

Form **990**  
Department of the Treasury  
Internal Revenue Service

# Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

Do not enter social security numbers on this form as it may be made public.

Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.

OMB No. 1545-0047  
**2019**  
Open to Public Inspection

**A** For the **2019** calendar year, or tax year beginning **01-01-2019**, and ending **12-31-2019**

**B** Check if applicable:  
 Address change  
 Name change  
 Initial return  
 Final return/terminated  
 Amended return  
 Application pending

**C** Name of organization: OCEANA INC  
 Doing business as:  
 Number and street (or P.O. box if mail is not delivered to street address) Room/suite: 1025 CONNECTICUT AVENUE NW NO 20  
 City or town, state or province, country, and ZIP or foreign postal code: WASHINGTON, DC 20036

**D** Employer identification number: 51-0401308  
**E** Telephone number: (202) 833-3900  
**G** Gross receipts \$ 39,350,592

**F** Name and address of principal officer:  
 CHRISTOPHER M SHARKEY  
 1025 CONNECTICUT AVENUE NW NO 200  
 WASHINGTON, DC 20036

**H(a)** Is this a group return for subordinates?  Yes  No  
**H(b)** Are all subordinates included?  Yes  No  
 If "No," attach a list. (see instructions)  
**H(c)** Group exemption number ▶

**I** Tax-exempt status:  501(c)(3)  501(c) ( ) ◀ (insert no.)  4947(a)(1) or  527

**J** Website: ▶ WWW.OCEANA.ORG

**K** Form of organization:  Corporation  Trust  Association  Other ▶  
**L** Year of formation: 2001 **M** State of legal domicile: DC

## Part I Summary

**1** Briefly describe the organization's mission or most significant activities:  
 TO ADVOCATE FOR POLICY CHANGES BY GOVERNMENTS AND CORPORATIONS IN ORDER TO PRESERVE OCEAN LIFE AND RETURN THE OCEANS TO THEIR FORMER ABUNDANCE.

**2** Check this box  if the organization discontinued its operations or disposed of more than 25% of its net assets.

<b>3</b> Number of voting members of the governing body (Part VI, line 1a)	24
<b>4</b> Number of independent voting members of the governing body (Part VI, line 1b)	23
<b>5</b> Total number of individuals employed in calendar year 2019 (Part V, line 2a)	186
<b>6</b> Total number of volunteers (estimate if necessary)	1,482
<b>7a</b> Total unrelated business revenue from Part VIII, column (C), line 12	6,216
<b>7b</b> Net unrelated business taxable income from Form 990-T, line 39	1,365

	Prior Year	Current Year
<b>8</b> Contributions and grants (Part VIII, line 1h)	51,826,346	38,559,747
<b>9</b> Program service revenue (Part VIII, line 2g)	10,984	17,312
<b>10</b> Investment income (Part VIII, column (A), lines 3, 4, and 7d)	23,200	39,833
<b>11</b> Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	35,947	15,583
<b>12</b> Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	51,896,477	38,632,475
<b>13</b> Grants and similar amounts paid (Part IX, column (A), lines 1–3)	1,209,271	1,061,781
<b>14</b> Benefits paid to or for members (Part IX, column (A), line 4)	0	0
<b>15</b> Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)	21,702,291	22,280,365
<b>16a</b> Professional fundraising fees (Part IX, column (A), line 11e)	139,937	248,931
<b>b</b> Total fundraising expenses (Part IX, column (D), line 25) ▶ 3,115,208		
<b>17</b> Other expenses (Part IX, column (A), lines 11a–11d, 11f–24e)	15,071,196	12,414,122
<b>18</b> Total expenses. Add lines 13–17 (must equal Part IX, column (A), line 25)	38,122,695	36,005,199
<b>19</b> Revenue less expenses. Subtract line 18 from line 12	13,773,782	2,627,276

	Beginning of Current Year	End of Year
<b>20</b> Total assets (Part X, line 16)	56,507,862	60,289,592
<b>21</b> Total liabilities (Part X, line 26)	5,146,300	6,196,068
<b>22</b> Net assets or fund balances. Subtract line 21 from line 20	51,361,562	54,093,524

## Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

**Sign Here**

Signature of officer: \*\*\*\*\*  
 Date: 2020-06-04

CHRISTOPHER M SHARKEY CHIEF FINANCIAL OFFICER  
 Type or print name and title

**Paid Preparer Use Only**

Print/Type preparer's name: \_\_\_\_\_ Preparer's signature: \_\_\_\_\_ Date: 2020-06-03  
 Check  if self-employed PTIN: P01365820

Firm's name ▶ MARCUM LLP Firm's EIN ▶ 11-1986323

Firm's address ▶ 1899 L STREET NW SUITE 850  
 WASHINGTON, DC 20036 Phone no. (202) 227-4000

**Part III Statement of Program Service Accomplishments**

Check if Schedule O contains a response or note to any line in this Part III

**1** Briefly describe the organization's mission:

SEE SCHEDULE O.

**2** Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?  Yes  No

If "Yes," describe these new services on Schedule O.

**3** Did the organization cease conducting, or make significant changes in how it conducts, any program services?  Yes  No

If "Yes," describe these changes on Schedule O.

**4** Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

**4a** (Code: ) (Expenses \$ 13,163,420 including grants of \$ 755,181 ) (Revenue \$ 17,312 )  
See Additional Data

**4b** (Code: ) (Expenses \$ 9,785,524 including grants of \$ 306,600 ) (Revenue \$ )  
See Additional Data

**4c** (Code: ) (Expenses \$ 1,774,510 including grants of \$ ) (Revenue \$ )  
See Additional Data

See Additional Data Table

**4d** Other program services (Describe in Schedule O.)  
(Expenses \$ 2,461,237 including grants of \$ ) (Revenue \$ )

**4e Total program service expenses** ▶ 27,184,691

Part IV Checklist of Required Schedules

Table with 3 columns: Question ID, Question Text, and Yes/No response. Rows include questions 1 through 21, with sub-questions a through f for questions 11 and 14. Each row has a corresponding 'Yes' or 'No' in the rightmost column.

**Part IV Checklist of Required Schedules (continued)**

		Yes	No
<b>22</b>	Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III . . . . .	<b>22</b>	No
<b>23</b>	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete Schedule J . . . . .	<b>23</b>	Yes
<b>24a</b>	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a . . . . .	<b>24a</b>	No
<b>b</b>	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? . . . . .	<b>24b</b>	
<b>c</b>	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds? . . . . .	<b>24c</b>	
<b>d</b>	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? . . . . .	<b>24d</b>	
<b>25a</b>	<b>Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations.</b> Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I . . . . .	<b>25a</b>	No
<b>b</b>	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I . . . . .	<b>25b</b>	No
<b>26</b>	Did the organization report any amount on Part X, line 5 or 22 for receivables from or payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons? If "Yes," complete Schedule L, Part II . . . . .	<b>26</b>	No
<b>27</b>	Did the organization provide a grant or other assistance to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or employee thereof, a grant selection committee member, or to a 35% controlled entity (including an employee thereof) or family member of any of these persons? If "Yes," complete Schedule L, Part III . . . . .	<b>27</b>	No
<b>28</b>	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
<b>a</b>	A current or former officer, director, trustee, key employee, creator or founder, or substantial contributor? If "Yes," complete Schedule L, Part IV . . . . .	<b>28a</b>	No
<b>b</b>	A family member of any individual described in line 28a? If "Yes," complete Schedule L, Part IV . . . . .	<b>28b</b>	Yes
<b>c</b>	A 35% controlled entity of one or more individuals and/or organizations described in lines 28a or 28b? If "Yes," complete Schedule L, Part IV . . . . .	<b>28c</b>	No
<b>29</b>	Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M . . . . .	<b>29</b>	Yes
<b>30</b>	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If "Yes," complete Schedule M . . . . .	<b>30</b>	No
<b>31</b>	Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I . . . . .	<b>31</b>	No
<b>32</b>	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete Schedule N, Part II . . . . .	<b>32</b>	No
<b>33</b>	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I . . . . .	<b>33</b>	No
<b>34</b>	Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1 . . . . .	<b>34</b>	Yes
<b>35a</b>	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	<b>35a</b>	Yes
<b>b</b>	If 'Yes' to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2 . . . . .	<b>35b</b>	No
<b>36</b>	<b>Section 501(c)(3) organizations.</b> Did the organization make any transfers to an exempt non-charitable related organization? If "Yes," complete Schedule R, Part V, line 2 . . . . .	<b>36</b>	No
<b>37</b>	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI . . . . .	<b>37</b>	No
<b>38</b>	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? <b>Note.</b> All Form 990 filers are required to complete Schedule O. . . . .	<b>38</b>	Yes

**Part V Statements Regarding Other IRS Filings and Tax Compliance**

Check if Schedule O contains a response or note to any line in this Part V . . . . .

		Yes	No
<b>1a</b>	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable . . . . .	<b>1a</b>	126
<b>b</b>	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable . . . . .	<b>1b</b>	0
<b>c</b>	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners? . . . . .	<b>1c</b>	Yes

**Part V Statements Regarding Other IRS Filings and Tax Compliance** (continued)

<b>2a</b> Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return . . . . .	<b>2a</b> <span style="float: right;">186</span>				
<b>b</b> If at least one is reported on line 2a, did the organization file all required federal employment tax returns? <b>Note.</b> If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)			<b>2b</b>	Yes	
<b>3a</b> Did the organization have unrelated business gross income of \$1,000 or more during the year? . . . . .			<b>3a</b>	Yes	
<b>b</b> If "Yes," has it filed a Form 990-T for this year? <i>If "No" to line 3b, provide an explanation in Schedule O</i> . . . . .			<b>3b</b>	Yes	
<b>4a</b> At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)? . . . . .			<b>4a</b>	Yes	
<b>b</b> If "Yes," enter the name of the foreign country: <b>▶</b> BE , BH , CI , SP , UK , DA , RP , BR , PE , MX					
See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR).					
<b>5a</b> Was the organization a party to a prohibited tax shelter transaction at any time during the tax year? . . . . .			<b>5a</b>		No
<b>b</b> Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?			<b>5b</b>		No
<b>c</b> If "Yes," to line 5a or 5b, did the organization file Form 8886-T? . . . . .			<b>5c</b>		
<b>6a</b> Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions? . . . . .			<b>6a</b>		No
<b>b</b> If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible? . . . . .			<b>6b</b>		
<b>7 Organizations that may receive deductible contributions under section 170(c).</b>					
<b>a</b> Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor? . . . . .			<b>7a</b>	Yes	
<b>b</b> If "Yes," did the organization notify the donor of the value of the goods or services provided? . . . . .			<b>7b</b>	Yes	
<b>c</b> Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282? . . . . .			<b>7c</b>		No
<b>d</b> If "Yes," indicate the number of Forms 8282 filed during the year . . . . .	<b>7d</b>				
<b>e</b> Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?			<b>7e</b>		No
<b>f</b> Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . .			<b>7f</b>		No
<b>g</b> If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required? . . . . .			<b>7g</b>		
<b>h</b> If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C? . . . . .			<b>7h</b>		
<b>8 Sponsoring organizations maintaining donor advised funds.</b> Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year? . . . . .					
<b>9 Sponsoring organizations maintaining donor advised funds.</b>					
<b>a</b> Did the sponsoring organization make any taxable distributions under section 4966? . . . . .			<b>9a</b>		
<b>b</b> Did the sponsoring organization make a distribution to a donor, donor advisor, or related person? . . . . .			<b>9b</b>		
<b>10 Section 501(c)(7) organizations.</b> Enter:					
<b>a</b> Initiation fees and capital contributions included on Part VIII, line 12 . . . . .	<b>10a</b>				
<b>b</b> Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	<b>10b</b>				
<b>11 Section 501(c)(12) organizations.</b> Enter:					
<b>a</b> Gross income from members or shareholders . . . . .	<b>11a</b>				
<b>b</b> Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.) . . . . .	<b>11b</b>				
<b>12a Section 4947(a)(1) non-exempt charitable trusts.</b> Is the organization filing Form 990 in lieu of Form 1041?					
<b>b</b> If "Yes," enter the amount of tax-exempt interest received or accrued during the year.	<b>12b</b>				
<b>13 Section 501(c)(29) qualified nonprofit health insurance issuers.</b>					
<b>a</b> Is the organization licensed to issue qualified health plans in more than one state? . . . . . <b>Note.</b> See the instructions for additional information the organization must report on Schedule O.			<b>13a</b>		
<b>b</b> Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans . . . . .	<b>13b</b>				
<b>c</b> Enter the amount of reserves on hand . . . . .	<b>13c</b>				
<b>14a</b> Did the organization receive any payments for indoor tanning services during the tax year? . . . . .			<b>14a</b>		No
<b>b</b> If "Yes," has it filed a Form 720 to report these payments? <i>If "No," provide an explanation in Schedule O</i> . . . . .			<b>14b</b>		
<b>15</b> Is the organization subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year? . . . . . <b>If "Yes," see instructions and file Form 4720, Schedule N.</b>			<b>15</b>		No
<b>16</b> Is the organization an educational institution subject to the section 4968 excise tax on net investment income? . . . <b>If "Yes," complete Form 4720, Schedule O.</b>			<b>16</b>		No

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions. Check if Schedule O contains a response or note to any line in this Part VI



Section A. Governing Body and Management

Table with 5 columns: Question ID, Question Text, Yes, No. Rows include 1a (24), 1b (23), 2, 3, 4, 5, 6, 7a, 7b, 8a, 8b, 9.

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

Table with 5 columns: Question ID, Question Text, Yes, No. Rows include 10a, 10b, 11a, 11b, 12a, 12b, 12c, 13, 14, 15a, 15b, 16a, 16b.

Section C. Disclosure

- 17 List the states with which a copy of this Form 990 is required to be filed (AK, AL, AR, AZ, CA, CO, CT, DE, FL, GA, HI, IA, ID, IL, IN, KS, KY, LA, MA, MD, ME, MI, MN, MO, MS, MT, NC, ND, NE, NH, NJ, NM, NV, NY, OH, OK, OR, PA, RI, SC, SD, TN, TX, UT, VA, VT, WA, WI, WV, WY)
18 Section 6104 requires an organization to make its Form 1023 (or 1024-A if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.
19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.
20 State the name, address, and telephone number of the person who possesses the organization's books and records: JAMES F SIMON 1025 CONNECTICUT AVENUE NW NO 200 WASHINGTON, DC 20036 (202) 833-3900



Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

Table with 6 main columns: (A) Name and title, (B) Average hours per week, (C) Position, (D) Reportable compensation from the organization, (E) Reportable compensation from related organizations, (F) Estimated amount of other compensation.

Summary rows for 1b Sub-Total, 1c Total from continuation sheets, and 1d Total (add lines 1b and 1c) with numerical values.

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization 35

Table with 3 columns: Question number, Question text, and Yes/No response columns for questions 3, 4, and 5.

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

Table with 3 columns: (A) Name and business address, (B) Description of services, (C) Compensation. Lists contractors like OGLETREE DEAKINS PC and M&R STRATEGIC SERVICES INC.

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization 8

**Part VIII Statement of Revenue**

Check if Schedule O contains a response or note to any line in this Part VIII

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512 - 514	
<b>Contributions, Gifts, Grants and Other Similar Amounts</b>	<b>1a</b> Federated campaigns . . . . .	<b>1a</b>	73,072				
	<b>b</b> Membership dues . . . . .	<b>1b</b>					
	<b>c</b> Fundraising events . . . . .	<b>1c</b>	3,895,663				
	<b>d</b> Related organizations . . . . .	<b>1d</b>					
	<b>e</b> Government grants (contributions)	<b>1e</b>	12				
	<b>f</b> All other contributions, gifts, grants, and similar amounts not included above	<b>1f</b>	34,591,000				
	<b>g</b> Noncash contributions included in lines 1a - 1f:\$	<b>1g</b>	2,029,296				
	<b>h Total.</b> Add lines 1a-1f . . . . .			38,559,747			
<b>Program Service Revenue</b>	<b>2a</b> CONSULTING	Business Code					
		900099	17,312	17,312			
	<b>b</b>						
	<b>c</b>						
	<b>d</b>						
	<b>e</b>						
	<b>f</b> All other program service revenue.						
<b>g Total.</b> Add lines 2a-2f. . . . .			17,312				
<b>Other Revenue</b>	<b>3</b> Investment income (including dividends, interest, and other similar amounts) . . . . .		59,605			59,605	
	<b>4</b> Income from investment of tax-exempt bond proceeds . . . . .						
	<b>5</b> Royalties . . . . .		1,054			1,054	
	<b>6a</b> Gross rents	(i) Real					
		(ii) Personal					
		<b>b</b> Less: rental expenses					
		<b>c</b> Rental income or (loss)					
	<b>d</b> Net rental income or (loss) . . . . .						
	<b>7a</b> Gross amount from sales of assets other than inventory	(i) Securities	345,837				
		(ii) Other					
		<b>b</b> Less: cost or other basis and sales expenses	365,609				
		<b>c</b> Gain or (loss)	-19,772				
	<b>d</b> Net gain or (loss) . . . . .			-19,772		-19,772	
	<b>8a</b> Gross income from fundraising events (not including \$ 3,895,663 of contributions reported on line 1c). See Part IV, line 18 . . . . .						
		<b>8a</b>	373,962				
<b>b</b> Less: direct expenses . . . . .		<b>8b</b>	352,508				
<b>c</b> Net income or (loss) from fundraising events . . . . .			21,454		21,454		
<b>9a</b> Gross income from gaming activities. See Part IV, line 19 . . . . .							
	<b>9a</b>						
	<b>b</b> Less: direct expenses . . . . .	<b>9b</b>					
<b>c</b> Net income or (loss) from gaming activities . . . . .							
<b>10a</b> Gross sales of inventory, less returns and allowances . . . . .							
	<b>10a</b>						
	<b>b</b> Less: cost of goods sold . . . . .	<b>10b</b>					
<b>c</b> Net income or (loss) from sales of inventory . . . . .							
Miscellaneous Revenue	Business Code						
<b>11a</b> WEB ADVERTISING	900004	6,216		6,216			
<b>b</b> FOREIGN CURRENCY LOSS	900099	-13,141			-13,141		
<b>c</b>							
<b>d</b> All other revenue . . . . .							
<b>e Total.</b> Add lines 11a-11d . . . . .			-6,925				
<b>12 Total revenue.</b> See instructions . . . . .			38,632,475	17,312	6,216	49,200	

**Part IX Statement of Functional Expenses**

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX

<b>Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.</b>	<b>(A)</b> Total expenses	<b>(B)</b> Program service expenses	<b>(C)</b> Management and general expenses	<b>(D)</b> Fundraising expenses
<b>1</b> Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21 . . . . .	636,669	636,669		
<b>2</b> Grants and other assistance to domestic individuals. See Part IV, line 22 . . . . .				
<b>3</b> Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16. . . . .	425,112	425,112		
<b>4</b> Benefits paid to or for members . . . . .				
<b>5</b> Compensation of current officers, directors, trustees, and key employees . . . . .	1,907,097	949,463	676,838	280,796
<b>6</b> Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) . . . . .				
<b>7</b> Other salaries and wages . . . . .	16,212,165	13,348,661	2,238,587	624,917
<b>8</b> Pension plan accruals and contributions (include section 401 (k) and 403(b) employer contributions) . . . . .	1,067,958	769,290	219,762	78,906
<b>9</b> Other employee benefits . . . . .	1,374,960	966,983	310,920	97,057
<b>10</b> Payroll taxes . . . . .	1,718,185	1,443,526	209,288	65,371
<b>11</b> Fees for services (non-employees):				
<b>a</b> Management . . . . .				
<b>b</b> Legal . . . . .	411,658	337,819	46,506	27,333
<b>c</b> Accounting . . . . .	330,481	271,203	37,335	21,943
<b>d</b> Lobbying . . . . .				
<b>e</b> Professional fundraising services. See Part IV, line 17	248,931			248,931
<b>f</b> Investment management fees . . . . .				
<b>g</b> Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O)	2,011,654	1,606,174	255,383	150,097
<b>12</b> Advertising and promotion . . . . .	1,036,402	533,831	17,068	485,503
<b>13</b> Office expenses . . . . .	1,738,609	1,118,416	147,105	473,088
<b>14</b> Information technology . . . . .				
<b>15</b> Royalties . . . . .				
<b>16</b> Occupancy . . . . .	2,260,917	1,745,200	416,395	99,322
<b>17</b> Travel . . . . .	1,807,852	1,557,850	176,174	73,828
<b>18</b> Payments of travel or entertainment expenses for any federal, state, or local public officials . . . . .				
<b>19</b> Conferences, conventions, and meetings . . . . .	852,494	440,455	142,100	269,939
<b>20</b> Interest . . . . .	1,806		1,806	
<b>21</b> Payments to affiliates . . . . .				
<b>22</b> Depreciation, depletion, and amortization . . . . .	802,923	342,843	460,080	
<b>23</b> Insurance . . . . .	411,456	329,412	55,598	26,446
<b>24</b> Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
<b>a</b> DUES AND SUBSCRIPTIONS	582,030	286,030	272,421	23,579
<b>b</b> TAXES AND FEES	63,696	17,313		46,383
<b>c</b> EQUIPMENT RENTAL/MAINT.	61,528	27,626	13,761	20,141
<b>d</b> MISCELLANEOUS	36,935	27,134	8,173	1,628
<b>e</b> All other expenses	3,681	3,681		
<b>25</b> Total functional expenses. Add lines 1 through 24e	36,005,199	27,184,691	5,705,300	3,115,208
<b>26</b> Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720).				

**Part X Balance Sheet**

Check if Schedule O contains a response or note to any line in this Part IX

		(A) Beginning of year		(B) End of year
<b>Assets</b>	<b>1</b> Cash—non-interest-bearing . . . . .	19,861,706	<b>1</b>	20,194,379
	<b>2</b> Savings and temporary cash investments . . . . .	5,158,679	<b>2</b>	5,471,443
	<b>3</b> Pledges and grants receivable, net . . . . .	25,704,582	<b>3</b>	28,378,744
	<b>4</b> Accounts receivable, net . . . . .	69,229	<b>4</b>	67,041
	<b>5</b> Loans and other payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons . . . . .		<b>5</b>	
	<b>6</b> Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), and persons described in section 4958(c)(3)(B) . . . . .		<b>6</b>	
	<b>7</b> Notes and loans receivable, net . . . . .		<b>7</b>	
	<b>8</b> Inventories for sale or use . . . . .	8,844	<b>8</b>	2,114
	<b>9</b> Prepaid expenses and deferred charges . . . . .	42,256	<b>9</b>	484,056
	<b>10a</b> Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	7,326,655		
	<b>b</b> Less: accumulated depreciation	3,794,212		
	<b>11</b> Investments—publicly traded securities . . . . .	45,021	<b>11</b>	131,503
	<b>12</b> Investments—other securities. See Part IV, line 11 . . . . .	1,298,598	<b>12</b>	1,777,080
	<b>13</b> Investments—program-related. See Part IV, line 11 . . . . .		<b>13</b>	
	<b>14</b> Intangible assets . . . . .		<b>14</b>	
	<b>15</b> Other assets. See Part IV, line 11 . . . . .	243,244	<b>15</b>	250,789
<b>16 Total assets.</b> Add lines 1 through 15 (must equal line 34) . . . . .	56,507,862	<b>16</b>	60,289,592	
<b>Liabilities</b>	<b>17</b> Accounts payable and accrued expenses . . . . .	2,240,494	<b>17</b>	2,255,043
	<b>18</b> Grants payable . . . . .	5,000	<b>18</b>	5,000
	<b>19</b> Deferred revenue . . . . .		<b>19</b>	
	<b>20</b> Tax-exempt bond liabilities . . . . .		<b>20</b>	
	<b>21</b> Escrow or custodial account liability. Complete Part IV of Schedule D		<b>21</b>	
	<b>22</b> Loans and other payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons . . . . .		<b>22</b>	
	<b>23</b> Secured mortgages and notes payable to unrelated third parties . . . . .		<b>23</b>	
	<b>24</b> Unsecured notes and loans payable to unrelated third parties . . . . .		<b>24</b>	
	<b>25</b> Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17 - 24). Complete Part X of Schedule D	2,900,806	<b>25</b>	3,936,025
	<b>26 Total liabilities.</b> Add lines 17 through 25 . . . . .	5,146,300	<b>26</b>	6,196,068
<b>Net Assets or Fund Balances</b>	<b>Organizations that follow FASB ASC 958, check here <input checked="" type="checkbox"/> and complete lines 27, 28, 32, and 33.</b>			
	<b>27</b> Net assets without donor restrictions . . . . .	11,200,257	<b>27</b>	15,135,825
	<b>28</b> Net assets with donor restrictions . . . . .	40,161,305	<b>28</b>	38,957,699
	<b>Organizations that do not follow FASB ASC 958, check here <input type="checkbox"/> and complete lines 29 through 33.</b>			
	<b>29</b> Capital stock or trust principal, or current funds . . . . .		<b>29</b>	
	<b>30</b> Paid-in or capital surplus, or land, building or equipment fund . . . . .		<b>30</b>	
	<b>31</b> Retained earnings, endowment, accumulated income, or other funds		<b>31</b>	
<b>32</b> Total net assets or fund balances . . . . .	51,361,562	<b>32</b>	54,093,524	
<b>33</b> Total liabilities and net assets/fund balances . . . . .	56,507,862	<b>33</b>	60,289,592	

**Part XI Reconciliation of Net Assets**

Check if Schedule O contains a response or note to any line in this Part XI

<b>1</b>	Total revenue (must equal Part VIII, column (A), line 12)	<b>1</b>	38,632,475
<b>2</b>	Total expenses (must equal Part IX, column (A), line 25)	<b>2</b>	36,005,199
<b>3</b>	Revenue less expenses. Subtract line 2 from line 1	<b>3</b>	2,627,276
<b>4</b>	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	<b>4</b>	51,361,562
<b>5</b>	Net unrealized gains (losses) on investments	<b>5</b>	-12,782
<b>6</b>	Donated services and use of facilities	<b>6</b>	
<b>7</b>	Investment expenses	<b>7</b>	
<b>8</b>	Prior period adjustments	<b>8</b>	
<b>9</b>	Other changes in net assets or fund balances (explain in Schedule O)	<b>9</b>	117,468
<b>10</b>	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))	<b>10</b>	54,093,524

**Part XII Financial Statements and Reporting**

Check if Schedule O contains a response or note to any line in this Part XII

- 1** Accounting method used to prepare the Form 990:  Cash  Accrual  Other \_\_\_\_\_  
 If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.
- 2a** Were the organization's financial statements compiled or reviewed by an independent accountant?  
 If 'Yes,' check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both:  
 Separate basis  Consolidated basis  Both consolidated and separate basis
- b** Were the organization's financial statements audited by an independent accountant?  
 If 'Yes,' check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both:  
 Separate basis  Consolidated basis  Both consolidated and separate basis
- c** If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?  
 If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.
- 3a** As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?
- b** If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits.

	Yes	No
<b>2a</b>		No
<b>2b</b>	Yes	
<b>2c</b>	Yes	
<b>3a</b>		No
<b>3b</b>		

## Additional Data

**Software ID:**

**Software Version:**

**EIN:** 51-0401308

**Name:** OCEANA INC

Form 990 (2019)

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**Form 990, Part III, Line 4a:**

INTERNATIONALBELIZEBAN GILLNETSOCEANA IN BELIZE HAS BEEN WORKING FOR SEVERAL YEARS TO OBTAIN A GOVERNMENT BAN ON THE USE OF DESTRUCTIVE GILLNETS, AND AT THE CLOSE OF 2019, WE WERE VERY CLOSE TO ACHIEVING THIS GOAL. DISCUSSIONS WITH THE GOVERNMENT HAVE PROGRESSED IN RECENT WEEKS AND A DRAFT AGREEMENT IS BEING DISCUSSED BY THE PRIME MINISTER AND THE MINISTER OF FISHERIES. WE HOPE TO BE ABLE TO REPORT ON THIS VICTORY IN 2020. WE BELIEVE THE BAN CAN BE ENACTED AND COME INTO FORCE IN 2020. THE BAN CAN BE ENACTED BY STATUTORY INSTRUMENT (SI), WHICH IS LESS TIME CONSUMING THAN PRESENTING A BILL IN THE HOUSE OF REPRESENTATIVES. AN SI CARRIES THE SAME LEGAL WEIGHT AS A LEGISLATION PASSED BY THE HOUSE. OCEANA WILL SUPPORT THE TRANSITION PROCESS BY FOCUSING OUR COMMUNICATIONS ON IMPLEMENTATION OF THE BAN.

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## Form 990, Part III, Line 4b:

UNITED STATES IN THE UNITED STATES, OCEANA IS WORKING ON SCIENCE-BASED POLICY CAMPAIGNS THAT SEEK TO ADVANCE RESPONSIBLE FISHING, STOP NEW OFFSHORE OIL AND GAS DEVELOPMENT, REDUCE ILLEGAL FISHING, STOP THE SHARK FIN TRADE, PROTECT THE NORTH ATLANTIC RIGHT WHALE AND DEFEND THE NATION'S BEDROCK CONSERVATION LAWS. STOP EXPANDED OFFSHORE DRILLING IN LATE APRIL OF THIS YEAR, THE TRUMP ADMINISTRATION ANNOUNCED THAT IT HAD "INDEFINITELY DELAYED" ITS PLAN TO RADICALLY EXPAND OFFSHORE DRILLING TO NEARLY ALL U.S. WATERS. WE BELIEVE THAT THIS DELAY OF THE OTHERWISE IMMINENT DRILLING PLAN COULD LAST AS LONG AS 18 MONTHS UNTIL NOVEMBER 2020. WE ARE STAYING ON GUARD IN CASE OF A CHANGE IN PLANS, WHICH COULD OCCUR WITHOUT NOTICE. WE HAVE ALSO SHIFTED TO A PROACTIVE CAMPAIGN PUSHING FOR LEGISLATION TO CLOSE THE ATLANTIC AND PACIFIC TO DRILLING TO MAINTAIN THE NECESSARY POLITICAL PRESSURE TO PREVENT PRESIDENT TRUMP FROM ADVANCING HIS OFFSHORE DRILLING PLAN. THE CONGRESSIONAL MORATORIUM ON OFFSHORE DRILLING IN THE GULF OF MEXICO REMAINS INTACT. NO BILLS THAT WOULD UNDO THE CONGRESSIONAL MORATORIUM ON DRILLING IN THE EASTERN GULF OF MEXICO PASSED EITHER HOUSE OF CONGRESS IN 2019. OCEANA AND ITS ALLIES ALSO SUCCESSFULLY ADVOCATED FOR NEW LEGISLATION TO PLACE A MORATORIUM ON OFFSHORE OIL DRILLING IN OREGON STATE WATERS, AND TO PROHIBIT ANY ACTIVITIES OR NEW INFRASTRUCTURE THAT WOULD SUPPORT OIL DRILLING IN FEDERAL WATERS. IN SOUTH CAROLINA, OCEANA WORKED WITH ALLIES TO SUPPORT COASTAL RESIDENTS WHO WANTED TO PUT IN PLACE A STATE PROHIBITION ON ACTIONS TO FURTHER OIL AND GAS RELATED ACTIVITIES FOR ONE YEAR. IN VIRGINIA, WE MAINTAINED A DEFENSIVE POSTURE AND WERE SUCCESSFULLY ABLE TO PREVENT ANY PRO-OFFSHORE DRILLING STATE LEGISLATION. WE ALSO SUPPORTED BILLS PASSED IN NEW YORK AND GEORGIA THAT PROHIBIT OFFSHORE DRILLING AND INFRASTRUCTURE THAT WOULD SUPPORT IT, IN THOSE STATES. OCEANA'S EFFORTS TO REACH OUT TO COASTAL RESIDENTS AND BUSINESS LEADERS HAS LED TO A GROWING AND GALVANIZED BIPARTISAN OPPOSITION TO EXPANDED DRILLING, MOST NOTABLY FROM BUSINESSES THAT RELY ON HEALTHY OCEANS. THE THREE BUSINESS ALLIANCES THAT OPPOSE OFFSHORE DRILLING ON THE ATLANTIC, PACIFIC AND GULF COASTS AND WHICH OCEANA HELPED TO CREATE, NOW TOTAL AT LEAST 56,000 BUSINESSES AND 500,000 FISHING FAMILIES. MORE THAN 2,200 LOCAL, STATE AND FEDERAL ELECTED OFFICIALS, INCLUDING GOVERNORS ALONG THE EAST AND WEST COASTS AND MORE THAN 230 MEMBERS OF CONGRESS (A MAJORITY), NOW PUBLICLY OPPOSE EXPANDED OFFSHORE DRILLING AS WELL. IN ADDITION, AT THE END OF 2019, 380 MUNICIPALITIES HAD FORMALLY PASSED RESOLUTIONS OPPOSING OFFSHORE DRILLING AND/OR SEISMIC BLASTING (WE SECURED 58 OF THESE RESOLUTIONS IN 2019, EXCEEDING OUR GOAL OF 50). DEFENSE OF OCEAN PROTECTION LAWS OCEANA AND OUR MAGNUSON-STEVENS ACT COALITION PARTNERS HAVE SUCCESSFULLY FOUGHT ATTEMPTS TO WEAKEN THE MAGNUSON-STEVENS ACT AND THE MARINE MAMMAL PROTECTION ACT. FURTHERMORE, THERE WERE NO REVERSALS OF PREVIOUSLY PROTECTED MARINE AREAS IN 2019, ANOTHER STANDARD BY WHICH WE MEASURE SUCCESS IN THIS CAMPAIGN. BAN THE SHARK FIN TRADE SHARK POPULATIONS AROUND THE WORLD ARE IN SERIOUS DECLINE, LARGELY DRIVEN BY THE DEMAND FOR THEIR FINS. FINS FROM AS MANY AS 73 MILLION SHARKS END UP IN THE GLOBAL SHARK FIN TRADE EACH YEAR, AND MORE THAN 70 PERCENT OF THE MOST POPULAR SPECIES IN THE FIN TRADE FACE A HIGH OR VERY HIGH THREAT OF EXTINCTION. A NATIONAL TRADE BAN WOULD ENSURE THAT THE UNITED STATES NO LONGER PARTICIPATES IN THE GLOBAL FIN TRADE THAT CONTRIBUTES TO THE DECLINE OF MANY AT-RISK SHARK SPECIES. IN 2019, OCEANA SUCCESSFULLY ADVOCATED FOR TWO CONGRESSIONAL COMMITTEES TO HOLD HEARINGS AND VOTES ON THE SHARK FIN SALES ELIMINATION ACT, WHICH WOULD PROHIBIT THE TRADE OF SHARK FINS IN THE U.S. SAVE THE NORTH ATLANTIC RIGHT WHALE ON SEPTEMBER 12, 2019, OCEANA LAUNCHED THE BINATIONAL CAMPAIGN TO SAVE NORTH ATLANTIC RIGHT WHALES FROM EXTINCTION. TO KICK OFF THE CAMPAIGN IN BOTH THE UNITED STATES AND CANADA WE RELEASED A BINATIONAL REPORT AND FACT SHEET WHICH DETAIL THE DIRE REALITY FACING NORTH ATLANTIC RIGHT WHALES, HIGHLIGHT THE TWO GREATEST CURRENT THREATS FACING THEIR POPULATION (ENTANGLEMENTS IN FISHING GEAR AND COLLISIONS WITH SHIPS), AND OUTLINE WHAT MUST BE DONE FOR THIS SPECIES TO RECOVER. OCEANA WORKED IN COALITION TO INTRODUCE, BUILD SUPPORT, AND PASS H.R. 1568, THE SCIENTIFIC ASSISTANCE FOR VERY ENDANGERED NORTH ATLANTIC RIGHT WHALES (SAVE) ACT OF 2019 IN THE HOUSE NATURAL RESOURCES COMMITTEE. THIS BIPARTISAN LEGISLATION WOULD PROVIDE A SUSTAINED SOURCE OF FEDERAL FUNDING FOR RESEARCH TO DEVELOP, TEST, OR USE INNOVATIVE TECHNOLOGIES AND STRATEGIES TO REDUCE ENTANGLEMENTS AND VESSEL COLLISIONS. WE ALSO PUSHED FOR INCREASED FUNDING FOR NORTH ATLANTIC RIGHT WHALE CONSERVATION IN BOTH CHAMBERS. RESPONSIBLE FISHING WHILE OUR PREVIOUS RESPONSIBLE FISHING CAMPAIGN IS FINISHED, WE HAD SOME OUTSTANDING VICTORIES IN 2019. ON OCTOBER 10, 2019, AFTER YEARS OF CAMPAIGN WORK BY OCEANA AND OUR PARTNERS, THE CALIFORNIA FISH AND GAME COMMISSION UNANIMOUSLY ADOPTED A FIRST-OF-ITS-KIND FISHERY MANAGEMENT PLAN FOR PACIFIC HERRING THAT WILL ENSURE A SUSTAINABLE HERRING FISHERY INTO THE FUTURE. WE ALSO SAW THE PACIFIC COUNCIL AUTHORIZE THE USE OF DEEP-SET BUOY GEAR IN THE SWORDFISH FISHERY OFF CALIFORNIA AS AN ALTERNATIVE TO THE DESTRUCTIVE DRIFT GILLNETS THAT ARE DEADLY TO MARINE MAMMALS AND SEA TURTLES, MANY OF WHICH ARE ENDANGERED. TO HELP INCREASE CRITICAL OBSERVER COVERAGE IN THE GULF OF ALASKA TRAWL FISHERIES, WHICH HAVE VERY HIGH LEVELS OF BYCATCH, WE PERSUADED THE NORTH PACIFIC FISHERY MANAGEMENT COUNCIL TO AGREE TO TWO NEW MEASURES TO HELP FUND ADDITIONAL OBSERVERS (COVERAGE IS CURRENTLY ONLY ABOUT 25 PERCENT IN THE TRAWL FISHERIES). FIRST, THEY APPROVED AN INCREASE IN THE FEE THAT IS CHARGED TO PAY FOR THE OBSERVERS, WHICH SHOULD YIELD ENOUGH REVENUE TO BRING OBSERVER COVERAGE TO 100 PERCENT. THEN, THEY AGREED WITH OUR RECOMMENDATIONS ON COST CONTAINMENT MEASURES THAT WOULD MAKE THE PROGRAM MORE SUSTAINABLE AND DIRECTED THE NATIONAL MARINE FISHERY SERVICE TO IMPLEMENT THEM.

**Form 990, Part III, Line 4c:**

MARKETING AND COMMUNICATIONS  
KEY ACHIEVEMENTS OF OCEANA'S MARKETING AND COMMUNICATIONS DEPARTMENT IN 2019 ARE HIGHLIGHTED BELOW.  
ONLINE COMMUNICATIONS  
AS OF NOVEMBER 2019, OCEANA HAS 4.2 MILLION ORGANIZATIONAL SUPPORTERS, BEATING THE 2019 GOAL OF 3.4 MILLION SUPPORTERS. OCEANA'S TOTAL SUPPORTER BASE, INCLUDING COUNTRY AND REGIONAL SOCIAL MEDIA ACCOUNTS, NUMBER MORE THAN 6 MILLION SUPPORTERS WORLDWIDE.

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**Form 990, Part III - 4 Program Service Accomplishments (See the Instructions)**

**Describe the exempt purpose achievements for each of the organization's three largest program services by expenses. Section 501(c)(3) and (4) organizations and 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.**

(Code: )	(Expenses \$	1,571,045	including grants of \$	(Revenue \$
MARINE SCIENCE				)

(Code: )	(Expenses \$	890,192	including grants of \$	(Revenue \$
LAW				)

**Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
VALARIE VAN CLEAVE CHAIR	9.00	X		X				0	0	0
TED DANSON VICE-CHAIR	7.00	X		X				0	0	0
KEITH ADDIS PRESIDENT	6.00	X		X				0	0	0
JAMES SANDLER SECRETARY	3.00	X		X				0	0	0
DIANA THOMSON TREASURER	3.00	X		X				0	0	0
GAZ ALAZRAKI DIRECTOR	2.00	X						0	0	0
MONIQUE BAR DIRECTOR	2.00	X						0	0	0
HERBERT M BEDOLFE III DIRECTOR	3.00	X						0	0	0
NICHOLAS DAVIS DIRECTOR	2.00	X						0	0	0
SYDNEY DAVIS DIRECTOR	2.00	X						0	0	0

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors										
(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
CESAR GAVIRIA ..... DIRECTOR	2.00 .....	X						0	0	0
MARA EUGENIA GIRON ..... DIRECTOR	2.00 .....	X						0	0	0
LOIC GOUZER ..... DIRECTOR	2.00 .....	X						0	0	0
JENA KING ..... DIRECTOR	2.00 .....	X						0	0	0
SARA LOWELL ..... DIRECTOR	2.00 .....	X						0	0	0
STEPHEN P MCALLISTER ..... DIRECTOR	2.00 .....	X						0	0	0
DR KRISTIAN PARKER ..... DIRECTOR	3.00 .....	X						0	0	0
DR DANIEL PAULY ..... DIRECTOR	2.00 .....	X						0	0	0
SUSAN ROCKEFELLER ..... DIRECTOR	6.00 .....	X						0	0	0
DAVID ROCKEFELLER JR ..... DIRECTOR	2.00 .....	X						0	0	0

**Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
SIMON SIDAMON-ERISTOFF ..... DIRECTOR	2.00 .....	X						0	0	0
HEATHER STEVENS ..... DIRECTOR	2.00 .....	X						0	0	0
DR RASHID SUMAILA ..... DIRECTOR	2.00 .....	X						0	0	0
SAM WATERSTON ..... DIRECTOR	2.00 .....	X						0	0	0
JEAN WEISS ..... DIRECTOR	2.00 .....	X						0	0	0
ANDREW F SHARPLESS ..... CHIEF EXECUTIVE OFFICER	40.00 .....			X				429,918	0	43,309
JAMES F SIMON ..... PRESIDENT & GENERAL COUNSEL	1.00 40.00 .....			X				345,809	0	45,767
CHRISTOPHER M SHARKEY ..... CHIEF FINANCIAL OFFICER	40.00 .....			X				259,827	0	35,437
JACQUELINE SAVITZ ..... SVP, UNITED STATES	1.00 40.00 .....				X			247,165	0	32,972
NANCY GOLDEN ..... VICE PRESIDENT OF DEVELOPMENT	40.00 .....				X			230,492	0	30,604

**Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
PASCAL MOEHRLE ..... EXECUTIVE DIRECTOR, EUROPE	40.00 .....				X			205,797	0	0
MATTHEW M LITTLEJOHN ..... SVP, STRATEGIC MKTG & COMMUNICATIONS	40.00 .....					X		231,972	0	35,912
MICHAEL F HIRSHFIELD ..... CHIEF SCIENTIST & STRATEGY OFFICER	20.00 .....					X		224,546	0	27,137
KATHY WHELPLEY ..... SR DIRECTOR, INSTITUTIONAL GIVING	40.00 .....					X		206,392	0	32,985
SUSAN MURRAY ..... DEPUTY VP, PACIFIC	40.00 .....					X		180,215	0	27,982
ERIC BILSKY ..... SR. ATTORNEY/ASST. GENERAL COUNSEL	40.00 .....					X		171,178	0	25,686

**SCHEDULE A**  
(Form 990 or 990-EZ)

Department of the Treasury  
Internal Revenue Service

**Public Charity Status and Public Support**

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.  
▶ Attach to Form 990 or Form 990-EZ.  
▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.

OMB No. 1545-0047

**2019**

**Open to Public Inspection**

Name of the organization  
OCEANA INC

Employer identification number  
51-0401308

**Part I Reason for Public Charity Status** (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 12, check only one box.)

- 1  A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**
- 2  A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E (Form 990 or 990-EZ).)
- 3  A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**
- 4  A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state:
- 5  An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II.)
- 6  A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**
- 7  An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
- 8  A community trust described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
- 9  An agricultural research organization described in **170(b)(1)(A)(ix)** operated in conjunction with a land-grant college or university or a non-land grant college of agriculture. See instructions. Enter the name, city, and state of the college or university:
- 10  An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2).** (Complete Part III.)
- 11  An organization organized and operated exclusively to test for public safety. See **section 509(a)(4).**
- 12  An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2).** See **section 509(a)(3).** Check the box in lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g.
  - a  **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. **You must complete Part IV, Sections A and B.**
  - b  **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). **You must complete Part IV, Sections A and C.**
  - c  **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). **You must complete Part IV, Sections A, D, and E.**
  - d  **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). **You must complete Part IV, Sections A and D, and Part V.**
  - e  Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization.
  - f Enter the number of supported organizations . . . . . \_\_\_\_\_
  - g Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1- 10 above (see instructions))	(iv) Is the organization listed in your governing document?		(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
			Yes	No		
<b>Total</b>						

**Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**  
 (Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III.  
 If the organization failed to qualify under the tests listed below, please complete Part III.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ▶		(a) 2015	(b) 2016	(c) 2017	(d) 2018	(e) 2019	(f) Total
<b>1</b>	Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grant.") . . .	18,802,188	24,887,791	48,322,208	51,826,346	38,559,747	182,398,280
<b>2</b>	Tax revenues levied for the organization's benefit and either paid to or expended on its behalf . . . .						
<b>3</b>	The value of services or facilities furnished by a governmental unit to the organization without charge..						
<b>4</b>	<b>Total.</b> Add lines 1 through 3	18,802,188	24,887,791	48,322,208	51,826,346	38,559,747	182,398,280
<b>5</b>	The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f). . .						61,611,220
<b>6</b>	<b>Public support.</b> Subtract line 5 from line 4.						120,787,060

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ▶		(a) 2015	(b) 2016	(c) 2017	(d) 2018	(e) 2019	(f) Total
<b>7</b>	Amounts from line 4. . . . .	18,802,188	24,887,791	48,322,208	51,826,346	38,559,747	182,398,280
<b>8</b>	Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources . . . . .	45,208	19,769	16,973	34,082	60,659	176,691
<b>9</b>	Net income from unrelated business activities, whether or not the business is regularly carried on . . . . .					2,365	2,365
<b>10</b>	Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.). . . . .	17,452	566		5,154		23,172
<b>11</b>	<b>Total support.</b> Add lines 7 through 10						182,600,508
<b>12</b>	Gross receipts from related activities, etc. (see instructions) . . . . .					<b>12</b>	1,148,324

**13 First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** . . . . .

**Section C. Computation of Public Support Percentage**

<b>14</b>	Public support percentage for 2019 (line 6, column (f) divided by line 11, column (f)) . . . . .	<b>14</b>	66.150 %
<b>15</b>	Public support percentage for 2018 Schedule A, Part II, line 14 . . . . .	<b>15</b>	66.870 %

- 16a 33 1/3% support test—2019.** If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization . . . . .
- b 33 1/3% support test—2018.** If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization . . . . .
- 17a 10%-facts-and-circumstances test—2019.** If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization . . . . .
- b 10%-facts-and-circumstances test—2018.** If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization . . . . .
- 18 Private foundation.** If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions . . . . .

**Part III Support Schedule for Organizations Described in Section 509(a)(2)**

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ▶		(a) 2015	(b) 2016	(c) 2017	(d) 2018	(e) 2019	(f) Total
<b>1</b>	Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") .						
<b>2</b>	Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
<b>3</b>	Gross receipts from activities that are not an unrelated trade or business under section 513 . . . . .						
<b>4</b>	Tax revenues levied for the organization's benefit and either paid to or expended on its behalf. . .						
<b>5</b>	The value of services or facilities furnished by a governmental unit to the organization without charge						
<b>6</b>	<b>Total.</b> Add lines 1 through 5						
<b>7a</b>	Amounts included on lines 1, 2, and 3 received from disqualified persons						
<b>b</b>	Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year.						
<b>c</b>	Add lines 7a and 7b. . . . .						
<b>8</b>	<b>Public support.</b> (Subtract line 7c from line 6.)						

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ▶		(a) 2015	(b) 2016	(c) 2017	(d) 2018	(e) 2019	(f) Total
<b>9</b>	Amounts from line 6. . . . .						
<b>10a</b>	Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources. . .						
<b>b</b>	Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975.						
<b>c</b>	Add lines 10a and 10b.						
<b>11</b>	Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on.						
<b>12</b>	Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.) . . . . .						
<b>13</b>	<b>Total support.</b> (Add lines 9, 10c, 11, and 12.) . . . . .						

**14 First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here.** . . . . .

**Section C. Computation of Public Support Percentage**

<b>15</b>	Public support percentage for 2019 (line 8, column (f) divided by line 13, column (f)) . . . . .	<b>15</b>	
<b>16</b>	Public support percentage from 2018 Schedule A, Part III, line 15 . . . . .	<b>16</b>	

**Section D. Computation of Investment Income Percentage**

<b>17</b>	Investment income percentage for <b>2019</b> (line 10c, column (f) divided by line 13, column (f)) . . . . .	<b>17</b>	
<b>18</b>	Investment income percentage from <b>2018</b> Schedule A, Part III, line 17 . . . . .	<b>18</b>	

**19a 33 1/3% support tests—2019.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization . . . . .

**b 33 1/3% support tests—2018.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization . . . . .

**20 Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions . . . . .

**Part IV Supporting Organizations**

(Complete only if you checked a box on line 12 of Part I. If you checked 12a of Part I, complete Sections A and B. If you checked 12b of Part I, complete Sections A and C. If you checked 12c of Part I, complete Sections A, D, and E. If you checked 12d of Part I, complete Sections A and D, and complete Part V.)

**Section A. All Supporting Organizations**

		Yes	No
<b>1</b>	Are all of the organization's supported organizations listed by name in the organization's governing documents? <i>If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.</i>		
<b>2</b>	Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? <i>If "Yes," explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).</i>		
<b>3a</b>	Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? <i>If "Yes," answer (b) and (c) below.</i>		
<b>b</b>	Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? <i>If "Yes," describe in Part VI when and how the organization made the determination.</i>		
<b>c</b>	Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? <i>If "Yes," explain in Part VI what controls the organization put in place to ensure such use.</i>		
<b>4a</b>	Was any supported organization not organized in the United States ("foreign supported organization")? <i>If "Yes" and if you checked 12a or 12b in Part I, answer (b) and (c) below.</i>		
<b>b</b>	Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? <i>If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.</i>		
<b>c</b>	Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? <i>If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.</i>		
<b>5a</b>	Did the organization add, substitute, or remove any supported organizations during the tax year? <i>If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).</i>		
<b>b</b>	<b>Type I or Type II only.</b> Was any added or substituted supported organization part of a class already designated in the organization's organizing document?		
<b>c</b>	<b>Substitutions only.</b> Was the substitution the result of an event beyond the organization's control?		
<b>6</b>	Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? <i>If "Yes," provide detail in Part VI.</i>		
<b>7</b>	Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? <i>If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).</i>		
<b>8</b>	Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? <i>If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).</i>		
<b>9a</b>	Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? <i>If "Yes," provide detail in Part VI.</i>		
<b>b</b>	Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? <i>If "Yes," provide detail in Part VI.</i>		
<b>c</b>	Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? <i>If "Yes," provide detail in Part VI.</i>		
<b>10a</b>	Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? <i>If "Yes," answer line 10b below.</i>		
<b>b</b>	Did the organization have any excess business holdings in the tax year? <i>(Use Schedule C, Form 4720, to determine whether the organization had excess business holdings).</i>		

**Part IV Supporting Organizations** (continued)

		Yes	No
<b>11</b>	Has the organization accepted a gift or contribution from any of the following persons?		
<b>a</b>	A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization?		
<b>b</b>	A family member of a person described in (a) above?		
<b>c</b>	A 35% controlled entity of a person described in (a) or (b) above? <i>If "Yes" to a, b, or c, provide detail in Part VI.</i>		

**Section B. Type I Supporting Organizations**

		Yes	No
<b>1</b>	Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? <i>If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.</i>		
<b>2</b>	Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? <i>If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised or controlled the supporting organization.</i>		

**Section C. Type II Supporting Organizations**

		Yes	No
<b>1</b>	Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? <i>If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).</i>		

**Section D. All Type III Supporting Organizations**

		Yes	No
<b>1</b>	Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?		
<b>2</b>	Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization (s) or (ii) serving on the governing body of a supported organization? <i>If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s).</i>		
<b>3</b>	By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? <i>If "Yes," describe in Part VI the role the organization's supported organizations played in this regard.</i>		

**Section E. Type III Functionally-Integrated Supporting Organizations**

<b>1</b>	Check the box next to the method that the organization used to satisfy the Integral Part Test during the year ( <b>see instructions</b> ):		
<b>a</b>	<input type="checkbox"/> The organization satisfied the Activities Test. Complete <b>line 2</b> below.		
<b>b</b>	<input type="checkbox"/> The organization is the parent of each of its supported organizations. Complete <b>line 3</b> below.		
<b>c</b>	<input type="checkbox"/> The organization supported a governmental entity. Describe in <b>Part VI</b> how you supported a government entity (see instructions)		
<b>2</b>	Activities Test. <b>Answer (a) and (b) below.</b>		
<b>a</b>	Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? <i>If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.</i>	Yes	No
<b>b</b>	Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? <i>If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.</i>		
<b>3</b>	Parent of Supported Organizations. <b>Answer (a) and (b) below.</b>		
<b>a</b>	Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? <i>Provide details in Part VI.</i>		
<b>b</b>	Did the organization exercise a substantial degree of direction over the policies, programs and activities of each of its supported organizations? <i>If "Yes," describe in Part VI the role played by the organization in this regard.</i>		

**Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations**

- 1**  Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970 (explain in Part VI). **See instructions.** All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

<b>Section A - Adjusted Net Income</b>		(A) Prior Year	(B) Current Year (optional)
<b>1</b>	Net short-term capital gain	<b>1</b>	
<b>2</b>	Recoveries of prior-year distributions	<b>2</b>	
<b>3</b>	Other gross income (see instructions)	<b>3</b>	
<b>4</b>	Add lines 1 through 3	<b>4</b>	
<b>5</b>	Depreciation and depletion	<b>5</b>	
<b>6</b>	Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	<b>6</b>	
<b>7</b>	Other expenses (see instructions)	<b>7</b>	
<b>8</b>	<b>Adjusted Net Income</b> (subtract lines 5, 6 and 7 from line 4)	<b>8</b>	
<b>Section B - Minimum Asset Amount</b>		(A) Prior Year	(B) Current Year (optional)
<b>1</b>	Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year):	<b>1</b>	
<b>a</b>	Average monthly value of securities	<b>1a</b>	
<b>b</b>	Average monthly cash balances	<b>1b</b>	
<b>c</b>	Fair market value of other non-exempt-use assets	<b>1c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, 1b, and 1c)	<b>1d</b>	
<b>e</b>	<b>Discount</b> claimed for blockage or other factors (explain in detail in Part VI):		
<b>2</b>	Acquisition indebtedness applicable to non-exempt use assets	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	
<b>4</b>	Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, see instructions).	<b>4</b>	
<b>5</b>	Net value of non-exempt-use assets (subtract line 4 from line 3)	<b>5</b>	
<b>6</b>	Multiply line 5 by .035	<b>6</b>	
<b>7</b>	Recoveries of prior-year distributions	<b>7</b>	
<b>8</b>	<b>Minimum Asset Amount</b> (add line 7 to line 6)	<b>8</b>	
<b>Section C - Distributable Amount</b>			Current Year
<b>1</b>	Adjusted net income for prior year (from Section A, line 8, Column A)	<b>1</b>	
<b>2</b>	Enter 85% of line 1	<b>2</b>	
<b>3</b>	Minimum asset amount for prior year (from Section B, line 8, Column A)	<b>3</b>	
<b>4</b>	Enter greater of line 2 or line 3	<b>4</b>	
<b>5</b>	Income tax imposed in prior year	<b>5</b>	
<b>6</b>	<b>Distributable Amount.</b> Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions)	<b>6</b>	
<b>7</b>	<input type="checkbox"/> Check here if the current year is the organization's first as a non-functionally-integrated Type III supporting organization (see instructions)		

**Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations** (continued)

<b>Section D - Distributions</b>	<b>Current Year</b>
<b>1</b> Amounts paid to supported organizations to accomplish exempt purposes	
<b>2</b> Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	
<b>3</b> Administrative expenses paid to accomplish exempt purposes of supported organizations	
<b>4</b> Amounts paid to acquire exempt-use assets	
<b>5</b> Qualified set-aside amounts (prior IRS approval required)	
<b>6</b> Other distributions (describe in <b>Part VI</b> ). See instructions	
<b>7 Total annual distributions.</b> Add lines 1 through 6.	
<b>8</b> Distributions to attentive supported organizations to which the organization is responsive (provide details in <b>Part VI</b> ). See instructions	
<b>9</b> Distributable amount for 2019 from Section C, line 6	
<b>10</b> Line 8 amount divided by Line 9 amount	

<b>Section E - Distribution Allocations</b> (see instructions)	<b>(i)</b> <b>Excess Distributions</b>	<b>(ii)</b> <b>Underdistributions</b> <b>Pre-2019</b>	<b>(iii)</b> <b>Distributable</b> <b>Amount for 2019</b>
<b>1</b> Distributable amount for 2019 from Section C, line 6			
<b>2</b> Underdistributions, if any, for years prior to 2019 (reasonable cause required-- explain in <b>Part VI</b> ). See instructions.			
<b>3</b> Excess distributions carryover, if any, to 2019:			
<b>a</b> From 2014. . . . .			
<b>b</b> From 2015. . . . .			
<b>c</b> From 2016. . . . .			
<b>d</b> From 2017. . . . .			
<b>e</b> From 2018. . . . .			
<b>f Total</b> of lines 3a through e			
<b>g</b> Applied to underdistributions of prior years			
<b>h</b> Applied to 2019 distributable amount			
<b>i</b> Carryover from 2014 not applied (see instructions)			
<b>j</b> Remainder. Subtract lines 3g, 3h, and 3i from 3f.			
<b>4</b> Distributions for 2019 from Section D, line 7:			
\$			
<b>a</b> Applied to underdistributions of prior years			
<b>b</b> Applied to 2019 distributable amount			
<b>c</b> Remainder. Subtract lines 4a and 4b from 4.			
<b>5</b> Remaining underdistributions for years prior to 2019, if any. Subtract lines 3g and 4a from line 2. If the amount is greater than zero, explain in <b>Part VI</b> . See instructions.			
<b>6</b> Remaining underdistributions for 2019. Subtract lines 3h and 4b from line 1. If the amount is greater than zero, explain in <b>Part VI</b> . See instructions.			
<b>7 Excess distributions carryover to 2020.</b> Add lines 3j and 4c.			
<b>8</b> Breakdown of line 7:			
<b>a</b> Excess from 2015. . . . .			
<b>b</b> Excess from 2016. . . . .			
<b>c</b> Excess from 2017. . . . .			
<b>d</b> Excess from 2018. . . . .			
<b>e</b> Excess from 2019. . . . .			

**Part VI Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions).

<b>Facts And Circumstances Test</b>
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**990 Schedule A, Supplemental Information**

Return Reference	Explanation
SCHEDULE A, PART II, LINE 10, EXPLANATION OF OTHER INCOME:	MISCELLANEOUS - 2015 AMOUNT: \$ 17,452. 2016 AMOUNT: \$ 566. 2017 AMOUNT: \$ 0. 2018 AMOUNT: \$ 5,154. 2019 AMOUNT: \$ 0.

**SCHEDULE C**  
(Form 990 or 990-EZ)  
  
Department of the Treasury  
Internal Revenue Service

**Political Campaign and Lobbying Activities**  
For Organizations Exempt From Income Tax Under section 501(c) and section 527  
  
▶Complete if the organization is described below. ▶Attach to Form 990 or Form 990-EZ.  
▶Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.

OMB No. 1545-0047  
  
**2019**  
**Open to Public Inspection**

**If the organization answered "Yes" on Form 990, Part IV, Line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then**

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

**If the organization answered "Yes" on Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then**

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

**If the organization answered "Yes" on Form 990, Part IV, Line 5 (Proxy Tax) (see separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (see separate instructions), then**

- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

Name of the organization OCEANA INC	Employer identification number 51-0401308
--	--

**Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.**

**1** Provide a description of the organization's direct and indirect political campaign activities in Part IV (see instructions for definition of "political campaign activities")

**2** Political campaign activity expenditures (see instructions) ..... ▶ \$ \_\_\_\_\_

**3** Volunteer hours for political campaign activities (see instructions) .....

**Part I-B Complete if the organization is exempt under section 501(c)(3).**

**1** Enter the amount of any excise tax incurred by the organization under section 4955 ..... ▶ \$ \_\_\_\_\_

**2** Enter the amount of any excise tax incurred by organization managers under section 4955 ..... ▶ \$ \_\_\_\_\_

**3** If the organization incurred a section 4955 tax, did it file Form 4720 for this year? .....  Yes  No

**4a** Was a correction made? .....  Yes  No

**b** If "Yes," describe in Part IV.

**Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).**

**1** Enter the amount directly expended by the filing organization for section 527 exempt function activities ..... ▶ \$ \_\_\_\_\_

**2** Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities ..... ▶ \$ \_\_\_\_\_

**3** Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b..... ▶ \$ \_\_\_\_\_

**4** Did the filing organization file **Form 1120-POL** for this year? .....  Yes  No

**5** Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds. If none, enter -0-.	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-.
1				
2				
3				
4				
5				
6				

**Part II-A Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).**

- A** Check  if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures).
- B** Check  if the filing organization checked box A and "limited control" provisions apply.

**Limits on Lobbying Expenditures**  
(The term "expenditures" means amounts paid or incurred.)

	(a) Filing organization's totals	(b) Affiliated group totals
<b>1a</b> Total lobbying expenditures to influence public opinion (grass roots lobbying) .....	189,454	
<b>b</b> Total lobbying expenditures to influence a legislative body (direct lobbying) .....	448,195	
<b>c</b> Total lobbying expenditures (add lines 1a and 1b) .....	637,649	
<b>d</b> Other exempt purpose expenditures .....	35,117,670	
<b>e</b> Total exempt purpose expenditures (add lines 1c and 1d) .....	35,755,319	
<b>f</b> Lobbying nontaxable amount. Enter the amount from the following table in both columns.	1,000,000	

If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:
Not over \$500,000	20% of the amount on line 1e.
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.
Over \$17,000,000	\$1,000,000.

- g** Grassroots nontaxable amount (enter 25% of line 1f) ..... 250,000
- h** Subtract line 1g from line 1a. If zero or less, enter -0- ..... 0
- i** Subtract line 1f from line 1c. If zero or less, enter -0- ..... 0
- j** If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?  Yes  No

**4-Year Averaging Period Under Section 501(h)**  
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the separate instructions for lines 2a through 2f.)

**Lobbying Expenditures During 4-Year Averaging Period**

Calendar year (or fiscal year beginning in)	(a) 2016	(b) 2017	(c) 2018	(d) 2019	(e) Total
<b>2a</b> Lobbying nontaxable amount	1,000,000	1,000,000	1,000,000	1,000,000	4,000,000
<b>b</b> Lobbying ceiling amount (150% of line 2a, column(e))					6,000,000
<b>c</b> Total lobbying expenditures	190,211	271,436	393,066	637,649	1,492,362
<b>d</b> Grassroots nontaxable amount	250,000	250,000	250,000	250,000	1,000,000
<b>e</b> Grassroots ceiling amount (150% of line 2d, column (e))					1,500,000
<b>f</b> Grassroots lobbying expenditures	74,264	130,278	181,155	189,454	575,151

**Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).**

For each "Yes" response on lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity.

	(a)		(b)
	Yes	No	Amount
<b>1</b> During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:			
<b>a</b> Volunteers? .....			
<b>b</b> Paid staff or management (include compensation in expenses reported on lines 1c through 1i)? .....			
<b>c</b> Media advertisements? .....			
<b>d</b> Mailings to members, legislators, or the public? .....			
<b>e</b> Publications, or published or broadcast statements? .....			
<b>f</b> Grants to other organizations for lobbying purposes? .....			
<b>g</b> Direct contact with legislators, their staffs, government officials, or a legislative body? .....			
<b>h</b> Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means? .....			
<b>i</b> Other activities? .....			
<b>j</b> Total. Add lines 1c through 1i .....			
<b>2a</b> Did the activities in line 1 cause the organization to be not described in section 501(c)(3)? .....			
<b>b</b> If "Yes," enter the amount of any tax incurred under section 4912 .....			
<b>c</b> If "Yes," enter the amount of any tax incurred by organization managers under section 4912 .....			
<b>d</b> If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year? .....			

**Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).**

	Yes	No
<b>1</b> Were substantially all (90% or more) dues received nondeductible by members? .....	<b>1</b>	
<b>2</b> Did the organization make only in-house lobbying expenditures of \$2,000 or less? .....	<b>2</b>	
<b>3</b> Did the organization agree to carry over lobbying and political expenditures from the prior year? .....	<b>3</b>	

**Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part III-A, line 3, is answered "Yes."**

<b>1</b> Dues, assessments and similar amounts from members .....	<b>1</b>	
<b>2</b> Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).		
<b>a</b> Current year .....	<b>2a</b>	
<b>b</b> Carryover from last year .....	<b>2b</b>	
<b>c</b> Total .....	<b>2c</b>	
<b>3</b> Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues .	<b>3</b>	
<b>4</b> If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year? .....	<b>4</b>	
<b>5</b> Taxable amount of lobbying and political expenditures (see instructions) .....	<b>5</b>	

**Part IV Supplemental Information**

Provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Part II-A, lines 1 and 2 (see instructions), and Part II-B, line 1. Also, complete this part for any additional information.

Return Reference	Explanation
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**SCHEDULE D**  
(Form 990)  
  
Department of the Treasury  
Internal Revenue Service

# Supplemental Financial Statements

OMB No. 1545-0047  
**2019**  
**Open to Public Inspection**

▶ **Complete if the organization answered "Yes," on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.**  
▶ **Attach to Form 990.**  
▶ **Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.**

**Name of the organization**  
OCEANA INC

**Employer identification number**  
51-0401308

**Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.**  
Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
<b>1</b> Total number at end of year . . . . .		
<b>2</b> Aggregate value of contributions to (during year)		
<b>3</b> Aggregate value of grants from (during year)		
<b>4</b> Aggregate value at end of year . . . . .		

**5** Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? . . . . .  Yes  No

**6** Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? . . . . .  Yes  No

**Part II Conservation Easements.**  
Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

**1** Purpose(s) of conservation easements held by the organization (check all that apply).

Preservation of land for public use (e.g., recreation or education)       Preservation of an historically important land area

Protection of natural habitat       Preservation of a certified historic structure

Preservation of open space

**2** Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

	Held at the End of the Year
<b>a</b> Total number of conservation easements . . . . .	<b>2a</b>
<b>b</b> Total acreage restricted by conservation easements . . . . .	<b>2b</b>
<b>c</b> Number of conservation easements on a certified historic structure included in (a) . . . . .	<b>2c</b>
<b>d</b> Number of conservation easements included in (c) acquired after 7/25/06, and not on a historic structure listed in the National Register . . . . .	<b>2d</b>

**3** Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ \_\_\_\_\_

**4** Number of states where property subject to conservation easement is located ▶ \_\_\_\_\_

**5** Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? . . . . .  Yes  No

**6** Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶ \_\_\_\_\_

**7** Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶ \$ \_\_\_\_\_

**8** Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? . . . . .  Yes  No

**9** In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.**  
Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

**1a** If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items.

**b** If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i) Revenue included on Form 990, Part VIII, line 1 . . . . . ▶ \$ \_\_\_\_\_

(ii) Assets included in Form 990, Part X . . . . . ▶ \$ \_\_\_\_\_

**2** If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items:

**a** Revenue included on Form 990, Part VIII, line 1 . . . . . ▶ \$ \_\_\_\_\_

**b** Assets included in Form 990, Part X . . . . . ▶ \$ \_\_\_\_\_

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets** (continued)

- 3** Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):
- a**  Public exhibition
  - b**  Scholarly research
  - c**  Preservation for future generations
  - d**  Loan or exchange programs
  - e**  Other .....
- 4** Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.
- 5** During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? . . .  **Yes**  **No**

**Part IV Escrow and Custodial Arrangements.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

- 1a** Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? . . . . .  **Yes**  **No**

**b** If "Yes," explain the arrangement in Part XIII and complete the following table:

- c** Beginning balance . . . . .
- d** Additions during the year . . . . .
- e** Distributions during the year . . . . .
- f** Ending balance . . . . .

	Amount
<b>1c</b>	
<b>1d</b>	
<b>1e</b>	
<b>1f</b>	

- 2a** Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability? . . .  **Yes**  **No**

**b** If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII . . . . .

**Part V Endowment Funds.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
<b>1a</b> Beginning of year balance . . . . .					
<b>b</b> Contributions . . . . .					
<b>c</b> Net investment earnings, gains, and losses					
<b>d</b> Grants or scholarships . . . . .					
<b>e</b> Other expenditures for facilities and programs . . . . .					
<b>f</b> Administrative expenses . . . . .					
<b>g</b> End of year balance . . . . .					

**2** Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

- a** Board designated or quasi-endowment ▶ .....
- b** Permanent endowment ▶ .....
- c** Temporarily restricted endowment ▶ .....

The percentages on lines 2a, 2b, and 2c should equal 100%.

**3a** Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

- (i)** unrelated organizations . . . . .
- (ii)** related organizations . . . . .

	Yes	No
<b>3a(i)</b>		
<b>3a(ii)</b>		
<b>3b</b>		

**b** If "Yes" on 3a(ii), are the related organizations listed as required on Schedule R? . . . . .

**4** Describe in Part XIII the intended uses of the organization's endowment funds.

**Part VI Land, Buildings, and Equipment.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
<b>1a</b> Land . . . . .				
<b>b</b> Buildings . . . . .				
<b>c</b> Leasehold improvements		2,835,854	599,195	2,236,659
<b>d</b> Equipment . . . . .		2,792,169	1,547,381	1,244,788
<b>e</b> Other . . . . .		1,698,632	1,647,636	50,996
<b>Total.</b> Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10(c).) . . . ▶				3,532,443

**Part VII Investments—Other Securities.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives . . . . .		
(2) Closely-held equity interests . . . . .		
(3) Other _____		
(A)		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
<b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 12.)		

**Part VIII Investments—Program Related.**

Complete if the organization answered 'Yes' on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
<b>Total.</b> (Column (b) must equal Form 990, Part X, col.(B) line 13.)		

**Part IX Other Assets.**

Complete if the organization answered 'Yes' on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
<b>Total.</b> (Column (b) must equal Form 990, Part X, col.(B) line 15.)	

**Part X Other Liabilities.**

Complete if the organization answered 'Yes' on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
<b>Total.</b> (Column (b) must equal Form 990, Part X, col.(B) line 25.)	3,936,025

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII

**Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.**

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

<b>1</b>	Total revenue, gains, and other support per audited financial statements . . . . .		<b>1</b>	40,688,003
<b>2</b>	Amounts included on line 1 but not on Form 990, Part VIII, line 12:			
<b>a</b>	Net unrealized gains (losses) on investments . . . . .	<b>2a</b>	-12,782	
<b>b</b>	Donated services and use of facilities . . . . .	<b>2b</b>	1,598,334	
<b>c</b>	Recoveries of prior year grants . . . . .	<b>2c</b>		
<b>d</b>	Other (Describe in Part XIII.) . . . . .	<b>2d</b>	469,976	
<b>e</b>	Add lines <b>2a</b> through <b>2d</b> . . . . .			<b>2e</b> 2,055,528
<b>3</b>	Subtract line <b>2e</b> from line <b>1</b> . . . . .			<b>3</b> 38,632,475
<b>4</b>	Amounts included on Form 990, Part VIII, line 12, but not on line 1:			
<b>a</b>	Investment expenses not included on Form 990, Part VIII, line 7b . . . . .	<b>4a</b>		
<b>b</b>	Other (Describe in Part XIII.) . . . . .	<b>4b</b>		
<b>c</b>	Add lines <b>4a</b> and <b>4b</b> . . . . .			<b>4c</b> 0
<b>5</b>	Total revenue. Add lines <b>3</b> and <b>4c</b> . (This must equal Form 990, Part I, line 12.) . . . . .			<b>5</b> 38,632,475

**Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.**

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

<b>1</b>	Total expenses and losses per audited financial statements . . . . .		<b>1</b>	37,956,041
<b>2</b>	Amounts included on line 1 but not on Form 990, Part IX, line 25:			
<b>a</b>	Donated services and use of facilities . . . . .	<b>2a</b>	1,598,334	
<b>b</b>	Prior year adjustments . . . . .	<b>2b</b>		
<b>c</b>	Other losses . . . . .	<b>2c</b>		
<b>d</b>	Other (Describe in Part XIII.) . . . . .	<b>2d</b>	352,508	
<b>e</b>	Add lines <b>2a</b> through <b>2d</b> . . . . .			<b>2e</b> 1,950,842
<b>3</b>	Subtract line <b>2e</b> from line <b>1</b> . . . . .			<b>3</b> 36,005,199
<b>4</b>	Amounts included on Form 990, Part IX, line 25, but not on line 1:			
<b>a</b>	Investment expenses not included on Form 990, Part VIII, line 7b . . . . .	<b>4a</b>		
<b>b</b>	Other (Describe in Part XIII.) . . . . .	<b>4b</b>		
<b>c</b>	Add lines <b>4a</b> and <b>4b</b> . . . . .			<b>4c</b> 0
<b>5</b>	Total expenses. Add lines <b>3</b> and <b>4c</b> . (This must equal Form 990, Part I, line 18.) . . . . .			<b>5</b> 36,005,199

**Part XIII Supplemental Information**

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

Return Reference	Explanation
See Additional Data Table	

**Part XIII** Supplemental Information *(continued)*

Return Reference	Explanation

## Additional Data

**Software ID:**

**Software Version:**

**EIN:** 51-0401308

**Name:** OCEANA INC

## Supplemental Information

Return Reference	Explanation
PART X, LINE 2:	THE ORGANIZATION PERFORMED AN EVALUATION OF UNCERTAINTY IN INCOME TAXES FOR THE YEAR ENDED DECEMBER 31, 2019, AND DETERMINED THAT THERE WERE NO MATTERS THAT WOULD REQUIRE RECOGNITION IN THE CONSOLIDATED FINANCIAL STATEMENTS OR THAT MAY HAVE ANY EFFECT ON ITS TAX-EXEMPT STATUS.

# Supplemental Information

Return Reference	Explanation
PART XI, LINE 2D - OTHER ADJUSTMENTS:	SPECIAL EVENTS EXPENSE 352,508. ACQUISITION OF ASSETS FROM DISSOLVED AFFILIATE 117,468.

# Supplemental Information

Return Reference	Explanation
PART XII, LINE 2D - OTHER ADJUSTMENTS:	SPECIAL EVENTS EXPENSE 352,508.

**SCHEDULE F  
(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Statement of Activities Outside the United States**

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 14b, 15, or 16.  
▶ Attach to Form 990.  
▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.

OMB No. 1545-0047

**2019**

**Open to Public Inspection**

Name of the organization  
OCEANA INC

**Employer identification number**  
51-0401308

**Part I** **General Information on Activities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 14b.

- 1 For grantmakers.** Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?  Yes  No
- 2 For grantmakers.** Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.
- 3** Activities per Region. (The following Part I, line 3 table can be duplicated if additional space is needed.)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in the region	(d) Activities conducted in region (by type) (such as, fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in the region	(f) Total expenditures for and investments in the region
See Add'l Data					
<b>3a</b> Sub-total . . . . .	9	63			5,870,085
<b>b</b> Total from continuation sheets to Part I . . . . .	4	67			7,066,393
<b>c Totals</b> (add lines 3a and 3b)	13	130			12,936,478

**Part II Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of noncash assistance	(h) Description of noncash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		NORTH AMERICA - CANADA AND MEXICO, BUT NOT THE UNITED STATES	TO SUPPORT OCEANA CANADA'S MISSION TO RESTORE CANADIAN OCEANS.	375,768	WIRE AND IN-KIND CONTRIBUTIONS			
		EUROPE (INCLUDING ICELAND & GREENLAND)	FOR BIODIVERSITY, HOT SPOTS AND RECOVERING FISHSTOCKS (SUBCONTRACTS)	24,344			DONATION OF 32 TRACKERS FOR FISHING VESSELS	COST

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter . . . . . **2**

3 Enter total number of other organizations or entities . . . . . **1**



**Part IV Foreign Forms**

- 1 Was the organization a U.S. transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)* . . . . .  Yes  No
- 2 Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to separately file Form 3520, Annual Return to Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A; don't file with Form 990)* . . . . .  Yes  No
- 3 Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons with Respect to Certain Foreign Corporations. (see Instructions for Form 5471)* . . . . .  Yes  No
- 4 Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund. (see Instructions for Form 8621)* . . . . .  Yes  No
- 5 Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons with Respect to Certain Foreign Partnerships (see Instructions for Form 8865)* . . . . .  Yes  No
- 6 Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to separately file Form 5713, International Boycott Report (see Instructions for Form 5713; don't file with Form 990).* . . . . .  Yes  No

**Part V Supplemental Information**

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information. See instructions.

**990 Schedule F, Supplemental Information**

Return Reference	Explanation
PART I, LINE 2:	OCEANA INFREQUENTLY MAKES GRANTS TO FOREIGN ORGANIZATIONS OR INDIVIDUALS. HOWEVER, ON OCCASION OCEANA WILL MAKE A GRANT IN FURTHERANCE OF ITS MISSION. IN DOING SO, OCEANA MONITORS THE USE OF THE GRANT IN A MANNER APPROPRIATE UNDER THE CIRCUMSTANCES, INCLUDING THE NATURE OF THE GRANTEE AND THE PURPOSE OF THE GRANT.

**990 Schedule F, Supplemental Information**

<b>Return Reference</b>	<b>Explanation</b>
PART I, LINE 3:	OCEANA REPORTED THE EXPENDITURES BASED ON THE ACCOUNTING METHOD USED IN ITS AUDITED FINANCIAL STATEMENTS WHICH IS ON AN ACCRUAL BASIS.

# 990 Schedule F, Supplemental Information

Return Reference	Explanation
PART III ACCOUNTING METHOD:	

## Additional Data

**Software ID:**

**Software Version:**

**EIN:** 51-0401308

**Name:** OCEANA INC

### Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
CENTRAL AMERICA AND THE CARIBBEAN	1	13	PROGRAM SERVICES	MARINE SCIENCE, POLICY, AND COMMUNICATIONS	638,479
CENTRAL AMERICA AND THE CARIBBEAN	0		FUNDRAISING		16,039

**Form 990 Schedule F Part I - Activities Outside The United States**

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
EUROPE (INCLUDING ICELAND & GREENLAND)	5	29	PROGRAM SERVICES	MARINE SCIENCE, POLICY, AND COMMUNICATIONS	4,309,580
EUROPE (INCLUDING ICELAND & GREENLAND)	0	0	GRANTS TO RECIPIENTS LOCATED IN REGION		24,344

**Form 990 Schedule F Part I - Activities Outside The United States**

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
EUROPE (INCLUDING ICELAND & GREENLAND)	0	0	FUNDRAISING		424,159
NORTH AMERICA - CANADA AND MEXICO, BUT NOT THE UNITED STATES	3	21	PROGRAM SERVICES	MARINE SCIENCE, POLICY, AND COMMUNICATIONS	56,716

**Form 990 Schedule F Part I - Activities Outside The United States**

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
NORTH AMERICA - CANADA AND MEXICO, BUT NOT THE UNITED STATES	0	0	GRANTS TO RECIPIENTS LOCATED IN REGION		375,768
NORTH AMERICA	0	0	GRANTS TO RECIPIENTS LOCATED IN REGION		25,000

**Form 990 Schedule F Part I - Activities Outside The United States**

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
NORTH AMERICA	0	0	FUNDRAISING		882,306
SOUTH AMERICA	3	44	PROGRAM SERVICES	MARINE SCIENCE, POLICY, AND COMMUNICATIONS	4,561,682

**Form 990 Schedule F Part I - Activities Outside The United States**

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
SOUTH AMERICA	0	0	FUNDRAISING		23,779
SOUTH ASIA	1	23	PROGRAM SERVICES	MARINE SCIENCE, POLICY, AND COMMUNICATIONS	1,598,626

**SCHEDULE G**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

## Supplemental Information Regarding Fundraising or Gaming Activities

OMB No. 1545-0047

# 2019

**Open to Public Inspection**

Complete if the organization answered "Yes" on Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.  
 Attach to Form 990 or Form 990-EZ.  
 Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.

Name of the organization  
**OCEANA INC**

**Employer identification number**  
51-0401308

**Part I Fundraising Activities.** Complete if the organization answered "Yes" on Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this part.

- 1** Indicate whether the organization raised funds through any of the following activities. Check all that apply.
- a**  Mail solicitations
  - b**  Internet and email solicitations
  - c**  Phone solicitations
  - d**  In-person solicitations
  - e**  Solicitation of non-government grants
  - f**  Solicitation of government grants
  - g**  Special fundraising events
- 2a** Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services?  **Yes**  **No**
- b** If "Yes," list the 10 highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization.

(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions?		(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col. (i)	(vi) Amount paid to (or retained by) organization
		Yes	No			
THE HOLMAN GROUP LLC 1854 NORTH DOHENY DRIVE WEST HOLLYWOOD, CA 90069	FUNDRAISING COUNSEL		No	183,000	8,400	174,600
CHARITY BUZZ 437 FIFTH AVENUE 11TH FLOOR NEW YORK, NY 10016	PROFESSIONAL SOLICITOR	Yes		104,000	22,880	81,120
M R STRATEGIC SERVICES INC 1901 L STREET NW SUITE 800 WASHINGTON, DC 20036	FUNDRAISING COUNSEL		No	95,743	217,651	-121,908
<b>Total</b>				382,743	248,931	133,812

**3** List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing.

**Part II Fundraising Events.** Complete if the organization answered "Yes" on Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

Revenue		(a) Event #1	(b) Event #2	(c) Other events	(d) Total events
		<u>SEACHANGE</u> (event type)	<u>NEW YORK CITY BENEFIT</u> (event type)	<u>2</u> (total number)	(add col. (a) through col. (c))
<b>1</b>	Gross receipts . . . . .	1,453,375	1,890,221	926,029	4,269,625
<b>2</b>	Less: Contributions . . . . .	1,308,237	1,805,221	782,205	3,895,663
<b>3</b>	Gross income (line 1 minus line 2) . . . . .	145,138	85,000	143,824	373,962
Direct Expenses	<b>4</b> Cash prizes . . . . .				
	<b>5</b> Noncash prizes . . . . .				
	<b>6</b> Rent/facility costs . . . . .		108,004	80,977	188,981
	<b>7</b> Food and beverages . . . . .	80,805	4,000	32,384	117,189
	<b>8</b> Entertainment . . . . .	2,250	2,500	3,703	8,453
	<b>9</b> Other direct expenses . . . . .	17,712	2,666	17,507	37,885
	<b>10</b> Direct expense summary. Add lines 4 through 9 in column (d) . . . . . ▶				352,508
	<b>11</b> Net income summary. Subtract line 10 from line 3, column (d) . . . . . ▶				21,454

**Part III Gaming.** Complete if the organization answered "Yes" on Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

Revenue		(a) Bingo	(b) Pull tabs/Instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col.(a) through col.(c))
		<b>1</b>	Gross revenue . . . . .		
Direct Expenses	<b>2</b> Cash prizes . . . . .				
	<b>3</b> Noncash prizes . . . . .				
	<b>4</b> Rent/facility costs . . . . .				
	<b>5</b> Other direct expenses . . . . .				
	<b>6</b> Volunteer labor . . . . .	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	
	<b>7</b> Direct expense summary. Add lines 2 through 5 in column (d) . . . . . ▶				
	<b>8</b> Net gaming income summary. Subtract line 7 from line 1, column (d) . . . . . ▶				

**9** Enter the state(s) in which the organization conducts gaming activities: \_\_\_\_\_

**a** Is the organization licensed to conduct gaming activities in each of these states? . . . . .  Yes  No

**b** If "No," explain: \_\_\_\_\_

---

**10a** Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? . . . . .  Yes  No

**b** If "Yes," explain: \_\_\_\_\_

---

- 11** Does the organization conduct gaming activities with nonmembers?  Yes  No
- 12** Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming?  Yes  No
- 13** Indicate the percentage of gaming activity conducted in:
- |                                      |            |   |
|--------------------------------------|------------|---|
| <b>a</b> The organization's facility | <b>13a</b> | % |
| <b>b</b> An outside facility         | <b>13b</b> | % |

**14** Enter the name and address of the person who prepares the organization's gaming/special events books and records:

Name ▶ .....

Address ▶ .....

- 15a** Does the organization have a contract with a third party from whom the organization receives gaming revenue?  Yes  No
- b** If "Yes," enter the amount of gaming revenue received by the organization ▶ \$ \_\_\_\_\_ and the amount of gaming revenue retained by the third party ▶ \$ \_\_\_\_\_.
- c** If "Yes," enter name and address of the third party:
- Name ▶ .....
- Address ▶ .....

**16** Gaming manager information:

Name ▶ .....

Gaming manager compensation ▶ \$ .....

Description of services provided ▶ .....

Director/officer                       Employee                       Independent contractor

- 17** Mandatory distributions:
- a** Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license?  Yes  No
- b** Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ \$ \_\_\_\_\_

**Part IV Supplemental Information.** Provide the explanations required by Part I, line 2b, columns (iii) and (v); and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also provide any additional information. See instructions.

Return Reference	Explanation
PART I, LINE 2B, COLUMN III	CHARITY BUZZ COLLECTED AND PROCESSED THE WINNING AUCTION BID PAYMENTS FOR OCEANA'S FUNDRAISING EVENT, SEACHANGE.
PART I, LINE 2B, COLUMN (V)	DURING THE YEAR ENDED DECEMBER 31, 2019, M&R STRATEGIC SERVICES, INC. WAS COMPENSATED A TOTAL OF \$229,843. OF THIS AMOUNT, \$217,651 WAS RELATED TO PROFESSIONAL FUNDRAISING CONSULTING. M&R STRATEGIC SERVICES, INC. ALSO PROVIDED DIGITAL ADVERTISING AND AD BUYING.

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

Schedule I (Form 990)

Grants and Other Assistance to Organizations, Governments and Individuals in the United States

Complete if the organization answered "Yes," on Form 990, Part IV, line 21 or 22.

Attach to Form 990. Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047

2019

Open to Public Inspection

Department of the Treasury Internal Revenue Service Name of the organization OCEANA INC

Employer identification number 51-0401308

Part I General Information on Grants and Assistance

- 1 Does the organization maintain records to substantiate the amount of the grants or assistance...
2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000.

Table with 8 columns: (a) Name and address of organization or government, (b) EIN, (c) IRC section (if applicable), (d) Amount of cash grant, (e) Amount of non-cash assistance, (f) Method of valuation (book, FMV, appraisal, other), (g) Description of noncash assistance, (h) Purpose of grant or assistance. Rows 1-12.

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table 3
3 Enter total number of other organizations listed in the line 1 table 1

**Part III Grants and Other Assistance to Domestic Individuals.** Complete if the organization answered "Yes" on Form 990, Part IV, line 22.

Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of noncash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of noncash assistance
(1)					
(2)					
(3)					
(4)					
(5)					
(6)					
(7)					

**Part IV Supplemental Information.** Provide the information required in Part I, line 2; Part III, column (b); and any other additional information.

Return Reference	Explanation
PART I, LINE 2:	OCEANA INFREQUENTLY MAKES GRANTS TO ORGANIZATIONS OR INDIVIDUALS. HOWEVER, ON OCCASION OCEANA WILL MAKE A GRANT IN FURTHERANCE OF ITS MISSION. IN DOING SO, OCEANA MONITORS THE USE OF THE GRANT IN A MANNER APPROPRIATE UNDER THE CIRCUMSTANCES, INCLUDING THE NATURE OF THE GRANTEE AND THE PURPOSE OF THE GRANT.

**Additional Data**

**Software ID:**  
**Software Version:**  
**EIN:** 51-0401308  
**Name:** OCEANA INC

**Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
ROCKEFELLER PHILANTHROPY ADVISORS INC 6 W 48TH STREET 10TH FLOOR NEW YORK, NY 10036	13-3615533	501(C)(3)	267,847				TO SUPPORT THE OUTLAW OCEAN'S PROJECT OF "OCEAN JOURNALISM THAT CAPTURES ATTENTION AND DRIVES CHANGE"
SILVERLAKE CONSERVATORY OF MUSIC 4652 HOLLYWOOD BOULEVARD LOS ANGELES, CA 90027	27-0030546	501(C)(3)	100,000				TO SHARE A PORTION OF THE FUNDS RAISED FROM OCEANA'S ROCK UNDER THE STARS EVENT ON OCTOBER 12, 2019.

**Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.**

<b>(a)</b> Name and address of organization or government	<b>(b)</b> EIN	<b>(c)</b> IRC section if applicable	<b>(d)</b> Amount of cash grant	<b>(e)</b> Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	<b>(g)</b> Description of non-cash assistance	<b>(h)</b> Purpose of grant or assistance
SURF INDUSTRY MANUFACTURERS ASSOCIATION (SIMA) 27068 LA PAZ ROAD SUITE 570 ALISO VIEJO, CA 92656	33-0385201	501(C)(6)	30,000				TO HELP LOCAL ECOLOGICAL AND ENVIRONMENTAL ORGANIZATIONS WHOSE EFFORTS ARE FOCUSED ON ENHANCING THE OCEANIC ENVIRONMENT.
PLASTIC POLLUTION COALITION 2150 ALLSTON WAY SUITE 460 BERKELEY, CA 94704	94-2889684	501(C)(3)	25,000				TO SHARE A PORTION OF THE FUNDS RAISED FROM OCEANA'S SEACHANGE EVENT.

**Schedule J**  
(Form 990)

Department of the Treasury  
Internal Revenue Service

**Compensation Information**

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 23.  
▶ Attach to Form 990.  
▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.

OMB No. 1545-0047

**2019**

**Open to Public Inspection**

Name of the organization  
OCEANA INC

Employer identification number  
51-0401308

**Part I Questions Regarding Compensation**

	Yes	No								
<p><b>1a</b> Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.</p> <table border="0"> <tr> <td><input type="checkbox"/> First-class or charter travel</td> <td><input type="checkbox"/> Housing allowance or residence for personal use</td> </tr> <tr> <td><input type="checkbox"/> Travel for companions</td> <td><input type="checkbox"/> Payments for business use of personal residence</td> </tr> <tr> <td><input type="checkbox"/> Tax idemnification and gross-up payments</td> <td><input type="checkbox"/> Health or social club dues or initiation fees</td> </tr> <tr> <td><input type="checkbox"/> Discretionary spending account</td> <td><input type="checkbox"/> Personal services (e.g., maid, chauffeur, chef)</td> </tr> </table>	<input type="checkbox"/> First-class or charter travel	<input type="checkbox"/> Housing allowance or residence for personal use	<input type="checkbox"/> Travel for companions	<input type="checkbox"/> Payments for business use of personal residence	<input type="checkbox"/> Tax idemnification and gross-up payments	<input type="checkbox"/> Health or social club dues or initiation fees	<input type="checkbox"/> Discretionary spending account	<input type="checkbox"/> Personal services (e.g., maid, chauffeur, chef)		
<input type="checkbox"/> First-class or charter travel	<input type="checkbox"/> Housing allowance or residence for personal use									
<input type="checkbox"/> Travel for companions	<input type="checkbox"/> Payments for business use of personal residence									
<input type="checkbox"/> Tax idemnification and gross-up payments	<input type="checkbox"/> Health or social club dues or initiation fees									
<input type="checkbox"/> Discretionary spending account	<input type="checkbox"/> Personal services (e.g., maid, chauffeur, chef)									
<p><b>b</b> If any of the boxes on Line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain</p>	<b>1b</b>									
<p><b>2</b> Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, officers, including the CEO/Executive Director, regarding the items checked on Line 1a?</p>	<b>2</b>									
<p><b>3</b> Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.</p> <table border="0"> <tr> <td><input checked="" type="checkbox"/> Compensation committee</td> <td><input type="checkbox"/> Written employment contract</td> </tr> <tr> <td><input type="checkbox"/> Independent compensation consultant</td> <td><input checked="" type="checkbox"/> Compensation survey or study</td> </tr> <tr> <td><input checked="" type="checkbox"/> Form 990 of other organizations</td> <td><input checked="" type="checkbox"/> Approval by the board or compensation committee</td> </tr> </table>	<input checked="" type="checkbox"/> Compensation committee	<input type="checkbox"/> Written employment contract	<input type="checkbox"/> Independent compensation consultant	<input checked="" type="checkbox"/> Compensation survey or study	<input checked="" type="checkbox"/> Form 990 of other organizations	<input checked="" type="checkbox"/> Approval by the board or compensation committee				
<input checked="" type="checkbox"/> Compensation committee	<input type="checkbox"/> Written employment contract									
<input type="checkbox"/> Independent compensation consultant	<input checked="" type="checkbox"/> Compensation survey or study									
<input checked="" type="checkbox"/> Form 990 of other organizations	<input checked="" type="checkbox"/> Approval by the board or compensation committee									
<p><b>4</b> During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:</p> <p><b>a</b> Receive a severance payment or change-of-control payment? . . . . .</p> <p><b>b</b> Participate in, or receive payment from, a supplemental nonqualified retirement plan? . . . . .</p> <p><b>c</b> Participate in, or receive payment from, an equity-based compensation arrangement? . . . . .</p> <p>If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.</p>	<b>4a</b>	No								
	<b>4b</b>	No								
	<b>4c</b>	No								
<p><b>Only 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.</b></p> <p><b>5</b> For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:</p> <p><b>a</b> The organization? . . . . .</p> <p><b>b</b> Any related organization? . . . . .</p> <p>If "Yes," on line 5a or 5b, describe in Part III.</p>	<b>5a</b>	No								
	<b>5b</b>	No								
<p><b>6</b> For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:</p> <p><b>a</b> The organization? . . . . .</p> <p><b>b</b> Any related organization? . . . . .</p> <p>If "Yes," on line 6a or 6b, describe in Part III.</p>	<b>6a</b>	No								
	<b>6b</b>	No								
<p><b>7</b> For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payments not described in lines 5 and 6? If "Yes," describe in Part III . . . . .</p>	<b>7</b>	No								
<p><b>8</b> Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III . . . . .</p>	<b>8</b>	No								
<p><b>9</b> If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)? . . . . .</p>	<b>9</b>									



**Part III** **Supplemental Information**

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

Return Reference	Explanation
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Schedule L (Form 990 or 990-EZ)

Transactions with Interested Persons

OMB No. 1545-0047

2019

Open to Public Inspection

Complete if the organization answered "Yes" on Form 990, Part IV, lines 25a, 25b, 26, 27, 28a, 28b, or 28c, or Form 990-EZ, Part V, line 38a or 40b. Attach to Form 990 or Form 990-EZ. Go to www.irs.gov/Form990 for instructions and the latest information.

Department of the Treasury Internal Revenue Service

Name of the organization OCEANA INC

Employer identification number

51-0401308

Part I Excess Benefit Transactions (section 501(c)(3), section 501(c)(4), and section 501(c)(29) organizations only). Complete if the organization answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b.

Table with 5 columns: (a) Name of disqualified person, (b) Relationship between disqualified person and organization, (c) Description of transaction, (d) Corrected? (Yes/No)

2 Enter the amount of tax incurred by the organization managers or disqualified persons during the year under section 4958.
3 Enter the amount of tax, if any, on line 2, above, reimbursed by the organization.

Part II Loans to and/or From Interested Persons. Complete if the organization answered "Yes" on Form 990-EZ, Part V, line 38a, or Form 990, Part IV, line 26; or if the organization reported an amount on Form 990, Part X, line 5, 6, or 22

Table with 9 columns: (a) Name of interested person, (b) Relationship with organization, (c) Purpose of loan, (d) Loan to or from the organization (To/From), (e) Original principal amount, (f) Balance due, (g) In default? (Yes/No), (h) Approved by board or committee? (Yes/No), (i) Written agreement? (Yes/No)

Total \$

Part III Grants or Assistance Benefiting Interested Persons. Complete if the organization answered "Yes" on Form 990, Part IV, line 27.

Table with 5 columns: (a) Name of interested person, (b) Relationship between interested person and the organization, (c) Amount of assistance, (d) Type of assistance, (e) Purpose of assistance

**Part IV Business Transactions Involving Interested Persons.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
(1) SARAH BEDOLFE	SARAH IS THE DAUGHTER OF BOARD DIRECTOR, HERBERT M. BEDOLFE, III	60,423	SARAH L. BEDOLFE IS AN EMPLOYEE OF OCEANA AND HER TOTAL COMPENSATION PAID FOR THE YEAR ENDED DECEMBER 31, 2019 WAS \$60,423.		No
(2) SUSAN SIDAMON-ERISTOFF	SUSAN IS THE DAUGHTER OF BOARD DIRECTOR, SIMON SIDAMON-ERISTOFF	41,602	SUSAN SIDAMON-ERISTOFF IS AN EMPLOYEE OF OCEANA AND HER TOTAL COMPENSATION PAID FOR THE YEAR ENDED DECEMBER 31, 2019 WAS \$41,602.		No

**Part V Supplemental Information**

Provide additional information for responses to questions on Schedule L (see instructions).

Return Reference	Explanation
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**SCHEDULE M  
(Form 990)**

**Noncash Contributions**

OMB No. 1545-0047

**2019**

▶ **Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.**  
 ▶ **Attach to Form 990.**  
 ▶ **Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for the latest information.**

**Open to Public Inspection**

Department of the Treasury  
Internal Revenue Service

Name of the organization  
OCEANA INC

Employer identification number  
51-0401308

**Part I Types of Property**

	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1 Art—Works of art . . . . .				
2 Art—Historical treasures . . . . .				
3 Art—Fractional interests . . . . .				
4 Books and publications . . . . .				
5 Clothing and household goods . . . . .				
6 Cars and other vehicles . . . . .				
7 Boats and planes . . . . .				
8 Intellectual property . . . . .				
9 Securities—Publicly traded . . . . .	X	4,725	246,231	FAIR MARKET VALUE
10 Securities—Closely held stock . . . . .				
11 Securities—Partnership, LLC, or trust interests . . . . .	X	1	528,552	FAIR MARKET VALUE
12 Securities—Miscellaneous . . . . .				
13 Qualified conservation contribution—Historic structures . . . . .				
14 Qualified conservation contribution—Other . . . . .				
15 Real estate—Residential . . . . .				
16 Real estate—Commercial . . . . .				
17 Real estate—Other . . . . .				
18 Collectibles . . . . .				
19 Food inventory . . . . .				
20 Drugs and medical supplies . . . . .				
21 Taxidermy . . . . .				
22 Historical artifacts . . . . .				
23 Scientific specimens . . . . .				
24 Archeological artifacts . . . . .				
DONATED AUCTION	X	139	1,252,801	FAIR MARKET VALUE
25 Other ▶ ( ITEMS )				
HDMI	X	1	1,712	SALES PRICE
26 Other ▶ ( PROJECTOR )				
27 Other ▶ ( )				
28 Other ▶ ( )				

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement **29**

	Yes	No
30a During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it must hold for at least three years from the date of the initial contribution, and which isn't required to be used for exempt purposes for the entire holding period? . . . . .		No
b If "Yes," describe the arrangement in Part II.		
31 Does the organization have a gift acceptance policy that requires the review of any nonstandard contributions?	Yes	
32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions? . . . . .	Yes	
b If "Yes," describe in Part II.		
33 If the organization didn't report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II.		

**Part II Supplemental Information.** Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

Return Reference	Explanation
PART I, COLUMN (B):	OCEANA REPORTS THE NUMBER OF ITEMS CONTRIBUTED IN PART I, COLUMN (B).
PART I, LINE 32B:	CHARITY BUZZ SOLICITED, COLLECTED, AND PROCESSED NON-CASH DONATIONS RELATED TO OCEANA'S FUNDRAISING EVENTS.

**SCHEDULE O**  
(Form 990 or 990-EZ)

**Supplemental Information to Form 990 or 990-EZ**

OMB No. 1545-0047

**2019**

**Open to Public Inspection**

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.  
 ▶ Attach to Form 990 or 990-EZ.  
 ▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for the latest information.

Department of the Treasury

Name of the organization  
OCEANA INC

Employer identification number

51-0401308

**990 Schedule O, Supplemental Information**

Return Reference	Explanation
FORM 990, PART III, LINE 1, DESCRIPTION OF ORGANIZATION'S MISSION:	<p>OCEANA WAS FOUNDED IN 2001 BY AN INTERNATIONAL GROUP OF FOUNDATIONS. DESPITE REPEATED SCIENTIFIC REPORTS OF WIDESPREAD FISHERY COLLAPSE CAUSED BY OVERFISHING AND POLLUTION, THE FOUNDERS DISCOVERED THAT LEADING ENVIRONMENTAL FOUNDATIONS SPENT LESS THAN HALF OF ONE PERCENT OF THEIR RESOURCES ON OCEAN CONSERVATION. HEALTHY OCEANS CONTRIBUTE SIGNIFICANTLY TO FEEDING A GROWING WORLD POPULATION AND TO MITIGATING THE EFFECTS OF CLIMATE CHANGE, YET NO INTERNATIONAL ORGANIZATION WAS WORKING EXCLUSIVELY TO PROTECT AND RESTORE ABUNDANT OCEAN FISHERIES. OCEANA'S FOUNDERS ENVISIONED AN ORGANIZATION DEDICATED SOLELY TO ACHIEVING SIGNIFICANT IMPROVEMENTS IN OCEAN MANAGEMENT POLICIES THROUGH A SCIENCE-BASED APPROACH, USING CAREFULLY CHOSEN NATIONAL CAMPAIGNS WITH FIXED DEADLINES AND MEASURABLE GOALS. TODAY, OCEANA HAS GROWN FROM AN AMBITIOUS START-UP TO AN INTERNATIONAL ORGANIZATION WITH A RECORD OF RESULTS ON FOUR CONTINENTS. TOGETHER WITH OUR ALLIES, WE HAVE WON NEARLY 200 SIGNIFICANT POLICY VICTORIES AND PROTECTED ALMOST 9.1 MILLION SQUARE KILOMETERS OF OCEANS. OCEANA SUPPORTS SCIENCE-DRIVEN OCEAN MANAGEMENT IN THE MOST PRODUCTIVE PARTS OF THE WORLD'S OCEANS, WITH TEAMS WORKING IN COUNTRIES WHICH CONTROL ABOUT A THIRD OF THE WORLD'S WILD OCEAN FISHERIES (BY WEIGHT): THE UNITED STATES, THE EUROPEAN UNION, THE UNITED KINGDOM, BELIZE, BRAZIL, CANADA, CHILE, MEXICO, PERU AND THE PHILIPPINES. OCEANA'S CAMPAIGNS CARRY OUT FOUR KEY STRATEGIES: -STOP OVERFISHING THROUGH SCIENCE-BASED FISHERY MANAGEMENT, AND BY DETERRING ILLEGAL FISHING; -REDUCE BYCATCH, OR THE UNINTENDED CATCH OF FISH AND MARINE ANIMALS; -PROTECT HABITAT AND THE ECOSYSTEMS THAT DEPEND ON THEIR HEALTH, AND -CURB POLLUTION, BY STOPPING THE EXPANSION OF OFFSHORE OIL DRILLING AND REDUCING THE PRODUCTION OF SINGLE-USE PLASTICS. OCEANA'S CAMPAIGNS HAVE DEMONSTRATED THE EFFECTIVENESS OF THESE STRATEGIES FOR RESTORING OCEANS. WITH SOUND POLICIES IN PLACE, OCEAN ECOSYSTEMS RECOVER, OFTEN RAPIDLY, AND ABUNDANT FISHERIES RETURN, EVEN EXCEEDING FORMER LEVELS. A FULLY PRODUCTIVE OCEAN CAN PROVIDE A MEAL A DAY FOR A BILLION PEOPLE, FOREVER. TOGETHER WITH OUR ALLIES, WE ARE SAVING THE OCEANS TO FEED THE WORLD.</p>

## 990 Schedule O, Organizational

Return Reference	Explanation
FORM 990, PART III, LINE 4A, PROGRAM SERVICE ACCOMPLISHMENTS, CONT:	<p>IN ADDITION, WE ARE WORKING TO DEVELOP AN EXPANDED MARKET FOR SUSTAINABLY CAUGHT FISH (WITHOUT GILLNETS) THROUGH EXPANSION OF THE FISH RIGHT, EAT RIGHT PROGRAM. OUR ONGOING WORK ON THIS INITIATIVE WILL HELP TO ENSURE THAT THE BAN WILL BE ENFORCEABLE. IN 2019, WE WERE SUCCESSFUL IN OUR APPLICATION FOR A PILOT PROJECT TO BE IMPLEMENTED IN SUPPORT OF FISH RIGHT EAT RIGHT. FUNDED BY THE INTER-AMERICAN DEVELOPMENT BANK, THE PILOT WILL NOT ONLY IDENTIFY THE BEST DIGITAL PLATFORM FOR FISHERS TO SELL SEAFOOD, IT WILL ESTABLISH THE FIRST BASELINE DATA ON HOW MUCH FINFISH AND OTHER SEAFOOD IS BEING CONSUMED NATIONALLY THROUGH THE DOMESTIC MARKET AND VIA THE TOURISM SECTOR. THIS DATA WILL PROVIDE INVALUABLE INSIGHT INTO SEAFOOD EXTRACTION. CURRENTLY, BELIZE ONLY RECORDS THE SEAFOOD BEING EXPORTED FROM BELIZE, AND EVEN THAT DATA HAS BEEN TRADITIONALLY LIMITED TO LOBSTER AND CONCH.</p> <p>SIMULTANEOUSLY, OUR WORK WITH THE BELIZE COAST GUARD CONTINUES TO DEMONSTRATE OUR ACKNOWLEDGMENT THAT ALL LAWS REQUIRE ENFORCEMENT. OUR CONTRIBUTION OF A VESSEL TO THE COAST GUARD'S SEA GOING FLEET BOLSTERED THE ARGUMENT THAT THE COAST GUARD WILL HAVE THE CAPABILITY TO ENFORCE THE GILLNET BAN AND ENHANCED THE EFFECTIVENESS OF THEIR OPERATIONS AGAINST ILLEGAL FISHING ACTIVITIES. REDUCE SINGLE USE PLASTICS DESPITE CONTINUED WORK ON THE LEGISLATION ON THE BAN OF SINGLE USE PLASTICS IN THE FOOD SECTOR, BELIZE FAILED TO MEET ITS SELF-IMPOSED DEADLINE OF APRIL 2019. OCEANA WAS FORCED TO STRONGLY OBJECT TO THE DRAFT LEGISLATION THAT WAS PRESENTED IN THE SUMMER OF 2019. THROUGH OUR ONGOING ROLE AS THE CO-CHAIR OF THE COMMUNICATIONS AND PUBLIC AWARENESS WORKING GROUP, WE CONTINUED TO INCREASE THE PUBLIC'S SUPPORT FOR THE BAN OF SINGLE USE, DISPOSABLE PLASTIC, AND STYROFOAM PRODUCTS WITHIN THE FOOD SECTOR. AT YEAR-END, IT APPEARED THE GOVERNMENT WAS CLOSE TO TAKING ACTION. WE HAVE ALSO DETERMINED THAT MOVING FORWARD, WE WILL HAVE TO INCREASE OUR ENGAGEMENT WITH THE MINISTRY OF TRADE TO ENSURE THAT APPROPRIATE WORK IS BEING DONE TO ADJUST THE RELEVANT TARIFFS AND FEES TO ALLOW REASONABLE COSTS TO IMPORT/PRODUCE ENVIRONMENTALLY FRIENDLY FOOD CONTAINERS AND UTENSILS. WE THEREFORE CONTINUE TO WORK ON GETTING INCREASED PRIORITY FOR THE SINGLE USE BAN, BY CHAMPIONING THE FOOD VENDORS WHO ARE USING ENVIRONMENTALLY FRIENDLY ALTERNATIVES AND PRACTICES AHEAD OF THE LEGAL CHANGES. BRAZIL DESPITE PRESIDENT BOLSONARO'S AGGRESSIVE ATTACK ON ENVIRONMENTAL PROTECTIONS AND HIS EFFORT TO DISCREDIT SCIENTIFIC RESEARCH AND CIVIL SOCIETY ORGANIZATIONS THROUGHOUT BRAZIL, OCEANA WAS ABLE TO ACHIEVE PROGRESS IN BRAZIL DURING 2019. WE ARE A CREDIBLE AND IMPACTFUL VOICE FOR OCEAN CONSERVATION. BAN BOTTOM TRAWLING IN THE STATE OF RIO GRANDE DO SUL IN JULY 2019, THE FISHING INDUSTRY OF SANTA CATARINA STATE COMMENCED A STRONG POLITICAL EFFORT TO REPEAL LAW 15223/2018, WHICH BANNED BOTTOM TRAWLING WITHIN 12 MILES OFF THE COAST OF RIO GRANDE DO SUL. BACKED BY EXPLICIT SUPPORT FROM PRESIDENT BOLSONARO, THEY FILED A CHALLENGE</p>

**990 Schedule O, Organizational Information**

Return Reference	Explanation
<p>FORM 990, PART III, LINE 4A, PROGRAM SERVICE ACCOMPLISHMENTS, CONT'</p>	<p>E CLAIMING THE LAW WAS UNCONSTITUTIONAL IN THE FEDERAL SUPREME COURT. THE PLAINTIFFS ASKED FOR THE LAW TO BE SUSPENDED PENDING A FINAL DECISION REGARDING ITS CONSTITUTIONALITY. THIS WOULD HAVE ALLOWED BOTTOM TRAWLING TO RESTART IMMEDIATELY. THE INDUSTRY'S POLITICAL EFFORT PEAKED IN AUGUST WHEN STRONG ATTACKS WERE MADE DIRECTLY AGAINST OCEANA ON SOCIAL MEDIA AND IN PROTESTS IN BRASILIA. TO COUNTERACT THESE ATTEMPTS TO REPEAL THE LAW, OCEANA WORKED ON THREE SEPARATE FRONTS. FIRST, WE ORGANIZED A GROUP OF RIO GRANDE DO SUL FISHERS WHO VISITED 13 DEPUTIES AND 3 SENATORS FROM VARIOUS POLITICAL PARTIES WHO ALL EXPRESSED UNCONDITIONAL SUPPORT FOR THE LAW. DEPUTY HENRIQUE FONTANA (FROM RIO GRANDE DO SUL) MADE A SPEECH EXPLICITLY DEFENDING THE LAW IN ONE OF THE FEW TIMES IN HISTORY THAT FISHERIES AND FISHERIES MANAGEMENT WERE MENTIONED IN THE TRIBUNE OF THE CONGRESS. WE ALSO ORGANIZED A MEETING WITH SUPREME COURT JUSTICE CELSO DE MELLO WITH ARTISANAL AND INDUSTRIAL FISHERMEN FROM RIO GRANDE DO SUL AS WELL AS STATE DEPUTIES. IN THE SECOND FRONT, WE ORGANIZED THE RIO GRANDE DO SUL FISHING SECTOR, FOCUSING 30 ARTISANAL AND INDUSTRIAL FISHING LEADERS IN THAT STATE. THE GOAL FOR THIS GRASSROOTS EFFORT IS TO FORCE GOVERNOR EDUARDO LEITE TO PUBLISH A STATE DECREE ADDRESSING PARTS OF THE LAW THAT NEED SPECIFIC REGULATIONS SUCH AS THE STATE FUND FOR FISHERIES AND AQUACULTURE. IN ADDITION, FISHERS AND EXPERTS WILL DEVELOP A FISHERY MONITORING PROGRAM FOR THE STATE SO AS TO DEMONSTRATE THAT RIO GRANDE DO SUL'S SUSTAINABLE FISHERIES POLICY IS NOT RESTRICTED TO THE BAN ON TRAWLING. THIS WILL STRENGTHEN OUR DEFENSE OF THE LAW AGAINST THE CONSTITUTIONAL CHALLENGE. FINALLY, WE CONDUCTED TWO TECHNICAL STUDIES TO PROVIDE DIRECT SUPPORT TO THE RIO GRANDE DO SUL STATE GOVERNMENT IN DEFENDING THE BAN: THE FIRST STUDY CONSIDERS THE LEGAL ANALYSIS OF THE CONSTITUTIONALITY OF LOCAL LAW IN FURTHER DETAIL, WHILE THE SECOND STUDY BROADENS THE ASSESSMENT OF THE IMPACTS CAUSED BY BOTTOM TRAWLING IN THE REGION. BOTH WILL BE USED BY ORGANIZATIONS THAT JOINED AS AMICUS CURIAE IN DEFENDING THE LAW IN THE SUPREME COURT. IMPLEMENTING CATCH LIMITS FOR COMMERCIAL FISHERIES OCEANA DID NOT GAIN ACCESS TO DATA FOR A DETAILED STOCK ASSESSMENT OF SNAPPER (LUTJANUS PURPUREUS). TO ADDRESS THIS PROBLEM, WE ESTIMATED TOTAL ALLOWABLE CATCH (TAC) USING DATA-LIMITED METHODS, WITH VERY SATISFACTORY RESULTS. ACCORDING TO OUR ASSESSMENT, ANNUAL CATCH LIMITS SHOULDN'T EXCEED 4,500 MT. THIS IS BELOW THE HISTORICAL CATCHES THAT HAVE REMAINED STABLE VARYING BETWEEN 5,000-6,000 MT. WITH THE TERMINATION OF FISHERIES MANAGEMENT COMMISSIONS (FMCS), THESE STUDIES WILL BE VALIDATED IN TWO WAYS: IN A WORKSHOP WITH EXPERTS AND BY PUBLISHING AN ARTICLE IN A SCIENTIFIC JOURNAL. IN THE CASE OF LOBSTER (PANULIRUS ARGUS AND P. LAEVICAUDA), WE COMPLETED TWO FULL STOCK ASSESSMENTS. OUR FOCUS HAS BEEN TO SUPPORT THE APPROVAL OF A QUOTA PROPOSAL THAT WAS ALREADY UNDER DISCUSSION BUT FACING SOME RESISTANCE FROM ARTISANAL FISHERS.</p>

## 990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART III, LINE 4A, PROGRAM SERVICE ACCOMPLISHMENTS, CONT:	<p>TO OVERCOME THIS RESISTANCE, OCEANA HELD THREE MEETINGS WITH ABOUT 100 LEADERS OF TRADITIONAL FISHING COMMUNITIES FROM NORTHEASTERN BRAZIL TO EXPLAIN HOW QUOTA MANAGEMENT WORKS AND ITS IMPORTANCE IN REBUILDING LOBSTER STOCKS. TO DATE, FISHERMEN SEEM INCLINED TO SUPPORT OCEANA'S PROPOSAL. FOR SARDINES, THE THIRD STOCK STUDIED BY OCEANA, WE HAVE ALSO BASED OUR ASSESSMENT ON DATA-LIMITED METHODS. THESE DATA-LIMITED MODELS HAVE PRODUCED INCONSISTENT AND HIGHLY VARIABLE TAC VALUES DUE TO THE VARIABILITY OF SARDINE CATCH. CURRENTLY THE SARDINE CATCH IS AT ITS LOWEST HISTORICAL LEVEL, DRIVEN BY OVERCAPACITY IN THE PURSE SEINE FLEET. WE WILL WORK IN 2020 TO FURTHER DOCUMENT THE PROBLEM AND PROPOSE A PLAN FOR MANAGING THE PURSE SEINE FLEET. TRANSPARENCY AND SCIENCE-BASED DECISIONS ON FISHERIES THE FISHERIES MANAGEMENT COUNCILS IN BRAZIL WERE TERMINATED ON JUNE 28, 2019. ALTHOUGH THE FISHERIES SECRETARIAT COMMITTED TO REINSTATING THEM, IT DID NOT, AND DECISION-MAKING OCCURRED AGAIN WITHOUT CIVIL SOCIETY PARTICIPATION. THIS UNDOING OUR EARLIER SUCCESS, WHICH INCLUDED PERSUADING THE FISHERIES SECRETARIAT TO INCREASE TRANSPARENCY IN ITS DECISIONS BY PUBLISHING ALL MINUTES OF PELAGIC FISHERIES MANAGEMENT COUNCIL MEETINGS ON ITS WEBSITE. THE SAME SECRETARIAT, THROUGH OCEANA'S COMMUNICATION CHANNELS, STREAMED SOME FMC MEETINGS LIVE, AND THE GOVERNMENT FORMALLY COMMITTED TO ADOPTING THE PRACTICE FOR ALL OTHER MEETINGS. IMPROVING FISHERIES MONITORING AS A RESULT OF OUR CAMPAIGNING TO PUSH THE FISHING SECTOR AND OTHERS TO FORMALLY REQUEST ONLINE LOGBOOKS, THE GOVERNMENT FORMALLY COMMITTED TO CREATING AND IMPLEMENTING IN THE NEAR FUTURE A SYSTEM FOR ABOUT 3,500 FISHING VESSELS OF THE BRAZILIAN FLEET. CURRENTLY, PAPER-BASED SYSTEMS ARE BEING USED, WHICH LIMIT THE AVAILABILITY OF DATA FOR SCIENCE-BASED FISHERIES MANAGEMENT. OCEANA IS SEEKING TO MODERNIZE THE TOOL THROUGH AN ELECTRONIC SYSTEM. WE FIRST PERSUADED THE BRAZILIAN SOCIETY OF ICHTHYOLOGY TO FORMALLY RECOMMEND TO THE GOVERNMENT THE IMPLEMENTATION OF AN ELECTRONIC TOOL. WE ALSO GENERATED SUPPORT FROM IMPORTANT ARTISANAL AND INDUSTRIAL FISHING SYNDICATES AND ASSOCIATIONS THAT SENT SUPPORTIVE LETTERS TO THE GOVERNMENT AND WE GOT THE TUNA FISHERIES MANAGEMENT COUNCIL TO ACCEPT OUR PROPOSAL TO ESTABLISH ONLINE LOGBOOKS FOR THE ENTIRE TUNA FISHING FLEET. THESE STEPS ENCOURAGED THE GOVERNMENT TO MOVE FORWARD WITH USING AN ELECTRONIC SYSTEM, BASED ON OUR TAINHOMETER FROM 2018, TO MONITOR THE MULLET INDUSTRIAL FLEET IN 2019. A FINAL INDICATION OF GOVERNMENT SUPPORT WAS THE INCLUSION OF A NEW FULLY COMPUTERIZED LOGBOOK SYSTEM IN THE GOALS OF THE SECRETARIAT OF FISHERIES FOR THE YEAR AHEAD.</p>

**990 Schedule O, Other Information**

Return Reference	Explanation
<p>FORM 990, PART III, LINE 4A, PROGRAM SERVICE ACCOMPLISHMENTS, CONT:</p>	<p>PROTECT ABROLHOS CORAL REEF BANK FROM OIL DRILLING ABROLHOS IS A LARGE CORAL REEF BANK LOCATED IN SOUTHERN BAHIA, NORTHEAST REGION OF BRAZIL. IT IS AN AREA OF GREAT ECOLOGICAL IMPORTANCE, HOSTING THE LARGEST MARINE BIODIVERSITY IN SOUTH ATLANTIC AND SEVERAL ENDEMIC SPECIES. IT IS ALSO IMPORTANT AS A BREEDING GROUND FOR HUMPBACK WHALES THAT ARE SEEN IN THE REGION EVERY YEAR. TOURISM AND FISHERIES PLAY AN IMPORTANT ROLE IN THE ECONOMY FOR THE SMALL SURROUNDING COMMUNITIES. IN APRIL, THE PRESIDENT OF THE BRAZILIAN INSTITUTE OF ENVIRONMENT AND RENEWABLE NATURAL RESOURCES (IBAMA) AUTHORIZED THE AUCTIONING OF SEVEN BLOCKS TO EXPLOIT IN THE CAMAMU-ALMADA AND JACUPE BASINS, BOTH DANGEROUSLY CLOSE TO ABROLHOS MARINE PARK. THIS DECISION WAS CONTRARY TO RECOMMENDATIONS FROM HIS OWN TECHNICAL STAFF. IN RESPONSE, OCEANA AND OUR PARTNER NGOS CREATED THE "ABROLHOS CONNECTION", A COALITION WHOSE MISSION WAS TO PREVENT SALE OF THESE BLOCKS. WITH THESE ALLIES, WE MOUNTED A COMMUNICATIONS CAMPAIGN WARNING OF THE IMPACTS OF A POSSIBLE OIL SPILL NEAR THIS SANCTUARY. POLITICIANS, CELEBRITIES, AND THE GENERAL PUBLIC GOT OUR MESSAGE. ACTIONS TO CONVINCED THE WORLD'S LARGEST OIL COMPANIES TO PREVENT AN ECOLOGICAL DISASTER INCLUDED A PETITION WITH MORE THAN 1.1 MILLION SIGNATURES DELIVERED TO THE BRAZILIAN CONGRESS. IN SEPTEMBER, STATE AND FEDERAL PROSECUTORS FILED A PUBLIC CIVIL LAWSUIT AGAINST THE LEASING PROCESS. THE COURT DECIDED THAT THESE BLOCKS COULD BE AUCTIONED BUT THAT OPERATING LICENSES FOR DRILLING SHOULD NOT BE GRANTED WITHOUT AN EXTENSIVE AND CONCLUSIVE TECHNICAL STUDY. AS A RESULT OF THIS PUBLIC REACTION AND THE COURT CASE, NO COMPANY BID FOR THE SEVEN BLOCKS OF THE CAMAMU-ALMADA AND JACUPE BASINS IN THE AUCTION, WHICH INCLUDE MORE THAN 8,800 KM2 OF CORAL REEFS. OCEANA CANADA IN 2019, OCEANA INC. PROVIDED CHARITABLE CONTRIBUTIONS AND IN-KIND SUPPORT TO OCEANA CANADA, AN INDEPENDENT NONPROFIT ORGANIZATION INCORPORATED UNDER CANADIAN LAW. WITH OUR SUPPORT, OCEANA CANADA ACHIEVED THE FOLLOWING VICTORIES: REFORM CANADA'S FISHERIES ACT A MODERNIZED FISHERIES ACT (BILL C-68) BECAME LAW IN JUNE 2019. DUE TO OCEANA'S CAMPAIGNING, FOR THE FIRST TIME IN THE ACT'S HISTORY, THE MINISTER OF FISHERIES IS REQUIRED TO CREATE REBUILDING PLANS FOR DEPLETED FISH POPULATIONS. ADDITIONALLY, THE GOVERNMENT HAS COMMITTED MORE THAN \$100 MILLION OVER FIVE YEARS TO ASSESS AND REBUILD FISH STOCKS. THIS BRINGS CANADA INTO THE GROUP OF NATIONS WITH MODERN FISHERIES LAWS AND SHOULD LEAD TO AN HISTORIC TURNING POINT IN THE HEALTH OF CANADIAN FISHERIES. THE INTENT OF THE NEW LAW IS CLEAR. NOW WE MUST ENSURE THAT REGULATIONS DRAFTED TO SUPPORT THE LAW MAINTAIN THE SAME LEVEL OF AMBITION. THESE REGULATIONS ARE UNDER DEVELOPMENT AND EXPECTED TO BE RELEASED FOR PUBLIC CONSULTATION IN EARLY 2020. OCEANA HAS PROVIDED RECOMMENDATIONS ON THE NEW REGULATIONS AND WILL ADVOCATE THAT THEY MUST INCLUDE ABUNDANCE TARGETS AND TIMELINES FOR REBUILDING TO BE SUCCESSFUL. OCEANA SUCCESSFULLY SECURED A BAN ON</p>

## 990 Schedule O, Open Information

Return Reference	Explanation
FORM 990, PART III, LINE 4A, PROGRAM SERVICE ACCOMPLISHMENTS, CONT:	<p>THE IMPORT AND EXPORT OF SHARK FINS IN THE FISHERIES ACT MAKING CANADA THE FIRST COUNTRY IN THE G20 TO INCLUDE SUCH A BAN WHICH CAME INTO EFFECT ON JUNE 21, 2019. REBUILD CANADA'S FISHERIES OCEANA WAS SUCCESSFUL IN SECURING ELECTION PLATFORM COMMITMENTS TO REBUILD CANADA'S FISHERIES IN THE LIBERAL, NEW DEMOCRATIC PARTY AND CONSERVATIVE PARTY PLATFORMS. THE LEGISLATIVE AND REGULATORY FRAMEWORK NEEDED TO ESTABLISH THE PRACTICE OF REBUILDING PLANS IS ALMOST IN PLACE. THE CREATION OF REBUILDING PLANS IS MANDATED IN LAW, THE GOVERNMENT HAS COMMITTED SUBSTANTIAL FINANCIAL RESOURCES (OVER \$100 MILLION CAD) TO REBUILDING AND STOCK ASSESSMENT, A PROGRESSIVE POLICY FRAMEWORK EXISTS, AND THE DEVELOPMENT OF REBUILDING REGULATIONS IS UNDERWAY. HOWEVER, PROGRESS ON DRAFTING AND IMPLEMENTING REBUILDING PLANS REMAINS SLOW. AS OF NOVEMBER, THE GOVERNMENT RELEASED FOUR REBUILDING PLANS IN 2019. THE REBUILDING PLAN FOR NORTHERN COD HAS BEEN DELAYED ONCE AGAIN. ADDITIONALLY, THE QUALITY OF THESE PLANS DOES NOT MEET INTERNATIONALLY ACCEPTED BEST PRACTICES. OUR STRATEGY IS TO KEEP POLITICAL PRESSURE ON THE DEPARTMENT THROUGH THE MINISTER'S OFFICE (INCLUDING PRESSURE FROM OTHER POLITICAL PARTIES). IN NOVEMBER OCEANA RELEASED THE THIRD ANNUAL FISHERY AUDIT ASSESSING THE CURRENT STATE OF CANADA'S FISHERIES, MEASURING PROGRESS OVER THE PAST YEAR, AND PROVIDING RECOMMENDATIONS ON HOW THE DFO CAN RESTORE ABUNDANCE TO CANADA'S OCEANS. UNFORTUNATELY, IN 2019 THE PERCENTAGE OF HEALTHY ZONE MARINE FISH STOCKS DROPPED TO 29.4 PERCENT (FROM 34 PERCENT LAST YEAR (57 RATHER THAN 66 OUT OF 194 STOCKS)) AND THE NUMBER OF STOCKS IN THE CRITICAL ZONE HAS INCREASED TO 17 PERCENT (FROM 13.3 PERCENT LAST YEAR (33 RATHER THAN 26 STOCKS)). PROTECTING OCEAN HABITAT IN CANADA THROUGH THE EFFORTS OF OCEANA AND OTHER ORGANIZATIONS, AS THE GOVERNMENT ANNOUNCED EARLIER THIS YEAR THAT ALMOST 14 PERCENT OF CANADA'S OCEANS ARE NOW PROTECTED THROUGH MPAS (OR INTERIM MEASURES). IN ADDITION, THE NEWLY ELECTED GOVERNMENT HAS MADE A COMMITMENT TO PROTECT 25 PERCENT OF CANADA'S OCEANS BY 2025. THE GOVERNMENT HAS ANNOUNCED THAT DRAFT REGULATIONS FOR THE 140,000 KM2 PACIFIC OFFSHORE MPA WILL BE COMPLETE IN SPRING 2020. WE WILL CONTINUE TO WORK WITH DFO, FIRST NATIONS, ACADÉMIA, INDUSTRY, AND NGOS TO ENSURE REGULATIONS ARE COMPLETED IN 2020, WITH SUFFICIENT PROTECTION STANDARDS. IN AUGUST 2019, OCEANA CANADA AND THE NUNATSIAVUT GOVERNMENT (CANADA'S ONLY SELF-DETERMINED REGIONAL INUIT GOVERNMENT) COMPLETED A JOINT EXPEDITION TO EXPLORE THE CULTURALLY AND ECOLOGICALLY SIGNIFICANT COASTAL HABITATS OF NUNATSIAVUT (NORTHERN LABRADOR) AND GATHER RELEVANT DATA TO INFORM THE DEVELOPMENT OF AN INDIGENOUS PROTECTED AREA (IPA) AS PART OF THE IMAPPIVUT (INUIT FOR "OUR OCEANS") INITIATIVE. THE REGION IS A VERY PRODUCTIVE AREA WITH IMPORTANT SOFT CORALS, TUBE DWELLING ANEMONES AND SEA LILIES. THE STUDY SITES ARE SIGNIFICANT TO THE LABRADOR INUIT AS IMPORTANT SITES FOR TRADITIONAL, ECONOMIC, AND SOCIAL GATHERING AREAS THAT</p>

**990 Schedule O, Supplemental Information**

Return Reference	Explanation
<p>FORM 990, PART III, LINE 4A, PROGRAM SERVICE ACCOMPLISHMENTS, CONT:</p>	<p>T HOLD PERSONAL AND COMMUNITY VALUE FOR FOOD SECURITY, CULTURE, AND SPIRITUAL PRACTICES. S TOP SEAFOOD FRAUD IN CANADA DUE TO A NEWLY ELECTED LIBERAL MINORITY GOVERNMENT SUPPORTED B Y THE NEW DEMOCRATIC PARTY WE ARE OPTIMISTIC THAT, FOR THE FIRST TIME, WE CAN MAKE PROGRES S IN SECURING BOAT-TO-PLATE TRACEABILITY IN CANADA THROUGH THE CREATION OF A MULTI-DEPARTM ENT TASK FORCE IN 2020. HOWEVER, IT IS UNLIKELY THAT A FULL BOAT-TO-PLATE TRACEABILITY SCH EME WILL BE IN PLACE BY THE END OF THE YEAR AS THAT WILL NEED ADDITIONAL TIME TO BE APPROV ED BY THE PRIME MINISTER'S OFFICE, GAIN BUDGET AND CABINET APPROVALS AND SECURE ANY NECESS ARY CHANGES TO LEGISLATION OR REGULATIONS. PROTECT NORTH ATLANTIC RIGHT WHALES IN SEPTEMBE R 2019 OCEANA RELEASED A BINATIONAL REPORT ON RIGHT WHALES IN CANADA AND THE UNITED STATES , AS WELL AS A CANADA-SPECIFIC REPORT, AND FOLLOWED UP WITH MEETINGS WITH SENIOR GOVERNMEN T OFFICIALS. OUR MEDIA OUTREACH RESULTED IN 269 CANADIAN MEDIA HITS AND SIGNIFICANT SOCIAL MEDIA ENGAGEMENT. IN SPRING 2019, THE CANADIAN GOVERNMENT ANNOUNCED FISHERIES CLOSURES AN D VESSEL SPEED RESTRICTION ZONES TO PROTECT RIGHT WHALES. IN SUMMER 2019, NINE NORTH ATLAN TIC RIGHT WHALES WERE FOUND DEAD IN CANADIAN WATERS INDICATING THAT STRONGER PROTECTION ME ASURES ARE NEEDED IN THE GULF OF ST. LAWRENCE. WE ARE ADVOCATING FOR EXPANDED MEASURES IN 2020 INCLUDING EXPANDING THE SPEED RESTRICTION ZONES TO THE EASTERN GULF OF ST. LAWRENCE ( CABOT STRAIT), THROUGH DIRECT MEETINGS WITH GOVERNMENT OFFICIALS, COORDINATING NGO GROUPS, AND OUTREACH TO FISHING AND SHIPPING INDUSTRY GROUPS. WE ARE WORKING WITH GLOBAL FISHING WATCH, UTILIZING DATA FROM THE NEW "VESSEL TRACKER" PLATFORM TO BUILD THE CASE FOR AN EXPA NDED SPEED RESTRICTION ZONE. CHILE CHILE'S STABILITY HAS BEEN RATTLED BY PROTESTS ORIGINAL LY SPARKED BY GRIEVANCES OVER LIVING COSTS AND ECONOMIC INEQUALITY. IN WEEKS OF DEMONSTRAT IONS, THERE HAVE BEEN OUTBREAKS OF LOOTING AND ARSON. AS OF THIS WRITING MORE THAN 7,000 P EOPLE HAVE BEEN DETAINED AND 20 PEOPLE KILLED. CHILE IS ONE OF LATIN AMERICA'S WEALTHIEST COUNTRIES BUT ALSO ONE OF ITS MOST UNEQUAL, WITH THE WORST LEVELS OF INCOME EQUALITY AMONG THE 36 MEMBER NATIONS OF THE ORGANIZATION FOR ECONOMIC CO-OPERATION AND DEVELOPMENT (OECD ). WITH RESPECT TO THE GENERAL ISSUE OF INEQUALITY IN CHILE, IT IS WORTH NOTING THAT IN MA NY OF OCEANA'S CAMPAIGNS, OUR CHIEF ALLIES HAVE BEEN LOCAL COMMUNITIES AND ARTISANAL FISHE RS, AND THAT THE RESULTS OF OUR FISHERIES, HABITAT, AND POLLUTION CAMPAIGNS HAVE SERVED TO PROTECT THEIR LIVELIHOODS.</p>

## 990 Schedule O, Organizational Information

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FORM 990, PART III, LINE 4A, PROGRAM SERVICE ACCOMPLISHMENTS, CONT:	<p>FISH RECOVERY AND SUSTAINABLE MANAGEMENT IN OCTOBER 2019 THE EU FISHERIES MINISTERS REACHED AN AGREEMENT ON THE 2020 FISHING LIMITS FOR FISH STOCKS IN THE BALTIC SEA. OUR OVERALL ASSESSMENT OF THE DECISION REGARDING FISH STOCKS IN THE BALTIC SEA IS VERY POSITIVE AS THE MINISTERS EXCEEDED THE SCIENTIFIC ADVICE FOR ONLY TWO OUT OF TEN FISH STOCKS, (WHILE IN OCTOBER 2014 SCIENTIFIC ADVICE WAS EXCEEDED FOR SIX OUT OF EIGHT FISH STOCKS). IN FEBRUARY 2019, THE EUROPEAN UNION ADOPTED A MULTIANNUAL MANAGEMENT PLAN FOR THE NORTH WESTERN WATERS - ONE OF THE KEY FISHING GROUNDS IN EUROPE. THE MANAGEMENT PLAN CONTAINS THE CLEAR OBJECTIVE TO EXPLOIT TARGET FISH STOCKS AT MSY. THE PLAN SHOULD HAVE BEEN MORE AMBITIOUS WITH RESPECT TO CERTAIN CONSERVATION MEASURES, SUCH AS EXPLOITATION TARGETS FOR BYCATCH STOCKS AND THE PROTECTION OF ESSENTIAL FISH HABITATS (WHICH WAS NOT INCLUDED IN THE FINAL TEXT). SPATIAL/TEMPORAL RESTRICTIONS ON BOTTOM TRAWLING HAVE BEEN ADOPTED DURING 2019 IN THE MEDITERRANEAN THAT CORRESPOND TO A TOTAL AREA OF MORE THAN 94,000 SQUARE KILOMETERS. THESE RESTRICTIONS WERE APPROVED UNDER THE WESTERN MEDITERRANEAN MULTIANNUAL PLAN (ADOPTED IN APRIL 2019) AND COVERED IN SEPARATE DECISIONS BY THE GENERAL FISHERIES COMMISSION FOR THE MEDITERRANEAN. THEY PROTECT ESSENTIAL FISH HABITATS. HABITAT CAMPAIGN ONE YEAR BEFORE THE DEADLINE, THE EU HAS ACHIEVED THE TARGET OF DECLARING MORE THAN 10 PERCENT OF THE MARINE AND COASTAL WATERS PROTECTED (MPAS). OFFICIAL FIGURES INDICATE THAT MORE THAN 12 PERCENT OF EU WATERS ARE NOW DESIGNATED AS MPAS. THIS ACHIEVEMENT HAS BEEN MADE PRIMARILY THROUGH THE DESIGNATION OF SITES WITHIN NATURA 2000 (THE EU COORDINATED NETWORK OF PROTECTED AREAS). OCEANA HAS BEEN INSTRUMENTAL IN RAISING THE IMPORTANCE OF MPAS ON THE EU AGENDA, IN ADVOCATING FOR THE ORIGINAL 10 PERCENT TARGET TO APPLY TO ALL EU WATERS (AND NOT ONLY THE ATLANTIC, AS ORIGINALLY PROPOSED BY THE EU), IN GATHERING AND PROVIDING DATA THAT HAVE CONTRIBUTED TO THE PROTECTION OF SITES, AND IN LOBBYING EU MEMBER STATES TO PROTECT AREAS OF HIGH ECOLOGICAL VALUE. SUCH SITES HAVE INCLUDED EXTENSIVE AREAS THAT ARE NOW DESIGNATED NATURA 2000 AREAS IN MALTA (2252 KM2), PORTUGAL (22,880 KM2) AND SPAIN (44,474 KM2). IN FEBRUARY, SPAIN EXPANDED CABRERA NATIONAL PARK TO MAKE IT THE SECOND-LARGEST MARINE NATIONAL PARK IN THE MEDITERRANEAN. OCEANA HAD BEEN WORKING TO EXPAND THE PARK SINCE 2007. IN 2013, OCEANA JOINED CABRERA'S BOARD OF TRUSTEES, AND IN 2017, ACHIEVED AN UNPRECEDENTED PARLIAMENTARY CONSENSUS IN SPAIN: ALMOST ALL THE POLITICAL PARTIES IN THE SPANISH CONGRESS AND ALL OF THOSE IN THE SENATE SUPPORTED THE EXPANSION OF THE PARK. THE PARK, LOCATED SOUTH OF MALLORCA, WILL PROVIDE THE HIGHEST LEVEL OF LEGAL PROTECTION FOR THREATENED MARINE LIFE. OCEANA HAS ALSO PROVIDED ITS DETAILED DATA FROM RESEARCH EXPEDITIONS TO THE NATIONAL PARKS AUTONOMOUS AGENCY, WHICH WILL USE THE INFORMATION TO DEVELOP MANAGEMENT MEASURES FOR THE PARK. THE UK GOVERNMENT DESIGNATED 41 NEW MAR</p>

**990 Schedule O, Supplemental Information**

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FORM 990, PART III, LINE 4A, PROGRAM SERVICE ACCOMPLISHMENTS, CONT:	<p>INE CONSERVATION ZONES (MCZS) EARLIER THIS YEAR. THIS MEANS THAT THE UK HAS SUBSTANTIALLY COMPLETED ITS ECOLOGICALLY COHERENT NETWORK OF MARINE PROTECTED AREAS, WITH 355 MPAS AMOUNTING TO OVER 30 PERCENT OF UK SEAS NOW DESIGNATED AS MPAS. DURING THE 2018 PUBLIC CONSULTATION PROCESS ON THE POTENTIAL DESIGNATION OF NEW MCZS, OCEANA FORMALLY PRESENTED A DETAILED RESPONSE THAT INCLUDED OCEANA DATA AND SUPPORTING PHOTOGRAPHIC EVIDENCE FROM TWO AREAS SURVEYED DURING THE NORTH SEA EXPEDITIONS. USING THIS DATA, WE ARGUED IN FAVOR OF THE DESIGNATION OF THE SITES, AND OF THE EXPANSION OF THEIR BOUNDARIES TO INCLUDE FEATURES OF CONSERVATION IMPORTANCE IN ADJACENT WATERS. BOTH SITES HAVE NOW BEEN DESIGNATED. THE UK GOVERNMENT IS NOW ALSO UNDERTAKING A REVIEW OF HIGHLY PROTECTED MARINE AREAS AND IS CURRENTLY HOLDING A PUBLIC CONSULTATION ON THE NEED FOR THESE TO BECOME STRICTLY PROTECTED SITES. OCEANA IS RESPONDING TO THE CONSULTATION AND ENGAGING WITH BOTH CIVIL SERVANTS AND MEMBERS OF THE REVIEW PANEL, TO ADVOCATE FOR THE NEED FOR STRICTER PROTECTION, INCLUDING MORE EFFECTIVE MANAGEMENT OF EXISTING UK MPAS. THIS WILL BE AN IMPORTANT COMPONENT OF OUR FUTURE CAMPAIGN FOR BUILDING EFFECTIVENESS OF THE MPA NETWORK IN EUROPE.</p>

**990 Schedule O, Open Information**

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<p>FORM 990, PART III, LINE 4A, PROGRAM SERVICE ACCOMPLISHMENTS, CONT:</p>	<p>MEXICO TRANSPARENCY THANKS TO OCEANA, ANYONE WITH AN INTERNET CONNECTION CAN NOW, FOR THE FIRST TIME, ACCESS THE HISTORICAL VMS DATA OF OVER 2,000 VESSELS. PREVIOUSLY ONLY 34 VESSELS WERE PUBLICLY VISIBLE. HOWEVER, THE GOVERNMENT REFUSED TO ANNOUNCE ITS COMMITMENT TO REGULARLY RELEASE THE DATA TO GLOBAL FISHING WATCH AND SO TO GET THIS, WE WILL CONTINUE TO CAMPAIGN UNTIL THE GOVERNMENT IS FULLY COMMITTED TO SHARING THE DATA. SEAFOOD TRACEABILITY OUR WORK ON SEAFOOD FRAUD OVER THE PAST YEAR HAS MADE THIS ISSUE VISIBLE TO THE PUBLIC. THE ACTIONS AND STATEMENTS OF GOVERNMENT OFFICIALS PROVE IT IS NOW CLEARLY PART OF THE PUBLIC AGENDA. ON MARCH 12, WE LAUNCHED OUR FIRST SEAFOOD FRAUD REPORT, CALLED "GATO X LIEBRE", DURING AN EVENT WHICH REMAINS, TO THIS DAY, OUR MOST PUBLICIZED MOMENT. IN THE DAYS AND WEEKS FOLLOWING THIS LAUNCH THERE WERE NUMEROUS STORIES ON PRINT, TV AND RADIO NEWS SOURCES, AS WELL AS A LOT OF INTEREST ON SOCIAL MEDIA. A SINGLE VIDEO NEWS STORY OF OUR CAMPAIGN BY ONLINE NEWS SITE BRUT, HAS OVER 3.9 MILLION VIEWS ON FACEBOOK AS OF THE WRITING OF THIS NARRATIVE. THE GOVERNMENT WENT ON THE RECORD SHORTLY AFTER THE LAUNCH. ON MAY 6, CONAPESCA PUBLISHED AN OFFICIAL PRESS RELEASE, ON THEIR WEBSITE, COMMITTING TO IMPROVING TRACEABILITY. FURTHER, IN AN OFFICIAL DOCUMENT CALLED THE NATIONAL NORMS AND STANDARDS PROGRAM, THE GOVERNMENT COMMITTED TO PUBLISHING A NORM ON TRACEABILITY. THE FISHERIES AGENCY, CONAPESCA, FOLLOWED A COUPLE OF WEEKS AFTER THAT WITH A REAFFIRMATION OF THIS COMMITMENT IN THE SHAPE OF A PRESS RELEASE ON THEIR WEBSITE. WE HAVE BEEN ASKED TO BE A PART OF THIS WORKING GROUP WHICH WILL GIVE US THE OPPORTUNITY TO BRING MEANINGFUL TRACEABILITY MEASURES INTO THE DISCUSSION. HOWEVER, THE TRACEABILITY REQUIREMENTS ARE NOT YET IN PLACE. TO THAT END WE HAVE PREPARED BRIEFING MATERIALS FOR KEY OFFICIALS DEFINING THE MINIMUM REQUIREMENTS FOR A GOOD POLICY. WE ALSO HELD A PRESS CONFERENCE WITH REPRESENTATIVES OF THE FISHING INDUSTRY AND PROCESSORS WHO STAND WITH US ON OUR PROPOSAL. TOGETHER, WE URGED THE GOVERNMENT TO USE THE RESOURCES AND COLLECTIVE EXPERIENCE OF THE FISHERIES COMMUNITY TO PUT TOGETHER THE BEST POSSIBLE TRACEABILITY RULES. WE CONTINUE TO BUILD ALLIANCES WITHIN THE FISHING INDUSTRY ON THIS ISSUE AND CONTINUE TO PRESSURE CONAPESCA TO DELIVER A MEANINGFUL TRACEABILITY RULE BY 2020. FISHERIES REBUILDING WE LAUNCHED THIS CAMPAIGN ON JUNE 11, WITH THE RELEASE OF OUR FISHERIES AUDIT, WHICH WE CALLED "FISHING BLIND". A FEW DAYS BEFORE THAT, ON JUNE 5, WE WERE INVITED TO PRESENT THE SAME INFORMATION TO THE FISHERIES COMMITTEE OF THE CHAMBER OF DEPUTIES. AFTER THIS SESSION, THE COMMITTEE CHAIR, DEPUTY MAXIMILIANO RUIZ, GAVE INTERVIEWS TO SEVERAL MEDIA OUTLETS WHERE HE TALKED ABOUT THE DIRE FUTURE OF THE FISHERIES SECTOR, USING SOME OF OUR TALKING POINTS. OUR MESSAGES WERE ALIGNED. IN OUR AUDIT, WE UNDERScoreD HOW LITTLE PUBLIC INFORMATION EXISTS TO SUPPORT THE GOVERNMENT'S ASSESSMENTS OF THE STATUS OF COMMERCIALLy IMPORTANT F</p>

**990 Schedule O, Supplemental Information**

Return Reference	Explanation
FORM 990, PART III, LINE 4A, PROGRAM SERVICE ACCOMPLISHMENTS, CONT':	<p>ISH SPECIES IN MEXICO. THE MAIN CONCLUSION OF OUR AUDIT WAS THAT WE KNOW A LOT LESS THAN WE THINK WE KNOW ABOUT THE STATUS OF FISHERIES IN MEXICO, AND THAT WHAT WE DO KNOW IS QUITE TROUBLING. WE WERE POSITIVELY SURPRISED BY THE AMOUNT OF MEDIA ATTENTION THAT THE FISHERIES AUDIT GOT. DESPITE BEING VERY TECHNICAL, IT WAS VERY APPEALING TO THE PUBLIC. WE USED THE LAUNCH OF THE AUDIT TO QUICKLY PIVOT THE CONVERSATION TO THE CONCEPT OF REBUILDING, WHICH IS NOT AT ALL A FAMILIAR CONCEPT IN THE WORLD OF FISHERIES IN MEXICO. THIS IS ONE OF OUR MAIN, ONGOING TACTICS FOR OUR CAMPAIGN: CREATE A NARRATIVE ON THE NEED TO REBUILD FISHERIES. AS WITH THE SEAFOOD FRAUD STUDY, THE FISHERIES AUDIT WAS INTENDED TO BUILD THE SPACE FOR OUR POLICY SOLUTION, SO, DURING THE FIRST PART OF THE YEAR, WE ALSO WORKED ON PUTTING TOGETHER THIS POLICY SOLUTION. WE DID THIS IN THE SHAPE OF A LEGAL REFORM PROPOSAL THAT SPELLS OUT WHAT CHANGES ARE NEEDED TO WHICH ARTICLES IN THE FISHERIES LAW. THE FOCUS OF THE PROPOSAL IS ALSO THE MAIN GOAL OF THIS CAMPAIGN: TO GIVE CONAPESCA THE LEGAL MANDATE TO REBUILD FISHERIES THAT HAVE BEEN OFFICIALLY RECOGNIZED AS OVERFISHED. IN 2020, WE WILL SOLIDIFY OUR PROGRESS AND TAKE CONCRETE STEPS TOWARDS PASSING OUR LEGISLATIVE PROPOSALS. PERU HABITAT PROTECTION TO ACHIEVE THE DESIGNATION OF NAZCA RIDGE AS THE FIRST STRICTLY MARINE PROTECTED AREA IN PERU, WE ASSEMBLED A SPECIAL TASK FORCE TO SUPPORT THE GOVERNMENT AND WORKED WITH THE AGENCIES INVOLVED TO BUILD A COMMON AGENDA FOR THE DESIGNATION OF THE MPA. OUR COMMUNICATION WORK THROUGH THE YEAR WAS CRITICAL FOR THE PRESENTATION OF THE NAZCA RIDGE PROPOSAL IN THE RECENT III LATIN-AMERICAN &amp; CARIBBEAN PARKS CONGRESS IN LIMA. AT THIS EVENT, MINISTER OF THE ENVIRONMENT, FABIOLA MUOZ, COMMITTED PUBLICLY TO THE CREATION OF THE MPA BEFORE THE END OF THIS YEAR.</p>

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<p>FORM 990, PART III, LINE 4A, PROGRAM SERVICE ACCOMPLISHMENTS, CONT:</p>	<p>PLASTIC POLLUTION LAST DECEMBER, OCEANA AND OUR ALLIES IN PERU WON PASSAGE OF A NEW PLASTIC REDUCTION LAW WHICH REGULATES BOTH THE USE AND PRODUCTION OF DISPOSABLES, INCLUDING PLASTIC BAGS, STRAWS, EXPANDED POLYSTYRENE PACKAGES, AND PLASTIC TABLEWARE. THIS LAW SETS REAL DEADLINES, FROM 1 TO 3 YEARS, TO LIMIT THE MANUFACTURE FOR DOMESTIC USE, IMPORT, DISTRIBUTION, DELIVERY, TRADING AND USE OF DISPOSABLE PLASTICS. OCEANA WAS INSTRUMENTAL IN DRAFTING THE LEGISLATION AND ACTIVELY ADVOCATED FOR ITS QUICK PASSAGE. ALSO, LAST AUGUST THE REGULATIONS FOR THIS LAW WERE ISSUED. THIS LEGAL INSTRUMENT, WHILE FAR FROM PERFECT, OUTLINES A NUMBER OF COMPLEMENTARY MEASURES REQUIRED FOR BETTER CONTROL AND REDUCTION OF SINGLE USE PLASTICS. OCEANA'S COMMUNICATIONS CAMPAIGN PLAYED A SIGNIFICANT ROLE IN THE CONSULTATION PROCESS BY PROMOTING A NUMBER OF OPEN DISCUSSIONS ABOUT THE SUBJECT IN SOCIAL MEDIA. DESPITE ACTIVELY CAMPAIGNING AGAINST THE LAW, PAMOLSA, THE LARGEST PLASTIC FOOD CONTAINER PRODUCER, IS MOVING TOWARDS ELIMINATION OF SINGLE-USE PLASTIC BY IMPLEMENTING NEW PRODUCTION LINES OF CARDBOARD AND VEGETABLE FIBER CONTAINERS. SEVERAL OTHER LOCAL PLASTIC PRODUCERS AND DISTRIBUTORS ARE ALSO STARTING TO TAKE ACTION TO REPLACE SINGLE USE PLASTICS BY THE DEADLINE OF DECEMBER 2021 ESTABLISHED BY THE PLASTICS LAW. WE HAVE COMMISSIONED A SHORT CONSULTANCY TO ASSESS THE RELIABILITY OF THESE COMMITMENTS AND THE STATE OF IMPLEMENTATION IN THE PRIVATE SECTOR. THE RESULTS OF THIS ANALYSIS WILL BE USED IN FUTURE COMMUNICATION CAMPAIGNS FOCUSED ON PUBLIC SURVEILLANCE AND REPORTING. THE PLASTICS LAW PROHIBITS PRODUCTION (FOR NATIONAL USE), IMPORT, DISTRIBUTION, AND SALE OF STYROFOAM (EXPANDED POLYSTYRENE) BY DECEMBER 2021. EVEN SO, MOST OF THE MAIN FAST FOOD CHAINS (BURGERS, ROTISSERIE CHICKEN AND PIZZA) IN PERU HAVE ALREADY MOVED ON TO USING CARDBOARD FOR THEIR TAKE-AWAY CONTAINERS. ALSO ALL THE RESTAURANTS OF THE GASTON ACURIO CORPORATION HAVE STOPPED USING STYROFOAM. DETERMINING ILLEGAL FISHING THE FISHERIES AGENCY, PRODUCE, HAS PUT ON HOLD THE INTERNATIONAL TRADE OF CITES-RESTRICTED SPECIES UNTIL THEY HAVE EFFECTIVELY OVERHAULED THEIR OFFICE RESPONSIBLE FOR PROVIDING CITES PERMITS AND CERTIFICATIONS. THIS ACTION WAS TAKEN AFTER THE INTERCEPTION OF SEVERAL SHIPMENTS CONTAINING TENS OF TONS OF ILLEGAL SHARK FINS. THE AGENCY ALSO REMOVED AND IS INVESTIGATING OFFICERS SUSPECTED TO BE INVOLVED IN CORRUPTION AROUND CITES PERMITS. OCEANA PROVIDED TRAINING TO OFFICERS AT CUSTOMS, PRODUCE, THE MARINE RESEARCH INSTITUTE (IMARPE) AND THE MINISTRY OF THE ENVIRONMENT (MINAM), AND PRODUCED AND DISSEMINATED A STATE-OF-THE-ART IDENTIFICATION GUIDE FOR SHARK FINS AS PART OF THIS CAMPAIGN. ADDITIONALLY, OCEANA'S ADVICE AND SUPPORT TO THE PERU DELEGATION PARTICIPATING AT THE RECENT CITES COP 18 IN SWITZERLAND RESULTED IN THE ADOPTION OF ADDITIONAL NECESSARY MEASURES TO THWART THE ILLEGAL TRADE OF SHARKS. THE NEW MEASURES WILL AFFECT THE GLOBAL SHARK TRADE, REQUIRING COUNTRIES TO REPORT THE CURRENT</p>

**990 Schedule O, Organizational Information**

Return Reference	Explanation
<p>FORM 990, PART III, LINE 4A, PROGRAM SERVICE ACCOMPLISHMENTS, CONT:</p>	<p>EXTENT OF STOCKPILED SHARK PRODUCTS FOR CITES-LISTED SPECIES, ELIMINATING A LOOPHOLE IN THE CONTROL SYSTEM. THIS ACHIEVEMENT IS THE RESULT OF A TWO-YEAR-LONG COLLABORATION BETWEEN OCEANA AND THE PERUVIAN CITES AUTHORITIES AND WAS MADE POSSIBLE THROUGH THE SUPPORT OF AN INTERNATIONAL COALITION OF NGOS WORKING WITH THEIR RESPECTIVE GOVERNMENTS. PHILIPPINES PROTECT SARDINES/ESTABLISH NATIONAL RULES ON FISHERIES MANAGEMENT AREAS THE BUREAU OF FISHERIES AND AQUATIC RESOURCES (BFAR) THIS YEAR ESTABLISHED TWELVE FISHERIES MANAGEMENT AREAS CO-MANAGED BY LOCAL AND NATIONAL GOVERNMENTS AND STAKEHOLDERS THROUGHOUT THE COUNTRY. THIS SYSTEM, SIMILAR TO FISHERIES MANAGEMENT COUNCILS IN THE US AND OTHER COUNTRIES, PROMISES TO PROVIDE MORE TRANSPARENT AND COORDINATED DECISION-MAKING ON FISHERIES. TAKING ADVANTAGE OF THE NEW SYSTEM, WE ARE WORKING IN SEVERAL FISHERIES MANAGEMENT AREAS IN THE CENTER OF THE COUNTRY TO IMPROVE MANAGEMENT OF SARDINES, THE LARGEST CATCH BY WEIGHT IN THE PHILIPPINES. THE FISHERIES MANAGEMENT AREAS WERE ESTABLISHED IN RESPONSE TO OCEANA'S LAWSUIT SEEKING ENFORCEMENT OF THE KEY NATIONAL FISHERY LAW. NEVERTHELESS, OUR LAWSUIT CONTINUES BECAUSE THE BUREAU OF FISHERIES AND AQUATIC RESOURCES HAS NOT FULLY COMPLIED WITH THE LAW BY REQUIRING COMMERCIAL FISHING VESSELS TO CARRY VESSEL TRACKING DEVICES. WE ARE ON TRACK WITH OUR SARDINES-SPECIFIC CAMPAIGN GOAL, WHICH IS TO GET BFAR TO PROMULGATE RULES AND REGULATIONS ENSURING SUSTAINABLE CATCHES OF SARDINES BY 2020. INITIAL REFERENCE POINTS AND HARVEST CONTROL RULES AND MEASURES WERE IDENTIFIED IN FISHERIES MANAGEMENT AREA 7. THESE WILL BE VALIDATED THROUGH A SARDINE FISHERIES STUDY AND THE TO-BE-FORMED SCIENCE ADVISORY GROUP. WE HAVE SUCCEEDED IN GETTING A NATIONAL SARDINE MANAGEMENT FRAMEWORK PLAN THROUGH THE PUBLIC CONSULTATIONS PROCESS. THE NEXT STEPS ARE APPROVAL BY THE NATIONAL FISHERIES AND AQUATIC RESOURCES MANAGEMENT COUNCIL AND THEN THE AGRICULTURE SECRETARY FOR HIS SIGNATURE. WE HAVE PUT PRESSURE ON THE FISHERIES BUREAU DIRECTOR TO CONSIDER THIS A PRIORITY IN THE NEW YEAR. STOP ILLEGAL COMMERCIAL FISHING IN MUNICIPAL WATERS THE DECENTRALIZATION OF FISHERIES MANAGEMENT INTO 12 SCIENCE-BASED FISHERIES MANAGEMENT AREAS AUGURS WELL FOR ACHIEVEMENT OF OUR GOAL TO ELIMINATE COMMERCIAL FISHING VESSELS FROM ILLEGALLY FISHING IN MUNICIPAL WATERS THROUGHOUT THE PHILIPPINES. WE ARE ESTABLISHING A CIRCLE OF EXEMPLARY MAYORS IN EACH FISHERIES MANAGEMENT AREA TO BE CHAMPIONS FOR PROTECTING MUNICIPAL WATERS. WE ACHIEVED A SIGNIFICANT MILESTONE IN THIS CAMPAIGN AT THE CONCLUSION OF THE YEAR: FOR THE FIRST TIME IN ITS HISTORY, THE FISHERIES BUREAU PERMANENTLY REVOKED THE COMMERCIAL FISHING LICENSE OF AN OPERATOR WHO WAS A REPEAT OFFENDER. THE ORDER DATED NOVEMBER 2019 WAS RELEASED BY THE FISHERIES BUREAU TO OCEANA IN JANUARY 2020 AFTER A FOLLOW UP. THE ONLINE PLATFORM FOR REPORTING ILLEGAL COMMERCIAL FISHING, KARAGATAN PATROL, LAUNCHED BY OCEANA IN FEBRUARY THIS YEAR IN PARTNERSHIP WITH THE LEAGUE OF M</p>

**990 Schedule O, Supplemental Information**

Return Reference	Explanation
FORM 990, PART III, LINE 4A, PROGRAM SERVICE ACCOMPLISHMENTS, CON'T:	MUNICIPALITIES OF THE PHILIPPINES IS NOW RECOGNIZED AS A KEY SOURCE OF INFORMATION AND DATA ON ILLEGAL FISHING ACTIVITIES IN THE PHILIPPINES. MEMBERSHIP OF THE PLATFORM HAS SURPASSED 2000, WITH MEMBERS REPRESENTING NATIONAL GOVERNMENT AGENCIES, ENFORCEMENT UNITS, LOCAL GOVERNMENTS, ENVIRONMENT ADVOCATES, FISHERFOLK LEADERS, AND CONCERNED CITIZENS. MEMBERS USE THE FACEBOOK PAGE TO REPORT AND COMMENT ON SUSPICIOUS ACTIVITY AND ENFORCEMENT ACTIONS. ON THIS WEBSITE, ANYONE CAN SEE WHERE VESSELS HAVE BEEN FISHING AT NIGHT. THE SITE COLLECTS SATELLITE DATA ON LIGHTS AT SEA, APPLIES AN ALGORITHM TO DISTINGUISH TRANSITING FROM FISHING, AND PLOTS FISHING ACTIVITY ON AN OVERLAY OF PHILIPPINES JURISDICTIONAL WATERS. THIS SITE HAS ATTRACTED ATTENTION BOTH BECAUSE IT SHOWS WHERE COMMERCIAL FISHING BOATS ARE FISHING ILLEGALLY IN MUNICIPAL WATERS AND BECAUSE IT SHOWS THE INCURSION OF FOREIGN - PROBABLY CHINESE - BOATS INTO THE WEST PHILIPPINE SEA. DETER ILLEGAL DUMP AND FILL ACTIVITY OCEANA BEGAN 2019 WITH A VERY POSITIVE SHOW OF SUPPORT FROM THE DEPARTMENT OF INTERIOR AND LOCAL GOVERNMENT (DILG) FOR OUR CAMPAIGN TO STOP ILLEGAL DUMP-AND-FILL PROJECTS. (DILG) SECRETARY EDUARDO AO IS PROVING TO BE OUR MOST CONSISTENT ALLY IN THE DUTERTE ADMINISTRATION. HE IS OPENLY OPPOSING DUMP AND FILL PROJECTS (KNOWN AS RECLAMATION IN THE COUNTRY).

**990 Schedule O, Supplemental Information**

Return Reference	Explanation
<p>FORM 990, PART III, LINE 4A, PROGRAM SERVICE ACCOMPLISHMENTS, CONT:</p>	<p>STRENGTHEN CORAL-RICH MARINE PROTECTED AREAS WE RECENTLY GOT BOARD APPROVAL FOR A NEW CAMP AIGN TO PROTECT A RELATIVELY PRISTINE CORAL REEF AREA IN PANAON ISLAND IN LEYTE. WE WILL S EEK LEGAL PROTECTION UNDER THE EXPANDED NATIONAL INTEGRATED PROTECTED AREA SYSTEM. WE VISI TED SEVERAL SITES IN 2019, AND THE CORAL REEFS OF PANAON ISLAND STOOD OUT AS EXHIBITING GO OD LIVE CORAL COVER. PANAON ISLAND'S WATERS ARE ESTIMATED AT AROUND 25,000-30,000 HECTARES . ITS REEF IS CLASSIFIED AS A VERY GOOD CANDIDATE FOR CONSERVATION. IT HAS A HIGH DIVERSIT Y OF FISH AND CORALS AND THERE ARE SIGNS OF RECOVERY FROM BLAST FISHING IN THE 80'S. IT IS A FEEDING GROUNDS FOR WHALE SHARKS, DOLPHINS, SEA TURTLES AND OTHER LARGE MARINE VERTEBRA TES. THERE ARE SIGHTINGS OF THE RARE AND ENDANGERED LEATHERBACK TURTLES. FISHERFOLK BENEFIT FROM THE SPILL-OVER EFFECT OF AT LEAST 10 LOCALLY PROTECTED MARINE PROTECTED AREAS. IN A DDITION, BOTH POLITICAL DYNAMICS AND SUSTAINABLE TOURISM POTENTIAL ARE PROMISING. ILLEGAL FISHING AND TRANSPARENCY OCEANA SECURED VMS DATA FROM TWO COUNTRIES (CHILE AND MEXICO) AND PUBLISHED IT ON THE GLOBAL FISHING WATCH PLATFORM. THE CHILEAN GOVERNMENT SIGNED AN AGREE MENT ON MAY 15 TO MAKE ITS VESSEL TRACKING DATA PUBLICLY AVAILABLE THROUGH THE GLOBAL FISH ING WATCH MAP AS A RESULT OF OCEANA'S COLLABORATION WITH THE CHILEAN GOVERNMENT TO INCREAS E TRANSPARENCY OF COMMERCIAL FISHING IN CHILEAN WATERS. OCEANA ALSO CREATED ALERTS FOR TWO COUNTRIES, BRAZIL AND THE PHILIPPINES, USING GFW. IN BRAZIL, AN AUTOMATED ALERT SYSTEM SE NDS A REPORT TO OCEANA'S BRAZIL OFFICE WHEN A FOREIGN FLAGGED FISHING VESSEL ENTERS THE BR AZILIAN EXCLUSIVE ECONOMIC ZONE (EEZ). AN ADDITIONAL MESSAGE IS SENT WHEN A VESSEL APPEARS TO FISH IN THE TERRITORIAL SEA OF SOUTHERN BRAZIL (12 NAUTICAL MILES FROM SHORE), THE ARE A WHERE WE WON A TRAWLING CLOSURE YEAR-ROUND AND AN INDUSTRIAL PURSE SEINE CLOSURE FROM MA Y 15 TO JULY 31 EACH YEAR. THE BRAZILIAN OFFICE CAN INVESTIGATE ANY FISHING BY INFERRED TR AWLERS OR PURSE SEINERS DURING THE CLOSURES TO IDENTIFY VESSELS THAT VIOLATE THESE CLOSURE S AND TO EVALUATE THE EFFECTIVITY OF FISHING VESSEL COMPLIANCE WITH THE CLOSURES IN SOUTHE RN BRAZIL. OCEANA ANALYSTS DEVELOPED AN AUTOMATED ALERT SYSTEM FOR THE PHILIPPINES THAT SE NDS A REPORT TO OCEANA'S PHILIPPINES OFFICE WHEN A FOREIGN VESSEL ENTERS THE PHILIPPINE EE Z. ALL AVAILABLE DATA ON THE VESSEL'S ACTIVITY (I.E., POSSIBLE FISHING EVENTS, RENDEZVOUS, GAPS IN AIS TRANSMISSION, AND PORT VISITS) OVER THE PREVIOUS MONTH ARE REPORTED IN PDF FO RMAT, INCLUDING A MAP AND TABLE OF EVENTS. THE ALERT WILL ALLOW MEMBERS OF OCEANA PHILIPPI NES TO MONITOR THE INTRUSION OF FOREIGN VESSELS IN PHILIPPINE WATERS. OCEANA ALSO MODIFIED AND IMPROVED THE PERU ALERT TO ENHANCE ENFORCEMENT CAPACITY OF PERU'S CUSTOMS AGENCY. OCE ANA ANALYSTS ALSO CONDUCTED THEIR OWN RESEARCH AND WORKED WITH OCEANA OFFICES TO WRITE FOU R REPORTS AND MAKE DATA AVAILABLE TO SUPPORT ADVOCACY EFFORTS. DUE TO CONFIDENTIALITY REST RICTIONS AND CONSTRAINTS IN NA</p>

**990 Schedule O, Supplemental Information**

Return Reference	Explanation
FORM 990, PART III, LINE 4A, PROGRAM SERVICE ACCOMPLISHMENTS, CON'T:	MING VESSELS, NOT ALL THE REPORTS WERE MADE AVAILABLE TO THE PUBLIC. MOST NOTABLY, OUR TEAM PROVIDED OCEANA CHILE WITH INFORMATION ALLOWING IT TO DEMONSTRATE TO THE SOUTH PACIFIC REGIONAL FISHERY MANAGEMENT ORGANIZATION THAT THE LARGEST FACTORY VESSEL IN THE WORLD, THE DAMANZAIHAO, WAS ENGAGING IN SUSPICIOUS ACTIVITIES. SOUTH PACIFIC REGIONAL FISHERIES MANAGEMENT ORGANIZATION TOOK ACTION AGAINST THE COUNTRIES INVOLVED AND DECIDED TO KEEP THE VESSEL ON ITS ILLEGAL, UNREPORTED AND UNREGULATED FISHING (IUU) LIST. OCEANA IS BUILDING A PLATFORM TO TRACK VESSEL SPEEDS ALONG THE EAST COAST OF THE UNITED STATES AND CANADA TO IDENTIFY VESSELS THAT EXCEED SPEED RESTRICTIONS IN ANY OF THE SEASONAL OR DYNAMIC MANAGEMENT AREAS THAT WERE ESTABLISHED TO PROTECT NORTH ATLANTIC RIGHT WHALES. ADDITIONAL LAYERS ON THE MAP WILL SHOW CRITICAL HABITAT AND ANY NEAR REAL-TIME WHALE SIGHTINGS IF THE DATA IS AVAILABLE.

**990 Schedule O, Supplemental Information**

Return Reference	Explanation
<p>FORM 990, PART III, LINE 4A, PROGRAM SERVICE ACCOMPLISHMENTS, CONT:</p>	<p>IN 2019, WE ACHIEVED SEVERAL VICTORIES IN CHILE: CONGRESS PASSED A LAW TO DETER AND PUNISH ILLEGAL FISHING. CHILE HAS MADE ALL DATA FROM ITS VESSEL MONITORING SYSTEM AVAILABLE ON G LOBAL FISHING WATCH. THE SOUTH PACIFIC REGIONAL FISHERIES MANAGEMENT ORGANIZATION KEPT ONE OF THE WORLD'S LARGEST TRANSSHIPPING "REEFER" SHIPS ON THE ILLEGAL, UNREPORTED, AND UNREG ULATED (IUU) FISHING BLACKLIST. THE ONLY OPERATION DUMPING MINE TAILINGS IN THE OCEAN WILL SOON TERMINATE OPERATION. ANTIBIOTIC USE IN SALMON FARMS PER UNIT OF FARMED SALMON DECREA SED BY 50 PERCENT BELOW ITS RATE IN 2012. HABITAT PROTECTION FOR THE FIRST TIME, CHILE'S N ATIONAL BUDGET FOR 2019 INCLUDED A LINE ITEM FOR THE ADMINISTRATION OF MARINE PROTECTED AR EAS AND BUDGETED \$400,000. THIS WAS THE RESULT OF OCEANA'S WORK TO ENSURE THAT MPAS ARE SU CCESSFULLY MANAGED AND FULFILL THEIR PROMISE FOR CONSERVATION AND COMMUNITIES. WE CONTINUE TO ADVOCATE WITH NATIONAL POLICYMAKERS FOR ADDITIONAL FUNDING FOR THIS LINE ITEM. JUAN FE RNANDEZ ISLANDS: OUR STRONG RELATIONSHIPS WITHIN THE FISHING COMMUNITY OF JUAN FERNANDEZ A RE ADVANCING THE MANAGEMENT PLANNING FOR THEIR MPA AND ALSO NATIONAL COMMUNICATIONS THAT P ROMOTE THE AREA. WE WORKED WITH FISHERS IN JUAN FERNANDEZ TO ADDRESS SEVERAL AREAS OF THE FISHERIES LAW THAT, IN PRACTICE, ARE DETERRING SUSTAINABLE FISHING IN MPAS OF MULTIPLE USE S INCLUDING THEIRS. WE ARE PROVIDING TECHNICAL ASSISTANCE TO FISHERS WHO WERE APPLYING FOR GOVERNMENT FUNDING FOR SEVERAL FISHERIES AND ENVIRONMENTAL PROJECTS THAT WOULD HELP THEM MANAGE THEIR MPA. LA HIGUERA: ECONOMIC INEQUALITY IS PLAYING OUT IN LA HIGUERA WHERE WE AR E SUPPORTING THE COMMUNITY TO PROTECT ITS MARINE RESOURCES FROM UNSUSTAINABLE MINING INTER ESTS. IN SEPTEMBER, CHILE'S SUPREME COURT RULED IN OUR FAVOR TO RETURN THE CASE AGAINST TH E DOMINGA DESALINIZATION AND PORT PROJECT TO THE ENVIRONMENTAL COURT TO REVIEW THE GOVERNMENT'S DENIAL OF A PERMIT FOR THE PROJECT. OCEANA HAS LONGSTANDING STRONG RELATIONSHIPS WIT H THE LOCAL COMMUNITY AND ARTISANAL FISHERS BECAUSE OF OUR SUCCESSFUL CAMPAIGN TO DEFEAT A COAL POWER PLANT THERE IN 2010, AND THE DRAWN OUT LEGAL BATTLE OVER THE DOMINGA PROJECT H AS BROADENED AND DEEPENED THIS BASE OF SUPPORT. ALTHOUGH THERE ARE VOCAL COMMUNITY MEMBERS WHO SUPPORT THE ENVIRONMENTALLY DESTRUCTIVE DEVELOPMENT THAT DOMINGA WANTS, THE HUMBOLDT ALLIANCE, WHICH WE HELPED BUILD, NOW HAS ABOUT 95 ORGANIZATIONS WORKING TO DEFEAT DOMINGA, ESTABLISH AN MPA THERE AND STRENGTHEN SUSTAINABLE FISHING AND TOURISM IN LA HIGUERA. TORT EL: BASED ON THE RESULTS OF OUR APRIL WORKSHOP WITH THE TORTEL COMMUNITY ON THE RICH BIODI VERSITY OF THE AREA AND THEIR VISON FOR THE MANAGEMENT OF THEIR MARINE RESOURCES, WE HAVE CONTINUED TO SUPPORT THE COMMUNITY AS THEY DEVELOP A MANAGEMENT PLAN FOR THE NEW MPA. KATA LALIXAR: WE COMPLETED THE SCIENTIFIC DOCUMENTATION FOR THE PROPOSAL FOR ADDITIONAL PROTECT IONS IN PATAGONIA FOR KATALALIXAR, INCLUDING BOTH THE MARINE AND TERRESTRIAL COMPONENTS WH ICH WE OVERSAW AT THE REQUEST</p>

## 990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART III, LINE 4A, PROGRAM SERVICE ACCOMPLISHMENTS, CONT:	<p>OF THE GOVERNMENT. THE RICH BIODIVERSITY WE FOUND (IDENTIFYING ABOUT 300 MARINE SPECIES) SUPPORT RAISING THE AREA'S DESIGNATION FROM A NATURAL RESERVE TO A MARINE PARK, THE STRONGEST DESIGNATION FOR PROTECTION IN CHILE. OUR GOAL IS TO SUBMIT THIS PROPOSAL, TOGETHER WITH THE LOCAL INDIGENOUS COMMUNITIES, TO CONAF (THE CHILEAN PROTECTED AREA SERVICE) EARLY IN 2020 AND TO ACHIEVE AN MPA THERE BY THE END OF 2020. ALSO IN PATAGONIA, WE EXPECT THE GOVERNMENT TO FINALIZE A MANAGEMENT PLAN FOR THE MPA THERE BY MID-2020 IN ACCORDANCE WITH OUR TIMELINE. PROTECT COMMON HAKE AND JACK MACKEREL WE CONTINUED TO SUPPORT VIGOROUS IMPLEMENTATION OF THE MAJOR IUU FISHING LAW THAT WE WON AT THE BEGINNING OF 2019. CHILE'S VESSEL MONITORING SYSTEM (VMS) DATA IS NOW AVAILABLE ON GLOBAL FISHING WATCH. THIS ACHIEVEMENT IS A MILESTONE IN OUR CAMPAIGN TO GET BETTER MANAGEMENT FOR COMMON HAKE, WHICH SUFFERS FROM SIGNIFICANT EXPLOITATION IN AREAS OF NATIONAL JURISDICTION AND IN THE HIGH SEAS. OUR CHILE OFFICE HAS AN EXCELLENT WORKING RELATIONSHIP WITH THE SPRFMO WHICH REGULATES HIGH SEAS AND CHILE'S HAKE AND JACK MACKEREL FISHERIES. WE ALSO DEVELOPED AND PRESENTED A MAJOR TRANSSHIPMENT CASE INVOLVING THE BIGGEST FACTORY VESSEL IN THE WORLD, THE VLADIVOSTOK, TO THE SPRFMO AND GOT THEM TO LEVY SANCTIONS AGAINST THE THREE COUNTRIES INVOLVED. THIS IS THE FIRST TIME A NON-MEMBER COUNTRY, IN THIS CASE OCEANA, HAS DEVELOPED A CASE THAT WAS HEARD BY THE SPRFMO. PROTECT BROWN KELP TO GATHER MOMENTUM FOR OUR CAMPAIGN AND THE BEST THINKING IN THE COUNTRY, WE ORGANIZED AND HELD THE FIRST-EVER SEMINAR ON THE KELP FISHERY IN CHILE, IN CONJUNCTION WITH AND UNIVERSIDAD CATOLICA. EXPERTS FROM AROUND THE COUNTRY GATHERED IN AUGUST TO DISCUSS CURRENT SCIENCE AND POLICY, IDENTIFY GAPS AND DISCUSS WHY CURRENT MANAGEMENT PLANS ARE NOT WORKING. WE ALSO HIRED ACUAIM, A TECHNOLOGY-BASED PROBLEM-SOLVING CONSULTING FIRM, TO IDENTIFY THE MAIN INFORMATION GAPS FOR THE DESIGN, IMPLEMENTATION AND MANAGEMENT OF A CONSERVATION AND CULTIVATION PROGRAM FOR THE SUSTAINABLE DEVELOPMENT OF THE MACROALGAE INDUSTRY AND THE COMMUNITIES AROUND IT, INCLUDING AN ANALYSIS OF THE SUPPLY CHAIN FOR KELP. BASED ON ACUAIM'S REPORT AND WITH INPUT FROM THE EXPERT COMMUNITY WE HAVE GENERATED, WE WILL DEVELOP A SUSTAINABLE MANAGEMENT PLAN FOR KELP IN 2020. REDUCE ANTIBIOTIC USE IN SALMON AQUACULTURE IN 2019, WE ACHIEVED OUR GOAL OF DECREASING THE AMOUNT OF ANTIBIOTICS USED PER UNIT OF FARMED SALMON IN CHILE BY 50 PERCENT FROM 2012 LEVELS. HOWEVER, WE DID NOT ACHIEVE OUR GOAL OF REDUCING THE TOTAL AMOUNT OF SALMON PRODUCED. CHILE'S RULES ALLOW FOR HIGHER THAN DESIRABLE DENSITY IN SALMON PENS AND SALMON COMPANIES HAVE BEEN MISREPORTING THE RESULTING MORTALITY RATES. WE ARE CAMPAIGNING TO REQUIRE THE GOVERNMENT TO "DOUBLE-CHECK" REPORTED MORTALITY TO CURTAIL THIS ABUSE AND ALSO TO EXTEND A MORATORIUM ON NEW SALMON CONCESSIONS IN SOUTHERN CHILE FOR ANOTHER FIVE YEARS. EUROPE ILLEGAL FISHING IN A STEPFORWARD FOR TRANSPARENCY, THE E</p>

**990 Schedule O, Supplemental Information**

Return Reference	Explanation
<p>FORM 990, PART III, LINE 4A, PROGRAM SERVICE ACCOMPLISHMENTS, CON'T:</p>	<p>UROPEAN COMMISSION HAS NOW MADE PUBLIC ITS LISTS OF AUTHORIZATIONS INDICATING WHERE AND WH EN EU VESSELS ARE AUTHORIZED TO FISH IN EXTERNAL WATERS. THE INFORMATION WAS RELEASED IN A PRIL 2019 ON THE EUROPEAN COMMISSION WEBSITE. THE COMMISSION HAS ALSO PROMISED THAT A FINA L AND MORE EASILY ACCESSIBLE DATABASE WILL BE IMPLEMENTED BY THE END OF 2021. THE GENERAL FISHERIES COMMISSION FOR THE MEDITERRANEAN (GFCM) ADOPTED IN ITS NOVEMBER MEETING THE MAND ATORY DISCLOSURE OF FISHING ACCESS AGREEMENTS IN WATERS OF THIRD COUNTRIES AND STRENGTHENI NG THE IUU VESSEL LIST (BY ADDING A REQUIREMENT FOR COUNTRIES TO ENSURE THAT THEIR CITIZEN S ARE NOT ENGAGED IN IUU FISHING OR PROVIDE SERVICES TO IUU VESSELS). THESE MEASURES WERE PROPOSED BY OCEANA AND ENDORSED BY THE EU. IN SEPTEMBER, AND TOGETHER WITH THE EU IUU COAL ITION, OCEANA LAUNCHED AN ADVOCACY TOOLAN ONLINE MAP THAT PROVIDES LINKS TO REPORTS AND ME DIA COVERAGE WHICH UNVEIL WEAKNESSES OR FAILURES IN FISHERIES CONTROL WITHIN EU MEMBER STA TES. THIS MAP WILL BE A 'LIVE TOOL' TO IMPROVE MEMBER STATE EFFORTS TO TACKLE IUU FISHING, AND TO PUT PRESSURE ON THE COMMISSION TO ACT AGAINST MEMBER STATES THAT FAIL TO IMPLEMENT REQUIRED FISHERIES CONTROL SYSTEMS. FOLLOWING OCEANA'S ALERT IN FEBRUARY, THE MARINE MANA GEMENT ORGANISATION - THE RELEVANT ENFORCEMENT AUTHORITY IN THE UK - TOOK ACTION TO CANCEL THE INSURANCE CONTRACT OF THE 'ATLANTIC WIND' - AN INTERNATIONALLY LISTED IUU FISHING VES SEL FOUND ON FIVE REGIONAL FISHERY MANAGEMENT ORGANIZATIONS' IUU VESSEL LISTS. WE WILL CON TINUE SCREENING FOR SIMILAR CASES AS TIME ALLOWS. IN JUNE, OCEANA WAS GIVEN AN AWARD BY TH E GENERAL FISHERIES COMMISSION FOR THE MEDITERRANEAN, IN RECOGNITION OF OUR WORK WITH THE GLOBAL INSURANCE SECTOR IN EFFORTS TO COMBAT IUU FISHING. IN SEPTEMBER, OCEANA ATTENDED A MARINE MANAGEMENT ORGANISATION EVENT TO INFORM INSURERS OF THEIR LEGAL OBLIGATIONS REGARDI NG IUU. OCEANA WAS ALSO INVITED TO SPEAK AT THE ANNUAL MEETING OF THE INTERNATIONAL UNION OF MARINE INSURANCE - THE WORLD'S LARGEST INTERNATIONAL GATHERING OF MARINE INSURERS - ABO UT IUU FISHING AND THE ROLE THAT THE INSURANCE SECTOR CAN HAVE IN TRANSFORMING OCEAN GOVER NANCE. OUR PRESENTATION WAS WELL RECEIVED, AND MANY NEW CONTACTS WERE MADE WITH INSURERS W HO ARE EAGER TO LEARN MORE ABOUT OCEANA AND FIND OUT HOW THEY CAN ENGAGE WITH US ON THE IS SUES DISCUSSED.</p>

## 990 Schedule O, Other Information

Return Reference	Explanation
FORM 990, PART III, LINE 4C, PROGRAM SERVICE ACCOMPLISHMENTS, CONT:	<p>OCEANA'S WAVEMAKERS, FACEBOOK/TWITTER/INSTAGRAM FOLLOWERS TOOK ACTION FOR OCEANA 27 MILLION TIMES, HANDILY BEATING THE 2019 GOAL OF 15 MILLION ACTIONS. PR AND MEDIA WE PUBLISHED 18 BLOGS AND HAD 174 THOUGHT LEADERS SHARE OUR DIGITAL CONTENT. EXCEEDED OUR 2019 GOAL OF 8, 167 STRATEGIC MEDIA HITS. WE PUBLISHED FIVE CEO NOTES. WE EARNED OVER \$19 MILLION IN PLACEMENT VALUE, BEATING OUR 2019 GOAL \$2.5 MILLION. WE PUBLISHED THE 2018-2019 ANNUAL REPORT AND THREE MAGAZINES. PUBLICATIONS OCEANA PUBLISHED 40 REPORTS IN 2019: UNITED STATES CASTING A WIDER NET: MORE ACTION NEEDED TO STOP SEAFOOD FRAUD IN THE UNITED STATES (MARCH 2019) DIRTY DRILLING: TRUMP ADMINISTRATION PROPOSALS WEAKEN KEY SAFETY PROTECTIONS AND RADICALLY EXPAND OFFSHORE DRILLING (APRIL 2019) ILLEGAL FISHING AND HUMAN RIGHTS ABUSES AT SEA (JUNE 2019) LAST CHANCE FOR SURVIVAL FOR NORTH ATLANTIC RIGHT WHALES (SEPTEMBER 2019) EUROPE RESPONSIBLE SOURCING RECOMMENDATIONS FOR THE SPANISH SEAFOOD SUPPLY CHAIN (FEBRUARY 2019) PROTECTING THE NORTH SEA: BROWN BANK (MAY 2019) TOWARDS THE CREATION OF A MARINE PROTECTED AREA IN THE AEOLIAN ISLANDS (MAY 2019) PROTECTION BEYOND BORDERS: AN OPPORTUNITY FOR THE QUARK (DECEMBER 2019) PROTECTING THE NORTH SEA: CLEAVER BANK (DECEMBER 2019) PROTECTING THE NORTH SEA: HOLDERNESS (DECEMBER 2019) PROTECTING THE NORTH SEA: NEW RESEARCH FOR BIODIVERSITY RECOVERY (DECEMBER 2019) BRAZIL DEMYSTIFYING MONITORING: THE ROLE OF CIVIL SOCIETY IN GENERATING DATA ON FISHING ACTIVITY (MARCH 2019) ARTISANAL MULLET FISHING IN THE MUNICIPALITY OF LAGUNA, SANTA CATARINA, WITH THE USE OF RINGED GILLNETS IN THE 2018 HARVEST (MARCH 2019) SPATIAL DYNAMICS OF THE RINGED GILLNET FLEET DURING THE MULLET HARVEST IN 2018 (MARCH 2019) EVALUATION OF THE MORPHOLOGICAL AND MERISTIC VARIABILITY OF THE MULLET LANDED IN THE SOUTHEASTERN AND SOUTHERN REGIONS OF BRAZIL AS A TOOL FOR THE IDENTIFICATION OF POPULATION SUBUNITS (MARCH 2019) CATCH QUOTAS APPLIED TO MULLET FISHING A CRITICAL EVALUATION OF THE 2018 HARVEST (MARCH 2019) SIZE STRUCTURE OF MULLET CAPTURED BY THE RINGED GILLNET FLEET AND ITS RELATIONSHIP WITH THE DIFFERENT MESH SIZES USED (MARCH 2019) CANADA COMMENTS ON THE DRAFT NATIONAL FISHERY MONITORING POLICY (FEBRUARY 2019) COMMENTS ON THE DFO DOCUMENT FOR CONSULTATION: PROPOSED ELEMENTS OF A REGULATION TO LIST MAJOR FISH STOCKS AND TO DESCRIBE REQUIREMENTS FOR FISH STOCK REBUILDING PLANS (FEBRUARY 2019) REBUILDING CANADA'S FISHERIES: SUBMISSION TO THE SENATE STANDING COMMITTEE ON FISHERIES AND OCEANS (MAY 2019) THE IMPACT OF WIDESPREAD SEAFOOD MISLABELING ON CANADIANS: PRESENTATION TO THE HOUSE OF COMMONS STANDING COMMITTEE ON FISHERIES AND OCEANS (MAY 2019) ECONOMIC AND SOCIAL BENEFITS OF FISHERIES REBUILDING (MAY 2019) OCEANS OF OPPORTUNITY: THE ECONOMIC CASE FOR REBUILDING NORTHERN COD (MAY 2019) THE LAST 400: STRATEGIES FOR SAVING NORTH ATLANTIC RIGHT WHALES IN CANADA (SEPTEMBER 2019) MISLABELED: MONTREAL INVESTIGATION RESULTS AND HOW TO FIX CANADA'S SEAFOOD FRAUD PROBLEM (OCTOBER)</p>

**990 Schedule O, Supplemental Information**

Return Reference	Explanation
FORM 990, PART III, LINE 4C, PROGRAM SERVICE ACCOMPLISHMENTS, CON'T:	ER 2019) FISHERY AUDIT 2019 (NOVEMBER 2019) CHILE ANTIBIOTIC USE IN CHILEAN SALMON FARMING BETWEEN 2015 AND 2017 (APRIL 2019) PROPOSAL TO LIMIT THE GENERATION OF DISPOSABLE PRODUCTS AND REGULATE PLASTICS (MAY 2019) MEXICO GATO X LIEBRE: THE FISH ON YOUR PLATE MAY NOT BE THE ONE YOU ORDERED (MARCH 2019) MEXICO FISHERIES AUDIT (JUNE 2019) PERU GLOBAL FISHING WATCH USER MANUAL (JANUARY 2019) TECHNOLOGICAL ALTERNATIVES FOR THE CONTROL OF DISCARDS AND REDUCTION OF CATCHES OF JUVENILES IN THE ANCHOVY FISHERY (JANUARY 2019) REGIONAL GOVERNMENTS AND FISHERIES MANAGEMENT: PLANS, COMPETENCIES AND BUDGETS (JANUARY 2019) ILLEGAL PRODUCTION OF FISHMEAL IN PERU (FEBRUARY 2019) ANALYSIS OF THE NORMATIVE FRAMEWORK OF ELASMOBRANCHES WITH AN EMPHASIS ON SHARKS (FEBRUARY 2019) KEYS TO THE 5-MILE ANCHOVY FISHING DEBATE IN THE SOUTH (MARCH 2019) SHARK FIN IDENTIFICATION GUIDE IN PERU (MAY 2019) THE VALUE CHAIN OF THE SHARK FISHERY IN PERU (JUNE 2019) TOWARDS AN ECOSYSTEM FISHERIES POLICY IN PERU: ASPECTS AND CHALLENGES TO TAKE INTO ACCOUNT (AUGUST 2019) FRAUD AND REPLACEMENT IN THE SALE OF FISH IN PERU (NOVEMBER 2019)

# 990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART VI, SECTION A, LINE 2	BOARD MEMBERS, SUSAN ROCKEFELLER AND DAVID ROCKEFELLER, JR., HAVE A FAMILY RELATIONSHIP. BOARD MEMBERS HERBERT M. BEDOLFE, III AND SARA LOWELL BOTH SERVE AS EMPLOYEES AND OFFICERS OF THE MARISLA FOUNDATION. HERBERT SERVES AS THE EXECUTIVE DIRECTOR AND SARA SERVES AS THE SECRETARY/MARINE PROGRAM DIRECTOR.

**990 Schedule O, Supplemental Information**

<b>Return Reference</b>	<b>Explanation</b>
FORM 990, PART VI, SECTION B, LINE 11B	INFORMATION FOR THE FEDERAL FORM 990 COMES LARGELY FROM OCEANA'S INDEPENDENTLY AUDITED FINANCIAL STATEMENTS, WHICH CONSOLIDATES OCEANA'S ACTIVITIES ACROSS NATIONAL BOUNDARIES. THE ACCOUNTING DEPARTMENT COLLECTS THIS AND OTHER INFORMATION NEEDED FOR THE FEDERAL FORM 990, WHICH IS REVIEWED AND PRESENTED IN DRAFT FORM BY A TAX ACCOUNTING FIRM. AFTER APPROVAL BY SENIOR MANAGEMENT, THE FINAL DRAFT OF THE 990 IS PRESENTED TO THE BOARD FOR REVIEW BEFORE IT IS SUBMITTED TO THE INTERNAL REVENUE SERVICE.

**990 Schedule O, Supplemental Information**

Return Reference	Explanation
FORM 990, PART VI, SECTION B, LINE 12C	<p>EVERY YEAR, OCEANA'S OFFICERS, DIRECTORS, AND KEY EMPLOYEES FILL OUT A DISCLOSURE REPORT ASKING THEM TO DISCLOSE ANY FAMILY OR BUSINESS RELATIONSHIPS THEY MAY HAVE WITH OTHER OCEANA OFFICERS, DIRECTORS, OR KEY EMPLOYEES, AS WELL AS ANY FINANCIAL CONFLICTS OF INTEREST THEY MAY HAVE. IN ADDITION, OCEANA'S CONFLICT OF INTEREST POLICY REQUIRES ANY DIRECTOR OR OFFICER WHO IS AN INTERESTED PERSON WITH RESPECT TO A TRANSACTION OR ARRANGEMENT UNDER CONSIDERATION BY THE CORPORATION TO PROMPTLY DISCLOSE TO THE BOARD OF DIRECTORS OR THE BOARD'S DESIGNATE THE EXISTENCE AND NATURE OF HIS OR HER FINANCIAL INTEREST IN THE TRANSACTION OR ARRANGEMENT.</p> <p><b>CONFLICTS OF INTEREST REPORTING:</b> ANY ACTUAL OR POTENTIAL CONFLICT OF INTEREST MUST BE DISCLOSED TO THE CEO; PRESIDENT AND GENERAL COUNSEL; CFO; OR GLOBAL DIRECTOR OF HUMAN RESOURCES, THE EXECUTIVE COMMITTEE MEMBER IN CHARGE OF THE COUNTRY OFFICE OR DEPARTMENT; OR THE OFFICE ADMINISTRATOR FOR THE COUNTRY OFFICE. THIS INCLUDES ACTUAL OR POTENTIAL CONFLICTS INVOLVING BUSINESS OR FINANCIAL INTEREST, FAMILY RELATIONSHIPS, OR SEXUAL/ROMANTIC RELATIONSHIPS. THE CFO WILL DETERMINE WHETHER ANY STEPS MUST BE TAKEN TO AVOID AN APPEARANCE OR EXISTENCE OF A CONFLICT OF INTEREST OR THE CREATION OF AN ENVIRONMENT THAT OTHERS IN THE WORKPLACE MIGHT REASONABLY FIND TO BE UNPROFESSIONAL OR INAPPROPRIATE. SUCH STEPS, DEPENDING ON THE NATURE OF THE CONFLICT OF INTEREST, MIGHT INCLUDE, BUT ARE NOT LIMITED TO, DIVESTITURE OF ADVERSE INTERESTS, RECUSAL FROM CERTAIN DECISIONS, TRANSFER OF ONE OF THE EMPLOYEES TO ANOTHER DEPARTMENT (IF A POSITION IS AVAILABLE), CHANGING THE MANAGER FOR ONE OF THE EMPLOYEES, OR, WHEN OTHER OPTIONS ARE NOT FEASIBLE, THE TERMINATION OF EMPLOYMENT OF ONE OF THE EMPLOYEES. EMPLOYEES WHO WISH TO PROVIDE SERVICES TO OR FOR THE BENEFIT OF ANY ENTITY OUTSIDE OCEANA MUST DISCLOSE SUCH PROPOSED ACTIVITY TO OCEANA, WHICH WILL MAKE APPROPRIATE DETERMINATIONS IN ACCORDANCE WITH THE ORGANIZATION'S GLOBAL CODE OF ETHICS.</p> <p><b>FAMILY RELATIONSHIPS (NEPOTISM):</b> OCEANA WILL NOT ALLOW A SUPERVISOR/SUBORDINATE RELATIONSHIP TO EXIST BETWEEN FAMILY RELATIVES.</p> <p><b>SEXUAL/ROMANTIC RELATIONSHIPS:</b> ROMANTIC RELATIONSHIPS BETWEEN EMPLOYEES THAT CONSTITUTE AN ACTUAL OR REASONABLY-PERCEIVED CONFLICT OF INTEREST ARE PROHIBITED. FORM 990, PART VI, SECTION B, LINE 13</p> <p><b>WHISTLEBLOWING AND REPORTING VIOLATIONS:</b> OCEANA NEEDS AND EXPECTS THE SUPPORT AND COOPERATION OF ITS EMPLOYEES TO ENFORCE ITS POLICIES. EMPLOYEES WHO HAVE EXPERIENCED, OBSERVED, OR LEARNED ABOUT CONDUCT THEY BELIEVE IS CONTRARY TO OCEANA'S POLICES OR CODE OF ETHICS MUST REPORT SUCH VIOLATIONS (OR POTENTIAL OR SUSPECTED VIOLATIONS). OCEANA PROVIDES TWO WAYS TO REPORT VIOLATIONS. FIRST, VIOLATIONS MAY BE REPORTED THROUGH A REPORTING SYSTEM THAT OCEANA HAS SET UP THROUGH AN ONLINE WEB SITE OR BY CALLING THE PHONE NUMBER LISTED FOR EACH COUNTRY ON THAT WEBSITE. THE SITE IS CONFIDENTIAL, EASY TO USE, AND ALWAYS AVAILABLE. EMPLOYEES HAVE THE OPTION TO DISCLOSE THEIR IDENTITY OR MAKE A REPORT AN</p>

**990 Schedule O, Supplemental Information**

Return Reference	Explanation
<p>FORM 990, PART VI, SECTION B, LINE 12C</p>	<p>ONYMOUSLY; HOWEVER, DISCLOSING IDENTITY IS STRONGLY ENCOURAGED TO ENABLE OCEANA TO CONDUCT A THOROUGH INVESTIGATION, ESPECIALLY IN THE CASE OF A POLICY THAT PROTECTS INDIVIDUALS (FOR EXAMPLE, CONCERNING SEXUAL HARASSMENT OR DISCRIMINATION). ANY REPORT THAT IMPLICATES THE CEO; PRESIDENT AND GENERAL COUNSEL; OR CFO WILL BE FORWARDED BY THE THIRD PARTY ADMINISTRATOR OF THE WEBSITE TO THE CHAIR, VICE CHAIR AND TREASURER OF OCEANA'S BOARD OF DIRECTORS. SECOND, VIOLATIONS MAY BE REPORTED TO THE APPROPRIATE STAFF PERSON, AS FOLLOWS. THE VIOLATION MUST BE REPORTED TO THE CEO; PRESIDENT AND GENERAL COUNSEL; CFO; OR GLOBAL DIRECTOR OF HUMAN RESOURCES, IF THE VIOLATION INVOLVES ONE OF THE FOLLOWING ISSUES: -SEXUAL OR OTHER HARASSMENT -UNLAWFUL DISCRIMINATION -FINANCIAL MISCONDUCT OR MISREPORTING -BRIBERY OR CORRUPTION -RETALIATION FOR REPORTING ANY VIOLATION IF THE VIOLATION INVOLVES ANY OTHER ISSUE, THE REPORT MUST BE MADE TO ANY OF THE FOLLOWING: CEO; PRESIDENT AND GENERAL COUNSEL; CFO; OR GLOBAL DIRECTOR OF HUMAN RESOURCES; THE EXECUTIVE COMMITTEE MEMBER IN CHARGE OF THE COUNTRY OFFICE OR DEPARTMENT; OR THE OFFICE ADMINISTRATOR FOR THE COUNTRY OFFICE. INVESTIGATION: WHEN AN EMPLOYEE REPORTS A VIOLATION OF THIS CODE, OCEANA WILL INVESTIGATE AND TAKE CORRECTIVE ACTION AS WARRANTED UNDER THE CIRCUMSTANCES. THE STEPS TO BE TAKEN DURING THE INVESTIGATION ARE NOT FIXED IN ADVANCE (EXCEPT AS REQUIRED BY APPLICABLE LAW), BUT INSTEAD WILL VARY DEPENDING UPON THE NATURE OF THE ALLEGATIONS. SUCH INVESTIGATION WILL REMAIN CONFIDENTIAL TO THE EXTENT CONSISTENT WITH EFFECTIVELY UNDERSTANDING THE FACTS AND TAKING CORRECTIVE MEASURES. RESOLVING THE MATTER: IF OCEANA DETERMINES THAT A VIOLATION HAS OCCURRED, THE COMPANY WILL TAKE APPROPRIATE REMEDIAL ACTION TO CORRECT THE SITUATION. ANY EMPLOYEE DETERMINED BY OCEANA TO BE RESPONSIBLE FOR A VIOLATION WILL BE SUBJECT TO APPROPRIATE DISCIPLINARY ACTION, SUBJECT TO APPLICABLE LAW, UP TO AND INCLUDING TERMINATION. IT IS A CONDITION OF EMPLOYMENT THAT EMPLOYEES COOPERATE WITH ALL OCEANA INVESTIGATIONS. IN ADDITION, OCEANA MAY CHOOSE TO TAKE ACTION EVEN IF IT CONCLUDES THAT THE ALLEGED CONDUCT NEITHER VIOLATES OCEANA'S GLOBAL CODE OF ETHICS NOR THE LAW, BUT SUCH CONDUCT WAS IMPERMISSIBLY INTERFERING WITH THE WORK ENVIRONMENT. NO RETALIATION: IT IS A VIOLATION TO RETALIATE AGAINST AN INDIVIDUAL WHO REPORTS INCIDENTS THAT HE OR SHE BELIEVES TO BE VIOLATIONS OF OCEANA'S GLOBAL CODE OF ETHICS, OR WHO COOPERATES IN AN INVESTIGATION OF A VIOLATION. RETALIATION IS A SERIOUS VIOLATION AND SHOULD BE REPORTED IMMEDIATELY. THE REPORT AND INVESTIGATION OF ALLEGATIONS OF RETALIATION WILL FOLLOW THE PROCEDURES SET FORTH. ANY PERSON FOUND TO HAVE RETALIATED AGAINST AN INDIVIDUAL FOR REPORTING DISCRIMINATORY HARASSMENT OR PARTICIPATING IN AN INVESTIGATION OF ALLEGATIONS OF SUCH CONDUCT WILL BE SUBJECT TO APPROPRIATE DISCIPLINARY ACTION.</p>

**990 Schedule O, Supplemental Information**

<b>Return Reference</b>	<b>Explanation</b>
FORM 990, PART VI, SECTION B, LINE 15	<p>OCEANA'S PROCESS FOR DETERMINING COMPENSATION OF ITS CEO, OFFICERS, AND KEY EMPLOYEES IS AS FOLLOWS: ANNUALLY, OCEANA PROVIDES THE BOARD DIRECTORS WITH DATA FROM MULTIPLE SOURCES ON COMPARABLE SALARIES AND BENEFITS IN OTHER NONPROFIT ORGANIZATIONS, ESPECIALLY BUT NOT LIMITED TO THOSE IN THE CONSERVATION FIELD, FOR OCEANA'S CEO. THE BOARD REVIEWS AND DISCUSSES THE COMPENSATION DATA AS WELL AS THE CEO'S ACHIEVEMENTS FOR THE PRIOR YEAR AS WELL AS HIS PROPOSED GOALS FOR THE NEXT YEAR BEFORE TAKING A DECISION ON ANY ADJUSTMENTS TO THE CEO COMPENSATION OF BENEFITS. OCEANA PROVIDES THE FINANCE AND AUDIT COMMITTEE OF THE BOARD DIRECTORS WITH DATA FROM MULTIPLE SOURCES ON COMPARABLE SALARIES AND BENEFITS IN OTHER NONPROFIT ORGANIZATIONS, ESPECIALLY BUT NOT LIMITED TO THOSE IN THE CONSERVATION FIELD, FOR OCEANA'S OFFICERS, TOP MANAGEMENT, AND KEY EMPLOYEES ("THE EXECUTIVE TEAM", OR "EC). THE COMMITTEE DISCUSSES EACH OF THE EC MEMBER'S ACHIEVEMENTS FOR THE PRIOR YEAR AS WELL AS HER/HIS PROPOSED GOALS FOR THE NEXT YEAR. THE COMMITTEE REVIEWS THESE DATA TO DETERMINE IF THE COMPENSATION IS REASONABLE AND THAT OCEANA HAS NOT ENGAGED IN AN EXCESS BENEFIT TRANSACTION WITH ANY INDIVIDUAL IN A POSITION TO SUBSTANTIALLY INFLUENCE THE ORGANIZATION'S AFFAIRS. THE BOARD OF DIRECTORS AND AUDIT AND FINANCE COMMITTEE DISCUSSIONS ARE DOCUMENTED IN THE MINUTES OF THE RESPECTIVE BODIES. OCEANA REGULARLY CONDUCTS COMPENSATION REVIEWS, MOST RECENTLY IN OCTOBER 2019.</p>

**990 Schedule O, Supplemental Information**

<b>Return Reference</b>	<b>Explanation</b>
FORM 990, PART VI, SECTION C, LINE 19	OCEANA POSTS ANNUAL REPORTS, ITS AUDITED FINANCIAL STATEMENTS, AND THE PUBLIC DISCLOSURE COPY OF ITS IRS FORM 990 ON ITS WEBSITE, WWW.OCEANA.ORG. IT ALSO SHARES RELEVANT INFORMATION WITH INDEPENDENT WATCHDOG ORGANIZATIONS SUCH AS GUIDESTAR, CHARITY NAVIGATOR AND THE BETTER BUSINESS BUREAU TO ALLOW THESE ORGANIZATIONS INDEPENDENT ASSESSMENT OF OCEANA'S ACCOUNTABILITY AND TRANSPARENCY. OCEANA'S ARTICLES OF INCORPORATION, BY-LAWS AND FORM 1023 ARE AVAILABLE ON OCEANA'S WEBSITE AND TO MEMBERS OF THE PUBLIC UPON WRITTEN REQUEST. OCEANA'S ARTICLES OF INCORPORATION, AS WELL AS A CERTIFICATE OF GOOD STANDING, ARE ALSO INDEPENDENTLY AVAILABLE THROUGH THE DEPARTMENT OF CONSUMER AND REGULATORY AFFAIRS FOR THE DISTRICT OF COLUMBIA (WHERE OCEANA, INC. IS INCORPORATED), THOUGH THERE IS A FEE FOR THIS SERVICE.

**990 Schedule O, Supplemental Information**

<b>Return Reference</b>	<b>Explanation</b>
FORM 990, PART XI, LINE 9:	ACQUISITION OF ASSETS FROM DISSOLVED AFFILIATE 117,468.

**990 Schedule O, Supplemental Information**

<b>Return Reference</b>	<b>Explanation</b>
FORM 990	THIS RETURN PRESENTS CONSOLIDATED FINANCIAL STATEMENTS FOR OCEANA, INC. AND ITS NON-U.S. AFFILIATES. OCEANA HAS OFFICES IN SPAIN, BRAZIL, DENMARK, BELGIUM, MEXICO, PHILIPPINES, BELIZE, LONDON, CHILE AND PERU FOR THE PURPOSE OF BUILDING AN INTERNATIONAL MOVEMENT TO SAVE THE OCEAN THROUGH PUBLIC POLICY ADVOCACY, SCIENCE AND ECONOMICS, LEGAL ACTION, GRASSROOTS MOBILIZATION, AND PUBLIC EDUCATION. THE OPERATIONS IN SPAIN, BELIZE, BRAZIL, MEXICO, SWITZERLAND, AND THE UNITED KINGDOM ARE INCORPORATED AS INDEPENDENT ENTITIES IN THOSE COUNTRIES UNDER LOCAL LAW. HOWEVER, THESE ENTITIES ARE DEPENDENT ON OCEANA FOR FUNDING, PARTICIPATE IN OCEANA ACTIVITIES AND DECISION-MAKING, AND CARRY OUT THE GENERAL MISSION AND INTERNATIONAL ACTIVITIES OF OCEANA.

**SCHEDULE R  
(Form 990)**

**Related Organizations and Unrelated Partnerships**

OMB No. 1545-0047

**2019**

**Open to Public  
Inspection**

▶ **Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.**  
▶ **Attach to Form 990.**  
▶ **Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.**

Department of the Treasury  
Internal Revenue Service

Name of the organization  
OCEANA INC

**Employer identification number**

51-0401308

**Part I Identification of Disregarded Entities.** Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity

**Part II Identification of Related Tax-Exempt Organizations.** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?	
						Yes	No
<b>(1)</b> OCEANA ADVOCACY RESOURCES INC 1025 CONNECTICUT AVENUE NW 200  WASHINGTON, DC 20036 31-1814181	LOBBYING	DC	501(C)(4)	N/A	OCEANA INC	Yes	
<b>(2)</b> FUNDACION OCEANA GRAN VIA 59 9TH FLOOR MADRID 28013 SP	PROTECTING THE WORLD'S OCEANS TO SUSTAIN THE CIRCLE OF LIFE.	SP	N/A	N/A	OCEANA INC	Yes	
<b>(3)</b> OCEANA UK 2-6 CANNON STREET EC4M 6YH LONDON EC4M 6YH UK	PROTECTING THE WORLD'S OCEANS TO SUSTAIN THE CIRCLE OF LIFE.	UK	N/A	N/A	OCEANA INC	Yes	
<b>(4)</b> OCEANA IN BELIZE 2385 HIBISCUS STREET BELMOPAN BH	PROTECTING THE WORLD'S OCEANS TO SUSTAIN THE CIRCLE OF LIFE.	BH	N/A	N/A	OCEANA INC	Yes	
<b>(5)</b> FRIENDS OF OCEANA 58 AVENUE LOUIS-CASI CASE POSTALE, GENEVA SZ	PROTECTING THE WORLD'S OCEANS TO SUSTAIN THE CIRCLE OF LIFE.	SZ	N/A	N/A	OCEANA INC	Yes	
<b>(6)</b> OCEANA BRASIL 1025 CONNECTICUT AVENUE NW 200  WASHINGTON, DC 20036	PROTECTING THE WORLD'S OCEANS TO SUSTAIN THE CIRCLE OF LIFE	BR	N/A	N/A	OCEANA INC	Yes	
<b>(7)</b> OCEANA MEXICO POSEIDON 39 COL CRDITO CONSTRUCTO 3940 MX	PROTECTING THE WORLD'S OCEANS TO SUSTAIN THE CIRCLE OF LIFE.	MX	N/A	N/A	OCEANA INC	Yes	

**Part III Identification of Related Organizations Taxable as a Partnership.** Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a partnership during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income(related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	

**Part IV Identification of Related Organizations Taxable as a Corporation or Trust.** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512(b)(13) controlled entity?	
								Yes	No

**Part V Transactions With Related Organizations.** Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

**Note.** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

<b>1</b> During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?		<b>Yes</b>	<b>No</b>
<b>a</b>	Receipt of <b>(i)</b> interest, <b>(ii)</b> annuities, <b>(iii)</b> royalties, or <b>(iv)</b> rent from a controlled entity . . . . .		<b>No</b>
<b>b</b>	Gift, grant, or capital contribution to related organization(s) . . . . .	<b>Yes</b>	
<b>c</b>	Gift, grant, or capital contribution from related organization(s) . . . . .		<b>No</b>
<b>d</b>	Loans or loan guarantees to or for related organization(s) . . . . .		<b>No</b>
<b>e</b>	Loans or loan guarantees by related organization(s) . . . . .		<b>No</b>
<b>f</b>	Dividends from related organization(s) . . . . .		<b>No</b>
<b>g</b>	Sale of assets to related organization(s) . . . . .		<b>No</b>
<b>h</b>	Purchase of assets from related organization(s) . . . . .		<b>No</b>
<b>i</b>	Exchange of assets with related organization(s) . . . . .		<b>No</b>
<b>j</b>	Lease of facilities, equipment, or other assets to related organization(s) . . . . .		<b>No</b>
<b>k</b>	Lease of facilities, equipment, or other assets from related organization(s) . . . . .		<b>No</b>
<b>l</b>	Performance of services or membership or fundraising solicitations for related organization(s) . . . . .	<b>Yes</b>	
<b>m</b>	Performance of services or membership or fundraising solicitations by related organization(s) . . . . .		<b>No</b>
<b>n</b>	Sharing of facilities, equipment, mailing lists, or other assets with related organization(s) . . . . .	<b>Yes</b>	
<b>o</b>	Sharing of paid employees with related organization(s) . . . . .	<b>Yes</b>	
<b>p</b>	Reimbursement paid to related organization(s) for expenses . . . . .		<b>No</b>
<b>q</b>	Reimbursement paid by related organization(s) for expenses . . . . .		<b>No</b>
<b>r</b>	Other transfer of cash or property to related organization(s) . . . . .		<b>No</b>
<b>s</b>	Other transfer of cash or property from related organization(s) . . . . .		<b>No</b>

**2** If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
<b>(1)</b> FUNDACION OCEANA	B	3,213,448	COST
<b>(2)</b> OCEANA BRASIL	B	1,290,219	COST
<b>(3)</b> OCEANA IN BELIZE	B	639,273	COST
<b>(4)</b> OCEANA MEXICO	B	997,716	COST



**Part VII**    **Supplemental Information**

Provide additional information for responses to questions on Schedule R. (see instructions).

<b>Return Reference</b>	<b>Explanation</b>