . . . .				
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2015. . . . .				
<b>b</b> Excess from 2016. . . . .				
<b>c</b> Excess from 2017. . . . .				
<b>d</b> Excess from 2018. . . . .				
<b>e</b> Excess from 2019. . . . .				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

<b>1a</b> If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. . . . . ▶					
<b>b</b> Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)					
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .	Tax year	Prior 3 years			(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test—enter					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
<b>c</b> "Support" alternative test—enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**

<b>1 Information Regarding Foundation Managers:</b>	
<b>a</b> List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) ) WILLIAM R BATTLE III	
<b>b</b> List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest	
<b>2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:</b>	
Check here <input checked="" type="checkbox"/> if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.	
<b>a</b> The name, address, and telephone number or email address of the person to whom applications should be addressed	
<b>b</b> The form in which applications should be submitted and information and materials they should include	
<b>c</b> Any submission deadlines	
<b>d</b> Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors	

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i> See Additional Data Table				
<b>Total . . . . .</b>			<b>▶ 3a</b>	90,000
<b>b</b> <i>Approved for future payment</i>				
<b>Total . . . . .</b>			<b>▶ 3b</b>	

Enter gross amounts unless otherwise indicated

[illegible]

## Part XVII

	Yes	No
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1a(1)	No
1a(2)	No

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<b>1b(1)</b>	<b>No</b>
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<b>1b(2)</b>	<b>No</b>
--------------	-----------

<b>1b(3)</b>		<b>No</b>
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<b>1b(4)</b>		<b>No</b>
--------------	--	-----------

<b>1b(5)</b>		<b>No</b>
--------------	--	-----------

<b>1b(6)</b>		<b>No</b>
--------------	--	-----------

<b>1c</b>		<b>No</b>
-----------	--	-----------

value  
ue

[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations

described in section 501(c) (other than section 501(c)(3)) or in section 527? . . . . . ☐ Yes ☒ No

**b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

May the IRS discuss this return with the preparer shown below

(see instr ) ☒ Yes ☐ No

(see instr ) ☒ Yes ☐ No

Print/Type preparer's name  Joseph R Rollins CPA	Preparer's Signature	Date	Check if self-employed <input checked="checked" type="checkbox"/>	PTIN  P01373744
Firm's name ▶ ROLLINS & VAN LEAR PC				Firm's EIN ▶ 46-5750544
Firm's address ▶ 3343 Peachtree Rd NE Suite 500  Atlanta, GA 30326				Phone no (404) 892-7967

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
3 SITEONE LANDSCAPE SUPPLY, INC CMN	P	2019-11-15	2019-12-19
3 CANTEL MEDICAL CORP CMN	P	2019-11-15	2019-12-18
3 CANTEL MEDICAL CORP CMN	P	2018-10-24	2019-12-18
5 COHEN & STEERS, INC CMN	P	2019-11-15	2019-12-06
5 FORUM ENERGY TECHNOLOGIES INC CMN	P	2019-11-15	2019-12-06
10 FORUM ENERGY TECHNOLOGIES INC CMN	P	2013-11-18	2019-12-06
5 FORUM ENERGY TECHNOLOGIES INC CMN	P	2013-11-15	2019-12-06
20 FORUM ENERGY TECHNOLOGIES INC CMN	P	2013-08-05	2019-12-06
5 FORUM ENERGY TECHNOLOGIES INC CMN	P	2013-07-29	2019-12-06
10 PEBBLEBROOK HOTEL TRUST CMN	P	2017-06-29	2019-12-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
262		273	-11
214		218	-4
214		243	-29
336		326	10
7		5	2
13		284	-271
7		143	-136
26		559	-533
7		142	-135
265		328	-63

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-11
			-4
			-29
			10
			2
			-271
			-136
			-533
			-135
			-63

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1 WD 40 CO CMN	P	2019-11-15	2019-12-06
15 WRIGHT MED GROUP N V CMN	P	2019-11-15	2019-12-06
4 AMAZON COM INC CMN	P	2017-01-06	2019-11-15
12 AMAZON COM INC CMN	P	2016-09-28	2019-11-15
1 ALPHABET INC CMN CLASS C	P	2018-12-17	2019-11-14
12 ALPHABET INC CMN CLASS C	P	2018-10-23	2019-11-14
3 ALPHABET INC CMN CLASS C	P	2015-08-26	2019-11-14
100 GLOBUS MEDICAL INC CMN CLASS A	P	2016-04-22	2019-11-14
55 GLOBUS MEDICAL INC CMN CLASS A	P	2014-05-15	2019-11-14
154 GLOBUS MEDICAL INC CMN CLASS A	P	2014-01-31	2019-11-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
195		185	10
444		443	1
6,990		3,181	3,809
20,971		9,939	11,032
1,311		1,012	299
15,733		13,253	2,480
3,933		1,819	2,114
5,490		2,400	3,090
3,019		1,334	1,685
8,454		3,594	4,860

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			10
			1
			3,809
			11,032
			299
			2,480
			2,114
			3,090
			1,685
			4,860

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1 KLA CORP CMN	P	2017-09-29	2019-11-14
32 KLA CORP CMN	P	2017-09-28	2019-11-14
13 KLA CORP CMN	P	2017-09-20	2019-11-14
6 KLA CORP CMN	P	2017-09-15	2019-11-14
8 KLA CORP CMN	P	2017-09-13	2019-11-14
16 KLA CORP CMN	P	2017-09-08	2019-11-14
23 KLA CORP CMN	P	2017-09-07	2019-11-14
90 MICROSOFT CORPORATION CMN	P	2017-04-28	2019-11-14
10 TRANSDIGM GROUP INCORPORATED CMN	P	2018-10-23	2019-11-14
188 APPLE INC CMN	P	2016-12-19	2019-11-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
177		39	138
5,650		1,615	4,035
2,295		721	1,574
1,059		325	734
1,413		425	988
2,825		764	2,061
4,061		1,146	2,915
13,337		6,164	7,173
5,591		3,303	2,288
49,573		21,962	27,611

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			138
			4,035
			1,574
			734
			988
			2,061
			2,915
			7,173
			2,288
			27,611



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
111 APPLE INC CMN	P	2016-10-26	2019-11-13
70 APPLE INC CMN	P	2015-08-26	2019-11-13
13 APPLE INC CMN	P	2014-09-10	2019-11-13
20 COHEN & STEERS, INC CMN	P	2012-09-12	2019-11-13
95 COHEN & STEERS, INC CMN	P	2011-06-07	2019-11-13
95 COHEN & STEERS, INC CMN	P	2011-05-20	2019-11-13
20 COHEN & STEERS, INC CMN	P	2011-03-18	2019-11-13
5 COHEN & STEERS, INC CMN	P	2011-03-14	2019-11-13
90 DOLLAR GENERAL CORPORATION CMN	P	2017-06-20	2019-11-13
93 DOLLAR GENERAL CORPORATION CMN	P	2017-03-17	2019-11-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
29,269		12,736	16,533
18,458		7,418	11,040
3,428		1,314	2,114
1,314		599	715
6,240		2,458	3,782
6,240		2,411	3,829
1,314		481	833
328		122	206
14,120		6,430	7,690
14,591		6,738	7,853

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			16,533
			11,040
			2,114
			715
			3,782
			3,829
			833
			206
			7,690
			7,853

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
20 DOLLAR GENERAL CORPORATION CMN	P	2017-02-06	2019-11-13
244 DOLLAR GENERAL CORPORATION CMN	P	2017-01-11	2019-11-13
180 INGERSOLL-RAND PLC CMN	P	2015-08-05	2019-11-13
50 RITCHIE BROS AUCTIONEERS INC CMN	P	2013-10-08	2019-11-13
105 RITCHIE BROS AUCTIONEERS INC CMN	P	2012-09-12	2019-11-13
105 THE HOME DEPOT, INC CMN	P	2015-01-16	2019-11-13
522 VISA INC CMN CLASS A	P	2016-12-19	2019-11-13
153 VISA INC CMN CLASS A	P	2016-12-19	2019-11-13
159 VISA INC CMN CLASS A	P	2016-07-25	2019-11-13
3 BOOKING HOLDINGS INC CMN	P	2019-03-05	2019-11-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,138		1,439	1,699
38,281		17,905	20,376
23,167		10,864	12,303
2,089		969	1,120
4,388		2,030	2,358
24,541		10,838	13,703
93,406		40,800	52,606
27,378		11,983	15,395
28,451		12,538	15,913
5,580		5,159	421

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,699
			20,376
			12,303
			1,120
			2,358
			13,703
			52,606
			15,395
			15,913
			421

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
4 IBERIABANK CORPORATION CMN	P	2013-01-31	2019-11-05
250000 UBS AG LINKED TO BASKET OF INDICES UPSIDE LEVERED NO CAP STRUCTURED NOTE DUE 11/05/2019	P	2017-10-31	2019-11-05
4 IBERIABANK CORPORATION CMN	P	2013-01-31	2019-11-04
4 IBERIABANK CORPORATION CMN	P	2013-01-31	2019-10-31
3 IBERIABANK CORPORATION CMN	P	2019-09-10	2019-10-30
1 IBERIABANK CORPORATION CMN	P	2013-01-31	2019-10-30
3 SITEONE LANDSCAPE SUPPLY, INC CMN	P	2019-05-06	2019-10-30
50000 THE BOEING COMPANY 2 35% 10/30/2021 USD SR LIEN	P	2017-02-14	2019-10-21
4 CAMBREX CORPORATION CMN	P	2018-06-27	2019-10-17
10 CAMBREX CORPORATION CMN	P	2018-02-14	2019-10-17

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
313		208	105
248,083		250,000	-1,917
307		208	99
293		208	85
225		222	3
75		52	23
254		193	61
50,351		50,026	325
238		202	36
595		538	57

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			105
			-1,917
			99
			85
			3
			23
			61
			325
			36
			57

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
9 CAMBREX CORPORATION CMN	P	2017-08-04	2019-10-17
2 CHURCHILL DOWNS INCORPORATED CMN	P	2018-12-21	2019-10-17
4 FIVE BELOW INC CMN	P	2015-08-07	2019-10-17
1 LITHIA MOTORS INC CL-A CMN CLASS A	P	2016-11-22	2019-10-17
3 LITHIA MOTORS INC CL-A CMN CLASS A	P	2015-01-27	2019-10-17
4 SILICON LABORATORIES INC CMN	P	2017-02-02	2019-10-17
50000 HOST HOTELS & RESORTS, L P 6 0% 10/01/2021 SER Z SR LIEN	P	2014-10-03	2019-10-15
134 ADOBE INC CMN	P	2017-01-24	2019-10-03
5 AMAZON COM INC CMN	P	2017-01-06	2019-10-03
10 CHIPOTLE MEXICAN GRILL, INC CMN	P	2018-04-24	2019-10-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
536		524	12
260		157	103
532		147	385
128		90	38
383		266	117
439		282	157
53,837		52,236	1,601
36,435		15,240	21,195
8,592		3,976	4,616
8,159		3,386	4,773

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			12
			103
			385
			38
			117
			157
			1,601
			21,195
			4,616
			4,773

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
5 CHIPOTLE MEXICAN GRILL, INC CMN	P	2018-03-21	2019-10-03
5 CHIPOTLE MEXICAN GRILL, INC CMN	P	2018-02-06	2019-10-03
2 FIDELITY NATL INFO SVCS INC CMN	P	2017-10-24	2019-10-03
178 FIDELITY NATL INFO SVCS INC CMN	P	2016-02-05	2019-10-03
146 FIDELITY NATL INFO SVCS INC CMN	P	2016-02-04	2019-10-03
35 FIVE BELOW INC CMN	P	2015-09-04	2019-10-03
120 FIVE BELOW INC CMN	P	2015-09-03	2019-10-03
15 FIVE BELOW INC CMN	P	2015-08-07	2019-10-03
135 GLOBUS MEDICAL INC CMN CLASS A	P	2014-08-06	2019-10-03
5 HUBSPOT INC CMN	P	2016-12-19	2019-10-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,080		1,615	2,465
4,080		1,489	2,591
259		140	119
23,081		9,155	13,926
18,931		7,594	11,337
4,350		1,192	3,158
14,914		4,180	10,734
1,864		552	1,312
6,760		2,507	4,253
787		243	544

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2,465
			2,591
			119
			13,926
			11,337
			3,158
			10,734
			1,312
			4,253
			544

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
55 HUBSPOT INC CMN	P	2016-11-03	2019-10-03
74 HUBSPOT INC CMN	P	2016-08-04	2019-10-03
240 MICROSOFT CORPORATION CMN	P	2016-04-22	2019-10-03
136 MICROSOFT CORPORATION CMN	P	2016-02-05	2019-10-03
137 MICROSOFT CORPORATION CMN	P	2015-11-20	2019-10-03
532 MICROSOFT CORPORATION CMN	P	2015-10-27	2019-10-03
60 POWER INTEGRATIONS INC CMN	P	2011-06-07	2019-10-03
25 POWER INTEGRATIONS INC CMN	P	2011-05-20	2019-10-03
86 SALESFORCE COM, INC CMN	P	2016-11-10	2019-10-03
68 SALESFORCE COM, INC CMN	P	2016-09-28	2019-10-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
8,655		2,754	5,901
11,645		4,127	7,518
32,668		12,436	20,232
18,512		6,861	11,651
18,648		7,366	11,282
72,413		28,649	43,764
5,325		2,186	3,139
2,219		919	1,300
12,604		6,358	6,246
9,966		4,830	5,136

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			5,901
			7,518
			20,232
			11,651
			11,282
			43,764
			3,139
			1,300
			6,246
			5,136

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
98 SALESFORCE COM, INC CMN	P	2016-02-09	2019-10-03
89 SALESFORCE COM, INC CMN	P	2015-07-09	2019-10-03
120 SERVICENOW INC CMN	P	2016-08-24	2019-10-03
228 TARGA RESOURCES CORP CMN	P	2016-02-17	2019-10-03
108 TARGA RESOURCES CORP CMN	P	2016-02-17	2019-10-03
55 TARGA RESOURCES CORP CMN	P	2016-02-17	2019-10-03
51 TARGA RESOURCES CORP CMN	P	2016-02-17	2019-10-03
48 TARGA RESOURCES CORP CMN	P	2016-02-17	2019-10-03
41 TARGA RESOURCES CORP CMN	P	2016-02-17	2019-10-03
22 TRANSDIGM GROUP INCORPORATED CMN	P	2017-04-18	2019-10-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
14,363		5,603	8,760
13,044		6,306	6,738
30,851		8,734	22,117
9,026		1,744	7,282
4,276		1,166	3,110
2,177		421	1,756
2,019		390	1,629
1,900		458	1,442
1,623		314	1,309
11,141		4,643	6,498

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			8,760
			6,738
			22,117
			7,282
			3,110
			1,756
			1,629
			1,442
			1,309
			6,498

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
19 TRANSDIGM GROUP INCORPORATED CMN	P	2017-03-28	2019-10-03
21 TRANSDIGM GROUP INCORPORATED CMN	P	2015-08-06	2019-10-03
87 UNIVERSAL DISPLAY CORPORATION CMN	P	2016-08-09	2019-10-03
238 VISA INC CMN CLASS A	P	2016-01-29	2019-10-03
33 AMERICAN TOWER CORPORATION CMN	P	2018-10-23	2019-09-10
1 INSULET CORPORATION CMN	P	2019-03-04	2019-09-10
8 INSULET CORPORATION CMN	P	2019-02-01	2019-09-10
3 ICU MEDICAL INC CMN	P	2013-01-31	2019-08-09
15 MEDIDATA SOLUTIONS, INC CMN	P	2013-01-31	2019-08-07
5 TUPPERWARE BRANDS CORPORATION CMN	P	2015-02-03	2019-08-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
9,622		3,676	5,946
10,635		4,430	6,205
14,158		5,507	8,651
41,049		17,292	23,757
7,130		4,986	2,144
146		92	54
1,170		656	514
506		181	325
1,369		359	1,010
78		355	-277

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			5,946
			6,205
			8,651
			23,757
			2,144
			54
			514
			325
			1,010
			-277



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
10 TUPPERWARE BRANDS CORPORATION CMN	P	2013-01-31	2019-08-07
1 POWER INTEGRATIONS INC CMN	P	2013-01-31	2019-07-29
2 HELEN OF TROY LTD (NEW) CMN	P	2016-06-03	2019-07-24
2 ICU MEDICAL INC CMN	P	2013-01-31	2019-07-24
15 PROASSURANCE CORPORATION CMN	P	2013-01-31	2019-07-24
10 TEXAS CAPITAL BANCSHARES, INC CMN	P	2014-08-15	2019-07-11
5 TEXAS CAPITAL BANCSHARES, INC CMN	P	2014-04-25	2019-07-11
6 TEXAS CAPITAL BANCSHARES, INC CMN	P	2014-04-10	2019-07-11
100000 ORACLE CORPORATION 5 0% 07/08/2019 SR LIEN	P	2009-06-30	2019-07-08
12 FACEBOOK, INC CMN CLASS A	P	2018-10-23	2019-07-05

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
155		742	-587
90		37	53
294		209	85
505		121	384
554		677	-123
597		515	82
299		278	21
358		370	-12
100,000		99,627	373
2,342		1,854	488

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-587
			53
			85
			384
			-123
			82
			21
			-12
			373
			488

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
2 HUBSPOT INC CMN	P	2016-08-04	2019-06-27
3 FIVE BELOW INC CMN	P	2015-08-07	2019-06-17
2 GRAND CANYON EDUCATION, INC CMN	P	2015-01-26	2019-06-17
1 MEDIDATA SOLUTIONS, INC CMN	P	2015-02-05	2019-06-17
3 MEDIDATA SOLUTIONS, INC CMN	P	2013-01-31	2019-06-17
42 CARMAX, INC CMN	P	2018-10-23	2019-06-04
700 SPDR S&P 500 ETF TRUST	P	2018-07-12	2019-06-04
45 AMNEAL PHARMACEUTICALS, INC CMN	P	2017-10-19	2019-05-16
10 AMNEAL PHARMACEUTICALS, INC CMN	P	2016-02-22	2019-05-16
41 MICROCHIP TECHNOLOGY INCORPORA CMN	P	2018-10-23	2019-05-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
345		112	233
393		110	283
248		90	158
91		44	47
274		72	202
3,371		2,910	461
195,373		195,467	-94
396		942	-546
88		326	-238
4,102		2,767	1,335

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			233
			283
			158
			47
			202
			461
			-94
			-546
			-238
			1,335

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
84 SCHLUMBERGER LTD CMN	P	2018-10-23	2019-04-30
4 MEDIDATA SOLUTIONS, INC CMN	P	2015-02-05	2019-04-29
10 HEALTHCARE SVCS GROUP INC CMN	P	2013-01-31	2019-04-26
10 EPAM SYS INC CMN	P	2013-11-05	2019-04-11
2 EPAM SYS INC CMN	P	2013-11-04	2019-04-11
75000 WELLTOWER INC 4 125% 04/01/2019 SR LIEN	P	2013-08-16	2019-03-18
10 AAR CORP CMN	P	2018-08-28	2019-03-14
4 ADOBE INC CMN	P	2018-10-23	2019-03-14
3 ALAMO GROUP INC CMN	P	2018-04-02	2019-03-14
2 ALLEGIANT TRAVEL COMPANY CMN	P	2018-05-01	2019-03-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
3,579		4,639	-1,060
364		177	187
337		243	94
1,721		365	1,356
344		73	271
75,000		75,000	
340		469	-129
1,070		1,010	60
294		329	-35
255		323	-68

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-1,060
			187
			94
			1,356
			271
			-129
			60
			-35
			-68

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
2 ALLERGAN PUBLIC LIMITED COMPAN CMN	P	2018-10-23	2019-03-14
2 ALPHABET INC CMN CLASS C	P	2019-02-04	2019-03-14
1 AMAZON COM INC CMN	P	2018-10-23	2019-03-14
20 AMERICAN TOWER CORPORATION CMN	P	2018-10-23	2019-03-14
5 AMERIS BANCORP CMN	P	2017-06-29	2019-03-14
5 AMERISAFE, INC CMN	P	2018-05-10	2019-03-14
1 AMERISAFE, INC CMN	P	2018-05-09	2019-03-14
10 AMNEAL PHARMACEUTICALS, INC CMN	P	2016-04-22	2019-03-14
5 AMNEAL PHARMACEUTICALS, INC CMN	P	2016-02-22	2019-03-14
6 APPLE INC CMN	P	2018-10-23	2019-03-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
301		377	-76
2,377		2,239	138
1,689		1,773	-84
3,751		3,022	729
191		243	-52
303		297	6
61		59	2
138		364	-226
69		163	-94
1,104		1,335	-231

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-76
			138
			-84
			729
			-52
			6
			2
			-226
			-94
			-231

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
5 ATRICURE, INC CMN	P	2018-10-31	2019-03-14
1 BALCHEM CORPORATION CMN	P	2014-09-25	2019-03-14
2 BALCHEM CORPORATION CMN	P	2014-09-24	2019-03-14
7 BERKSHIRE HATHAWAY INC CLASS B	P	2018-10-23	2019-03-14
1 BOOKING HOLDINGS INC CMN	P	2019-03-05	2019-03-14
1 CAMBREX CORPORATION CMN	P	2018-06-27	2019-03-14
4 CAMBREX CORPORATION CMN	P	2017-08-03	2019-03-14
14 CARMAX, INC CMN	P	2018-10-23	2019-03-14
10 CATALENT, INC CMN	P	2018-03-28	2019-03-14
5 CATALENT, INC CMN	P	2017-09-27	2019-03-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
147		159	-12
94		57	37
188		115	73
1,427		1,434	-7
1,740		1,720	20
40		50	-10
159		247	-88
849		970	-121
418		406	12
209		195	14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-12
			37
			73
			-7
			20
			-10
			-88
			-121
			12
			14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
10 CATHAY GENERAL BANCORP CMN	P	2017-12-15	2019-03-14
5 CENTRAL GARDEN & PET CO CMN	P	2018-02-21	2019-03-14
5 CENTRAL GARDEN & PET COMPANY CMN CLASS A NON VOTING SHARES	P	2018-08-02	2019-03-14
3 CHURCHILL DOWNS INCORPORATED CMN	P	2019-03-04	2019-03-14
5 CHUYS HLDGS INC CMN	P	2018-05-07	2019-03-14
10 COHEN & STEERS, INC CMN	P	2013-01-31	2019-03-14
2 COMPASS MINERALS INTL, INC CMN	P	2017-01-17	2019-03-14
3 COMPASS MINERALS INTL, INC CMN	P	2015-02-04	2019-03-14
4 DRIL-QUIP, INC CMN	P	2014-08-15	2019-03-14
1 DRIL-QUIP, INC CMN	P	2013-01-31	2019-03-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
364		432	-68
145		199	-54
131		199	-68
257		273	-16
109		149	-40
419		316	103
104		162	-58
156		271	-115
172		400	-228
43		82	-39

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-68
			-54
			-68
			-16
			-40
			103
			-58
			-115
			-228
			-39

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
6 ECOLAB INC CMN	P	2018-10-23	2019-03-14
10 ENTEGRIS, INC CMN	P	2019-01-22	2019-03-14
1 EPAM SYS INC CMN	P	2017-03-02	2019-03-14
2 EPAM SYS INC CMN	P	2013-11-04	2019-03-14
5 EXLSERVICE HOLDINGS, INC CMN	P	2017-06-26	2019-03-14
5 FACEBOOK, INC CMN CLASS A	P	2018-10-23	2019-03-14
17 FIRST REPUBLIC BANK CMN SERIES	P	2018-10-23	2019-03-14
5 FIVE BELOW INC CMN	P	2015-08-07	2019-03-14
12 FORTIVE CORPORATION CMN	P	2018-10-23	2019-03-14
10 FORUM ENERGY TECHNOLOGIES INC CMN	P	2014-04-30	2019-03-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
1,041		891	150
355		294	61
167		75	92
334		73	261
299		280	19
851		773	78
1,754		1,500	254
585		184	401
993		926	67
61		299	-238

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			150
			61
			92
			261
			19
			78
			254
			401
			67
			-238

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
10 GLACIER BANCORP INC (NEW) CMN	P	2017-12-15	2019-03-14
15 GLOBUS MEDICAL INC CMN CLASS A	P	2014-05-15	2019-03-14
7 GRAND CANYON EDUCATION, INC CMN	P	2015-01-26	2019-03-14
10 HEALTHCARE SVCS GROUP INC CMN	P	2018-03-28	2019-03-14
10 HEALTHCARE SVCS GROUP INC CMN	P	2014-09-05	2019-03-14
15 HEARTLAND EXPRESS INC CMN	P	2013-01-31	2019-03-14
2 HELEN OF TROY LTD (NEW) CMN	P	2016-06-03	2019-03-14
14 HONEYWELL INTL INC CMN	P	2018-10-23	2019-03-14
4 HUBSPOT INC CMN	P	2016-08-04	2019-03-14
2 IBERIABANK CORPORATION CMN	P	2013-12-18	2019-03-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
410		387	23
683		364	319
801		313	488
317		434	-117
317		276	41
304		207	97
226		209	17
2,176		2,060	116
680		223	457
152		124	28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			23
			319
			488
			-117
			41
			97
			17
			116
			457
			28



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
2 IBERIABANK CORPORATION CMN	P	2013-01-31	2019-03-14
5 ICF INTERNATIONAL INC CMN	P	2018-02-20	2019-03-14
3 ICU MEDICAL INC CMN	P	2013-01-31	2019-03-14
4 IDACORP, INC CMN	P	2016-10-19	2019-03-14
2 INSULET CORPORATION CMN	P	2019-03-04	2019-03-14
2 INTUIT INC CMN	P	2018-10-23	2019-03-14
3 JOHN BEAN TECHNOLOGIES CORPORA CMN	P	2017-06-29	2019-03-14
6 JOHNSON & JOHNSON CMN	P	2018-10-23	2019-03-14
3 LHC GROUP, INC CMN	P	2019-03-04	2019-03-14
4 LINDE PLC CMN	P	2018-10-23	2019-03-14

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
152		104	48
382		282	100
702		181	521
400		304	96
192		185	7
513		419	94
273		288	-15
830		835	-5
336		327	9
696		662	34

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			48
			100
			521
			96
			7
			94
			-15
			-5
			9
			34

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
4 LITHIA MOTORS INC CL-A CMN CLASS A	P	2016-11-22	2019-03-14
10 MACOM TECHNOLOGY SOLUTIONS CMN	P	2016-04-27	2019-03-14
3 MARKEL CORPORATION CMN	P	2018-10-23	2019-03-14
6 MATADOR RES CO CMN	P	2018-11-20	2019-03-14
5 MATADOR RES CO CMN	P	2018-06-08	2019-03-14
9 MATADOR RES CO CMN	P	2018-06-07	2019-03-14
5 MEDIDATA SOLUTIONS, INC CMN	P	2015-12-31	2019-03-14
5 MERIDIAN BANCORP INC CMN	P	2018-03-28	2019-03-14
1 METTLER-TOLEDO INTL CMN	P	2018-10-23	2019-03-14
16 MICROCHIP TECHNOLOGY INCORPORA CMN	P	2018-10-23	2019-03-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
357		360	-3
186		422	-236
3,016		3,286	-270
112		138	-26
93		130	-37
168		243	-75
376		249	127
79		101	-22
698		545	153
1,346		1,080	266

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-3
			-236
			-270
			-26
			-37
			-75
			127
			-22
			153
			266

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
5 MOBILE MINI INC CMN	P	2019-03-05	2019-03-14
5 MOODY'S CORPORATION CMN	P	2018-10-23	2019-03-14
4 NATIONAL HEALTH INVESTORS, INC CMN	P	2013-01-31	2019-03-14
4 NORTHWESTERN CORPORATION CMN	P	2013-09-10	2019-03-14
2 O'REILLY AUTOMOTIVE, INC CMN	P	2018-10-23	2019-03-14
21 ORACLE CORPORATION CMN	P	2018-10-23	2019-03-14
3 OXFORD IND INC CMN	P	2014-03-27	2019-03-14
4 PACIFIC PREMIER BANCORP CMN	P	2018-05-10	2019-03-14
6 PACIFIC PREMIER BANCORP CMN	P	2018-03-14	2019-03-14
5 PATRICK INDUSTRIES, INC CMN	P	2018-01-30	2019-03-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
180		185	-5
874		789	85
317		245	72
282		164	118
735		685	50
1,114		1,011	103
232		221	11
113		165	-52
170		265	-95
226		333	-107

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-5
			85
			72
			118
			50
			103
			11
			-52
			-95
			-107

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
5 PAYLOCITY HOLDING CORPORATION CMN	P	2018-09-17	2019-03-14
10 PEBBLEBROOK HOTEL TRUST CMN	P	2017-06-29	2019-03-14
16 PEPSICO, INC CMN	P	2018-10-23	2019-03-14
15 PERFORMANCE FOOD GROUP COMPANY CMN	P	2018-02-08	2019-03-14
10 POLYONE CORPORATION CMN	P	2018-05-24	2019-03-14
2 POWER INTEGRATIONS INC CMN	P	2013-01-31	2019-03-14
10 PRA GROUP, INC CMN	P	2018-05-24	2019-03-14
10 PRIMORIS SERVICES CORPORATION CMN	P	2014-06-09	2019-03-14
3 PROOFPOINT INC CMN	P	2017-06-27	2019-03-14
10 QTS REALTY TRUST, INC CMN	P	2017-10-31	2019-03-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
433		411	22
318		264	54
1,854		1,766	88
570		464	106
304		425	-121
141		75	66
269		396	-127
221		308	-87
367		254	113
431		499	-68

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			22
			54
			88
			106
			-121
			66
			-127
			-87
			113
			-68

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
3 RBC BEARINGS INCORPORATED CMN	P	2013-01-31	2019-03-14
10 RITCHIE BROS AUCTIONEERS INC CMN	P	2018-04-02	2019-03-14
5 RITCHIE BROS AUCTIONEERS INC CMN	P	2013-01-31	2019-03-14
2 ROGERS CORP CMN	P	2018-01-12	2019-03-14
5 ROPER TECHNOLOGIES INC CMN	P	2018-10-23	2019-03-14
14 SCHLUMBERGER LTD CMN	P	2018-10-23	2019-03-14
19 SENSATA TECHNOLOGIES HOLDING P CMN	P	2018-10-23	2019-03-14
15 SILGAN HOLDINGS INC CMN	P	2018-03-28	2019-03-14
4 SILICON LABORATORIES INC CMN	P	2018-09-13	2019-03-14
10 SKYLINE CHAMPION CORPORATION CMN	P	2018-09-21	2019-03-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
403		157	246
338		311	27
169		108	61
308		342	-34
1,630		1,364	266
605		773	-168
906		827	79
431		413	18
319		373	-54
193		193	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			246
			27
			61
			-34
			266
			-168
			79
			18
			-54

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
10 STAG INDUSTRIAL, INC CMN	P	2017-12-15	2019-03-14
10 STIFEL FINANCIAL CORP CMN	P	2013-01-31	2019-03-14
4 SUN HYDRAULICS INC CMN	P	2017-08-08	2019-03-14
1 SUN HYDRAULICS INC CMN	P	2017-08-07	2019-03-14
10 SYNEOS HEALTH INC CMN	P	2018-06-27	2019-03-14
4 TELEFLEX INC CMN	P	2018-10-23	2019-03-14
5 TEXAS CAPITAL BANCSHARES, INC CMN	P	2014-04-10	2019-03-14
10 TEXAS ROADHOUSE, INC CMN	P	2014-03-27	2019-03-14
2 TRANSDIGM GROUP INCORPORATED CMN	P	2018-10-23	2019-03-14
5 TUPPERWARE BRANDS CORPORATION CMN	P	2013-01-31	2019-03-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
284		278	6
551		368	183
187		190	-3
47		43	4
415		470	-55
1,195		967	228
295		309	-14
592		257	335
887		669	218
135		371	-236

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			6
			183
			-3
			4
			-55
			228
			-14
			335
			218
			-236

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
14 U S BANCORP CMN	P	2018-10-23	2019-03-14
20 UNILEVER N V NY SHS (NEW) ADR CMN	P	2018-10-23	2019-03-14
5 UNITED BANKSHARES INC W V CMN	P	2016-12-20	2019-03-14
10 UNIVERSAL FOREST PRODUCTS INC CMN	P	2013-01-31	2019-03-14
5 US ECOLOGY HOLDINGS INC CMN	P	2019-03-04	2019-03-14
5 VIRTUSA CORPORATION CMN	P	2019-01-02	2019-03-14
10 VISA INC CMN CLASS A	P	2018-10-23	2019-03-14
1 WD 40 CO CMN	P	2013-01-31	2019-03-14
2 WEBSTER FINANCIAL CORP CMN	P	2019-01-17	2019-03-14
3 WEBSTER FINANCIAL CORP CMN	P	2015-03-25	2019-03-14

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
725		722	3
1,126		1,104	22
187		232	-45
301		135	166
284		293	-9
271		207	64
1,546		1,396	150
176		53	123
111		105	6
167		110	57

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			3
			22
			-45
			166
			-9
			64
			150
			123
			6
			57

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
5 WOLVERINE WORLD WIDE CMN	P	2016-05-05	2019-03-14
10 WRIGHT MED GROUP N V CMN	P	2017-06-29	2019-03-14
15 XPO LOGISTICS INC CMN	P	2018-10-23	2019-03-05
68 XPO LOGISTICS INC CMN	P	2018-10-23	2019-03-05
30 DIPLOMAT PHARMACY, INC CMN	P	2017-08-16	2019-02-27
3 DIPLOMAT PHARMACY, INC CMN	P	2015-10-16	2019-02-27
60000 JPMORGAN CHASE & CO LINKED TO SHORT JPY VS LONG U UPSIDE LEVERED, UNCAPPED STRUCTURED NOTE D	P	2018-02-21	2019-02-26
15 DIPLOMAT PHARMACY, INC CMN	P	2018-07-27	2019-02-25
10 DIPLOMAT PHARMACY, INC CMN	P	2015-11-20	2019-02-25
10 DIPLOMAT PHARMACY, INC CMN	P	2015-10-19	2019-02-25

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
178		95	83
317		269	48
723		1,380	-657
3,276		8,457	-5,181
190		500	-310
19		85	-66
63,642		60,000	3,642
88		333	-245
59		353	-294
59		295	-236

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			83
			48
			-657
			-5,181
			-310
			-66
			3,642
			-245
			-294
			-236



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
2 DIPLOMAT PHARMACY, INC CMN	P	2015-10-16	2019-02-25
5 INSTALLED BLDG PRODS INC CMN	P	2018-02-08	2019-02-21
3 INSTALLED BLDG PRODS INC CMN	P	2018-02-08	2019-02-20
2 INSTALLED BLDG PRODS INC CMN	P	2017-11-13	2019-02-20
3 INSTALLED BLDG PRODS INC CMN	P	2017-12-12	2019-02-19
2 INSTALLED BLDG PRODS INC CMN	P	2017-11-13	2019-02-19
3 INSTALLED BLDG PRODS INC CMN	P	2017-12-08	2019-02-15
3 EPAM SYS INC CMN	P	2017-03-02	2019-02-14
3 INSTALLED BLDG PRODS INC CMN	P	2018-01-12	2019-02-14
2 INSTALLED BLDG PRODS INC CMN	P	2017-12-08	2019-02-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
12		57	-45
227		311	-84
134		187	-53
89		125	-36
136		211	-75
91		125	-34
135		216	-81
465		226	239
132		219	-87
88		144	-56

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-45
			-84
			-53
			-36
			-75
			-34
			-81
			239
			-87
			-56

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
6 INSTALLED BLDG PRODS INC CMN	P	2018-03-12	2019-02-13
1 INSTALLED BLDG PRODS INC CMN	P	2018-01-12	2019-02-13
7 BLACKBAUD, INC CMN	P	2013-01-31	2019-02-07
12 BLACKBAUD, INC CMN	P	2014-05-15	2019-02-05
1 BLACKBAUD, INC CMN	P	2013-01-31	2019-02-05
120 MONDELEZ INTERNATIONAL, INC CMN	P	2018-10-23	2019-02-04
3 EPAM SYS INC CMN	P	2017-03-02	2019-02-01
10 WRIGHT MED GROUP N V CMN	P	2017-06-29	2019-02-01
3 EPAM SYS INC CMN	P	2017-03-02	2019-01-17
5 POWER INTEGRATIONS INC CMN	P	2014-04-30	2019-01-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
264		371	-107
44		73	-29
503		177	326
842		392	450
70		25	45
5,492		4,958	534
428		226	202
294		269	25
408		226	182
312		224	88

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-107
			-29
			326
			450
			45
			534
			202
			25
			182
			88

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e g , real estate, <b>(a)</b> 2-story brick warehouse, or common stock, 200 shs MLC Co )	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo , day, yr )	<b>(d)</b> Date sold (mo , day, yr )
4 POWER INTEGRATIONS INC CMN	P	2013-01-31	2019-01-17
10 SUN HYDRAULICS INC CMN	P	2018-02-02	2019-01-17
FIRST CLASS ACTION RECOVERY - JC PENNEY	P	2019-12-10	2019-12-10

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
250		150	100
342		562	-220
87			87

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
<b>(i)</b> F M V as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col (i) over col (j), if any	
			100
			-220
			87

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
NATIONAL FOOTBALL FOUNDATION 433 E LAS COLINAS BLVD SUITE 1130 IRVING, TX 75039	NONE	501(C)(3)	EDUCATIONAL PURPOSES	10,000
BIG OAK RANCHPO BOX 507 SPRINGVILLE, AL 35146	NONE	501(C)(3)	EDUCATIONAL PURPOSES	2,500
UNITED WAY DE TOQUEVILLE SOCIETY 2720 6TH STREET TUSCALOOSA, AL 35401	NONE	501(C)(3)	EDUCATIONAL PURPOSES	10,000
<b>Total . . . . . ▶ 3a</b>				90,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
ARTHRITIS FOUNDATION SOUTHEAST REGI 500 OFFICE PARK DRIVE SUITE 200 BIRMINGHAM, AL 35223	NONE	501(C)(3)	MEDICAL RESEARCH	35,000
BRYANT JORDAN STUDENT ATHLETE FUND POST OFFICE BOX 242367 MONTGOMERY, AL 36124	NONE	501(C)(3)	EDUCATIONAL PURPOSE	2,500
ALABAMA SPORTS HALL OF FAME 2150 RICHARD ARRINGTON JR BLVD BIRMINGHAM, AL 35203	NONE	501(C)(3)	EDUCATIONAL PURPOSE	2,500
<b>Total . . . . . ▶ 3a</b>				90,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
SHEPHERD CENTER 2020 PEACHTREE ROAD NW ATLANTA, GA 30309	NONE	501(C)(3)	MEDICAL RESEARCH	2,500
FELLOWSHIP OF CHRISTIAN ATHLETES 8701 LEEDS ROAD KANSAS CITY, MO 64129	NONE	501(C)(3)	EDUCATIONAL PURPOSE	5,000
HEALTHY EATING ACTIVE LIVING HEAL POST OFFICE BOX 660827 BIRMINGHAM, AL 35266	NONE	501(C)(3)	EDUCATIONAL PURPOSES	5,000
<b>Total . . . . . ▶ 3a</b>				90,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
HOOVER INSTITUTION STANFORD UNIVERSITY STANFORD, CA 94305	NONE	501(C)(3)	EDUCATIONAL PURPOSES	10,000
CULVERHOUSE COLLEGE OF BUSINESS 361 STADIUM DRIVE TUSCALOOSA, AL 35487	NONE	501(C)(3)	EDUCATIONAL PURPOSES	2,500
MIKE SLIVE FOUNDATION POST OFFICE BOX 530748 BIRMINGHAM, AL 35253	NONE	501(C)(3)	MEDICAL RESEARCH	2,500
<b>Total . . . . . ▶ 3a</b>				90,000

**TY 2019 Other Income Schedule****Name:** THE BATTLE FAMILY FOUNDATION**EIN:** 58-6343708**Software ID:** 19009920**Software Version:** 2019v5.0**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
CAPITAL GAIN DISTRIBUTION	11,337	11,337	11,337
NON-DIVIDEND DISTRIBUTION	782		
UNREALIZED LOSS ON ASSETS	1,084,207		



**TY 2019 Other Professional Fees Schedule****Name:** THE BATTLE FAMILY FOUNDATION**EIN:** 58-6343708**Software ID:** 19009920**Software Version:** 2019v5.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK CHARGES	18	18	18	0
MANAGEMENT FEES	21,979	21,979	21,979	0

**TY 2019 Taxes Schedule****Name:** THE BATTLE FAMILY FOUNDATION**EIN:** 58-6343708**Software ID:** 19009920**Software Version:** 2019v5.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	1,472	1,472	1,472	
OTHER TAXES	15	15	15	