efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491316012210

OMB No. 1545-0052

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to *www.irs.gov/Form990PF* for instructions and the latest information.

2019

Open to Public Inspection

For calendar year 2019, or tax year beginning 01-01-2019 , and ending 12-31-2019

Name of foundation
JEAN L POTTER FDTN

A Employer identification number
47-1641626

Number and street (or P.O. box number if mail is not delivered to street address) Room/suite
114 W 47TH ST NY8-114-07-07

B Telephone number (see instructions)
(212) 852-3049

City or town, state or province, country, and ZIP or foreign postal code
NEW YORK, NY 100361510

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here............ ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ... ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here .... ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), *line 16)* ▶$ 12,085,466

J Accounting method: ☐ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here .... ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch. B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	382,601	381,606		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	-533,200			
	b	Gross sales price for all assets on line 6a 1,867,134				
	7	Capital gain net income (from Part IV, line 2)		0		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	-150,599	381,606		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.	107,053	42,821		64,232
	14	Other employee salaries and wages		0	0	0
	15	Pension plans, employee benefits		0	0	
	16a	Legal fees (attach schedule)				0
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)				0
	17	Interest				0
	18	Taxes (attach schedule) (see instructions)	14,870	6,215		0
	19	Depreciation (attach schedule) and depletion	0	0		
	20	Occupancy				
	21	Travel, conferences, and meetings		0	0	
	22	Printing and publications		0	0	
	23	Other expenses (attach schedule)	823	823		
	24	**Total operating and administrative expenses.** Add lines 13 through 23	122,746	49,859	0	64,232
	25	Contributions, gifts, grants paid	550,000			550,000
	26	**Total expenses and disbursements.** Add lines 24 and 25	672,746	49,859	0	614,232
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	-823,345			
	b	**Net investment income** (if negative, enter -0-)		331,747		
	c	**Adjusted net income** (if negative, enter -0-)			0	

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

			Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1	Cash—non-interest-bearing	-1,834	826	826
	2	Savings and temporary cash investments	86,033	251,583	251,583
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶		0	0
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶ 0			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S. and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	6,921,303	6,385,279	7,589,362
	c	Investments—corporate bonds (attach schedule)	3,766,539	3,550,578	3,646,329
	11	Investments—land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	805,006	551,089	597,366
	14	Land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	11,577,047	10,739,355	12,085,466
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities**(add lines 17 through 22)		0	
Net Assets or Fund Balances		**Foundations that follow FASB ASC 958, check here ▶ ☐ and complete lines 24, 25, 29 and 30.**			
	24	Net assets without donor restrictions			
	25	Net assets with donor restrictions			
		Foundations that do not follow FASB ASC 958, check here ▶ ☑ and complete lines 26 through 30.			
	26	Capital stock, trust principal, or current funds	11,577,047	10,739,355	
	27	Paid-in or capital surplus, or land, bldg., and equipment fund			
	28	Retained earnings, accumulated income, endowment, or other funds			
	29	**Total net assets or fund balances** (see instructions)	11,577,047	10,739,355	
	30	**Total liabilities and net assets/fund balances** (see instructions)	11,577,047	10,739,355	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	11,577,047
2	Enter amount from Part I, line 27a	2	-823,345
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	10,753,702
5	Decreases not included in line 2 (itemize) ▶	5	14,347
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	10,739,355

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	-533,200
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	713,643	12,193,517	0.058526
2017	718,342	13,034,012	0.055113
2016	741,308	12,996,517	0.057039
2015	863,903	13,300,483	0.064953
2014	736,548	10,149,766	0.072568

2	**Total** of line 1, column (d)	2	0.308199
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	0.06164
4	Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	11,683,547
5	Multiply line 4 by line 3	5	720,174
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	3,317
7	Add lines 5 and 6	7	723,491
8	Enter qualifying distributions from Part XII, line 4 , ...	8	614,232

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	6,635
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0
3	Add lines 1 and 2			3	6,635
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	6,635
6	Credits/Payments:				
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a	6,056		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c	0		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments. Add lines 6a through 6d			7	6,056
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	579
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	
11	Enter the amount of line 10 to be: **Credited to 2020 estimated tax** ▶ 0	**Refunded** ▶		11	0

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition)	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ ________ **(2)** On foundation managers. ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ FL			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities (continued)

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
	Website address ▶N/A			

14 The books are in care of ▶BANK OF AMERICA NA Telephone no. ▶(212) 852-3049

Located at ▶114 W 47TH ST NY8-114-07-07 NEW YORK NY ZIP+4 ▶100361501

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here . . . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ | 15 |

		Line	Yes	No
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. | | Yes | No

		Answer	Line	Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?.	☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☑ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?.	☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.).	☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions		1b		No
	Organizations relying on a current notice regarding disaster assistance check here. . . ▶	☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?.		1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019?.	☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)		2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20____ , 20____ , 20____ , 20____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?.	☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2019 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019.)*.		3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?		4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?. . . . . ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions. . . . . ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?. . . . . ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here. . . . . ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?. . . . . ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?. ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year? . . . . ☐ Yes ☑ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
BANK OF AMERICA NA 114 W 47TH ST NY8-114-07-07 NEW YORK, NY 100361501	TRUSTEE 2	107,053		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000. . . . . ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services. . . . . ▶ 0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions. **3**	
Total. Add lines 1 through 3 . . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities.		1a	11,397,279
b	Average of monthly cash balances.		1b	464,190
c	Fair market value of all other assets (see instructions).		1c	0
d	**Total** (add lines 1a, b, and c).		1d	11,861,469
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e 0		
2	Acquisition indebtedness applicable to line 1 assets.		2	0
3	Subtract line 2 from line 1d.		3	11,861,469
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).		4	177,922
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4		5	11,683,547
6	**Minimum investment return.** Enter 5% of line 5.		6	584,177

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.		1	584,177
2a	Tax on investment income for 2019 from Part VI, line 5.	2a 6,635		
b	Income tax for 2019. (This does not include the tax from Part VI.).	2b		
c	Add lines 2a and 2b.		2c	6,635
3	Distributable amount before adjustments. Subtract line 2c from line 1.		3	577,542
4	Recoveries of amounts treated as qualifying distributions.		4	0
5	Add lines 3 and 4.		5	577,542
6	Deduction from distributable amount (see instructions).		6	0
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.		7	577,542

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	614,232
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	614,232
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	614,232

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7					577,542
2 Undistributed income, if any, as of the end of 2019:					
a Enter amount for 2018 only.				0	
b Total for prior years: 20___ , 20___ , 20___			0		
3 Excess distributions carryover, if any, to 2019:					
a From 2014.	543,942				
b From 2015.	206,629				
c From 2016.	95,491				
d From 2017.	73,551				
e From 2018.	110,021				
f **Total** of lines 3a through e.		1,029,634			
4 Qualifying distributions for 2019 from Part XII, line 4: ▶ $ 614,232					
a Applied to 2018, but not more than line 2a				0	
b Applied to undistributed income of prior years (Election required—see instructions).			0		
c Treated as distributions out of corpus (Election required—see instructions).		0			
d Applied to 2019 distributable amount.					577,542
e Remaining amount distributed out of corpus		36,690			
5 Excess distributions carryover applied to 2019. *(If an amount appears in column (d), the same amount must be shown in column (a).)*		0			0
6 **Enter the net total of each column as indicated below:**					
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5		1,066,324			
b Prior years' undistributed income. Subtract line 4b from line 2b			0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.			0		
d Subtract line 6c from line 6b. Taxable amount —see instructions			0		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions				0	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)		0			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions) . . .		543,942			
9 **Excess distributions carryover to 2020.** Subtract lines 7 and 8 from line 6a		522,382			
10 Analysis of line 9:					
a Excess from 2015. . . .	206,629				
b Excess from 2016. . . .	95,491				
c Excess from 2017. . . .	73,551				
d Excess from 2018. . . .	110,021				
e Excess from 2019. . . .	36,690				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2019	**(b)** 2018	**(c)** 2017	**(d)** 2016	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: **a** "Assets" alternative test—enter: **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter: **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				550,000
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	382,601	
5 Net rental income or (loss) from real estate:					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	-533,200	
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e). .				-150,599	

13 **Total.** Add line 12, columns (b), (d), and (e). . . . . 13 -150,599

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash.	1a(1)		No
	(2) Other assets.	1a(2)		No
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
	(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
	(3) Rental of facilities, equipment, or other assets.	1b(3)		No
	(4) Reimbursement arrangements.	1b(4)		No
	(5) Loans or loan guarantees.	1b(5)		No
	(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line No.	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule.

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

******	2020-05-01	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr.) ☑ **Yes** ☐ **No**

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶	PTIN
LAWRENCE MCGUIRE		2020-05-01	☑	P01233953
Firm's name ▶ PRICEWATERHOUSECOOPERS LLP				Firm's EIN ▶13-4008324
Firm's address ▶ 600 GRANT STREET PITTSBURGH, PA 15219				Phone no. (412) 355-6000

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
12000. WELLS FARGO & COMPANY		2013-11-18	2019-01-15
5000. CLEARBRIDGE MLP & MIDSTREAM FUND INC		2014-03-04	2019-01-17
10000. CLEARBRIDGE ENERGY MLP		2014-03-04	2019-01-17
39. NEWELL BRANDS INC		2018-04-04	2019-01-30
75. NEWELL BRANDS INC		2018-06-13	2019-01-30
153. NEWELL BRANDS INC		2018-05-23	2019-01-30
182. NEWELL BRANDS INC		2018-05-02	2019-01-30
36. NEWELL BRANDS INC		2018-03-06	2019-01-30
34. NEWELL BRANDS INC		2018-03-14	2019-01-30
37. NEWELL BRANDS INC		2018-02-27	2019-01-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
12,000		12,000	
61,449		104,048	-42,599
94,417		164,526	-70,109
809		993	-184
1,555		1,981	-426
3,172		3,985	-813
3,773		4,965	-1,192
746		1,000	-254
705		978	-273
767		998	-231

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-42,599
			-70,109
			-184
			-426
			-813
			-1,192
			-254
			-273
			-231

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
148. NEWELL BRANDS INC		2018-02-14	2019-01-30
82. NEWELL BRANDS INC		2018-02-07	2019-01-30
37. NEWELL BRANDS INC		2018-01-31	2019-01-30
62. NEWELL BRANDS INC		2018-01-12	2019-01-30
80. THOMSON REUTERS CORP		2015-08-24	2019-01-30
73. THOMSON REUTERS CORP		2015-08-12	2019-01-30
226. THOMSON REUTERS CORP		2015-03-04	2019-01-30
249. THOMSON REUTERS CORP		2015-01-21	2019-01-30
15000. CISCO SYSTEMS INC		2009-02-24	2019-02-15
2000. CISCO SYSTEMS INC		2013-10-01	2019-02-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,068		3,990	-922
1,700		2,387	-687
767		976	-209
1,285		1,981	-696
4,121		3,001	1,120
3,760		2,967	793
11,641		8,998	2,643
12,825		9,648	3,177
15,000		14,727	273
2,000		2,000	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-922
			-687
			-209
			-696
			1,120
			793
			2,643
			3,177
			273

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
4000. CISCO SYSTEMS INC		2011-03-30	2019-02-15
800. HP INC		2014-03-04	2019-02-28
1200. HP INC		2014-03-31	2019-02-28
.94 WABTEC CORP		2019-03-01	2019-03-04
200000. FEDERAL HOME LN MTG CORP		2018-02-27	2019-03-27
33. ROCHE HLDG LTD SPONSORED ADR		2018-02-27	2019-03-27
81. ROCHE HLDG LTD SPONSORED ADR		2018-02-07	2019-03-27
63. ROCHE HLDG LTD SPONSORED ADR		2018-01-12	2019-03-27
135. ROCHE HLDG LTD SPONSORED ADR		2018-02-14	2019-03-27
33. ROCHE HLDG LTD SPONSORED ADR		2018-03-06	2019-03-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,000		4,000	
15,482		11,474	4,008
23,222		18,531	4,691
69		73	-4
200,000		200,000	
1,122		974	148
2,753		2,380	373
2,141		1,995	146
4,589		4,015	574
1,122		993	129

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			4,008
			4,691
			-4
			148
			373
			146
			574
			129

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
63. ROCHE HLDG LTD SPONSORED ADR		2018-11-26	2019-03-27
30. ROCHE HLDG LTD SPONSORED ADR		2018-12-03	2019-03-27
75. ROCHE HLDG LTD SPONSORED ADR		2018-06-13	2019-03-27
279. ROCHE HLDG LTD SPONSORED ADR		2018-09-10	2019-03-27
32. ROCHE HLDG LTD SPONSORED ADR		2018-03-14	2019-03-27
97. ROCHE HLDG LTD SPONSORED ADR		2018-09-04	2019-03-27
197. ROCHE HLDG LTD SPONSORED ADR		2018-08-09	2019-03-27
32. ROCHE HLDG LTD SPONSORED ADR		2018-01-31	2019-03-27
144. ROCHE HLDG LTD SPONSORED ADR		2018-05-23	2019-03-27
178. ROCHE HLDG LTD SPONSORED ADR		2018-05-02	2019-03-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,141		1,976	165
1,020		971	49
2,549		1,988	561
9,483		8,578	905
1,088		972	116
3,297		2,985	312
6,696		5,981	715
1,088		988	100
4,895		3,997	898
6,050		4,992	1,058

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			165
			49
			561
			905
			116
			312
			715
			100
			898
			1,058

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
35. ROCHE HLDG LTD SPONSORED ADR		2018-04-04	2019-03-27
213. VEOLIA ENVIRONNEMENT SA		2018-05-02	2019-03-27
42. VEOLIA ENVIRONNEMENT SA		2018-03-06	2019-03-27
41. VEOLIA ENVIRONNEMENT SA		2018-03-14	2019-03-27
42. VEOLIA ENVIRONNEMENT SA		2018-04-04	2019-03-27
277. VEOLIA ENVIRONNEMENT SA		2018-08-09	2019-03-27
168. VEOLIA ENVIRONNEMENT SA		2018-05-23	2019-03-27
87. VEOLIA ENVIRONNEMENT SA		2018-06-13	2019-03-27
144. VEOLIA ENVIRONNEMENT SA		2018-09-04	2019-03-27
420. VEOLIA ENVIRONNEMENT SA		2018-09-10	2019-03-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,190		971	219
4,740		5,046	-306
935		1,002	-67
912		979	-67
935		988	-53
6,165		6,027	138
3,739		3,932	-193
1,936		1,989	-53
3,205		3,008	197
9,347		8,656	691

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			219
			-306
			-67
			-67
			-53
			138
			-193
			-53
			197
			691

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
94. VEOLIA ENVIRONNEMENT SA		2018-11-26	2019-03-27
46. VEOLIA ENVIRONNEMENT SA		2018-12-03	2019-03-27
65. VEOLIA ENVIRONNEMENT SA		2017-09-28	2019-03-27
80. VEOLIA ENVIRONNEMENT SA		2018-01-12	2019-03-27
39. VEOLIA ENVIRONNEMENT SA		2018-01-31	2019-03-27
100. VEOLIA ENVIRONNEMENT SA		2018-02-07	2019-03-27
166. VEOLIA ENVIRONNEMENT SA		2018-02-14	2019-03-27
40. VEOLIA ENVIRONNEMENT SA		2018-02-27	2019-03-27
253. VEOLIA ENVIRONNEMENT SA		2017-10-31	2019-03-27
11. CHINA MOBILE LTD		2018-03-14	2019-03-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,092		2,007	85
1,024		982	42
1,447		1,496	-49
1,780		1,998	-218
868		984	-116
2,225		2,408	-183
3,694		4,033	-339
890		981	-91
5,630		6,016	-386
564		502	62

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			85
			42
			-49
			-218
			-116
			-183
			-339
			-91
			-386
			62

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
11. CHINA MOBILE LTD		2018-03-06	2019-03-28
11. CHINA MOBILE LTD		2018-02-27	2019-03-28
43. CHINA MOBILE LTD		2018-02-14	2019-03-28
24. CHINA MOBILE LTD		2018-02-07	2019-03-28
10. CHINA MOBILE LTD		2018-01-31	2019-03-28
29. CHINA MOBILE LTD		2017-09-28	2019-03-28
119. CHINA MOBILE LTD		2017-10-31	2019-03-28
20. CHINA MOBILE LTD		2018-01-12	2019-03-28
300. EXXON MOBIL CORP		2014-03-04	2019-03-28
1557. HSBC HOLDINGS PLC		2014-03-04	2019-03-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
564		498	66
564		518	46
2,205		2,015	190
1,231		1,201	30
513		527	-14
1,487		1,468	19
6,103		5,977	126
1,026		996	30
24,164		28,673	-4,509
62,907		81,903	-18,996

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			66
			46
			190
			30
			-14
			19
			126
			30
			-4,509
			-18,996

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
850. HSBC HOLDINGS PLC		2014-03-21	2019-03-28
200. 3M CO		2014-03-04	2019-03-28
101. WABTEC CORP		2019-03-01	2019-03-28
300. WALGREENS BOOTS ALLIANCE INC		2014-03-04	2019-03-28
44. INVESCO LTD		2017-09-28	2019-03-28
53. INVESCO LTD		2018-01-12	2019-03-28
166. INVESCO LTD		2017-10-31	2019-03-28
71. INVESCO LTD		2018-06-13	2019-03-28
27. INVESCO LTD		2018-01-31	2019-03-28
48. INVESCO LTD		2018-12-03	2019-03-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
34,342		42,362	-8,020
41,641		26,896	14,745
7,249		7,884	-635
18,929		20,291	-1,362
858		1,503	-645
1,034		1,992	-958
3,239		5,979	-2,740
1,385		1,973	-588
527		979	-452
937		992	-55

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-8,020
			14,745
			-635
			-1,362
			-645
			-958
			-2,740
			-588
			-452
			-55

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
95. INVESCO LTD		2018-11-26	2019-03-28
351. INVESCO LTD		2018-09-10	2019-03-28
125. INVESCO LTD		2018-09-04	2019-03-28
235. INVESCO LTD		2018-08-09	2019-03-28
140. INVESCO LTD		2018-05-23	2019-03-28
70. INVESCO LTD		2018-02-07	2019-03-28
175. INVESCO LTD		2018-05-02	2019-03-28
31. INVESCO LTD		2018-04-04	2019-03-28
29. INVESCO LTD		2018-03-14	2019-03-28
30. INVESCO LTD		2018-03-06	2019-03-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,854		1,983	-129
6,848		8,594	-1,746
2,439		2,998	-559
4,585		5,973	-1,388
2,731		3,991	-1,260
1,366		2,383	-1,017
3,414		4,976	-1,562
605		970	-365
566		977	-411
585		988	-403

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-129
			-1,746
			-559
			-1,388
			-1,260
			-1,017
			-1,562
			-365
			-411
			-403

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
30. INVESCO LTD		2018-02-27	2019-03-28
124. INVESCO LTD		2018-02-14	2019-03-28
.33 DOW INC		2019-03-28	2019-04-05
4. ALCON SA ACT NOM		2018-11-26	2019-04-25
2. ALCON SA ACT NOM		2018-12-03	2019-04-25
14. ALCON SA ACT NOM		2018-12-10	2019-04-25
8. ALCON SA ACT NOM		2019-03-28	2019-04-25
.4 ALCON SA ACT NOM		2019-03-28	2019-04-26
117. GENERAL ELECTRIC CO		2015-08-12	2019-05-16
127. GENERAL ELECTRIC CO		2015-08-24	2019-05-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
585		994	-409
2,419		3,986	-1,567
19		17	2
234		216	18
117		111	6
818		739	79
468		464	4
23		23	
1,191		2,991	-1,800
1,293		3,000	-1,707

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-409
			-1,567
			2
			18
			6
			79
			4
			-1,800
			-1,707

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
16673. GENERAL ELECTRIC CO		2014-03-04	2019-05-16
62. GENERAL ELECTRIC CO		2018-01-31	2019-05-16
2000. GENERAL ELECTRIC CO		2015-03-04	2019-05-16
. DOWDUPONT INC		2019-06-06	2019-06-06
.67 DUPONT DE NEMOURS INC		2019-05-16	2019-06-06
600. WALGREENS BOOTS ALLIANCE INC		2015-03-04	2019-06-27
5100. WALGREENS BOOTS ALLIANCE INC		2014-03-04	2019-06-27
52. AXIS CAPITAL HLDGS LTD		2018-09-04	2019-07-03
110. AXIS CAPITAL HLDGS LTD		2018-08-09	2019-07-03
155. AXIS CAPITAL HLDGS LTD		2018-09-10	2019-07-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
169,728		429,617	-259,889
631		999	-368
20,360		51,360	-31,000
18			18
48		66	-18
32,850		49,821	-16,971
279,224		344,939	-65,715
3,144		2,988	156
6,652		5,992	660
9,373		8,571	802

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-259,889
			-368
			-31,000
			18
			-18
			-16,971
			-65,715
			156
			660
			802

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
69. AXIS CAPITAL HLDGS LTD		2018-05-23	2019-07-03
37. AXIS CAPITAL HLDGS LTD		2018-11-26	2019-07-03
18. AXIS CAPITAL HLDGS LTD		2018-12-03	2019-07-03
72. AXIS CAPITAL HLDGS LTD		2019-03-28	2019-07-03
68. AXIS CAPITAL HLDGS LTD		2019-05-16	2019-07-03
35. AXIS CAPITAL HLDGS LTD		2018-06-13	2019-07-03
51. AXIS CAPITAL HLDGS LTD		2019-06-27	2019-07-03
86. AXIS CAPITAL HLDGS LTD		2018-05-02	2019-07-03
17. AXIS CAPITAL HLDGS LTD		2018-04-04	2019-07-03
18. AXIS CAPITAL HLDGS LTD		2018-03-14	2019-07-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,172		3,987	185
2,237		2,010	227
1,088		996	92
4,354		3,943	411
4,112		3,977	135
2,116		2,000	116
3,084		2,972	112
5,200		4,964	236
1,028		978	50
1,088		997	91

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			185
			227
			92
			411
			135
			116
			112
			236
			50
			91

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
19. AXIS CAPITAL HLDGS LTD		2018-03-06	2019-07-03
20. AXIS CAPITAL HLDGS LTD		2018-02-27	2019-07-03
80. AXIS CAPITAL HLDGS LTD		2018-02-14	2019-07-03
48. AXIS CAPITAL HLDGS LTD		2018-02-07	2019-07-03
19. AXIS CAPITAL HLDGS LTD		2018-01-31	2019-07-03
41. AXIS CAPITAL HLDGS LTD		2018-01-12	2019-07-03
43. DOWDUPONT INC		2019-05-16	2019-07-11
25. DOWDUPONT INC		2019-03-28	2019-07-11
15. DOWDUPONT INC		2017-07-26	2019-07-11
24. DOWDUPONT INC		2013-10-21	2019-07-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,149		994	155
1,209		988	221
4,838		3,988	850
2,903		2,382	521
1,149		959	190
2,479		2,000	479
1,130		1,054	76
657		712	-55
394		532	-138
631		535	96

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			155
			221
			850
			521
			190
			479
			76
			-55
			-138
			96

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
28. DOWDUPONT INC		2013-10-23	2019-07-11
923. DOWDUPONT INC		2014-03-04	2019-07-11
72. DOWDUPONT INC		2015-01-21	2019-07-11
22. DOWDUPONT INC		2015-08-12	2019-07-11
26. DOWDUPONT INC		2015-08-24	2019-07-11
34. DOWDUPONT INC		2016-11-10	2019-07-11
31. DOWDUPONT INC		2017-06-26	2019-07-11
8. DOWDUPONT INC		2017-09-28	2019-07-11
60. DOWDUPONT INC		2015-03-04	2019-07-11
27. DOWDUPONT INC		2017-10-31	2019-07-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
736		623	113
24,253		24,678	-425
1,892		1,735	157
578		538	40
683		554	129
893		989	-96
815		1,061	-246
210		293	-83
1,577		1,610	-33
709		1,050	-341

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			113
			-425
			157
			40
			129
			-96
			-246
			-83
			-33
			-341

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
9. DOWDUPONT INC		2018-01-12	2019-07-11
5. DOWDUPONT INC		2018-01-31	2019-07-11
11. DOWDUPONT INC		2018-02-07	2019-07-11
19. DOWDUPONT INC		2018-02-14	2019-07-11
11. DOWDUPONT INC		2018-11-26	2019-07-11
6. DOWDUPONT INC		2018-12-03	2019-07-11
37. DOWDUPONT INC		2013-10-22	2019-07-11
9. ANHEUSER-BUSCH INBEV ADR		2018-04-04	2019-07-12
13. ANHEUSER-BUSCH INBEV ADR		2017-09-28	2019-07-12
25. ANHEUSER-BUSCH INBEV ADR		2015-08-12	2019-07-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
236		364	-128
131		204	-73
289		428	-139
499		719	-220
289		340	-51
158		192	-34
972		830	142
785		972	-187
1,134		1,550	-416
2,181		2,960	-779

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-128
			-73
			-139
			-220
			-51
			-34
			142
			-187
			-416
			-779

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
22. ANHEUSER-BUSCH INBEV ADR		2018-02-07	2019-07-12
9. ANHEUSER-BUSCH INBEV ADR		2018-01-31	2019-07-12
18. ANHEUSER-BUSCH INBEV ADR		2018-01-12	2019-07-12
49. ANHEUSER-BUSCH INBEV ADR		2017-10-31	2019-07-12
66. ANHEUSER-BUSCH INBEV ADR		2017-06-26	2019-07-12
200. ANHEUSER-BUSCH INBEV ADR		2017-03-15	2019-07-12
29. ANHEUSER-BUSCH INBEV ADR		2015-08-24	2019-07-12
71. ANHEUSER-BUSCH INBEV ADR		2015-03-04	2019-07-12
81. ANHEUSER-BUSCH INBEV ADR		2015-01-21	2019-07-12
39. ANHEUSER-BUSCH INBEV ADR		2018-02-14	2019-07-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,920		2,390	-470
785		1,021	-236
1,571		2,068	-497
4,276		5,988	-1,712
5,759		7,547	-1,788
17,452		21,502	-4,050
2,530		3,016	-486
6,195		8,964	-2,769
7,068		9,543	-2,475
3,403		4,023	-620

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-470
			-236
			-497
			-1,712
			-1,788
			-4,050
			-486
			-2,769
			-2,475
			-620

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
9. ANHEUSER-BUSCH INBEV ADR		2018-02-27	2019-07-12
9. ANHEUSER-BUSCH INBEV ADR		2018-03-06	2019-07-12
9. ANHEUSER-BUSCH INBEV ADR		2018-03-14	2019-07-12
54. MOLSON COORS BREWING CO		2019-06-27	2019-07-31
263. MOLSON COORS BREWING CO		2019-07-12	2019-07-31
900. BP P L C SPONS ADR		2014-03-21	2019-08-19
247. BP P L C SPONS ADR		2015-01-21	2019-08-19
58. BP P L C SPONS ADR		2014-01-08	2019-08-19
216. BP P L C SPONS ADR		2015-03-04	2019-08-19
83. BP P L C SPONS ADR		2015-08-12	2019-08-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
785		957	-172
785		1,014	-229
785		1,024	-239
2,834		2,966	-132
13,805		14,363	-558
33,113		41,839	-8,726
9,088		9,620	-532
2,134		2,825	-691
7,947		8,988	-1,041
3,054		3,001	53

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-172
			-229
			-239
			-132
			-558
			-8,726
			-532
			-691
			-1,041
			53

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
94. BP P L C SPONS ADR		2015-08-24	2019-08-19
14. BP P L C SPONS ADR		2014-01-13	2019-08-19
57. BP P L C SPONS ADR		2014-01-10	2019-08-19
58. BP P L C SPONS ADR		2014-01-09	2019-08-19
38. ALASKA AIR GROUP INC		2018-02-07	2019-09-12
93. ALASKA AIR GROUP INC		2018-08-09	2019-09-12
15. ALASKA AIR GROUP INC		2018-01-31	2019-09-12
27. ALASKA AIR GROUP INC		2018-01-12	2019-09-12
59. ALASKA AIR GROUP INC		2018-02-14	2019-09-12
15. ALASKA AIR GROUP INC		2018-02-27	2019-09-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,459		2,978	481
515		682	-167
2,097		2,801	-704
2,134		2,840	-706
2,487		2,426	61
6,086		5,945	141
982		991	-9
1,767		1,996	-229
3,861		3,956	-95
982		975	7

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			481
			-167
			-704
			-706
			61
			141
			-9
			-229
			-95
			7

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
15. ALASKA AIR GROUP INC		2018-03-06	2019-09-12
15. ALASKA AIR GROUP INC		2018-03-14	2019-09-12
16. ALASKA AIR GROUP INC		2018-04-04	2019-09-12
77. ALASKA AIR GROUP INC		2018-05-02	2019-09-12
69. ALASKA AIR GROUP INC		2018-05-23	2019-09-12
32. ALASKA AIR GROUP INC		2018-06-13	2019-09-12
50000. SHELL INTERNATIONAL FIN		2018-03-14	2019-09-23
3000. SHELL INTERNATIONAL FIN		2013-10-01	2019-09-23
4000. SHELL INTERNATIONAL FIN		2011-03-30	2019-09-23
15000. SHELL INTERNATIONAL FIN		2009-09-17	2019-09-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
982		955	27
982		1,011	-29
1,047		970	77
5,039		4,954	85
4,515		3,997	518
2,094		1,973	121
50,000		50,000	
3,000		3,000	
4,000		4,000	
15,000		14,923	77

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			27
			-29
			77
			85
			518
			121
			77

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
200000. WESTPAC BANKING CORP		2018-03-15	2019-11-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
200,000		200,000	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
GOOD SHEPHERD REHABILITATION 501 ST JOHN STREET ALLENTOWN, PA 18103	NONE	PC	GENERAL OPERATING	100,000
WORLD CHALLENGE INCPO BOX 260 LINDALE, TX 757719990	NONE	PC	GENERAL OPERATING	15,000
BILLY GRAHAM EVANGELISTIC ASSC ATTN JASON BLAZS CHARLOTTE, NC 282010001	NONE	PC	GENERAL OPERATING	20,000
Total . . . . ▶ **3a**				550,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CHRISTIAN HEALING MINISTRY 438 W 67TH ST JACKSONVILLE, FL 322083931	NONE	PC	GENERAL OPERATING	15,000
PRISON FELLOWSHIP MINISTRIES PLANNED GIVING DEPTPO BOX 1550 Merrifield, VA 20176	NONE	PC	GENERAL OPERATING	45,000
WORLD VISION INC ATTN GIFT PLANNING MS 353 34834 WEYERHAEUSER WAY S FEDERAL WAY, WA 98001	NONE	PC	GENERAL OPERATING	165,000
Total . . . . ▶ **3a**				550,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
AFRICAN ENTERPRISE128 E PALM AVE Monrovia, CA 910165108	NONE	PC	GENERAL OPERATING	30,000
WYCLIFFE ASSOCIATES INC 11450 TRANSLATION WAY ORLANDO, FL 328620143	NONE	PC	GENERAL OPERATING	15,000
DOOR OF HOPEPO BOX 90455 PASADENA, CA 911090455	NONE	PC	GENERAL OPERATING	100,000
Total . . . . ▶ **3a**				550,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CAMPUS CRUSADE FOR CHRISTINTL PO BOX 628222 ORLANDO, FL 328320100	NONE	PC	GENERAL OPERATING	45,000
Total . . . . ▶ **3a**				550,000

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491316012210

TY 2019 Investments Corporate Bonds Schedule

Name: JEAN L POTTER FDTN

EIN: 47-1641626

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
822582AJ1 SHELL INTERNATIONAL		
797440BN3 SAN DIEGO G & E	50,045	50,695
89233P5T9 TOYOTA MOTOR CREDIT	100,722	102,859
912828ND8 U.S. TREASURY NOTE	22,034	22,147
36966TJS2 GENERAL ELEC CAP COR	53,588	50,717
458140BD1 INTEL CORP	98,251	103,874
68389XBA2 ORACLE CORP	50,003	50,765
713448BR8 PEPSICO INC	100,523	101,119
912828SF8 U.S. TREASURY NOTE 2	73,422	74,630
94974BFR6 WELLS FARGO & COMPAN	151,043	151,751
532457BP2 ELI LILLY & CO	50,914	52,419
717081DM2 PFIZER INC	100,950	105,807
89236TBJ3 TOYOTA MOTOR CREDIT	100,024	101,224
931142CU5 WAL-MART STORES INC	100,606	100,886
084664BT7 BERKSHIRE HATHAWAY F	100,188	102,740
17275RAE2 CISCO SYSTEMS INC		
3137EACA5 FEDERAL HOME LN MTG		
06406HBY4 BANK OF NEW YORK MEL	101,036	102,744
172967FT3 CITIGROUP INC	10,529	11,533
24422ETC3 JOHN DEERE CAPITAL C	100,159	106,272

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
46625HRY8 JPMORGAN CHASE & CO	102,695	107,839
58933YAR6 MERCK & CO INC	100,311	103,760
594918BJ2 MICROSOFT CORP	100,177	105,711
594918BQ6 MICROSOFT CORP	99,219	100,714
68389XBD6 ORACLE CORP	51,248	53,314
717081DH3 PFIZER INC	100,210	103,975
94974BFQ8 WELLS FARGO & COMPAN		
037833AK6 APPLE INC	24,454	25,427
084670BF4 BERKSHIRE HATHAWAY I	102,085	103,584
191216BS8 COCA-COLA CO/THE	97,095	104,276
191216BW9 COCA-COLA CO/THE	97,245	102,229
478160AW4 JOHNSON & JOHNSON	201,217	201,568
437076BT8 HOME DEPOT INC	94,977	104,024
713448CG1 PEPSICO INC	50,862	51,392
89114QBC1 TORONTO-DOMINION BAN	89,378	90,518
931142DP5 WAL-MART STORES INC	103,969	105,174
459200HP9 IBM CORP	101,894	104,733
961214BK8 WESTPAC BANKING CORP		
22160KAM7 COSTCO WHOLESALE COR	96,963	105,496
25468PDK9 WALT DISNEY COMPANY/	100,872	105,309

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
341081FK8 FLORIDA POWER & LIGH	101,747	104,753
36966TJT0 GENERAL ELEC CAP COR	65,761	63,003
46625HJD3 JPMORGAN CHASE & CO	103,499	105,002
3133EJBP3 FEDERAL FARM CREDIT	100,831	102,609
822582CC4 SHELL INTERNATIONAL	99,832	99,737

TY 2019 Investments Corporate Stock Schedule

Name: JEAN L POTTER FDTN

EIN: 47-1641626

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
68389X105 ORACLE CORP	16,908	26,490
713448108 PEPSICO INC	123,792	173,708
747525103 QUALCOMM INC	66,452	97,141
771195104 ROCHE HLDG LTD SPONS		
904767704 UNILEVER PLC AMER SH	14,930	19,952
911312106 UNITED PARCEL SERVIC	91,699	95,872
46625H100 J P MORGAN CHASE & C	58,450	131,315
651229106 NEWELL RUBBERMAID IN		
87612E106 TARGET CORP	56,397	106,543
92334N103 VEOLIA ENVIRONNEMENT		
931142103 WAL MART STORES INC	24,731	36,840
931427108 WALGREENS BOOTS ALLI		
G0692U109 AXIS CAPITAL HLDGS L		
00287Y109 ABBVIE INC	102,195	120,946
037833100 APPLE INC	88,947	189,698
291011104 EMERSON ELECTRIC CO	69,790	79,387
456837103 ING GROEP N V SPONSO	61,597	45,850
594918104 MICROSOFT CORP COM	69,417	185,928
636274409 NATIONAL GRID PLC	78,724	87,863
717081103 PFIZER INC	138,201	159,894
88579Y101 3M CO	396,315	503,856
89353D107 TRANSCANADA CORP		
580135101 MC DONALDS CORPORATI	13,343	27,468
80105N105 SANOFI-SYNTHELABO	97,661	99,446
806857108 SCHLUMBERGER LTD	23,858	11,256
882508104 TEXAS INSTRUMENTS IN	19,967	24,888
92343V104 VERIZON COMMUNICATIO	99,682	122,677
92857W308 VODAFONE GROUP PLC	235,059	141,979
949746101 WELLS FARGO & CO NEW	246,804	265,664
02079K107 ALPHABET INC SHS	13,857	40,111

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
002824100 ABBOTT LABORATORIES	7,475	13,203
031162100 AMGEN INC	40,077	72,321
247361702 DELTA AIR LINES INC	55,044	58,246
26078J100 DOWDUPONT INC COM		
369604103 GENERAL ELECTRIC CO		
37733W105 GLAXOSMITHKLINE PLC	57,698	68,746
456788108 INFOSYS LTD	56,898	64,056
458140100 INTEL CORPORATION	150,953	262,263
718172109 PHILIP MORRIS INTERN	128,195	127,039
855244109 STARBUCKS CORP	9,555	12,397
913017109 UNITED TECHNOLOGIES	102,198	139,576
369550108 GENERAL DYNAMICS COR	50,281	48,673
064149107 BANK OF NOVA SCOTIA/	44,779	42,480
09062X103 BIOGEN IDEC INC	23,640	29,673
25243Q205 DIAGEO PLC SPON ADR	65,726	88,252
404280406 HSBC HLDGS PLC		
438516106 HONEYWELL INTERNATIO	42,468	49,029
66987V109 NOVARTIS AG SPNSRD A	15,205	17,991
000375204 ABB LTD	99,258	108,333
03524A108 ANHEUSER-BUSCH INBEV		
126650100 CVS HEALTH CORP	102,356	120,573
156700106 CENTURYLINK INC	40,581	27,292
172967424 CITIGROUP INC	16,793	42,821
478160104 JOHNSON & JOHNSON	73,927	89,272
58933Y105 MERCK AND CO INC SHS	93,546	149,886
742718109 PROCTER & GAMBLE CO/	136,474	205,710
744320102 PRUDENTIAL FINANCIAL	39,563	37,496
00206R102 AT&T INC	106,384	121,812
011659109 ALASKA AIR GROUP, IN		
055622104 BP P L C SPONS ADR		

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
11135F101 BROADCOM INC	56,995	74,581
149123101 CATERPILLAR INC	33,677	57,448
254687106 WALT DISNEY CO/THE	78,024	119,898
29250N105 ENBRIDGE INC	53,258	62,518
30231G102 EXXON MOBIL CORP	550,638	407,655
370334104 GENERAL MILLS INC	64,672	71,503
40434L105 HP INC		
500754106 KRAFT HEINZ CO/THE	130,436	76,469
548661107 LOWES COMPANIES INC	17,280	53,293
57636Q104 MASTERCARD INC	13,752	84,501
780259107 ROYAL DUTCH SHELL PL	107,780	106,567
874039100 TAIWAN SEMICONDUCTOR	14,826	22,369
884903709 THOMSON REUTERS CORP		
G491BT108 INVESCO LTD		
16941M109 CHINA MOBILE HONG KO		
17275R102 CISCO SYSTEMS INC	180,288	301,620
260003108 DOVER CORP	3,242	14,177
718546104 PHILLIPS 66	96,497	120,768
09247X101 BLACKROCK INC	31,300	36,194
20030N101 COMCAST CORP	67,934	96,910
37045V100 GENERAL MOTORS CO	162,457	165,066
459200101 INTERNATIONAL BUSINE	320,497	238,189
460146103 INTERNATIONAL PAPER	55,901	50,793
874060205 TAKEDA PHARMACEUTICA	5,998	6,728
891160509 TORONTO-DOMINION BAN	65,981	62,529
482480100 KLA-TENCOR CORP	11,857	18,173
553530106 MSC INDUSTRIAL DIREC	14,335	15,537
G5960L103 MEDTRONIC PLC SHS	3,025	3,517
21870Q105 CORESITE REALTY CORP	32,232	34,869
493267108 KEYCORP NEW COM	9,995	11,739

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
512807108 LAM RESH CORP COM	10,789	16,959
828806109 SIMON PROPERTY GROUP	7,158	6,256
260557103 DOW INC	99,107	110,117
539830109 LOCKHEED MARTIN CORP	116,921	152,637
26614N102 DUPONT DE NEMOURS IN	126,447	109,589
87807B107 TC ENERGY CORP	74,130	88,281

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491316012210

TY 2019 Investments - Other Schedule

Name: JEAN L POTTER FDTN

EIN: 47-1641626

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
18469P100 CLEARBRIDGE ENERGY M			
464286319 ISHARES EMERGING MAR	AT COST	105,661	105,003
184692101 CLEARBRIDGE ENERGY M			
464287663 ISHARES CORE S&P U.S	AT COST	89,987	103,668
46434V621 ISHARES CORE DIVIDEN	AT COST	119,941	140,009
464288448 SHARES DJ EPAC SEL D	AT COST	132,398	136,496
464287671 ISHARES CORE S&P U.S	AT COST	89,934	105,518
486606106 KAYNE ANDERSON MLP I	AT COST	13,168	6,672

TY 2019 Other Decreases Schedule

Name: JEAN L POTTER FDTN

EIN: 47-1641626

Description	Amount
MUTUAL FUND TIMING DIFFERENCE	141
COST BASIS ADJUSTMENT	14,106
PURCHASE OF ACCRUED INTEREST C/O TO FY	100

TY 2019 Other Expenses Schedule

Name: JEAN L POTTER FDTN

EIN: 47-1641626

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OTHER INVESTMENT EXPENSES	823	823		0

TY 2019 Taxes Schedule

Name: JEAN L POTTER FDTN

EIN: 47-1641626

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	5,914	5,914		0
FEDERAL TAX PAYMENT - PRIOR YE	2,599	0		0
FEDERAL ESTIMATES - PRINCIPAL	6,056	0		0
FOREIGN TAXES ON NONQUALIFIED	301	301		0