

Form **990-PF**Department of the Treasury  
Internal Revenue Service**Return of Private Foundation  
or Section 4947(a)(1) Trust Treated as Private Foundation**

▶ Do not enter social security numbers on this form as it may be made public.  
▶ Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

OMB No 1545-0047

**2019**

Open to Public Inspection

For calendar year 2019 or tax year beginning , 2019, and ending

THE LAURA E. DUPONT FOUNDATION  
P.O. BOX 8099  
GREENVILLE, SC 29604-8099

**G** Check all that apply: ☐ Initial return ☐ Initial return of a former public charity  
☐ Final return ☐ Amended return  
☐ Address change ☐ Name change

**H** Check type of organization: ☒ Section 501(c)(3) exempt private foundation **04**  
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

**I** Fair market value of all assets at end of year (from Part II, column (c), line 16)  
▶ \$ 4,704,887.

**J** Accounting method: ☒ Cash ☐ Accrual  
☐ Other (specify) \_\_\_\_\_  
(Part I, column (d), must be on cash basis)

**A** Employer identification number  
58-6340729

**B** Telephone number (see instructions)  
864-232-7848

**C** If exemption application is pending, check here ▶ ☐ **6**

**D** 1 Foreign organizations, check here ▶ ☐  
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

**E** If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

**Part I Analysis of Revenue and Expenses**

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

(a) Revenue and expenses per books (b) Net investment income (c) Adjusted net income (d) Disbursements for charitable purposes (cash basis only)

<b>Revenue</b>	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	21,022.	21,022.	N/A	
	4 Dividends and interest from securities	73,428.	73,428.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	56,403.			
	b Gross sales price for all assets on line 6a	1,504,230.			
	7 Capital gain net income (from Part IV, line 2)		56,403.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	150,853.	150,853.			
<b>Operating and Administrative Expenses</b>	13 Compensation of officers, directors, trustees, etc.	0.			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach sch)				
	c Other professional fees (attach sch) SEE ST 1	47,127.	47,127.		
	17 Interest				
	18 Taxes (attach schedule)(see instrs) SEE STM 2	9,867.	9,867.		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) SEE STATEMENT 3	1,556.	1,556.		
	24 Total operating and administrative expenses. Add lines 13 through 23	58,550.	58,550.		
	25 Contributions, gifts, grants paid PART XV	240,000.			240,000.
26 Total expenses and disbursements. Add lines 24 and 25	298,550.	58,550.		240,000.	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	-147,697.				
b Net investment income (if negative, enter -0-)		92,303.			
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets		Beginning of year (a) Book Value	End of year	
			(b) Book Value	(c) Fair Market Value
Assets	1 Cash — non-interest-bearing	-22,760.	-17,000.	
	2 Savings and temporary cash investments	1,970,257.	169,863.	152,863.
	3 Accounts receivable			
	Less: allowance for doubtful accounts			
	4 Pledges receivable			
	Less: allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch)			
	Less: allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments — U.S. and state government obligations (attach schedule) STATEMENT 4	113,413.	400,284.	411,721.
	b Investments — corporate stock (attach schedule) STATEMENT 5	1,910,706.	3,009,759.	3,722,507.
	c Investments — corporate bonds (attach schedule) STATEMENT 6	133,438.	390,449.	410,902.
Liabilities	11 Investments — land, buildings, and equipment: basis			
	Less: accumulated depreciation (attach schedule)			
	12 Investments — mortgage loans			
	13 Investments — other (attach schedule)			
	14 Land, buildings, and equipment: basis			
	Less: accumulated depreciation (attach schedule)			
	15 Other assets (describe SEE STATEMENT 7)	2,892.	6,894.	6,894.
	16 Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I)	4,107,946.	3,960,249.	4,704,887.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here and complete lines 24, 25, 29, and 30. <input type="checkbox"/>			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here and complete lines 26 through 30. <input checked="" type="checkbox"/>			
	26 Capital stock, trust principal, or current funds	4,107,946.	3,960,249.	
	27 Paid-in or capital surplus, or land, bldg, and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
	29 Total net assets or fund balances (see instructions)	4,107,946.	3,960,249.	
	30 Total liabilities and net assets/fund balances (see instructions)	4,107,946.	3,960,249.	

## Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year — Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	4,107,946.
2 Enter amount from Part I, line 27a	2	-147,697.
3 Other increases not included in line 2 (itemize)	3	
4 Add lines 1, 2, and 3	4	3,960,249.
5 Decreases not included in line 2 (itemize)	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 29	6	3,960,249.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P — Purchase D — Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1 a PUBLICLY TRADED SECURITIES</b>	P	VARIOUS	VARIOUS
<b>b CAPITAL GAIN DIVIDENDS</b>			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a 1,500,233.		1,447,827.	52,406.
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			52,406.
b			3,997.
c			
d			
e			

2 Capital gain net income or (net capital loss).	<div style="border: 1px solid black; padding: 2px;">           If gain, also enter in Part I, line 7            If (loss), enter -0- in Part I, line 7         </div>	2	56,403.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)			
If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8.	<div style="border: 1px solid black; padding: 2px;">           If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0-            in Part I, line 8.         </div>	3	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	217,542.	4,346,563.	0.050049
2017	73,475.	1,477,374.	0.049734
2016	69,151.	1,354,073.	0.051069
2015	66,185.	1,435,597.	0.046103
2014	68,658.	1,457,286.	0.047114

2 Total of line 1, column (d)	2	0.244069
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	0.048814
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	4,605,761.
5 Multiply line 4 by line 3	5	224,826.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	923.
7 Add lines 5 and 6	7	225,749.
8 Enter qualifying distributions from Part XII, line 4	8	240,000.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income** (Section 4940(a), 4940(b), 4940(e), or 4948 — see instructions)

1 a. Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary — see instructions)			
b. Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	923.
c. All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2. Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		2	0.
3. Add lines 1 and 2		3	923.
4. Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		4	0.
5. <b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-		5	923.
6. Credits/Payments:			
a. 2019 estimated tax pymts and 2018 overpayment credited to 2019	6 a	4,855.	
b. Exempt foreign organizations — tax withheld at source	6 b		
c. Tax paid with application for extension of time to file (Form 8868)	6 c		
d. Backup withholding erroneously withheld	6 d		
7. Total credits and payments. Add lines 6a through 6d	7	4,855.	
8. Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9. Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0.	
10. Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	3,932.	
11. Enter the amount of line 10 to be Credited to 2020 estimated tax <input checked="" type="checkbox"/> 3,932. <input type="checkbox"/> Refunded	11	0.	

**Part VII-A Statements Regarding Activities**

	Yes	No
1 a. During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b. Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c. Did the foundation file <b>Form 1120-POL</b> for this year?		X
d. Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers <input checked="" type="checkbox"/> \$ 0.		
e. Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input checked="" type="checkbox"/> \$ 0.		
2. Has the foundation engaged in any activities that have not previously been reported to the IRS? If 'Yes,' attach a detailed description of the activities		X
3. Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes		X
4 a. Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b. If 'Yes,' has it filed a tax return on <b>Form 990-T</b> for this year?		N/A
5. Was there a liquidation, termination, dissolution, or substantial contraction during the year? If 'Yes,' attach the statement required by <i>General Instruction T</i>		X
6. Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7. Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, col (c), and Part XV	X	
8 a. Enter the states to which the foundation reports or with which it is registered. See instructions <input checked="" type="checkbox"/> SC		
b. If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? If 'No,' attach explanation	X	
9. Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If 'Yes,' complete Part XIV		X
10. Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses		X

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**Part VII-A Statements Regarding Activities (continued)**

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule See instructions	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement See instructions	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X
14 The books are in care of <u>SHEILA C. MCKINNEY, CPA</u> Telephone no <u>864-232-7848</u> Located at <u>P.O. BOX 25926 GREENVILLE SC</u> ZIP + 4 <u>29616-0926</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 — check here <u>N/A</u> and enter the amount of tax-exempt interest received or accrued during the year <u>15</u> <u>N/A</u>		
16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If 'Yes,' enter the name of the foreign country <u></u>	16	X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year, did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is 'Yes' to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance, check here <u></u>	1 b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If 'Yes,' list the years <u>20</u> <u></u> <u>20</u> <u></u> <u>20</u> <u></u> <u>20</u> <u></u>		
b Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement — see instructions)	2 b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20</u> <u></u> <u>20</u> <u></u> <u>20</u> <u></u> <u>20</u> <u></u>		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If 'Yes,' did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019)	3 b	N/A
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4 b	X

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**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5 a** During the year, did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

5 b N/A

Organizations relying on a current notice regarding disaster assistance, check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

**6 a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6 b X

If 'Yes' to 6b, file Form 8870

**7 a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7 b

**8** Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?☐ Yes ☒ No**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JAMES S. JOHNSTON, JR. P.O. BOX 714 GEORGETOWN, SC 29442	CO-TRUSTEE 0.46	0.	0.	0.
CHARLES P. JOHNSTON 5201 RIO VISTA KNOXVILLE, TN 37919	CO-TRUSTEE 0.46	0.	0.	0.
ELLIS M. JOHNSTON, II P.O. BOX 2048 GREENVILLE, SC 29602	CO-TRUSTEE 0.48	0.	0.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0



**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities	<b>1 a</b>	4,014,161.
<b>b</b>	Average of monthly cash balances	<b>1 b</b>	661,739.
<b>c</b>	Fair market value of all other assets (see instructions)	<b>1 c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1 d</b>	4,675,900.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1 e</b>	0.
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0.
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	4,675,900.
<b>4</b>	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	70,139.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	4,605,761.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	230,288.

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	230,288.
<b>2 a</b>	Tax on investment income for 2019 from Part VI, line 5	<b>2 a</b>	923.
<b>b</b>	Income tax for 2019. (This does not include the tax from Part VI.)	<b>2 b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2 c</b>	923.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	229,365.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	
<b>5</b>	Add lines 3 and 4	<b>5</b>	229,365.
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	
<b>7</b>	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	229,365.

**Part XII** Qualifying Distributions (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	<b>1 a</b>	240,000.
<b>b</b>	Program-related investments — total from Part IX-B	<b>1 b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3 a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3 b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	240,000.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	<b>5</b>	923.
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	239,077.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				229,365.
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only			0.	
b Total for prior years 20__, 20__, 20__		0.		
3 Excess distributions carryover, if any, to 2019				
a From 2014	338.			
b From 2015				
c From 2016	2,553.			
d From 2017	874.			
e From 2018	3,130.			
f Total of lines 3a through e	6,895.			
4 Qualifying distributions for 2019 from Part XII, line 4 ▶ \$ 240,000.				
a Applied to 2018, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2019 distributable amount				229,365.
e Remaining amount distributed out of corpus	10,635.			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a) )	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	17,530.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount — see instructions		0.		
e Undistributed income for 2018 Subtract line 4a from line 2a Taxable amount — see instructions			0.	
f Undistributed income for 2019 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2020				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required — see instructions)	0.			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions)	338.			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a	17,192.			
10 Analysis of line 9				
a Excess from 2015				
b Excess from 2016	2,553.			
c Excess from 2017	874.			
d Excess from 2018	3,130.			
e Excess from 2019	10,635.			

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Form 990-PF (2019)



**Part XV** Supplementary Information (continued)**3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> Paid during the year SEE STATEMENT 8				
<b>Total</b>			<b>3 a</b>	240,000.
<b>b</b> Approved for future payment				
<b>Total</b>			<b>3 b</b>	





2019

## FEDERAL STATEMENTS

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THE LAURA E. DUPONT FOUNDATION

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**STATEMENT 1**  
**FORM 990-PF, PART I, LINE 16C**  
**OTHER PROFESSIONAL FEES**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	\$ 47,127.	\$ 47,127.		
TOTAL	<u>\$ 47,127.</u>	<u>\$ 47,127.</u>		<u>\$ 0.</u>

**STATEMENT 2**  
**FORM 990-PF, PART I, LINE 18**  
**TAXES**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
2018 EXCISE TAX-INVSTMNT INC PD IN 2019	\$ 5,000.	\$ 5,000.		
FOREIGN TAX PAID	4,867.	4,867.		
TOTAL	<u>\$ 9,867.</u>	<u>\$ 9,867.</u>		<u>\$ 0.</u>

**STATEMENT 3**  
**FORM 990-PF, PART I, LINE 23**  
**OTHER EXPENSES**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN SECS TRANSACTION FEES	\$ 1,556.	\$ 1,556.		
TOTAL	<u>\$ 1,556.</u>	<u>\$ 1,556.</u>		<u>\$ 0.</u>

**STATEMENT 4**  
**FORM 990-PF, PART II, LINE 10A**  
**INVESTMENTS - U.S. AND STATE GOVERNMENT OBLIGATIONS**

U.S. GOVERNMENT OBLIGATIONS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
UBS FCI A/C - GOVT SECS - SEE ATTACHMENT	COST	\$ 400,284.	\$ 411,721.
TOTAL		<u>\$ 400,284.</u>	<u>\$ 411,721.</u>

## THE LAURA E. DUPONT FOUNDATION

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**STATEMENT 5**  
**FORM 990-PF, PART II, LINE 10B**  
**INVESTMENTS - CORPORATE STOCKS**

<u>CORPORATE STOCKS</u>	<u>VALUATION METHOD</u>	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
UBS DELAWARE A/C - SEE ATTACHMENT	COST	\$ 460,693.	\$ 541,453.
UBS EIC ACV A/C - SEE ATTACHMENT	COST	539,057.	677,081.
UBS JH LCC (GREENWOOD) A/C - SEE ATTACHMENT	COST	441,300.	616,878.
UBS CAPITAL GROUP A/C - SEE ATTACHMENT	COST	414,695.	497,339.
UBS PMP A/C - SEE ATTACHMENT	COST	190,526.	206,173.
UBS TCW A/C - SEE ATTACHMENT	COST	377,758.	478,571.
UBS DELAWARE SCC A/C - SEE ATTACHMENT	COST	585,730.	705,012.
	<b>TOTAL</b>	<b>\$ 3,009,759.</b>	<b>\$ 3,722,507.</b>

**STATEMENT 6**  
**FORM 990-PF, PART II, LINE 10C**  
**INVESTMENTS - CORPORATE BONDS**

<u>CORPORATE BONDS</u>	<u>VALUATION METHOD</u>	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
UBS FCI A/C - BONDS - SEE ATTACHMENT	COST	\$ 390,449.	\$ 410,902.
	<b>TOTAL</b>	<b>\$ 390,449.</b>	<b>\$ 410,902.</b>

**STATEMENT 7**  
**FORM 990-PF, PART II, LINE 15**  
**OTHER ASSETS**

	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
ACCR MARKET DISCOUNT	\$ -199.	\$ -199.
ACCR TAX INT PD '19 COLL '19	5,537.	5,537.
BOND PREMIUM AMORTIZATION	755.	755.
DIVIDENDS RECEIVABLE	784.	784.
UBS BANK INTEREST RECEIVABLE	17.	17.
<b>TOTAL</b>	<b>\$ 6,894.</b>	<b>\$ 6,894.</b>

**STATEMENT 8**  
**FORM 990-PF, PART XV, LINE 3A**  
**RECIPIENT PAID DURING THE YEAR**

<u>NAME AND ADDRESS</u>	<u>DONEE RELATIONSHIP</u>	<u>FOUND- ATION STATUS</u>	<u>PURPOSE OF GRANT</u>	<u>AMOUNT</u>
MISSION OF HOPE 6030 INDUSTRIAL HEIGHTS DRIVE NW KNOXVILLE TN 37909		PC	APPALACHIAN RELIEF MINISTRY	\$ 20,000.

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**STATEMENT 8 (CONTINUED)**  
**FORM 990-PF, PART XV, LINE 3A**  
**RECIPIENT PAID DURING THE YEAR**

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
KNOXVILLE INTERNATIONALS NETWORK 6300 DEANE HILL DRIVE KNOXVILLE TN 37919		PC	GENERAL OPERATING BUDGET	\$ 20,000.
LOGOS LA GONAVE INC 71 DAGULLAH WAY SUITE C PAWLEYS ISLAND SC 29585		PC	MEDICINE & SUPPLIES FOR HAITIAN PEOPLE IN LOTORE'	2,000.
BROOKGREEN GARDENS 1931 BROOKGREEN GARDEN DRIVE MURRELLS INLET SC 29576		PC	GENERAL OPERATING BUDGET	4,000.
CONSERVATION INTERNATIONAL 2011 CRYSTAL DRIVE, SUITE 600 ARLINGTON VA 22202		PC	GENERAL OPERATING BUDGET	10,000.
TEACH MY PEOPLE 753 WAVERLY ROAD PAWLEYS ISLAND SC 29585		PC	GENERAL OPERATING BUDGET, BUILDING FUND	10,000.
STUDENTS IN THE WORD 10304 OCEAN HIGHWAY PAWLEYS ISLAND SC 29585		PC	GENERAL OPERATING BUDGET	5,000.
THE BILLFISH FOUNDATION 5100 N FEDERAL HIGHWAY SUITE 200 FORT LAUDERDALE FL 33308		PC	GENERAL OPERATING BUDGET	3,000.
FRIENDS OF THE MUSEUM SCHOOL FOUNDATION 211 MAPLE STREET SAN DIEGO CA 92103		PC	GENERAL OPERATING BUDGET	10,000.
CANCER SOCIETY OF GREENVILLE COUNTY 113 MILLS AVENUE GREENVILLE SC 29605		PC	GENERAL OPERATING BUDGET	5,000.
NEMOURS WILDLIFE FOUNDATION 161 NEMOURS PLANTATION ROAD YEMASSEE SC 29945		PC	GENERAL OPERATING BUDGET	4,000.
GREENVILLE AREA PARKINSON SOCIETY 40 JOHN MCCARROLL GREENVILLE SC 29607		PC	GENERAL OPERATING BUDGET	5,000.



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**STATEMENT 8 (CONTINUED)**  
**FORM 990-PF, PART XV, LINE 3A**  
**RECIPIENT PAID DURING THE YEAR**

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
CHRIST CHURCH EPISCOPAL 10 N. CHURCH STREET GREENVILLE SC 29601		PC	JOYFUL GARDEN TOUR FUND, CHAPEL GROUNDS FUNDS, HOPEWORKS	\$ 50,000.
NO BOUNDARIES INTERNATIONAL 10517 OCEAN HWY UNIT 4 PMB 152 PAWLEYS ISLAND SC 29585		PC	GENERAL OPERATING BUDGET	5,000.
ALL SAINTS CHURCH 3560 KINGS RIVER ROAD PAWLEYS ISLAND SC 29585		PC	DISCRETIONARY FUND, CEMETERY FUND, SCHOLARSHIP FUND	25,000.
STREET HOPE TN 7709 EWING RD POWELL TN 37849		PC	GENERAL OPERATING BUDGET	20,000.
COMMUNITY FUND OF HENDERSONVILLE COUNTY 401 NORTH MAIN STREET, STE 300 HENDERSONVILLE NC 28792		PC	THE GREAT DAY FUND	2,000.
WITH INC 122 W GLENWOOD AVE KNOXVILLE TN 37917		PC	GENERAL OPERATING BUDGET	10,000.
THE CRU FOUNDATION 100 LAKE HART DRIVE #3600 ORLANDO FL 32832		PC	GENERAL OPERATING BUDGET	10,000.
JDRF 200 VESEY STREET, 28TH FLOOR NEW YORK NY 10281		PC	GENERAL OPERATING BUDGET	5,000.
FRIENDSHIP PLACE INC 1905 FRONT ST GEORGETOWN SC 29440		PC	GENERAL OPERATING BUDGET	5,000.
TARA HALL HOME FOR BOYS 510 TARA HALL RD GEORGETOWN SC 29440		PC	GENERAL OPERATING BUDGET	5,000.
MISS RUBY'S KIDS 2018 CHURCH STREET GEORGETOWN SC 29440		PC	GENERAL OPERATING BUDGET	5,000.
TOTAL				\$ 240,000.

**2019****FEDERAL ATTACHMENT****PAGE 1****THE LAURA E. DUPONT FOUNDATION****58-6340729**

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**ATTACHMENT TO STATEMENT 4****FORM 990-PF, PART II, LINE 10A****INVESTMENTS - U.S. AND STATE GOVERNMENT OBLIGATIONS**

Face Value at Maturity 12/31/19	Description	Beg Book Value 01/01/19	End Book Value 12/31/19	FMV 12/31/19
	UBS FCI A/C			
0	US Treasury Note Rate 2.000% Matures 02/15/22	7,866.25	0.00	0.00
30,000	US Treasury Note Rate 2.750% Matures 02/15/24	22,134.93	30,686.82	31,292.70
34,000	US Treasury Note Rate 2.125% Matures 05/15/25	20,319.96	33,607.51	34,701.42
63,000	US Treasury Note Rate 2.250% Matures 02/15/27	26,067.66	61,999.13	64,769.67
33,000	US Treasury Note Rate 2.750% Matures 02/15/28	10,996.57	33,454.38	35,182.29
33,000	US Treasury Note Rate 2.875% Matures 08/15/28	0.00	36,345.78	35,591.16
43,000	US Treasury Note Rate 2.625% Matures 02/15/29	0.00	43,252.45	45,603.65
55,000	US Treasury Note Rate 1.625% Matures 08/15/29	0.00	54,737.87	53,622.80
121,000	US Treasury Securit Stripped Interest Payment Coupon Strip OID Matures 08/15/33	26,027.59	84,815.18	89,275.01
30,000	US Treasury Securit Stripped Interest Payment Coupon Strip OID Matures 05/15/34	0.00	21,385.13	21,682.20
<b>442,000</b>	<b>Total UBS FCI A/C</b>	<b>113,412.96</b>	<b>400,284.25</b>	<b>411,720.90</b>
<b>442,000</b>	<b>TOTAL U.S. AND STATE GOVERNMENT OBLIGATIONS</b>	<b>113,412.96</b>	<b>400,284.25</b>	<b>411,720.90</b>

## THE LAURA E. DUPONT FOUNDATION

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ATTACHMENT TO STATEMENT 5  
 FORM 990-PF, PART II, LINE 10B  
 INVESTMENTS - CORPORATE STOCKS

# Shares 12/31/19	Description	Beg Book Value 01/01/19	End Book Value 12/31/19	FMV 12/31/19
	UBS Capital Group A/C			
366	AIA Group Ltd Spon ADR	6,528.04	13,723.90	15,404.94
99	Air Liquide ADR France ADR	925.22	2,245.91	2,776.95
410	Airbus SE Unsponsored ADR	4,387.30	12,170.35	15,067.50
143	Amcor PLC	676.98	1,500.04	1,550.12
17	AON PLC	1,736.41	3,036.12	3,540.93
274	Asahi Kaiser Corp Unspn ADR	1,379.87	5,688.30	6,175.41
46	ASML Hldg NV Spon ADR	3,297.71	8,174.17	13,613.24
225	ASSA Abloy AB ADR	2,677.87	2,350.40	2,620.12
0	Associated British Foods PLC New ADR	939.60	0.00	0.00
291	Astrazeneca PLC Spon ADR	6,511.34	11,299.90	14,509.26
18	Beigene LTD Spon ADR	0.00	2,413.29	2,983.68
145	BNP Paribas SA ADR	1,468.66	3,457.20	4,305.05
0	Brenntag AG Unspn ADR	1,352.56	0.00	0.00
101	British Amer Tobacco PLC GB Spon ADR	0.00	3,994.33	4,288.46
542	Carlsberg AS Apon ADR	4,224.75	13,192.32	16,146.18
34	CDN Natl Railway Co CAD	1,112.97	2,773.19	3,075.30
46	Daichi Sankyo Co Ltd Spon ADR	0.00	2,786.95	3,031.86
205	Daikin Inds Ltd ADR	0.00	2,585.09	2,908.95
404	Danone Spon ADR	2,617.25	6,036.34	6,661.96
18	DBS Group Hldgs Ltd Spon ADR	1,872.72	1,336.30	1,388.88
75	Denso Corp ADR	792.40	1,528.00	1,694.25
181	Deutsche Boerse ADR	942.08	2,273.10	2,819.98
63	Diageo PLC New GB Spon ADR	2,987.88	9,892.99	10,610.46
157	DNB ASA Spon ADR	1,089.86	2,740.92	2,925.85
72	DSV Panalpina A/S ADR	1,081.91	2,748.35	4,163.76
118	Enbridge Inc CAD	0.00	4,335.64	4,692.86
1,402	ENEL SPA ADR	2,403.44	8,521.15	11,005.70
0	Ensco PLC CL A	573.99	0.00	0.00
166	Essilorluxottica ADR	4,668.56	10,244.99	12,713.94
32	Galapagos NV Spon ADR	0.00	4,886.34	6,618.56
395	Genmab A/S Spon ADR	1,736.28	6,432.22	8,820.35
105	Givaudan SA ADR	1,341.83	5,494.53	6,569.85
120	Grifols S A CL B Spon ADR	968.70	2,241.31	2,794.80
107	HDFC Bank Ltd ADR Repstg 3 Ord Shs	2,496.00	5,778.79	6,780.59
38	Hermes Intl SCA ADR	930.98	2,291.91	2,865.20

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ATTACHMENT TO STATEMENT 5  
 FORM 990-PF, PART II, LINE 10B  
 INVESTMENTS - CORPORATE STOCKS

# Shares 12/31/19	Description	Beg Book Value 01/01/19	End Book Value 12/31/19	FMV 12/31/19
56	Hong Kong Exchanges & Clearing LTD ADR	0 00	1,733 67	1,818 88
44	Hoya Corp Spon ADR	2,283.42	3,227 73	4,241 60
23	Hutchison China Meditech Ltd Spon ADR	776 61	532 17	576 61
184	Iberdrola SA Spon ADR	2,636 08	6,190 28	7,601 04
0	Imperial Brands PLC Spon ADR	1,919 99	0.00	0 00
51	Intercontinental Hotels Group PLC New Spon ADR	1,291 89	3,043.87	3,502 17
635	Ishares MSCI EAFE ETF	0 00	43,856 28	44,094 40
0	Jardine Matheson HD-Unsp ADR	3,922 74	0 00	0 00
0	KBC Group NV ADR	1,252 49	0 00	0 00
121	Kering S A Un Sponsored ADR	2,471 95	6,231 69	7,908.56
53	Keyence Corp Ord JPY	5,559 90	14,784 50	19,008.39
145	Koninklijke Philips NV Spon ADR	0.00	5,979 45	7,076.00
0	Kubota Corp ADR Japan ADR	708 00	0 00	0 00
600	London STK Exchange Un-sponsored ADR	3,574.97	8,839 31	15,604 20
169	Loreal Co ADR France ADR	3,602 11	8,431 87	9,949 03
78	LVMH Moet Hennessy Louis New ADR	2,862 32	5,414.82	7,275 06
4	Mercadolibre Inc	1,167 40	2,063 46	2,287 76
346	Murata MFG Co Ltd ADR	2,537 63	3,935 44	5,300 72
0	Naspers Ltd Spon ADR	954 00	0 00	0.00
109	Nestle S A Spon ADR Repstg Reg Shs Switz ADR	4,132 53	9,587 13	11,800 34
219	Nidec Corp ADR	1,844 04	6,956 23	7,490 89
100	Nippon Teleg & Tel Corp Spon ADR	0 00	4,812 49	5,050 00
38	Novartis AG Spon ADR	1,461 32	2,961 02	3,598 22
275	Novo Nordisk ADR Denmark ADR	5,804.56	13,185 77	15,917 00
0	NTT Docomo Inc Ech Repstg 1/200TH Com Shs Spon ADR	1,946 18	0.00	0 00
337	Pernod Ricard SA ADR	4,931 93	11,493 79	12,111.78
53	Prosus N V ADR	0 00	847.21	789 70
0	Prudential PLC ADR United Kingdom	2,118 66	0 00	0 00
168	Reckitt Benckiser PLC Spon ADR	798 20	2,604 75	2,782 08
365	RELX PLC Spon ADR	1,011 85	8,050 81	9,223 55
0	Rio Tinto PLC Spon ADR	1,168 80	0 00	0.00
28	Royal Dutch Shell PLC Ads Repstg 2 CL B Ord Shs Spon ADR	2,272.70	1,674 62	1,679 16
365	Royal KPN N V Spon ADR	1,039 37	1,039 37	1,095 00
0	Ryanair Holdings PLC Spon ADR	719 40	0 00	0 00
65	Ryohin Keikaku Co Un-sponsored ADR	723 15	1,398 44	1,515 80
381	Safran SA ADR	6,162.73	12,433.09	14,745.08
0	Sampo OYJ ADR	3,232 52	0.00	0 00

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ATTACHMENT TO STATEMENT 5  
FORM 990-PF, PART II, LINE 10B  
INVESTMENTS - CORPORATE STOCKS

# Shares 12/31/19	Description	Beg Book Value 01/01/19	End Book Value 12/31/19	FMV 12/31/19
0	Samsonite Intl SA Unsponsored ADR	943 16	0 00	0.00
91	SAP SE Spon ADR	4,096 29	9,886 30	12,193.09
244	Shin Etsu Chem Co Ltd ADR	1,280 07	5,349 54	6,710 00
28	SMC Corp Yen Shs Ord JPY	3,632.40	9,693.91	13,117.97
0	Societe Generale France Spons ADR ADR	1,141 86	0.00	0 00
0	Softbank Group Corp ADR	2,772 83	0 00	0 00
73	Sonova Hldg AG ADR	1,076 63	2,620 14	3,340.11
0	SSE PLC Spon ADR	660 03	0 00	0 00
18	Suzuki Motor Corp Ltd ADR	0 00	3,023 10	3,001 19
0	Svenska Handelsbanken AB ADR	1,896 26	0 00	0 00
239	Taiwan Semiconductor MFG Co Ltd ADR	4,916 35	9,351 65	13,885.90
52	Tencent Hldgs Ltd ADR	0 00	2,395 18	2,496 52
48	Total S A France Spon ADR	1,166.13	2,629 76	2,654 40
973	Unicharm Corp Spon ADR	1,960 84	6,361 38	6,645 59
122	Vodafone Group PLC Spon ADR	999 89	2,303 98	2,358.26
72	Wynn Macau Ltd Unspn ADR	1,123 53	1,596 66	1,768 10
13,387	<b>Total UBS Capital Group A/C</b>	<b>168,278.87</b>	<b>414,695.20</b>	<b>497,339.09</b>
	<b>UBS Delaware A/C</b>			
749	ABB Ltd Spon ADR	7,222 38	13,218 28	18,043 41
563	Allianz SE ADR	6,697 74	10,663 24	13,630 23
243	BP PLC Spon ADR	7,104 70	7,104 70	9,170 82
282	CK Hutchison Hldgs Ltd Unspn ADR	0 00	2,534 36	2,687 46
1,666	Compagnie de Saint-Gobain ADR	0 00	11,814.40	13,611 22
1,157	Conti AG-Spons ADR ADR	0 00	14,867.75	14,838 52
1,016	Daimler AG Unsponsored ADR	2,759 48	13,869 47	13,797 28
417	Deutsche Telekom AG DE Spon ADR	4,813 55	4,813 55	6,792 93
1,930	Enel Spa ADR	9,733 99	10,449 19	15,150 50
249	ENI SPA IT Spon ADR	9,448 84	6,964 92	7,709.04
298	Fuji Film Holdings Corp ADR	1,789 89	12,904 19	14,333 80
366	Glaxo SmithKline PLC ADR	0 00	14,330 30	17,198 34
668	Honda Motor Co ADR Japan ADR	0 00	19,207.14	18,911 08
0	Iberdrola SA Spon ADR	8,820 06	0 00	0 00
1,387	Ishares MSCI EAFE ETF	131,192 26	95,876 38	96,313 28
0	Isuzu Motor Co Ltd ADR ADR	1,784 19	0 00	0 00
199	Koninklijke Ahold Delhaize NV Spon ADR	5,741 83	4,281 37	4,994 90

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ATTACHMENT TO STATEMENT 5  
 FORM 990-PF, PART II, LINE 10B  
 INVESTMENTS - CORPORATE STOCKS

# Shares 12/31/19	Description	Beg Book Value 01/01/19	End Book Value 12/31/19	FMV 12/31/19
234	Kyocera Corp ADR Japan ADR	0 00	14,748 92	15,996 24
5,168	Lloyds Banking Group PLC Spon ADR	0 00	15,377 44	17,106 08
461	Mitsubishi Elec Corp ADR Japan ADR	8,434 27	11,673 60	12,548 42
172	National Grid PLC Spon ADR	0 00	8,996 32	10,779.24
202	Nippon Telg & Tel Corp Spon ADR	0 00	8,649 15	10,201.00
151	Novartis AG Spon ADR	6,639 57	9,975 72	14,298 19
94	NTT Docomo Inc ECH Repstg 1/200th Com Shs Spon ADR	2,100 15	1,642 58	2,612.26
1,158	QBE Ins Group Ltd Spon ADR	0 00	9,500 95	10,526 22
192	Royal Dutch Shell PLC ADS Repstg 2 CL B ORD SHS Spon ADR	6,602 30	8,915 48	11,514 24
348	Sanofi Spon ADR	8,678 71	13,481 88	17,469 60
362	Singapore Telecom Ltd New 2006 Spon ADR	1,116 67	7,793 22	9,071 72
1,114	SSE PLC Spon ADR	0 00	16,390 04	21,243 98
329	Taiwan Semiconductor Mfg Co Ltd ADR	2,599 69	7,138 43	19,114 90
990	Takeda Pharmaceutical Co Ltd Spon ADR	0 00	18,888 78	19,532 70
708	Telia Co AB ADR	10,505 39	5,886 72	6,056 94
1,583	Tesco PLC Spons ADR United Kingdom	3,511 41	13,594 76	15,953 47
311	Tokio Marine Holdings Inc Spon ADR	7,128 39	12,139 61	17,335.14
297	Untd Overseas Bk Ltd Spons ADR Singapore ADR	6,507 75	8,135 33	11,676 55
506	WH Group LTD Spon ADR	4,927 11	8,285 74	10,422 58
194	WPP PLC New Spon ADR	0 00	11,058.29	13,636 26
175	Zurich Insurance Group Ltd Spon ADR	6,745 39	5,520 31	7,175 00
25,939	<b>Total UBS Delaware A/C</b>	<b>272,605.71</b>	<b>460,692.51</b>	<b>541,453.54</b>
	<b>UBS Delaware SCC A/C</b>			
169	ABM Inds Inc	3,901 19	5,580 31	6,372.99
0	Adamas Pharmaceuticals Inc	1,494 70	0.00	0 00
107	Agios Pharmaceuticals Inc	0 00	4,473 49	5,109 25
0	American Eagle Outfitters Inc New	3,443 73	0 00	0 00
248	American Equity Investment Life Holding Co	2,532 75	7,003 68	7,422 64
30	Anixter Intl Inc	2,474 68	1,813 66	2,763 00
102	Applied Indl Tech Inc	3,825 29	5,677 38	6,802 38
0	Arlo Technologies Inc	2,019 27	0 00	0.00
248	Armada Hoffer Pptys Inc Reit	1,503 80	3,864 62	4,550 80
94	ASGN Inc	1,886.15	5,267 52	6,671 18
0	ATN Intl Inc Com	2,712 44	0 00	0 00
45	Balchem Corp	2,108 97	3,810 81	4,573 35

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ATTACHMENT TO STATEMENT 5  
 FORM 990-PF, PART II, LINE 10B  
 INVESTMENTS - CORPORATE STOCKS

# Shares 12/31/19	Description	Beg Book Value 01/01/19	End Book Value 12/31/19	FMV 12/31/19
76	Barnes Group Inc	3,954 00	4,235 04	4,708.96
102	Belden Inc New	2,326 06	4,778 29	5,610 00
27	BlackBaud Inc	949 65	1,872 24	2,149 20
207	Boise Cascade Co	2,380 43	4,974 65	7,561 71
215	Brightview Hldgs Inc	813 39	2,847 63	3,627 05
159	Brooks Automation Inc	3,009 09	4,718 97	6,671 64
51	Bryn Mawr Bank Corp	1,017 35	1,814.58	2,103.24
0	Carrizo Oil & Gas Inc	2,465.38	0 00	0 00
131	Casella Waste Systems Inc CL A	3,038 19	4,134 94	6,029 93
0	Catalent Inc	980 61	0.00	0 00
292	Centerstate Banks Corp	2,610.87	6,819 14	7,294.16
27	Cheesecake Factory Inc	3,152 78	1,000.56	1,049 22
89	Chegg Inc	0 00	2,991 29	3,373 99
100	Chuys Hldgs Inc	1,441 56	1,919 70	2,592 00
58	City Holding Co W VA	2,293 64	4,258 35	4,753.10
458	Coeur Mining Inc	1,193 85	1,942 90	3,700 64
183	Columbus McKinnon Corp	2,808 20	6,022 00	7,325 49
74	Conmed Corp	3,171 68	5,088.38	8,275 42
215	Continental Bldg Prod	3,965 97	5,512 98	7,832 45
110	Cousins Properties Inc	1,757.83	3,985 45	4,532.00
0	Cryolife Inc	2,990 06	0 00	0 00
68	Eastgroup Properties Inc (Maryland Corp)	4,080.13	6,918.30	9,021.56
83	Esco Technologies Inc	2,990 00	5,504 54	7,677.50
149	Essent Group Ltd	4,125 23	6,018.19	7,746 51
0	Evercore Inc	1,330 02	0 00	0 00
126	EXLSERVICE Holdings Inc	3,803 59	6,917 52	8,751 96
0	FCB Financial Holdings Inc CL A	1,772 84	0 00	0.00
285	Federal Signal Corp	2,875.92	6,261 21	9,191 25
98	First Bancorp N C	1,873.97	3,393 84	3,911 18
275	First Fincl Bancorp Ohio Monroe	3,150 06	6,559 44	6,996 00
227	First Indl Realty Trust Inc	3,810 50	6,992 48	9,422 77
139	First Intst BancSystem Inc Com CL A	2,968 25	5,409.15	5,826 88
23	Five Below Inc	3,759 62	2,433 37	2,940 78
0	Granite Construction Co Inc	2,549.75	0.00	0 00
213	Great Westn Bancorp Inc	3,393 74	6,958 46	7,399 62
0	Grubhub Inc	602 48	0 00	0 00
72	Hamilton Lane Inc CL A	1,341.62	3,192.52	4,291 20

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ATTACHMENT TO STATEMENT 5  
 FORM 990-PF, PART II, LINE 10B  
 INVESTMENTS - CORPORATE STOCKS

# Shares 12/31/19	Description	Beg Book Value 01/01/19	End Book Value 12/31/19	FMV 12/31/19
0	Hawaiian Holdings Inc	1,317.79	0 00	0 00
0	Hope Bancorp Inc Com	1,615.20	0 00	0 00
86	HUB Group Inc CL A	952 38	3,390 52	4,410.94
138	II VI Inc	2,831 84	4,427 19	4,646 46
60	Independent Bank Corp Mass	2,554 85	4,564 18	4,995 00
122	Independent Bank Group Inc	2,438 61	6,286 74	6,763 68
54	Intercept Pharmaceuticals Inc	0 00	3,348 92	6,691 68
68	Interxion Holdings NV Eur	3,027 91	4,090 60	5,699.08
695	Ishares Russell 2000 ETF	0 00	114,313 04	115,140 65
0	Jack In The Box Inc	3,452 60	0 00	0.00
43	J & J Snack Foods Corp	3,728 61	6,386 73	7,923.61
94	J2 Global Inc Com	3,837 37	6,990 55	8,808.74
73	Kadant Inc	3,481 38	6,194 69	7,689.82
67	Kaiser Aluminum Corp New 2006	3,474 90	6,302 64	7,429 63
0	Keane Group Inc	1,575 68	0 00	0 00
26	Kemper Corp Del Com	0 00	1,986 64	2,015 00
0	Keyw Hldg Corp Com	486 35	0 00	0.00
0	Kforce Inc	1,056 48	0 00	0.00
326	Kite Realty Group Trust Reit	3,556 90	4,799 37	6,366 78
0	KLX Energy Svcs Hldgs Inc	369 19	0 00	0 00
0	Knight-Swift Transn Hldgs Inc CL A	1,018 81	0 00	0 00
2	Lendingtree Inc	1,740 00	435 00	606 88
12	Ligand Pharmaceuticals Inc Com New	3,310 27	1,207 09	1,251 48
76	Mack-Cali Realty Corp	3,540 37	1,478 40	1,757 88
44	Macom Technology Solutions Holdings Inc	402 30	662 23	1,170 40
102	Malibu Boats Inc CL A	2,726 73	3,601 96	4,176 90
99	Mastec Inc	1,964 41	4,591 81	6,351.84
176	Maxlinear Inc CL A	3,670 23	3,272 95	3,734.72
99	Medicines Co	2,440 95	2,808 15	8,409 06
45	Merit Medical System Inc	4,261.91	1,236 16	1,404 90
400	MGIC Investment Corp	3,964.66	5,047 74	5,668 00
62	Mimecast Ltd	0 00	2,283 96	2,689 56
133	Minerals Technologies Inc	2,868 65	7,228 04	7,664 79
93	Mobile Mini Inc	0 00	3,487 47	3,525 63
160	MYR Group Inc	2,461 74	4,805 97	5,214 40
195	Natera Inc	1,673 19	3,275 69	6,569 55
0	Navigant Consulting Inc	2,662 83	0.00	0 00



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ATTACHMENT TO STATEMENT 5  
 FORM 990-PF, PART II, LINE 10B  
 INVESTMENTS - CORPORATE STOCKS

# Shares 12/31/19	Description	Beg Book Value 01/01/19	End Book Value 12/31/19	FMV 12/31/19
106	Neenah Inc	3,265 35	6,499 73	7,465 58
0	Netgear Inc	1,554 95	0 00	0 00
21	Nexstar Media Group Inc CL A	986 57	1,822 75	2,462 25
147	Northwestern Corp New	5,673 59	9,389 64	10,535 49
97	Nuvasive Inc	2,655 30	5,268 41	7,501 98
393	Old Natl Bancorp Evansvl Ind	2,989 56	6,222 93	7,187 97
44	Pacific Premier Bankcorp Inc	0 00	1,480 27	1,434 62
0	Patterson-Uti Energy Inc	1,435 47	0 00	0 00
0	Paycom Software Inc	848 05	0 00	0 00
33	Paylocity Hldg Corp	0 00	2,482 92	3,987 06
36	Pebblebrook Hotel Tr	2,505 13	940 24	965 16
313	Physicians Realty Trust SBI	1,376 00	5,329 79	5,928 22
0	Pioneer Energy Svcs Corp Com	541 00	0 00	0 00
0	Plantronics Inc New	1,430 88	0 00	0 00
187	Prestige Consumer Healthcare Inc	3,157 86	5,507 07	7,573 50
16	Primerica Inc	3,008 24	1,894 56	2,088 96
0	Proofpoint Inc	762 93	0 00	0 00
38	PTC Therapeutics Inc	0 00	1,879 49	1,825 14
0	Puma Biotechnology Inc	1,486 02	0 00	0 00
0	Quaker Chemical Corp	3,919 08	0 00	0 00
113	Quidel Corp	3,059 72	6,266 94	8,478 39
119	Q2 Hldgs Inc	3,892 86	7,025 34	9,648 52
110	Rapid7 Inc	1,627 62	4,368 75	6,162 20
96	Repligen Corp	3,610 34	5,347 60	8,880 00
51	Retrophin Inc Com	3,504 38	580 64	724 20
145	Rexnord Corp New	0 00	4,185 22	4,729 90
497	RPT Rlty Reit	4,104 51	6,008 54	7,474 88
82	Selective Ins Group Inc	3,745 30	5,162 42	5,345 58
81	Semtech Corp	3,641 76	3,641 76	4,284 90
60	Silicon Laboratories Inc	2,665 91	4,924 45	6,958 80
280	South Jersey Ind Inc	4,036 37	8,198 49	9,234 40
0	Spark Therapeutics Inc	1,492 52	0 00	0 00
63	Spectrum Pharmaceuticals Inc	1,528 75	495 50	229 32
110	Spire Inc	4,737 85	8,373 38	9,164 10
0	Sterling Bancorp Del Com	1,512 30	0 00	0 00
264	Steven Madden Ltd	4,684 13	8,312 47	11,354 64
137	Stifel Financial Corp	2,949 11	6,547 91	8,309 05

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ATTACHMENT TO STATEMENT 5  
 FORM 990-PF, PART II, LINE 10B  
 INVESTMENTS - CORPORATE STOCKS

# Shares 12/31/19	Description	Beg Book Value 01/01/19	End Book Value 12/31/19	FMV 12/31/19
0	Supernus Pharmaceuticals Inc	1,609.12	0 00	0.00
0	Synnex Corp	556.08	0.00	0 00
0	Tabula Rasa Healthcare Inc	1,417 95	0 00	0 00
201	Taylor Morrison Home Corp CL A	0 00	4,324 23	4,393 86
78	Teladoc Health Inc	0 00	4,941 96	6,530 16
91	Tenneco Inc	2,469 28	827.24	1,192 10
116	Tetra Tech Inc New	3,106 72	6,478 53	9,994 56
55	Ultragenyx Pharmaceutical Inc	1,335 14	2,290 89	2,349 05
245	Umpqua Holdings Corp OR	1,319 69	4,086 34	4,336 50
168	United Cmnty Bks Blairsvle GA Com	0 00	4,780 53	5,187 84
0	US Ecology Inc Com	2,531 32	0.00	0 00
0	U S Silica Hldgs Inc	773 03	0 00	0 00
482	Valley Natl Bancorp	2,961.88	4,549 61	5,518 90
101	Vanda Pharmaceuticals Inc	4,248.08	1,453 94	1,657.41
0	Wageworks Inc	1,102 75	0 00	0 00
195	Wendys Co	0 00	3,817 59	4,330 95
50	Werner Enterprises Inc	0 00	1,806 12	1,819 50
82	Wesbanco Inc	0 00	3,059 19	3,098 78
149	WNS Holdings Ltd Spon ADR	3,563.99	6,821 56	9,856 35
18	Woodward Inc	1,593 97	1,753 24	2,131 92
152	Worthington Indust Inc	2,950.33	5,364 50	6,411 36
235	Wright Medical Group N V Eur	4,041 36	6,339 36	7,162 80
84	WSFS Finl Corp	3,149 25	3,405 78	3,695 16
0	YELP Inc	2,264 45	0 00	0 00
15,066	<b>Total UBS Delaware SCC A/C</b>	<b>326,500.17</b>	<b>585,729.83</b>	<b>705,012.07</b>
	<b>UBS EIC ACV A/C</b>			
860	AGNC Invst Corp Reit	0.00	13,761 47	15,204 80
170	American Express Co	12,825 56	10,837 61	21,163 30
200	Amerisourcebergen Corp	0 00	15,515 83	17,004 00
7	Booking Hldgs Inc	0.00	12,289 90	14,376 11
190	Cimarex Energy Co	0.00	8,382.29	9,973 10
0	Cisco Systems Inc	3,327 34	0 00	0.00
195	ConocoPhillips	8,642 90	8,642 90	12,680 85
503	Discovery Inc Ser C	0 00	13,032.21	15,336 47
564	eBay Inc	14,883.96	16,218 40	20,366 04

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ATTACHMENT TO STATEMENT 5  
 FORM 990-PF, PART II, LINE 10B  
 INVESTMENTS - CORPORATE STOCKS

# Shares 12/31/19	Description	Beg Book Value 01/01/19	End Book Value 12/31/19	FMV 12/31/19
245	Energy Select Sector SPDR Fund ETF	0 00	15,120 98	14,709 80
310	Exelon Corp	12,034 28	8,675 88	14,132 90
100	Facebook Inc CL A	0 00	14,787 67	20,525 00
0	Franklin Resources Inc	8,154 69	0 00	0 00
530	Glaxo SmithKline PLC ADR	0 00	20,440 99	24,904 70
105	Global Life Inc	0 00	5,750 48	11,051 25
280	Hartford Financial Services Group Inc	11,545 21	11,545.21	17,015 60
140	Honda Motor Co ADR Japan ADR	0 00	3,456 28	3,963 40
290	Ishares Russell 1000 Value ETF	178,486 25	39,238 19	39,579 20
491	iShares Short Treas Bond ETF	45,218 21	54,192 16	54,235 86
135	Johnson & Johnson Com	14,070 44	14,070 44	19,692 45
530	Kroger Company	9,619 69	11,977 64	15,364 70
135	Lowes Companies Inc	8,418 49	10,282 09	16,167 60
0	Mack-Cali Realty Co	4,826 00	0 00	0 00
100	McKesson Corp	0 00	12,399 26	13,832 00
120	Medtronic PLC	14,377 00	9,080 21	13,614 00
90	Mohawk Industries Inc	10,902.27	10,902 27	12,274 20
0	Molson Coors Brewing CO CL B	5,482 29	0 00	0 00
230	National Grid PLC Spon ADR	6,217 54	11,849 29	14,414 10
125	Pepsico Inc	13,919.93	11,225 75	17,083 75
100	PNC Financial Services Group	14,570 53	10,595 68	15,963 00
155	PPG Industries Inc	14,481 45	14,481 45	20,690 95
0	Procter & Gamble Co	10,050 10	0 00	0 00
0	Qualcomm Inc	5,107 59	0 00	0 00
310	Sanofi Spon ADR	0 00	14,575 28	15,562 00
350	Schlumberger Ltd Netherlands Antilles	0 00	12,505 83	14,070 00
0	SunTrust Banks Inc	14,120 74	0.00	0 00
111	Target Corp	11,065 94	7,033 89	14,231 31
0	Torchmark Corp	5,750 48	0 00	0 00
247	Total S A France Spon ADR	0.00	13,272.17	13,659.10
125	Travelers Cos Inc, The	11,944 16	11,944 16	17,118 75
420	Truist Finl Corp	0 00	14,095 80	23,654 40
230	United Parcel Service Inc CL B	0 00	22,431 30	26,923.80
415	US Bancorp Del (New)	13,592 22	18,522 69	24,605 35
315	Verizon Communications Inc	15,675 55	15,675 55	19,341 00
420	Wells Fargo & Co New	0 00	20,247 77	22,596 00
9,843	Total UBS EIC ACV A/C	499,310.81	539,056.97	677,080.84

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ATTACHMENT TO STATEMENT 5  
 FORM 990-PF, PART II, LINE 10B  
 INVESTMENTS - CORPORATE STOCKS

# Shares 12/31/19	Description	Beg Book Value 01/01/19	End Book Value 12/31/19	FMV 12/31/19
	UBS John Hancock Large Cap Core A/C (was Greenwood Capital)			
0	Allergan PLC	14,770.57	0 00	0 00
20	Alphabet Inc CL A	13,190 81	13,190 81	26,787 80
23	Amazon Com Inc	34,632 25	34,921 11	42,500 32
52	Amer Express Co	5,049 30	4,954 03	6,473 48
62	American Tower Corp Reit	10,080 62	9,920 61	14,248 84
27	Amgen Inc	5,236 38	5,236 38	6,508.89
56	Analog Devices Inc	2,502 12	4,893 72	6,655 04
221	Anheuser Busch Inbev Spon ADR	12,603 71	14,806 30	18,130 84
119	Apple Inc	12,961 53	12,808 67	34,944 35
281	Baker Hughes Co CL A	6,071 60	6,071 60	7,202.03
909	Bank of Amer Corp	16,769.78	20,798 23	32,014.98
0	Biogen Inc	5,411.55	0 00	0 00
99	Bristol Myers Squibb Co	5,113 31	5,113 31	6,354 81
13	Broadcom Inc	3,337 75	3,337 75	4,108 26
231	Cargurus Inc CL A	7,960 68	7,858 62	8,126 58
40	Caterpillar Inc	5,249 99	5,121 94	5,907 20
190	Cheniere Energy Inc New	10,012 85	10,971 61	11,603 30
304	CitiGroup Inc	14,673 99	16,242 96	24,286.56
155	Comcast Corp New CL A	5,488 60	5,384.39	6,970 35
50	Danaher Corp	5,244 74	5,141 90	7,674 00
707	Danone Spon ADR	9,960 17	9,821 26	11,658 43
28	Diageo PLC New GB Spon ADR	4,122 06	3,979 92	4,715 76
146	Ebay Inc	8,580.82	4,162 13	5,272 06
140	Facebook Inc CL A	19,280 36	18,875 88	28,735 00
38	First Rep Bank	3,383.52	3,383.52	4,463 10
274	Fox Corp CL B Com	0 00	9,287 91	9,973 60
0	Genl Electric Co	9,452 13	0 00	0 00
109	Gilead Sciences Inc	7,023 07	6,834 95	7,082.82
70	Goldman Sachs Group Inc	9,718 26	12,616 93	16,095 10
127	JPMorgan Chase & Co	3,781 87	9,605 73	17,703 80
141	Kinder Morgan Inc	2,249 85	2,130 50	2,984 97
391	Lennar Corp CL A	21,525 53	15,528 56	21,813.89
301	Liberty Media Corp-Liberty Formula One Ser C	9,092.64	9,002 91	13,835.46
76	Lyondellbasell Industries N V Shs - A - CL A EUR	0 00	5,648 60	7,180 48

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ATTACHMENT TO STATEMENT 5  
 FORM 990-PF, PART II, LINE 10B  
 INVESTMENTS - CORPORATE STOCKS

# Shares 12/31/19	Description	Beg Book Value 01/01/19	End Book Value 12/31/19	FMV 12/31/19
0	Marriott Intl Inc New CL A	2,270.73	0 00	0.00
120	Microsoft Corp	3,164 00	8,871.20	18,924 00
469	Morgan Stanley	11,311 65	18,839 64	23,975 28
57	Pepsico Inc	0 00	6,511 54	7,790 19
100	Polaris Inc	14,482 99	7,662 96	10,170 00
0	Prudential Financial Inc	5,884 55	0.00	0 00
65	Ralph Lauren Corp CL A	0 00	5,539 87	7,619 30
0	Schlumberger Ltd Netherlands Antilles	8,523 37	0 00	0 00
48	SPDR S&P 500 ETF TR	0 00	15,357 60	15,449 28
133	State Street Corp	0 00	7,271.46	10,520 30
175	Synchrony Finl	5,967 31	4,143 97	6,301 75
154	Tempur Sealy Intl Inc	8,136 13	6,458 58	13,407 24
0	Twenty-First Century Fox Inc CL B	8,152 71	0 00	0 00
0	Twitter Inc	2,848 09	0 00	0 00
66	Union Pacific Corp	6,888 75	6,756 00	11,932 14
58	UnitedHealth Group Inc	6,222.75	14,506 72	17,050 84
42	Untd Technologies Corp	5,180 63	5,074 13	6,289 92
40	Visa Inc CL A	5,423 89	5,291.60	7,516 00
122	Walmart Inc	5,747 94	12,276.45	14,498 48
55	Walt Disney Co (Holding Co) Disney Com	0 00	5,839 37	7,954 65
0	Wells Fargo & Co New	8,479 84	0 00	0 00
54	Workday Inc CL A	14,309 42	8,682 12	8,880 30
44	Zimmer Biomet Holdings Inc	4,667 40	4,563 68	6,585 92
7,202	<b>Total UBS Greenwood Capital Associates A/C</b>	<b>432,194.56</b>	<b>441,299.63</b>	<b>616,877.69</b>
	<b>UBS PMP A/C</b>			
515	Amer Funds New World Fund Class F2	7,357 48	32,197 30	36,222 86
2876	Brandes International Small Cap Equity Fund Class I	7,357 49	31,056 51	31,805 26
1731	Delaware Emerging MKTS Funds Institutional Class	7,357 49	31,102 98	35,670 94
597	First Eagle Global Funds Class I	7,357 45	32,697 71	34,744 79
2114	Hartford Schrodgers Emerging Markets Equity Fund Class I	7,357.49	31,565 46	35,070 39
1136	Matthews Pacific Tiger Fund Investor Share	7,357.48	31,906 11	32,659 07
8,969	<b>Total UBS PMP A/C</b>	<b>44,144.88</b>	<b>190,526.07</b>	<b>206,173.31</b>

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ATTACHMENT TO STATEMENT 5  
 FORM 990-PF, PART II, LINE 10B  
 INVESTMENTS - CORPORATE STOCKS

# Shares 12/31/19	Description	Beg Book Value 01/01/19	End Book Value 12/31/19	FMV 12/31/19
	UBS TCW A/C			
86	Adobe Inc. (Delaware)	9,123 47	21,187 84	28,363 66
17	Alexion Pharmaceuticals Inc	2,321 52	1,644 41	1,838 55
35	Align Technology Inc	3,534 57	7,645 51	9,766 40
21	Alphabet Inc CL C	10,311 39	22,955.34	28,077 42
14	Amazon.com Inc	11,599 90	21,660 22	25,869 76
115	American Tower Corp Reit	8,656 33	19,822 42	26,429 30
24	ASML Hldg NV Spon ADR	0 00	4,550 87	7,102.56
42	Biomarin Pharmaceutical Inc	3,149 46	3,479 30	3,551.10
0	Booking Hldgs Inc	3,419.34	0 00	0 00
195	Boston Scientific Corp	0 00	7,977 81	8,817.90
0	Celgene Corp	1,171.48	0 00	0 00
71	Chubb Ltd CHF	4,812.81	9,440 99	11,051 86
0	Concho Resources Inc	1,918 45	0 00	0 00
43	Costco Wholesale Corp	4,379 08	9,262 98	12,638 56
24	Equinix Inc Reit	4,113 34	9,382 08	14,008 80
119	Facebook Inc CL A	7,653 96	18,560.53	24,424 75
56	Home Depot Inc	5,042 83	10,042 59	12,229 28
147	IHS Markit Ltd	2,924 01	7,545 93	11,076 45
37	Illumina Inc	3,837 77	10,916 34	12,274 38
121	Ishares Russell 1000 Growth ETF	0 00	21,062 97	21,286.32
60	Mastercard Inc CL A	5,393 56	12,612 07	17,915.40
77	Monster Beverage Corp New Com	3,876 73	4,545 08	4,893 35
51	Nvidia Corp	3,249 26	7,488 05	12,000 30
129	Paypal Holdings Inc	6,828 60	11,375 86	13,953 93
36	S&P Global Inc	2,842 29	6,748 83	9,829.80
128	Salesforce com Inc	8,929 75	18,907.01	20,817 92
218	Schwab Charles Corp New	4,088 13	9,338 99	10,368 08
86	Servicenow Inc	7,385 13	18,354 57	24,279 52
84	Splunk Inc	3,851 33	9,707 03	12,580 68
28	The Trade Desk Inc CL A	0.00	5,338 46	7,273 84
173	Transunion	3,997.80	10,579 21	14,810 53
17	Ulta Beauty, Inc	3,841 55	4,082 15	4,303 38
178	Visa Inc CL A	11,188 05	25,310 23	33,446 20
105	Waste Connections Inc CAD	3,740 98	8,458 66	9,532 95

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## FEDERAL ATTACHMENT

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THE LAURA E. DUPONT FOUNDATION

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ATTACHMENT TO STATEMENT 5  
FORM 990-PF, PART II, LINE 10B  
INVESTMENTS - CORPORATE STOCKS

# Shares 12/31/19	Description	Beg Book Value 01/01/19	End Book Value 12/31/19	FMV 12/31/19
0	West Pharmaceutical Services Inc	2,649.98	0.00	0.00
105	Xylem Inc	3,348.75	7,267.62	8,272.95
117	Zoetis Inc	4,489.69	10,506.11	15,484.95
2,759	Total UBS TCW A/C	167,671.29	377,758.06	478,570.83
83,165	TOTAL CORPORATE STOCK	1,910,706.29	3,009,758.27	3,722,507.37

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## ATTACHMENT TO STATEMENT 6

## FORM 990-PF, PART II, LINE 10C

## INVESTMENTS - CORPORATE BONDS AND NOTES

Face Value at Maturity 12/31/19	Description	Beg Book Value 01/01/19	End Book Value 12/31/19	FMV 12/31/19
	UBS FCI A/C			
21,000	Abbvie Inc NTS B/E CALL@MW+15BP Rate 3 750% Matures 11/14/23	0 00	22,007 14	22,105 02
18,000	Alphabet Inc B/E CALL@MW+12 5BP Rate 01 998% Matures 08/15/26	5,441 10	16,735 12	17,910 54
0	Amazon com Inc B/E CALL@MW+15BP Rate 02 500% Matures 11/29/22	6,825.35	0.00	0 00
20,000	American Intl Group B/E CALL@MW+30BP Rate 03.900% Matures 04/01/26	5,753 58	19,815 48	21,483 00
23,000	Amgen Inc NTS B/E CALL@MW+20BP Rate 03 625% Matures 05/22/24	6,963 53	23,328 19	24,303 87
16,000	AON PLC B/E CALL@MW+35BP Rate 03 875% Matures 12/15/25	3,951 92	16,325 92	17,221 92
21,000	Apple Inc NTS B/E CALL@MW+15BP Rate 02 400% Matures 05/03/23	7,717 92	20,556 42	21,358 26
23,000	AT&T Inc NTS B/E CALL@MW+30BP Rate 03 600% Matures 02/17/23	6,947 78	23,224 91	24,007 40
18,000	Bank of America Corp CALL@MW+25BP Rate 03 824% Matures 01/20/28	5,796 48	17,954.44	19,326 60
21,000	Blackrock Inc NTS B/E CALL@MW+15BP Rate 03.500% Matures 03/18/24	7,058 59	21,518 12	22,342.53
0	Chevron Corp NTS B/E CALL@MW+15BP Rate 02 100% Matures 05/16/21	6,870 36	0 00	0.00
20,000	Citigroup Inc NTS B/E Rate 02 650% Matures 10/26/20	6,907 11	19,874 48	20,109 20
16,000	Goldman Sachs Group Inc CALL@MW+25BP Rate 03 850% Matures 01/26/27	5,638 68	15,602 95	17,032 80
24,000	Intel Corp NTS B/E CALL@MW+20BP Rate 02 700% Matures 12/15/22	7,848 88	23,899.12	24,595 20
20,000	JP Morgan Chase & Co NTS B/E Rate 03 250% Matures 09/23/22	5,943 66	20,091 90	20,693 00
20,000	Lowe's Cos Inc B/E CALL@MW+15BP Rate 03 100% Matures 05/03/27	6,393 24	18,970 99	20,681 80
0	Morgan Stanley NTS B/E Rate 05.500% Matures 07/28/21	6,277.02	0 00	0 00
18,000	Morgan Stanley NTS B/E CALL@MW+30BP Rate 03 875% Matures 01/27/26	0 00	18,140 61	19,333 62
17,000	Oracle Corp B/E CALL@MW+20BP Rate 02 650% Matures 07/15/26	5,548 68	16,185 35	17,390.83
17,000	Rio Tinto Fin USA Ltd NTS B/E Rate 03 750% Matures 06/15/25 Callable	5,985 24	17,458 90	18,279 76
21,000	Salesforce.com Inc NTS CALL@MW+15BP Rate 03 700% Matures 04/11/28	7,018 62	21,599.75	23,038 68
0	Verizon Communications CALL@MW+12.5BP Rate 02 450% Matures 11/01/22	6,760 53	0 00	0.00
18,000	Target Corp B/E CALL@MW+15BP Rate 03 375% Matures 04/15/29	0 00	18,186 99	19,568 70



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ATTACHMENT TO STATEMENT 6

FORM 990-PF, PART II, LINE 10C

INVESTMENTS - CORPORATE BONDS AND NOTES

Face Value at Maturity 12/31/19	Description	Beg Book Value 01/01/19	End Book Value 12/31/19	FMV 12/31/19
19,000	Wells Fargo & Co Med Term NTS Rate 03.550% Matures 09/29/25	5,789 82	18,972 50	20,119 29
391,000	<b>Total UBS FCI A/C</b>	<b>133,438.09</b>	<b>390,449.28</b>	<b>410,902.02</b>
391,000	<b>TOTAL CORPORATE BONDS AND NOTES</b>	<b>133,438.09</b>	<b>390,449.28</b>	<b>410,902.02</b>