

For calendar year 2019, or tax year beginning 01-01-2019, and ending 12-31-2019

Name of foundation Caplin Foundation		A Employer identification number 52-6078981	
% Foundation Source			
Number and street (or P.O. box number if mail is not delivered to street address) Foundation Source 501 Silverside Rd	Room/suite	B Telephone number (see instructions) (800) 839-1754	
City or town, state or province, country, and ZIP or foreign postal code Wilmington, DE 198091377		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here..... <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ... <input type="checkbox"/>	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶\$ 9,351,590	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	2,810,782			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	7,999	7,999		
	4 Dividends and interest from securities	75,770	75,770		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	-7,068			
	b Gross sales price for all assets on line 6a 483,822				
	7 Capital gain net income (from Part IV, line 2)		0		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances _____				
Operating and Administrative Expenses	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	11,765			
	12 Total. Add lines 1 through 11	2,899,248	83,769		
	13 Compensation of officers, directors, trustees, etc.	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	500	0	0	500
	c Other professional fees (attach schedule)	16,819	16,819		
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	4,364	268		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	1,569			1,569
	22 Printing and publications				
	23 Other expenses (attach schedule)	24,384	632		23,752
	24 Total operating and administrative expenses. Add lines 13 through 23	47,636	17,719	0	25,821
	25 Contributions, gifts, grants paid	234,350			234,350
	26 Total expenses and disbursements. Add lines 24 and 25	281,986	17,719	0	260,171
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	2,617,262			
	b Net investment income (if negative, enter -0-)		66,050		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	634,978	567,455	567,455
	3 Accounts receivable ▶ <u>3,829</u>			
	Less: allowance for doubtful accounts ▶ _____	4,996	3,829	3,829
	4 Pledges receivable ▶ _____			
	Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____			
	Less: allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	1,056,422	3,742,374	8,780,306
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment: basis ▶ _____			
Less: accumulated depreciation (attach schedule) ▶ _____				
12 Investments—mortgage loans				
13 Investments—other (attach schedule)		0	0	
14 Land, buildings, and equipment: basis ▶ _____				
Less: accumulated depreciation (attach schedule) ▶ _____				
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	1,696,396	4,313,658	9,351,590	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds			
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds	1,696,396	4,313,658	
	29 Total net assets or fund balances (see instructions)	1,696,396	4,313,658	
30 Total liabilities and net assets/fund balances (see instructions) .	1,696,396	4,313,658		

Part III Analysis of Changes in Net Assets or Fund Balances		
1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	1,696,396
2 Enter amount from Part I, line 27a	2	2,617,262
3 Other increases not included in line 2 (itemize) ▶ _____	3	
4 Add lines 1, 2, and 3	4	4,313,658
5 Decreases not included in line 2 (itemize) ▶ _____	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	6	4,313,658

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a Publicly-traded Securities			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 483,822		490,404	-6,582
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			-6,582
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	2	-6,582
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?



Yes



No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	275,945	5,029,728	0.054863
2017	151,420	4,579,590	0.033064
2016	235,739	3,430,922	0.06871
2015	123,730	3,178,074	0.038932
2014	137,872	2,804,812	0.049156

2 Total of line 1, column (d)	2	0.244725
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	0.048945
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	6,851,143
5 Multiply line 4 by line 3	5	335,329
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	661
7 Add lines 5 and 6	7	335,990
8 Enter qualifying distributions from Part XII, line 4	8	260,171

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	1,321
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	1,321
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	1,321
6	Credits/Payments:		
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a	2,000
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	1,059
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	3,059
8	Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached.	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ▶	10	1,738
11	Enter the amount of line 10 to be: Credited to 2020 estimated tax ▶ 1,738 Refunded ▶	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ _____ (2) On foundation managers. ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ DC _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation .</i>	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10	Yes

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► _____	13	Yes	
14	The books are in care of ► Foundation Source _____ Telephone no. ► (800) 839-1754			

Located at ► 501 Silverside Road Suite 123 Wilmington DE ZIP+4 ► 198091377

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here	<input type="checkbox"/>		
	and enter the amount of tax-exempt interest received or accrued during the year	15		
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ► _____			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b	No
	Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/>		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1c	No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	If "Yes," list the years ► 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019.)	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to:		Yes	No
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.		5b	
	Organizations relying on a current notice regarding disaster assistance check here.	<input type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d).	<input type="checkbox"/> Yes <input type="checkbox"/> No		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	6b	No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	7b	
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?			
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Total number of other employees paid over \$50,000.				

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Total number of others receiving over \$50,000 for professional services.		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Organizations and other documents covered/endorsements received/research papers produced, etc.	
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Partnerships and other program-related investments made by the foundation during the tax year or within 2 years after		Amount
1		
2		
All other program-related investments. See instructions.		
3		
Total. Add lines 1 through 3		

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	6,376,329
b	Average of monthly cash balances.	1b	575,176
c	Fair market value of all other assets (see instructions).	1c	3,970
d	Total (add lines 1a, b, and c).	1d	6,955,475
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	6,955,475
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	104,332
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	6,851,143
6	Minimum investment return. Enter 5% of line 5.	6	342,557

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	342,557
2a	Tax on investment income for 2019 from Part VI, line 5.	2a	1,321
b	Income tax for 2019. (This does not include the tax from Part VI.).	2b	1,704
c	Add lines 2a and 2b.	2c	3,025
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	339,532
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	339,532
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	339,532

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	260,171
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	260,171
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	260,171

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				339,532
2 Undistributed income, if any, as of the end of 2019:				
a Enter amount for 2018 only.				
b Total for prior years: 2017, 2016, 2015				
3 Excess distributions carryover, if any, to 2019:				
a From 2014.	3,996			
b From 2015.				
c From 2016.	65,075			
d From 2017.				
e From 2018.	29,500			
f Total of lines 3a through e.	98,571			
4 Qualifying distributions for 2019 from Part XII, line 4: ► \$ <u>260,171</u>				
a Applied to 2018, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2019 distributable amount.				260,171
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2019. (If an amount appears in column (d), the same amount must be shown in column (a).)	79,361			79,361
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	19,210			
b Prior years' undistributed income. Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b. Taxable amount—see instructions.				
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions.				
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions).				
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a.	19,210			
10 Analysis of line 9:				
a Excess from 2015.				
b Excess from 2016.				
c Excess from 2017.				
d Excess from 2018.	19,210			
e Excess from 2019.				

Part XIV

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling.

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(i)(3) or ☐ 4942(j)(5)

		Prior 3 years				(e) Total
		(a) 2019	(b) 2018	(c) 2017	(d) 2016	
2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon:					
a	"Assets" alternative test—enter:					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter:					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

Mortimer Caplin

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			▶ 3a	234,350
b <i>Approved for future payment</i>				
Total			▶ 3b	

Enter gross amounts unless otherwise indicated.

	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	(e) (See instructions.)
1 Program service revenue:					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments.					
3 Interest on savings and temporary cash investments			14	7,999	
4 Dividends and interest from securities.			14	75,770	
5 Net rental income or (loss) from real estate:					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	-7,068	
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a INCOME FROM MINING & LEASEHOLD INTERESTS	211110	10,809			
b Federal Tax Refund			01	956	
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e).		10,809		77,657	
13 Total. Add line 12, columns (b), (d), and (e).					88,466

[illegible]

Part XVII

		Yes	No
--	--	-----	----

--	--	--

1a(1)		No
1a(2)		No

--	--	--

1b(1)	No
--------------	-----------

1b(2)		No
--------------	--	-----------

1b(3)		No
--------------	--	-----------

1b(4)		No
--------------	--	-----------

1b(5)	No
--------------	-----------

1b(6)		No
--------------	--	-----------

1c		No
----	--	----

value
ue


[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations

described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship






Sign Here 	*****	2020-11-11	*****	<div style="border: 1px solid black; padding: 5px;"> May the IRS discuss this return with the preparer shown below (see instr.) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No </div>
	_____ Signature of officer or trustee	_____ Date	_____ Title	

Paid Preparer Use Only	Jeffrey D Haskell				
	Firm's name ► Foundation Source				Firm's EIN ►
	Firm's address ► One Hollow Ln Ste 212 Lake Success, NY 11042				Phone no. (800) 839-1754

May the IRS discuss this return with the preparer shown below

(see instr.) ☒ **Yes** ☐ **No**

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
Catherine Caplin  Foundation Source 501 Silverside Rd Wilmington, DE 198091377	Dir 1.0	0	0	0
Jeremy Caplin  Foundation Source 501 Silverside Rd Wilmington, DE 198091377				
Lee Caplin  Foundation Source 501 Silverside Rd Wilmington, DE 198091377	Dir, VP 1.0	0	0	0
Michael Caplin  Foundation Source 501 Silverside Rd Wilmington, DE 198091377				
Mortimer Caplin  Foundation Source 501 Silverside Rd Wilmington, DE 198091377	Dir, chair 0	0	0	0

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
24TH STREET THEATRE COMPANY 1117 W 24TH ST LOS ANGELES, CA 90007	N/A	PC	General & Unrestricted	1,500
ACTORS STUDIO432 W 44TH ST NEW YORK, NY 10036	N/A	PC	General & Unrestricted	1,000
ADRIENNE ARSHT CENTER FOUNDATION INC 1300 BISCAYNE BLVD MIAMI, FL 33132	N/A	PC	General & Unrestricted	25,000
Total ▶ 3a				234,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
AFRICAN AMERICAN TEACHING FELLOWS OF CHARLOTTESVIL PO BOX 5064 CHARLOTTESVLE, VA 22905	N/A	PC	General & Unrestricted	500
ALBEMARLE COUNTY HISTORICAL SOCIETY INC 200 2ND ST NE CHARLOTTESVLE, VA 22902	N/A	PC	General & Unrestricted	300
ALBEMARLE SOCIETY FOR THE PREVENTION OF CRUELTY TO 3355 BERKMAR DR CHARLOTTESVILLE, VA 22901	N/A	PC	General & Unrestricted	250
Total ▶ 3a				234,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ALL SOULS CHARLOTTESVILLE PO BOX 174 CHARLOTTESVILLE, VA 22902	N/A	PC	Perkins House	1,500
ALLIANCE FOR INTERFAITH MINISTRIES PO BOX 7331 CHARLOTTESVLE, VA 22906	N/A	PC	General & Unrestricted	300
AMAZON CONSERVATION ASSOCIATION 1012 14TH ST NW STE 625 WASHINGTON, DC 20005	N/A	PC	General & Unrestricted	300
Total ▶ 3a				234,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
AMERICAN CIVIL LIBERTIES UNION FOUNDATION OF VIRGI 701 E FRANKLIN ST STE 1412 RICHMOND, VA 23219	N/A	PC	General & Unrestricted	1,000
AMERICAN SHAKESPEARE CENTER 20 S NEW ST 4TH FL STAUNTON, VA 24401	N/A	PC	General & Unrestricted	500
AMERICAN UNIVERSITY-- WAMU 885 4401 CONNECTICUT AVE NW WASHINGTON, DC 20008	N/A	PC	Fall Campaign	2,500
Total ▶ 3a				234,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
AMNESTY INTERNATIONAL OF THE USA INC 5 PENN PLZ 16TH FL NEW YORK, NY 10001	N/A	PC	General & Unrestricted	500
ANTAEUS COMPANY110 E BROADWAY GLENDALE, CA 91205	N/A	PC	General & Unrestricted	1,000
APPALACHIAN VOICES589 W KING ST BOONE, NC 28607	N/A	PC	General & Unrestricted	1,000
Total ▶ 3a				234,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ASSITEJ-USA INCORPORATED 340 E 46TH ST NEW YORK, NY 10017	N/A	PC	General & Unrestricted	1,000
ATHENA THEATRE1585 17TH LN SW VERO BAECH, FL 32962	N/A	PC	Charitable Event	2,500
BELMONT ARTS COLLABORATIVE 221 CARLTON RD STE 3 CHARLOTTESVLE, VA 22902	N/A	PC	General & Unrestricted	1,000
Total ▶ 3a				234,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BIG CAT HABITAT GULF COAST SANCTUARY INC PO BOX 50217 SARASOTA, FL 34232	N/A	PC	General & Unrestricted	500
BLANK THEATRE COMPANYPO BOX 1094 LOS ANGELES, CA 90078	N/A	PC	General & Unrestricted	1,000
BOYS & GIRLS CLUBS OF CENTRAL VIRGINIA PO BOX 707 CHARLOTTESVILLE, VA 22902	N/A	PC	General & Unrestricted	500
Total ▶ 3a				234,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BRAIN INJURY ASSOCIATION OF AMERICA INC 1608 SPRING HILL RD STE 110 VIENNA, VA 22182	N/A	PC	General & Unrestricted	500
BROADWAY OC INCPO BOX 6039 LONG BEACH, CA 90806	N/A	PC	General & Unrestricted	4,500
BURLEY VARSITY CLUB819 HENRY AVE CHARLOTTESVLE, VA 22903	N/A	PC	Refurbishing of the football field	1,000
Total ▶ 3a				234,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BURNON INC1006 E MAIN ST STE 300 VENTURA, CA 93001	N/A	PC	General & Unrestricted	1,000
CABRILLO MUSIC THEATRE INC 2100 E THOUSAND OAKS BLVD STE B THOUSAND OAKS, CA 91362	N/A	PC	General & Unrestricted	1,000
CANCER PATIENTS ALLIANCE 312 1/2 FOUNTAIN AVE PACIFIC GROVE, CA 93950	N/A	PC	To be used in the fight against pancreatic cancer	500
Total ▶ 3a				234,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CAPITAL AREA FOOD BANK 4900 PUERTO RICO AVE NE WASHINGTON, DC 20017	N/A	PC	General & Unrestricted	250
CELEBRATION PRODUCTIONS CORPORATION 1284 HAVENHURST DR APT 101 W HOLLYWOOD, CA 90046	N/A	PC	General & Unrestricted	1,000
CENTER FOR BIOLOGICAL DIVERSITY INC PO BOX 710 TUCSON, AZ 85702	N/A	PC	General & Unrestricted	750
Total ▶ 3a				234,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CENTER FOR NONPROFIT EXCELLENCE 1701-A ALLIED ST CHARLOTTESVILLE, VA 22903	N/A	PC	General & Unrestricted	5,000
CENTRAL ASIA INSTITUTEPO BOX 7209 BOZEMAN, MT 59771	N/A	PC	General & Unrestricted	250
CHAPMAN GROVE BAPTIST CHURCH 2064 STONY POINT RD CHARLOTTESVILLE, VA 22911	N/A	PC	General & Unrestricted	300
Total ▶ 3a				234,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CHARLOTTESVILLE AREA COMMUNITY FOUNDATION PO BOX 1767 CHARLOTTESVLE, VA 22902	N/A	PC	Emily Couric Leadership Forum	300
CHARLOTTESVILLE AREA COMMUNITY FOUNDATION PO BOX 1767 CHARLOTTESVLE, VA 22902	N/A	PC	The Grove Project in McIntire Park	500
CHARLOTTESVILLE AREA TREE STEWARDS PO BOX 4211 CHARLOTTESVLE, VA 22905	N/A	PC	General & Unrestricted	300
Total ▶ 3a				234,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CHARLOTTESVILLE FREE CLINIC 1138 ROSE HILL DR STE 200 CHARLOTTESVLE, VA 22903	N/A	PC	General & Unrestricted	750
CHARLOTTESVILLE OPERAPO BOX 2498 CHARLOTTESVLE, VA 22902	N/A	PC	General & Unrestricted	500
CHARLOTTESVILLE TOMORROW PO BOX 1591 CHARLOTTESVILLE, VA 22902	N/A	PC	General & Unrestricted	250
Total ▶ 3a				234,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CHRIST THE BREAD OF LIFE CATHOLIC CHURCH 321 CIRCULAR AVE HAMDEN, CT 06514	N/A	PC	St. Ann's Soup Kitchen	300
COEURAGE THEATRE1425 N OGDEN DR LOS ANGELES, CA 90046	N/A	PC	General & Unrestricted	1,000
COMMON GROUND HEALING ARTS INC 233 4TH ST NW STE C CHARLOTTESVLE, VA 22903	N/A	PC	Thrive Enterprises, Touch of Peace Program	300
Total ▶ 3a				234,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CONGREGATION BETH ISRAEL PO BOX 320 CHARLOTTESVILLE, VA 22902	N/A	PC	2019 Commitment to the Temple	3,475
CONSERVATION FOUNDATION OF THE GULF COAST INC PO BOX 902 OSPREY, FL 34229	N/A	PC	Towards the purchase of the Celery Fields area property	1,000
CRYSTAL CATHEDRAL CHURCH OF CHRIST PO BOX 53 DILLWYN, VA 23936	N/A	PC	for the installation of new carpeting/padding in the Christian Education Center	400
Total ▶ 3a				234,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CURESEARCH FOR CHILDRENS CANCER 4600 E W HWY BETHESDA, MD 20814	N/A	PC	General & Unrestricted	500
DANCE KIDS INCPO BOX 6225 CARMEL, CA 93921	N/A	PC	General & Unrestricted	1,500
DEAF WEST THEATRE COMPANY INC 10563 AMBERWOOD LN NORTHRIDGE, CA 91326	N/A	PC	General & Unrestricted	1,000
Total ▶ 3a				234,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
DOGS DESERVE BETTER INC 1915 MOONLIGHT RD SMITHFIELD, VA 23430	N/A	PC	Operation on Danny Boy the dog	300
DOGWOOD VIETNAM MEMORIAL FOUNDATION PO BOX 8154 CHARLOTTESVILLE, VA 22906	N/A	PC	For the possible purchase of new flags, or other enhancements to the memorial itself	1,000
DUKE UNIVERSITYPO BOX 90600 DURHAM, NC 27708	N/A	PC	Iron Dukes Varsity Fencing Club Fund	5,000
Total ▶ 3a				234,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
DUKE UNIVERSITYPO BOX 90581 DURHAM, NC 27708	N/A	PC	for the "Duke Lemur Center", the "Sarah Duke Gardens", and the "Duke Evensong Choir" led by Kit Jacobson	1,500
EARTH UNIVERSITY FOUNDATION 151 ELLIS ST NE FL 1 STE 133 ATLANTA, GA 30303	N/A	PC	General & Unrestricted	1,000
EAST-WEST PLAYERS INC 120 JUDGE JOHN AISO ST LOS ANGELES, CA 90012	N/A	PC	General & Unrestricted	1,000
Total ▶ 3a				234,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ENVIRONMENTAL DEFENSE FUND INCORPORATED 1875 CONNECTICUT AVE NW STE 600 WASHINGTON, DC 20009	N/A	PC	General & Unrestricted	500
FLORIDA INTERNATIONAL UNIVERSITY FOUNDATION INC 11200 SW 8TH ST MARC 531 MIAMI, FL 33199	N/A	PC	CARTA iSTAR	20,000
FLORIDA STUDIO THEATRE INC 1241 N PALM AVE SARASOTA, FL 34236	N/A	PC	General & Unrestricted	500
Total ▶ 3a				234,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FOOTHILLS CHILD ADVOCACY CENTER 1106 E HIGH ST STE 100 CHARLOTTESVLE, VA 22902	N/A	PC	General & Unrestricted	500
FOUNTAIN THEATRE 5060 FOUNTAIN AVE LOS ANGELES, CA 90029	N/A	PC	General & Unrestricted	1,000
FOUR COUNTY PLAYERS INCPO BOX 1 BARBOURSVILLE, VA 22923	N/A	PC	Build a Backstage Campaign	1,000
Total ▶ 3a				234,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FREE UNION COUNTRY SCHOOL INC PO BOX 250 FREE UNION, VA 22940	N/A	PC	General & Unrestricted	500
FRIENDS OF THE NATIONAL ZOO PO BOX 37012 MRC 5516 WASHINGTON, DC 20013	N/A	PC	General & Unrestricted	3,500
FRIENDS OF THE NATIONAL ZOO PO BOX 37012 MRC 5516 WASHINGTON, DC 20013	N/A	PC	Mobile Vet Unit	250
Total ▶ 3a				234,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FRIENDS OF THE OSA 1012 14TH ST NW STE 625 WASHINGTON, DC 20005	N/A	PC	General & Unrestricted	500
FRIENDS OF THE PASADENA PLAYHOUSE 600 PLAYHOUSE ALLEY PASADENA, CA 91101	N/A	PC	General & Unrestricted	1,000
FRONT PORCH CVILLE221 E WATER ST CHARLOTTESVLE, VA 22902	N/A	PC	General & Unrestricted	500
Total ▶ 3a				234,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
GEORGE WASHINGTON UNIVERSITY 2033 K ST STE 300 WASHINGTON, DC 20052	N/A	PC	General & Unrestricted	5,000
GRACKLE ART GALLERY INC 4621 EL CAMPO AVE FORT WORTH, TX 76107	N/A	PC	General & Unrestricted	300
GREATER WASHINGTON EDUCATIONAL TELECOMMUNICATIONS 3939 CAMPBELL AVE ARLINGTON, VA 22206	N/A	PC	General & Unrestricted	500
Total ▶ 3a				234,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
GREENSBORO OPERA COMPANY 200 N DAVIE ST STE 17 GREENSBORO, NC 27401	N/A	PC	General & Unrestricted	500
GREENWOOD80 ACADEMY ST SOUTH BERWICK, ME 03908	N/A	PC	General & Unrestricted	2,500
HIAS INC411 5TH AVE 10TH FL NEW YORK, NY 10016	N/A	PC	General & Unrestricted	250
Total ▶ 3a				234,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
HIGHWOOD THEATRE INC 1434 HIGHWOOD DR MCLEAN, VA 22101	N/A	PC	General & Unrestricted	1,500
HILLEL THE FOUNDATION FOR JEWISH CAMPUS LIFE - HIL 1824 UNIVERSITY CIR CHARLOTTESVILLE, VA 22903	N/A	PC	General & Unrestricted	500
HISTORICAL SOCIETY OF SARASOTA COUNTY PO BOX 1632 SARASOTA, FL 34230	N/A	PC	General & Unrestricted	300
Total ▶ 3a				234,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
HOUSE OF RUTH5 THOMAS CIR NW WASHINGTON, DC 20005	N/A	PC	General & Unrestricted	250
INLAND VALLEY REPERTORY THEATRE INC PO BOX 7743 LA VERNE, CA 91750	N/A	PC	General & Unrestricted	1,500
INTERACT THEATRE COMPANY 11333 MOORPARK ST PMB 391 NORTH HOLLYWOOD, CA 91602	N/A	PC	General & Unrestricted	1,000
Total ▶ 3a				234,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
INTERNATIONAL CITY THEATRE 67 LONG BEACH BLVD LONG BEACH, CA 90802	N/A	PC	General & Unrestricted	1,500
ISLAND PRESS 700 12TH ST NW STE 700 PMB 95497 WASHINGTON, DC 20005	N/A	PC	General & Unrestricted	500
JABBERWOCKY THEATRE COMPANY 12405 VENICE BLVD 153 LOS ANGELES, CA 90066	N/A	PC	General & Unrestricted	1,000
Total ▶ 3a				234,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
JEFFERSON SCHOOL AFRICAN AMERICAN HERITAGE CENTER 233 4TH ST NW CHARLOTTESVILLE, VA 22903	N/A	PC	General & Unrestricted	500
KIND INC1201 L ST NW FL 2 WASHINGTON, DC 20005	N/A	PC	General & Unrestricted	500
LEGAL AID JUSTICE CENTER 1000 PRESTON AVE CHARLOTTESVILLE, VA 22903	N/A	PC	To help support repainting of the building's exterior	1,500
Total ▶ 3a				234,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
LOAVES & FISHES FOOD PANTRY INC 2050 LAMBS RD CHARLOTTESVLE, VA 22901	N/A	PC	General & Unrestricted	300
LYMELIGHT FOUNDATION INC 1229 BURLINGAME AVE STE 205 BURLINGAME, CA 94010	N/A	PC	General & Unrestricted	2,000
LYMPHOMA RESEARCH FOUNDATION WALL ST PLZ 88 PINE ST NEW YORK, NY 10005	N/A	PC	General & Unrestricted	500
Total ▶ 3a				234,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MCINTIRE BOTANICAL GARDEN 200 GARRETT ST STE H CHARLOTTESVLE, VA 22902	N/A	PC	General & Unrestricted	200
MCLEAN COMMUNITY FOUNDATION PO BOX 75 MCLEAN, VA 22101	N/A	SO I	General & Unrestricted	150
MEDECINS SANS FRONTIERES USA INC - DOCTORS WITHOUT PO BOX 5030 HAGERSTOWN, MD 21741	N/A	PC	General & Unrestricted	750
Total ► 3a				234,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MEDIA MATTERS FOR AMERICA PO BOX 52155 WASHINGTON, DC 20091	N/A	PC	General & Unrestricted	250
MIDDLE OAK BAPTIST CHURCH 4735 PRESIDENTS RD SCOTTSVILLE, VA 24590	N/A	PC	General & Unrestricted	1,000
MPT FOUNDATION INC 11767 OWINGS MILLS BLVD OWINGS MILLS, MD 21117	N/A	PC	General & Unrestricted	250
Total ▶ 3a				234,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
MUSICAL THEATRE GUILD 13333 VENTURA BLVD STE 201 SHERMAN OAKS, CA 91423	N/A	PC	General & Unrestricted	500
MUSICAL THEATRE WEST 4350 E 7TH ST LONG BEACH, CA 90804	N/A	PC	General & Unrestricted	1,000
NATIONAL ALLIANCE FOR RESEARCH ON SCHIZOPHRENIA AN 747 3RD AVE RM 33C NEW YORK, NY 10017	N/A	PC	General & Unrestricted	250
Total ▶ 3a				234,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NATIONAL WORLD WAR II MUSEUM INC 945 MAGAZINE ST NEW ORLEANS, LA 70130	N/A	PC	General & Unrestricted	2,000
NATURAL RESOURCES DEFENSE COUNCIL INC 40 W 20TH ST 11TH FL NEW YORK, NY 10011	N/A	PC	General & Unrestricted	1,000
NATURE CONSERVANCY 4245 FAIRFAX DR STE 100 ARLINGTON, VA 22203	N/A	PC	General & Unrestricted	500
Total ▶ 3a				234,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NEW MUSICALS INC 5628 VINELAND AVE N HOLLYWOOD, CA 91601	N/A	PC	General & Unrestricted	1,500
NEW VICTORY THEATRE 3326 W VICTORY BLVD BURBANK, CA 91505	N/A	PC	General & Unrestricted	2,000
NORTH COAST REPERTORY THEATRE A NON PROFIT CORPORA 987 LOMAS SANTA FE DR STE D SOLANA BEACH, CA 92075	N/A	PC	General & Unrestricted	1,000
Total ▶ 3a				234,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
OCEAN CONSERVANCY INC 1300 19TH ST NW 8TH FL WASHINGTON, DC 20036	N/A	PC	General & Unrestricted	250
OCEANA INC 1025 CONNECTICUT AVE NW STE 200 WASHINGTON, DC 20036	N/A	PC	General & Unrestricted	1,000
OGLALA LAKOTA COLLEGEPO BOX 490 KYLE, SD 57752	N/A	PC	General & Unrestricted	3,000
Total ▶ 3a				234,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
PACIFICA FOUNDATION INC -WPFW 1990 K ST NW STE 14R WASHINGTON, DC 20006	N/A	PC	General & Unrestricted	500
PALESTINE CHILDRENS RELIEF FUND PO BOX 1926 KENT, OH 44240	N/A	PC	General & Unrestricted	350
PARTNERS IN HEALTH A NONPROFIT CORPORATION PO BOX 996 FREDERICK, MD 21705	N/A	PC	General & Unrestricted	1,000
Total ▶ 3a				234,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
PENCILS OF PROMISE INCORPORATED 37 W 28TH ST 3RD FL NEW YORK, NY 10001	N/A	PC	General & Unrestricted	350
PHILANTHROPIC VENTURES FOUNDATION 1222 PRESERVATION PARK WAY OAKLAND, CA 94612	N/A	PC	General & Unrestricted	275
PIEDMONT COURT APPOINTED SPECIAL ADVOCATES INC 818 E HIGH ST CHARLOTTESVILLE, VA 22902	N/A	PC	General & Unrestricted	250
Total ▶ 3a				234,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
PIEDMONT ENVIRONMENTAL COUNCIL 45 HORNER ST WARRENTON, VA 20186	N/A	PC	General & Unrestricted	1,000
PILGRIM BAPTIST CHURCH 211 ALBEMARLE ST CHARLOTTESVILLE, VA 22903	N/A	PC	General & Unrestricted	500
PLANNED PARENTHOOD GLOBAL INC 123 WILLIAM ST FL 10 NEW YORK, NY 10038	N/A	SO I	General & Unrestricted	2,500
Total ▶ 3a				234,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
PLANNED PARENTHOOD SOUTH ATLANTIC 100 S BOYLAN AVE RALEIGH, NC 27603	N/A	PC	General & Unrestricted	500
PLAYWRIGHTS ARENA514 S SPRING ST LOS ANGELES, CA 90013	N/A	PC	General & Unrestricted	1,500
PLEASANT GROVE BAPTIST CHURCH PO BOX 751 EARLYSVILLE, VA 22936	N/A	PC	General & Unrestricted	1,000
Total ▶ 3a				234,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
PRESERVATION PIEDMONT 1029 HAZEL ST CHARLOTTESVLE, VA 22902	N/A	PC	General & Unrestricted	600
PRESERVERS OF THE DAUGHTERS OF ZION CEMETERY 201 6TH ST NW CHARLOTTESVILLE, VA 22903	N/A	PC	General & Unrestricted	300
PRO PUBLICA INC 155 AVE OF THE AMERICAS 13TH FL NEW YORK, NY 10013	N/A	PC	General & Unrestricted	500
Total ▶ 3a				234,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
PROJECT A W A R E FOUNDATION 30151 TOMAS STE 200 RANCHO SANTA MARGARITA, CA 92688	N/A	PC	General & Unrestricted	500
PROTECT DEMOCRACY PROJECT 2020 PENNSYLVANIA AVE NW 163 WASHINGTON, DC 20006	N/A	PC	General & Unrestricted	500
RAMPART THEATER PROJECT INC 2220 BEVERLY BLVD LOS ANGELES, CA 90057	N/A	PC	General & Unrestricted	1,000
Total ▶ 3a				234,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
RANCHO CUCAMONGA COMMUNITY & ARTS FOUNDATION PO BOX 807 RCH CUCAMONGA, CA 91729	N/A	PC	Main Street Theatre Programming	1,000
READYKIDS INC1000 E HIGH ST CHARLOTTESVILLE, VA 22902	N/A	PC	General & Unrestricted	1,000
READYKIDS INC1000 E HIGH ST CHARLOTTESVILLE, VA 22902	N/A	PC	For improvements to the garden adjacent to City of Promise on 8th Street NW in Charlottesville Project	1,000
Total ▶ 3a				234,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
RECTOR & VISITORS OF THE UNIVERSITY OF VIRGINIA - PO BOX 400869 CHARLOTTESVILLE, VA 22904	N/A	PC	Virginia Film Festival	2,500
RICHMOND FENCING CLUB 3411 1/2 CARLTON ST RICHMOND, VA 23230	N/A	PC	General & Unrestricted	1,000
RIVANNA CONSERVATION ALLIANCE PO BOX 1503 CHARLOTTESVILLE, VA 22902	N/A	PC	General & Unrestricted	500
Total ▶ 3a				234,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ROCKFISH WILDLIFE SANCTUARY INC 5450 WHEELERS COVE RD SHIPMAN, VA 22971	N/A	PC	General & Unrestricted	300
SAFE CHILDREN FOUNDATION PO BOX 148 FAIRFAX, VA 22038	N/A	PC	General & Unrestricted	500
SAMARITANSPO BOX 576 HORN LAKE, MS 38637	N/A	PC	General & Unrestricted	350
Total ▶ 3a				234,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SAN DIEGO MUSICAL THEATRE 4650 MERCURY ST SAN DIEGO, CA 92111	N/A	PC	General & Unrestricted	1,000
SARASOTA ALLIANCE FOR HISTORIC PRESERVATION INC PO BOX 1754 SARASOTA, FL 34230	N/A	PC	General & Unrestricted	300
SEED FOUNDATION INC 1730 RHODE ISLAND AVE NW STE 1102 WASHINGTON, DC 20036	N/A	PC	General & Unrestricted	500
Total ▶ 3a				234,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SHARE OUR STRENGTH 1030 15TH ST NW STE 1100W WASHINGTON, DC 20005	N/A	PC	General & Unrestricted	1,000
SIDWELL FRIENDS SCHOOL 3825 WISCONSIN AVE NW WASHINGTON, DC 20016	N/A	PC	Maintenance or equipment for the Mary Ellen Caplin Theatre	2,500
SIDWELL FRIENDS SCHOOL 3825 WISCONSIN AVE NW WASHINGTON, DC 20016	N/A	PC	maintenance or equipment for the Mary Ellen Caplin Theatre	2,000
Total ▶ 3a				234,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SIERRA MADRE PLAYHOUSEPO BOX 123 SIERRA MADRE, CA 91025	N/A	PC	General & Unrestricted	2,000
SKYLIGHT THEATRE COMPANY 1816 1/2 N VERMONT AVE LOS ANGELES, CA 90027	N/A	PC	General & Unrestricted	1,000
SOME INC71 O ST NW WASHINGTON, DC 20001	N/A	PC	General & Unrestricted	500
Total ▶ 3a				234,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SOUTH FLORIDA WILDLANDS ASSOCIATION INC PO BOX 30211 FT LAUDERDALE, FL 33303	N/A	PC	To fight against the Heartland Parkway horror	500
SOUTHERN ENVIRONMENTAL LAW CENTER 201 W MAIN ST STE 14 CHARLOTTESVILLE, VA 22902	N/A	PC	General & Unrestricted	5,000
SOUTHERN POVERTY LAW CENTER INC 400 WASHINGTON AVE MONTGOMERY, AL 36104	N/A	PC	General & Unrestricted	500
Total ▶ 3a				234,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
TANDEM FRIENDS SCHOOL 279 TANDEM LN CHARLOTTESVLE, VA 22902	N/A	PC	General & Unrestricted	3,000
THE CHANCE THEATER 5522 E LA PALMA AVE ANAHEIM, CA 92807	N/A	PC	General & Unrestricted	1,500
THE DANCE RESOURCE CENTER OF GREATER LOS ANGELES 1976 S LA CIENEGA BLVD C216 LOS ANGELES, CA 90034	N/A	PC	Performing Arts For All	1,000
Total ▶ 3a				234,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE EDGAR AND ELEANOR SHANNON FDN FOR EXCELLENCE I 1368 REAS FORD RD EARLYSVILLE, VA 22936	N/A	PC	General & Unrestricted	1,000
THE LAGUNA PLAYHOUSE 606 LAGUNA CANYON RD LAGUNA BEACH, CA 92651	N/A	PC	General & Unrestricted	1,000
THE PARAMOUNT THEATER INC 215 E MAIN ST CHARLOTTESVLE, VA 22902	N/A	PC	Grand Marquee	2,500
Total ▶ 3a				234,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE VIRGINIA CONSORT 1224 COURTYARD DR CHARLOTTESVLE, VA 22903	N/A	PC	General & Unrestricted	800
THEATRE AT BOSTON COURT PO BOX 60187 PASADENA, CA 91116	N/A	PC	General & Unrestricted	1,500
THOMAS JEFFERSON FOUNDATION INC PO BOX 217 CHARLOTTESVILLE, VA 22902	N/A	PC	Charitable Event	5,000
Total ▶ 3a				234,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
UNION OF CONCERNED SCIENTISTS INC 2 BRATTLE SQ STE 6 CAMBRIDGE, MA 02138	N/A	PC	General & Unrestricted	250
UNION RIDGE BAPTIST CHURCH 2980 HYDRAULIC RD CHARLOTTESVILLE, VA 22901	N/A	PC	General & Unrestricted	1,000
UNITED PRESBYTERIAN CHURCH IN THE USA - FIRST PRES 1760 N GOWER ST LOS ANGELES, CA 90028	N/A	PC	General & Unrestricted	1,000
Total ▶ 3a				234,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
UNIVERSITY OF VIRGINIA PO BOX 400204 CHARLOTTESVILLE, VA 22904	N/A	PC	Department Of Drama: Ruth Caplin Award In Dance	5,000
UNIVERSITY OF VIRGINIA PO BOX 400204 CHARLOTTESVILLE, VA 22904	N/A	PC	UVA Fund for the Arts - Caplin Guest Artist Endowment fund	5,000
UNIVERSITY OF VIRGINIA ANNUAL FUND PO BOX 400807 CHARLOTTESVILLE, VA 22904	N/A	PC	Charitable Event	1,000
Total ▶ 3a				234,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
UNIVERSITY OF VIRGINIA LAW SCHOOL FOUNDATION 580 MASSIE RD CHARLOTTESVILLE, VA 22903	N/A	PC	General & Unrestricted	1,000
UNIVERSITY OF VIRGINIA LAW SCHOOL FOUNDATION 580 MASSIE RD CHARLOTTESVILLE, VA 22903	N/A	PC	Caplin Center for Public Service	5,000
URBANITE THEATRE INC1487 2ND ST SARASOTA, FL 34236	N/A	PC	General & Unrestricted	300
Total ▶ 3a				234,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
VICTORY HALL OPERAPO BOX 72 CHARLOTTESVLE, VA 22902	N/A	PC	General & Unrestricted	300
VIRGINIA INSTITUTE OF AUTISM INCORPORATED 943 GLENWOOD STA LN CHARLOTTESVILLE, VA 22901	N/A	PC	General & Unrestricted	350
VIRGINIA OUTDOORS FOUNDATION 39 GARRETT ST STE 200 WARRENTON, VA 20186	N/A	GOV	General & Unrestricted	500
Total ▶ 3a				234,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
VIRGINIA STUDENT AID FOUNDATION - VIRGINIA ATHLETI PO BOX 400833 CHARLOTTESVILLE, VA 22904	N/A	PC	Annual Gift	2,500
VIRGINIA STUDENT AID FOUNDATION - VIRGINIA ATHLETI PO BOX 400833 CHARLOTTESVILLE, VA 22904	N/A	PC	Department of Athletics	1,000
VOLUNTEERS IN PSYCHOTHERAPY INC 7 S MAIN ST W HARTFORD, CT 06107	N/A	PC	General & Unrestricted	500
Total ▶ 3a				234,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
WAKE FOREST BAPTIST CHURCH 3075 ROLLING RD SCOTTSVILLE, VA 24590	N/A	PC	General & Unrestricted	1,000
WEDNESDAY MUSIC CLUBPO BOX 7642 CHARLOTTESVLE, VA 22906	N/A	PC	General & Unrestricted	300
WESTMINSTER PRESBYTERIAN CHURCH 400 RUGBY RD CHARLOTTESVILLE, VA 22903	N/A	PC	General & Unrestricted	1,000
Total ▶ 3a				234,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
WILDLIFE CENTER OF VIRGINIA PO BOX 1557 WAYNESBORO, VA 22980	N/A	PC	General & Unrestricted	300
WILLIAMS COLLEGE75 PARK ST WILLIAMSTOWN, MA 01267	N/A	PC	General & Unrestricted	250
WOMENS REFUGEE COMMISSION INC 15 W 37TH ST NO 9TH FL NEW YORK, NY 10018	N/A	PC	General & Unrestricted	300
Total ▶ 3a				234,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
WORLD WILDLIFE FUND INC 1250 24TH ST NW WASHINGTON, DC 20037	N/A	PC	General & Unrestricted	500
ZEPHYRUS1702 KING MOUNTAIN RD CHARLOTTESVLE, VA 22901	N/A	PC	General & Unrestricted	300
Total ▶ 3a				234,350

TY 2019 Accounting Fees Schedule**Name:** Caplin Foundation**EIN:** 52-6078981

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
General Consultations	500			500

TY 2019 Compensation Explanation

Name: Caplin Foundation
EIN: 52-6078981

Person Name	Explanation
Mortimer Caplin	* deceased in 2019

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2019 Depreciation Schedule

Name: Caplin Foundation

EIN: 52-6078981

TY 2019 Investments Corporate Stock Schedule

Name: Caplin Foundation
 EIN: 52-6078981

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
AIA GROUP LTD ADR	6,570	7,239
ALCON INC	7,499	7,241
ALIBABA GROUP HOLDING LTD	10,632	12,726
ALLIANZ SE ADR	9,416	10,023
ALTRIA GROUP INC	26,839	30,545
AMADEUS IT HLDS SA	7,398	8,150
AMBEV SA	8,618	8,654
AMCOR LTD ORD SHS	5,140	5,691
APPLE INC	85,270	116,873
BANCO BRADESCO S.A. SPONS ADR	5,296	5,540
BEIERSDORF AG ADR EACH REPR 0.	4,959	4,802
BERKSHIRE HATHAWAY INC. CLASS	67,029	72,480
BLACKROCK INC	49,622	58,313
BNS CAP 19.83% SV 100	220,769	229,159
BNS CAP 99.83% SV 27,14	114,629	115,111
BRITISH AMERICAN TOBACCO PLC	7,274	8,662
BROADCOM INC	9,402	10,113
CALRSBERG AS SP ADR REP B	8,893	8,818
CANADIAN NATL RAILWAY CO	9,051	8,864
CARNIVAL CORP	60,055	62,419
CGI INC	13,056	13,812
CHEVRON CORP	35,021	34,707
CINCINNATI FINANCIAL CORP	46,357	43,427
CISCO SYSTEMS INC	58,380	57,552
COMPAGNIE FINANCIERE RICHEMONT	5,147	5,013
COMPASS GROUP PLC ADR	7,662	7,525
CRITEO SA	3,454	3,154
CROWN CASTLE INTL	53,452	54,159
CSL LTD	3,836	4,571
DANAHER CORP	17,254	3,888,263

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
DELTA AIR LINES INC	51,677	51,872
DEUTSCHE BOERSE ADR	6,024	6,232
DIAGEO PLC ADS	54,685	56,084
DOMINION ENERGY INC	53,167	57,394
EATON VANCE FLOATING RATE FUND	48,250	47,528
ESSILOR INTL ADR	4,847	5,055
FANUC LIMITED UNSPONSORED	4,756	4,973
FASTENAL COMPANY	51,923	59,563
FLUTTER ENTMT PLC ADR	7,084	10,010
FOMENTO ECONOMICO MEXICANO	6,344	6,427
FORTIVE CORPORATION	5,370	967,709
FRANKLIN RES INC	24,645	22,681
FRANKLIN STREET PROPERTIES COR	89,984	147,985
GRUPO AEROPORTUARIO DEL ADR	3,939	4,863
HOYA CORP SPONS ADR	10,830	12,436
ICON PLC - AMERICAN DEPOSITARY	4,657	5,339
INFORMA PLC SPONSORED ADR	8,609	9,278
ING GROUP N V SPONSORED ADR	6,447	7,579
INTEL CORP	51,746	60,329
ISHARES RUSSELL 2000	51,933	57,156
JOHNSON & JOHNSON	48,747	55,576
JP MORGAN STRATEGIC INCOME OPP	63,343	61,496
JULIUS BAER GROUP LTD	3,493	4,207
KAO CORP	4,360	4,991
KINDER MORGAN INC	24,957	26,484
KOITO MANUFACTURING	5,607	4,972
KOMATSU LTD	5,390	5,794
KUENE & NAGEL INTL AG ADR	8,008	8,929
LAS VEGAS SANDS CORP	6,853	8,216
LORD ABBETT FLOATING RATE F	716,692	702,359

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
LORD ABBETT INVESTMENT TRUST S	354,871	354,031
LOWES COMPANIES INC	55,620	58,203
MEDIOBANCA SPA	6,969	7,271
MERCK & CO INC	57,401	64,756
MICROSOFT CORP	47,495	55,195
MONDELEZ INTERNATIONAL INC	8,446	8,317
MSCI STEPUP 35% 4/28/2023	53,650	54,723
NESTLE S.A	36,934	36,484
NEW ORIENTAL ED & TECHNOLOGY G	4,612	4,971
NORFOLK SOUTHERN CORP	55,084	60,180
NOVARTIS AG ADR	7,505	7,954
NUTRIEN LTD	6,629	6,180
OPEN TEXT CORP	10,281	10,973
PACCAR INC	29,515	32,827
PAYCHEX	43,123	45,762
PERNOD-RECARD SA	6,310	6,074
PFIZER INC	52,699	56,027
PHILIP MORRIS INTL	29,127	33,781
PIMCO UNCONSTRAINED BOND FUND	34,455	32,818
PT BK MANDIR PRS UNSP/ADR	9,084	10,123
RECKITT BENCKISER GROUP	5,507	5,779
RELX PLC	10,405	11,018
SAP AKTIENGESELL ADS	13,399	14,605
SCHNEIDER ELEC UNSP/ADR	8,004	9,506
SMC CORPORATION	6,524	7,085
SUNCOR ENERGY INC	6,886	7,511
TAIWAN SEMICONDUCTOR MFG CO LT	9,507	12,608
TARGET CORPORATION	38,605	45,899
TECHNIPFMC PLC	7,738	6,539
TEMPLETON GLOBAL BD	39,992	33,277

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
TEXAS INSTRUMENTS INC	57,447	58,500
THE COCA-COLA CO	29,834	30,498
UNITED OVRSEAS BK LTD ADR	7,010	7,431
UNITED PARCEL SERVICE	50,959	49,048
VERIZON COMMUNICATIONS	42,574	44,085
VINCI SA ADR	9,079	9,256
VIVENDI SA	7,972	8,338
WELLS FARGO & CO	57,182	63,430
WOLTERS KLUWER S/ADR	12,047	12,086
YUM CHINA HOLDINGS INC	9,546	10,034

TY 2019 Investments - Other Schedule

Name: Caplin Foundation

EIN: 52-6078981

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
MINERAL RIGHTS		0	0

TY 2019 Other Expenses Schedule**Name:** Caplin Foundation**EIN:** 52-6078981**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Administrative Fees	22,849			22,849
Bank Charges	632	632		
REGISTERED AGENT FEES	733			733
State or Local Filing Fees	170			170

TY 2019 Other Income Schedule**Name:** Caplin Foundation**EIN:** 52-6078981**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Federal Tax Refund	956		
INCOME FROM MINING & LEASEHOLD INTERESTS	10,809		

TY 2019 Other Professional Fees Schedule**Name:** Caplin Foundation**EIN:** 52-6078981

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Investment Management Services	16,819	16,819		

**TY 2019 Substantial Contributors
Schedule****Name:** Caplin Foundation**EIN:** 52-6078981**Name****Address**

Estate of Mortimer M Caplin

317 Rivanwood Pl
Charlottesville, VA 22901

TY 2019 Taxes Schedule**Name:** Caplin Foundation**EIN:** 52-6078981

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
990-PF Estimated Tax for 2019	1,000			
990-T EXTENSION FOR 2018	2,300			
Foreign Tax Paid	268	268		
STATE INCOME TAX 2018	796			

Schedule B (Form 990, 990-EZ, or 990-PF) <small>Department of the Treasury Internal Revenue Service</small>	Schedule of Contributors ▶ Attach to Form 990, 990-EZ, or 990-PF. ▶ Go to www.irs.gov/Form990 for the latest information.	OMB No. 1545-0047
		2019
Name of the organization Caplin Foundation		Employer identification number 52-6078981

Organization type (check one):

Filers of:	Section:
Form 990 or 990-EZ	<input type="checkbox"/> 501(c)() (enter number) organization
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust not treated as a private foundation
	<input type="checkbox"/> 527 political organization
Form 990-PF	<input checked="" type="checkbox"/> 501(c)(3) exempt private foundation
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation
	<input type="checkbox"/> 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization
Caplin Foundation

Employer identification number
52-6078981

Part I

Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Estate of Mortimer M Caplin 317 Rivanwood Pl Charlottesville, VA 22901	\$ 2,810,782	<input checked="" type="checkbox"/> Person <input type="checkbox"/> Payroll <input checked="" type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)

Name of organization Caplin Foundation	Employer identification number 52-6078981
---	--

Part II **Noncash Property** (see Instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
—	See Additional Data Table	\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
—		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
—		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
—		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
—		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
—		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
—		\$	

Name of organization Caplin Foundation	Employer identification number 52-6078981
---	--

Part III

Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$ _____**

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	

Additional Data

Software ID:
Software Version:
EIN: 52-6078981
Name: Caplin Foundation

Form 990 Schedule B, Part II - Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
<u>1</u>	LORD ABBETT SHORT DURATION INCOME FUND LALDX, 84092.862 sh.	<u>\$ 354,872</u>	<u>2019-09-06</u>
<u>1</u>	ISHARES RUSSELL 2000 IWM, 345 sh.	<u>\$ 51,933</u>	<u>2019-09-06</u>
<u>1</u>	LORD ABBETT FLOATING RATE F LFRFX, 43380.228 sh.	<u>\$ 382,614</u>	<u>2019-09-06</u>
<u>1</u>	ALCON INC ALC, 56 sh.	<u>\$ 3,303</u>	<u>2019-09-09</u>
<u>1</u>	AMCOR LTD ORD SHS AMCR, 616 sh.	<u>\$ 6,031</u>	<u>2019-09-09</u>
<u>1</u>	ALLIANZ SE ADR AZSEY, 414 sh.	<u>\$ 9,416</u>	<u>2019-09-09</u>
<u>1</u>	SAP AKTIENGESELL ADS SAP, 98 sh.	<u>\$ 11,943</u>	<u>2019-09-09</u>
<u>1</u>	SCHNEIDER ELEC UNSP/ADR SBGSY, 466 sh.	<u>\$ 8,004</u>	<u>2019-09-09</u>
<u>1</u>	SUNCOR ENERGY INC SU, 229 sh.	<u>\$ 6,886</u>	<u>2019-09-09</u>
<u>1</u>	TAIWAN SEMICONDUCTOR MFG CO LTD TSM, 232 sh.	<u>\$ 10,164</u>	<u>2019-09-09</u>

Form 990 Schedule B, Part II - Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
<u>1</u>	TECHNIPFMC PLC FTI, 263 sh.	<u>\$ 6,779</u>	<u>2019-09-09</u>
<u>1</u>	UNITED OVRSEAS BK LTD ADR UOVEY, 256 sh.	<u>\$ 9,495</u>	<u>2019-09-09</u>
<u>1</u>	OPEN TEXT CORP OTEX, 270 sh.	<u>\$ 11,147</u>	<u>2019-09-09</u>
<u>1</u>	PHILIP MORRIS INTL PM, 160 sh.	<u>\$ 11,665</u>	<u>2019-09-09</u>
<u>1</u>	PERNOD-RECARD SA PDRDY, 169 sh.	<u>\$ 6,310</u>	<u>2019-09-09</u>
<u>1</u>	ROYAL DUTCH SHEL PLC SPONS ADR B RDS-B, 75 sh.	<u>\$ 4,220</u>	<u>2019-09-09</u>
<u>1</u>	RECKITT BENCKISER GROUP RBGLY, 349 sh.	<u>\$ 5,507</u>	<u>2019-09-09</u>
<u>1</u>	RELX PLC RELX, 436 sh.	<u>\$ 10,405</u>	<u>2019-09-09</u>
<u>1</u>	LAS VEGAS SANDS CORP LVS, 119 sh.	<u>\$ 6,853</u>	<u>2019-09-09</u>
<u>1</u>	MEDIOBANCA SPA MDIBY, 664 sh.	<u>\$ 6,969</u>	<u>2019-09-09</u>

Form 990 Schedule B, Part II - Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
<u>1</u>	MONDELEZ INTERNATIONAL INC MDLZ, 130 sh.	<u>\$ 7,340</u>	<u>2019-09-09</u>
<u>1</u>	NOVARTIS AG ADR NVS, 84 sh.	<u>\$ 7,505</u>	<u>2019-09-09</u>
<u>1</u>	NEW ORIENTAL ED & TECHNOLOGY GROUP EDU, 51 sh.	<u>\$ 5,737</u>	<u>2019-09-09</u>
<u>1</u>	NUTRIEN LTD NTR, 152 sh.	<u>\$ 7,811</u>	<u>2019-09-09</u>
<u>1</u>	INTESA SANPAOLO SPA ISNPY, 283 sh.	<u>\$ 3,948</u>	<u>2019-09-09</u>
<u>1</u>	INFORMA PLC SPONSORED ADR IFJPY, 339 sh.	<u>\$ 7,195</u>	<u>2019-09-09</u>
<u>1</u>	ING GROUP N V SPONSORED ADR ING, 721 sh.	<u>\$ 7,390</u>	<u>2019-09-09</u>
<u>1</u>	JULIUS BAER GROUP LTD JBAXY, 664 sh.	<u>\$ 5,657</u>	<u>2019-09-09</u>
<u>1</u>	KAO CORP KAOOY, 184 sh.	<u>\$ 2,655</u>	<u>2019-09-09</u>
<u>1</u>	KOMATSU LTD KMTUY, 150 sh.	<u>\$ 3,290</u>	<u>2019-09-09</u>

Form 990 Schedule B, Part II - Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
<u>1</u>	ESSILOR INTL ADR ESLOY, 66 sh.	<u>\$ 4,847</u>	<u>2019-09-09</u>
<u>1</u>	FLUTTER ENTMT PLC ADR PDYPY, 162 sh.	<u>\$ 7,084</u>	<u>2019-09-09</u>
<u>1</u>	FOMENTO ECONOMICO MEXICANO FMX, 97 sh.	<u>\$ 9,050</u>	<u>2019-09-09</u>
<u>1</u>	FANUC LIMITED UNSPONSORED FANUY, 485 sh.	<u>\$ 8,543</u>	<u>2019-09-09</u>
<u>1</u>	GRUPO AEROPORTUARIO DEL ADR PAC, 29 sh.	<u>\$ 2,788</u>	<u>2019-09-09</u>
<u>1</u>	HOYA CORP SPONS ADR HOCPY, 137 sh.	<u>\$ 11,501</u>	<u>2019-09-09</u>
<u>1</u>	COMPAGNIE FINANCIERE RICHEMONT SA CFRUY, 641 sh.	<u>\$ 5,147</u>	<u>2019-09-09</u>
<u>1</u>	CALRSBERG AS SP ADR REP B CABGY, 320 sh.	<u>\$ 9,614</u>	<u>2019-09-09</u>
<u>1</u>	CSL LTD CSLLY, 47 sh.	<u>\$ 3,836</u>	<u>2019-09-09</u>
<u>1</u>	CRITEO SA CRTO, 182 sh.	<u>\$ 3,454</u>	<u>2019-09-09</u>

Form 990 Schedule B, Part II - Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
<u>1</u>	COMPASS GROUP PLC ADR CMPGY, 378 sh.	<u>\$ 9,718</u>	<u>2019-09-09</u>
<u>1</u>	DEUTSCHE BOERSE ADR DBOEY, 400 sh.	<u>\$ 6,024</u>	<u>2019-09-09</u>
<u>1</u>	BANCO BRADESCO S.A. SPONS ADR BBD, 753 sh.	<u>\$ 6,442</u>	<u>2019-09-09</u>
<u>1</u>	BEIERSDORF AG ADR EACH REPR 0.20 ORD BDRFY, 201 sh.	<u>\$ 4,959</u>	<u>2019-09-09</u>
<u>1</u>	PT BK MANDIR PRS UNSP/ADR PPERY, 1077 sh.	<u>\$ 10,727</u>	<u>2019-09-09</u>
<u>1</u>	BRAMBLES LTD UNSP AD BXBLY, 330 sh.	<u>\$ 5,127</u>	<u>2019-09-09</u>
<u>1</u>	CGI INC GIB, 165 sh.	<u>\$ 13,056</u>	<u>2019-09-09</u>
<u>1</u>	CANADIAN NATL RAILWAY CO CNI, 85 sh.	<u>\$ 7,844</u>	<u>2019-09-09</u>
<u>1</u>	AMADEUS IT HLDS SA AMADY, 99 sh.	<u>\$ 7,398</u>	<u>2019-09-09</u>
<u>1</u>	AMBEV SA ABEV, 1814 sh.	<u>\$ 8,363</u>	<u>2019-09-09</u>

Form 990 Schedule B, Part II - Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
<u>1</u>	ALIBABA GROUP HOLDING LTD BABA, 53 sh.	<u>\$ 9,377</u>	<u>2019-09-09</u>
<u>1</u>	AKBANK TURK ANONIM SIRKETI ADR AKBTY, 593 sh.	<u>\$ 1,480</u>	<u>2019-09-09</u>
<u>1</u>	BROADCOM INC AVGO, 40 sh.	<u>\$ 11,752</u>	<u>2019-09-09</u>
<u>1</u>	BRITISH AMERICAN TOBACCO PLC BTI, 204 sh.	<u>\$ 7,274</u>	<u>2019-09-09</u>
<u>1</u>	VINCI SA ADR VCISY, 335 sh.	<u>\$ 9,079</u>	<u>2019-09-09</u>
<u>1</u>	VIVENDI SA VIVHY, 288 sh.	<u>\$ 7,972</u>	<u>2019-09-09</u>
<u>1</u>	WOLTERS KLUWER S/ADR WTKWY, 166 sh.	<u>\$ 12,047</u>	<u>2019-09-09</u>
<u>1</u>	YUM CHINA HOLDINGS INC YUMC, 228 sh.	<u>\$ 10,414</u>	<u>2019-09-09</u>
<u>1</u>	ALTRIA GROUP INC MO, 612 sh.	<u>\$ 26,839</u>	<u>2019-09-10</u>
<u>1</u>	APPLE INC AAPL, 398 sh.	<u>\$ 85,270</u>	<u>2019-09-10</u>

Form 990 Schedule B, Part II - Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
<u>1</u>	WELLS FARGO & CO WFC, 1179 sh.	<u>\$ 57,182</u>	<u>2019-09-10</u>
<u>1</u>	PHILIP MORRIS INTL PM, 210 sh.	<u>\$ 15,441</u>	<u>2019-09-10</u>
<u>1</u>	PFIZER INC PFE, 1118 sh.	<u>\$ 41,031</u>	<u>2019-09-10</u>
<u>1</u>	TEXAS INSTRUMENTS INC TXN, 462 sh.	<u>\$ 58,203</u>	<u>2019-09-10</u>
<u>1</u>	TARGET CORPORATION TGT, 358 sh.	<u>\$ 38,605</u>	<u>2019-09-10</u>
<u>1</u>	UNITED PARCEL SERVICE UPS, 419 sh.	<u>\$ 50,959</u>	<u>2019-09-10</u>
<u>1</u>	VERIZON COMMUNICATIONS VZ, 718 sh.	<u>\$ 42,574</u>	<u>2019-09-10</u>
<u>1</u>	MICROSOFT CORP MSFT, 356 sh.	<u>\$ 48,309</u>	<u>2019-09-10</u>
<u>1</u>	NEW MARKET CORP NEU, 32 sh.	<u>\$ 14,554</u>	<u>2019-09-10</u>
<u>1</u>	NESTLE S.A NSRGY, 337 sh.	<u>\$ 36,934</u>	<u>2019-09-10</u>

Form 990 Schedule B, Part II - Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
<u>1</u>	NORFOLK SOUTHERN CORP NSC, 317 sh.	<u>\$ 56,328</u>	<u>2019-09-10</u>
<u>1</u>	PACCAR INC PCAR, 415 sh.	<u>\$ 29,515</u>	<u>2019-09-10</u>
<u>1</u>	PAYCHEX PAYX, 538 sh.	<u>\$ 43,123</u>	<u>2019-09-10</u>
<u>1</u>	FRANKLIN RES INC BEN, 898 sh.	<u>\$ 25,351</u>	<u>2019-09-10</u>
<u>1</u>	INTEL CORP INTC, 1008 sh.	<u>\$ 51,746</u>	<u>2019-09-10</u>
<u>1</u>	JOHNSON & JOHNSON JNJ, 385 sh.	<u>\$ 49,259</u>	<u>2019-09-10</u>
<u>1</u>	KINDER MORGAN INC KMI, 1251 sh.	<u>\$ 25,270</u>	<u>2019-09-10</u>
<u>1</u>	LOWES COMPANIES INC LOW, 347 sh.	<u>\$ 39,716</u>	<u>2019-09-10</u>
<u>1</u>	MERCK & CO INC MRK, 720 sh.	<u>\$ 58,046</u>	<u>2019-09-10</u>
<u>1</u>	CISCO SYSTEMS INC CSCO, 1200 sh.	<u>\$ 58,380</u>	<u>2019-09-10</u>

Form 990 Schedule B, Part II - Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
<u>1</u>	THE COCA-COLA CO KO, 567 sh.	<u>\$ 30,700</u>	<u>2019-09-10</u>
<u>1</u>	DIAGEO PLC ADS DEO, 338 sh.	<u>\$ 55,506</u>	<u>2019-09-10</u>
<u>1</u>	DOMINION ENERGY INC D, 706 sh.	<u>\$ 54,164</u>	<u>2019-09-10</u>
<u>1</u>	DELTA AIR LINES INC DAL, 887 sh.	<u>\$ 51,677</u>	<u>2019-09-10</u>
<u>1</u>	FASTENAL COMPANY FAST, 1612 sh.	<u>\$ 51,923</u>	<u>2019-09-10</u>
<u>1</u>	BLACKROCK INC BLK, 116 sh.	<u>\$ 49,622</u>	<u>2019-09-10</u>
<u>1</u>	BERKSHIRE HATHAWAY INC. CLASS B BRK-B, 169 sh.	<u>\$ 35,020</u>	<u>2019-09-10</u>
<u>1</u>	CARNIVAL CORP CCL, 1228 sh.	<u>\$ 60,055</u>	<u>2019-09-10</u>
<u>1</u>	CHEVRON CORP CVX, 288 sh.	<u>\$ 35,021</u>	<u>2019-09-10</u>
<u>1</u>	CROWN CASTLE INTL CCI, 388 sh.	<u>\$ 54,434</u>	<u>2019-09-10</u>

Form 990 Schedule B, Part II - Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
<u>1</u>	CINCINNATI FINANCIAL CORP CINF, 612 sh.	<u>\$ 68,694</u>	<u>2019-09-10</u>