

Form **990-PF**  
Department of the Treasury  
Internal Revenue Service

**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation  
Do not enter social security numbers on this form as it may be made public.  
Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

OMB No 1545-0047

**2019**

Open to Public Inspection

For calendar year 2019 or tax year beginning , 2019, and ending , 20

|                                                                                                                                                                                                                                                                                                       |            |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Name of foundation<br><b>THE LOWELL F. JOHNSON FOUNDATION</b>                                                                                                                                                                                                                                         |            | A Employer identification number<br><b>52-1776774</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| Number and street (or P O box number if mail is not delivered to street address)                                                                                                                                                                                                                      | Room/suite | B Telephone number (see instructions)<br><b>412-762-3818</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
| <b>116 ALLEGHENY CENTER MALL P8YB3502L</b>                                                                                                                                                                                                                                                            |            |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
| City or town, state or province, country, and ZIP or foreign postal code<br><b>PITTSBURGH, PA 15212</b>                                                                                                                                                                                               |            |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
| G Check all that apply<br><input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity<br><input type="checkbox"/> Final return <input type="checkbox"/> Amended return<br><input type="checkbox"/> Address change <input type="checkbox"/> Name change |            | C If exemption application is pending, check here . . . . . <input type="checkbox"/> <b>le</b><br>D 1 Foreign organizations, check here . . . . . <input type="checkbox"/><br>2 Foreign organizations meeting the 85% test, check here and attach computation . . . . . <input type="checkbox"/><br>E If private foundation status was terminated under section 507(b)(1)(A), check here . . . . . <input type="checkbox"/><br>F If the foundation is in a 60-month termination under section 507(b)(1)(B) check here . . . . . <input type="checkbox"/> |
| H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <b>04</b><br><input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation                                            |            |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
| I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ <b>2,494,045.</b>                                                                                                                                                                                             |            |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
| J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual<br><input type="checkbox"/> Other (specify) _____<br>(Part I, column (d), must be on cash basis.)                                                                                                      |            |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |

| Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions)) |                                                                                                         | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------|------------------------------------|---------------------------|-------------------------|-------------------------------------------------------------|
| Revenue                                                                                                                                                            | 1 Contributions, gifts, grants, etc., received (attach schedule)                                        |                                    |                           |                         |                                                             |
|                                                                                                                                                                    | 2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B. . . . . |                                    |                           |                         |                                                             |
|                                                                                                                                                                    | 3 Interest on savings and temporary cash investments.                                                   |                                    |                           |                         |                                                             |
|                                                                                                                                                                    | 4 Dividends and interest from securities . . . . .                                                      | 61,367.                            | 61,367                    |                         | STMT 1                                                      |
|                                                                                                                                                                    | 5a Gross rents . . . . .                                                                                |                                    |                           |                         |                                                             |
|                                                                                                                                                                    | b Net rental income or (loss)                                                                           |                                    |                           |                         |                                                             |
|                                                                                                                                                                    | 6a Net gain or (loss) from sale of assets not on line 10                                                | 209,272.                           |                           |                         |                                                             |
|                                                                                                                                                                    | b Gross sales price for all assets on line 6a <b>1,299,149</b>                                          |                                    |                           |                         |                                                             |
|                                                                                                                                                                    | 7 Capital gain net income (from Part IV, line 2) .                                                      |                                    | 209,272                   |                         |                                                             |
|                                                                                                                                                                    | 8 Net short-term capital gain. . . . .                                                                  |                                    |                           |                         |                                                             |
| Operating and Administrative Expenses                                                                                                                              | 9 Income modifications . . . . .                                                                        |                                    |                           |                         |                                                             |
|                                                                                                                                                                    | 10a Gross sales less returns and allowances . . . . .                                                   |                                    |                           |                         |                                                             |
|                                                                                                                                                                    | b Less Cost of goods sold . . . . .                                                                     |                                    |                           |                         |                                                             |
|                                                                                                                                                                    | c Gross profit or (loss) (attach schedule) . . . . .                                                    |                                    |                           |                         |                                                             |
|                                                                                                                                                                    | 11 Other income (attach schedule) . . . . .                                                             |                                    |                           |                         |                                                             |
|                                                                                                                                                                    | 12 Total. Add lines 1 through 11                                                                        | 270,639.                           | 270,639.                  |                         |                                                             |
|                                                                                                                                                                    | 13 Compensation of officers, directors, trustees, etc.                                                  | 13,491.                            | 10,118.                   |                         | 3,373.                                                      |
|                                                                                                                                                                    | 14 Other employee salaries and wages . . . . .                                                          |                                    | NONE                      | NONE                    |                                                             |
|                                                                                                                                                                    | 15 Pension plans, employee benefits . . . . .                                                           |                                    | NONE                      | NONE                    |                                                             |
|                                                                                                                                                                    | 16a Legal fees (attach schedule) . . . . .                                                              |                                    |                           |                         |                                                             |
|                                                                                                                                                                    | b Accounting fees (attach schedule) . . . . .                                                           |                                    |                           |                         |                                                             |
|                                                                                                                                                                    | c Other professional fees (attach schedule) . . . . .                                                   |                                    |                           |                         |                                                             |
|                                                                                                                                                                    | 17 Interest . . . . .                                                                                   |                                    |                           |                         |                                                             |
|                                                                                                                                                                    | 18 Taxes (attach schedule) (see instructions) . 12                                                      | 3,907.                             | 1,574.                    |                         |                                                             |
|                                                                                                                                                                    | 19 Depreciation (attach schedule) and depletion .                                                       |                                    |                           |                         |                                                             |
|                                                                                                                                                                    | 20 Occupancy . . . . .                                                                                  |                                    |                           |                         |                                                             |
|                                                                                                                                                                    | 21 Travel, conferences, and meetings . . . . .                                                          |                                    | NONE                      | NONE                    |                                                             |
|                                                                                                                                                                    | 22 Printing and publications . . . . .                                                                  |                                    | NONE                      | NONE                    |                                                             |
|                                                                                                                                                                    | 23 Other expenses (attach schedule) STMT. 13                                                            | 8,942.                             | 2,420.                    |                         | 6,522.                                                      |
|                                                                                                                                                                    | 24 Total operating and administrative expenses. Add lines 13 through 23. . . . .                        | 26,340.                            | 14,112.                   | NONE                    | 9,895.                                                      |
|                                                                                                                                                                    | 25 Contributions, gifts, grants paid . . . . .                                                          | 140,800.                           |                           |                         | 140,800.                                                    |
|                                                                                                                                                                    | 26 Total expenses and disbursements Add lines 24 and 25                                                 | 167,140.                           | 14,112.                   | NONE                    | 150,695.                                                    |
|                                                                                                                                                                    | 27 Subtract line 26 from line 12                                                                        |                                    |                           |                         |                                                             |
|                                                                                                                                                                    | a Excess of revenue over expenses and disbursements                                                     | 103,499.                           |                           |                         |                                                             |
|                                                                                                                                                                    | b Net investment income (if negative, enter -0-)                                                        |                                    | 256,527.                  |                         |                                                             |
|                                                                                                                                                                    | c Adjusted net income (if negative, enter -0-)                                                          |                                    |                           |                         |                                                             |

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| Part II Balance Sheets      |                                                                                                                                | Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)                |                | Beginning of year     | End of year |            |
|-----------------------------|--------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------|----------------|-----------------------|-------------|------------|
|                             |                                                                                                                                | (a) Book Value                                                                                                                    | (b) Book Value | (c) Fair Market Value |             |            |
| Assets                      | 1                                                                                                                              | Cash - non-interest-bearing . . . . .                                                                                             |                | 86,269.               | 125,118.    | 125,118.   |
|                             | 2                                                                                                                              | Savings and temporary cash investments . . . . .                                                                                  |                |                       |             |            |
|                             | 3                                                                                                                              | Accounts receivable ▶ . . . . .                                                                                                   |                |                       |             |            |
|                             |                                                                                                                                | Less allowance for doubtful accounts ▶ . . . . .                                                                                  |                |                       |             |            |
|                             | 4                                                                                                                              | Pledges receivable ▶ . . . . .                                                                                                    |                |                       |             |            |
|                             |                                                                                                                                | Less allowance for doubtful accounts ▶ . . . . .                                                                                  |                |                       |             |            |
|                             | 5                                                                                                                              | Grants receivable . . . . .                                                                                                       |                |                       |             |            |
|                             | 6                                                                                                                              | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . . |                |                       |             |            |
|                             | 7                                                                                                                              | Other notes and loans receivable (attach schedule) ▶ . . . . .                                                                    |                |                       |             |            |
|                             |                                                                                                                                | Less allowance for doubtful accounts ▶ . . . . .                                                                                  | NONE           |                       |             |            |
|                             | 8                                                                                                                              | Inventories for sale or use . . . . .                                                                                             |                |                       |             |            |
|                             | 9                                                                                                                              | Prepaid expenses and deferred charges . . . . .                                                                                   |                |                       |             |            |
|                             | 10a                                                                                                                            | Investments - U S and state government obligations (attach schedule) . . . . .                                                    | STMT 14.       |                       | 108,056.    | 107,822.   |
|                             | b                                                                                                                              | Investments - corporate stock (attach schedule) . . . . .                                                                         | STMT 15.       | 1,211,215.            | 1,218,525.  | 1,429,785. |
|                             | c                                                                                                                              | Investments - corporate bonds (attach schedule) . . . . .                                                                         | STMT 16.       |                       | 78,216.     | 78,111.    |
|                             | 11                                                                                                                             | Investments - land, buildings, and equipment basis . . . . .                                                                      |                |                       |             |            |
|                             | Less accumulated depreciation (attach schedule) ▶ . . . . .                                                                    |                                                                                                                                   |                |                       |             |            |
| 12                          | Investments - mortgage loans . . . . .                                                                                         |                                                                                                                                   |                | 2,132.                | 2,126.      |            |
| 13                          | Investments - other (attach schedule) . . . . .                                                                                | STMT 17.                                                                                                                          | 850,372.       | 718,935.              | 751,083.    |            |
| 14                          | Land, buildings, and equipment basis . . . . .                                                                                 |                                                                                                                                   |                |                       |             |            |
|                             | Less accumulated depreciation (attach schedule) ▶ . . . . .                                                                    |                                                                                                                                   |                |                       |             |            |
| 15                          | Other assets (describe ▶ . . . . .)                                                                                            |                                                                                                                                   |                |                       |             |            |
| 16                          | Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I) . . . . .                        |                                                                                                                                   | 2,147,856.     | 2,250,982.            | 2,494,045.  |            |
| Liabilities                 | 17                                                                                                                             | Accounts payable and accrued expenses . . . . .                                                                                   |                |                       |             |            |
|                             | 18                                                                                                                             | Grants payable . . . . .                                                                                                          |                |                       |             |            |
|                             | 19                                                                                                                             | Deferred revenue . . . . .                                                                                                        |                |                       |             |            |
|                             | 20                                                                                                                             | Loans from officers, directors, trustees, and other disqualified persons . . . . .                                                |                |                       |             |            |
|                             | 21                                                                                                                             | Mortgages and other notes payable (attach schedule) . . . . .                                                                     |                |                       |             |            |
|                             | 22                                                                                                                             | Other liabilities (describe ▶ . . . . .)                                                                                          |                |                       |             |            |
| 23                          | Total liabilities (add lines 17 through 22) . . . . .                                                                          |                                                                                                                                   |                | NONE                  |             |            |
| Net Assets or Fund Balances | Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 24, 25, 29, and 30.             |                                                                                                                                   |                |                       |             |            |
|                             | 24                                                                                                                             | Net assets without donor restrictions . . . . .                                                                                   |                |                       |             |            |
|                             | 25                                                                                                                             | Net assets with donor restrictions . . . . .                                                                                      |                |                       |             |            |
|                             | Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 26 through 30 |                                                                                                                                   |                |                       |             |            |
|                             | 26                                                                                                                             | Capital stock, trust principal, or current funds . . . . .                                                                        |                | 2,137,933.            | 2,242,491.  |            |
|                             | 27                                                                                                                             | Paid-in or capital surplus, or land, bldg, and equipment fund . . . . .                                                           |                |                       |             |            |
|                             | 28                                                                                                                             | Retained earnings, accumulated income, endowment, or other funds . . . . .                                                        |                | 9,923.                | 8,491.      |            |
|                             | 29                                                                                                                             | Total net assets or fund balances (see instructions) . . . . .                                                                    |                | 2,147,856.            | 2,250,982.  |            |
| 30                          | Total liabilities and net assets/fund balances (see instructions) . . . . .                                                    |                                                                                                                                   | 2,147,856.     | 2,250,982.            |             |            |

## Part III Analysis of Changes in Net Assets or Fund Balances

|   |                                                                                                                                                                      |   |            |
|---|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|------------|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) . . . . . | 1 | 2,147,856. |
| 2 | Enter amount from Part I, line 27a . . . . .                                                                                                                         | 2 | 103,499.   |
| 3 | Other increases not included in line 2 (itemize) ▶ . . . . .                                                                                                         | 3 |            |
| 4 | Add lines 1, 2, and 3 . . . . .                                                                                                                                      | 4 | 2,251,355. |
| 5 | Decreases not included in line 2 (itemize) ▶ . . . . .                                                                                                               | 5 | 373.       |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29 . . . . .                                                      | 6 | 2,250,982. |

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**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (for example, real estate,<br>2-story brick warehouse, or common stock, 200 shs MLC Co )                                                                       |                                            |                                                 | (b) How<br>acquired<br>P - Purchase<br>D - Donation                                         | (c) Date acquired<br>(mo , day, yr ) | (d) Date sold<br>(mo , day, yr ) |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------|-------------------------------------------------|---------------------------------------------------------------------------------------------|--------------------------------------|----------------------------------|
| <b>1 a PUBLICLY TRADED SECURITIES</b>                                                                                                                                                                             |                                            |                                                 |                                                                                             |                                      |                                  |
| <b>b</b>                                                                                                                                                                                                          |                                            |                                                 |                                                                                             |                                      |                                  |
| <b>c</b>                                                                                                                                                                                                          |                                            |                                                 |                                                                                             |                                      |                                  |
| <b>d</b>                                                                                                                                                                                                          |                                            |                                                 |                                                                                             |                                      |                                  |
| <b>e</b>                                                                                                                                                                                                          |                                            |                                                 |                                                                                             |                                      |                                  |
| (e) Gross sales price                                                                                                                                                                                             | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g)                                                |                                      |                                  |
| <b>a</b> 1,299,149.                                                                                                                                                                                               |                                            | 1,089,877.                                      | 209,272.                                                                                    |                                      |                                  |
| <b>b</b>                                                                                                                                                                                                          |                                            |                                                 |                                                                                             |                                      |                                  |
| <b>c</b>                                                                                                                                                                                                          |                                            |                                                 |                                                                                             |                                      |                                  |
| <b>d</b>                                                                                                                                                                                                          |                                            |                                                 |                                                                                             |                                      |                                  |
| <b>e</b>                                                                                                                                                                                                          |                                            |                                                 |                                                                                             |                                      |                                  |
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.                                                                                                                      |                                            |                                                 | (i) Gains (Col (h) gain minus<br>col (k), but not less than -0- or<br>Losses (from col (h)) |                                      |                                  |
| (i) FMV as of 12/31/69                                                                                                                                                                                            | (j) Adjusted basis<br>as of 12/31/69       | (k) Excess of col (i)<br>over col. (j), if any  |                                                                                             |                                      |                                  |
| <b>a</b>                                                                                                                                                                                                          |                                            |                                                 | 209,272.                                                                                    |                                      |                                  |
| <b>b</b>                                                                                                                                                                                                          |                                            |                                                 |                                                                                             |                                      |                                  |
| <b>c</b>                                                                                                                                                                                                          |                                            |                                                 |                                                                                             |                                      |                                  |
| <b>d</b>                                                                                                                                                                                                          |                                            |                                                 |                                                                                             |                                      |                                  |
| <b>e</b>                                                                                                                                                                                                          |                                            |                                                 |                                                                                             |                                      |                                  |
| <b>2</b> Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7 }                                                                        |                                            |                                                 | <b>2</b>                                                                                    | 209,272.                             |                                  |
| <b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):<br>If gain, also enter in Part I, line 8, column (c). See instructions If (loss), enter -0- in<br>Part I, line 8 . . . . . |                                            |                                                 | <b>3</b>                                                                                    |                                      |                                  |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

| <b>1</b> Enter the appropriate amount in each column for each year, see the instructions before making any entries                                                                                                                      |                                          |                                              |                                                           |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------|----------------------------------------------|-----------------------------------------------------------|
| (a)<br>Base period years<br>Calendar year (or tax year beginning in)                                                                                                                                                                    | (b)<br>Adjusted qualifying distributions | (c)<br>Net value of noncharitable-use assets | (d)<br>Distribution ratio<br>(col (b) divided by col (c)) |
| 2018                                                                                                                                                                                                                                    | 136,156.                                 | 2,438,685.                                   | 0.055832                                                  |
| 2017                                                                                                                                                                                                                                    | 152,912.                                 | 2,439,315.                                   | 0.062686                                                  |
| 2016                                                                                                                                                                                                                                    | 149,356.                                 | 2,346,860.                                   | 0.063641                                                  |
| 2015                                                                                                                                                                                                                                    | 124,498.                                 | 2,437,174.                                   | 0.051083                                                  |
| 2014                                                                                                                                                                                                                                    | 120,833.                                 | 2,468,857.                                   | 0.048943                                                  |
| <b>2</b> Total of line 1, column (d) . . . . .                                                                                                                                                                                          |                                          |                                              | <b>2</b> 0.282185                                         |
| <b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by<br>the number of years the foundation has been in existence if less than 5 years . . . . .                                    |                                          |                                              | <b>3</b> 0.056437                                         |
| <b>4</b> Enter the net value of noncharitable-use assets for 2019 from Part X, line 5 . . . . .                                                                                                                                         |                                          |                                              | <b>4</b> 1,815,960.                                       |
| <b>5</b> Multiply line 4 by line 3. . . . .                                                                                                                                                                                             |                                          |                                              | <b>5</b> 102,487.                                         |
| <b>6</b> Enter 1% of net investment income (1% of Part I, line 27b) . . . . .                                                                                                                                                           |                                          |                                              | <b>6</b> 2,565.                                           |
| <b>7</b> Add lines 5 and 6 . . . . .                                                                                                                                                                                                    |                                          |                                              | <b>7</b> 105,052.                                         |
| <b>8</b> Enter qualifying distributions from Part XII, line 4 . . . . .<br>If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the<br>Part VI instructions |                                          |                                              | <b>8</b> 150,695.                                         |

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

|    |                                                                                                                                                                                                                                               |    |        |
|----|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|--------|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 . . . . .<br>Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions) |    |        |
| b  | Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . .                                                                          | 1  | 2,565. |
| c  | All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col (b) . . . . .                                                                                                             |    |        |
| 2  | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)                                                                                                                                    | 2  | NONE   |
| 3  | Add lines 1 and 2 . . . . .                                                                                                                                                                                                                   | 3  | 2,565. |
| 4  | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)                                                                                                                                  | 4  | NONE   |
| 5  | <b>Tax based on investment income</b> Subtract line 4 from line 3. If zero or less, enter -0- . . . . .                                                                                                                                       | 5  | 2,565. |
| 6  | <b>Credits/Payments</b>                                                                                                                                                                                                                       |    |        |
| a  | 2019 estimated tax payments and 2018 overpayment credited to 2019 . . . . .                                                                                                                                                                   | 6a | 2,333. |
| b  | Exempt foreign organizations - tax withheld at source . . . . .                                                                                                                                                                               | 6b | NONE   |
| c  | Tax paid with application for extension of time to file (Form 8868) . . . . .                                                                                                                                                                 | 6c | NONE   |
| d  | Backup withholding erroneously withheld . . . . .                                                                                                                                                                                             | 6d |        |
| 7  | Total credits and payments. Add lines 6a through 6d . . . . .                                                                                                                                                                                 | 7  | 2,333. |
| 8  | Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached . . . . .                                                                                                            | 8  |        |
| 9  | <b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . .                                                                                                                                         | 9  | 232.   |
| 10 | <b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . .                                                                                                                             | 10 |        |
| 11 | Enter the amount of line 10 to be <b>Credited to 2020 estimated tax</b> <b>NONE</b> Refunded <input checked="" type="checkbox"/> . . . . .                                                                                                    | 11 |        |

**Part VII-A Statements Regarding Activities**

|                                                                                                                                                                                                                                                                                                                                                          | Yes | No |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .                                                                                                                                                                        |     | X  |
| b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition . . . . .<br>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities |     | X  |
| c Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .                                                                                                                                                                                                                                                                                  |     | X  |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year<br>(1) On the foundation <input checked="" type="checkbox"/> \$ _____ (2) On foundation managers <input checked="" type="checkbox"/> \$ _____                                                                                                        |     |    |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input checked="" type="checkbox"/> \$ _____                                                                                                                                                                      |     |    |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . .<br>If "Yes," attach a detailed description of the activities                                                                                                                                                                                 |     | X  |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .                                                                                                                   |     | X  |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .                                                                                                                                                                                                                                                 |     | X  |
| b If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .                                                                                                                                                                                                                                                                      |     |    |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . .<br>If "Yes," attach the statement required by <i>General Instruction T</i>                                                                                                                                                                    |     | X  |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .            | X   |    |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV                                                                                                                                                                                                                       | X   |    |
| 8a Enter the states to which the foundation reports or with which it is registered. See instructions <input checked="" type="checkbox"/> NJ                                                                                                                                                                                                              |     |    |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? If "No," attach explanation . . . . .                                                                                                                          | X   |    |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV . . . . .                                                                                          |     | X  |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses . . . . .                                                                                                                                                                                                          |     | X  |

**Part VII-A Statements Regarding Activities (continued)**

|                                                                                                                                                                                                                                                                                                                                          | Yes | No |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| 11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions . . . . .                                                                                                                                       |     | X  |
| 12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions. . . . .                                                                                                                                       |     | X  |
| 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► SEE FOOTNOTE                                                                                                                                                                                    | X   |    |
| 14 The books are in care of ► SEE STATEMENT 19 Telephone no ► Located at ► ZIP+4 ►                                                                                                                                                                                                                                                       |     |    |
| 15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here . . . . . and enter the amount of tax-exempt interest received or accrued during the year . . . . .                                                                                                                               |     | 15 |
| 16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . . See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ► |     | X  |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | Yes | No |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| 1a During the year, did the foundation (either directly or indirectly) <ul style="list-style-type: none"> <li>(1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</li> <li>(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</li> <li>(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</li> <li>(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . . <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</li> <li>(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</li> <li>(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</li> </ul> |     |    |
| b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions . . . . . Organizations relying on a current notice regarding disaster assistance, check here . . . . .                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |     | X  |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019? . . . . .                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |     | X  |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) <ul style="list-style-type: none"> <li>a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ►</li> <li>b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) . . . . .</li> <li>c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►</li> </ul>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |     |    |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |     |    |
| b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019) . . . . .                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |     |    |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |     | X  |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |     | X  |

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

|           |                                                                                                                                                                                                                       |                              |                                        |    |
|-----------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|----------------------------------------|----|
| <b>5a</b> | During the year, did the foundation pay or incur any amount to:                                                                                                                                                       |                              | Yes                                    | No |
| (1)       | Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?                                                                                                                                 | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |    |
| (2)       | Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?                                                                       | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |    |
| (3)       | Provide a grant to an individual for travel, study, or other similar purposes?                                                                                                                                        | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |    |
| (4)       | Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions                                                                                   | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |    |
| (5)       | Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?                                                     | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |    |
| <b>b</b>  | If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions |                              | <b>5b</b>                              |    |
|           | Organizations relying on a current notice regarding disaster assistance, check here                                                                                                                                   | <input type="checkbox"/>     |                                        |    |
| <b>c</b>  | If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?                                                            | <input type="checkbox"/> Yes | <input type="checkbox"/> No            |    |
|           | If "Yes," attach the statement required by Regulations section 53.4945-5(d)                                                                                                                                           |                              |                                        |    |
| <b>6a</b> | Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?                                                                                       | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |    |
| <b>b</b>  | Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?                                                                                                            |                              | <b>6b</b>                              | X  |
|           | If "Yes" to 6b, file Form 8870                                                                                                                                                                                        |                              |                                        |    |
| <b>7a</b> | At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?                                                                                                                  | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |    |
| <b>b</b>  | If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?                                                                                                             |                              | <b>7b</b>                              |    |
| <b>8</b>  | Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?                                                              | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |    |

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.**

| (a) Name and address                            | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|-------------------------------------------------|-----------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------|---------------------------------------|
| PNC BANK, N.A.                                  | TRUSTEE                                                   |                                           |                                                                       |                                       |
| 116 ALLEGHENY CENTER MALL, PITTSBURGH, PA 15212 | 4                                                         | 13,491                                    | -0-                                                                   | -0-                                   |
| JOY J DONAHUE                                   | CO-FIDUCIARY                                              |                                           |                                                                       |                                       |
| 291 STONY BROOK ROAD, NEW LONDON, NH 03257      | 1                                                         | -0-                                       | -0-                                                                   | -0-                                   |
| DON JOHNSON                                     | CO-FIDUCIARY                                              |                                           |                                                                       |                                       |
| 22 HICKORY HOLLOW COURT, EDISON, NJ 08820       | 1                                                         | -0-                                       | -0-                                                                   | -0-                                   |
|                                                 |                                                           |                                           |                                                                       |                                       |
|                                                 |                                                           |                                           |                                                                       |                                       |

**2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."**

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---------------------------------------------------------------|-----------------------------------------------------------|------------------|-----------------------------------------------------------------------|---------------------------------------|
| NONE                                                          |                                                           | NONE             | NONE                                                                  | NONE                                  |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |

Total number of other employees paid over \$50,000 **NONE**

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**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3** Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

[illegible]

|                                                                                           |             |
|-------------------------------------------------------------------------------------------|-------------|
| <b>Total number of others receiving over \$50,000 for professional services . . . . .</b> | <b>NONE</b> |
|-------------------------------------------------------------------------------------------|-------------|

|                  |                                                |
|------------------|------------------------------------------------|
| <b>Part IX-A</b> | <b>Summary of Direct Charitable Activities</b> |
|------------------|------------------------------------------------|

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|
| <b>1</b> NONE<br><br><br>                                                                                                                                                                                                                              |          |
| <b>2</b><br><br><br>                                                                                                                                                                                                                                   |          |
| <b>3</b><br><br><br>                                                                                                                                                                                                                                   |          |
| <b>4</b><br><br><br>                                                                                                                                                                                                                                   |          |

|                  |                                                                  |
|------------------|------------------------------------------------------------------|
| <b>Part IX-B</b> | <b>Summary of Program-Related Investments</b> (see instructions) |
|------------------|------------------------------------------------------------------|

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|------------------------------------------------------------------------------------------------------------------|--------|
| 1 <b>NONE</b>                                                                                                    |        |
| 2                                                                                                                |        |
| All other program-related investments See instructions                                                           |        |
| 3 <b>NONE</b>                                                                                                    |        |

**Total.** Add lines 1 through 3 . . . . . ►

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

|          |                                                                                                                     |           |            |
|----------|---------------------------------------------------------------------------------------------------------------------|-----------|------------|
| <b>1</b> | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes          |           |            |
| <b>a</b> | Average monthly fair market value of securities . . . . .                                                           | <b>1a</b> | 1,843,614. |
| <b>b</b> | Average of monthly cash balances . . . . .                                                                          | <b>1b</b> | NONE       |
| <b>c</b> | Fair market value of all other assets (see instructions). . . . .                                                   | <b>1c</b> | NONE       |
| <b>d</b> | <b>Total</b> (add lines 1a, b, and c) . . . . .                                                                     | <b>1d</b> | 1,843,614. |
| <b>e</b> | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . . . | <b>1e</b> |            |
| <b>2</b> | Acquisition indebtedness applicable to line 1 assets . . . . .                                                      | <b>2</b>  | NONE       |
| <b>3</b> | Subtract line 2 from line 1d. . . . .                                                                               | <b>3</b>  | 1,843,614. |
| <b>4</b> | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .  | <b>4</b>  | 27,654.    |
| <b>5</b> | <b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4         | <b>5</b>  | 1,815,960. |
| <b>6</b> | <b>Minimum investment return.</b> Enter 5% of line 5 . . . . .                                                      | <b>6</b>  | 90,798.    |

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

|           |                                                                                                                    |           |         |
|-----------|--------------------------------------------------------------------------------------------------------------------|-----------|---------|
| <b>1</b>  | Minimum investment return from Part X, line 6 . . . . .                                                            | <b>1</b>  | 90,798. |
| <b>2a</b> | Tax on investment income for 2019 from Part VI, line 5 . . . . .                                                   | <b>2a</b> | 2,565.  |
| <b>b</b>  | Income tax for 2019. (This does not include the tax from Part VI.) . . . . .                                       | <b>2b</b> |         |
| <b>c</b>  | Add lines 2a and 2b. . . . .                                                                                       | <b>2c</b> | 2,565.  |
| <b>3</b>  | Distributable amount before adjustments. Subtract line 2c from line 1. . . . .                                     | <b>3</b>  | 88,233. |
| <b>4</b>  | Recoveries of amounts treated as qualifying distributions. . . . .                                                 | <b>4</b>  | NONE    |
| <b>5</b>  | Add lines 3 and 4 . . . . .                                                                                        | <b>5</b>  | 88,233. |
| <b>6</b>  | Deduction from distributable amount (see instructions). . . . .                                                    | <b>6</b>  | NONE    |
| <b>7</b>  | <b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1. . . . . | <b>7</b>  | 88,233. |

**Part XII Qualifying Distributions** (see instructions)

|          |                                                                                                                                                              |           |          |
|----------|--------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|----------|
| <b>1</b> | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes                                                                    |           |          |
| <b>a</b> | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26. . . . .                                                                       | <b>1a</b> | 150,695. |
| <b>b</b> | Program-related investments - total from Part IX-B. . . . .                                                                                                  | <b>1b</b> |          |
| <b>2</b> | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes. . . . .                                           | <b>2</b>  | NONE     |
| <b>3</b> | Amounts set aside for specific charitable projects that satisfy the                                                                                          |           |          |
| <b>a</b> | Suitability test (prior IRS approval required) . . . . .                                                                                                     | <b>3a</b> | NONE     |
| <b>b</b> | Cash distribution test (attach the required schedule) . . . . .                                                                                              | <b>3b</b> | NONE     |
| <b>4</b> | <b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4                                            | <b>4</b>  | 150,695. |
| <b>5</b> | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions. . . . . | <b>5</b>  | 2,565.   |
| <b>6</b> | <b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4 . . . . .                                                                              | <b>6</b>  | 148,130. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII Undistributed Income** (see instructions)

|                                                                                                                                                                                      | (a)<br>Corpus | (b)<br>Years prior to 2018 | (c)<br>2018 | (d)<br>2019 |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2019 from Part XI, line 7 . . . . .                                                                                                                       |               |                            |             | 88,233.     |
| 2 Undistributed income, if any, as of the end of 2019                                                                                                                                |               |                            |             |             |
| a Enter amount for 2018 only. . . . .                                                                                                                                                |               |                            | 13,161.     |             |
| b Total for prior years 20____, 20____, 20____                                                                                                                                       |               | NONE                       |             |             |
| 3 Excess distributions carryover, if any, to 2019                                                                                                                                    |               |                            |             |             |
| a From 2014 . . . . .                                                                                                                                                                | NONE          |                            |             |             |
| b From 2015 . . . . .                                                                                                                                                                | NONE          |                            |             |             |
| c From 2016 . . . . .                                                                                                                                                                | NONE          |                            |             |             |
| d From 2017 . . . . .                                                                                                                                                                | NONE          |                            |             |             |
| e From 2018 . . . . .                                                                                                                                                                | NONE          |                            |             |             |
| f Total of lines 3a through e . . . . .                                                                                                                                              | NONE          |                            |             |             |
| 4 Qualifying distributions for 2019 from Part XII, line 4 ▶ \$ 150,695.                                                                                                              |               |                            |             |             |
| a Applied to 2018, but not more than line 2a . . .                                                                                                                                   |               |                            | 13,161.     |             |
| b Applied to undistributed income of prior years (Election required - see instructions) . . . . .                                                                                    |               | NONE                       |             |             |
| c Treated as distributions out of corpus (Election required - see instructions) . . . . .                                                                                            | NONE          |                            |             |             |
| d Applied to 2019 distributable amount . . . . .                                                                                                                                     |               |                            |             | 88,233.     |
| e Remaining amount distributed out of corpus . . .                                                                                                                                   | 49,301.       |                            |             |             |
| 5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a) ) . . . . .                                        | NONE          |                            |             | NONE        |
| 6 Enter the net total of each column as indicated below                                                                                                                              |               |                            |             |             |
| a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5                                                                                                                                  | 49,301.       |                            |             |             |
| b Prior years' undistributed income. Subtract line 4b from line 2b. . . . .                                                                                                          |               | NONE                       |             |             |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . . |               | NONE                       |             |             |
| d Subtract line 6c from line 6b. Taxable amount - see instructions . . . . .                                                                                                         |               | NONE                       |             |             |
| e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount - see instructions . . . . .                                                                          |               |                            |             |             |
| f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020. . . . .                                                               |               |                            |             | NONE        |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) . . . . .       | NONE          |                            |             |             |
| 8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions) . . .                                                                                  | NONE          |                            |             |             |
| 9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a . . . . .                                                                                              | 49,301.       |                            |             |             |
| 10 Analysis of line 9:                                                                                                                                                               |               |                            |             |             |
| a Excess from 2015 . . . . .                                                                                                                                                         | NONE          |                            |             |             |
| b Excess from 2016 . . . . .                                                                                                                                                         | NONE          |                            |             |             |
| c Excess from 2017 . . . . .                                                                                                                                                         | NONE          |                            |             |             |
| d Excess from 2018 . . . . .                                                                                                                                                         | NONE          |                            |             |             |
| e Excess from 2019 . . . . .                                                                                                                                                         | 49,301.       |                            |             |             |

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling . . . . .

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

|                                                                                                                                                             | Tax year |          | Prior 3 years |          | (e) Total |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|----------|---------------|----------|-----------|
|                                                                                                                                                             | (a) 2019 | (b) 2018 | (c) 2017      | (d) 2016 |           |
| 2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .                      |          |          |               |          |           |
| b 85% of line 2a . . . . .                                                                                                                                  |          |          |               |          |           |
| c Qualifying distributions from Part XII, line 4, for each year listed . . . . .                                                                            |          |          |               |          |           |
| d Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .                                                           |          |          |               |          |           |
| e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .                                   |          |          |               |          |           |
| 3 Complete 3a, b, or c for the alternative test relied upon . . . . .                                                                                       |          |          |               |          |           |
| a "Assets" alternative test - enter                                                                                                                         |          |          |               |          |           |
| (1) Value of all assets . . . . .                                                                                                                           |          |          |               |          |           |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .                                                                                     |          |          |               |          |           |
| b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .                              |          |          |               |          |           |
| c "Support" alternative test - enter                                                                                                                        |          |          |               |          |           |
| (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . . |          |          |               |          |           |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .                                      |          |          |               |          |           |
| (3) Largest amount of support from an exempt organization . . . . .                                                                                         |          |          |               |          |           |
| (4) Gross investment income . . . . .                                                                                                                       |          |          |               |          |           |

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed

SEE STATEMENT 20

b The form in which applications should be submitted and information and materials they should include

SEE ATTACHED STATEMENT FOR LINE 2

c Any submission deadlines

SEE ATTACHED STATEMENT FOR LINE 2

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE ATTACHED STATEMENT FOR LINE 2

**Part XV** **Supplementary Information** *(continued)***3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business)      | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount   |
|-------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|--------------------------------------|-------------------------------------|----------|
| <b>a Paid during the year</b><br><br>SEE STATEMENT 26 |                                                                                                                    |                                      |                                     | 140,800. |
| <b>Total</b> . . . . .                                |                                                                                                                    |                                      | <b>3a</b>                           | 140,800. |
| <b>b Approved for future payment</b>                  |                                                                                                                    |                                      |                                     |          |
| <b>Total</b> . . . . .                                |                                                                                                                    |                                      | <b>3b</b>                           |          |



**Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations**

| 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?                                                                                                                                                             |           | Yes | No |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|-----|----|
| <b>a Transfers from the reporting foundation to a noncharitable exempt organization of</b>                                                                                                                                                                                                                                                                                                            |           |     |    |
| (1) Cash . . . . .                                                                                                                                                                                                                                                                                                                                                                                    | 1a(1)     |     | X  |
| (2) Other assets . . . . .                                                                                                                                                                                                                                                                                                                                                                            | 1a(2)     |     | X  |
| <b>b Other transactions</b>                                                                                                                                                                                                                                                                                                                                                                           |           |     |    |
| (1) Sales of assets to a noncharitable exempt organization . . . . .                                                                                                                                                                                                                                                                                                                                  | 1b(1)     |     | X  |
| (2) Purchases of assets from a noncharitable exempt organization . . . . .                                                                                                                                                                                                                                                                                                                            | 1b(2)     |     | X  |
| (3) Rental of facilities, equipment, or other assets . . . . .                                                                                                                                                                                                                                                                                                                                        | 1b(3)     |     | X  |
| (4) Reimbursement arrangements . . . . .                                                                                                                                                                                                                                                                                                                                                              | 1b(4)     |     | X  |
| (5) Loans or loan guarantees . . . . .                                                                                                                                                                                                                                                                                                                                                                | 1b(5)     |     | X  |
| (6) Performance of services or membership or fundraising solicitations . . . . .                                                                                                                                                                                                                                                                                                                      | 1b(6)     |     | X  |
| <b>c Sharing of facilities, equipment, mailing lists, other assets, or paid employees . . . . .</b>                                                                                                                                                                                                                                                                                                   | <b>1c</b> |     | X  |
| <b>d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.</b> |           |     |    |

[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

| b If "Yes," complete the following schedule. |                          |                                 |
|----------------------------------------------|--------------------------|---------------------------------|
| (a) Name of organization                     | (b) Type of organization | (c) Description of relationship |
|                                              |                          |                                 |
|                                              |                          |                                 |
|                                              |                          |                                 |
|                                              |                          |                                 |
|                                              |                          |                                 |

|                  |                                                                                                                                                                                                                                                                                                                      |                    |                         |
|------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|-------------------------|
| <b>Sign Here</b> | Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge |                    |                         |
|                  | <br>Signature of officer or trustee                                                                                                                                                                                               | 05/08/2020<br>Date | VICE PRESIDENT<br>Title |

|                                                                           |
|---------------------------------------------------------------------------|
| May the IRS discuss this return with the preparer shown below?            |
| See instructions <input type="checkbox"/> Yes <input type="checkbox"/> No |

|                                       |                            |                      |      |                                                 |      |
|---------------------------------------|----------------------------|----------------------|------|-------------------------------------------------|------|
| PNC BANK, N.A. BY:                    |                            |                      |      |                                                 |      |
| <b>Paid<br/>Preparer<br/>Use Only</b> | Print/Type preparer's name | Preparer's signature | Date | Check <input type="checkbox"/> if self-employed | PTIN |
|                                       | Firm's name ▶              |                      |      | Firm's EIN ▶                                    |      |
|                                       | Firm's address ▶           |                      |      | Phone no.                                       |      |

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES  
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| DESCRIPTION<br>-----                     | REVENUE<br>AND<br>EXPENSES<br>PER BOOKS<br>----- | NET<br>INVESTMENT<br>INCOME<br>----- |
|------------------------------------------|--------------------------------------------------|--------------------------------------|
| OTHER REVENUE                            |                                                  |                                      |
| AIA GROUP LTD SPONSORED ADR              | 120.                                             | 120.                                 |
| AT&T INC                                 | 513.                                             | 513.                                 |
| AT&T INC CALL 12/01/2026 UNSC            | -68.                                             | -68.                                 |
| ABBVIE INC                               | 831.                                             | 831.                                 |
| ADIDAS AG SPONSORED ADR                  | 32.                                              | 32.                                  |
| AGREE RLTY CORP REIT                     | 29.                                              | 29.                                  |
| INVESCO CONVERTIBLE SECURITIES FUND      | 963.                                             | 963.                                 |
| AIR LIQUIDE ADR                          | 30.                                              | 30.                                  |
| ALFA LAVAL AB-UNSPON ADR SEDOL B3F2F     | 40.                                              | 40.                                  |
| ALLIANZ SE SPON ADR                      | 191.                                             | 191.                                 |
| AMBEV SA-SPN ADR SEDOL BG804F6           | 24.                                              | 24.                                  |
| AMERICAN ELECTRIC POWER INC              | 37.                                              | 37.                                  |
| AMERICAN TOWER CORP CALL 07/15/2026 @ 10 | -14.                                             | -14.                                 |
| AMERICAN WATER WORKS CO INC              | 176.                                             | 176.                                 |
| AMERIPRISE FINANCIAL INC                 | 76.                                              | 76.                                  |
| AMGEN INC                                | 570.                                             | 570.                                 |
| ANTHEM INC                               | 14.                                              | 14.                                  |
| APPLE INC                                | 696.                                             | 696.                                 |
| APPLIED MATERIALS INC                    | 141.                                             | 141.                                 |
| ARCELORMITTAL CLASS REG ADR SEDOL BD4H9V | 28.                                              | 28.                                  |
| ATLANTIC UNION BANKSHARES CORP           | 21.                                              | 21.                                  |
| ATLAS COPCO AB SPONSORED ADR NEW REPSTG  | 76.                                              | 76.                                  |
| AUTOMATIC DATA PROCESSING INC            | 316.                                             | 316.                                 |
| AUTOMATIC DATA PROCESSNG CALL 06/15/2020 | -86.                                             | -86.                                 |
| AXA SPONSORED ADR                        | 145.                                             | 145.                                 |
| BAE SYSTEMS PLC SPONSORED ADR            | 113.                                             | 113.                                 |
| BASF SE SPONSORED ADR                    | 112.                                             | 112.                                 |
| BCE INC ISIN CA05534B7604 SEDOL B188TH2  | 327.                                             | 327.                                 |
| BHP GROUP PLC ADR                        | 84.                                              | 84.                                  |
| BOK FINL CORP NEW                        | 34.                                              | 34.                                  |
| BP PLC SPONSORED ADR                     | 450.                                             | 450.                                 |
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## FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

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| DESCRIPTION                              | REVENUE<br>AND<br>EXPENSES<br>PER BOOKS | NET<br>INVESTMENT<br>INCOME |
|------------------------------------------|-----------------------------------------|-----------------------------|
| -----                                    | -----                                   | -----                       |
| BALCHEM CORP CL B                        | 6.                                      | 6.                          |
| BANCO BILBAO VIZCAYA ARGENTARIA S A      | 249.                                    | 249.                        |
| BANCO SANTANDER S A ADR                  | 37.                                     | 37.                         |
| BANK OF AMERICA CORP                     | 322.                                    | 322.                        |
| BANK OF AMERICA CORP SER MTN UNSC        | -65.                                    | -65.                        |
| BANK NEW YORK MELLON CORP COM            | 177.                                    | 177.                        |
| BARNES GROUP INC                         | 13.                                     | 13.                         |
| BAXTER INTERNATIONAL INC                 | 107.                                    | 107.                        |
| BAYERISCHE MOTOREN-SPON ADR SEDOL BD6KTC | 69.                                     | 69.                         |
| BECTON DICKINSON & CO                    | 25.                                     | 25.                         |
| BENCHMARK ELECT                          | 20.                                     | 20.                         |
| BIG LOTS INC                             | 32.                                     | 32.                         |
| BLACKROCK HIGH YIELD BOND PORTFOLIO CL I | 7,138.                                  | 7,138.                      |
| BOEING CO                                | 427.                                    | 427.                        |
| BORG WARNER INC.                         | 122.                                    | 122.                        |
| BROADCOM INC                             | 65.                                     | 65.                         |
| CDW CORP/DE                              | 19.                                     | 19.                         |
| CIGNA CORP                               |                                         |                             |
| CME GROUP INC CALL 03/15/2028 UNSC       | 101.                                    | 101.                        |
| CSX CORP COM                             | 4.                                      | 4.                          |
| CTS CORP COM                             | -39.                                    | -39.                        |
| CVS HEALTH CORP CALL 01/20/2045 @ 100.00 | 270.                                    | 270.                        |
| CAN IMPERIAL BK OF COMMERCE SEDOL 217052 | 58.                                     | 58.                         |
| CANADIAN NATL RAILWAY CO SEDOL 2210959   | 43.                                     | 43.                         |
| CAPITAL ONE FINANCIAL CORP               | 10.                                     | 10.                         |
| CAROLINA FINANCIAL CORP MERGED 05/01/20  | 14.                                     | 14.                         |
| CARPENTER TECHNOLOGY CORP                | 216.                                    | 216.                        |
| CELANESE CORP                            | -41.                                    | -41.                        |
| CHARTER COMM OPT LLC/CAP SER WI CALL 04/ | 1.                                      | 1.                          |
| CHENIERE CORP CHRISTI HD SER WI SECR     | 893.                                    | 893.                        |
| CHEVRON CORPORATION                      | 95.                                     | 95.                         |
| CHINA MOBILE HK LTD SPON ADR             | 14.                                     | 14.                         |
| CHUGAI PHARMACEUTIC-UNSP ADR SEDOL B39GH |                                         |                             |
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## FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

| DESCRIPTION                              | REVENUE<br>AND<br>EXPENSES<br>PER BOOKS | NET<br>INVESTMENT<br>INCOME |
|------------------------------------------|-----------------------------------------|-----------------------------|
| -----                                    | -----                                   | -----                       |
| CISCO SYS INC COM                        | 442.                                    | 442.                        |
| CITIGROUP INC                            | 269.                                    | 269.                        |
| CITIZENS FINANCIAL GROUP                 | 449.                                    | 449.                        |
| COCACOLA CO                              | 327.                                    | 327.                        |
| COLUMBIA BKG SYS INC                     | 29.                                     | 29.                         |
| COMCAST CORPORATION CL A                 | 262.                                    | 262.                        |
| COMCAST CORP CALL 07/15/2028 COGT        | -14.                                    | -14.                        |
| COMMERCE BANCSHARES INC                  | 7.                                      | 7.                          |
| COMMONSPIRIT HEALTH CALL 04/01/2029 SECR | -31.                                    | -31.                        |
| CONOCOPHILLIPS                           | 200.                                    | 200.                        |
| CORTEVA INC-W/I                          | 7.                                      | 7.                          |
| COSTCO WHSL CORP NEW COM                 | 101.                                    | 101.                        |
| CROWN CASTLE INTL CORP                   | 176.                                    | 176.                        |
| CROWN CASTLE INTL CORP CALL 11/15/2028 U | -29.                                    | -29.                        |
| CULLEN FROST BANKERS INC                 | 42.                                     | 42.                         |
| CYRUSONE LP/CYRUSONE FIN CALL 08/15/2029 | -1.                                     | -1.                         |
| DBS GROUP HLDGS LTD SPONSORED ADR        | 370.                                    | 370.                        |
| DNB ASA-SPONSOR ADR ADR SEDOL B59G6H2    | 124.                                    | 124.                        |
| DAIMLER AG-UNSPONSORED ADR SEDOL BYVH331 | 143.                                    | 143.                        |
| DANAHER CORP                             | 79.                                     | 79.                         |
| DANONE SPONS ADR                         | 71.                                     | 71.                         |
| DASSAULT SYSTEMS SA SPON ADR             | 12.                                     | 12.                         |
| DAVE & BUSTER'S ENTERTAINM               | 4.                                      | 4.                          |
| DENTSU GROUP INC ADR SEDOL B2RB0M1       | 12.                                     | 12.                         |
| DEUTSCHE BOERSE AG UNSPN ADR             | 60.                                     | 60.                         |
| DEUTSCHE POST AG SPON ADR                | 95.                                     | 95.                         |
| DIAGEO P L C SPNSRD ADR NEW              | 36.                                     | 36.                         |
| DISNEY WALT CO                           | 25.                                     | 25.                         |
| DODGE & COX INCOME FUND                  | 2,426.                                  | 2,426.                      |
| DOMINION RES INC VA NEW COM              | 388.                                    | 388.                        |
| DOW INC                                  | 81.                                     | 81.                         |
| DOWDUPONT INC REV SPLIT 06/03/19         | 94.                                     | 94.                         |
| DUKE ENERGY HOLDING CORP                 | 292.                                    | 292.                        |
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## FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

| DESCRIPTION                              | REVENUE<br>AND<br>EXPENSES<br>PER BOOKS | NET<br>INVESTMENT<br>INCOME |
|------------------------------------------|-----------------------------------------|-----------------------------|
| -----                                    | -----                                   | -----                       |
| DUPONT DE NEMOURS INC-WI                 | 17.                                     | 17.                         |
| E.ON SE ADR                              | 113.                                    | 113.                        |
| EAST WEST BANCORP INC                    | 39.                                     | 39.                         |
| EASTERLY GOVERNMENT PROPERTI             | 72.                                     | 72.                         |
| EASTMAN CHEM CO                          | 223.                                    | 223.                        |
| ENBRIDGE INC SEDOL 2478906               | 117.                                    | 117.                        |
| ENGIE ADR SEDOL BYY9W15                  | 145.                                    | 145.                        |
| EPIROC AKTIEBOLAG-UNSP ADR ADR SEDOL BD0 | 32.                                     | 32.                         |
| EQUIFAX INC                              | 8.                                      | 8.                          |
| EQUITY LIFESTYLE PROPERTIES REIT         | 31.                                     | 31.                         |
| ESSILOR INTL ADR                         | 49.                                     | 49.                         |
| EXXON MOBIL CORP                         | 333.                                    | 333.                        |
| FANUC CORP ADR                           | 52.                                     | 52.                         |
| FHLMC MULTIFAMILY STRUCTURED P SERIES K0 | -5.                                     | -5.                         |
| FEDERAL NATL MTG ASSN POOL AS8487        | -5.                                     | -5.                         |
| FEDERAL NATL MTG ASSN POOL AS8684        | -12.                                    | -12.                        |
| FEDERAL NATL MTG ASSN POOL BM4600        | -37.                                    | -37.                        |
| FEDERAL NATL MTG ASSN POOL CA2626        | -18.                                    | -18.                        |
| FEDERAL NATL MTG ASSN POOL CA2348        | -17.                                    | -17.                        |
| FEDERAL NATL MTG ASSN POOL FM1152        | -5.                                     | -5.                         |
| FEDERAL NATL MTG ASSN POOL 890789        | -15.                                    | -15.                        |
| FEDEX CORPORATION                        | 104.                                    | 104.                        |
| FIDELITY NATIONAL INFORMATION            | 20.                                     | 20.                         |
| FOMENTO ECONOMICO MEXICANO SAB DE CV SPO | 38.                                     | 38.                         |
| FORTIVE CORP                             | 3.                                      | 3.                          |
| FORWARD AIR CORP COM                     | 17.                                     | 17.                         |
| FRANKLIN ELECTRIC INC                    | 14.                                     | 14.                         |
| FUCHS PETROLUB SE-PREF ADR ADR SEDOL B70 | 35.                                     | 35.                         |
| GMAC INC CO GUARNT                       | -25.                                    | -25.                        |
| GENERAL DYNAMICS CORP                    | 56.                                     | 56.                         |
| GENERAL MILLS INC COM                    | 83.                                     | 83.                         |
| GENERAL MOTORS FINL CO COGT              | -1.                                     | -1.                         |
| GEORGE WASHINGTON UNIVER SER 2018 CALL 0 | -29.                                    | -29.                        |
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## FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

| DESCRIPTION                              | REVENUE<br>AND<br>EXPENSES<br>PER BOOKS | NET<br>INVESTMENT<br>INCOME |
|------------------------------------------|-----------------------------------------|-----------------------------|
| -----                                    | -----                                   | -----                       |
| GEORGIA-PACIFIC CORP SR NTS SERIES 144A  | -71.                                    | -71.                        |
| GETTY RLTY CORP NEW REIT                 | 8.                                      | 8.                          |
| GILEAD SCIENCES INC COM                  | 134.                                    | 134.                        |
| GLAXO WELLCOME PLC SPONSORED ADR         | 328.                                    | 328.                        |
| GLOBAL PAYMENTS INC-W/I                  | 1.                                      | 1.                          |
| GOLDMAN SACHS GROUP INC CALL 11/16/2025  | -2.                                     | -2.                         |
| GRANITE CONSTRUCTION INC                 | 14.                                     | 14.                         |
| GREAT WESTERN BANCORP INC                | 30.                                     | 30.                         |
| GRIFOLS S A ADR                          | 30.                                     | 30.                         |
| HCA HEALTHCARE INC                       | 3.                                      | 3.                          |
| HDFC BANK LTD SPON - ADR                 | 13.                                     | 13.                         |
| HSBC HLDGS PLC SPONSORED ADR NEW         | 187.                                    | 187.                        |
| HALLIBURTON CO                           | 40.                                     | 40.                         |
| HARDING LOEVNER EMERGING MARKETS PORTOFL | 742.                                    | 742.                        |
| HITACHI LTD ADR 10 COMMON                | 28.                                     | 28.                         |
| HOME DEPOT INC COM                       | 484.                                    | 484.                        |
| HONEYWELL INTL INC                       | 13.                                     | 13.                         |
| HUNTINGTON BANCSHARES INC                | 125.                                    | 125.                        |
| ICICI BANK LTD SPON ADR                  | 5.                                      | 5.                          |
| IDACORP INC COM                          | 41.                                     | 41.                         |
| INDUSTRIA DE DISENO TEXTIL IND ADR       | 81.                                     | 81.                         |
| INFINEON TECHNOLOGIES ADR                | 35.                                     | 35.                         |
| INTEL CORP                               | 239.                                    | 239.                        |
| INTERCONTINENTAL EXCHANGE INC            | 7.                                      | 7.                          |
| INTESA SAN PAOLO SPA                     | 218.                                    | 218.                        |
| INTUIT SOFTWARE                          | 14.                                     | 14.                         |
| ISHARES TIPS BOND ETF                    | 2,824.                                  | 2,824.                      |
| ISHARES TR S&P 500 INDEX FD              | 359.                                    | 359.                        |
| ISHARES MSCI EMERGING MKTS INDEX FUND    | 602.                                    | 602.                        |
| ISHARES TR MSCI EAFE IDX                 | 1,560.                                  | 1,560.                      |
| ISHARES TR RUSSELL MIDCAP INDEX FD       | 780.                                    | 780.                        |
| ISHARES TR S&P MIDCAP 400                | 147.                                    | 147.                        |
| ISHARES CORE S & P US VALUE ETF          | 79.                                     | 79.                         |
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 =====

| DESCRIPTION<br>-----                     | REVENUE<br>AND<br>EXPENSES<br>PER BOOKS<br>----- | NET<br>INVESTMENT<br>INCOME<br>----- |
|------------------------------------------|--------------------------------------------------|--------------------------------------|
| ISHARES TR S&P SMLCAP 600                | 100.                                             | 100.                                 |
| ISHARES PREFERRED & INCOME SECURITIES ET | 2,547.                                           | 2,547.                               |
| ISHARES FLOATING RATE BOND ETF           | 2,089.                                           | 2,089.                               |
| ISHARES EDGE MSCI MIN VOL USA ETF        | 992.                                             | 992.                                 |
| ISHARES EDGE MSCI USA QUALITY FACTOR ETF | 183.                                             | 183.                                 |
| ISHARES EDGE MSCI USA VALUE FACTOR ETF   | 229.                                             | 229.                                 |
| ISHARES EDGE MSCI USA MOMENTUM FACTOR ET | 48.                                              | 48.                                  |
| ISHARES CORE MSCI EAFE ETF ETF           | 573.                                             | 573.                                 |
| ISHARES CORE MSCI EMERGING MARKETS       | 371.                                             | 371.                                 |
| ITAU UNIBANCO BANCO HOLDING SPONSERED AD | 177.                                             | 177.                                 |
| JGC CORP UBSPONSORED ADR                 | 22.                                              | 22.                                  |
| J P MORGAN CHASE & CO COM                | 950.                                             | 950.                                 |
| JPMORGAN CHASE & CO CALL 07/21/2026 @ 10 | -12.                                             | -12.                                 |
| JOHNSON & JOHNSON COM                    | 168.                                             | 168.                                 |
| K.FORCE INC                              | 18.                                              | 18.                                  |
| KIMBERLY-CLARK CORP COM                  | 145.                                             | 145.                                 |
| KINDER MORGAN INC CALL 12/01/2027 COGT   | -28.                                             | -28.                                 |
| KOHL'S CORP COM                          | 281.                                             | 281.                                 |
| KOMATSU LTD SPON ADR                     | 52.                                              | 52.                                  |
| KROGER CO                                | 18.                                              | 18.                                  |
| KUBOTA CORP SPON ADR                     | 37.                                              | 37.                                  |
| L OREAL CO UNSPONSORED ADR               | 64.                                              | 64.                                  |
| LTC PPTYS INC COM                        | 50.                                              | 50.                                  |
| LA Z BOY INC                             | 12.                                              | 12.                                  |
| LAM RESEARCH CORP                        | 168.                                             | 168.                                 |
| LAUDER ESTEE COS INC CL A                | 156.                                             | 156.                                 |
| LENNAR CORP                              | 7.                                               | 7.                                   |
| LILLY ELI & CO                           | 258.                                             | 258.                                 |
| LITTELFUSE INC                           | 10.                                              | 10.                                  |
| LLOYDS TSB GROUP PLC SPONSORED ADR       | 55.                                              | 55.                                  |
| LOCKHEED MARTIN CORP                     | 24.                                              | 24.                                  |
| LONZA GROUP AG UNSPON ADR                | 22.                                              | 22.                                  |
| MTS SYSTEMS CORP                         | 30.                                              | 30.                                  |
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FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES  
 =====

| DESCRIPTION<br>-----                     | REVENUE<br>AND<br>EXPENSES<br>PER BOOKS<br>----- | NET<br>INVESTMENT<br>INCOME<br>----- |
|------------------------------------------|--------------------------------------------------|--------------------------------------|
| MARRIOTT INTERNATIONAL INC CL A          | 148.                                             | 148.                                 |
| MARTIN MARIETTA MATLS INC                | 23.                                              | 23.                                  |
| MASTERCARD INC CL A                      | 18.                                              | 18.                                  |
| MCCORMICK & CO INC COM NON VTG           | 160.                                             | 160.                                 |
| MCDONALDS CORP COM                       | 321.                                             | 321.                                 |
| MCDONALD'S CORP CALL 10/30/2025 @ 100.00 | -29.                                             | -29.                                 |
| MERCANTILE BANK CORP COM                 | 21.                                              | 21.                                  |
| METHODE ELECTRS INC CL A                 | 11.                                              | 11.                                  |
| MICROSOFT CORP                           | 569.                                             | 569.                                 |
| MICROCHIP TECHNOLOGY INC                 | 51.                                              | 51.                                  |
| MITSUBISHI UFJ FINL GRP ADR              | 52.                                              | 52.                                  |
| MONDELEZ INTERNATIONAL                   | 21.                                              | 21.                                  |
| MORGAN STANLEY                           | 299.                                             | 299.                                 |
| MOVADO GROUP INC                         | 15.                                              | 15.                                  |
| MULTI-COLOR CORP                         | 1.                                               | 1.                                   |
| MURATA MFG CO LTD ADR UNSPON ADR         | 24.                                              | 24.                                  |
| NASPERS LTD ADR                          | 8.                                               | 8.                                   |
| NATIONAL FUEL GAS CO N J COM             | 64.                                              | 64.                                  |
| NATIONAL GRID GROUP PLC SEDOL BZ8FYV0    | 293.                                             | 293.                                 |
| NAVIGANT CONSULTING INC COM              | 5.                                               | 5.                                   |
| NESTLE S A SPONSORED ADR REPSTG REG SH   | 145.                                             | 145.                                 |
| NEXSTAR MEDIA GROUP INC                  | 28.                                              | 28.                                  |
| NEXTERA ENERGY INC                       | 23.                                              | 23.                                  |
| NIKE INC CL B                            | 16.                                              | 16.                                  |
| NORTHERN STATES PWR-MINN CALL 09/01/2049 | -25.                                             | -25.                                 |
| NORTHROP GRUMMAN CORPORATION             | 180.                                             | 180.                                 |
| NOVARTIS AG SPONSORED ADR                | 85.                                              | 85.                                  |
| NOVOZYMES A/S UNSPONSORED ADR            | 21.                                              | 21.                                  |
| NVIDIA CORP                              | 1.                                               | 1.                                   |
| NUTRIEN LTD SEDOL BDRJLN0                | 41.                                              | 41.                                  |
| OCCIDENTAL PETE CORP COM                 | 598.                                             | 598.                                 |
| OLD NATIONAL BANCORP COM                 | 37.                                              | 37.                                  |
| ORANGE ADR                               | 72.                                              | 72.                                  |

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES  
 =====

| DESCRIPTION                              | REVENUE<br>AND<br>EXPENSES<br>PER BOOKS | NET<br>INVESTMENT<br>INCOME |
|------------------------------------------|-----------------------------------------|-----------------------------|
| -----                                    | -----                                   | -----                       |
| OSHKOSH CORPORATION CLASS B              | 41.                                     | 41.                         |
| OTSUKA HOLDINGS LTD ADR SEDOL B76XH88    | 35.                                     | 35.                         |
| PC CONNECTION INC                        | 8.                                      | 8.                          |
| LUKOIL PJSC-SPON ADR ADR SEDOL BYZF386   | 49.                                     | 49.                         |
| PPG INDS INC COM                         | 42.                                     | 42.                         |
| PPL CORPORATION COM                      | 264.                                    | 264.                        |
| PARKER HANNIFIN CORP                     | 48.                                     | 48.                         |
| PARKER-HANNIFIN CORP CALL 03/14/2029 UNS |                                         |                             |
| PEPSICO INC COM                          | 138.                                    | 138.                        |
| PFIZER INC COM                           | 566.                                    | 566.                        |
| PHILIP MORRIS INTERNAT-W/I               | 73.                                     | 73.                         |
| PHILLIPS 66                              | 102.                                    | 102.                        |
| PING AN INSURANCE (GROUP) CO OF CHINA    | 61.                                     | 61.                         |
| PIONEER NAT RES CO                       | 8.                                      | 8.                          |
| PRICE T ROWE GROUP INC COM               | 274.                                    | 274.                        |
| PROCTER & GAMBLE CO                      | 96.                                     | 96.                         |
| RELX CAPITAL INC CALL 12/18/2028 COGT    | -22.                                    | -22.                        |
| RAYTHEON COMPANY                         | 185.                                    | 185.                        |
| REALTY INCOME CORP COM                   | 85.                                     | 85.                         |
| REGIONS FINANCIAL CORP                   | 37.                                     | 37.                         |
| REPSOL SA SPONSORED ADR                  | 130.                                    | 130.                        |
| RIO TINTO PLC                            | 53.                                     | 53.                         |
| ROCHE HOLDINGS LTD ADR                   | 172.                                    | 172.                        |
| ROPER INDS INC NEW                       | 7.                                      | 7.                          |
| ROYAL DSM N V SPONSORED ADR              | 66.                                     | 66.                         |
| ROYAL DUTCH SHELL PLC ADR B              | 195.                                    | 195.                        |
| ROYAL DUTCH SHELL PLC ADR A              | 621.                                    | 621.                        |
| S&P GLOBAL INC                           | 177.                                    | 177.                        |
| SAP SE SPONSORED ADR                     | 127.                                    | 127.                        |
| SASOL LTD SPONSORED ADR                  | 16.                                     | 16.                         |
| SCHLUMBERGER LTD COM                     | 78.                                     | 78.                         |
| SCHWAB CHARLES CORP NEW                  | 12.                                     | 12.                         |
| SCHWAB US REIT ETF                       | 118.                                    | 118.                        |
| FCL795 N23R 05/08/2020 12:53:59          | 38-21420010015095                       |                             |

## FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

| DESCRIPTION                              | REVENUE<br>AND<br>EXPENSES<br>PER BOOKS | NET<br>INVESTMENT<br>INCOME |
|------------------------------------------|-----------------------------------------|-----------------------------|
| -----                                    | -----                                   | -----                       |
| SCHWAB INTERNATIONAL SMALL CAP EQUITY    | 168.                                    | 168.                        |
| SECOM LTD UNSPON ADR                     | 25.                                     | 25.                         |
| SELECTED INS GROUP INC                   | 22.                                     | 22.                         |
| SEMPRA ENERGY                            | 30.                                     | 30.                         |
| SENSIENT TECHNOLOGIES CORP               | 25.                                     | 25.                         |
| SILICON MOTION TECHNOL ADR               | 24.                                     | 24.                         |
| SIMON PROPERTY GROUP LP CALL 10/15/2025  | -30.                                    | -30.                        |
| SMITH & NEPHEW PLC SPDN ADR              | 16.                                     | 16.                         |
| SONY CORP AMERICAN SHARE NEW SPONSORED A | 18.                                     | 18.                         |
| SONOVA HLDG AG ADR                       | 29.                                     | 29.                         |
| SOUTH STATE CORP                         | 29.                                     | 29.                         |
| SOUTHERN CO                              | 266.                                    | 266.                        |
| STANDARD MOTOR PRODUCTS INC              | 18.                                     | 18.                         |
| STIFEL FINL CORP                         | 16.                                     | 16.                         |
| STRYKER CORP                             | 152.                                    | 152.                        |
| STURM RUGER & CO INC                     | 7.                                      | 7.                          |
| SUN CMNTYS INC                           | 36.                                     | 36.                         |
| SUNTRUST BANKS INC COM                   | 466.                                    | 466.                        |
| SYMANTEC CORP COM                        | 14.                                     | 14.                         |
| SYMRISE AG UNSPON ADR                    | 23.                                     | 23.                         |
| SYSTEMEX CORP UNSPONSORED ADR            | 19.                                     | 19.                         |
| TD AMERITRADE HOLDING CORP               | 23.                                     | 23.                         |
| TAIWAN SEMICONDUCTOR MTG CO ADR          | 245.                                    | 245.                        |
| TC ENERGY CORPORATION SEDOL BJMY6F9      | 20.                                     | 20.                         |
| TEMENOS AG ADR SEDOL B96QTM9             | 10.                                     | 10.                         |
| TEMPLETON GLOBAL BOND FUND AD FUND       | 439.                                    | 439.                        |
| TEMPLETON GLOBAL BOND FUND R6            | 873.                                    | 873.                        |
| TENCENT HOLDINGS LTD UNSPON ADR          | 12.                                     | 12.                         |
| TESCO PLC SPON ADR                       | 68.                                     | 68.                         |
| TETRA TECH INC NEW COM                   | 13.                                     | 13.                         |
| TEXAS INSTRS INC COM                     | 514.                                    | 514.                        |
| TORAY INDUSTRIES - ADR ISIN US8908802064 | 27.                                     | 27.                         |
| TOTAL FINA S A                           | 805.                                    | 805.                        |
| FCL795 N23R 05/08/2020 12:53:59          | 38-21420010015095                       |                             |

## FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

| DESCRIPTION                               | REVENUE<br>AND<br>EXPENSES<br>PER BOOKS | NET<br>INVESTMENT<br>INCOME |
|-------------------------------------------|-----------------------------------------|-----------------------------|
| -----                                     | -----                                   | -----                       |
| TRANSUNION                                | 9.                                      | 9.                          |
| TYSON FDS INC COM                         | 25.                                     | 25.                         |
| UMB FINL CORP                             | 14.                                     | 14.                         |
| UNI CHARM CORP SPON ADR                   | 6.                                      | 6.                          |
| UNILEVER PLC W/I SPONSORED ADR            | 82.                                     | 82.                         |
| UNILEVER N V N Y SHS NEW                  | 73.                                     | 73.                         |
| UNION BANKSHARES CORP NAME CHANGE 05/20/  | 18.                                     | 18.                         |
| UNITED BANKSHARES INC WEST V COM          | 57.                                     | 57.                         |
| UNITED PARCEL SERVICE INC CL B            | 190.                                    | 190.                        |
| USA TREASURY BOND 06.250% DUE 08/15/2023  | -67.                                    | -67.                        |
| USA TREASURY NOTE 04.500% DUE 02/15/2036  | -106.                                   | -106.                       |
| USA TREASURY NOTE 03.500% DUE 02/15/2039  | -24.                                    | -24.                        |
| USA TREASURY NOTES 02.000% DUE 11/30/202  | -7.                                     | -7.                         |
| UNITED TECHNOLOGIES CORP COM              | 265.                                    | 265.                        |
| UNITEDHEALTH GROUP INC COM                | 252.                                    | 252.                        |
| UNITIL CORPORATION                        | 16.                                     | 16.                         |
| VENTAS INC                                | 190.                                    | 190.                        |
| VERIZON COMMUNICATIONS COM                | 314.                                    | 314.                        |
| VERIZON COMMUNICATIONS CALL 11/08/2028 U  | -27.                                    | -27.                        |
| VISA INC CLASS A SHARES                   | 132.                                    | 132.                        |
| VISA INC CALL 09/14/2025 @ 100.000 UNSC   |                                         |                             |
| VODAPHONE GROUP PLC                       | 238.                                    | 238.                        |
| WEC ENERGY GROUP INC                      | 448.                                    | 448.                        |
| WALGREENS BOOTS ALLIANCE INC              | 47.                                     | 47.                         |
| WASTE MANAGEMENT INC                      | 297.                                    | 297.                        |
| WASTE MANAGEMENT INC CALL 04/15/2026 COG  | -4.                                     | -4.                         |
| WELLTOWER INC                             | 184.                                    | 184.                        |
| WISDOMTREE U.S. QUALITY DIVI ETF          | 194.                                    | 194.                        |
| WORLDPAY INC MERGED 07/31/19 @ \$11.00 P/ | 165.                                    | 165.                        |
| WYNN RESORTS LTD                          | 34.                                     | 34.                         |
| FEDERATED GOVERNMENT OBL-SEL FD 07        | 206.                                    | 206.                        |
| PNC GOVT MONEY MARKET FUND #405           | 2,139.                                  | 2,139.                      |
| ALLEGION PLC SEDOL BFRT3W7                | 14.                                     | 14.                         |
| FCL795 N23R 05/08/2020 12:53:59           |                                         |                             |

38-21420010015095

STATEMENT 10

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES  
=====

| DESCRIPTION                              | REVENUE<br>AND<br>EXPENSES<br>PER BOOKS | NET<br>INVESTMENT<br>INCOME |
|------------------------------------------|-----------------------------------------|-----------------------------|
| -----                                    | -----                                   | -----                       |
| AMCOR PLC SEDOL BJ1F307                  | 39.                                     | 39.                         |
| ARGO GROUP INTERNATIONAL ISIN BMG0464B10 | 21.                                     | 21.                         |
| INGERSOLL-RAND PLC EXCHANGE 3/02/2020    | 32.                                     | 32.                         |
| INVESCO LTD ISIN BMG491BT1088 SEDOL B28X | 107.                                    | 107.                        |
| JOHNSON CTLS INTL PLC SEDOL BY7QL61      | 67.                                     | 67.                         |
| LINDE PLC SEDOL BZ12WP8                  | 57.                                     | 57.                         |
| MARVELL TECHNOLOGY GROUP LTD             | 3.                                      | 3.                          |
| MEDTRONIC PLC SEDOL BTN1Y11              | 62.                                     | 62.                         |
| APTIV PLC-WHEN ISSUED SEDOL B783TY6      | 13.                                     | 13.                         |
| CHUBB LTD SEDOL B3BQMF6                  | 65.                                     | 65.                         |
| TE CONNECTIVITY LTD SEDOL B62B7C3 ISIN C | 291.                                    | 291.                        |
| ASML HOLDING NV-NY SEDOL B908F01         | 41.                                     | 41.                         |
| LYONDELLBASELL INDUSTRIES N.V. ISIN NL00 | 51.                                     | 51.                         |
| NXP SEMICONDUCTORS ISIN NL0009538784 SED | 16.                                     | 16.                         |
| ROYAL CARIBBEAN CRUISES LTD SEDOL 275490 | 230.                                    | 230.                        |
|                                          | -----                                   | -----                       |
| TOTAL                                    | 61,367.                                 | 61,367.                     |
|                                          | =====                                   | =====                       |



FORM 990PF, PART I - TAXES  
=====

| DESCRIPTION<br>-----          | REVENUE<br>AND<br>EXPENSES<br>PER BOOKS<br>----- | NET<br>INVESTMENT<br>INCOME<br>----- |
|-------------------------------|--------------------------------------------------|--------------------------------------|
| FOREIGN TAXES                 | 1,574.                                           | 1,574.                               |
| FEDERAL ESTIMATES - INCOME    | 1,750.                                           |                                      |
| FEDERAL ESTIMATES - PRINCIPAL | 583.                                             |                                      |
|                               | -----                                            | -----                                |
| TOTALS                        | 3,907.                                           | 1,574.                               |
|                               | =====                                            | =====                                |

FORM 990PF, PART I - OTHER EXPENSES  
=====

| DESCRIPTION<br>----- | REVENUE<br>AND<br>EXPENSES<br>PER BOOKS<br>----- | NET<br>INVESTMENT<br>INCOME<br>----- | CHARITABLE<br>PURPOSES<br>----- |
|----------------------|--------------------------------------------------|--------------------------------------|---------------------------------|
| MAP MANAGEMENT FEES  | 2,203.                                           | 2,203.                               |                                 |
| GRANTMAKING FEES     | 6,522.                                           |                                      | 6,522.                          |
| ADR SERVICE FEES     | 217.                                             | 217.                                 |                                 |
| TOTALS               | 8,942.                                           | 2,420.                               | 6,522.                          |
|                      | =====                                            | =====                                | =====                           |

THE LOWELL F. JOHNSON FOUNDATION

52-1776774

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS  
=====

| DESCRIPTION<br>----- | ENDING<br>BOOK VALUE<br>----- | ENDING<br>FMV<br>--- |
|----------------------|-------------------------------|----------------------|
| TREASURY BONDS       | 27,524.                       | 27,314.              |
| AGENCY BONDS         | 80,532.                       | 80,508.              |
|                      | -----                         | -----                |
| TOTALS               | 108,056.                      | 107,822.             |
|                      | =====                         | =====                |

THE LOWELL F. JOHNSON FOUNDATION

52-1776774

FORM 990PF, PART II - CORPORATE STOCK  
=====

DESCRIPTION  
-----

ENDING  
BOOK VALUE  
-----

ENDING  
FMV  
---

COMMON STOCK

1,218,525.

1,429,785.

TOTALS

1,218,525.

1,429,785.

THE LOWELL F. JOHNSON FOUNDATION

52-1776774

FORM 990PF, PART II - CORPORATE BONDS  
=====

| DESCRIPTION<br>----- | ENDING<br>BOOK VALUE<br>----- | ENDING<br>FMV<br>--- |
|----------------------|-------------------------------|----------------------|
| CORPORATE BONDS      | 78,216.                       | 78,111.              |
|                      | -----                         | -----                |
| TOTALS               | 78,216.                       | 78,111.              |
|                      | =====                         | =====                |

THE LOWELL F. JOHNSON FOUNDATION

52-1776774

FORM 990PF, PART II - OTHER INVESTMENTS  
=====

| DESCRIPTION<br>-----  | COST/<br>FMV<br>C OR F<br>----- | ENDING<br>BOOK VALUE<br>----- | ENDING<br>FMV<br>--- |
|-----------------------|---------------------------------|-------------------------------|----------------------|
| MUTUAL FUNDS - FIXED  | C                               | 194,041.                      | 198,267.             |
| MUTUAL FUNDS - EQUITY | C                               | 50,000.                       | 52,886.              |
| ETF FIXED INCOME      | C                               | 74,987.                       | 75,107.              |
| ETF EQUITY            | C                               | 399,907.                      | 424,823.             |
|                       |                                 | -----                         | -----                |
| TOTALS                |                                 | 718,935.                      | 751,083.             |
|                       |                                 | =====                         | =====                |

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES  
=====

| DESCRIPTION<br>-----                   | AMOUNT<br>----- |
|----------------------------------------|-----------------|
| ROUNDING ADJ FOR SALES AND TRANSACTION | 5.              |
| TAX COST ADJ. OF SECURITIES            | 368.            |
|                                        | -----           |
| TOTAL                                  | 373.            |
|                                        | =====           |

FORM 990PF, PART VII-A, LINE 14 - BOOKS ARE IN THE CARE OF  
=====

NAME: PNC BANK, NA  
TAX DEPARTMENT  
ADDRESS: 116 ALLEGHENY CENTER MALL  
PITTSBURGH, PA 15212  
  
TELEPHONE NUMBER: (412) 762-3818



THE LOWELL F. JOHNSON FOUNDATION  
FORM 990PF, PART XV - LINES 2a - 2d

52-1776774

=====

RECIPIENT NAME:

PNC Charitable Trust Grant Review Commit

ADDRESS:

The Tower at PNC Plaza, 300 Fifth Avenue (PT-PTWR-  
Pittsburgh, PA 15222

RECIPIENT'S PHONE NUMBER: 412-762-5157

FORM, INFORMATION AND MATERIALS:

Please reference the name of the trust in all communications

See footnote

SUBMISSION DEADLINES:

See footnote

RESTRICTIONS OR LIMITATIONS ON AWARDS:

See footnote

STATEMENT 20

=====

## RECIPIENT NAME:

John F. Kennedy Medical Center

## ADDRESS:

3001 WALNUT STREET

Philadelphia, PA 19104

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

GENERAL SUPPORT

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 5,000.

## RECIPIENT NAME:

AMERICAN FEDERATION FOR AGING

## ADDRESS:

55 WEST 39TH STREET, #16

NEW YORK, NY 10018

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

GENERAL SUPPORT

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 20,000.

## RECIPIENT NAME:

SOMERSET HEALTH CARE FOUNDATION

## ADDRESS:

110 REHILL AVENUE

SOMERVILLE, NJ 08876

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

GENERAL SUPPORT

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 2,500.

=====

## RECIPIENT NAME:

AMERICAN HEART ASSOCIATION, INC.

## ADDRESS:

7272 GREENVILLE AVE

DALLAS, TX 33742-2249

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

GENERAL SUPPORT

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 10,000.

## RECIPIENT NAME:

SUMMIT SPEECH SCHOOL

## ADDRESS:

705 CENTRAL AVENUE

NEW PROVIDENCE, NJ 07974

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

GENERAL SUPPORT

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 4,000.

## RECIPIENT NAME:

Robert Wood Johnson University Hospital

## ADDRESS:

1 ROBERT WOOD JOHNSON PLACE

New Brunswick, NJ 08901

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

GENERAL SUPPORT

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 10,000.

THE LOWELL F. JOHNSON FOUNDATION

52-1776774

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

JUNIOR ACHIEVEMENT OF NEW JERSEY

ADDRESS:

360 PEAR BLOSSOM DRIVE

EDISON, NJ 08837

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 5,000.

RECIPIENT NAME:

COLLEGE OF NEW JERSEY FOUNDATION

ADDRESS:

2000 PENNINGTON ROAD

EWING, NJ 08628

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 20,000.

RECIPIENT NAME:

Prevention Education Inc.

ADDRESS:

231 LAWRENCEVILLE ROAD

Lawrence Township, NJ 08648

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 3,000.

STATEMENT 23

=====

## RECIPIENT NAME:

EMANUEL COMMUNITY DEVELOPMENT CO

EMANUEL EVANGELICAL LUTHERAN CHURCH

## ADDRESS:

KIRKPATRICK NEW STREETS

NEW BRUNSWICK, NJ 08901

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

GENERAL SUPPORT

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 2,500.

## RECIPIENT NAME:

FULFILL

## ADDRESS:

3300 NJ-66

NEPTUNE CITY, NJ 07753

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

GENERAL SUPPORT

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 7,500.

## RECIPIENT NAME:

LITERACY VOLUNTEERS OF SOMERSET

COUNTY

## ADDRESS:

120 FINDERNE AVENUE - BOX 7

BRIDGEWATER, NJ 08807

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

TUTORING FOR ADULTS

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 5,000.

=====

## RECIPIENT NAME:

MOORESTOWN VISITING NURSE ASSOC

## ADDRESS:

300 HARPER DRIVE

MOORESTOWN, NJ 08057

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

SUPPORT OF HOME CARE PROGRAM

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 3,000.

## RECIPIENT NAME:

FOUNDATION FOR MORRISTOWN

MEDICAL CENTER

## ADDRESS:

475 SOUTH STREET

MORRISTOWN, NJ 07960

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

GENERAL SUPPORT

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 8,300.

## RECIPIENT NAME:

HABITAT FOR HUMANITY INTERNATIONAL INC

## ADDRESS:

100 WEST MAIN STREET

SOMERVILLE, NJ 08876

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

HABITAT HOMES

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 10,000.

THE LOWELL F. JOHNSON FOUNDATION

52-1776774

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

PLAINFIELD CITY UNION OF THE KINGS  
DAUGHTERS

ADDRESS:

502 WEST FRONT STREET  
PLAINFIELD, NJ 07060

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SUPPORT OF EARLY CARE AND EDUCATION

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 5,000.

RECIPIENT NAME:

HUNTERDON MEDICAL CENTER  
FOUNDATION INC

ADDRESS:

2100 WESCOTT DRIVE  
FLEMINGTON, NJ 08822

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

TELEHEALTH PROGRAM

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 20,000.

TOTAL GRANTS PAID:

140,800.

=====

FEDERAL FOOTNOTES

=====

SEE WEBSITE:

WWW.PNC.COM/EN/ABOUT-PNC/CORPORATE-RESPONSIBILITY/PHILANTHROPY/CHARITABLE-TRUSTS.HTML FOR CONTACT INFORMATION, APPLICATION, SUBMISSION GUIDELINES AND DEADLINES. ORGANIZATIONS INTERESTED IN SUBMITTING A GRANT PROPOSAL ARE URGED TO CONTACT THE CHARITABLE TRUST GRANT REVIEW COMMITTEE PRIOR TO SUBMITTING.



FEDERAL FOOTNOTES

=====

WWW.PNC.COM/EN/ABOUT-PNC/CORPORATE-RESPONSIBILITY/PHILANTHROPY/CHARITABLE-TRUSTS.HTML

THE LOWELL F. JOHNSON FOUNDATION  
Schedule D Detail of Short-term Capital Gains and Losses

52-1776774

| Description                                         | Date<br>Acquired | Date<br>Sold | Gross Sales<br>Price | Cost or Other<br>Basis | Short-term<br>Gain/Loss |
|-----------------------------------------------------|------------------|--------------|----------------------|------------------------|-------------------------|
| PUBLICLY TRADED SECURITIES                          |                  |              |                      |                        |                         |
| 37. AIA GROUP LTD SPONSORED ADR                     | 08/22/2018       | 01/03/2019   | 1,162.00             | 1,303.00               | -141.00                 |
| 64. FRESENIUS MED CARE SPONSORED ADR                | 08/22/2018       | 01/03/2019   | 2,013.00             | 3,226.00               | -1,213.00               |
| 5. TENCENT HOLDINGS LTD UNSPON ADR                  | 08/22/2018       | 01/14/2019   | 207.00               | 231.00                 | -24.00                  |
| 34. WEIBO CORP-SPON ADR ADR SEDOL<br>BLLJ4H7        | VAR              |              |                      |                        |                         |
| 125. FANUC CORP ADR                                 | 08/22/2018       | 01/22/2019   | 1,875.00             | 2,567.00               | -692.00                 |
| 2. ALEXION PHARMACEUTICALS INC                      | 08/22/2018       | 01/23/2019   | 2,046.00             | 2,491.00               | -445.00                 |
| 49. NASPERS LTD ADR                                 | 08/22/2018       | 01/28/2019   | 238.00               | 235.00                 | 3.00                    |
| 10. ACTIVISION BLIZZARD INC                         | 08/22/2018       | 02/04/2019   | 2,157.00             | 2,363.00               | -206.00                 |
| 2. ALEXION PHARMACEUTICALS INC                      | 08/22/2018       | 02/07/2019   | 438.00               | 713.00                 | -275.00                 |
| 6. CROWN CASTLE INTL CORP                           | 08/22/2018       | 02/07/2019   | 238.00               | 235.00                 | 3.00                    |
| 1. DOWDUPONT INC REV SPLIT 06/03/19                 | 08/22/2018       | 02/07/2019   | 707.00               | 671.00                 | 36.00                   |
| 1. FORTIVE CORP                                     | 08/22/2018       | 02/07/2019   | 52.00                | 69.00                  | -17.00                  |
| 1. SYMANTEC CORP COM                                | 08/22/2018       | 02/07/2019   | 74.00                | 80.00                  | -6.00                   |
| 1. TENCENT HOLDINGS LTD UNSPON ADR                  | 11/13/2018       | 02/07/2019   | 23.00                | 22.00                  | 1.00                    |
| 3. TESLA INC                                        | 08/22/2018       | 02/07/2019   | 44.00                | 46.00                  | -2.00                   |
| 1. TRANSUNION                                       | 11/06/2018       | 02/07/2019   | 930.00               | 1,038.00               | -108.00                 |
| 1. WORLDPAY INC MERGED 07/31/19 @<br>\$11.00 P/S    | 08/22/2018       | 02/07/2019   | 62.00                | 74.00                  | -12.00                  |
| 4. ALEXION PHARMACEUTICALS INC                      | 08/22/2018       | 02/07/2019   | 85.00                | 95.00                  | -10.00                  |
| 6. AMN HEALTHCARE SERVICES                          | 08/22/2018       | 02/12/2019   | 492.00               | 471.00                 | 21.00                   |
| 4. DORMAN PRODUCTS INC                              | 08/22/2018       | 02/20/2019   | 323.00               | 348.00                 | -25.00                  |
| 2. MASIMO CORPORATION                               | 08/22/2018       | 02/20/2019   | 363.00               | 316.00                 | 47.00                   |
| 5. UMB FINL CORP                                    | 08/22/2018       | 02/20/2019   | 258.00               | 233.00                 | 25.00                   |
| 3. DOLLAR TREE INC                                  | 08/22/2018       | 02/20/2019   | 343.00               | 382.00                 | -39.00                  |
| 2. EQUIFAX INC                                      | 08/22/2018       | 02/22/2019   | 291.00               | 282.00                 | 9.00                    |
| 42. KRAFT HEINZ CO/THE                              | 08/22/2018       | 02/22/2019   | 222.00               | 269.00                 | -47.00                  |
| 17. MULTI-COLOR CORP                                | VAR              | 02/22/2019   | 1,461.00             | 2,347.00               | -886.00                 |
| 9. PROCTER & GAMBLE CO                              | 08/22/2018       | 02/27/2019   | 848.00               | 1,045.00               | -197.00                 |
| .4 MULTICHOICE GROUP LTD - ADR ADR<br>SEDOL BJLKFN9 | 01/07/2019       | 03/01/2019   | 884.00               | 832.00                 | 52.00                   |
| 8. CANADIAN NATL RAILWAY CO SEDOL                   | 03/04/2019       | 03/05/2019   | 3.00                 | 3.00                   |                         |
| Totals 2210959                                      |                  |              |                      |                        |                         |

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THE LOWELL F. JOHNSON FOUNDATION  
Schedule D Detail of Short-term Capital Gains and Losses

52-1776774

| Description                         | Date<br>08/22/2018 | Date<br>Sold | Gross Sales<br>Price | Cost or Other<br>Basis | Short-term<br>Gain/Loss |
|-------------------------------------|--------------------|--------------|----------------------|------------------------|-------------------------|
| 58. GRUPO FIN BANORTE SPON ADR      | 08/22/2018         | 03/06/2019   | 687.00               | 708.00                 | -21.00                  |
| 38. LVMH MOET HENNESSY LOUIS ADR    | 08/22/2018         | 03/06/2019   | 1,569.00             | 2,042.00               | -473.00                 |
| 30. TENARIS SA ADR                  | 08/22/2018         | 03/06/2019   | 2,632.00             | 2,658.00               | -26.00                  |
| 1. MASIMO CORPORATION               | 08/22/2018         | 03/06/2019   | 800.00               | 1,019.00               | -219.00                 |
| 2. OMNICELL INC                     | 08/22/2018         | 03/12/2019   | 132.00               | 117.00                 | 15.00                   |
| 2. TESLA INC                        | 08/22/2018         | 03/12/2019   | 168.00               | 132.00                 | 36.00                   |
| 6. CROWN CASTLE INTL CORP           | 11/06/2018         | 03/15/2019   | 550.00               | 692.00                 | -142.00                 |
| 85. AIR LIQUIDE ADR                 | VAR                | 03/21/2019   | 759.00               | 686.00                 | 73.00                   |
| 8. DASSAULT SYSTEMS SA SPON ADR     | 08/22/2018         | 03/27/2019   | 2,147.00             | 2,131.00               | 16.00                   |
| 96. ITAU UNIBANCO BANCO HOLDING     | 08/22/2018         | 03/27/2019   | 1,155.00             | 1,254.00               | -99.00                  |
| SPONSERED ADR                       |                    |              |                      |                        |                         |
| 37. ROYAL DUTCH SHELL PLC ADR B     | 08/22/2018         | 03/27/2019   | 800.00               | 676.00                 | 124.00                  |
| .333 DOW INC                        | 08/22/2018         | 03/27/2019   | 2,354.00             | 2,492.00               | -138.00                 |
| 102. ISHARES EDGE MSCI USA MOMENTUM | 08/22/2018         | 04/10/2019   | 19.00                | 22.00                  | -3.00                   |
| 2. ANTHEM INC                       | 09/28/2018         | 04/11/2019   | 11,458.00            | 12,165.00              | -707.00                 |
| 5. MULTICHOICE GROUP LTD - ADR ADR  | 08/22/2018         | 04/16/2019   | 487.00               | 527.00                 | -40.00                  |
| SEDOL BJLKF9                        |                    |              |                      |                        |                         |
| 3. UNITEDHEALTH GROUP INC COM       | 03/04/2019         | 04/16/2019   | 44.00                | 33.00                  | 11.00                   |
| 25. AIA GROUP LTD SPONSORED ADR     | 08/22/2018         | 04/16/2019   | 663.00               | 785.00                 | -122.00                 |
| 18. ABBVIE INC                      | 08/22/2018         | 04/17/2019   | 1,017.00             | 881.00                 | 136.00                  |
| 25. ALLIANZ SE SPON ADR             | 11/12/2018         | 04/17/2019   | 1,404.00             | 1,602.00               | -198.00                 |
| 202. BAYER A G SPONSORED ADR        | 08/22/2018         | 04/17/2019   | 600.00               | 539.00                 | 61.00                   |
| 4. CROWN CASTLE INTL CORP           | 08/22/2018         | 04/17/2019   | 3,508.00             | 4,905.00               | -1,397.00               |
| 3. PROCTER & GAMBLE CO              | 11/30/2018         | 04/23/2019   | 493.00               | 453.00                 | 40.00                   |
| 40. ROCHE HOLDINGS LTD ADR          | 01/07/2019         | 04/23/2019   | 307.00               | 277.00                 | 30.00                   |
| 152. SEVEN & I HOLDINGS UNSPN ADR   | 04/18/2019         | 04/23/2019   | 1,303.00             | 1,303.00               |                         |
| 4. MASIMO CORPORATION               | 04/18/2019         | 04/23/2019   | 2,565.00             | 2,614.00               | -49.00                  |
| 2. NEXSTAR MEDIA GROUP INC          | 08/22/2018         | 04/25/2019   | 515.00               | 467.00                 | 48.00                   |
| 13. ACADIA HEALTH CARE CO INC       | 08/22/2018         | 04/25/2019   | 233.00               | 166.00                 | 67.00                   |
| 40. MONDELEZ INTERNATIONAL          | 11/12/2018         | 04/26/2019   | 420.00               | 559.00                 | -139.00                 |
| 3. NVIDIA CORP                      | 11/12/2018         | 05/03/2019   | 2,066.00             | 1,781.00               | 285.00                  |
| 6. SAP SE SPONSORED ADR             | 08/27/2018         | 05/15/2019   | 481.00               | 831.00                 | -350.00                 |
| 4. NVIDIA CORP                      | 04/18/2019         | 05/15/2019   | 759.00               | 678.00                 | 81.00                   |
| 7. EMERGENT BIOSOLUTIONS INC        | VAR                | 05/16/2019   | 636.00               | 941.00                 | -305.00                 |
| Totals                              | 08/22/2018         | 05/22/2019   | 304.00               | 424.00                 | -120.00                 |

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THE LOWELL F. JOHNSON FOUNDATION  
Schedule D Detail of Short-term Capital Gains and Losses

52-1776774

| Description                                               | Date<br>Acquired | Date<br>Sold | Gross Sales<br>Price | Cost or Other<br>Basis | Short-term<br>Gain/Loss |
|-----------------------------------------------------------|------------------|--------------|----------------------|------------------------|-------------------------|
| 12. EMILIO A. NEMPHW PLC SPDN ADR                         | 04/18/2019       | 05/29/2019   | 503.00               | 455.00                 | 48.00                   |
| 26. DUTTECH BOERSE AG UNSPN ADR                           | 04/18/2019       | 05/31/2019   | 357.00               | 345.00                 | 12.00                   |
| 165. ITALIA SAN PAOLO SPA                                 | 04/18/2019       | 05/31/2019   | 2,000.00             | 2,617.00               | -617.00                 |
| 8. LYDALL INC                                             | 08/22/2018       | 05/31/2019   | 145.00               | 370.00                 | -225.00                 |
| 142. ARCELORMITTAL CLASS REG ADR SEDOL<br>BD4H9V1         | VAR              |              |                      |                        |                         |
| 506. BANCO SANTANDER S A ADR                              | 04/18/2019       | 06/03/2019   | 2,108.00             | 3,152.00               | -1,044.00               |
| 41. JGC CORP UBSPONSORED ADR                              | 08/22/2018       | 06/06/2019   | 2,254.00             | 2,621.00               | -367.00                 |
| 46. TOTAL FINA S A                                        | 04/18/2019       | 06/06/2019   | 1,061.00             | 1,615.00               | -554.00                 |
| 3. DOW INC                                                | 08/22/2018       | 06/11/2019   | 2,435.00             | 2,612.00               | -177.00                 |
| 2. EQUIFAX INC                                            | 08/22/2018       | 06/11/2019   | 156.00               | 201.00                 | -45.00                  |
| 3. FIDELITY NATIONAL INFORMATION                          | 08/22/2018       | 06/11/2019   | 258.00               | 269.00                 | -11.00                  |
| 64. KROGER CO                                             | 11/12/2018       | 06/11/2019   | 365.00               | 325.00                 | 40.00                   |
| .333 CORTEVA INC-W/I                                      | 08/22/2018       | 06/12/2019   | 1,556.00             | 2,019.00               | -463.00                 |
| 3. CORTEVA INC-W/I                                        | 08/22/2018       | 06/12/2019   | 8.00                 | 12.00                  | -4.00                   |
| 4. DANAHER CORP                                           | 11/12/2018       | 06/12/2019   | 74.00                | 111.00                 | -37.00                  |
| .333 DUPONT DE NEMOURS INC-WI                             | 08/22/2018       | 06/12/2019   | 555.00               | 415.00                 | 140.00                  |
| 3. DUPONT DE NEMOURS INC-WI                               | 08/22/2018       | 06/12/2019   | 25.00                | 34.00                  | -9.00                   |
| 5. NOVARTIS AG SPONSORED ADR                              | 11/12/2018       | 06/12/2019   | 219.00               | 307.00                 | -88.00                  |
| 5. PAYPAL HOLDINGS INC-W/I                                | 11/12/2018       | 06/12/2019   | 445.00               | 390.00                 | 55.00                   |
| 12. LYDALL INC                                            | 11/12/2018       | 06/12/2019   | 573.00               | 423.00                 | 150.00                  |
| 14. DAVE & BUSTER'S ENTERTAINM                            | VAR              | 06/13/2019   | 236.00               | 540.00                 | -304.00                 |
| 8. RED HAT INC                                            | 08/22/2018       | 06/19/2019   | 551.00               | 775.00                 | -224.00                 |
| 3. OMNICELL INC                                           | 08/22/2018       | 06/24/2019   | 1,497.00             | 1,122.00               | 375.00                  |
| 31. AERCAP HOLDINGS NV ISIN<br>NL0000687663 SEDOL B1HKKD3 | 08/22/2018       | 06/27/2019   | 257.00               | 199.00                 | 58.00                   |
| 20. HALLIBURTON CO                                        | 04/18/2019       | 07/01/2019   | 1,600.00             | 1,525.00               | 75.00                   |
| 159. ORANGE ADR                                           | 11/12/2018       | 07/09/2019   | 461.00               | 697.00                 | -236.00                 |
| 6. KIMBERLY-CLARK CORP COM                                | 04/18/2019       | 07/09/2019   | 2,439.00             | 2,627.00               | -188.00                 |
| 2. ASML HOLDING NV-NY SEDOL B908F01                       | 01/10/2019       | 07/18/2019   | 820.00               | 695.00                 | 125.00                  |
| 53. BAYERISCHE MOTOREN-SPON ADR SEDOL<br>BD6KTC9          | 04/18/2019       | 07/18/2019   | 450.00               | 411.00                 | 39.00                   |
| 13. TEMENOS AG ADR SEDOL B96QTM9                          | 08/22/2018       | 07/19/2019   | 1,314.00             | 1,691.00               | -377.00                 |
| 2. KIMBERLY-CLARK CORP COM                                | 08/22/2018       | 07/19/2019   | 2,271.00             | 2,304.00               | -33.00                  |
| .48 ACS ACTIVIDADES CONS-UNS ADR ADR                      | 01/10/2019       | 07/25/2019   | 273.00               | 232.00                 | 41.00                   |
| Totals                                                    | 04/18/2019       | 07/30/2019   | 4.00                 | 4.00                   |                         |

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THE LOWELL F. JOHNSON FOUNDATION  
Schedule D Detail of Short-term Capital Gains and Losses

52-1776774

| Description                                       | Date<br>Acquired | Date<br>Sold | Gross Sales<br>Price | Cost or Other<br>Basis | Short-term<br>Gain/Loss |
|---------------------------------------------------|------------------|--------------|----------------------|------------------------|-------------------------|
| 15. WORLDPAY INC MERGED 07/31/19 @<br>\$11.00 P/S | 08/22/2018       | 08/01/2019   | 165.00               |                        | 165.00                  |
| 8. ESSILOR INTL ADR                               | 04/18/2019       | 08/02/2019   | 546.00               | 489.00                 | 57.00                   |
| 931 FIDELITY NATIONAL INFORMATION                 | 08/22/2018       | 08/08/2019   | 127.00               | 101.00                 | 26.00                   |
| 21. CARLSBERG AS SPON ADR                         | 04/18/2019       | 08/09/2019   | 614.00               | 531.00                 | 83.00                   |
| 26. OTSUKA HOLDINGS LTD ADR SEDOL<br>B7GXB8       | 04/18/2019       | 08/09/2019   | 504.00               | 460.00                 | 44.00                   |
| 12. SUMITOMO MITSUI FINANCIAL GROUP<br>ADR        | 08/22/2018       | 08/09/2019   | 505.00               | 717.00                 | -212.00                 |
| 20. BAIYU INC                                     | 04/18/2019       | 08/09/2019   | 2,394.00             | 2,608.00               | -214.00                 |
| 78. ABBIN PHARMACARE HL-UNSP ADR ADR              | VAR              | 08/14/2019   | 1,899.00             | 3,177.00               | -1,278.00               |
| 3. L ORIAL CO UNSPONSORED ADR                     | 08/22/2018       | 08/15/2019   | 342.00               | 1,587.00               | -1,245.00               |
| 4. LONZA GROUP AG UNSPON ADR                      | 08/22/2018       | 08/16/2019   | 155.00               | 144.00                 | 11.00                   |
| 2. NESTLE S A SPONSORED ADR REPSTG REG            | 08/22/2018       | 08/16/2019   | 139.00               | 128.00                 | 11.00                   |
| 3. SYMRISE AG UNSPON ADR                          | 08/22/2018       | 08/16/2019   | 220.00               | 166.00                 | 54.00                   |
| 2. ADOBE SYSTEMS INC                              | 11/12/2018       | 08/19/2019   | 67.00                | 67.00                  |                         |
| 3. CACI INTL INC CL A                             | 08/22/2018       | 08/19/2019   | 578.00               | 478.00                 | 100.00                  |
| 20. CAMBREX CORP COM                              | VAR              | 08/19/2019   | 628.00               | 582.00                 | 46.00                   |
| 202. DAIMLER AG-UNSPONSORED ADR SEDOL<br>BYVH331  | VAR              | 08/19/2019   | 1,184.00             | 1,153.00               | 31.00                   |
| 53. NAVIGANT CONSULTING INC COM                   | VAR              | 08/19/2019   | 2,321.00             | 3,161.00               | -840.00                 |
| 10. SYMANTEC CORP COM                             | 11/13/2018       | 08/27/2019   | 1,472.00             | 1,259.00               | 213.00                  |
| 18. SOUTHERN CO                                   | 01/07/2019       | 08/28/2019   | 233.00               | 220.00                 | 13.00                   |
| 18. COCACOLA CO                                   | VAR              | 09/16/2019   | 1,040.00             | 805.00                 | 235.00                  |
| 70. REPSOL SA SPONSORED ADR                       | 04/18/2019       | 09/20/2019   | 966.00               | 864.00                 | 102.00                  |
| 33. TOTAL FINA S A                                | VAR              | 09/30/2019   | 1,092.00             | 1,190.00               | -98.00                  |
| 11. TAIWAN SEMICONDUCTOR MTG CO ADR               | 04/17/2019       | 10/02/2019   | 1,715.00             | 1,881.00               | -166.00                 |
| 8. PEPSCO INC COM                                 | VAR              | 10/04/2019   | 511.00               | 486.00                 | 25.00                   |
| 10. UNITED PARCEL SERVICE INC CL B                | 11/30/2018       | 10/04/2019   | 1,116.00             | 954.00                 | 162.00                  |
| 6. PEPSCO INC COM                                 | 12/11/2018       | 10/08/2019   | 1,174.00             | 1,140.00               | 34.00                   |
| 37. MURATA MFG CO LTD ADR UNSPON ADR              | 04/24/2019       | 10/10/2019   | 824.00               | 707.00                 | 117.00                  |
| 54. BHP GROUP PLC ADR                             | 04/18/2019       | 10/11/2019   | 487.00               | 495.00                 | -8.00                   |
| 264. TESCO PLC SPON ADR                           | 04/30/2019       | 10/15/2019   | 2,328.00             | 2,629.00               | -301.00                 |
| 37. NATIONAL FUEL GAS CO N J COM                  | 11/12/2018       | 10/17/2019   | 2,437.00             | 2,619.00               | -182.00                 |
| <b>Totals</b>                                     |                  |              | 1,645.00             | 2,017.00               | -372.00                 |

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THE LOWELL F. JOHNSON FOUNDATION  
Schedule D Detail of Short-term Capital Gains and Losses

52-1776774

| Description                                           | Date<br>Acquired | Date<br>Sold | Gross Sales<br>Price | Cost or Other<br>Basis | Short-term<br>Gain/Loss |
|-------------------------------------------------------|------------------|--------------|----------------------|------------------------|-------------------------|
| 168. LLOYDS TSB GROUP PLC SPONSORED                   | 04/18/2019       | 10/22/2019   | 511.00               | 578.00                 | -67.00                  |
| 50. NASPERS LTD ADR                                   | VAR              | 10/31/2019   | 1,412.00             | 2,578.00               | -1,166.00               |
| 14. ROYAL DUTCH SHELL PLC ADR A                       | 04/18/2019       | 11/01/2019   | 819.00               | 899.00                 | -80.00                  |
| 3. MURATA MFG CO LTD ADR UNSPON ADR                   | 04/24/2019       | 11/05/2019   | 42.00                | 40.00                  | 2.00                    |
| 5. NXP SEMICONDUCTORS ISIN NL000953878                |                  |              |                      |                        |                         |
| 4 SEDOL B505PN7                                       | 04/18/2019       | 11/13/2019   | 596.00               | 501.00                 | 95.00                   |
| 18. ACADIA HEALTH CARE CO INC                         | 12/24/2018       | 11/14/2019   | 548.00               | 450.00                 | 98.00                   |
| 184. TORAY INDUSTRIES - ADR ISIN<br>US8908802064      | 04/18/2019       | 11/15/2019   | 2,589.00             | 2,613.00               | -24.00                  |
| 2. INTUIT SOFTWARE                                    | 06/11/2019       | 11/18/2019   | 536.00               | 513.00                 | 23.00                   |
| 2. NEXTERA ENERGY INC                                 | 02/22/2019       | 11/18/2019   | 464.00               | 376.00                 | 88.00                   |
| 5. AERCAP HOLDINGS NV ISIN NL000068766                |                  |              |                      |                        |                         |
| 3 SEDOL B1HKKD3                                       | 04/18/2019       | 11/18/2019   | 296.00               | 246.00                 | 50.00                   |
| 6. UNITED PARCEL SERVICE INC CL B                     | 11/30/2018       | 11/19/2019   | 723.00               | 684.00                 | 39.00                   |
| 14. ROCHE HOLDINGS LTD ADR                            | 04/18/2019       | 11/26/2019   | 543.00               | 456.00                 | 87.00                   |
| 127. SECUM LTD UNSPON ADR                             | 04/18/2019       | 11/26/2019   | 2,772.00             | 2,609.00               | 163.00                  |
| 22. J. JENNINGS HEALTHCARE UNSP ADR SEDOL<br>B1HKKD79 |                  |              |                      |                        |                         |
| 2. AEM HOLDING NV-NY SEDOL B908F01                    | 04/18/2019       | 12/04/2019   | 533.00               | 456.00                 | 77.00                   |
| 28. COCACOLA CO                                       | 04/18/2019       | 12/09/2019   | 556.00               | 411.00                 | 145.00                  |
| 11. PERFECTO INC COM                                  | 11/27/2019       | 12/10/2019   | 1,508.00             | 1,513.00               | -5.00                   |
| 20. SOUTHERN CO                                       | 11/27/2019       | 12/10/2019   | 1,500.00             | 1,495.00               | 5.00                    |
| 20. GRIFOLS & A ADR                                   | 11/27/2019       | 12/10/2019   | 1,207.00             | 1,248.00               | -41.00                  |
| 21. LONZA GROUP AG UNSPON ADR                         | 11/27/2019       | 12/12/2019   | 454.00               | 456.00                 | -2.00                   |
| 8. NASPERS LTD ADR                                    | 11/27/2019       | 12/12/2019   | 716.00               | 711.00                 | 5.00                    |
| 35. PROSUS NV - SPON ADR SEDOL BKT6LC7                | 11/27/2019       | 12/12/2019   | 227.00               | 233.00                 | -6.00                   |
| 41. ROCHE HOLDINGS LTD ADR                            | VAR              | 12/12/2019   | 465.00               | 542.00                 | -77.00                  |
| 13. UNILEVER PLC W/I SPONSORED ADR                    | 11/27/2019       | 12/12/2019   | 1,570.00             | 1,581.00               | -11.00                  |
| 10. TAIWAN SEMICONDUCTOR MTG CO ADR                   | 11/27/2019       | 12/18/2019   | 776.00               | 766.00                 | 10.00                   |
| 3. COMMERCE BANKSHARES INC                            | 10/18/2019       | 12/19/2019   | 589.00               | 538.00                 | 51.00                   |
| 70. NORDEA BANK ABF - SPON ADR ADR<br>SEDOL BGT1Q96   |                  |              | 20.00                | 18.00                  | 2.00                    |
|                                                       | 04/18/2019       | 12/20/2019   | 561.00               | 592.00                 | -31.00                  |
| TOTAL PUBLICLY TRADED SECURITIES                      |                  |              | 140,105.00           | 155,580.00             | -15,475.00              |
| Totals                                                |                  |              | 140,105.00           | 155,580.00             | -15,475.00              |

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THE LOWELL F. JOHNSON FOUNDATION  
Schedule D Detail of Long-term Capital Gains and Losses

52-1776774

| Description                                      | Date<br>Acquired | Date<br>Sold | Gross Sales<br>Price | Cost or Other<br>Basis | Long-term<br>Gain/Loss |
|--------------------------------------------------|------------------|--------------|----------------------|------------------------|------------------------|
| <b>PUBLICLY TRADED SECURITIES</b>                |                  |              |                      |                        |                        |
| 28. ASTRAZENECA PLC SPONS ADR                    | 02/13/2017       | 01/07/2019   | 1,085.00             | 831.00                 | 254.00                 |
| 15. COCACOLA CO                                  | 08/11/2015       | 01/07/2019   | 704.00               | 623.00                 | 81.00                  |
| 27. ASTRAZENECA PLC SPONS ADR                    | 02/13/2017       | 01/10/2019   | 1,029.00             | 802.00                 | 227.00                 |
| 15. VERIZON COMMUNICATIONS COM                   | 12/06/2010       | 01/10/2019   | 863.00               | 494.00                 | 369.00                 |
| 24. COCACOLA CO                                  | 08/11/2015       | 01/30/2019   | 1,145.00             | 997.00                 | 148.00                 |
| 15. VERIZON COMMUNICATIONS COM                   | 12/06/2010       | 01/30/2019   | 808.00               | 494.00                 | 314.00                 |
| 12. ISHARES EDGE MSCI USA QUALITY<br>FACTOR ETF  | 04/28/2017       | 02/06/2019   | 1,011.00             | 879.00                 | 132.00                 |
| 40. WISDOMTREE U.S. QUALITY DIVI ETF             | 10/12/2016       | 02/06/2019   | 1,650.00             | 1,273.00               | 377.00                 |
| 9. AMERICAN ELECTRIC POWER INC                   | 05/21/2012       | 03/01/2019   | 731.00               | 340.00                 | 391.00                 |
| 43. VODAPHONE GROUP PLC                          | 07/11/2016       | 03/01/2019   | 755.00               | 1,314.00               | -559.00                |
| 47. VODAPHONE GROUP PLC                          | 07/11/2016       | 03/21/2019   | 893.00               | 1,437.00               | -544.00                |
| 1. BANK OF AMERICA 060505104                     | 01/01/2001       | 03/28/2019   | 28.00                | 25.00                  | 3.00                   |
| .667 DOW INC                                     | 05/20/2016       | 04/10/2019   | 37.00                | 33.00                  | 4.00                   |
| 516. ISHARES EDGE MSCI USA QUALITY<br>FACTOR ETF | VAR              | 04/11/2019   | 46,589.00            | 34,497.00              | 12,092.00              |
| 386. ISHARES EDGE MSCI USA VALUE<br>FACTOR ETF   | 10/12/2016       | 04/11/2019   | 31,655.00            | 25,016.00              | 6,639.00               |
| 873. WISDOMTREE U.S. QUALITY DIVI ETF            | 10/12/2016       | 04/11/2019   | 38,237.00            | 27,776.00              | 10,461.00              |
| 4. CROWN CASTLE INTL CORP                        | VAR              | 04/23/2019   | 493.00               | 364.00                 | 129.00                 |
| 19. GENERAL MILLS INC COM                        | VAR              | 04/23/2019   | 969.00               | 737.00                 | 232.00                 |
| 4. PROCTER & GAMBLE CO                           | 09/24/2015       | 04/23/2019   | 409.00               | 282.00                 | 127.00                 |
| 8. CROWN CASTLE INTL CORP                        | 05/17/2016       | 05/29/2019   | 1,008.00             | 723.00                 | 285.00                 |
| 12. GENERAL MILLS INC COM                        | VAR              | 05/29/2019   | 575.00               | 437.00                 | 138.00                 |
| 12. PROCTER & GAMBLE CO                          | VAR              | 05/29/2019   | 1,252.00             | 838.00                 | 414.00                 |
| .666 CORTEVA INC-W/I                             | 05/20/2016       | 06/12/2019   | 17.00                | 18.00                  | -1.00                  |
| .666 DUONT DE NEMOURS INC-WI                     | 05/20/2016       | 06/12/2019   | 49.00                | 51.00                  | -2.00                  |
| 13. AMERICAN ELECTRIC POWER INC                  | 05/21/2012       | 06/24/2019   | 1,182.00             | 491.00                 | 691.00                 |
| 6. CROWN CASTLE INTL CORP                        | 05/17/2016       | 06/24/2019   | 811.00               | 542.00                 | 269.00                 |
| 10. AMERICAN ELECTRIC POWER INC                  | 05/21/2012       | 07/01/2019   | 878.00               | 378.00                 | 500.00                 |
| 7. CROWN CASTLE INTL CORP                        | 05/17/2016       | 07/01/2019   | 900.00               | 632.00                 | 268.00                 |
| 7. CROWN CASTLE INTL CORP                        | 05/17/2016       | 07/15/2019   | 936.00               | 632.00                 | 304.00                 |
| <b>Totals</b>                                    |                  |              |                      |                        |                        |

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THE LOWELL F. JOHNSON FOUNDATION  
Schedule D Detail of Long-term Capital Gains and Losses

52-1776774

| Description                        | Date<br>Acquired | Date<br>Sold | Gross Sales<br>Price | Cost or Other<br>Basis | Long-term<br>Gain/Loss |
|------------------------------------|------------------|--------------|----------------------|------------------------|------------------------|
| 19. GENERAL MILLS INC COM          | 12/16/2010       | 07/15/2019   | 1,008.00             | 691.00                 | 317.00                 |
| 6. CROWN CASTLE INTL CORP          | 05/17/2016       | 07/18/2019   | 755.00               | 542.00                 | 213.00                 |
| 17. GENERAL MILLS INC COM          | VAR              | 07/18/2019   | 902.00               | 613.00                 | 289.00                 |
| 6. KIMBERLY-CLARK CORP COM         | 12/06/2010       | 07/25/2019   | 818.00               | 356.00                 | 462.00                 |
| 6. PROCTER & GAMBLE CO             | 12/06/2010       | 07/25/2019   | 674.00               | 373.00                 | 301.00                 |
| 7. PROCTER & GAMBLE CO             | 12/06/2010       | 07/29/2019   | 809.00               | 435.00                 | 374.00                 |
| 8. PROCTER & GAMBLE CO             | 12/06/2010       | 08/02/2019   | 931.00               | 497.00                 | 434.00                 |
| 7. PROCTER & GAMBLE CO             | 12/06/2010       | 08/07/2019   | 801.00               | 435.00                 | 366.00                 |
| 8. SELECTED INS GROUP INC          | 08/22/2018       | 08/23/2019   | 634.00               | 510.00                 | 124.00                 |
| 52. SYMANTEC CORP COM              | 08/22/2018       | 08/27/2019   | 1,209.00             | 1,023.00               | 186.00                 |
| 7. CROWN CASTLE INTL CORP          | 05/17/2016       | 08/28/2019   | 1,023.00             | 632.00                 | 391.00                 |
| 37. MSG NETWORKS INC               | 08/22/2018       | 09/03/2019   | 611.00               | 915.00                 | -304.00                |
| 18. SOUTHERN CO                    | 12/06/2010       | 09/03/2019   | 1,070.00             | 687.00                 | 383.00                 |
| 14. DOMINION RES INC VA NEW COM    | 08/11/2015       | 09/10/2019   | 1,076.00             | 1,017.00               | 59.00                  |
| 12. KIMBERLY-CLARK CORP COM        | 12/06/2010       | 09/10/2019   | 1,577.00             | 711.00                 | 866.00                 |
| 6. CROWN CASTLE INTL CORP          | 05/17/2016       | 09/19/2019   | 847.00               | 542.00                 | 305.00                 |
| 6. CROWN CASTLE INTL CORP          | 05/17/2016       | 09/30/2019   | 835.00               | 542.00                 | 293.00                 |
| 4. TOTAL FINA S A                  | 02/22/2017       | 09/30/2019   | 208.00               | 205.00                 | 3.00                   |
| 7. AT&T INC                        | 08/11/2015       | 10/01/2019   | 262.00               | 244.00                 | 18.00                  |
| 2. CHEVRON CORPORATION             | 08/11/2015       | 10/01/2019   | 232.00               | 170.00                 | 62.00                  |
| 6. SCHWAB CHARLES CORP NEW         | 08/22/2018       | 10/01/2019   | 222.00               | 307.00                 | -85.00                 |
| 8. TD AMERITRADE HOLDING CORP      | 08/22/2018       | 10/01/2019   | 276.00               | 455.00                 | -179.00                |
| 5. VERIZON COMMUNICATIONS COM      | 12/06/2010       | 10/01/2019   | 299.00               | 165.00                 | 134.00                 |
| 6. SCHWAB CHARLES CORP NEW         | 08/22/2018       | 10/02/2019   | 222.00               | 307.00                 | -85.00                 |
| 3. TAIWAN SEMICONDUCTOR MTG CO ADR | 08/22/2018       | 10/02/2019   | 139.00               | 123.00                 | 16.00                  |
| 5. TD AMERITRADE HOLDING CORP      | 08/22/2018       | 10/04/2019   | 168.00               | 285.00                 | -117.00                |
| 47. TOTAL FINA S A                 | VAR              | 10/04/2019   | 2,306.00             | 2,286.00               | 20.00                  |
| 2. BECTON DICKINSON & CO           | 08/22/2018       | 10/07/2019   | 500.00               | 507.00                 | -7.00                  |
| 3. PHILIP MORRIS INTERNAT-W/I      | 08/22/2018       | 10/07/2019   | 234.00               | 248.00                 | -14.00                 |
| 6. SCHWAB CHARLES CORP NEW         | 08/22/2018       | 10/07/2019   | 213.00               | 307.00                 | -94.00                 |
| 6. CHUBB LTD SEDOL B3BQMF6         | 08/22/2018       | 10/07/2019   | 962.00               | 803.00                 | 159.00                 |
| 12. TD AMERITRADE HOLDING CORP     | 08/22/2018       | 10/08/2019   | 400.00               | 683.00                 | -283.00                |
| 1. VENTAS INC                      | 09/17/2013       | 10/08/2019   | 73.00                | 55.00                  | 18.00                  |
| 6. SCHWAB CHARLES CORP NEW         | 08/22/2018       | 10/09/2019   | 215.00               | 307.00                 | -92.00                 |
| 1. AMAZON.COM INC                  | 08/22/2018       | 10/15/2019   | 1,746.00             | 1,900.00               | -154.00                |
| <b>Total</b>                       |                  |              |                      |                        |                        |

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THE LOWELL F. JOHNSON FOUNDATION  
Schedule D Detail of Long-term Capital Gains and Losses

52-1776774

| Description                         | Date<br>Acquired | Date<br>Sold | Gross Sales<br>Price | Cost or Other<br>Basis | Long-term<br>Gain/Loss |
|-------------------------------------|------------------|--------------|----------------------|------------------------|------------------------|
| 13. PHILIP MORRIS INTERNAT-W/I      | 08/22/2018       | 10/17/2019   | 1,031.00             | 1,075.00               | -44.00                 |
| 9. TAIWAN SEMICONDUCTOR MTG CO ADR  | 08/22/2018       | 10/18/2019   | 446.00               | 368.00                 | 78.00                  |
| 5. MCDONALDS CORP COM               | 08/22/2018       | 10/23/2019   | 999.00               | 804.00                 | 195.00                 |
| 6. NIKE INC CL B                    | 08/22/2018       | 10/28/2019   | 543.00               | 497.00                 | 46.00                  |
| 3. STRYKER CORP                     | 08/22/2018       | 10/28/2019   | 640.00               | 509.00                 | 131.00                 |
| 13. TENCENT HOLDINGS LTD UNSPON ADR | 08/22/2018       | 10/28/2019   | 534.00               | 602.00                 | -68.00                 |
| 15. ANIXTER INTL INC                | 08/22/2018       | 10/30/2019   | 1,248.00             | 1,093.00               | 155.00                 |
| 25. ACADIA HEALTH CARE CO INC       | 11/12/2018       | 11/14/2019   | 761.00               | 1,074.00               | -313.00                |
| 2. BECTON DICKINSON & CO            | 08/22/2018       | 11/18/2019   | 486.00               | 507.00                 | -21.00                 |
| 4. HONEYWELL INTL INC               | 08/22/2018       | 11/18/2019   | 718.00               | 600.00                 | 118.00                 |
| 9. INTERCONTINENTAL EXCHANGE INC    | 08/22/2018       | 11/18/2019   | 834.00               | 661.00                 | 173.00                 |
| 1. UNITED PARCEL SERVICE INC CL B   | 07/13/2017       | 11/19/2019   | 121.00               | 111.00                 | 10.00                  |
| 90. ABBVIE INC                      | 08/15/2018       | 11/21/2019   | 7,737.00             | 8,730.00               | -993.00                |
| 10. ALPHABET INC/CA-CL A            | 08/25/2014       | 11/21/2019   | 12,943.00            | 5,935.00               | 7,008.00               |
| 10. AMAZON.COM INC                  | 08/15/2018       | 11/21/2019   | 17,434.00            | 18,883.00              | -1,449.00              |
| 50. AMERICAN WATER WORKS CO INC     | 05/20/2016       | 11/21/2019   | 6,008.00             | 3,687.00               | 2,321.00               |
| 40. AMGEN INC                       | 08/25/2014       | 11/21/2019   | 9,048.00             | 5,388.00               | 3,660.00               |
| 170. APPLE INC                      | VAR              | 11/21/2019   | 44,736.00            | 11,538.00              | 33,198.00              |
| 170. APPLIED MATERIALS INC          | 11/16/2016       | 11/21/2019   | 9,859.00             | 5,048.00               | 4,811.00               |
| 70. AUTOMATIC DATA PROCESSING INC   | 08/15/2018       | 11/21/2019   | 11,889.00            | 9,815.00               | 2,074.00               |
| 110. BANK OF AMERICA CORP           | 08/15/2018       | 11/21/2019   | 3,596.00             | 3,340.00               | 256.00                 |
| 150. BANK NEW YORK MELLON CORP COM  | 05/20/2016       | 11/21/2019   | 7,327.00             | 6,157.00               | 1,170.00               |
| 70. BAXTER INTERNATIONAL INC        | 08/15/2018       | 11/21/2019   | 5,721.00             | 4,957.00               | 764.00                 |
| 40. BIOGEN INC                      | 08/15/2018       | 11/21/2019   | 11,427.00            | 13,580.00              | -2,153.00              |
| 40. BOEING CO                       | VAR              | 11/21/2019   | 14,784.00            | 11,568.00              | 3,216.00               |
| 240. BORG WARNER INC.               | 08/15/2018       | 11/21/2019   | 10,234.00            | 10,558.00              | -324.00                |
| 80. BURLINGTON STORES INC           | VAR              | 11/21/2019   | 16,285.00            | 6,985.00               | 9,300.00               |
| 240. CBRE GROUP INC                 | 08/15/2018       | 11/21/2019   | 13,256.00            | 11,162.00              | 2,094.00               |
| 140. CSX CORP COM                   | 08/15/2018       | 11/21/2019   | 9,744.00             | 10,244.00              | -500.00                |
| 90. CELANESE CORP                   | 08/15/2018       | 11/21/2019   | 11,161.00            | 10,238.00              | 923.00                 |
| 160. CENTENE CORP                   | 08/15/2018       | 11/21/2019   | 9,496.00             | 11,461.00              | -1,965.00              |
| 100. CHEVRON CORPORATION            | 08/15/2018       | 11/21/2019   | 11,786.00            | 11,871.00              | -85.00                 |
| 240. CISCO SYS INC COM              | VAR              | 11/21/2019   | 10,756.00            | 7,593.00               | 3,163.00               |
| 140. CITIGROUP INC                  | 11/20/2017       | 11/21/2019   | 10,343.00            | 10,115.00              | 228.00                 |
| 190. CITIZENS FINANCIAL GROUP       | 08/15/2018       | 11/21/2019   | 7,207.00             | 7,586.00               | -379.00                |
| <b>Totals</b>                       |                  |              |                      |                        |                        |

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THE LOWELL F. JOHNSON FOUNDATION  
Schedule D Detail of Long-term Capital Gains and Losses

52-1776774

| Description                         | Date<br>Acquired | Date<br>Sold | Gross Sales<br>Price | Cost or Other<br>Basis | Long-term<br>Gain/Loss |
|-------------------------------------|------------------|--------------|----------------------|------------------------|------------------------|
| 120. COMCAST CORPORATION CL A       | 08/22/2012       | 11/21/2019   | 5,268.00             | 2,039.00               | 3,229.00               |
| 80. CONOCOPHILLIPS                  | 08/15/2018       | 11/21/2019   | 4,787.00             | 5,531.00               | -744.00                |
| 56. CORTEVA INC-W/I                 | 05/20/2016       | 11/21/2019   | 1,411.00             | 1,547.00               | -136.00                |
| 20. COSTCO WHSL CORP NEW COM        | 08/15/2018       | 11/21/2019   | 6,015.00             | 4,418.00               | 1,597.00               |
| 50. DANAHER CORP                    | 08/15/2018       | 11/21/2019   | 7,100.00             | 4,987.00               | 2,113.00               |
| 7352.941 DODGE & COX INCOME FUND    | 07/20/2015       | 11/21/2019   | 103,971.00           | 100,000.00             | 3,971.00               |
| 56. DOW INC                         | 05/20/2016       | 11/21/2019   | 2,952.00             | 2,795.00               | 157.00                 |
| 56. DUPONT DE NEMOURS INC-WI        | 05/20/2016       | 11/21/2019   | 3,656.00             | 4,265.00               | -609.00                |
| 90. EASTMAN CHEM CO                 | 08/15/2018       | 11/21/2019   | 6,938.00             | 8,667.00               | -1,729.00              |
| 100. FACEBOOK INC                   | 05/20/2016       | 11/21/2019   | 19,885.00            | 11,716.00              | 8,169.00               |
| 40. FEDEX CORPORATION               | 08/15/2018       | 11/21/2019   | 6,128.00             | 9,695.00               | -3,567.00              |
| 60. HOME DEPOT INC COM              | 08/22/2012       | 11/21/2019   | 13,311.00            | 3,399.00               | 9,912.00               |
| 190. INTEL CORP                     | 11/16/2016       | 11/21/2019   | 10,994.00            | 6,574.00               | 4,420.00               |
| 1542. ISHARES TIPS BOND ETF         | 11/16/2016       | 11/21/2019   | 179,442.00           | 175,089.00             | 4,353.00               |
| 150. J P MORGAN CHASE & CO COM      | VAR              | 11/21/2019   | 19,465.00            | 8,280.00               | 11,185.00              |
| 60. JOHNSON & JOHNSON COM           | 11/07/2011       | 11/21/2019   | 8,129.00             | 3,842.00               | 4,287.00               |
| 140. KOHLS CORP COM                 | 08/15/2018       | 11/21/2019   | 6,677.00             | 10,338.00              | -3,661.00              |
| 50. LAM RESEARCH CORP               | 05/20/2016       | 11/21/2019   | 13,357.00            | 3,781.00               | 9,576.00               |
| 80. LAUDER ESTEE COS INC CL A       | 08/15/2018       | 11/21/2019   | 15,492.00            | 10,618.00              | 4,874.00               |
| 60. LILLY ELI & CO                  | 08/15/2018       | 11/21/2019   | 6,838.00             | 6,172.00               | 666.00                 |
| 80. MARRIOTT INTERNATIONAL INC CL A | 08/15/2018       | 11/21/2019   | 10,584.00            | 9,609.00               | 975.00                 |
| 70. MCCORMICK & CO INC COM NON VTG  | 08/15/2018       | 11/21/2019   | 11,695.00            | 8,633.00               | 3,062.00               |
| 60. MCDONALDS CORP COM              | 08/15/2018       | 11/21/2019   | 11,637.00            | 9,547.00               | 2,090.00               |
| 30. MICROSOFT CORP                  | 08/15/2018       | 11/21/2019   | 4,479.00             | 3,223.00               | 1,256.00               |
| 230. MORGAN STANLEY                 | 11/16/2016       | 11/21/2019   | 11,279.00            | 9,022.00               | 2,257.00               |
| 20. NORTHROP GRUMMAN CORPORATION    | 05/20/2016       | 11/21/2019   | 7,042.00             | 4,247.00               | 2,795.00               |
| 120. OCCIDENTAL PETE CORP COM       | 08/15/2018       | 11/21/2019   | 4,681.00             | 9,292.00               | -4,611.00              |
| 320. PFIZER INC COM                 | 11/16/2016       | 11/21/2019   | 11,915.00            | 10,345.00              | 1,570.00               |
| 120. PRICE T ROWE GROUP INC COM     | VAR              | 11/21/2019   | 14,324.00            | 11,949.00              | 2,375.00               |
| 50. RAYTHEON COMPANY                | 05/20/2016       | 11/21/2019   | 10,838.00            | 6,471.00               | 4,367.00               |
| 130. ROYAL DUTCH SHELL PLC ADR A    | VAR              | 11/21/2019   | 7,620.00             | 8,048.00               | -428.00                |
| 50. S&P GLOBAL INC                  | 11/16/2016       | 11/21/2019   | 13,279.00            | 6,136.00               | 7,143.00               |
| 40. STRYKER CORP                    | 08/15/2018       | 11/21/2019   | 8,082.00             | 6,643.00               | 1,439.00               |
| 120. SUNTRUST BANKS INC COM         | 11/16/2016       | 11/21/2019   | 8,399.00             | 6,213.00               | 2,186.00               |
| 80. T-MOBILE US INC                 | 08/15/2018       | 11/21/2019   | 6,206.00             | 5,246.00               | 960.00                 |
| <b>Totals</b>                       |                  |              |                      |                        |                        |

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FEDERAL CAPITAL GAIN DISTRIBUTIONS  
=====LONG-TERM CAPITAL GAIN DISTRIBUTIONS  
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## 15% RATE CAPITAL GAIN DISTRIBUTIONS

INVESCO CONVERTIBLE SECURITIES FUND

2,099.00  
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TOTAL 15% RATE CAPITAL GAIN DISTRIBUTIONS

2,099.00  
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TOTAL LONG-TERM CAPITAL GAIN DISTRIBUTIONS

2,099.00  
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