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EXTENDED TO NOVEMBER 16, 2020

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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No 1545-0047

2019

Open to Public Inspection

For calendar year 2019 or tax year beginning , and ending

Name of foundation JAMES S. MCDONNELL FOUNDATION	A Employer identification number 54-2074788
Number and street (or P O box number if mail is not delivered to street address) 1034 S BRENTWOOD BLVD — Room/suite 1860	B Telephone number (314)862-1040
City or town, state or province, country, and ZIP or foreign postal code ST. LOUIS, MO 63117-1229	C If exemption application is pending, check here ▶ ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change	D 1. Foreign organizations, check here ▶ ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation	E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶$ 589,787,822. — J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d); must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

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Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received			N/A	
	2	Check ▶ ☒ if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments	1,439,094.	1,439,094.		STATEMENT 1
	4	Dividends and interest from securities	6,606,265.	6,606,265.		STATEMENT 2
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	22,970,644.			
	b	Gross sales price for all assets on line 6a 31,120,994.				
	7	Capital gain net income (from Part IV, line 2)		22,970,644.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss)				
	11	Other income	906,224.	631,542.		STATEMENT 3
	12	**Total.** Add lines 1 through 11	31,922,227.	31,647,545.		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	374,376.	0.		374,376.
	14	Other employee salaries and wages	395,759.	0.		395,759.
	15	Pension plans, employee benefits	74,648.	0.		74,648.
	16a	Legal fees STMT 4	273.	0.		273.
	b	Accounting fees				
	c	Other professional fees STMT 5	643,182.	615,615.		27,568.
	17	Interest				
	18	Taxes STMT 6	297,663.	263,172.		34,491.
	19	Depreciation and depletion				
	20	Occupancy	46,538.	0.		46,538.
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses STMT 7	4,369,906.	3,658,542.		711,342.
	24	**Total operating and administrative expenses.** Add lines 13 through 23	6,202,345.	4,537,329.		1,664,995.
	25	Contributions, gifts, grants paid	20,570,405.			20,570,405.
	26	**Total expenses and disbursements.** Add lines 24 and 25	26,772,750.	4,537,329.		22,235,400.
	27	Subtract line 26 from line 12:				
	a	Excess of revenue over expenses and disbursements	5,149,477.			
	b	**Net investment income** (if negative, enter -0-)		27,110,216.		
	c	**Adjusted net income** (if negative, enter -0-)			N/A	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	13,752,140.	16,958,993.	16,958,993.
	3 Accounts receivable ▶ 11,829.			
	Less: allowance for doubtful accounts ▶	18,068,885.	11,829.	11,830.
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 10	130,198.	130,198.	421,671.
	c Investments - corporate bonds STMT 11	28,347,474.	29,030,331.	29,936,287.
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 12	275,222,195.	284,448,767.	363,578,229.
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶ STATEMENT 13)	137,209,282.	142,999,454.	178,880,812.
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	472,730,174.	473,579,572.	589,787,822.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ ☐ and complete lines 24, 25, 29, and 30.			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ ☒ and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds	0.	0.	
	27 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	28 Retained earnings, accumulated income, endowment, or other funds	472,730,174.	473,579,572.	
	29 Total net assets or fund balances	472,730,174.	473,579,572.	
	30 Total liabilities and net assets/fund balances	472,730,174.	473,579,572.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	472,730,174.
2	Enter amount from Part I, line 27a	2	5,149,477.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 8	3	768,115.
4	Add lines 1, 2, and 3	4	478,647,766.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 9	5	5,068,194.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	473,579,572.

Part IV Capital Gains and Losses for Tax on Investment Income SEE ATTACHED STATEMENTS

	(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a				
b				
c				
d				
e	31,120,994.		8,150,350.	22,970,644.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

	(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				22,970,644.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	22,970,644.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	22,773,473.	528,546,174.	.043087
2017	23,958,866.	511,112,684.	.046876
2016	26,340,678.	481,254,184.	.054733
2015	27,917,095.	494,979,062.	.056401
2014	29,008,669.	512,280,513.	.056627

2 **Total** of line 1, column (d)	2	.257724
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	.051545
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	537,240,413.
5 Multiply line 4 by line 3	5	27,692,057.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	271,102.
7 Add lines 5 and 6	7	27,963,159.
8 Enter qualifying distributions from Part XII, line 4	8	22,235,400.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	BANK OF AMERICA ST SALES	P	VARIOUS	VARIOUS
b	BANK OF AMERICA LT SALES	P	VARIOUS	VARIOUS
c	REALTY ASSOCIATES FUND IX CORPORATION	P	VARIOUS	VARIOUS
d	FROM K-1 AMERICAN SECURITIES PARTNERS VI	P	VARIOUS	VARIOUS
e	FROM K-1 AMERICAN SECURITIES PARTNERS VII	P	VARIOUS	VARIOUS
f	FROM K-1 ARTIMAN VENTURES III	P	VARIOUS	VARIOUS
g	FROM K-1 ARTIMAN VENTURES III	P	VARIOUS	VARIOUS
h	FROM K-1 ATLAS CAPITAL RESOURCES II	P	VARIOUS	VARIOUS
i	FROM K-1 ATLAS CAPITAL RESOURCES II	P	VARIOUS	VARIOUS
j	FROM K-1 AVALON VENTURES X	P	VARIOUS	VARIOUS
k	FROM K-1 AVALON VENTURES X	P	VARIOUS	VARIOUS
l	FROM K-1 BC EUROPEAN CAPITAL IX-4	P	VARIOUS	VARIOUS
m	FROM K-1 BC EUROPEAN CAPITAL IX-4	P	VARIOUS	VARIOUS
n	FROM K-1 BLACKSTONE TACTICAL OPPORTUNITIES FUND I	P	VARIOUS	VARIOUS
o	FROM K-1 BLACKSTONE TACTICAL OPPORTUNITIES FUND I	P	VARIOUS	VARIOUS

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,417,062.		1,405,507.	11,555.
b	5,201,283.		5,197,652.	3,631.
c	4,466.			4,466.
d	950.			950.
e	5,208.			5,208.
f			3,569.	-3,569.
g			67,995.	-67,995.
h	355.			355.
i	30.			30.
j	10,508.			10,508.
k			38,401.	-38,401.
l			7.	-7.
m	348,856.			348,856.
n	18,138.			18,138.
o	5,329.			5,329.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				11,555.
b				3,631.
c				4,466.
d				950.
e				5,208.
f				-3,569.
g				-67,995.
h				355.
i				30.
j				10,508.
k				-38,401.
l				-7.
m				348,856.
n				18,138.
o				5,329.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	FROM K-1 BLACKSTONE REAL ESTATE PARTNERS VIII, TE	P	VARIOUS	VARIOUS
b	FROM K-1 BLACKSTONE REAL ESTATE PARTNERS VIII, TE	P	VARIOUS	VARIOUS
c	FROM K-1 BPEA III	P	VARIOUS	VARIOUS
d	FROM K-1 BPEA III	P	VARIOUS	VARIOUS
e	FROM K-1 CALERA V CAYMEN AIV I	P	VARIOUS	VARIOUS
f	FROM K-1 CERBERUS PARTNERS SERIES 3	P	VARIOUS	VARIOUS
g	FROM K-1 CERBERUS PARTNERS SERIES 3	P	VARIOUS	VARIOUS
h	FROM K-1 CERBERUS PARTNERS SERIES 4	P	VARIOUS	VARIOUS
i	FROM K-1 CORNERSTONE REAL ESTATE FUND VIII	P	VARIOUS	VARIOUS
j	FROM K-1 DAVIDSON KEMPNER INSTITUTIONAL PARTNERS	P	VARIOUS	VARIOUS
k	FROM K-1 DAVIDSON KEMPNER INSTITUTIONAL PARTNERS	P	VARIOUS	VARIOUS
l	FROM K-1 DFJ GROWTH 2013	P	VARIOUS	VARIOUS
m	FROM K-1 DFJ GROWTH 2013	P	VARIOUS	VARIOUS
n	FROM K-1 ENCAP ENERGY CAPITAL FUND IX-C	P	VARIOUS	VARIOUS
o	FROM K-1 ENCAP ENERGY CAPITAL FUND IX-C	P	VARIOUS	VARIOUS

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	86.			86.
b	2,299.			2,299.
c	3.			3.
d	421,807.			421,807.
e	456,721.			456,721.
f	3.			3.
g	5,645.			5,645.
h	155,384.			155,384.
i			44,565.	-44,565.
j	218,154.			218,154.
k	69,225.			69,225.
l	1,370.			1,370.
m	102,192.			102,192.
n	19,046.			19,046.
o	37,034.			37,034.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	
a				86.
b				2,299.
c				3.
d				421,807.
e				456,721.
f				3.
g				5,645.
h				155,384.
i				-44,565.
j				218,154.
k				69,225.
l				1,370.
m				102,192.
n				19,046.
o				37,034.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	FROM K-1 EUROPEAN SECONDARY DEVELOPMENT FUND V	P	VARIOUS	VARIOUS
b	FROM K-1 EUROPEAN SECONDARY DEVELOPMENT FUND V	P	VARIOUS	VARIOUS
c	FROM K-1 GENSTAR CAPITAL PARTNERS VI	P	VARIOUS	VARIOUS
d	FROM K-1 GENSTAR CAPITAL PARTNERS VII	P	VARIOUS	VARIOUS
e	FROM K-1 GENSTAR CAPITAL VII PT (PP)	P	VARIOUS	VARIOUS
f	FROM K-1 GENSTAR CAPITAL PARTNERS VIII BL	P	VARIOUS	VARIOUS
g	FROM K-1 GREAT HILL EQUITY PARTNERS IV	P	VARIOUS	VARIOUS
h	FROM K-1 GREAT HILL EQUITY PARTNERS IV	P	VARIOUS	VARIOUS
i	FROM K-1 GREAT HILL EQUITY PARTNERS V	P	VARIOUS	VARIOUS
j	FROM K-1 GREAT HILL EQUITY PARTNERS VI	P	VARIOUS	VARIOUS
k	FROM K-1 GREAT HILL EQUITY PARTNERS VI	P	VARIOUS	VARIOUS
l	FROM K-1 IDG VENTURES USA III	P	VARIOUS	VARIOUS
m	FROM K-1 INSTITUTIONAL VENTURE PARTNRES XIII	P	VARIOUS	VARIOUS
n	FROM K-1 INSTITUTIONAL VENTURE PARTNRES XIII	P	VARIOUS	VARIOUS
o	FROM K-1 INSTITUTIONAL VENTURE PARTNERS XV	P	VARIOUS	VARIOUS

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			3,427.	-3,427.
b	87,545.			87,545.
c	474,776.			474,776.
d	5,766.			5,766.
e			30,866.	-30,866.
f	126,490.			126,490.
g			37,508.	-37,508.
h	431,991.			431,991.
i	808,024.			808,024.
j			1,763.	-1,763.
k	313,635.			313,635.
l			2,275.	-2,275.
m	100.			100.
n	39,022.			39,022.
o	57,889.			57,889.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col (j), if any	
a				-3,427.
b				87,545.
c				474,776.
d				5,766.
e				-30,866.
f				126,490.
g				-37,508.
h				431,991.
i				808,024.
j				-1,763.
k				313,635.
l				-2,275.
m				100.
n				39,022.
o				57,889.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

923591
04-01-19

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	FROM K-1 KINETIC VENTURES IX	P	VARIOUS	VARIOUS
b	FROM K-1 LIME ROCK PARTNERS V	P	VARIOUS	VARIOUS
c	FROM K-1 LIME ROCK RESOURCES III-C	P	VARIOUS	VARIOUS
d	FROM K-1 LIME ROCK RESOURCES IV-C	P	VARIOUS	VARIOUS
e	FROM K-1 LOVELL MINNICK EQUITY PARTNERS III-A	P	VARIOUS	VARIOUS
f	FROM K-1 LOVELL MINNICK EQUITY PARTNERS IV-A	P	VARIOUS	VARIOUS
g	FROM K-1 MERCER PRIVATE INVESTMENT PARTNERS V	P	VARIOUS	VARIOUS
h	FROM K-1 MERCER PRIVATE INVESTMENT PARTNERS V	P	VARIOUS	VARIOUS
i	FROM K-1 MERIT ENERGY PARTNERS I	P	VARIOUS	VARIOUS
j	FROM K-1 MERIT ENERGY PARTNERS J	P	VARIOUS	VARIOUS
k	FROM K-1 NEWBURY EQUITY PARTNERS	P	VARIOUS	VARIOUS
l	FROM K-1 NEWBURY EQUITY PARTNERS	P	VARIOUS	VARIOUS
m	FROM K-1 OAKTREE OPPORTUNITIES FUND VIII	P	VARIOUS	VARIOUS
n	FROM K-1 OAKTREE OPPORTUNITIES FUND VIII AIF (DEL	P	VARIOUS	VARIOUS
o	FROM K-1 OAKTREE OPPORTUNITIES FUND VII AIF (CAYM	P	VARIOUS	VARIOUS

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	119,853.			119,853.
b			55,911.	-55,911.
c	798.			798.
d	98.			98.
e	1,435,329.			1,435,329.
f	1,457,282.			1,457,282.
g	66,003.			66,003.
h	525,183.			525,183.
i	3.			3.
j	4.			4.
k			17,784.	-17,784.
l	137,349.			137,349.
m	24,178.			24,178.
n	1,162.			1,162.
o	353.			353.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				119,853.
b				-55,911.
c				798.
d				98.
e				1,435,329.
f				1,457,282.
g				66,003.
h				525,183.
i				3.
j				4.
k				-17,784.
l				137,349.
m				24,178.
n				1,162.
o				353.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

923591
04-01-19

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo , day, yr.)
1a	FROM K-1 OAKTREE OPPORTUNITIES FUND IX	P	VARIOUS	VARIOUS
b	FROM K-1 OAKTREE OPPORTUNITIES FUND IX	P	VARIOUS	VARIOUS
c	FROM K-1 OAKTREE OPPORTUNITIES FUND IX AIF (CAYMA	P	VARIOUS	VARIOUS
d	FROM K-1 OAKTREE OPPORTUNITIES FUND IX AIF (DELAW	P	VARIOUS	VARIOUS
e	FROM K-1 OCM OPPORTUNITIES FUND V	P	VARIOUS	VARIOUS
f	FROM K-1 OCM OPPORTUNITIES FUND VII	P	VARIOUS	VARIOUS
g	FROM K-1 OCM OPPORTUNITIES FUND VII	P	VARIOUS	VARIOUS
h	FROM K-1 OCM OPPORTUNITIES FUND VIIB AIF (CAYMAN)	P	VARIOUS	VARIOUS
i	FROM K-1 OCM OPPORTUNITIES FUND VIIB AIF (DELAWAR	P	VARIOUS	VARIOUS
j	FROM K-1 OCM OPPORTUNITIES FUND VIIB	P	VARIOUS	VARIOUS
k	FROM K-1 OCM OPPORTUNITIES FUND VIIB	P	VARIOUS	VARIOUS
l	FROM K-1 OCM WKI HOLDINGS	P	VARIOUS	VARIOUS
m	FROM K-1 PRISA	P	VARIOUS	VARIOUS
n	FROM K-1 PROLOG HEALTY LIVING FUND II	P	VARIOUS	VARIOUS
o	FROM K-1 QUANTUM PARTNERS VI	P	VARIOUS	VARIOUS

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	6,205.			6,205.
b	76,020.			76,020.
c			510.	-510.
d			1,101.	-1,101.
e	11,688.			11,688.
f			193.	-193.
g			30,049.	-30,049.
h	6,428.			6,428.
i			300.	-300.
j			235.	-235.
k			60,471.	-60,471.
l	96.			96.
m	72,720.			72,720.
n			28,049.	-28,049.
o	15,349.			15,349.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				6,205.
b				76,020.
c				-510.
d				-1,101.
e				11,688.
f				-193.
g				-30,049.
h				6,428.
i				-300.
j				-235.
k				-60,471.
l				96.
m				72,720.
n				-28,049.
o				15,349.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

923591
04-01-19

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	FROM K-1 REALTY ASSOCIATES FUND X UTP	P	VARIOUS	VARIOUS
b	FROM K-1 RESOURCE CAPITAL FUND V	P	VARIOUS	VARIOUS
c	FROM K-1 RESOURCE CAPITAL FUND V	P	VARIOUS	VARIOUS
d	FROM K-1 RHO VENTURES V	P	VARIOUS	VARIOUS
e	FROM K-1 RHO VENTURES V	P	VARIOUS	VARIOUS
f	FROM K-1 RIVERSIDE FUND V	P	VARIOUS	VARIOUS
g	FROM K-1 RIVERVEST VENTURE FUND III	P	VARIOUS	VARIOUS
h	FROM K-1 SAIF PARTNERS IV	P	VARIOUS	VARIOUS
i	FROM K-1 SENTINEL CAPITAL PARTNERS V	P	VARIOUS	VARIOUS
j	FROM K-1 SHOREVIEW PARALLEL PARTNERS III	P	VARIOUS	VARIOUS
k	FROM K-1 SILVER LAKE PARTNERS IV	P	VARIOUS	VARIOUS
l	FROM K-1 SILVER LAKE PARTNERS IV	P	VARIOUS	VARIOUS
m	FROM K-1 SLP IV CASTLE FEEDER I	P	VARIOUS	VARIOUS
n	FROM K-1 STIRLING SQUARE CAP PART 3RD FUND A	P	VARIOUS	VARIOUS
o	FROM K-1 SECOND SECONDARIES FUND II	P	VARIOUS	VARIOUS

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	545,503.			545,503.
b			1,775.	-1,775.
c			93,365.	-93,365.
d	4,196.			4,196.
e			175,943.	-175,943.
f	283,599.			283,599.
g	1,068.			1,068.
h			3,324.	-3,324.
i	85,043.			85,043.
j	358,264.			358,264.
k	182.			182.
l			3,529.	-3,529.
m	247,808.			247,808.
n	270,833.			270,833.
o	785.			785.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col (h)) Gains (excess of col. (h) gain over col (k), but not less than "-0-")
a				545,503.
b				-1,775.
c				-93,365.
d				4,196.
e				-175,943.
f				283,599.
g				1,068.
h				-3,324.
i				85,043.
j				358,264.
k				182.
l				-3,529.
m				247,808.
n				270,833.
o				785.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	FROM K-1 SWEETWATER SECONDARIES FUND II	P	VARIOUS	VARIOUS
b	FROM K-1 THE COLUMN GROUP III-A	P	VARIOUS	VARIOUS
c	FROM K-1 THE COLUMN GROUP III-A	P	VARIOUS	VARIOUS
d	FROM K-1 THE SILCHESTER INTL INVESTORS INTL VALUE	P	VARIOUS	VARIOUS
e	FROM K-1 THE SILCHESTER INTL INVESTORS INTL VALUE	P	VARIOUS	VARIOUS
f	FROM K-1 THOMAS WEISEL GLOBAL PARTNERS IV	P	VARIOUS	VARIOUS
g	FROM K-1 THOMAS WEISEL GLOBAL PARTNERS IV	P	VARIOUS	VARIOUS
h	FROM K-1 THOMPSON STREET CAPITAL PARTNERS III	P	VARIOUS	VARIOUS
i	FROM K-1 THOMPSON STREET CAPITAL PARTNERS IV	P	VARIOUS	VARIOUS
j	FROM K-1 UBS (US) TRUMBULL PROPERTY FUND	P	VARIOUS	VARIOUS
k	FROM K-1 VECTIS HEALTHCARE & LIFE SCIENCES II	P	VARIOUS	VARIOUS
l	FROM K-1 VECTIS HEALTHCARE & LIFE SCIENCES II	P	VARIOUS	VARIOUS
m	FROM K-1 VECTIS LIFE SCIENCES FUND I	P	VARIOUS	VARIOUS
n	FROM K-1 VECTIS LIFE SCIENCES FUND I	P	VARIOUS	VARIOUS
o	FROM K-1 WARBURG PINCUS PRIVATE EQUITY X	P	VARIOUS	VARIOUS

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	97,456.			97,456.
b	203,149.			203,149.
c	223,910.			223,910.
d	44,569.			44,569.
e	604,242.			604,242.
f	8.			8.
g	16,652.			16,652.
h	45,629.			45,629.
i			13,719.	-13,719.
j	186,268.			186,268.
k			4,212.	-4,212.
l	1,934,346.			1,934,346.
m	11,186.			11,186.
n			203,031.	-203,031.
o	5,282.			5,282.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col (h) gain over col. (k), but not less than "-0-")
a				97,456.
b				203,149.
c				223,910.
d				44,569.
e				604,242.
f				8.
g				16,652.
h				45,629.
i				-13,719.
j				186,268.
k				-4,212.
l				1,934,346.
m				11,186.
n				-203,031.
o				5,282.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	FROM K-1 WARBURG PINCUS PRIVATE EQUITY X	P	VARIOUS	VARIOUS
b	FROM K-1 WARBURG PINCUS GANYMEDE-II PRIVATE EQUIT	P	VARIOUS	VARIOUS
c	FROM K-1 WARBURG PINCUS O & G PRIVATE EQUITY X	P	VARIOUS	VARIOUS
d	FROM K-1 WARBURG PINCUS PRIVATE EQUITY XI	P	VARIOUS	VARIOUS
e	FROM K-1 WARBURG PINCUS (CALLISTO) P/E XI CAYMAN	P	VARIOUS	VARIOUS
f	FROM K-1 WARBURG PINCUS PRIVATE EQUITY (E&P) XI-B	P	VARIOUS	VARIOUS
g	FROM K-1 WARBURG PINCUS PRIVATE EQUITY XII	P	VARIOUS	VARIOUS
h	FROM K-1 WLR RECOVERY FUND V	P	VARIOUS	VARIOUS
i	FROM K-1 WLR RECOVERY FUND V DSS AIV	P	VARIOUS	VARIOUS
j	SECTION 1256 GAINS FROM K-1S	P	VARIOUS	VARIOUS
k	CORNERSTONE VIII LOSS ON DISPOSAL	P	VARIOUS	VARIOUS
l	CIDER MILL OFFSHORE FUND LTD LOSS ON DISPOSAL	P	VARIOUS	VARIOUS
m	CRITERION VISTA OFFSHORE, LTD	P	VARIOUS	VARIOUS
n	FASTLY INC 3,678 SHS	P	05/14/14	12/04/19
o	NEWBURY EQUITY PARTNERS LP GAIN ON DISTRIBUTIONS	P	08/14/07	12/31/19

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,065,554.			1,065,554.
b			14,248.	-14,248.
c	128,135.			128,135.
d	398,843.			398,843.
e	16,141.			16,141.
f	78,875.			78,875.
g			367.	-367.
h			128,371.	-128,371.
i			9,100.	-9,100.
j	43,861.			43,861.
k			84,226.	-84,226.
l			235,929.	-235,929.
m			135,405.	-135,405.
n	71,030.		15,668.	55,362.
o	701,577.			701,577.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				1,065,554.
b				-14,248.
c				128,135.
d				398,843.
e				16,141.
f				78,875.
g				-367.
h				-128,371.
i				-9,100.
j				43,861.
k				-84,226.
l				-235,929.
m				-135,405.
n				55,362.
o				701,577.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	DRAWBRIDGE GLOBAL MACRO FUND LTD GAIN ON DISTRIBU	P	03/01/07	03/29/19
b	DRAWBRIDGE GLOBAL MACRO FUND LTD GAIN ON DISTRIBU	P	03/01/07	05/24/19
c	DRAWBRIDGE GLOBAL MACRO FUND LTD GAIN ON DISTRIBU	P	03/01/07	07/26/19
d	OCM WIKI HOLDINGS GAIN ON LIQUIDATION	P	VARIOUS	VARIOUS
e	OZ OVERSEAS FUND II, LTD GAIN ON DISTRIBUTION IN	P	02/28/07	01/10/19
f	OZ OVERSEAS FUND II, LTD GAIN ON DISTRIBUTION IN	P	02/28/07	03/11/19
g	OZ OVERSEAS FUND II, LTD GAIN ON DISTRIBUTION IN	P	02/28/07	04/17/19
h	WEXFORD OFFSHORE SPECTRUM FUND GAIN ON DISTRIBUTI	P	02/28/07	01/02/19
i	CAPITAL GAINS DIVIDENDS			
j				
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	20,233.			20,233.
b	22.			22.
c	27.			27.
d	21.			21.
e	220,306.			220,306.
f	107,890.			107,890.
g	19,110.			19,110.
h	2,500,000.			2,500,000.
i	5,776,898.			5,776,898.
j				
k				
l				
m				
n				
o				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				20,233.
b				22.
c				27.
d				21.
e				220,306.
f				107,890.
g				19,110.
h				2,500,000.
i				5,776,898.
j				
k				
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	22,970,644.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1.				
	Date of ruling or determination letter: ______ (attach copy of letter if necessary-see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	542,204.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)			2	0.
3	Add lines 1 and 2			3	542,204.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)			4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	542,204.
6	Credits/Payments:				
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a	597,395.		
b	Exempt foreign organizations - tax withheld at source	6b	0.		
c	Tax paid with application for extension of time to file (Form 8868)	6c	0.		
d	Backup withholding erroneously withheld	6d	0.		
7	Total credits and payments. Add lines 6a through 6d			7	597,395.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	0.
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	55,191.
11	Enter the amount of line 10 to be: **Credited to 2020 estimated tax** ▶	55,191.	Refunded ▶	11	0.

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition	1b		X
	If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0. **(2)** On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	X	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	X	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by *General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	7	X	
8a	Enter the states to which the foundation reports or with which it is registered. See instructions. ▶ MO			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G*? If "No," attach explanation	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV If "Yes," complete Part XIV	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ WWW.JSMF.ORG

14 The books are in care of ▶ J&J MANAGEMENT SERVICES, INC. Telephone no ▶ 314-862-1040
Located at ▶ 1034 S. BRENTWOOD, #1860, ST. LOUIS, MO ZIP+4 ▶ 63117-1229

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year, did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions		1b		X
	Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ______, ______, ______, ______				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______, ______, ______, ______				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☒ Yes ☐ No			
b	If "Yes," did it have excess business holdings in 2019 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019.)		3b		X
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

		Yes	No
5a During the year, did the foundation pay or incur any amount to			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? See instructions	☒ Yes ☐ No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	**5b**		X
Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☒ Yes ☐ No		
If "Yes," attach the statement required by Regulations section 53.4945-5(d).			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		X
If "Yes" to 6b, file Form 8870.			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A	**7b**		
8 Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment(s) during the year?	☐ Yes ☒ No		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 14		374,376.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
J&J MANAGEMENT SERVICES, INC - 1034 S. BRENTWOOD BLVD, SUITE 1860, ST LOUIS, MO	FINANCIAL ADMINISTRATIVE SERVI	275,694.
MERCER 701 MARKET STREET, ST LOUIS, MO 63101	FINANCIAL SERVICES	111,074.
Total number of others receiving over $50,000 for professional services	▶	0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Part X **Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	528,530,747.
b	Average of monthly cash balances	1b	16,860,655.
c	Fair market value of all other assets	1c	30,337.
d	**Total** (add lines 1a, b, and c)	1d	545,421,739.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	545,421,739.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	8,181,326.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	537,240,413.
6	**Minimum investment return.** Enter 5% of line 5	6	26,862,021.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	26,862,021.
2a	Tax on investment income for 2019 from Part VI, line 5	2a	542,204.		
b	Income tax for 2019. (This does not include the tax from Part VI.)	2b	129,806.		
c	Add lines 2a and 2b			2c	672,010.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	26,190,011.
4	Recoveries of amounts treated as qualifying distributions			4	768,115.
5	Add lines 3 and 4			5	26,958,126.
6	Deduction from distributable amount (see instructions)			6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	26,958,126.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	22,235,400.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	22,235,400.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	22,235,400.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				26,958,126.
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only			0.	
b Total for prior years: ____, ____, ____		0.		
3 Excess distributions carryover, if any, to 2019:				
a From 2014				
b From 2015 194,502.				
c From 2016 2,117,252.				
d From 2017				
e From 2018				
f **Total** of lines 3a through e	2,311,754.			
4 Qualifying distributions for 2019 from Part XII, line 4: ▶ $ 22,235,400.				
a Applied to 2018, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2019 distributable amount				22,235,400.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a).)	2,311,754.			2,311,754.
6 **Enter the net total of each column as indicated below.**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2018 Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				2,410,972.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2020.** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2015				
b Excess from 2016				
c Excess from 2017				
d Excess from 2018				
e Excess from 2019				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4, for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6, for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

SEE STATEMENT 15

b The form in which applications should be submitted and information and materials they should include.

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
FROM SCHEDULES K-1				1,243.
SEE ATTACHED STATEMENT				20,569,162.
Total			▶ 3a	20,570,405.
b *Approved for future payment*				
SEE ATTACHED STATEMENT				100,379,428.
Total			▶ 3b	100,379,428.

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Part XVI-A **Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	1,439,094.	
4 Dividends and interest from securities			14	6,606,265.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income	531120	274,682.	14	631,542.	
8 Gain or (loss) from sales of assets other than inventory			18	22,970,644.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		274,682.		31,647,545.	0.

13 Total. Add line 12, columns (b), (d), and (e) 13 31,922,227.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B **Relationship of Activities to the Accomplishment of Exempt Purposes**

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII **Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Susan M. Fitzpatrick 11/12/20 President

Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below? See instr ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
SCOTT A. PINKOWSKI	S. Pink	11/12/20		P01293505
Firm's name ▶ J. & J. MANAGEMENT SERVICES, INC.			Firm's EIN ▶ 43-1725668	
Firm's address ▶ 1034 S. BRENTWOOD SUITE 1860 ST. LOUIS, MO 63117-1229			Phone no. (314) 862-1040	

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
FROM K-1 - AMERICAN SECURITIES PARTNERS VI	7.	7.	
FROM K-1 - AMERICAN SECURITIES PARTNERS VII	227.	227.	
FROM K-1 - ARTIMAN VENTURES III	4.	4.	
FROM K-1 - ATLAS CAPITAL RESOURCES II	3,515.	3,515.	
FROM K-1 - ATLAS CAPITAL RESOURCES II (A1-CAYMAN)	34.	34.	
FROM K-1 - ATLAS CAPITAL RESOURCES II (A5-CAYMAN)	808.	808.	
FROM K-1 - ATLAS CAPITAL RESOURCES II (A7-F)	1,802.	1,802.	
FROM K-1 - ATLAS CAPITAL RESOURCES II (A9-F)	305.	305.	
FROM K-1 - AVALON VENTURES X	12,146.	12,146.	
FROM K-1 - AVALON VENTURES XI	4,633.	4,633.	
FROM K-1 - BC EUROPEAN CAPITAL IX-4	16,086.	16,086.	
FROM K-1 - BLACKSTONE REAL ESTATE PARTNERS VIII TE 1-NQ	2,471.	2,471.	
FROM K-1 - BLACKSTONE REAL ESTATE PARTNERS VIII, TE 1	765.	765.	
FROM K-1 - BLACKSTONE TACTICAL OPPORTUNITIES FUND II-Q	20.	20.	
FROM K-1 - BLCKSTONE TACTICAL OPPORTUNITIES FUND II	3,293.	3,293.	
FROM K-1 - BPEA III	4,238.	4,238.	
FROM K-1 - CALERA V	8.	8.	
FROM K-1 - CALERA V AIV II	4.	4.	
FROM K-1 - CARLYLE POWER PARTNERS II-A	43,383.	43,383.	
FROM K-1 - CERBERUS PARTNERS SERIES 3	150.	150.	
FROM K-1 - CERBERUS PARTNERS SERIES 4	907.	907.	
FROM K-1 - CIP AIV	23.	23.	
FROM K-1 - DAVIDSON KEMPNER INSTITUTIONAL PARTNERS	270,411.	270,411.	
FROM K-1 - DFJ GROWTH 2013	825.	825.	
FROM K-1 - DFJ GROWTH III	40.	40.	
FROM K-1 - ENCAP ENERGY CAPITAL FUND X-C	89,908.	89,908.	
FROM K-1 - ENCAP ENERGY CAPITAL FUND XI-C	28,168.	28,168.	
FROM K-1 - ENCAP ENERGY CAPITAL FUND IX-C	122,664.	122,664.	
FROM K-1 - ENCAP ENERGY CAPITAL FUND VIII CO-INVESTORS B	143,559.	143,559.	

FROM K-1 - ENCAP ENERGY CAPITAL FUND VIII-B	236,190.	236,190.
FROM K-1 - ENCAP FLATROCK MIDSTREAM FUND II-C	68,269.	68,269.
FROM K-1 - ENCAP FLATROCK MIDSTREAM FUND III-C	64,539.	64,539.
FROM K-1 - EUROPEAN SECONDARY DEVELOPMENT FUND V	6,023.	6,023.
FROM K-1 - GENSTAR CAPITAL PARTNERS VIII BL	179.	179.
FROM K-1 - GREAT HILL EQUITY PARTNERS IV	11,243.	11,243.
FROM K-1 - GREAT HILL EQUITY PARTNERS V	1,283.	1,283.
FROM K-1 - GREAT HILL EQUITY PARTNERS VI	13.	13.
FROM K-1 - GREAT HILL EQUITY PARTNERS VI-A	9.	9.
FROM K-1 - HG CAPITAL 8A	504.	504.
FROM K-1 - HIG ADVANTAGE BUYOUT FUND	50.	50.
FROM K-1 - IDG VENTURES USA III	646.	646.
FROM K-1 - INSTITUTIONAL VENTURE PARTNERS XIII	443.	443.
FROM K-1 - INSTITUTIONAL VENTURE PARTNERS XV	54.	54.
FROM K-1 - KINETIC VENTURES IX	3,039.	3,039.
FROM K-1 - LIME ROCK PARTNERS V	14,110.	14,110.
FROM K-1 - LIME ROCK RESOURCES III-C	282.	282.
FROM K-1 - LOVELL MINNICK EQUITY PARTNERS III-A	7,472.	7,472.
FROM K-1 - LOVELL MINNICK EQUITY PARTNERS IV-A	41,857.	41,857.
FROM K-1 - MERCER PRIVATE INVESTMENT PARTNERS V	28,799.	28,799.
FROM K-1 - MERIT ENERGY PARTNERS I	4,913.	4,913.
FROM K-1 - MERIT ENERGY PARTNERS J	7,400.	7,400.
FROM K-1 - NEWBURY EQUITY PARTNERS	23,617.	23,617.
FROM K-1 - OAKTREE OPPORTUNITIES FUND IX	8,136.	8,136.
FROM K-1 - OAKTREE OPPORTUNITIES FUND IX AIF (CAYMAN)	2,535.	2,535.
FROM K-1 - OAKTREE OPPORTUNITIES FUND IX AIF (DELAWARE)	14.	14.
FROM K-1 - OAKTREE OPPORTUNITIES FUND VIII	591.	591.
FROM K-1 - OAKTREE OPPORTUNITIES FUND VIII AIF (CAYMAN)	13.	13.

FROM K-1 - OAKTREE OPPORTUNITIES FUND VIII AIF (DELAWARE)	131.	131.
FROM K-1 - OCM OPPORTUNITIES FUND V	190.	190.
FROM K-1 - OCM OPPORTUNITIES FUND VI	65.	65.
FROM K-1 - OCM OPPORTUNITIES FUND VII	2,602.	2,602.
FROM K-1 - OCM OPPORTUNITIES FUND VII, LP AIF (DELAWARE)	53.	53.
FROM K-1 - OCM OPPORTUNITIES FUND VIIB	2,773.	2,773.
FROM K-1 - OCM OPPORTUNITIES FUND VIIB AIF (CAYMAN)	152.	152.
FROM K-1 - OCM OPPORTUNITIES FUND VIIB AIF (DELAWARE)	259.	259.
FROM K-1 - PROLOG HEALTY LIVING FUND II	908.	908.
FROM K-1 - QUANTUM ENERGY PARTNERS VI	4,472.	4,472.
FROM K-1 - RESOURCE CAPITAL FUND V	2,591.	2,591.
FROM K-1 - RHO VENTURES V	803.	803.
FROM K-1 - RIVERVEST VENTURE FUND III	3,032.	3,032.
FROM K-1 - RIVERVEST VENTURE FUND IV	7,063.	7,063.
FROM K-1 - SAIF PARTNERS IV	238.	238.
FROM K-1 - SAYBROOK CORPORATE OPPORTUNITY FUND II	54,786.	54,786.
FROM K-1 - SENTINEL CAPITAL PARTNERS V	2,729.	2,729.
FROM K-1 - SHOREVIEW PARALLEL PARTNERS III	157.	157.
FROM K-1 - SILVER LAKE PARTNERS IV	169.	169.
FROM K-1 - SILVER LAKE PARTNERS IV AIV II CAYMAN	29.	29.
FROM K-1 - SILVER LAKE PARTNERS IV CAYMAN	803.	803.
FROM K-1 - SILVER LAKE PARTNERS V	129.	129.
FROM K-1 - SILVER PARTNERS V CAYMAN	67.	67.
FROM K-1 - SLP IV BASQUIAT FEEDER I	6,782.	6,782.
FROM K-1 - SLP IV CARDINAL FEEDER I	976.	976.
FROM K-1 - SLP IV CASTLE FEEDER I	598.	598.
FROM K-1 - SLP IV OLYMPIAN FEEDER I	23.	23.
FROM K-1 - SLP IV RV FEEDER I	8.	8.
FROM K-1 - SLP IV RV FOI FEEDER I	1.	1.
FROM K-1 - SLP IV WEST FEEDER I	7,705.	7,705.

FROM K-1 - SLP V DUFFLE FEEDER I	272.	272.	
FROM K-1 - SWEETWATER SECONDARIES FUND II	1,093.	1,093.	
FROM K-1 - THE SILCHESTER INTL INVESTORS INTL VALUE EQUITY TRUST	1,071.	1,071.	
FROM K-1 - THOMAS WEISEL GLOBAL PARTNERS IV	254.	254.	
FROM K-1 - THOMPSON STREET CAPITAL PARTNERS III	1.	1.	
FROM K-1 - THOMPSON STREET CAPITAL PARTNERS IV	1,090.	1,090.	
FROM K-1 - TRUSTBRIDGE PARTNERS V	1,479.	1,479.	
FROM K-1 - UBS (US) TRUMBULL PROPERTY FUND	1,640.	1,640.	
FROM K-1 - VECTIS HEALTHCARE & LIFE SCIENCES II	10,446.	10,446.	
FROM K-1 - VECTIS LIFE SCIENCES FUND I	3,646.	3,646.	
FROM K-1 - WARBURG PINCUS (CALLISTO) P/E XI CAYMAN	271.	271.	
FROM K-1 - WARBURG PINCUS GANYMEDE-II PRIVATE EQUITY X	307.	307.	
FROM K-1 - WARBURG PINCUS O & G PRIVATE EQUITY X	280.	280.	
FROM K-1 - WARBURG PINCUS PRIVATE EQUITY (E&P) XI-B	23,799.	23,799.	
FROM K-1 - WARBURG PINCUS PRIVATE EQUITY (E&P) XII (B)	4,435.	4,435.	
FROM K-1 - WARBURG PINCUS PRIVATE EQUITY (E&P) XII MAIN-2	35.	35.	
FROM K-1 - WARBURG PINCUS PRIVATE EQUITY X	783.	783.	
FROM K-1 - WARBURG PINCUS PRIVATE EQUITY XI	1,427.	1,427.	
FROM K-1 - WARBURG PINCUS PRIVATE EQUITY XI (INTERNATIONAL)	72.	72.	
FROM K-1 - WARBURG PINCUS PRIVATE EQUITY XII	179.	179.	
FROM K-1 - WARBURG PINCUS PRIVATE EQUITY XII (FT-2)	2,032.	2,032.	
FROM K-1 - WARBURG PINCUS PRIVATE EQUITY XII (INTERNATIONAL)	11.	11.	
FROM K-1 - WARBURG PINCUS PRIVATE EQUITY XII (NDF)	1.	1.	
FROM K-1 - WLR RECOVERY FUND V	349.	349.	
FROM K-1 - WLR RECOVERY FUND V DSS AIV	1,200.	1,200.	
TOTAL TO PART I, LINE 3	1,439,094.	1,439,094.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
AEW CORE PROPERTY TRUST (U.S.) INC.	94,002.	53,481.	40,521.	40,521.	
BANK OF AMERICA - DIV	4,142,462.	0.	4,142,462.	4,142,462.	
BANK OF AMERICA - INT	707,882.	0.	707,882.	707,882.	
BANK OF AMERICA- CAP GAIN DIST	5,723,417.	5,723,417.	0.	0.	
FROM K-1 - ATLAS CAPITAL RESOURCES II (A5-F)	7,096.	0.	7,096.	7,096.	
FROM K-1 - ATLAS CAPITAL RESOURCES II (A7-F)	25,452.	0.	25,452.	25,452.	
FROM K-1 - BLACKSTONE REAL ESTATE PARTNERS VIII, TE 1	767.	0.	767.	767.	
FROM K-1 - BLACKSTONE TACTICAL OPPORTUNITIES FUND II	52.	0.	52.	52.	
FROM K-1 - BLCKSTONE TACTICAL OPPORTUNITIES FUND II-Q	4,566.	0.	4,566.	4,566.	
FROM K-1 - BPEA III	178.	0.	178.	178.	
FROM K-1 - CERBERUS PARTNERS SERIES 3	11.	0.	11.	11.	
FROM K-1 - CERBERUS PARTNERS SERIES 4	168.	0.	168.	168.	
FROM K-1 - DAVIDSON KEMPNER INSTITUTIONAL PARTNERS	12,018.	0.	12,018.	12,018.	
FROM K-1 - DOVER STREET VI CAYMAN FUND	21,201.	0.	21,201.	21,201.	
FROM K-1 - EUROPEAN SECONDARY DEVELOPMENT FUND V	363.	0.	363.	363.	
FROM K-1 - GREAT HILL EQUITY PARTNERS V	8,250.	0.	8,250.	8,250.	
FROM K-1 - GREAT HILL EQUITY PARTNERS VI	279.	0.	279.	279.	

FROM K-1 - IDG VENTURES USA III	8,827.	0.	8,827.	8,827.
FROM K-1 - KINETIC VENTURES IX	7,391.	0.	7,391.	7,391.
FROM K-1 - LIME ROCK PARTNERS V	1,058.	0.	1,058.	1,058.
FROM K-1 - LOVELL MINNICK EQUITY PARTNERS III-A	9,453.	0.	9,453.	9,453.
FROM K-1 - LOVELL MINNICK EQUITY PARTNERS IV-A	25,349.	0.	25,349.	25,349.
FROM K-1 - MERCER PRIVATE INVESTMENT PARTNERS V	42,498.	0.	42,498.	42,498.
FROM K-1 - MERIT ENERGY PARTNERS I	642.	0.	642.	642.
FROM K-1 - MERIT ENERGY PARTNERS J	715.	0.	715.	715.
FROM K-1 - NEWBURY EQUITY PARTNERS	22,207.	0.	22,207.	22,207.
FROM K-1 - OAKTREE OPPORTUNITIES FUND IX	53,286.	0.	53,286.	53,286.
FROM K-1 - OAKTREE OPPORTUNITIES FUND IX AIF (CAYMAN)	12,765.	0.	12,765.	12,765.
FROM K-1 - OAKTREE OPPORTUNITIES FUND IX AIF (DELAWARE)	29.	0.	29.	29.
FROM K-1 - OAKTREE OPPORTUNITIES FUND VIII	4,654.	0.	4,654.	4,654.
FROM K-1 - OAKTREE OPPORTUNITIES FUND VIII AIF (DELAWARE)	12.	0.	12.	12.
FROM K-1 - OCM OPPORTUNITIES FUND VII	81.	0.	81.	81.
FROM K-1 - OCM OPPORTUNITIES FUND VIIB	598.	0.	598.	598.
FROM K-1 - OCM OPPORTUNITIES FUND VIIB AIF (CAYMAN)	1.	0.	1.	1.
FROM K-1 - PRISA	158,818.	0.	158,818.	158,818.
FROM K-1 - SAIF PARTNERS IV	8,361.	0.	8,361.	8,361.
FROM K-1 - SAYBROOK CORPORATE OPPORTUNITY FUND II	15,712.	0.	15,712.	15,712.
FROM K-1 - SENTINEL CAPITAL PARTNERS V	8,940.	0.	8,940.	8,940.

FROM K-1 - SHOREVIEW PARALLEL PARTNERS III	3,579.	0.	3,579.	3,579.
FROM K-1 - SILVER LAKE PARTNERS IV	318.	0.	318.	318.
FROM K-1 - SILVER LAKE PARTNERS IV AIV II CAYMAN	48,384.	0.	48,384.	48,384.
FROM K-1 - SWEETWATER SECONDARIES FUND II	760.	0.	760.	760.
FROM K-1 - THE SILCHESTER INTL INVESTORS INTL VALUE EQUITY TRUST	902,445.	0.	902,445.	902,445.
FROM K-1 - THOMAS WEISEL GLOBAL PARTNERS IV	12.	0.	12.	12.
FROM K-1 - UBS (US) TRUMBULL PROPERTY FUND	132,830.	0.	132,830.	132,830.
FROM K-1 - VECTIS HEALTHCARE & LIFE SCIENCES II	69,365.	0.	69,365.	69,365.
FROM K-1 - VECTIS LIFE SCIENCES FUND I	305.	0.	305.	305.
FROM K-1 - WARBURG PINCUS (CALLISTO) P/E XI CAYMAN	44,580.	0.	44,580.	44,580.
FROM K-1 - WARBURG PINCUS O & G PRIVATE EQUITY X	22,142.	0.	22,142.	22,142.
FROM K-1 - WARBURG PINCUS PRIVATE EQUITY (E&P) XI-B	2,061.	0.	2,061.	2,061.
FROM K-1 - WARBURG PINCUS PRIVATE EQUITY (E&P) XII (B)	708.	0.	708.	708.
FROM K-1 - WARBURG PINCUS PRIVATE EQUITY (E&P) XII MAIN-2	1,695.	0.	1,695.	1,695.
FROM K-1 - WARBURG PINCUS PRIVATE EQUITY X	8,013.	0.	8,013.	8,013.
FROM K-1 - WARBURG PINCUS PRIVATE EQUITY XI	14,599.	0.	14,599.	14,599.
FROM K-1 - WARBURG PINCUS PRIVATE EQUITY XI (INTERNATIONAL)	67.	0.	67.	67.
FROM K-1 - WARBURG PINCUS PRIVATE EQUITY XII	498.	0.	498.	498.

FROM K-1 - WLR RECOVERY FUND V	322.	0.	322.	322.	
GB NEWCO, INC	896.	0.	896.	896.	
JP MORGAN CHASE	23.	0.	23.	23.	
TO PART I, LINE 4	12,383,163.	5,776,898.	6,606,265.	6,606,265.	

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
FROM K-1 - ATLAS CAPITAL RESOURCES II (A-1 CAYMAN)	1,510.	1,510.	
FROM K-1 - ATLAS CAPITAL RESOURCES II (A2-CAYMAN)	1,715.	1,715.	
FROM K-1 - ATLAS CAPITAL RESOURCES II (A6-CAYMAN)	1,765.	1,765.	
FROM K-1 - BC EUROPEAN CAPITAL IX-4	1,222.	1,222.	
FROM K-1 - BENSELL US FEEDER III,L.P. (BENSON ELLIOT)	204,892.	204,892.	
FROM K-1 - BLACKSTONE REAL ESTATE PARTNERS VIII TE 1-NQ - UBTI	-6,770.	0.	
FROM K-1 - BLACKSTONE REAL ESTATE PARTNERS VIII TE 1-NQ	-42.	-42.	
FROM K-1 - BLACKSTONE REAL ESTATE PARTNERS VIII, TE 1	2,287.	2,287.	
FROM K-1 - BLACKSTONE REAL ESTATE PARTNERS VIII, TE 1 - UBTI	8,768.	0.	
FROM K-1 - BLACKSTONE TACTICAL OPPORTUNITIES FUND II CAYMAN	1,032.	1,032.	
FROM K-1 - BPEA III	-210,990.	-210,990.	
FROM K-1 - BPEA III - UBTI	207,469.	0.	
FROM K-1 - CALERA V AIV II - UBTI	-16,785.	0.	
FROM K-1 - CALERA V AIV II	252.	252.	
FROM K-1 - CERBERUS PARTNERS SERIES 3	4,433.	4,433.	
FROM K-1 - CERBERUS PARTNERS SERIES 4	24,180.	24,180.	
FROM K-1 - DAVIDSON KEMPNER INSTITUTIONAL PARTNERS - UBTI	696.	0.	
FROM K-1 - DAVIDSON KEMPNER INSTITUTIONAL PARTNERS	-22,702.	-22,702.	
FROM K-1 - EUROPEAN SECONDARY DEVELOPMENT FUND V	11,568.	11,568.	
FROM K-1 - GENSTAR CAPITAL PARTNERS VIII BL - UBTI	121,261.	0.	
FROM K-1 - GENSTAR CAPITAL PARTNERS VIII BL	-121,261.	-121,261.	
FROM K-1 - GENSTAR CAPITAL PARTNERS VIII BL AIV - UBTI	-2,320.	0.	
FROM K-1 - GENSTAR CAPITAL PARTNERS VIII BL AIV	2,320.	2,320.	

FROM K-1 - GREAT HILL EQUITY PARTNERS IV	827.	827.
FROM K-1 - GREAT HILL EQUITY PARTNERS VI-A - UBTI	-924.	0.
FROM K-1 - GREAT HILL EQUITY PARTNERS VI-A	924.	924.
FROM K-1 - HG CAPITAL 8A	757.	757.
FROM K-1 - HIG ADVANTAGE BUYOUT FUND	78,101.	78,101.
FROM K-1 - HIG ADVANTAGE BUYOUT FUND - UBTI	-78,101.	0.
FROM K-1 - INSTITUTIONAL VENTURE PARTNERS XIII	50,341.	50,341.
FROM K-1 - KINETIC VENTURES IX - UBTI	-8,987.	0.
FROM K-1 - KINETIC VENTURES IX	3,006.	3,006.
FROM K-1 - LIME ROCK PARTNERS V	3.	3.
FROM K-1 - LIME ROCK RESOURCES III-C	58,648.	58,648.
FROM K-1 - MERCER PRIVATE INVESTMENT PARTNERS V	-17,472.	-17,472.
FROM K-1 - MERCER PRIVATE INVESTMENT PARTNERS V - UBTI	-168,100.	0.
FROM K-1 - MERIT ENERGY PARTNERS I	106,262.	106,262.
FROM K-1 - MERIT ENERGY PARTNERS J	186,546.	186,546.
FROM K-1 - NEWBURY EQUITY PARTNERS	-40,278.	-40,278.
FROM K-1 - NEWBURY EQUITY PARTNERS - UBTI	18,532.	0.
FROM K-1 - OAKTREE OPPORTUNITIES FUND IX	4,548.	4,548.
FROM K-1 - OAKTREE OPPORTUNITIES FUND VIII	72.	72.
FROM K-1 - OAKTREE OPPORTUNITIES FUND VIII AIF (DELAWARE) - UBTI	-600.	0.
FROM K-1 - OAKTREE OPPORTUNITIES FUND VIII AIF (DELAWARE)	-564.	-564.
FROM K-1 - OCM OPPORTUNITIES FUND V	-56.	-56.
FROM K-1 - OCM OPPORTUNITIES FUND VI	58.	58.
FROM K-1 - OCM OPPORTUNITIES FUND VI - UBTI	7,700.	0.
FROM K-1 - OCM OPPORTUNITIES FUND VII	-183.	-183.
FROM K-1 - OCM OPPORTUNITIES FUND VIIB	-239.	-239.
FROM K-1 - OCM OPPORTUNITIES FUND VIIB AIF (DELAWARE) - UBTI	2,513.	0.
FROM K-1 - OCM OPPORTUNITIES FUND VIIB AIF (DELAWARE)	-4,396.	-4,396.
FROM K-1 - QUANTUM ENERGY PARTNERS VI	84,598.	84,598.
FROM K-1 - QUANTUM ENERGY PARTNERS VI - UBTI	109,325.	0.
FROM K-1 - RESOURCE CAPITAL FUND V	-36.	-36.
FROM K-1 - RHO VENTURES V	3.	3.
FROM K-1 - RIVERSIDE FUND V - UBTI	-57,162.	0.
FROM K-1 - SAIF PARTNERS IV	102.	102.

FROM K-1 - SENTINEL CAPITAL PARTNERS V - UBTI	54,211.	0.	
FROM K-1 - SENTINEL CAPITAL PARTNERS V	-89,886.	-89,886.	
FROM K-1 - SHOREVIEW PARALLEL PARTNERS III	-2.	-2.	
FROM K-1 - SILVERLAKE PARTNERS IV CAYMAN	948.	948.	
FROM K-1 - STIRLING SQUARE CAP PART 3RD FUND A - UBTI	-1,399.	0.	
FROM K-1 - STIRLING SQUARE CAP PART 3RD FUND A	1,983.	1,983.	
FROM K-1 - SWEETWATER SECONDARIES FUND II - UBTI	357.	0.	
FROM K-1 - THE SILCHESTER INTL INVESTORS INTL VALUE EQUITY TRUST	103,791.	103,791.	
FROM K-1 - THOMAS WEISEL GLOBAL PARTNERS IV	-9.	-9.	
FROM K-1 - UBS (US) TRUMBULL PROPERTY FUND	-2,318.	-2,318.	
FROM K-1 - VECTIS HEALTHCARE & LIFE SCIENCES II - UBTI	84,998.	0.	
FROM K-1 - VECTIS HEALTHCARE & LIFE SCIENCES II	284.	284.	
FROM K-1 - VECTIS LIFE SCIENCES FUND I	39.	39.	
FROM K-1 - WARBURG PINCUS PRIVATE EQUITY (E&P) XI-B	51.	51.	
FROM K-1 - WARBURG PINCUS PRIVATE EQUITY XI	32.	32.	
FROM K-1 - WARBURG PINCUS PRIVATE EQUITY XII	2,869.	2,869.	
FROM K-1 - WLR RECOVERY FUND V DSS AIV	10.	10.	
SECTION 1231 GAIN	199,997.	199,997.	
TOTAL TO FORM 990-PF, PART I, LINE 11	906,224.	631,542.	

FORM 990-PF LEGAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
AUDIT & LEGAL	273.	0.		273.
TO FM 990-PF, PG 1, LN 16A	273.	0.		273.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FINANCIAL SERVICE FEE - J&J	275,694.	248,126.		27,568.
MERCER FEES	111,074.	111,074.		0.
AGENCY FEES- BANK OF AMERICA	109,891.	109,892.		0.
BANK OF AMERICA INVESTMENT EXPENSES	0.	0.		0.
INVESTMENT MANAGER FEES	146,508.	146,508.		0.
MERRILL LYNCH	15.	15.		0.
TO FORM 990-PF, PG 1, LN 16C	643,182.	615,615.		27,568.

FORM 990-PF TAXES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EMPLOYER FICA & MEDICARE	34,491.	0.		34,491.
FOREIGN TAXES PAID - BANK OF AMERICA 595	189,583.	189,583.		0.
FOREIGN TAXES PAID - ARTIMAN VENTURES III	107.	107.		0.
FOREIGN TAXES PAID - FROM K-1 - BLACKSTONE REAL ESTATE PARTNERS VIII, TE-1	19.	19.		0.
FOREIGN TAXES PAID - FROM K-1 - CERBERUS PARTNERS SERIES 4	8.	8.		0.
FOREIGN TAXES PAID - FROM K-1 - DAVIDSON KEMPNER INSTITUTIONAL PARTNERS	1,373.	1,373.		0.
FOREIGN TAXES PAID - FROM K-1 - EUROPEAN SECONDARY DEVELOPMENT FUND V	287.	287.		0.
FOREIGN TAXES PAID - FROM K-1 - GREAT HILL EQUITY PARTNERS IV	16,570.	16,570.		0.
FOREIGN TAXES PAID - FROM K-1 - KINETIC VENTURES IX	-83.	-83.		0.
FOREIGN TAXES PAID - FROM K-1 - LIME ROCK PARTNERS V	159.	159.		0.
FOREIGN TAXES PAID - MERCER PRIVATE INVESTMENT PARTNERS V	414.	414.		0.
FOREIGN TAXES PAID - FROM K-1 - NEWBURY EQUITY PARTNERS	5,194.	5,194.		0.
FOREIGN TAXES PAID - FROM K-1 - OAKTREE OPPORTUNITIES FUND VIII	2.	2.		0.
FOREIGN TAXES PAID - FROM K-1 - SAIF PARTNERS IV	1,159.	1,159.		0.
FOREIGN TAXES PAID - FROM K-1 - SENTINEL CAPITAL PARTNERS V	6.	6.		0.
FOREIGN TAXES PAID - FROM K-1 - THE SILCHESTER INTL INVESTORS INTL VALUE EQU	46,693.	46,693.		0.
FOREIGN TAXES PAID - FROM K-1 - WARBURG PINCUS PRIVATE EQUITY XI	1,681.	1,681.		0.
TO FORM 990-PF, PG 1, LN 18	297,663.	263,172.		34,491.

FORM 990-PF OTHER EXPENSES STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
COMPUTER EQUIPMENT	29,992.	0.		29,992.
EQUIPMENT MAINTENANCE & RENTAL	2,815.	0.		2,815.
INSURANCE	7,144.	0.		7,144.
MEDICAL INSURANCE	98,396.	0.		98,396.
MISCELLANEOUS EXPENSE	-56.	0.		-56.
OFFICE AND COMPUTER SUPPLIES	1,888.	0.		1,888.
POSTAGE	227.	0.		227.
PROGRAM ADMINISTRATION	299,007.	0.		299,007.
PROGRAM HORORARIUMS	176,786.	0.		176,786.
SUBS COMP	4,355.	0.		4,355.
SUBSCRIPTIONS, BOOKS, PROF. MEMBERSHIPS	24,473.	0.		24,473.
CONFERENCES & CONSULTANTS	34,360.	0.		34,360.
TRAVEL & MEETINGS	30,762.	0.		30,762.
TELEPHONE EXPENSE	1,193.	0.		1,193.
FROM K-1 - AMERICAN SECURITIES PARTNERS VI	1,798.	1,798.		0.
FROM K-1 - AMERICAN SECURITIES PARTNERS VII	12,109.	12,109.		0.
FROM K-1 - ARTIMAN VENTURES III	16,018.	16,018.		0.
FROM K-1 - ATLAS CAPITAL RESOURCES II	20,042.	20,042.		0.
FROM K-1 - ATLAS CAPITAL RESOURCES II (A-1 CAYMAN)	531.	531.		0.
FROM K-1 - ATLAS CAPITAL RESOURCES II (A2-CAYMAN)	920.	920.		0.
FROM K-1 - ATLAS CAPITAL RESOURCES II (A3-F DE)	197.	197.		0.
FROM K-1 - ATLAS CAPITAL RESOURCES II (A4-F2)	138.	138.		0.
FROM K-1 - ATLAS CAPITAL RESOURCES II (A5-CAYMAN)	71.	71.		0.
FROM K-1 - ATLAS CAPITAL RESOURCES II (A5-F)	187.	187.		0.
FROM K-1 - ATLAS CAPITAL RESOURCES II (A6-CAYMAN)	792.	792.		0.
FROM K-1 - ATLAS CAPITAL RESOURCES II (A7-F)	282.	282.		0.
FROM K-1 - ATLAS CAPITAL RESOURCES II (A9-F)	121.	121.		0.
FROM K-1 - ATLAS CAPITAL RESOURCES II (A10-F)	142.	142.		0.
FROM K-1 - AVALON VENTURES X	10,424.	10,424.		0.
FROM K-1 - AVALON VENTURES XI	40,578.	40,578.		0.
FROM K-1 - BC EUROPEAN CAPITAL IX CYXTERA AIV	1.	1.		0.
FROM K-1 - BC EUROPEAN CAPITAL IX-4	24,549.	24,549.		0.

FROM K-1 - BLACKSTONE REAL ESTATE PARTNERS VIII TE 1-NQ	205.	205.	0.
FROM K-1 - BLACKSTONE REAL ESTATE PARTNERS VIII, TE 1	3,928.	3,928.	0.
FROM K-1 - BLACKSTONE TACTICAL OPPORTUNITIES FUND II CAYMAN	6,354.	6,354.	0.
FROM K-1 - BLCKSTONE TACTICAL OPPORTUNITIES FUND II	4,613.	4,613.	0.
FROM K-1 - BLCKSTONE TACTICAL OPPORTUNITIES FUND II-Q	2,055.	2,055.	0.
FROM K-1 - BPEA III	48,715.	48,715.	0.
FROM K-1 - CALERA V	49,530.	49,530.	0.
FROM K-1 - CALERA V CAYMEN AIV I	695.	695.	0.
FROM K-1 - CALERA V AIV II	429.	429.	0.
FROM K-1 - CARLYLE POWER PARTNERS II-A	28.	28.	0.
FROM K-1 - CERBERUS PARTNERS SERIES 3	186.	186.	0.
FROM K-1 - CERBERUS PARTNERS SERIES 4	4,499.	4,499.	0.
FROM K-1 - CIP AIV	189.	189.	0.
FROM K-1 - CORNERSTONE REAL ESTATE FUND VIII	1,602.	1,602.	0.
FROM K-1 - DAVIDSON KEMPNER INSTITUTIONAL PARTNERS	134,544.	134,544.	0.
FROM K-1 - DFJ GROWTH 2013	36,658.	36,658.	0.
FROM K-1 - DFJ GROWTH III	42,319.	42,319.	0.
FROM K-1 - ENCAP ENERGY CAPITAL FUND X-C	48.	48.	0.
FROM K-1 - ENCAP ENERGY CAPITAL FUND XI-C	99.	99.	0.
FROM K-1 - ENCAP ENERGY CAPITAL FUND IX-C	979.	979.	0.
FROM K-1 - ENCAP ENERGY CAPITAL FUND VIII CO-INVESTORS B	308.	308.	0.
FROM K-1 - ENCAP ENERGY CAPITAL FUND VIII-B	290.	290.	0.
FROM K-1 - ENCAP FLATROCK MIDSTREAM FUND II-C	601.	601.	0.
FROM K-1 - ENCAP FLATROCK MIDSTREAM FUND III-C	127.	127.	0.
FROM K-1 - EUROPEAN SECONDARY DEVELOPMENT FUND V	26,708.	26,708.	0.
FROM K-1 - GENSTAR CAPITAL PARTNERS VI	26,439.	26,439.	0.
FROM K-1 - GENSTAR CAPITAL PARTNERS VII	28,575.	28,575.	0.
FROM K-1 - GENSTAR CAPITAL PARTNERS VII AIV (BR)	215.	215.	0.
FROM K-1 - GENSTAR CAPITAL PARTNERS VIII BL	42,460.	42,460.	0.
FROM K-1 - GENSTAR CAPITAL PARTNERS VIII BL AIV	2,538.	2,538.	0.

FROM K-1 - GREAT HILL EQUITY PARTNERS IV	5,739.	5,739.	0.
FROM K-1 - GREAT HILL EQUITY PARTNERS IV-A	181.	181.	0.
FROM K-1 - GREAT HILL EQUITY PARTNERS V	27,251.	27,251.	0.
FROM K-1 - GREAT HILL EQUITY PARTNERS V-A	231.	231.	0.
FROM K-1 - GREAT HILL EQUITY PARTNERS VI	29,423.	29,423.	0.
FROM K-1 - GREAT HILL EQUITY PARTNERS VI-A	1,001.	1,001.	0.
FROM K-1 - HG CAPITAL 8A	74,058.	74,058.	0.
FROM K-1 - HIG ADVANTAGE BUYOUT FUND	135,681.	135,681.	0.
FROM K-1 - IDG VENTURES USA III	43,165.	43,165.	0.
FROM K-1 - INSTITUTIONAL VENTURE PARTNERS XIII	15,615.	15,615.	0.
FROM K-1 - INSTITUTIONAL VENTURE PARTNERS XV	36,382.	36,382.	0.
FROM K-1 - KINETIC VENTURES IX	24,468.	24,468.	0.
FROM K-1 - LIME ROCK PARTNERS V	5,125.	5,125.	0.
FROM K-1 - LIME ROCK RESOURCES II-C	40,389.	40,389.	0.
FROM K-1 - LIME ROCK RESOURCES III-C	97,000.	97,000.	0.
FROM K-1 - LIME ROCK RESOURCES IV-C	53,497.	53,497.	0.
FROM K-1 - LOVELL MINNICK EQUITY PARTNERS III-A	43,387.	43,387.	0.
FROM K-1 - LOVELL MINNICK EQUITY PARTNERS IV-A	58,476.	58,476.	0.
FROM K-1 - MERCER PRIVATE INVESTMENT PARTNERS V	467,791.	467,769.	0.
FROM K-1 - MERIT ENERGY PARTNERS I	42,265.	42,265.	0.
FROM K-1 - MERIT ENERGY PARTNERS J	81,072.	81,072.	0.
FROM K-1 - NEWBURY EQUITY PARTNERS	81,606.	81,606.	0.
FROM K-1 - OAKTREE OPPORTUNITIES FUND IX AIF (CAYMAN)	5,862.	5,862.	0.
FROM K-1 - OAKTREE OPPORTUNITIES FUND IX AIF (DELAWARE)	2.	2.	0.
FROM K-1 - OAKTREE OPPORTUNITIES FUND VIII	2,891.	2,891.	0.
FROM K-1 - OAKTREE OPPORTUNITIES FUND VIII AIF (CAYMAN)	61.	61.	0.
FROM K-1 - OAKTREE OPPORTUNITIES FUND VIII AIF (DELAWARE)	826.	826.	0.

FROM K-1 - OCM OPPORTUNITIES FUND V	528.	528.	0.
FROM K-1 - OCM OPPORTUNITIES FUND VI	5,404.	5,404.	0.
FROM K-1 - OCM OPPORTUNITIES FUND VII	778.	778.	0.
FROM K-1 - OCM OPPORTUNITIES FUND VII, LP AIF (DELAWARE)	130.	130.	0.
FROM K-1 - OCM OPPORTUNITIES FUND VIIB	1,599.	1,599.	0.
FROM K-1 - OCM OPPORTUNITIES FUND VIIB AIF (CAYMAN)	196.	196.	0.
FROM K-1 - OCM OPPORTUNITIES FUND VIIB AIF (DELAWARE)	120.	120.	0.
FROM K-1 - OCM WKI HOLDINGS	39.	39.	0.
FROM K-1 - PRISA	43,949.	43,949.	0.
FROM K-1 - PROLOG HEALTY LIVING FUND II	13,673.	13,673.	0.
FROM K-1 - QUANTUM ENERGY PARTNERS VI	489,879.	489,879.	0.
FROM K-1 - REALTY ASSOCIATES FUND X UTP	50.	50.	0.
FROM K-1 - RESOURCE CAPITAL FUND V	12,041.	12,041.	0.
FROM K-1 - RHO VENTURES V	4,476.	4,476.	0.
FROM K-1 - RIVERSIDE FUND V	4,680.	4,680.	0.
FROM K-1 - RIVERVEST VENTURE FUND III	38,819.	38,819.	0.
FROM K-1 - RIVERVEST VENTURE FUND IV	107,815.	107,815.	0.
FROM K-1 - SAIF PARTNERS IV	23,879.	23,879.	0.
FROM K-1 - SAYBROOK CORPORATE OPPORTUNITY FUND II	24,266.	24,266.	0.
FROM K-1 - SENTINEL CAPITAL PARTNERS V	33,577.	33,577.	0.
FROM K-1 - SHOREVIEW PARALLEL PARTNERS III	6,795.	6,795.	0.
FROM K-1 - SILVER LAKE PARTNERS IV	15,661.	15,661.	0.
FROM K-1 - SILVER LAKE PARTNERS IV AIV II CAYMAN	503.	503.	0.
FROM K-1 - SILVER LAKE PARTNERS IV CAYMAN	252.	252.	0.
FROM K-1 - SILVER LAKE PARTNERS V	33,585.	33,585.	0.
FROM K-1 - SILVER LAKE PARTNERS V CAYMAN	43.	43.	0.
FROM K-1 - SLP IV BASQUIAT FEEDER I	19.	19.	0.
FROM K-1 - SLP IV CARDINAL FEEDER I	48.	48.	0.
FROM K-1 - SLP IV CASTLE FEEDER I	11.	11.	0.
FROM K-1 - SLP IV OLYMPIAN FEEDER I	93.	93.	0.
FROM K-1 - SLP IV RV FEEDER I	46.	46.	0.

FROM K-1 - SLP IV RV FOI FEEDER I	59.	59.	0.
FROM K-1 - SLP IV WEST FEEDER I	20.	20.	0.
FROM K-1 - SLP V DUFFLE FEEDER I	216.	216.	0.
FROM K-1 - SLP V OLYMPIAN FEEDER I	35.	35.	0.
FROM K-1 - SLP V VENICEFEEDER I	171.	171.	0.
FROM K-1 - STIRLING SQUARE CAP PART 3RD FUND A	23,437.	23,437.	0.
FROM K-1 - SWEETWATER SECONDARIES FUND II	60,807.	60,807.	0.
FROM K-1 - THE COLUMN GROUP III-A	28,705.	28,705.	0.
FROM K-1 - THE SILCHESTER INTL INVESTORS INTL VALUE EQUITY TRUST	233,157.	233,157.	0.
FROM K-1 - THOMAS WEISEL GLOBAL PARTNERS IV	6,618.	6,618.	0.
FROM K-1 - THOMPSON STREET CAPITAL PARTNERS III	26,999.	26,999.	0.
FROM K-1 - THOMPSON STREET CAPITAL PARTNERS IV	32,424.	32,424.	0.
FROM K-1 - TRUSTBRIDGE PARTNERS V	31,936.	31,936.	0.
FROM K-1 - UBS (US) TRUMBULL PROPERTY FUND	74,942.	74,942.	0.
FROM K-1 - VECTIS HEALTHCARE & LIFE SCIENCES II	210,460.	210,460.	0.
FROM K-1 - VECTIS LIFE SCIENCES FUND I	38,741.	38,741.	0.
FROM K-1 - WARBURG PINCUS (CALLISTO) P/E XI CAYMAN	2,167.	2,167.	0.
FROM K-1 - WARBURG PINCUS GANYMEDE-II PRIVATE EQUITY X	443.	443.	0.
FROM K-1 - WARBURG PINCUS O & G PRIVATE EQUITY X	1,572.	1,572.	0.
FROM K-1 - WARBURG PINCUS PRIVATE EQUITY (E&P) XI-B	27.	27.	0.
FROM K-1 - WARBURG PINCUS PRIVATE EQUITY (E&P) XII (B)	263.	263.	0.
FROM K-1 - WARBURG PINCUS PRIVATE EQUITY (E&P) XII MAIN-2	15.	15.	0.
FROM K-1 - WARBURG PINCUS PRIVATE EQUITY X	13,052.	13,052.	0.
FROM K-1 - WARBURG PINCUS PRIVATE EQUITY XI	8,517.	8,517.	0.
FROM K-1 - WARBURG PINCUS PRIVATE EQUITY XI (INTERNATIONAL)	443.	443.	0.
FROM K-1 - WARBURG PINCUS PRIVATE EQUITY XII	15,727.	15,727.	0.
FROM K-1 - WARBURG PINCUS PRIVATE EQUITY XII (FT-2)	60.	60.	0.

FROM K-1 - WARBURG PINCUS PRIVATE EQUITY XII (INTERNATIONAL)	284.	284.		0.
FROM K-1 - WARBURG PINCUS PRIVATE EQUITY XII (NDF)	27.	27.		0.
FROM K-1 - WLR RECOVERY FUND V	15,753.	15,753.		0.
FROM K-1 - WLR RECOVERY FUND V DSS AIV	252.	252.		0.
TO FORM 990-PF, PG 1, LN 23	4,369,906.	3,658,542.		711,342.

FORM 990-PF OTHER INCREASES IN NET ASSETS OR FUND BALANCES STATEMENT 8

DESCRIPTION	AMOUNT
RECOVERY OF PRIOR YEAR AMOUNTS TREATED AS QUALIFING DISTRIBUTIONS	768,115.
TOTAL TO FORM 990-PF, PART III, LINE 3	768,115.

FORM 990-PF OTHER DECREASES IN NET ASSETS OR FUND BALANCES STATEMENT 9

DESCRIPTION	AMOUNT
ADJUSTMENT FROM FAIR MARKET VALUE TO BOOK VALUE AND TIMING DIFFERENCES	5,068,194.
TOTAL TO FORM 990-PF, PART III, LINE 5	5,068,194.

FORM 990-PF CORPORATE STOCK STATEMENT 10

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
DIMENSIONAL FUND ADVISORS SMALL CO. FUND	130,198.	421,671.
TOTAL TO FORM 990-PF, PART II, LINE 10B	130,198.	421,671.

FORM 990-PF CORPORATE BONDS STATEMENT 11

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
BANK OF AMERICA SHORT-TERM BOND FUND	29,030,331.	29,936,287.
TOTAL TO FORM 990-PF, PART II, LINE 10C	29,030,331.	29,936,287.

FORM 990-PF OTHER INVESTMENTS STATEMENT 12

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
1798 UK SMALL CAP BEST IDEAS FUN LTD	COST	11,000,000.	13,182,096.
ABERDEEN EMERGING MARKETS INSTL FUND	COST	13,000,000.	14,401,520.
AEW CORE PROPERTY TRUST	COST	3,000,000.	3,748,919.
CARE COM INC.	COST	21,024.	15,857.
CEVIAN CAPITAL II, LTD	COST	11,000,000.	11,693,904.
CHARTERHOUSE CAPITAL PARTNERS X	COST	1,201,958.	1,304,710.
DODGE & COX INTL STOCK FUND	COST	17,000,000.	18,888,889.
GMO US QUALITY EQUITY FUND III	COST	49,549,206.	55,506,365.
HARBOR SPRING CAPITAL PARTNERS LP	COST	6,500,000.	9,241,758.
ISHARES TR S&P GSSI NAT RES FD	COST	6,083,585.	4,545,100.
JENSEN FUND I	COST	12,285,077.	24,251,549.
MEVERICK LONG, LTD	COST	12,000,000.	14,101,791.
MFS INTL VALUE FUND	COST	13,000,000.	17,396,470.
ON DECK CAPITAL INC	COST	42,973.	19,839.
PHARO GAIA FUND, LTD	COST	3,500,000.	3,973,182.
SILCHESTER GLOBAL BOND FUND	COST	23,255,791.	25,080,831.
VALINOR CAPITAL PARTNERS OFFSHORE, LTD	COST	6,000,000.	5,810,444.
VAN ECK GLOBAL HARD ASSETS FUND	COST	12,473,572.	6,825,719.
VANGUARD EMERGING MARKETS STOCK INDEX FUND	COST	22,000,000.	21,475,474.
VANGUARD INSTL INDEX FUND	COST	16,539,970.	32,346,416.
ANAPLAN, INC	COST	422,094.	421,506.
ANTERO RESOURCES CORPORATION	COST	121,076.	39,604.
AVALARA, INC	COST	24,510.	26,004.
CROWDSTRIKE HOLDINGS, INC.	COST	220,503.	223,218.
DROPBOX, INC	COST	22,970.	16,853.
ANCHORAGE CAPITAL PARTNERS OFFSHORE LTD	COST	2,000,000.	4,463,473.
ANCHORAGE SHORT CREDIT OFFSHORE FUND LTD	COST	6,011,020.	2,492,583.
AURELIUS CAPITAL INTERNATIONAL LTD	COST	6,000,000.	9,636,144.
ELLIOT INTERNATIONAL	COST	6,000,000.	22,556,051.
KING STREET CAPITAL LTS CL A SERIES 1	COST	3,500,000.	6,666,189.
SCULPTOR OVERSEAS FUND II LTD	COST	28,958.	273,499.
SECURITY DEPOSITS	COST	2,992.	2,992.
SILVER POINT CAPITAL OFFSHORE FUND LTD	COST	1,000,000.	5,365,402.
STARK GLOBAL OPPORTUNITIES	COST	141,488.	141,488.
WEXFORD OFFSHORE SPECTRUM FUND	COST	0.	4,051,084.
SHELLBACK FUND LP	COST	6,000,000.	8,256,293.
HILLHOUSE CHINA VALUE FEEDER	COST	2,700,000.	3,761,127.
HILLHOUSE GAOLING FEEDER	COST	5,300,000.	6,557,054.
LIGHT STREET TUNGSTEN OFFSHORE	COST	5,500,000.	4,816,832.
TOTAL TO FORM 990-PF, PART II, LINE 13		284,448,767.	363,578,229.

FORM 990-PF OTHER ASSETS STATEMENT 13

DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
AMERICAN SECURITIES CAPITAL PARTNERS VI LP	546,176.	542,411.	1,242,854.
AMERICAN SECURITIES CAPITAL PARTNERS VII LP	1,021,171.	1,436,477.	1,681,463.
ARTIMAN VENTURES III, LP	634,728.	557,043.	742,012.
ATLAS CAPITAL RESOURCES II LP	543,503.	761,514.	1,142,455.
AVALON VENTURES X, LP	1,545,351.	1,553,897.	1,895,466.
AVALON VENTURES XI, LP	555,337.	790,987.	772,640.
BC EUROPEAN CAPITAL IX	1,225,515.	872,665.	1,313,290.
BENSELL US FEEDER III, LP	4,993,055.	5,197,947.	2,019,790.
BLACKSTONE REAL ESTATE PARTNERS VIII TE 1 L.P.	686,068.	734,018.	960,105.
BLACKSTONE TACTICAL OPPORTUNITIES FUND II L.P.	469,080.	397,116.	1,184,957.
BROOKE PRIVATE EQUITY PARTNERS III LP	1,944,318.	1,941,422.	2,959,517.
CALERA CAPITAL PARTNERS V LP	959,111.	926,385.	1,262,569.
CARLYLE POWER PARTNERS II-A LP	852,020.	1,418,442.	1,476,347.
CERBERUS INSTITUTIONAL PARTNERS, L.P.-SERIES 3	37,249.	31,252.	25,861.
CERBERUS INSTITUTIONAL PARTNERS, L.P.-SERIES 4	418,422.	472,930.	618,580.
CORNERSTONE REAL ESTATE FUND VIII	48,543.	0.	0.
DAVIDSON KEMPNER INSTITUTIONAL PARTNERS LP	6,702,667.	7,158,495.	7,250,560.
DFJ GROWTH 2013 LP	1,251,133.	1,159,641.	2,654,064.
DFJ GROWTH III	669,493.	1,003,464.	1,260,416.
DOVER STREET VI	1,866,850.	1,888,051.	724,294.
ENCAP ENERGY CAPITAL FUND IX-C, LP	1,725,718.	1,783,996.	1,249,186.
ENCAP ENERGY CAPITAL FUND VIII CO-INVESTORS B LP	1,865,291.	1,991,573.	1,109,439.
ENCAP ENERGY CAPITAL FUND VIII-B, LP	3,459,728.	3,650,414.	933,080.
ENCAP ENERGY CAPITAL FUND X-C, L.P.	1,143,519.	1,316,816.	1,333,788.
ENCAP ENERGY CAPITAL FUND XI-C, LP	195,977.	600,620.	515,003.
ENCAP FLATROCK MIDSTREAM FUND II-C LP	785,683.	973,226.	829,565.
ENCAP FLATROCK MIDSTREAM FUND III-C LP	753,495.	972,931.	869,358.
EUROPEAN SECONDARY DEVELOPMENT FUND V LP	607,431.	735,485.	767,088.
FORTRESS MACRO OFFSHORE FUND LP	28,356.	0.	0.
GENSTAR CAPITAL PARTNERS VI, LP	1,181,392.	967,071.	1,764,977.
GENSTAR CAPITAL PARTNERS VII, LP	1,824,887.	1,723,941.	2,822,392.
GENSTAR CAPITAL PARTNERS VIII LP	1,069,506.	1,888,025.	2,789,258.
GREAT HILL EQUITY PARTNERS IV, LP	0.	-471,240.	2,298,605.
GREAT HILL EQUITY PARTNERS V, LP	1,564,482.	1,144,557.	1,946,266.
GREAT HILL EQUITY PARTNERS VI, LP	460,498.	849,264.	1,040,560.
HG CAPITAL 8A	-88,823.	555,990.	893,069.

HIG ADVANTAGE	314,216.	178,585.	439,788.
IDG VENTURES USA III LP	1,239,749.	1,252,122.	2,048,003.
INSTITUTIONAL VENTURE PARTNERS XV, L.P.	1,083,686.	1,250,041.	2,156,147.
INSTITUTIONAL VENTURE PARTNERS, XIII, LP	172,741.	73,572.	490,157.
KINETIC VENTURES IX, LP	464,998.	523,512.	689,036.
LIME ROCK PARTNERS V, LP	859,027.	796,083.	377,967.
LIME ROCK RESOURCES II-C, LP	873,617.	833,228.	302,779.
LIME ROCK RESOURCES III-C, LP	1,334,512.	1,254,778.	1,747,682.
LIME ROCK RESOURCES IV-C, LP	1,082,031.	1,659,904.	1,956,880.
LOVELL MINNICK EQUITY PARTNERS III-A, LP	1,624,164.	1,001,330.	1,357,289.
LOVELL MINNICK EQUITY PARTNERS IV-A, LP	1,750,774.	2,136,576.	2,096,683.
MERCER PRIVATE INVESTMENT PARTNERS V	0.	6,618,706.	7,316,304.
MERIT ENERGY PARTNERS I	962,147.	984,566.	1,169,342.
MERIT ENERGY PARTNERS J	1,428,495.	1,534,565.	1,742,140.
NEWBURY EQUITY PARTNERS	0.	0.	1,969,088.
OAKTREE OPPORTUNITIES FUND IX	2,283,489.	2,069,347.	1,841,892.
OAKTREE OPPORTUNITIES FUND VIII, L.P.	208,329.	197,151.	89,410.
OCM OPPORTUNITIES FUND IV	-73.	0.	0.
OCM OPPORTUNITIES FUND V	59,542.	28,253.	1,335.
OCM OPPORTUNITIES FUND VI	-19,863.	-17,444.	258.
OCM OPPORTUNITIES FUND VII, LP	177,910.	132,904.	111,877.
OCM OPPORTUNITIES FUND VIIB, LP	1,110,070.	920,191.	217,714.
PRISA LP	5,247,053.	5,117,428.	9,176,010.
PROLOG CAPITAL IV	244,608.	233,644.	531,847.
QUANTUM ENERGY PARTNERS VI, LP	443,391.	143,021.	1,524,326.
REALTY ASSOCIATES FUND IX	4,450,878.	0.	0.
REALTY ASSOCIATES FUND X UTP, LP	761,189.	309,470.	335,998.
RESOURCE CAPITAL FUND V LP	537,122.	432,496.	63,075.
RHO VENTURES V, LP	1,092,311.	848,476.	1,079,940.
RIVERSIDE FUND V, LP	1,342,690.	1,167,731.	1,552,016.
RIVERVEST VENTURE FUND III LP	1,129,176.	1,193,020.	5,212,163.
RIVERVEST VENTURE FUND IV LP	905,801.	2,255,049.	2,642,906.
SAIF PARTNERS IV LP	1,606,006.	1,510,631.	2,798,726.
SAYBROOK CORPORATE OPPORTUNITY FUND II	1,194,322.	1,207,538.	1,480,926.
SENTINEL CAPITAL PARTNERS V	1,304,952.	1,343,798.	1,650,586.
SHOREVIEW CAPITAL PARTNERS III	679,940.	713,452.	868,887.
SILVER LAKE PARTNERS V	263,468.	453,982.	1,151,847.
SILVER LAKE PARTNERSHIP IV, LP	1,489,946.	1,446,056.	3,209,635.
STIRLING SQUARE CAP PART 3RD FUND A	1,144,429.	1,015,113.	1,983,886.
SWEETWATER SECONDARIES FUND II	0.	2,651,045.	2,611,401.
THE COLUMN GROUP	647,483.	817,215.	1,130,748.
THE SILCHESTER INT'L INVESTORS INT'L VALUE EQUITY TRUST	22,112,262.	23,255,791.	25,080,831.
THOMAS WEISEL GLOBAL GROWTH PARTNERS IV (S), LP	1,051,315.	864,540.	646,226.
THOMPSON STREET CAPITAL III	1,341,814.	1,267,457.	1,443,514.
THOMPSON STREET CAPITAL IV	1,529,599.	1,620,445.	2,296,234.
TRUSTBRIDGE PARTNERS V	1,955,261.	1,924,804.	2,373,519.
UBS TRUMBULL PROPERTY FUND LP	7,485,856.	7,423,223.	8,519,161.

VECTIS HEALTHCARE & LIFE SCIENCES FUND II, LP	5,736,182.	4,380,051.	7,938,763.
VECTIS LIFE SCIENCE FUND I	3,042,730.	2,427,921.	2,354,624.
WARBURG PINCUS PRIVATE EQUITY X, LP	3,447,430.	1,973,920.	3,505,225.
WARBURG PINCUS PRIVATE EQUITY XI, LP	1,618,760.	1,215,909.	1,548,415.
WARBURG PINCUS PRIVATE EQUITY XII, LP	862,570.	1,091,090.	2,115,117.
WLR RECOVERY FUND V, LP	1,984,247.	1,740,342.	1,583,615.
TO FORM 990-PF, PART II, LINE 15	137,209,282.	142,999,454.	178,880,812.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS STATEMENT 14

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN-SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
JAMES S. MCDONNELL III 1034 S. BRENTWOOD, #1860 ST LOUIS, MO 63117-1229	DIRECTOR & SECRETARY 1.00	0.	0.	0.
JOHN F. MCDONNELL 1034 S. BRENTWOOD, #1860 ST LOUIS, MO 63117-1229	DIRECTOR & TREASURER 2.50	0.	0.	0.
HOLLY M. JAMES 1034 S. BRENTWOOD, #1860 ST LOUIS, MO 63117-1229	DIRECTOR 1.00	0.	0.	0.
JEANNE M. CHAMPER 1034 S. BRENTWOOD, #1860 ST LOUIS, MO 63117-1229	DIRECTOR 1.00	0.	0.	0.
MARCELLA M. STEVENS 1034 S. BRENTWOOD, #1860 ST LOUIS, MO 63117-1229	DIRECTOR 1.00	0.	0.	0.
ALICIA S. MCDONNELL 1034 S. BRENTWOOD, #1860 ST LOUIS, MO 63117-1229	DIRECTOR 1.00	0.	0.	0.
SUSAN M. FITZPATRICK, PH.D. 1034 S. BRENTWOOD, #1850 ST LOUIS, MO 63117-1284	PRESIDENT 50.00	374,376.	0.	0.
JEFFREY M. MCDONNELL 1034 S. BRENTWOOD, #1850 ST LOUIS, MO 63117-1229	ASSISTANT SECRETARY 1.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		374,376.	0.	0.

FORM 990-PF GRANT APPLICATION SUBMISSION INFORMATION STATEMENT 15
PART XV, LINES 2A THROUGH 2D

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

DR. SUSAN M. FITZPATRICK
1034 S. BRENTWOOD, #1850
ST LOUIS, MO 63117-1284

TELEPHONE NUMBER

(314) 721-1532

FORM AND CONTENT OF APPLICATIONS

REQUEST FOR PROPOSALS(RFP) SUBMISSION INFORMATION ON FUNDING PROGRAMS CAN BE OBTAINED AT THE FOUNDATION'S WEBSITE ADDRESS: WWW.JSMF.ORG

ANY SUBMISSION DEADLINES

SEE 2.B. ABOVE AND THE FOUNDATION'S WEBSITE

RESTRICTIONS AND LIMITATIONS ON AWARDS

NONE

JAMES S. MCDONNELL FOUNDATION
FEIN # 54-2074788
FORM 990-PF (2019)
PART VII – B, LINES 5(a)(4) AND 5(c)
REG. § 53.4945-5(d) STATEMENT
ATTACHED STATEMENT

1. Grantee:

   The JSM Charitable Trust ("JSMCT")
   1034 South Brentwood Blvd.
   Suite 1860
   St. Louis, MO 63117-1229

2. Dates and amounts of grants made to JSMCT by the James S. McDonnell Foundation (FEIN: 54-2074788, "JSMF"):

DATE	AMOUNT
5/24/2019	$ 400,000.00
11/5/2019	$ 5,540,000.00

3. JSMF made the above grants to JSMCT so that JSMCT could obtain matching grants and then make distributions of such grants and the matching grants in 2019 to various public charities described in section 509(a)(1) or (2) of the Internal Revenue Code.

4. The above grants totaling $5,940,000 were distributed by JSMCT in 2019 to various public charities described in section 509(a)(1) or (2) of the Internal Revenue Code.

5. JSMCT has not diverted any portion of the above grants from their tax-exempt purpose.

6. JSMF receives a copy of JSMCT's Form 990-PF for 2019 at the time it is filed with the Internal Revenue Service.

2019 Total Grants Paid Report

5/8/2020

Status Date	Request ID	Payee Name	Request Project Title	Amount	Program Area
21st Century Science Initiative-Special Initiative					
1/17/2019	220020562	Arizona State University Foundation	Philosophy in Biology and Medicine	$50,000 00	21st Century Science Initiative-Special Initiative
11/27/2019	220020579	Mayo Clinic Arizona	Sex Differences in Brain Cancer	$50,000 00	21st Century Science Initiative-Special Initiative
5/22/2019	220020567	University of Missouri-Columbia	Support to Establish a Postdoctoral Science and Technology Policy Fellowship (MOST)	$150,000 00	21st Century Science Initiative-Special Initiative
11/20/2019	220020567	University of Missouri-Columbia	Support to Establish a Postdoctoral Science and Technology Policy Fellowship (MOST)	$408,000 00	21st Century Science Initiative-Special Initiative
11/21/2019	220020581	Council on Foundations	This grant may be used only for Grantee's charitable and educational activities	$18,500 00	21st Century Science Initiative-Special Initiative
21st Century Science Initiative-Studying Complex Systems					
11/27/2019	220020491	Santa Fe Institute	Adaptation, Aging, and the Arrow of Time	$500,000 00	21st Century Science Initiative-Studying Complex Systems
11/20/2019	220020516	University of California-Davis	Cortical plasticity within and across lifetimes	$334,772 00	21st Century Science Initiative-Studying Complex Systems
11/27/2019	220020516	University of California-Davis	Cortical plasticity within and across lifetimes	$340,813 00	21st Century Science Initiative-Studying Complex Systems
5/22/2019	220020538	University of Michigan-Ann Arbor	In support of the postdoctoral fellow's salary, fringe benefits and research plan	$100,000 00	21st Century Science Initiative-Studying Complex Systems

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Status Date	Request ID	Payee Name	Request Project Title	Amount	Program Area
11/20/2019	220020556	University of Oslo	In support of the postdoctoral fellows salary, fringe benefits and research plan	$100,000 00	21st Century Science Initiative-Studying Complex Systems
1/17/2019	220020563	The Broad Institute, Inc	In support of the postdoctoral fellow's salary, fringe benefits and research plan	$100,000 00	21st Century Science Initiative-Studying Complex Systems
8/21/2019	220020570	Wageningen University	In support of the postdoctoral fellow's salary, fringes and research plan	$200,000 00	21st Century Science Initiative-Studying Complex Systems
9/13/2019	220020572	University of Chicago	In support of the postdoctoral fellow's salary, fringes and research plan	$100,000 00	21st Century Science Initiative-Studying Complex Systems
9/13/2019	220020574	Stanford University	In support of the postdoctoral fellow's salary, fringes, and research plan	$100,000 00	21st Century Science Initiative-Studying Complex Systems
11/27/2019	220020580	University of California-Los Angeles	In support of the postdoctoral fellow's salary, fringe & reseearch plan	$200,000 00	21st Century Science Initiative-Studying Complex Systems
5/22/2019	220020535	Massachusetts Institute of Technology	In support of the postdoctoral fellow's salary, fringe benefits and research plan	$100,000 00	21st Century Science Initiative-Studying Complex Systems
5/22/2019	220020536	University of Chicago	In support of the postdoctoral fellow's salary, fringe benefits and research plan	$100,000 00	21st Century Science Initiative-Studying Complex Systems
10/14/2019	220020539	Georgia Technology Research Corp dba Georgia Institute of Technology	In support of the postdoctoral fellow's salary, fringe benefits and research plan	$100,000 00	21st Century Science Initiative-Studying Complex Systems
9/13/2019	220020540	Massachusetts Institute of Technology	In support of the postdoctoral fellow's salary, fringe benefits and research plan	$100,000 00	21st Century Science Initiative-Studying Complex Systems

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Status Date	Request ID	Payee Name	Request Project Title	Amount	Program Area
9/13/2019	220020554	University of Minnesota	In support of the postdoctoral fellow's salary, fringe benefits and research plan	$100,000 00	21st Century Science Initiative-Studying Complex Systems
11/20/2019	220020555	Princeton University	In support of the postdoctoral fellow's salary, fringe benefits and research plan	$100,000 00	21st Century Science Initiative-Studying Complex Systems
11/20/2019	220020559	Harvard University	In support of the postdoctoral fellow's salary, fringes and research plan	$100,000 00	21st Century Science Initiative-Studying Complex Systems
1/17/2019	220020496	Harvard University	In support of the postdoctoral fellow's salary, fringes and research plan	$106,102 22	21st Century Science Initiative-Studying Complex Systems
8/21/2019	220020569	Massachusetts Institute of Technology	In support of the postdoctoral fellow's salary, fringes and research plan	$100,000 00	21st Century Science Initiative-Studying Complex Systems
8/21/2019	220020573	Massachusetts Institute of Technology	In support of the postdoctoral fellow's salary, fringes and research plan	$100,000 00	21st Century Science Initiative-Studying Complex Systems
11/20/2019	220020576	University of California-Berkeley	In support of the postdoctoral fellow's salary, fringes and research plan	$100,000 00	21st Century Science Initiative-Studying Complex Systems
11/21/2019	220020577	Stanford University School of Medicine	In support of the postdoctoral fellow's salary, fringe, and research plan	$100,000 00	21st Century Science Initiative-Studying Complex Systems
11/27/2019	220020578	Sant'Anna School of Advanced Studies	To support of the postdoctoral fellow's salary, fringe, & research plan	$200,000 00	21st Century Science Initiative-Studying Complex Systems
11/27/2019	220020542	Princeton University	Individual Behavior and Infectious Disease	$60,807 00	21st Century Science Initiative-Studying Complex Systems

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Status Date	Request ID	Payee Name	Request Project Title	Amount	Program Area
10/11/2019	220020439	University of Illinois - Urbana/Champaign	The origins of emergent phenomena renormalization, coarse-graining, and the fingerprints of ecological and evolutionary processes	$90,000 00	21st Century Science Initiative-Studying Complex Systems
11/27/2019	220020439	University of Illinois - Urbana/Champaign	The origins of emergent phenomena renormalization, coarse-graining, and the fingerprints of ecological and evolutionary processes	$90,000 00	21st Century Science Initiative-Studying Complex Systems
11/27/2019	220020471	University of Nebraska-Lincoln	Understanding the consequences of body size evolution in ecological communities	$112,500 00	21st Century Science Initiative-Studying Complex Systems
11/27/2019	220020473	University of Wisconsin - Madison	Using an evolutionary framework to study robustness and predictive power of data-driven molecular regulatory networks	$112,500 00	21st Century Science Initiative-Studying Complex Systems
11/20/2019	220020475	The Hebrew University of Jerusalem	Life on leafs Complex microbial cities on plant leaf surfaces	$112,500 00	21st Century Science Initiative-Studying Complex Systems
10/11/2019	220020476	Massachusetts Institute of Technology	Self-organization in driven many-body assemblies Predictive principles from nonequilibrium statistical thermodynamics	$112,500 00	21st Century Science Initiative-Studying Complex Systems
11/20/2019	220020470	NUS America Foundation, Inc	Modeling complex ecological communities From small islands to tropical forests	$112,500 00	21st Century Science Initiative-Studying Complex Systems
21st Century Science Initiative-Understanding Human Cognition					
11/20/2019	220020575	Rotman Research Institute - Baycrest Centre for Geriatric Care	Brain Connectivity Workshop 2020 What does the mind think of the brain?	$30,000 00	21st Century Science Initiative-Understanding Human Cognition

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Status Date	Request ID	Payee Name	Request Project Title	Amount	Program Area
5/22/2019	220020483	Iowa State University	Implementing principles from the science of learning within educational practice	$781,079 00	21st Century Science Initiative-Understanding Human Cognition
4/26/2019	220020484	Columbia University	Probing the overlap between sleep and anesthesia to enhance human cognition (Phase 2)	$492,516 00	21st Century Science Initiative-Understanding Human Cognition
5/22/2019	220020479 01	Boston University	From Defensive Responses to Clinical Interventions Understanding the Mechanisms of Anxiety Phase 2	$406,240 00	21st Century Science Initiative-Understanding Human Cognition
11/20/2019	220020484	Columbia University	Probing the overlap between sleep and anesthesia to enhance human cognition (Phase 2)	$465,865 00	21st Century Science Initiative-Understanding Human Cognition
10/11/2019	220020425	Occidental College	The roles of intuition and reflection in understanding science	$100,000 00	21st Century Science Initiative-Understanding Human Cognition
11/27/2019	220020426	Colby College	Integrating new and prior knowledge in semantic memory	$100,000 00	21st Century Science Initiative-Understanding Human Cognition
10/11/2019	220020428	University of Richmond	Beyond categories Understanding the factors underlying category specificity in the brain	$100,000 00	21st Century Science Initiative-Understanding Human Cognition
10/11/2019	220020431	Mount Holyoke College	Exploring auditory imagery through reading	$100,000 00	21st Century Science Initiative-Understanding Human Cognition
10/11/2019	220020430	Colgate University	Understanding early visual codes and their relative contribution to rapid perceptual inference	$100,000 00	21st Century Science Initiative-Understanding Human Cognition

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Status Date	Request ID	Payee Name	Request Project Title	Amount	Program Area
10/11/2019	220020432	Wellesley College	Understanding the unique relationship between language and human cognition	$100,000 00	21st Century Science Initiative-Understanding Human Cognition
10/11/2019	220020462	Harvard Medical School	The approximate computations underlying decisions based on perceptual evidence	$150,000 00	21st Century Science Initiative-Understanding Human Cognition
10/11/2019	220020463	Massachusetts General Hospital	Brainstem modulation of human consciousness	$150,000 00	21st Century Science Initiative-Understanding Human Cognition
10/11/2019	220020465	The Hebrew University of Jerusalem	Rhythmic sampling A domain-general principle in the architecture of attention	$150,000 00	21st Century Science Initiative-Understanding Human Cognition
11/20/2019	220020467	University of Texas Southwestern Medical Center	Elucidating the molecular evolution of human cognition	$150,000 00	21st Century Science Initiative-Understanding Human Cognition
10/11/2019	220020468	University of Texas at Dallas	A complex networks approach for understanding age-related cognitive decline	$150,000 00	21st Century Science Initiative-Understanding Human Cognition
10/11/2019	220020501	Ecole Normale Superieure	Early language acquisition Beyond WEIRD	$100,000 00	21st Century Science Initiative-Understanding Human Cognition
10/11/2019	220020504	Rutgers University	Responding to Imminent Threat A Developmental Approach	$100,000 00	21st Century Science Initiative-Understanding Human Cognition
10/11/2019	220020503	New York University	Developing representations of the social world	$100,000 00	21st Century Science Initiative-Understanding Human Cognition
8/21/2019	220020508	Indiana University	Using Automated Controlled Rearing to Explore the Origins of the Mind	$123,200 00	21st Century Science Initiative-Understanding Human Cognition

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Status Date	Request ID	Payee Name	Request Project Title	Amount	Program Area
10/11/2019	220020507	University of California-Los Angeles	Understanding the emergence of speech vocalizations in human infancy	$100,000 00	21st Century Science Initiative-Understanding Human Cognition
10/11/2019	220020505	Princeton University	Does Top-Down Processing Support Infant Development?	$100,000 00	21st Century Science Initiative-Understanding Human Cognition
9/13/2019	220020545	Stanford University	Cognitive Foundations of Distinctively Human Social Learning	$50,000 00	21st Century Science Initiative-Understanding Human Cognition
10/14/2019	220020546	Temple University	Developing Mathematical Skills and Motivation	$50,000 00	21st Century Science Initiative-Understanding Human Cognition
9/13/2019	220020547	Indiana University	Understanding the development of early mathematics knowledge	$50,000 00	21st Century Science Initiative-Understanding Human Cognition
10/14/2019	220020548	University of California-Berkeley	Learning to Learn How Polysemy Scaffolds Development	$50,000 00	21st Century Science Initiative-Understanding Human Cognition
10/14/2019	220020550	University of Illinois - Urbana/Champaign	Understanding early language environments Analytic techniques and outcome predictions	$50,000 00	21st Century Science Initiative-Understanding Human Cognition
10/14/2019	220020551	University of Wisconsin - Madison	The Development of Higher-Order Cognition Words, Categories, and Concepts	$50,000 00	21st Century Science Initiative-Understanding Human Cognition
10/14/2019	220020553	University of Wisconsin - Madison	Theoretical and Pedagogical Implications of the Nonsymbolic Ratio Processing System	$50,000 00	21st Century Science Initiative-Understanding Human Cognition

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Status Date	Request ID	Payee Name	Request Project Title	Amount	Program Area
4/4/2019	220020517	University of Illinois at Chicago	How Teachers Learn Orchestrating Disciplinary Discourse in Science, Literature, and Mathematics Classrooms	$579,883 00	21st Century Science Initiative-Understanding Human Cognition
4/4/2019	220020518	University of Michigan-Ann Arbor	Teachers Learning to Facilitate Communication and Reasoning Through Inquiry with History and Social Science Sources	$532,195 00	21st Century Science Initiative-Understanding Human Cognition
4/4/2019	220020519	University of California-Davis	New Teachers Learning Disciplined Improvisation for Meaningful Talk in Diverse Classrooms	$528,077 00	21st Century Science Initiative-Understanding Human Cognition
4/4/2019	220020520	Carnegie Mellon University	ClassInSight Insight on Teacher Learning by Scaffolding Noticing and Reflection	$573,600 00	21st Century Science Initiative-Understanding Human Cognition
4/4/2019	220020521	Indiana University	Teacher Cognition and Learning about Incorporating Science Representations in Elementary Classrooms	$539,316 00	21st Century Science Initiative-Understanding Human Cognition
4/4/2019	220020522	Boston University	Understanding How Elementary Teachers Take Up Discussion Practices to Promote Disciplinary Learning and Equity	$496,587 00	21st Century Science Initiative-Understanding Human Cognition
4/4/2019	220020523	University of Wisconsin - Madison	Advancing Teachers' Pedagogical Reasoning and Practices with Tools	$574,959 00	21st Century Science Initiative-Understanding Human Cognition
4/4/2019	220020525	University of Pittsburgh	Teacher Learning to Enact Productive Discussions in Mathematics and Literacy	$443,916 00	21st Century Science Initiative-Understanding Human Cognition

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Status Date	Request ID	Payee Name	Request Project Title	Amount	Program Area
4/4/2019	220020526	Northwestern University Evanston Campus	Developing a Model of Teaching Learning to Support Classroom Enactment of Purposeful Sensemaking	$516,611 00	21st Century Science Initiative-Understanding Human Cognition
4/4/2019	220020524	University of Michigan-Ann Arbor	Managing students' contributions to mathematical work in whole class discussions in high school How do teachers decide what to do?	$383,549 00	21st Century Science Initiative-Understanding Human Cognition
Grand Totals				$14,629,087 22	

Grant Commitment Schedule (6 years) - by Program Area

Coding Sheet: Program Area is 'Special Interests' OR 'Brain Tumor Funders Collaborative-BTFC' OR '21st Century Science Initiative-Understanding I

5/12/2020

Organization Grant Amount	Total Paid	2020	2021	Scheduled Payments 2022	2023	2024	2025	Remaining Balance
21st Century Science Initiative-Studying Complex Systems								
Massachusetts Institute of Technology $200,000 00 *In support of the postdoctoral fellow's salary, fringes and research plan*	$100,000 00	$100,000 00	$0 00	$0 00	$0 00	$0 00	$0 00	$0 00
Massachusetts Institute of Technology $200,000 00 *In support of the postdoctoral fellow's salary, fringes and research plan*	$100,000 00	$100,000 00	$0 00	$0 00	$0 00	$0 00	$0 00	$0 00
Princeton University $540,984 00 *Individual Behavior and Infectious Disease*	$440,984 00	$100,000 00	$0 00	$0 00	$0 00	$0 00	$0 00	$0 00
Santa Fe Institute $2,500,000 00 *Adaptation, Aging, and the Arrow of Time*	$2,000,000 00	$0 00	$500,000 00	$0 00	$0 00	$0 00	$0 00	$0 00
Santa Fe Institute $743,415 00 *Support for the James S McDonnell Postdoctoral Fellows Conference Phase 2*	$490,686 00	$252,729 00	$0 00	$0 00	$0 00	$0 00	$0 00	$0 00
Stanford University School of Medicine $200,000 00 *In support of the postdoctoral*	$100,000 00	$100,000 00	$0 00	$0 00	$0 00	$0 00	$0 00	$0 00

fellow's salary, fringe, and research plan								
Stanford University $200,000 00 *In support of the postdoctoral fellow's salary, fringes, and research plan*	$100,000 00	$100,000 00	$0 00	$0 00	$0 00	$0 00	$0 00	$0 00
Understanding Dynamic and Multi-scale Systems Postdoctoral Fellowship Awardees $1,800,000 00 *Nine grants approved by Board on 10/24/19 under Resolution #1363 for $200,000 each ($1 8M) over max three year periods*	$0 00	$900,000 00	$900,000 00	$0 00	$0.00	$0 00	$0 00	$0 00
University of California-Berkeley $200,000 00 *In support of the postdoctoral fellow's salary, fringes and research plan*	$100,000 00	$100.000 00	$0 00	$0 00	$0 00	$0 00	$0 00	$0 00
University of California-Davis $1,670 356 00 *Cortical plasticity within and across lifetimes*	$1,337,677 00	$0 00	$332,679 00	$0 00	$0 00	$0 00	$0.00	$0 00
University of Chicago $200,000 00 *In support of the postdoctoral fellow's salary, fringes and research plan*	$100,000 00	$100,000 00	$0 00	$0 00	$0 00	$0 00	$0 00	$0 00
Total 21st Century Science Initiative-Studying Complex Systems (11 items)	$4,869,347 00	$1,852,729 00	$1,732,679 00	$0 00	$0 00	$0 00	$0 00	$0 00

21st Century Science Initiative-Understanding Human Cognition

Boston University $2,500,000 00	$956.903.00	$421,747 00	$431,705 00	$489.645 00	$200,000 00	$0 00	$0 00	$0 00

Understanding How Elementary Teachers Take Up Discussion Practices to Promote Disciplinary Learning and Equity								
Carnegie Mellon University $2,499,984 00 *ClassInSight Insight on Teacher Learning by Scaffolding Noticing and Reflection*	$1,083,530 00	$445,630 00	$379,352 00	$391.472 00	$200,000 00	$0 00	$0 00	$0 00
Colby College $600,000 00 *Integrating new and prior knowledge in semantic memory*	$500,000 00	$100,000 00	$0 00	$0 00	$0 00	$0 00	$0 00	$0 00
Colgate University $600,000 00 *Understanding early visual codes and their relative contribution to rapid perceptual inference*	$500.000 00	$100.000 00	$0 00	$0 00	$0 00	$0 00	$0 00	$0 00
Columbia University $1,407.908 00 *Probing the overlap between sleep and anesthesia to enhance human cognition (Phase 2)*	$915,392 00	$0 00	$0 00	$0 00	$0.00	$0 00	$0 00	$492,516 00
Ecole Normale Superieure $600,000 00 *Early language acquisition Beyond WEIRD*	$300,000 00	$100,000 00	$100,000 00	$100,000 00	$0 00	$0 00	$0 00	$0 00
Harvard University $1,977,025 00 *The Nature and Origins of the Human Capacity for Abstract Combinatorial Thought*	$1,176,290 00	$452,604 00	$348,131 00	$0 00	$0 00	$0 00	$0 00	$0 00
Icahn School of Medicine at Mount Sinai	$308,898 00	$150,000 00	$141,102 00	$0 00	$0 00	$0 00	$0 00	$0 00

$600,000 00 *Integrative theory of memory and cognitive processes*								
Indiana University $600,000 00 *Using Automated Controlled Rearing to Explore the Origins of the Mind*	$141,025 11	$125,750 00	$108,377 00	$111,082 00	$113,765 89	$0 00	$0 00	$0 00
Indiana University $2,499,999 00 *Teacher Cognition and Learning about Incorporating Science Representations in Elementary Classrooms*	$1,011,783 00	$379,722 00	$450,495 00	$457,999 00	$200,000 00	$0 00	$0 00	$0 00
Indiana University $600,000 00 *Understanding the development of early mathematics knowledge*	$200,000 00	$100,000 00	$100,000 00	$100,000 00	$100,000 00	$0 00	$0 00	$0 00
Iowa State University $4,635,718 00 *Implementing principles from the science of learning within educational practice*	$2,529,683 00	$804,744 00	$524,579 00	$536,555 00	$240,157 00	$0 00	$0 00	$0 00
Koc University $600,000 00 *Event representation and relational language learning*	$200,000 00	$50,000 00	$50,000 00	$100,000 00	$100,000 00	$50,000 00	$0 00	$50,000 00
Ludwig-Maximilians-Universitat in Munich $600,000 00 *The emergence and early development of morality*	$300,000 00	$0 00	$100,000 00	$100,000 00	$100,000 00	$0 00	$0 00	$0 00
Mount Holyoke College $600,000 00 *Exploring auditory imagery through reading*	$500,000 00	$100,000 00	$0 00	$0 00	$0 00	$0 00	$0 00	$0 00

New York University $600,000 00 *Developing representations of the social world*	$300,000 00	$100,000 00	$100,000 00	$100,000 00	$0 00	$0 00	$0 00	$0 00
Northwestern University Evanston Campus $2,497,997 00 *Developing a Model of Teaching Learning to Support Classroom Enactment of Purposeful Sensemaking*	$953,960 00	$473,315 00	$408,427 00	$462,295 00	$200,000 00	$0 00	$0 00	$0 00
Occidental College $600,000 00 *The roles of intuition and reflection in understanding science*	$500,000 00	$100,000 00	$0 00	$0 00	$0 00	$0 00	$0 00	$0 00
Pomona College $600,000 00 *The rational construction of preference*	$400,000 00	$0 00	$200.000 00	$0 00	$0 00	$0 00	$0 00	$0 00
Princeton University $600,000 00 *Does Top-Down Processing Support Infant Development?*	$300,000 00	$100,000 00	$100,000 00	$100,000 00	$0 00	$0 00	$0 00	$0 00
Radboud University Nijmegen $600,000 00 *Integrating evolution, development, and learning in psychological science*	$200,000 00	$100,000 00	$100,000 00	$100,000 00	$100,000 00	$0 00	$0 00	$0 00
University of Michigan-Ann Arbor $2,500,000 00 *Teachers Learning to Facilitate Communication and Reasoning Through Inquiry with History and Social Science Sources*	$1,003.295 00	$406,782 00	$406,755 00	$483.168 00	$200,000 00	$0 00	$0 00	$0 00
University of Michigan-Ann Arbor	$773,431 00	$291,969 00	$303,650 00	$400,602 00	$200,000 00	$0 00	$0 00	$0 00

$1,969,652 00 *Managing students' contributions to mathematical work in whole class discussions in high school How do teachers decide what to do?*								
Rutgers University $600,000 00 *Responding to Imminent Threat A Developmental Approach*	$300,000 00	$100,000 00	$100,000 00	$100,000 00	$0 00	$0 00	$0 00	$0 00
Rutgers University $600,000 00 *Learning in Early Childhood A Computational Cognitive Development Approach*	$150,000 00	$50,000 00	$100,000 00	$100,000 00	$100,000 00	$100.000 00	$0 00	$0 00
Skidmore College $600,000 00 *How does retrieval shape memory? Exploring the retrieval practice effect*	$450,000 00	$150,000 00	$0 00	$0 00	$0 00	$0 00	$0 00	$0 00
Stanford University School of Medicine $600,000 00 *Components and computations for building adaptive cognitive maps of space*	$450,000 00	$150,000 00	$0 00	$0 00	$0 00	$0 00	$0 00	$0 00
Stanford University $600,000 00 *Cognitive Foundations of Distinctively Human Social Learning*	$200,000 00	$100,000 00	$100,000 00	$100,000 00	$100,000 00	$0 00	$0 00	$0 00
Temple University $600,000 00 *Developing Mathematical Skills and Motivation*	$200,000 00	$100,000 00	$100,000 00	$100,000 00	$100,000 00	$0 00	$0 00	$0 00
Temple University $2,464,087 00	$349,727 00	$0 00	$566,440 00	$658,059 00	$544,299 00	$345,562 00	$0 00	$0 00

The Development of Novice Teachers' Role-Identities as Discussion Facilitators in Social Studies Classrooms								
Fundacion Universidad Torcuato Di Tella $600,000 00 *From single decisions to mental programs*	$450,000 00	$150,000 00	$0 00	$0 00	$0 00	$0 00	$0 00	$0 00
University of Arizona $2,500,000 00 *Teachers as Thinkers Improving Classroom Communication in*	$180.542 00	$0 00	$426,651 00	$715.691 00	$727,547 00	$318,097 00	$131,472 00	$0 00
University of California-Berkeley $600,000 00 *Learning to Learn How Polysemy Scaffolds Development*	$200,000 00	$100,000 00	$100,000 00	$100,000 00	$100,000 00	$0 00	$0 00	$0 00
University of California-Davis $2,496,062 00 *New Teachers Learning Disciplined Improvisation for Meaningful Talk in Diverse Classrooms*	$922.462 00	$464.003 00	$405,894 00	$503.703 00	$200,000 00	$0 00	$0 00	$0 00
University of California-Los Angeles $600,000 00 *Understanding the emergence of speech vocalizations in human infancy*	$300,000 00	$100.000 00	$100,000 00	$100.000 00	$0 00	$0 00	$0 00	$0 00
University of Chicago $600,000 00 *A coordination approach to early language learning*	$200,000 00	$100,000 00	$100,000 00	$100,000 00	$100,000 00	$0 00	$0 00	$0 00
University of Colorado at Boulder $2,499,702 00	$299,623 00	$0 00	$632,201 00	$684,611 00	$583,241 00	$300,026 00	$0 00	$0 00

Developing a model of teacher learning to support computationally rich communication in science classrooms								
University of Connecticut $600,000 00 *How the Dynamics of Early Interactions Shape Word Learning*	$150,000 00	$50,000 00	$100,000 00	$100,000 00	$100,000 00	$100,000 00	$0 00	$0 00
University of Illinois at Chicago $2,491,500 00 *How Teachers Learn Orchestrating Disciplinary Discourse in Science, Literature, and Mathematics Classrooms*	$1,144,752 00	$417,663.00	$385,701 00	$343,384 00	$200,000 00	$0 00	$0 00	$0 00
University of Illinois - Urbana/Champaign $600,000 00 *Understanding early language environments Analytic techniques and outcome predictions*	$200,000 00	$100,000 00	$100,000 00	$100,000 00	$100,000 00	$0 00	$0 00	$0 00
University of Oxford $2,356,160 00 *Brain Rhythms in Cognition*	$1,759,078 00	$597,082 00	$0 00	$0 00	$0 00	$0 00	$0 00	$0.00
University of Pennsylvania $2,499,399 00 *Facilitating Digital Discourse Teachers as Learners in a Digital Age*	$496,613 00	$0 00	$507,905 00	$532,602 00	$467,448 00	$494,831 00	$0 00	$0 00
University of Pittsburgh $2,499,651 00 *Teacher Learning to Enact Productive Discussions in Mathematics and Literacy*	$823,397 00	$428,874 00	$468,418 00	$578,962 00	$200,000 00	$0 00	$0 00	$0 00
University of Pittsburgh	$150,000 00	$50,000 00	$100,000 00	$100,000 00	$100,000 00	$100,000 00	$0 00	$0 00

$600,000 00 *Intrinsic and extrinsic influences on young children's mathematical abilities*								
University of Richmond $600,000 00 *Beyond categories Understanding the factors underlying category specificity in the brain*	$500,000 00	$100,000 00	$0 00	$0 00	$0 00	$0 00	$0 00	$0 00
University of Washington $2,496,461 00 *Preparing Teachers to Facilitate Asset-based Science & Literacy Discourse in Dual and Multilingual Elementary Classrooms*	$390,453 00	$0 00	$523,647 00	$538,597 00	$504,276 00	$503,325 00	$36,163 00	$0 00
University of Wisconsin - Madison $2,499,821 00 *Advancing Teachers' Pedagogical Reasoning and Practices with Tools*	$1,020,140 00	$490,450 00	$371,445 00	$417,786 00	$200,000 00	$0 00	$0 00	$0 00
University of Wisconsin - Madison $600,000 00 *The Development of Higher-Order Cognition Words, Categories, and Concepts*	$200,000 00	$100,000 00	$100,000 00	$100,000 00	$100,000 00	$0 00	$0 00	$0 00
University of Wisconsin - Madison $600,000 00 *Theoretical and Pedagogical Implications of the Nonsymbolic Ratio Processing System*	$200,000 00	$100,000 00	$100.000 00	$100,000 00	$100,000 00	$0 00	$0 00	$0 00
Wellesley College $600,000 00	$500,000 00	$100,000 00	$0 00	$0 00	$0 00	$0 00	$0 00	$0 00

Understanding the unique relationship between language and human cognition								
Total 21st Century Science Initiative-Understanding Human Cognition (50 items)	$27,240,977 11	$9,000,335 00	$9,840,875 00	$10,206,213 00	$6,580,733 89	$2,311,841 00	$167,635 00	$542,516 00
Special Interests								
The JSM Charitable Trust $107,429,104 01 *Operating Fund*	$68,269,104 01	$5,643,000 00	$5,650,000 00	$5,000,000 00	$4,900,000 00	$4,750,000 00	$4,600,000 00	$8,617,000 00
Total Special Interests (1 item)	$68,269,104 01	$5,643,000 00	$5,650,000 00	$5,000,000 00	$4,900,000 00	$4,750,000 00	$4,600,000 00	$8,617,000 00
Grand Total (62 items) (62 items)	$100,379,428 12	$16,496,064 00	$17,223,554 00	$15,206,213 00	$11,480,733 89	$7,061,841 00	$4,767,635 00	$9,159,516 00