

EXTENDED TO NOVEMBER 16, 2020

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Go to www.irs.gov/Form990PF for instructions and the latest information

OMB No 1545-0047

2019

Open to Public Inspection

Form 990-PF

Department of the Treasury
Internal Revenue Service

For calendar year 2019 or tax year beginning

, and ending

Name of foundation

A Employer identification number

GLADYS AND ROLAND HARRIMAN FOUNDATION

51-0193915

Number and street (or P.O. box number if mail is not delivered to street address)

Room/suite

C/O BBH & CO, 140 BROADWAY-4TH FL.

B Telephone number

(212) 493-8185

City or town, state or province, country, and ZIP or foreign postal code

NEW YORK, NY 10005

C If exemption application is pending, check here ☐

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeD 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationE If private foundation status was terminated under section 507(b)(1)(A), check here ☐

I Fair market value of all assets at end of year

J Accounting method: ☐ Cash ☐ Accrual

(from Part II, col. (c), line 16)

☒ Other (specify) **MODIFIED CASH**

\$ 146,869,906. (Part I, column (d), must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> If the foundation is not required to attach Sch B				RECEIVED IN CORRES	
3 Interest on savings and temporary cash investments				IRS-OSC-23	
4 Dividends and interest from securities		2,838,576.	2,838,576.	NOV 16 2020	STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		10,406,267.			
b Gross sales price for all assets on line 6a		15,588,313.			
7 Capital gain net income (from Part IV, line 2)			10,406,267.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		119,305.	50,727.		STATEMENT 2
12 Total Add lines 1 through 11		13,364,148.	13,295,570.		
13 Compensation of officers, directors, trustees, etc		70,300.	0.		70,300.
14 Other employee salaries and wages		115,810.	0.		115,810.
15 Pension plans, employee benefits		36,211.	0.		36,211.
16a Legal fees					
b Accounting fees		STMT 3 33,780.	0.		33,780.
c Other professional fees		STMT 4 494,365.	494,365.		0.
17 Interest					
18 Taxes		STMT 5 276,121.	107,247.		15,162.
19 Depreciation and depletion					
20 Occupancy		28,256.	0.		28,256.
21 Travel, conferences, and meetings		1,634.	0.		1,634.
22 Printing and publications		160.	0.		160.
23 Other expenses		STMT 6 501,255.	487,547.		13,708.
24 Total operating and administrative expenses Add lines 13 through 23		1,557,892.	1,089,159.		315,021.
25 Contributions, gifts, grants paid		8,231,678.			8,231,678.
26 Total expenses and disbursements. Add lines 24 and 25		9,789,570.	1,089,159.		8,546,699.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		3,574,578.			
b Net investment income (if negative, enter -0-)			12,206,411.		
c Adjusted net income (if negative, enter -0-)				N/A	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end of year amounts only		Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	2,497,622.	2,936,081.	2,936,081.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 7	43,996,429.	42,662,927.	68,775,837.
	c Investments - corporate bonds			
Liabilities	11 Investments - land, buildings, and equipment basis ▶			
	Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 8	55,358,349.	54,953,714.	70,260,343.
	14 Land, buildings, and equipment; basis ▶			
	Less: accumulated depreciation ▶			
	15 Other assets (describe STATEMENT 9)	23,389.	4,897,645.	4,897,645.
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	101,875,789.	105,450,367.	146,869,906.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
Net Assets or Fund Balances	23 Total liabilities (add lines 17 through 22)	0.	0.	
	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/>			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/>			
	26 Capital stock, trust principal, or current funds	90,563,278.	90,563,278.	
	27 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	28 Retained earnings, accumulated income, endowment, or other funds	11,312,511.	14,887,089.	
	29 Total net assets or fund balances	101,875,789.	105,450,367.	
	30 Total liabilities and net assets/fund balances	101,875,789.	105,450,367.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1 101,875,789.
2 Enter amount from Part I, line 27a	2 3,574,578.
3 Other increases not included in line 2 (itemize) ▶	3 0.
4 Add lines 1, 2, and 3	4 105,450,367.
5 Decreases not included in line 2 (itemize) ▶	5 0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6 105,450,367.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b SEE ATTACHED STATEMENTS				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a			
b			
c			
d			
e 15,588,313.		9,566,369.	10,406,267.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			10,406,267.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	10,406,267.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	8,073,305.	137,090,596.	.058890
2017	7,664,788.	129,566,551.	.059157
2016	7,084,523.	120,866,122.	.058615
2015	7,499,267.	128,598,970.	.058315
2014	7,723,593.	132,403,177.	.058334

2 Total of line 1, column (d)	2	.293311
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	.058662
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	140,750,542.
5 Multiply line 4 by line 3	5	8,256,708.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	122,064.
7 Add lines 5 and 6	7	8,378,772.
8 Enter qualifying distributions from Part XII, line 4	8	8,546,699.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

GLADYS AND ROLAND HARRIMAN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SEE ATTACHED STATEMENT BBH 1399	P	VARIOUS	VARIOUS
b	SEE ATTACHED STATEMENT BBH 1406	P	VARIOUS	VARIOUS
c	WEALTH STR. LLC ALTAROCK PNERS		VARIOUS	VARIOUS
d	WEALTH STR. GQG PTNERS EMERGING MKT		VARIOUS	VARIOUS
e				
f	WEALTH STR. LLC BARES		VARIOUS	VARIOUS
g	WEALTH STR. LLC BURGUNDY EMERGING MKT		VARIOUS	VARIOUS
h	WEALTH STR. LLC CLARKSTON CAP PTNERS		VARIOUS	VARIOUS
i	WEALTH STR. SELECT EQUITY		VARIOUS	VARIOUS
j	REAL ESTATE INC. FD II		VARIOUS	VARIOUS
k	WEALTH STR. LLC ALTAROCK PNERS		VARIOUS	VARIOUS
l	WEALTH STR. GQG PTNERS EMERGING MKT		VARIOUS	VARIOUS
m	WEALTH STR. LLC BARES		VARIOUS	VARIOUS
n	WEALTH STR. LLC BURGUNDY EMERGING MKT		VARIOUS	VARIOUS
o	WEALTH STR. LLC CLARKSTON CAP PTNERS		VARIOUS	VARIOUS

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3,050,000.		2,935,487.	114,513.
b 3,456,280.		64,037.	3,392,243.
c			124,956.
d			834.
e			
f			2,134,168.
g			68,511.
h			210,743.
i			432,654.
j			573,069.
k			60,485.
l			639.
m			23,886.
n			7,229.
o			9,029.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			114,513.
b			3,392,243.
c			124,956.
d			834.
e			0.
f			2,134,168.
g			68,511.
h			210,743.
i			432,654.
j			573,069.
k			60,485.
l			639.
m			23,886.
n			7,229.
o			9,029.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	WEALTH STR. SELECT EQUITY		VARIOUS	VARIOUS
b	CLASS ACTION LITIGATION SETTLEMENT		VARIOUS	VARIOUS
c	SEE ATTACHED STATEMENT BBH 1530	P	VARIOUS	VARIOUS
d	SEE ATTACHED STATEMENT BBH 1530	P	VARIOUS	VARIOUS
e	BBH 1530	P	VARIOUS	VARIOUS
f	CAPITAL GAINS DIVIDENDS			
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			737,012.
b			1,108.
c	1,131,611.	1,053,430.	78,181.
d	7,510,059.	5,513,415.	1,996,644.
e	583.		583.
f	439,780.		439,780.
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			737,012.
b			1,108.
c			78,181.
d			1,996,644.
e			583.
f			439,780.
g			
h			
i			
j			
k			
l			
m			
n			
o			

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	10,406,267.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	122,064.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		2	0.
3 Add lines 1 and 2		3	122,064.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		4	0.
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-		5	122,064.
6 Credits/Payments:			
a 2019 estimated tax payments and 2018 overpayment credited to 2019	6a	93,712.	
b Exempt foreign organizations - tax withheld at source	6b	0.	
c Tax paid with application for extension of time to file (Form 8868)	6c	90,000.	
d Backup withholding erroneously withheld	6d	0.	
7 Total credits and payments. Add lines 6a through 6d	7	183,712.	
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	0.	
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	61,648.	
11 Enter the amount of line 10 to be: Credited to 2020 estimated tax <input checked="" type="checkbox"/> 61,648. Refunded <input checked="" type="checkbox"/>	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation. <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers. <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions <input checked="" type="checkbox"/> NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	X	
14 The books are in care of ► <u>LOTUS WU</u> Telephone no. ► <u>212-493-8182</u> Located at ► <u>140 BROADWAY - 16TH FL., NEW YORK, NY</u> ZIP+4 ► <u>10005</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year		
16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)		
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019.)		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

☒ Yes ☐ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

5b ☒ X

Organizations relying on a current notice regarding disaster assistance, check here

☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

SEE STATEMENT 11

☒ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b ☐ ☒ X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?

☐ Yes ☒ No**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 10		70,300.	13,679.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*
3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
BROWN BROTHERS HARRIMAN & CO 140 BROADWAY, NEW YORK, NY 10005	INVESTMENT ADVISOR FEE	494,365.
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
All other program-related investments See instructions.	
Total. Add lines 1 through 3	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	139,726,920.
b	Average of monthly cash balances	1b	3,167,031.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	142,893,951.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	142,893,951.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	2,143,409.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	140,750,542.
6	Minimum investment return. Enter 5% of line 5	6	7,037,527.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	7,037,527.
2a	Tax on investment income for 2019 from Part VI, line 5	2a	122,064.
b	Income tax for 2019. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	122,064.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	6,915,463.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	6,915,463.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	6,915,463.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	8,546,699.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	8,546,699.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	122,064.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	8,424,635.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				6,915,463.
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2019:				
a From 2014	1,305,060.			
b From 2015	1,280,206.			
c From 2016	1,163,113.			
d From 2017	1,367,536.			
e From 2018	1,378,591.			
f Total of lines 3a through e	6,494,506.			
4 Qualifying distributions for 2019 from Part XII, line 4: ► \$	8,546,699.			
a Applied to 2018, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2019 distributable amount				6,915,463.
e Remaining amount distributed out of corpus	1,631,236.			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below.	8,125,742.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7	1,305,060.			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a	6,820,682.			
10 Analysis of line 9:				
a Excess from 2015	1,280,206.			
b Excess from 2016	1,163,113.			
c Excess from 2017	1,367,536.			
d Excess from 2018	1,378,591.			
e Excess from 2019	1,631,236.			

N/A

- b** Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

- b 85% of line 2a

- c Qualifying distributions from Part XII,
line 4, for each year listed

- d Amounts included in line 2c not used directly for active conduct of exempt activities

- e Qualifying distributions made directly for active conduct of exempt activities.

Subtract line 2d from line 2c

- 3 Complete 3a, b, or c for the alternative test relied upon:

- a "Assets" alternative test - enter:
- (1) Value of all assets

- (2) Value of assets qualifying under section 4942(j)(3)(B)(i)

- b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6, for each year listed

- c "Support" alternative test - enter:

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(i)(3)(B)(iii)

- (3) Largest amount of support from an exempt organization

- (4) Gross investment income

1 Information Regarding Foundation Managers:

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

- c Any submission deadlines?**

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHED STATEMENT	NONE	PUBLIC	GENERAL SUPPORT	8,231,678.
Total			▶ 3a	8,231,678.
b Approved for future payment				
SEE ATTACHED STATEMENT	NONE	PUBLIC	GENERAL SUPPORT	2,393,228.
Total			▶ 3b	2,393,228.

GLADYS & ROLAND HARRIMAN FOUNDATION

Grants Paid

January through December 2019

Cash Basis

Date	Num	Name	Name Address	Paid Amount
4000 - GRANTS				
01/17/2019	126553	AMERICAN ASSEMBLY	475 Riverside Dr New York, NY 10115-0456	30,000 00
01/17/2019	126554	AMERICAN MUSEUM OF NATURAL HISTORY	Central Park West at 79th Street New York, NY 10024-5192	15,000 00
06/19/2019	135816	AMERICAN RED CROSS	430 17th Street NW GL-31 Washington, DC 20006	400,000 00
01/17/2019	126599	AMERICAN RED CROSS	430 17th Street NW GL-31 Washington, DC 20006	5,500 00
09/09/2019	138900	AMERICAN RED CROSS	430 17th Street NW GL-31 Washington, DC 20006	100,000 00
01/17/2019	126555	AMERICAN RED CROSS IN GREATER NEW YORK	520 West 49th Street New York, NY 10019	50,000 00
12/11/2019	143518	AMERICAN RED CROSS IN GREATER NEW YORK	520 West 49th Street New York, NY 10019	250,000 00
01/17/2019	126557	AMERICAN RED CROSS IN GREATER NEW YORK	16 Community Way Topsham, ME 04086	25,000 00
01/17/2019	126556	AMERICAN RED CROSS MID COAST CHAPTER	NATIONAL DISASTER RELIEF FUND 2025 E Street NW Washington, DC 20006	100,000 00
01/17/2019	126598	AMERICAN RED CROSS NAT'L DISA RELIEF FD	404 South 8th Street Boise, ID 83702	4,500 00
08/08/2019	137696	AMERICAN RED CROSS OF GREATER IDAHO	5 Joy Street Boston, MA 02108	5,000 00
06/19/2019	135817	APPALACHIAN MOUNTAIN CLUB	2 Andrews Rd Lewiston, ME 04240	250,000 00
01/17/2019	126600	BATES COLLEGE	7009 Franklin Rd Boise, ID 83709	1,000 00
01/17/2019	126600	BISHOP KELLY FOUNDATION	1050 Fox Acres Rd Hailey, ID 83333	200,000 00
06/19/2019	135825	BLAINE COUNTY RECREATION DISTRICT	1359 Broadway Suite 800 New York, NY 10018	100,000 00
12/11/2019	143537	BOB WOODRUFF FOUNDATION	6300 College Station Brunswick, ME 04011-8463	17,000 00
01/17/2019	126558	BOWDOIN INTERNATIONAL MUSIC FESTIVAL	287 East 10th Street New York, NY 10009	225,000 00
01/17/2019	EFT	BOYS CLUB OF NEW YORK	287 East 10th Street New York, NY 10009	125,000 00
06/20/2019	EFT	BOYS CLUB OF NEW YORK	287 East 10th Street New York, NY 10009	5,000 00
01/17/2019	EFT	BOYS CLUB OF NEW YORK	287 East 10th Street New York, NY 10009	1,000 00
01/17/2019	EFT	BOYS CLUB OF NEW YORK	287 East 10th Street New York, NY 10009	10,000 00
07/26/2019	EFT	BOYS CLUB OF NEW YORK	287 East 10th Street New York, NY 10009	200,000 00
12/11/2019	143519	BROTHERHOOD/ SISTER SOL	287 East 10th Street New York, NY 10009	125,000 00
12/11/2019	143520	BURKE MOUNTAIN ACADEMY	PO Box 6421 Ketchum, ID 83340	3,000 00
01/17/2019	126605	CAMPFIRE FOUNDATION INC	101 Aspetuck Avenue New Milford, CT 06776	2,500 00
01/17/2019	126591	CANTERBURY SCHOOL	395 Hempstead Tpke West Hempstead, NY 11552	3,000 00
01/17/2019	126552	CHINESE CENTER ON LONG ISLAND, INC	395 Hempstead Tpke West Hempstead, NY 11552	500 00
01/28/2019	126955	CHINESE CENTER ON LONG ISLAND, INC	245 FIFTH AVENUE NEW YORK, NY 10016	125,000 00
06/19/2019	135826	CLASSROOM INC	245 FIFTH AVENUE NEW YORK, NY 10016	125,000 00
12/19/2019	144246	CLASSROOM INC	112 Sand Hill Rd Simsbury, CT 06070	35,000 00
06/19/2019	135827	COBB SCHOOL MONTESSORI	13 Oak Drive Hamilton, NY 13346	1,000 00
06/19/2019	135840	COLGATE UNIVERSITY	OF EASTERN CONNETICUT 147 STATE STREET NEW LONDON, CT 06320	3,000 00
04/08/2019	129969	COMMUNITY FOUNDATION OF EASTERN CONNETI	525 Americana Blvd Boise, ID 83703	15,000 00
06/19/2019	135828	CORPUS CHRISTI HOUSE, INC	525 Americana Blvd Boise, ID 83703	1,000 00
01/17/2019	126601	CORPUS CHRISTI HOUSE, INC	460 West 41st Street New York, NY 10011-5002	15,000 00
01/17/2019	126559	COVENANT HOUSE NEW YORK	112 East 106 Street New York, NY 10029	40,000 00
06/19/2019	135818	CRISTO REY NY HIGH SCHOOL	112 East 106 Street New York, NY 10029	40,000 00
12/19/2019	144245	CRISTO REY NY HIGH SCHOOL	DARTMOUTH COLLEGE 100 TUCK HALL HANOVER, NH 03755-9000	125,000 00
08/08/2019	137697	DARTMOUTH COLLEGE	364 Grove St Apt #2 Jersey City, NJ 07302	50,000 00
12/11/2019	143521	DEVELOPING ARTISTS	337 Alexander Avenue Bronx, NY 10454	75,000 00
12/11/2019	143522	EAST SIDE HOUSE SETTLEMENT	4200 54th Ave South St Petersburg, FL 33711	1,000 00
07/26/2019	?	ECKERD COLLEGE	PO Box 947 Emory, VA 24327	100,000 00
06/19/2019	135819	EMORY & HENRY COLLEGE	1500 Hempstead Turnpike East Meadow, NY 11554	25,000 00
01/17/2019	126560	EPIC LONG ISLAND	1500 Hempstead Turnpike East Meadow, NY 11554	50,000 00
12/11/2019	143523	EPIC LONG ISLAND	815 Second Avenue New York, NY 10017-4564	5,000 00
10/21/2019	141403	EPISCOPAL CHURCH FOUNDATION	100 East Old Country Rd Mineola, NY 11501	15,000 00
01/17/2019	126561	FAMILY AND CHILDREN'S ASSOCIATION		

GLADYS & ROLAND HARRIMAN FOUNDATION

Grants Paid

January through December 2019

Cash Basis

Date	Num	Name	Name Address	Paid Amount
01/17/2019	126584	FRESH AIR FUND	633 Third Avenue New York, NY 10017	25,000.00
06/19/2019	135829	FRIENDS OF HARRIMAN STATE PARK	PO Box 125 Island Park, ID 83429	90,000.00
01/17/2019	126562	FRIENDS OF IDAHO PUBLIC TELEVISION	1455 North Orchard Street Boise, ID 83706	110,000.00
01/17/2019	126563	GIRL SCOUT COUNCIL OF GREATER NY	43 West 23rd Street New York, NY 10010-4283	20,000.00
01/17/2019	126611	GOSHEN HISTORIC TRACK	44 Park Place Goshen, NY 10924	100,000.00
01/17/2019	126651	GOSHEN HISTORIC TRACK	44 Park Place Goshen, NY 10924	24,165.00
04/08/2019	129970	GOSHEN HISTORIC TRACK	44 Park Place Goshen, NY 10924	11,545.00
07/26/2019	?	GOSHEN HISTORIC TRACK	44 Park Place Goshen, NY 10924	28,500.00
08/29/2019	138345	GOSHEN HISTORIC TRACK	44 Park Place Goshen, NY 10924	80,000.00
10/11/2019	140943	GOSHEN HISTORIC TRACK	44 Park Place Goshen, NY 10924	32,005.50
01/17/2019	126564	GRACE OPPORTUNITY PROJECT	86 Fourth Ave New York, NY 100034	10,000.00
01/17/2019	126565	GRENVILLE BAKER BOYS & GIRLS CLUB	135 Forest Avenue Locust Valley, NY 11560	10,000.00
12/11/2019	143524	GRENVILLE BAKER BOYS & GIRLS CLUB	135 Forest Avenue Locust Valley, NY 11560	150,000.00
01/17/2019	126594	GUIDE DOG FOUNDATION/AMERICA'S VET DOGS	371 East Jericho Tpke Smithtown, NY 11787	5,000.00
06/20/2019	EFT	HARNESS RACING MUSEUM	240 Main St PO Box 590 Goshen, NY 10924	53,970.00
01/28/2019	EFT	HARNESS RACING MUSEUM	240 Main St PO Box 590 Goshen, NY 10924	15,456.36
08/29/2019	EFT	HARNESS RACING MUSEUM	240 Main St PO Box 590 Goshen, NY 10924	59,659.19
10/21/2019	EFT	HARNESS RACING MUSEUM	240 Main St PO Box 590 Goshen, NY 10924	20,377.12
01/17/2019	126566	HEARING HEALTH FOUNDATION	363 Seventh Avenue New York, NY 10001	15,000.00
06/19/2019	135820	HENRY'S FORK FOUNDATION	PO BOX 550 ASHTON, ID 83420	50,000.00
06/19/2019	135830	HERMITAGE	335 NORTH FRANKLIN TPKE HOHOKUS, NJ 07423	30,000.00
06/19/2019	135831	HISTORIC BRIDGES OF THE HUDSON VALLEY	PO BOX 1010 Highland, NY 12528	10,000.00
01/17/2019	126567	HOLDERNESS SCHOOL	Chapel La PO Box 1879 Plymouth, NH 03264-1879	10,000.00
01/17/2019	126606	HOLDERNESS SCHOOL	Chapel La PO Box 1879 Plymouth, NH 03264-1879	1,500.00
08/08/2019	137695	HOLDERNESS SCHOOL	Chapel La PO Box 1879 Plymouth, NH 03264-1879	150,000.00
06/19/2019	135832	HOLY CROSS MINISTRIES	860 East 4500 South Ste 204 Salt Lake City, UT 84107	40,000.00
12/11/2019	143525	HORIZONS NATIONAL	1 Park Street Norwalk, CT 06851	100,000.00
01/17/2019	126569	I GUILLARI DI PIAZZA	The Cathedral of St John the Devine 1047 Amsterdam Avenue New York, NY 10025	10,000.00
01/17/2019	126568	IDAHO DIAPER BANK	PO BOX 914 BOISE, ID 83701	5,000.00
01/17/2019	126607	IDAHO SHAKESPEARE FESTIVAL	PO Box 9365 Boise, ID 83707	1,500.00
01/17/2019	126592	ITHACA COLLEGE	953 Danby Road Ithaca, NY 14850-7000	2,500.00
06/19/2019	135841	LAKE DELAWARE BOYS CAMP	PO Box 31 Lake Delaware Delhi, NY 13753	5,000.00
01/17/2019	126570	LAKE DELAWARE BOYS CAMP	PO Box 31 Lake Delaware Delhi, NY 13753	20,000.00
01/17/2019	126596	LAKE DELAWARE BOYS CAMP	PO Box 31 Lake Delaware Delhi, NY 13753	5,000.00
06/19/2019	135833	LEARNING ALLIANCE	2066 14th AVENUE VERO BEACH, FL 32960	150,000.00
06/19/2019	135842	LEARNING ALLIANCE	2066 14th AVENUE VERO BEACH, FL 32960	1,000.00
01/17/2019	126608	LEARNING LAB, INC	308 East 36th St Garden City, ID 83714	1,500.00
08/29/2019	138346	LEARNING LAB, INC	308 East 36th St Garden City, ID 83714	50,000.00
06/19/2019	135821	LEWIS & CLARK COLLEGE	0615 SW Palatine Hill Rd. Portland, OR 97219	175,000.00
07/26/2019	?	LEWIS & CLARK COLLEGE	0615 SW Palatine Hill Rd. Portland, OR 97219	5,000.00
06/19/2019	135822	LIBRARY FOUNDATION	620 SW 5th Avenue #1025 Portland, OR 97204	75,000.00
04/08/2019	129971	LYMAN ALLYN ART MUSEUM	625 William Street New London, CT 06320	10,000.00
12/11/2019	143526	LYMAN ALLYN ART MUSEUM	625 William Street New London, CT 06320	50,000.00
06/19/2019	135823	MAINE MARITIME MUSEUM	243 Washington Street Bath, ME 04530	30,000.00
12/11/2019	143527	MEMORIAL SLOAN KETTERING	1275 YORK AVENUE NEW YORK, NY 10021	200,000.00
01/17/2019	126571	METROPOLITAN MUSEUM OF ART	1000 Fifth Avenue New York, NY 10028-0198	10,000.00
06/19/2019	135835	MONASTERY OF ST GERTRUDE	465 Kauterville Road Cottonwood, ID 83522	200,000.00
01/17/2019	126602	MONASTERY OF ST GERTRUDE	465 Kauterville Road Cottonwood, ID 83522	1,500.00

GLADYS & ROLAND HARRIMAN FOUNDATION

Grants Paid

January through December 2019

Cash Basis

Date	Num	Name	Name Address	Paid Amount
06/19/2019	135834	MT SCOTT LEARNING CENTER	6148 SE Holgate Portland, OR 97206	20,000.00
01/17/2019	126595	MT SINAI HOSPITAL QUEENS	25-10 30th Avenue Long Island City, NY 11102	5,000.00
01/17/2019	126572	MUSEUM OF THE CITY OF NEW YORK	CoChristie's 20 Rockefeller Plaza New York, NY 10020	10,000.00
10/21/2019	141402	NATIONAL OUTDOOR LEADERSHIP SCHOOL	284 Lincoln Street Lander, WY 82520-2848	10,000.00
10/11/2019	140944	NETWORK FOR TEACHING - NFTE	120 WALL STREET NEW YORK, NY 10005	150,000.00
06/19/2019	135843	NEW ENGLAND COLLEGE	98 Bridge Street Henniker, NH 03242	3,000.00
12/11/2019	143528	NEW ENGLAND COLLEGE	98 Bridge Street Henniker, NH 03242	250,000.00
01/17/2019	126573	NEW ENGLAND VILLAGE INC	664 SCHOOL STREET PEMBROKE, MA 02359	50,000.00
12/11/2019	143529	NEW ENGLAND VILLAGE INC	664 SCHOOL STREET PEMBROKE, MA 02359	62,000.00
12/13/2019	143804	NEW LONDON LANDMARKS	PO Box 1134 New London, CT 06320	1,000.00
06/19/2019	135836	NEW YORK CENTER FOR CHILDREN	330 East 70th Street New York, NY 10021	7,000.00
01/17/2019	126593	NEW YORK CHINESE QIPAO ASSOCIATION	14718 Barclay Ave Apt 1A Flushing, NY 11355	1,000.00
01/28/2019	126954	NEW YORK CHINESE QIPAO ASSOCIATION	14718 Barclay Ave Apt 1A Flushing, NY 11355	500.00
01/17/2019	126574	NEW YORK CITY BALLET	20 Lincoln Center New York, NY 10023	15,000.00
12/11/2019	143536	NEW YORK CITY BALLET	20 Lincoln Center New York, NY 10023	100,000.00
01/17/2019	126575	NEW YORK PUBLIC LIBRARY	Fifth Avenue at 42 Street New York, NY 10018-2788	40,000.00
06/19/2019	135837	NY SOCIETY PREVENTION CRUELTY TO CHILDREN	161 William Street New York, NY 10038	150,000.00
06/19/2019	135844	NY SOCIETY PREVENTION CRUELTY TO CHILDREN	161 William Street New York, NY 10038	1,000.00
01/17/2019	126576	NY SOCIETY PREVENTION CRUELTY TO CHILDREN	161 William Street New York, NY 10038	20,000.00
01/17/2019	126597	NY SOCIETY PREVENTION CRUELTY TO CHILDREN	161 William Street New York, NY 10038	5,000.00
01/17/2019	126609	ONE STONE INC	7025 W. Emerald Street Boise, ID 83704	3,000.00
01/17/2019	126577	ORANGE COUNTY HISTORICAL SOCIETY	21 Clove Furnace Drive PO Box 55 ARDEN, NY 10910	20,000.00
07/26/2019	137338	ORGON PUBLIC BROADCASTING	7140 SW Macadam Avenue Portland, OR 97219	25,000.00
01/17/2019	126578	PATTEN FREE LIBRARY	33 Summer Street Bath, ME 04530	20,000.00
06/19/2019	135847	PROCTOR ACADEMY	PO Box 389 Andover, NH 03216	1,500.00
06/19/2019	135824	RAILS TO TRAILS CONSERVANCY	2121 WARD CT NW #5 WASHINGTON, DC 20037	75,000.00
06/19/2019	135838	RENSELAERVILLE INSTITUTE	2 Oakwood Pl Delmar, NY 12054	200,000.00
12/11/2019	143530	RONALD McDONALD CHARITIES OF IDAHO INC	101 WARM SPRINGS AVENUE BOISE, ID 83712	250,000.00
01/17/2019	126603	SALVATION ARMY-BOISE CORPS	1904 W Bannock Street Boise, ID 83702	500.00
01/17/2019	126579	SCENIC HUDSON, INC	One Civic Center Plaza Suite 200 Poughkeepsie, NY 12601-3156	30,000.00
01/28/2019	126953	SCENIC HUDSON, INC	One Civic Center Plaza Suite 200 Poughkeepsie, NY 12601-3156	1,000.00
12/11/2019	143531	SCENIC HUDSON, INC	One Civic Center Plaza Suite 200 Poughkeepsie, NY 12601-3156	100,000.00
12/11/2019	143533	SCENIC HUDSON, INC	One Civic Center Plaza Suite 200 Poughkeepsie, NY 12601-3156	100,000.00
06/19/2019	135846	SOUTHPORT SCHOOL	214 MAIN STRET SOUTHPORT, CT 06890	500.00
12/11/2019	143532	SPAULDING REHABILITATION HOSPITAL	PO Box 58 Arden, NY 109104	300,000.00
01/17/2019	126580	ST JOHN'S CHURCH	25 Marlborough Rd. Southborough, MA 01772	8,000.00
06/19/2019	135845	ST. MARK'S SCHOOL	689 River Rd PO Box 550 Charlestown, NH 03603-0550	1,000.00
01/17/2019	126581	Standard SECURITY LIFE INSURANCE	801 S Capitol Blvd Boise, ID 83702	70,000.00
01/17/2019	126583	STUDENT CONSERVATION ASSOCIATION	801 S Capitol Blvd Boise, ID 83702	15,000.00
01/17/2019	126604	THE CABIN	450 West 33 Street New York, NY 10001	2,500.00
01/17/2019	126585	THIRTEEN/WNET NEW YORK	666 Broadway 9th Fl New York, NY 10022	115,000.00
06/19/2019	135839	TRUST FOR PUBLIC LAND	HERBERT IRVING PAVILLION 310 161 F1 Washington Avenue New York, NY 10032	40,000.00
08/29/2019	138347	TRUSTEES OF COLUMBIA UNIV.	227 Route 17 PO Box 776 Tuxedo Park, NY 10987	100,000.00
01/17/2019	126586	TUXEDO PARK LIBRARY	PO Box 4915 Jackson, WY 83001	5,000.00
01/17/2019	126610	TVRC EDUCATION FOUNDATION	PO Box 4915 Jackson, WY 83001	2,000.00
10/11/2019	140945	TVRC EDUCATION FOUNDATION	2746 US HIGHWAY 1 VERO BEACH, FL 32960	75,000.00
06/19/2019	135848	UNITED AGAINST POVERTY OF INDIAN COUNTY		1,000.00

GLADYS & ROLAND HARRIMAN FOUNDATION

Grants Paid

January through December 2019

Cash Basis

Date	Num	Name	Name Address	Paid Amount
12/11/2019	143534	UNIVERSITY OF COLORADO FOUNDATION	CENTER OF THE AMERICAN WEST Macky 229 282 UCB Boulder, CO 80309-0282	88,000 00
01/17/2019	126587	VISITING NURSE SERVICE OF NY	107 EAST 70th STREET NEW YORK, NY 10021	10,000 00
01/17/2019	126588	WEILL CORNELL MEDICINE	1300 York Avenue New York, NY 10065	25,000 00
12/11/2019	143535	WILDERNESS LAND TRUST	CARBONDALE, CO 81623	250,000 00
01/17/2019	126589	WOODBURY COMM. AMBULANCE INC	376 Route 32 Box 561 Central Valley, NY 10917-0561	8,000 00
01/17/2019	126590	WRITERS@HARRIMAN	Box 2525 Boise, ID 83701	15,000 00
Total 4000 GRANTS				8,231,678 17
TOTAL				8,231,678.17

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES				STATEMENT	1
SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	
BROWN BROTHERS HARRIMAN & CO	1,043,994.	0.	1,043,994.	1,043,994.		
BROWN BROTHERS HARRIMAN & CO	376,409.	0.	376,409.	376,409.		
BROWN BROTHERS HARRIMAN & CO	245,904.	0.	245,904.	245,904.		
BROWN BROTHERS HARRIMAN & CO	439,780.	439,780.	0.	0.		
CPIV ECX LLC	27.	0.	27.	27.		
REAL ESTATE INCOME FD II	137.	0.	137.	137.		
WEALTH STR. BARINGS LOAN SUB-TR	20.	0.	20.	20.		
WEALTH STR. CEDAR ROCK CAP PTNERS	240,831.	0.	240,831.	240,831.		
WEALTH STR. CEDAR ROCK CAP PTNERS	1,707.	0.	1,707.	1,707.		
WEALTH STR. LLC ALTAROCK PTNERS	53,007.	0.	53,007.	53,007.		
WEALTH STR. LLC ALTAROCK PTNERS	11,610.	0.	11,610.	11,610.		
WEALTH STR. LLC BARES	71,917.	0.	71,917.	71,917.		
WEALTH STR. LLC BARES	6,032.	0.	6,032.	6,032.		
WEALTH STR. LLC BURGUNDY	191,271.	0.	191,271.	191,271.		
WEALTH STR. LLC BURGUNDY	3,737.	0.	3,737.	3,737.		
WEALTH STR. LLC CLARKSTON PTNERS	61,691.	0.	61,691.	61,691.		
WEALTH STR. LLC CLARKSTON PTNERS	13,290.	0.	13,290.	13,290.		
WEALTH STR. LLC 3QG	564.	0.	564.	564.		
WEALTH STR. LLC 3QG	28.	0.	28.	28.		
WEALTH STR. LLC SELECT EQUITY	310,477.	0.	310,477.	310,477.		
WEALTH STR. LLC SELECT EQUITY	49,233.	0.	49,233.	49,233.		
WEALTH STR. LLC-LBC CR PTNER III	156,690.	0.	156,690.	156,690.		
FO PART I, LINE 4	3,278,356.	439,780.	2,838,576.	2,838,576.		

FORM 990-PF OTHER INCOME STATEMENT 2

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
WEALTH STR. LLC ALTAROCK PTNERS	-266.	-266.	
WEALTH STR. LLC BURGUNDY EMERGING MKT	3,148.	3,148.	
WEALTH STR. LLC SELECT EQUITY	-4,547.	-4,547.	
WEALTH STR. LLC CEDAR ROCK CAP PTNERS	-6,118.	-6,118.	
WEALTH STR. GQG PTNERS EMERGING MKT	-15.	-15.	
WEALTH STR. GQG PTNERS EMERGING MKT	10,457.	10,457.	
WEALTH STR. LLC BURGUNDY EMERGING MKT	48,068.	48,068.	
OVERPAYMENT APPLIED	68,578.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	119,305.	50,727.	

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	15,480.	0.		15,480.
AUDITING FEES	18,300.	0.		18,300.
TO FORM 990-PF, PG 1, LN 16B	33,780.	0.		33,780.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT ADVISORY FEES	494,365.	494,365.		0.
TO FORM 990-PF, PG 1, LN 16C	494,365.	494,365.		0.

FORM 990-PF	TAXES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAX	85,134.	0.		0.
FOREIGN TAXES PAID	107,247.	107,247.		0.
PRIOR YEAR OVERPAYMENT APPLIED	68,578.	0.		0.
PAYROLL TAXES	15,162.	0.		15,162.
TO FORM 990-PF, PG 1, LN 18	276,121.	107,247.		15,162.

FORM 990-PF	OTHER EXPENSES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ADMINISTRATIVE EXPENSES	6,361.	0.		6,361.
PORTFOLIO DEDUCTIONS FROM PASS-THROUGH ENTITIES	487,265.	487,265.		0.
D&O LIABILITY INSURANCE	5,847.	0.		5,847.
FILING FEES	1,500.	0.		1,500.
TRANSACTION FEE	175.	175.		0.
INVESTMENT INTEREST EXPENSES	107.	107.		0.
TO FORM 990-PF, PG 1, LN 23	501,255.	487,547.		13,708.

FORM 990-PF	CORPORATE STOCK	STATEMENT	7
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED STATEMENT	11,655,193.	19,791,228.
SEE ATTACHED STATEMENT	30,719,567.	32,713,509.
UNION PACIFIC CORP	288,167.	16,271,100.
TOTAL TO FORM 990-PF, PART II, LINE 10B	42,662,927.	68,775,837.

FORM 990-PF OTHER INVESTMENTS STATEMENT 8

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
BBH REAL ESTATE INCOME FD II	COST	0.	21,164.
BBH CAPITAL PARTNERS QP IV	COST	63,809.	2,249,781.
BBH LBC CREDIT PARTNERS III	COST	1,698,933.	1,127,987.
BBH SELECT EQUITY	COST	15,092,120.	17,853,068.
BBH BARES	COST	5,590,970.	7,697,443.
BBH BURGUNDY	COST	6,270,154.	6,062,751.
BBH ALTAROCK	COST	2,230,831.	5,818,650.
BBH CLARKSTON	COST	3,338,250.	4,271,030.
BBH OAKTREE OPP. SUB-TR.	COST	713,077.	757,587.
BBH HITCHWOOD CAP SUB-TR.	COST	0.	167,186.
BBH CAPITAL PARTNERS V-1	COST	2,725,061.	4,207,928.
BBH SANDTON CREDIT SOLUTIONS IV	COST	1,403,960.	1,391,986.
WEALTH STR. BARING LOAN SUB-TR	COST	3,301,412.	3,736,920.
CPIV ECX LLC	COST	513,793.	853,605.
WEALTH STR. LLC CEDARROCK CAP PNER	COST	7,119,561.	8,136,350.
BBH CAP PARTNERS III (CAYMAN)	COST	0.	924,720.
BBH CAP PTNERS OPP (CAYMAN)3	COST	267,881.	216,475.
REAL ESTATE INCOME FD III	COST	546,969.	543,182.
WEALTH STR. GQG PTNERS EMER MKT	COST	1,011,511.	1,053,757.
WLTH STR SILVER POINT DIS OPP	COST	65,422.	41,945.
WEALTH STR. CALEDONIA SUB-TR	COST	3,000,000.	3,126,828.
TOTAL TO FORM 990-PF, PART II, LINE 13		54,953,714.	70,260,343.

FORM 990-PF OTHER ASSETS STATEMENT 9

DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
DUED FROM FOUNDATION	23,389.	48,112.	48,112.
DUED FROM BROKER	0.	4,849,533.	4,849,533.
TO FORM 990-PF, PART II, LINE 15	23,389.	4,897,645.	4,897,645.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 10

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
ELBRIDGE T. GERRY, JR C/O 140 BROADWAY - 16TH FL. NEW YORK, NY 10005	DIRECTOR 1.00	0.	0.	0.
THOMAS F. DIXON C/O 140 BROADWAY - 16TH FL. NEW YORK, NY 10005	CHAIRMAN/DIRECTOR 1.00	0.	0.	0.
ANNA T. KORNICZKY C/O 140 BROADWAY - 16TH FL. NEW YORK, NY 10005	TREASURER 1.00	0.	0.	0.
BARBARA O'CONNELL C/O 140 BROADWAY - 16TH FL. NEW YORK, NY 10005	SECRETARY 35.00	70,300.	13,679.	0.
HILLARY A. DIXON C/O 140 BROADWAY - 16TH FL. NEW YORK, NY 10005	DIRECTOR 1.00	0.	0.	0.
MARJORIE NORTHROP FRIEDMAN C/O 140 BROADWAY - 16TH FL. NEW YORK, NY 10005	DIRECTOR 1.00	0.	0.	0.
WILHELM E. NORTHROP C/O 140 BROADWAY - 16TH FL. NEW YORK, NY 10005	DIRECTOR 1.00	0.	0.	0.
ANTHONY T. ENDERS C/O 140 BROADWAY - 16TH FL. NEW YORK, NY 10005	DIRECTOR 1.00	0.	0.	0.
ELBRIDGE T. GERRY III C/O 140 BROADWAY - 16TH FL. NEW YORK, NY 10005	PRESIDENT/DIRECTOR 1.00	0.	0.	0.
WILLIAM B. TYREE C/O 140 BROADWAY - 16TH FL. NEW YORK, NY 10005	DIRECTOR 1.00	0.	0.	0.
ADAM H. DIXON C/O 140 BROADWAY - 16TH FL. NEW YORK, NY 10005	DIRECTOR 1.00	0.	0.	0.

TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII

70,300.	13,679.	0.
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FORM 990-PF

EXPENDITURE RESPONSIBILITY STATEMENT
PART VII-B, LINE 5C

STATEMENT 11

GRANTEE'S NAME

CAMPFIRE FOUNDATION INC.

GRANTEE'S ADDRESSP.O. BOX 6421
KETCHUM, ID 83340GRANT AMOUNT

3,000.

DATE OF GRANT

01/17/19

AMOUNT EXPENDED

3,000.

PURPOSE OF GRANT

EDUCATION

DATES OF REPORTS BY GRANTEE

12/31/2019

RESULTS OF VERIFICATIONTHE DATE AND RESULTS OF ANY VERIFICATION OF THE GRANTEE'S REPORTS
UNDERTAKEN BY THE GRANTOR.THE FOUNDATION HAD NO REASON TO DOUBT THE ACCURACY OR RELIABILITY OF THE
REPORTS RECEIVED, THEREFORE NO INDEPENDENT VERIFICATION IS REQUIRED TO BE
UNDERTAKEN.

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 12

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

BARBARA O'CONNELL
140 BROADWAY, 16TH FLOOR
NEW YORK, NY 10005

TELEPHONE NUMBER

212-493-8185

FORM AND CONTENT OF APPLICATIONS

WRITTEN SUMMARY IN LETTER FORM WITH BRIEF DESCRIPTION OF ORGANIZATION AND
THEIR FUNDING REQUIREMENTS. MUST INCLUDE COPY OF 501(C)(3).

ANY SUBMISSION DEADLINES

REQUESTS MUST BE RECEIVED BY OCTOBER 1ST.

RESTRICTIONS AND LIMITATIONS ON AWARDS

ONLY US CHARITIES WITH 501(C)(3). NO FUNDING OUTSIDE USA. NO MATCHING OF US
GOVERNMENT FUNDS. NO FUNDS TO INDIVIDUALS.