

Form **990-PF****Return of Private Foundation**

OMB No 1545-0047

**2019**

Open to Public Inspection

Department of the Treasury  
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation  
 ▶ Do not enter social security numbers on this form as it may be made public.  
 ▶ Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

For calendar year 2019 or tax year beginning , 2019, and ending , 20

Name of foundation <b>POINT72 EMPLOYEE DIRECTED GIVING FOUNDATION</b>		<b>A</b> Employer identification number 47-5293048
Number and street (or P O box number if mail is not delivered to street address) <b>C/O POINT72, L.P. 72 CUMMINGS POINT ROAD</b>		<b>B</b> Telephone number (see instructions) (203) 890-2302
City or town state or province, country, and ZIP or foreign postal code <b>STAMFORD, CT 06902</b>		<b>C</b> If exemption application is pending check here . . . . . <input type="checkbox"/>
<b>G</b> Check all that apply		<b>D</b> 1 Foreign organizations, check here . . . . . <input type="checkbox"/> 2 Foreign organizations meeting the 85% test check here and attach computation . . . . . <input type="checkbox"/>
<input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here . . . . . <input type="checkbox"/>
<input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B) check here . . . . . <input type="checkbox"/>
<b>H</b> Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <b>04</b> <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		
<b>I</b> Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 16,730,973.		
<b>J</b> Accounting method <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d), must be on cash basis)		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>1</b> Contributions, gifts, grants, etc., received (attach schedule)		3,914,875.			
<b>2</b> Check <input type="checkbox"/> if the foundation is not required to attach Sch. B. . . . .					
<b>3</b> Interest on savings and temporary cash investments . . . . .					
<b>4</b> Dividends and interest from securities . . . . .		2,543,654.	2,543,654.		
<b>5a</b> Gross rents . . . . . <b>A</b>					
<b>b</b> Net rental income or (loss) . . . . .					
<b>6a</b> Net gain or (loss) from sale of assets not on line 10					
<b>b</b> Gross sales price for all assets on line 6a . . . . .					
<b>7</b> Capital gain net income (from Part IV line 2) . . . . .					
<b>8</b> Net short-term capital gain . . . . .					
<b>9</b> Income modifications . . . . .					
<b>10a</b> Gross sales less returns and allowances . . . . .					
<b>b</b> Less Cost of goods sold . . . . .					
<b>c</b> Gross profit or (loss) (attach schedule) . . . . .					
<b>11</b> Other income (attach schedule) . . . . .					
<b>12</b> Total. Add lines 1 through 11 . . . . .		6,458,529.	2,543,654.		
<b>13</b> Compensation of officers, directors, trustees, etc. . . . .		0.			
<b>14</b> Other employee salaries and wages . . . . .					
<b>15</b> Pension plans, employee benefits . . . . .					
<b>16a</b> Legal fees (attach schedule) . . . . .					
<b>b</b> Accounting fees (attach schedule) <b>ATCH 1</b> . . . . .		25,715.	19,286.		6,399.
<b>c</b> Other professional fees (attach schedule) . . . . .					
<b>17</b> Interest . . . . .					
<b>18</b> Taxes (attach schedule) (see instructions) <b>[ 2 ]</b> . . . . .		25,241.			
<b>19</b> Depreciation (attach schedule) and depletion . . . . .					
<b>20</b> Occupancy . . . . .					
<b>21</b> Travel, conferences, and meetings . . . . .					
<b>22</b> Printing and publications . . . . .					
<b>23</b> Other expenses (attach schedule) <b>ATCH 3</b> . . . . .		39,115.	273.		41,850.
<b>24</b> Total operating and administrative expenses. Add lines 13 through 23. . . . .		90,071.	19,559.		48,249.
<b>25</b> Contributions, gifts, grants paid . . . . .		2,674,752.			2,674,752.
<b>26</b> Total expenses and disbursements. Add lines 24 and 25 . . . . .		2,764,823.	19,559.	0.	2,723,001.
<b>27</b> Subtract line 26 from line 12 . . . . .		3,693,706.			
<b>a</b> Excess of revenue over expenses and disbursements . . . . .			2,524,095.		
<b>b</b> Net investment income (if negative, enter -0-) . . . . .					
<b>c</b> Adjusted net income (if negative, enter -0-) . . . . .					

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<b>Part II Balance Sheets</b>		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1 Cash - non-interest-bearing . . . . .					
	2 Savings and temporary cash investments . . . . .			1,399,499.	2,200,680.	2,200,680.
	3 Accounts receivable ▶					
	Less allowance for doubtful accounts ▶					
	4 Pledges receivable ▶					
	Less allowance for doubtful accounts ▶					
	5 Grants receivable. . . . .					
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . .					
	7 Other notes and loans receivable (attach schedule) ▶					
	Less allowance for doubtful accounts ▶					
	8 Inventories for sale or use. . . . .					
	9 Prepaid expenses and deferred charges . . . . .			44,263.		
	10a Investments - U.S. and state government obligations (attach schedule). .					
	b Investments - corporate stock (attach schedule) . . . . .					
	c Investments - corporate bonds (attach schedule). . . . .					
	11 Investments - land, buildings, and equipment, basis ▶ Less accumulated depreciation ▶ (attach schedule)					
12 Investments - mortgage loans . . . . .						
13 Investments - other (attach schedule) . . . . .	ATCH 4		11,218,470.	13,236,030.	13,236,030.	
14 Land, buildings, and equipment basis ▶ Less accumulated depreciation ▶ (attach schedule)						
15 Other assets (describe ▶ ATCH 5 )				1,294,263.	1,294,263.	
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I) . . . . .			12,662,232.	16,730,973.	16,730,973.	
<b>Liabilities</b>	17 Accounts payable and accrued expenses . . . . .			28,486.	50,837.	
	18 Grants payable . . . . .					
	19 Deferred revenue . . . . .					
	20 Loans from officers, directors, trustees, and other disqualified persons. .					
	21 Mortgages and other notes payable (attach schedule) . . . . .					
	22 Other liabilities (describe ▶ )					
23 Total liabilities (add lines 17 through 22) . . . . .			28,486.	50,837.		
<b>Net Assets or Fund Balances</b>	Foundations that follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 24, 25, 29, and 30.					
	24 Net assets without donor restrictions . . . . .			12,633,746.	16,680,136.	
	25 Net assets with donor restrictions . . . . .					
	Foundations that do not follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 26 through 30.					
	26 Capital stock, trust principal, or current funds . . . . .					
	27 Paid-in or capital surplus, or land, bldg, and equipment fund. . . . .					
	28 Retained earnings, accumulated income, endowment, or other funds . .					
	29 Total net assets or fund balances (see instructions). . . . .			12,633,746.	16,680,136.	
30 Total liabilities and net assets/fund balances (see instructions) . . . . .			12,662,232.	16,730,973.		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return). . . . .	1	12,633,746.
2 Enter amount from Part I, line 27a. . . . .	2	3,693,706.
3 Other increases not included in line 2 (itemize) ▶ ATCH 6	3	352,684.
4 Add lines 1, 2, and 3 . . . . .	4	16,680,136.
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29 . . . .	6	16,680,136.

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day , yr )	(d) Date sold (mo , day , yr )
1 a					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any			
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss)	<div style="display: flex; align-items: center;"> <div style="border-left: 1px solid black; border-right: 1px solid black; padding: 0 5px;">           If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7         </div> </div>		2		
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) See instructions If (loss), enter -0- in Part I, line 8 . . . . .	<div style="display: flex; align-items: center;"> <div style="border-left: 1px solid black; border-right: 1px solid black; padding: 0 5px;">           If gain, also enter in Part I, line 8, column (c) See instructions If (loss), enter -0- in Part I, line 8         </div> </div>		3		

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e) Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2018	2,827,912.	13,748,020.	0.205696
2017	2,030,630.	10,467,572.	0.193992
2016	1,378,914.	6,571,710.	0.209826
2015		1,247,218.	
2014			
2 Total of line 1, column (d) . . . . .			2 0.609514
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years . . . . .			3 0.152379
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5 . . . . .			4 13,063,344.
5 Multiply line 4 by line 3. . . . .			5 1,990,579.
6 Enter 1% of net investment income (1% of Part I, line 27b). . . . .			6 25,241.
7 Add lines 5 and 6. . . . .			7 2,015,820.
8 Enter qualifying distributions from Part XII, line 4 . . . . . If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions			8 2,723,001.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 . . . . . Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)	1	25,241.
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . .		
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations, enter 4% of Part I, line 12, col (b) . . . . .		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	2	
3	Add lines 1 and 2 . . . . .	3	25,241.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- . . . . .	5	25,241.
6	Credits/Payments		
a	2019 estimated tax payments and 2018 overpayment credited to 2019 . . . . .	6a	28,000.
b	Exempt foreign organizations - tax withheld at source . . . . .	6b	
c	Tax paid with application for extension of time to file (Form 8868), . . . . .	6c	
d	Backup withholding erroneously withheld . . . . .	6d	
7	Total credits and payments. Add lines 6a through 6d . . . . .	7	28,000.
8	Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached . . . . .	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . . . .	10	2,759.
11	Enter the amount of line 10 to be Credited to 2020 estimated tax <input checked="" type="checkbox"/> 2,759. Refunded <input type="checkbox"/> <input type="checkbox"/>	11	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition . . . . . If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year? . . . . .		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .		X
b If "Yes," has it filed a tax return on Form 990-T for this year? . . . . .		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions <input checked="" type="checkbox"/> CT, DE		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation . . . . .	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV . . . . .		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses . . . . .		X

**Part VII-A Statements Regarding Activities (continued)**

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions . . . . .		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions. . . . .		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	X	
14 The books are in care of ▶ STEPHEN CANNA Telephone no ▶ 203-890-2302 Located at ▶ C/O POINT72, L.P. 72 CUMMINGS POINT RD STAMFORD, CT ZIP+4 ▶ 06902		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here . . . . . and enter the amount of tax-exempt interest received or accrued during the year . . . . . ▶ 15		
16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . . See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶		X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions . . . . . Organizations relying on a current notice regarding disaster assistance, check here . . . . . ▶ <input type="checkbox"/>	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019? . . . . .	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) . . . . .	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019) . . . . .	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b	X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

<b>5a</b>	During the year, did the foundation pay or incur any amount to		Yes	No
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input checked="" type="checkbox"/>	Yes	<input type="checkbox"/> No
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
<b>b</b>	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance, check here	<input type="checkbox"/>	<b>5b</b>	X
<b>c</b>	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945-5(d)	<input checked="" type="checkbox"/>	Yes	<input type="checkbox"/> No
	ATTCH 7			
<b>6a</b>	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
<b>b</b>	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.		<b>6b</b>	X
<b>7a</b>	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
<b>b</b>	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		<b>7b</b>	
<b>8</b>	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTCH 8		0.	0.	0.

**2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000. ☐

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)***3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services . . . . . ▶

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 NONE	
2	
All other program-related investments. See instructions.	
3 NONE	
Total. Add lines 1 through 3 . . . . . ▶	

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	
b	Average of monthly cash balances	1b	615,140.
c	Fair market value of all other assets (see instructions)	1c	12,647,138.
d	Total (add lines 1a, b, and c)	1d	13,262,278.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	13,262,278.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	198,934.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	13,063,344.
6	Minimum investment return. Enter 5% of line 5	6	653,167.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	653,167.
2a	Tax on investment income for 2019 from Part VI, line 5	2a	25,241.
b	Income tax for 2019 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	25,241.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	627,926.
4	Recoveries of amounts treated as qualifying distributions	4	419.
5	Add lines 3 and 4	5	628,345.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	628,345.

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	2,723,001.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,723,001.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	25,241.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	2,697,760.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



Form 990-PF (2019)

Page **9****Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7 . . . . .				628,345.
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only. . . . .				
b Total for prior years 20 17 , 20 16 , 20 15 . . . . .				
3 Excess distributions carryover, if any, to 2019				
a From 2014 . . . . .				
b From 2015 . . . . .				
c From 2016 . . . . . 1,068,414.				
d From 2017 . . . . . 1,522,537.				
e From 2018 . . . . . 2,165,415.				
f Total of lines 3a through e . . . . .	4,756,366.			
4 Qualifying distributions for 2019 from Part XII, line 4 ▶ \$ 2,723,001.				
a Applied to 2018, but not more than line 2a . . . . .				
b Applied to undistributed income of prior years (Election required - see instructions). . . . .				
c Treated as distributions out of corpus (Election required - see instructions) . . . . .				
d Applied to 2019 distributable amount. . . . .				628,345.
e Remaining amount distributed out of corpus. . . . .	2,094,656.			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a) ) . . . . .				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5 . . . . .	6,851,022.			
b Prior years' undistributed income Subtract line 4b from line 2b. . . . .				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .				
d Subtract line 6c from line 6b Taxable amount - see instructions. . . . .				
e Undistributed income for 2018 Subtract line 4a from line 2a Taxable amount - see instructions . . . . .				
f Undistributed income for 2019 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2020. . . . .				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) . . . . .				
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions) . . . . .				
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a . . . . .	6,851,022.			
10 Analysis of line 9				
a Excess from 2015 . . . . .				
b Excess from 2016 . . . . . 1,068,414.				
c Excess from 2017 . . . . . 1,522,537.				
d Excess from 2018 . . . . . 2,165,415.				
e Excess from 2019 . . . . . 2,094,656.				

Form **990-PF** (2019)

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling . . . . .

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .

Tax year	Prior 3 years			(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016
b 85% of line 2a . . . . .				
c Qualifying distributions from Part XII line 4, for each year listed . . . . .				
d Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .				
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .				
3 Complete 3a b, or c for the alternative test relied upon . . . . .				
a "Assets" alternative test - enter				
(1) Value of all assets . . . . .				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .				
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X line 6 for each year listed . . . . .				
c "Support" alternative test - enter				
(1) Total support other than gross investment income (interest dividends, rents payments on securities loans (section 512(a)(5)), or royalties) . . . . .				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .				
(3) Largest amount of support from an exempt organization . . . . .				
(4) Gross investment income . . . . .				

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

ROSS GARON &amp; J. ANDREW MCENTIRE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed.

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

<div>Recipient</div> <div>Name and address (home or business)</div>	If recipient is an individual show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>  ATCH 9				
<b>Total</b>			▶ <b>3a</b>	2,674,752.
<b>b Approved for future payment</b>				
<b>Total</b>			▶ <b>3b</b>	

## Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions )
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue					
a	_____					
b	_____					
c	_____					
d	_____					
e	_____					
f	_____					
g	Fees and contracts from government agencies					
2	Membership dues and assessments . . . . .					
3	Interest on savings and temporary cash investments .					
4	Dividends and interest from securities . . . . .			14	2,543,654.	
5	Net rental income or (loss) from real estate					
a	Debt-financed property . . . . .					
b	Not debt-financed property . . . . .					
6	Net rental income or (loss) from personal property					
7	Other investment income . . . . .					
8	Gain or (loss) from sales of assets other than inventory					
9	Net income or (loss) from special events . . . . .					
10	Gross profit or (loss) from sales of inventory . . . . .					
11	Other revenue a _____					
b	_____					
c	_____					
d	_____					
e	_____					
12	Subtotal Add columns (b), (d), and (e) . . . . .				2,543,654.	
13	Total. Add line 12, columns (b), (d), and (e) . . . . .					2,543,654.

(See worksheet in line 13 instructions to verify calculations )

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]



**Schedule B**  
(Form 990, 990-EZ,  
or 990-PF)  
Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**

OMB No 1545-0047

**2019**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.  
▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for the latest information.

Name of the organization

POINT72 EMPLOYEE DIRECTED GIVING FOUNDATION

Employer identification number

47-5293048

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)( ) (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000, or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. . . . . ▶ \$ \_\_\_\_\_

**Caution:** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization **POINT72 EMPLOYEE DIRECTED GIVING FOUNDATION**Employer identification number  
47-5293048**Part I** Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	JOSHUA BLUM C/O POINT72, L.P. 72 CUMMINGS POINT ROAD STAMFORD, CT 06902	\$ 1,000,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
2	ROSS GARON C/O POINT72, L.P. 72 CUMMINGS POINT ROAD STAMFORD, CT 06902	\$ 2,664,875.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
3	ANDREW MCENTIRE C/O POINT72, L.P. 72 CUMMINGS POINT ROAD STAMFORD, CT 06902	\$ 250,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization POINT72 EMPLOYEE DIRECTED GIVING FOUNDATION

Employer identification number

47-5293048

**Part II** Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions )	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	



Name of organization POINT72 EMPLOYEE DIRECTED GIVING FOUNDATION

Employer identification number

47-5293048

**Part III** Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once See instructions ) ► \$ \_\_\_\_\_

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	

ATTACHMENT 1FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
TAX PREP FEES	25,715.	19,286.		6,399.
TOTALS	<u>25,715.</u>	<u>19,286.</u>		<u>6,399.</u>

ATTACHMENT 2FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>
EXCISE TAX ACCRUAL	25,241.
TOTALS	<u>25,241.</u>

ATTACHMENT 3FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
BANK FEES	2,726.	273.	2,453.
ADMINISTRATIVE FEE EXPENSE	36,389.		39,397.
TOTALS	<u>39,115.</u>	<u>273.</u>	<u>41,850.</u>

FORM 990PF, PART II - OTHER INVESTMENTSATTACHMENT 4

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
POINT72 CAPITAL INTL LTD	3,817,079.	3,817,079.
POINT72 EMPLOYEE OPP FUND LTD	9,418,951.	9,418,951.
TOTALS	<u>13,236,030.</u>	<u>13,236,030.</u>

ATTACHMENT 5FORM 990PF, PART II - OTHER ASSETS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
CASH IN TRANSIT	1,294,263.	1,294,263.
TOTALS	<u>1,294,263.</u>	<u>1,294,263.</u>

ATTACHMENT 6FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
UNREALIZED GAIN	352,684.
TOTAL	<u>352,684.</u>

ATTACHMENT 7FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

GRANTEE'S NAME: SILVER SOURCE, INC.  
GRANTEE'S ADDRESS: 2009 SUMMER STREET  
CITY, STATE & ZIP: STAMFORD, CT 06905  
GRANT DATE: VAR  
GRANT AMOUNT: 10,000.  
GRANT PURPOSE: PROVIDE SUPPORT AND SERVICES TO LOW INCOME SENIORS  
AMOUNT EXPENDED: 10,000.  
ANY DIVERSION? NO  
DATES OF REPORTS: 03/28/2019, 09/30/2019  
VERIFICATION DATE: VAR  
RESULTS OF VERIFICATION:  
TO THE KNOWLEDGE OF THE FOUNDATION, AND BASED ON THE REPORT FURNISHED  
BY THE GRANTEE, NO PART HAS BEEN USED FOR OTHER THAN ITS INTENDED  
PURPOSE.



FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 8

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
KEVIN O'CONNOR C/O POINT72, L.P. 72 CUMMINGS POINT STAMFORD, CT 06902	BOARD MEMBER .50	0.	0.	0.
STEPHEN CANNA C/O POINT72, L.P. 72 CUMMINGS POINT STAMFORD, CT 06902	BOARD MEMBER .50	0.	0.	0.
ROSS GARON C/O POINT72, L.P. 72 CUMMINGS POINT STAMFORD, CT 06902	BOARD MEMBER .50	0.	0.	0.
J. ANDREW MCENTIRE C/O POINT72, L.P. 72 CUMMINGS POINT STAMFORD, CT 06902	BOARD MEMBER .50	0.	0.	0.
RICHARD ADAMS C/O POINT72, L.P. 72 CUMMINGS POINT STAMFORD, CT 06902	BOARD MEMBER .50	0.	0.	0.
PARAG TOLE C/O POINT72, L.P. 72 CUMMINGS POINT STAMFORD, CT 06902	BOARD MEMBER UNTIL 9/6/2019 .50	0.	0.	0.

POINT72 EMPLOYEE DIRECTED GIVING FOUNDATION 2019 FORM 990-PF  
 FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

47-5293048

ATTACHMENT 8 (CONT'D)

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
XIAOLEI ZHU C/O POINT72, L.P. 72 CUMMINGS POINT STAMFORD, CT 06902	BOARD MEMBER .50	0.	0.	0.
GRAND TOTALS		0.	0.	0.

## FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
ACLU FOUNDATION INC 125 BROAD STREET, 18TH FLOOR NEW YORK, NY 10004	NONE PC		GENERAL SUPPORT	2,000
ACLU OF NEW MEXICO P.O. BOX 566 ALBUQUERQUE, NM 87103	NONE PC		GENERAL SUPPORT	2,500
AMERICAN CORPORATE PARTNERS 2 GRAND CENTRAL TOWER 140 E NEW YORK, NY 10017	NONE PC		GENERAL SUPPORT	10,000
AMERICAN JEWISH WORLD SERVICE, INC 45 WEST 36TH STREET 10TH FLOOR NEW YORK, NY 10018	NONE PC		GENERAL SUPPORT	100,000
AMERICARES FOUNDATION, INC 88 HAMILTON AVENUE STAMFORD, CT 06902	NONE PC		GENERAL SUPPORT	100,000
ANDREW GOODMAN FOUNDATION PO BOX 394 MAHWAP, NJ 07430	NONE PC		GENERAL SUPPORT	21,500

ATTACHMENT 9

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 9 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	FOUNDATION	STATUS OF RECIPIENT		
ASHA FOR EDUCATION PO BOX 358080 SAN FRANCISCO, CA 94139-8080	NONE PC		SILICON VALLEY CHAPTER	3,000
BREARLEY SCHOOL 610 EAST 83RD STREET NEW YORK, NY 10028	NONE PC		GENERAL SUPPORT	2,500
BROTHERS OF THE ORDER OF HERMITS OF ST. AUGUSTINE 214 ASHWOOD ROAD VILLANOVA, PA 19085	NONE PC		GENERAL SUPPORT	5,000
BRUNSWICK SCHOOL, INC 100 MAHER AVENUE GREENWICH, CT 06830	NONE PC		GENERAL SUPPORT	65,000.
CALIFORNIA INSTITUTE OF TECHNOLOGY 1200 EAST CALIFORNIA BLVD MC 5-32 PASADENA, CA 91125	NONE PC		GENERAL SUPPORT	350,000
CARDIGAN MOUNTAIN SCHOOL 52 PLOXNI DRIVE CANADAN, NH 03741	NONE PC		GENERAL SUPPORT	40,000

## FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 9 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	FOUNDATION STATUS OF RECIPIENT			
CATHOLIC CHARITIES OF FAIRFIELD COUNTY INC 238 JEWETTE AVENUE BRIDGEPORT, CT 06606	NONE PC		GENERAL SUPPORT	10,000.
CENTER FOR A NEW AMERICAN SECURITY 1152 15TH STREET NW SUITE 950 WASHINGTON, DC 20035	NONE PC		GENERAL SUPPORT	25,000
CHRY FOUNDATION 509 5TH AVENUE NEW YORK, NY 10017	NONE PC		GENERAL SUPPORT	7,000
CHATHAM HOUSE FOUNDATION 1725 I STREET NW SUITE 300 WASHINGTON, DC 20006-2423	NONE PC		LIONEL CURTIS GROUP DONATION	12,000
CITY HARVEST INC 6 EAST 32ND ST 5TH FLOOR NEW YORK, NY 10016	NONE PC		GENERAL SUPPORT	5,000
COLLEGE OF THE HOLY CROSS 1 COLLEGE STREET WORCESTER, MA 01610	NONE PC		GENERAL SUPPORT	5,000

FORM 990, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 9 (CONT'D)

RECIPIENT NAME AND ADDRESS 1,	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	FOUNDATION STATUS OF RECIPIENT			
COLLEGIATE SCHOOL INC 301 FREEDOM PLACE SOUTH NEW YORK, NY 10069	NONE PC		GENERAL SUPPORT	230,000
COLUMBIA GRAMMR AND PREPARATORY SCHOOL 5 WEST 93RD STREET NEW YORK, NY 10025	NONE PC		GENERAL SUPPORT	20,000
CORNELL UNIVERSITY PO BOX 37334 BOONE, IA 50037-0334	NONE PC		COMPUTER SCIENCE DREAM GRANT	15,000
COUNCIL ON AMERICAN ISLAMIC RELATIONS PENNSYLVANIA 1501 CHERRY ST PHILADELPHIA, PA 19102	NONE PC		GENERAL SUPPORT	10,000.
DESIGN THAT MATTERS 17725 NE 65TH ST REDMOND, WA 98052-4989	NONE PC		GENERAL SUPPORT	35,000
EAST HARLEM TUTORIAL PROGRAM INC 2050 SECOND AVENUE NEW YORK, NY 10029	NONE PC		GENERAL SUPPORT	1,500

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 9 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
EDUCATION REFORM NOW, INC. 928 BROADWAY, SUITE 505 NEW YORK, NY 10010	NONE	PC	GENERAL SUPPORT	20,000.
EPIDEMIC ANSWERS 360 BLOOMFIELD AVE SUITE 301 WINDSOR, CT 06095	NONE	PC	GENERAL SUPPORT	2,000
EQUAL JUSTICE INITIATIVE 122 COMMERCE STREET MONTGOMERY, AL 36104	NONE	PC	GENERAL SUPPORT	1,000.
FREEDOM OF THE PRESS FOUNDATION 601 VAN NESS AVE SUITE E731 SAN FRANCISCO, CA 94102	NONE	PC	GENERAL SUPPORT	25,000
GETTING OUT AND STAYING OUT 75 EAST 116TH STREET NEW YORK, NY 10029	NONE	PC	GENERAL SUPPORT	20,000
GREENWICH ACADEMY 200 NORTH MAPLE AVENUE GREENWICH, CT 06830	NONE	PC	GENERAL SUPPORT	65,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 9 (CONT'D)

## RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

## FOUNDATION STATUS OF RECIPIENT

## RECIPIENT NAME AND ADDRESS

## PURPOSE OF GRANT OR CONTRIBUTION

## AMOUNT

HORACE MANN SCHOOL 231 WEST 246TH STREET BRONX, NY 10471	NONE PC	DONATION TO HM IN MOTION AND ANNUAL FUND	12,500.
HUNTER COLLEGE FOUNDATION, INC 695 PARK AVENUE NEW YORK, NY 10065	NONE PC	SUPPORT HCHS ATHLETICS PROGRAMS	50,000
TIT BOHAY HERITAGE FOUNDATION 21710 STEVENS CREEK BLVD SUITE 115 CUPERTINO, CA 95014	NONE PC	GENERAL SUPPORT AND SCHOLARSHIP FUND	6,000.
INNOVATIONS FOR POVERTY ACTION 101 WHITNEY AVENUE FL 2 NEW HAVEN, CT 06510	NONE PC	GENERAL SUPPORT	40,000
JEWISH EDUCATIONAL LOAN FUND 4549 CHAMBLEE DUNWOODY PD ATLANTA, GA 30338	NONE PC	GENERAL SUPPORT	10,000.
JOHN L STARKS FOUNDATION INC 1127 HIGH RIDGE ROAD NO. 331 STAMFORD, CT 06905	NONE PC	GENERAL SUPPORT	1,250.



## FORM 990-PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 9 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
LITERACY INC 5030 BROADWAY SUITE 641 NEW YORK, NY 10034	NONE PC		IN HONOR OF MARY FRATTO ROWE AND THE SPRING GALA	1,000
MARLBORO MUSIC 1528 WALNUT STREET, SUITE 301 PHILADELPHIA, PA 19102	NONE PC		GENERAL SUPPORT	2,000
MASJID DARUSSALAM 21W525 NORTH AVE. LOWBARD, IL 60148	NONE PC		GENERAL SUPPORT	10,000
MONTGOMERY CATHOLIC PREPARATORY SCHOOL 5350 VAUGHN ROAD MONTGOMERY, AL 36116	NONE PC		FOA SCHOLARSHIP	50,000.
NEW COVENANT CENTER 238 JEWETT AVENUE BRIDGEPORT, CT 06606	NONE PC		GENERAL SUPPORT	5,000
NORTH UNITED PRESBYTERIAN CHURCH 132 NORTH SALEM ROAD LA CROSSE, WI 54601	NONE PC		GENERAL SUPPORT	5,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 9 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	FOUNDATION STATUS OF RECIPIENT			
PRATHAM USA 9703 RICHMOND AVE SUITE 102 HOUSTON, TX 77042	NONE PC		GENERAL SUPPORT	5,000.
PRESIDENT & FELLOWS OF HARVARD COLLEGE 124 MT AUBURN STREET SUITE 165 CAMBRIDGE, MA 02138	NONE PC		GENERAL SUPPORT	102,000.
QUEENS COLLEGE FOUNDATION 65-30 KISSENA BOULEVARD FLUSHING, NY 11367	NONE PC		ECONOMICS DEPARTMENT	500.
REFUGEE & IMMIGRANT CENTER FOR EDUCATION 5121 CRESTWAY DR. SUITE 105 SAN ANTONIO, TX 78239	NONE PC		GENERAL SUPPORT	1,000
RIO GRANDE SCHOOL 715 CAMINO CABRA SANTA FE, NM 87505	NONE PC		TUITION ASSISTANCE AND GENERAL FUND	4,000
RIVERDALE COUNTRY SCHOOL 5250 FIELDSTON ROAD BRONX, NY 10471	NONE PC		GENERAL SUPPORT	10,000

FORM 990-PF, PART XV -- GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	FOUNDATION STATUS OF RECIPIENT			
ROBIN HOOD FOUNDATION 826 BROADWAY 9TH FL NEW YORK, NY 10003	NONE PC		GENERAL SUPPORT	12,501.
RYE COUNTRY DAY SCHOOL 3 CEDAR STREET RYE, NY 10580	NONE PC		GENERAL SUPPORT	20,000
SIDWELL FRIENDS SCHOOL 3825 WISCONSIN AVE NW WASHINGTON, DC 20316	NONE PC		GENERAL SUPPORT	2,500
SILVER SOURCE, INC 2009 SUMMER STREET STAMFORD, CT 06905	NONE PF		GENERAL SUPPORT	10,000.
SOUTHERN POVERTY LAW CENTER 400 WASHINGTON AVE MONTGOMERY, AL 36104	NONE PC		GENERAL SUPPORT	3,000.
STANFORD UNIVERSITY P O BOX 20466 STANFORD, CA 94309-0466	NONE PC		SCM GRADUATE FELLOWSHIP	250,000.

ATTACHMENT 9 (CONT'D)

## FORM 990-PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 9 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
• SUCCESS ACADEMY CHARTER SCHOOLS INC 95 PINE STREET 6TH FLOOR NEW YORK, NY 10005	NONE PC		GENERAL SUPPORT	1,500
THE BOMF PROJECT PO BOX 1855 MANCHESTER CENTER, VT 05255	NONE PC		GENERAL SUPPORT	25,000
THE CLEAR FUND 182 HOWARD STREET, NO 208 SAN FRANCISCO, CA 94105	NONE PC		GENERAL SUPPORT	685,000.
THE EQUITY PROJECT CHARTER SCHOOL 549 AUBURN AVE NEW YORK, NY 10040	NONE PC		BOARD OF TRUSTEE GIVING	10,000.
THE FORTUNE SOCIETY 29-76 NORTHERN BOULEVARD LONG ISLAND CITY, NY 11101	NONE PC		GENERAL SUPPORT	10,000.
THE TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA 2929 WALNUT STREET, SUITE 300 PHILADELPHIA, PA 19104-5099	NONE PC		GENERAL SUPPORT	25,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	FOUNDATION STATUS OF RECIPIENT			
UNITED STATES FUND FOR UNICEF 125 MAIDEN LANE 10TH FLOOR NEW YORK, NY 10038	NONE PC		GENERAL SUPPORT	2,000
UNIVERSITY OF ALABAMA PO BOX 870343 TUSCALOOSA, AL 35487-0343	NONE PC		GENERAL SUPPORT	75,000.
VANDERBILT UNIVERSITY 2301 VANDERBILT PLACE PMB 407727 NASHVILLE, TN 37235-7727	NONE PC		SUPPORT FOR THE COLLEGE OF ARTS AND SCIENCE	5,000
WARTER STRONG FOUNDATION INC 3 STONEWALL DRIVE LIVINGSTON, NJ 07039	NONE PC		GENERAL SUPPORT	500
WATER.ORG 117 WEST 20TH STREET, SUITE 203 KANSAS CITY, KS 64108	NONE PC		GENERAL SUPPORT	10,000
WELLESLEY COLLEGE 106 CENTRAL STREET WELLESLEY, MA 02481	NONE PC		FINANCIAL AID	2,500

ATTACHMENT 9 (CONT'D)

FORM 990-EF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 9 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
WORLD VISION, INC. PO BOX 9716 FEDERAL WA, WA 98063-9716	NONE PC	GENERAL SUPPORT	10,000.
TOTAL CONTRIBUTIONS PAID			<u>2,674,752</u>