

Form 990-PF

Return of Private Foundation

OMB No 1545-0047

2019

Open to Public Inspection

Department of the Treasury Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation Do not enter social security numbers on this form as it may be made public. Go to www.irs.gov/Form990PF for instructions and the latest information.

For calendar year 2019 or tax year beginning 2019, and ending 20

Name of foundation JAMES M COX FOUNDATION A Employer identification number 47-0719195

Number and street (or P O box number if mail is not delivered to street address) PO BOX 0634 Room/suite B Telephone number (see instructions) 402-536-5129

City or town, state or province, country, and ZIP or foreign postal code MILWAUKEE, WI 53201-0634 C If exemption application is pending, check here [ ]

G Check all that apply: Initial return [ ] Final return [ ] Address change [ ] Initial return of a former public charity [ ] Amended return [ ] Name change [ ]

H Check type of organization [X] Section 501(c)(3) exempt private foundation [ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation 04

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 2,210,429 J Accounting method [X] Cash [ ] Accrual [ ] Other (specify) (Part I, column (d), must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

Table with 5 columns: (a) Revenue and expenses per books, (b) Net investment income, (c) Adjusted net income, (d) Disbursements for charitable purposes (cash basis only). Rows include Revenue (1-12) and Operating and Administrative Expenses (13-26), ending with Net investment income and Adjusted net income.

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| Part II Balance Sheets      |   | Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)                | Beginning of year |                |                       | End of year    |                |                       |
|-----------------------------|---|---|-------------------|----------------|-----------------------|----------------|----------------|-----------------------|
|                             |   |   | (a) Book Value    | (b) Book Value | (c) Fair Market Value | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets                      | 1   | Cash - non-interest-bearing . . . . .   | 3,539.            | 4,488.         | 4,488.                |                |                |                       |
|                             | 2   | Savings and temporary cash investments . . . . .  | 12,156.           | 67,711.        | 67,711.               |                |                |                       |
|                             | 3   | Accounts receivable ▶   |                   |                |                       |                |                |                       |
|                             |   | Less allowance for doubtful accounts ▶  |                   |                |                       |                |                |                       |
|                             | 4   | Pledges receivable ▶  |                   |                |                       |                |                |                       |
|                             |   | Less allowance for doubtful accounts ▶  |                   |                |                       |                |                |                       |
|                             | 5   | Grants receivable . . . . .   |                   |                |                       |                |                |                       |
|                             | 6   | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . . |                   |                |                       |                |                |                       |
|                             | 7   | Other notes and loans receivable (attach schedule) ▶  |                   |                |                       |                |                |                       |
|                             |   | Less allowance for doubtful accounts ▶ NONE   |                   |                |                       |                |                |                       |
|                             | 8   | Inventories for sale or use . . . . .   |                   |                |                       |                |                |                       |
|                             | 9   | Prepaid expenses and deferred charges . . . . .   |                   |                |                       |                |                |                       |
|                             | 10a   | Investments - U S and state government obligations (attach schedule) . . . . .  |                   |                |                       |                |                |                       |
|                             | b   | Investments - corporate stock (attach schedule) . STMT 7 . . . . .  | 980,388.          | 880,874.       | 1,492,455.            |                |                |                       |
|                             | c   | Investments - corporate bonds (attach schedule) . STMT 10 . . . . .   | 609,997.          | 613,958.       | 615,172.              |                |                |                       |
|                             | 11  | Investments - land, buildings, and equipment basis ▶  |                   |                |                       |                |                |                       |
|                             | Less accumulated depreciation ▶ (attach schedule)   |   |                   |                |                       |                |                |                       |
| 12                          | Investments - mortgage loans . . . . .  |   |                   |                |                       |                |                |                       |
| 13                          | Investments - other (attach schedule) . . . . . STMT 11 . . . . .   |   | 30,916.           | 28,288.        |                       |                |                |                       |
| 14                          | Land, buildings, and equipment basis ▶  |   |                   |                |                       |                |                |                       |
|                             | Less accumulated depreciation ▶ (attach schedule)   |   |                   |                |                       |                |                |                       |
| 15                          | Other assets (describe ▶ STMT 12) . . . . .   | 2,091.  | 2,315.            | 2,315.         |                       |                |                |                       |
| 16                          | <b>Total assets</b> (to be completed by all filers - see the instructions Also, see page 1, item I) . . . . . | 1,608,171.  | 1,600,262.        | 2,210,429.     |                       |                |                |                       |
| Liabilities                 | 17  | Accounts payable and accrued expenses . . . . .   |                   |                |                       |                |                |                       |
|                             | 18  | Grants payable . . . . .  |                   |                |                       |                |                |                       |
|                             | 19  | Deferred revenue . . . . .  |                   |                |                       |                |                |                       |
|                             | 20  | Loans from officers, directors, trustees, and other disqualified persons . . . . .  |                   |                |                       |                |                |                       |
|                             | 21  | Mortgages and other notes payable (attach schedule) . . . . .   |                   |                |                       |                |                |                       |
|                             | 22  | Other liabilities (describe ▶ ) . . . . .   |                   |                |                       |                |                |                       |
| 23                          | <b>Total liabilities</b> (add lines 17 through 22) . . . . .  |   |                   | NONE           |                       |                |                |                       |
| Net Assets or Fund Balances |   | <b>Foundations that follow FASB ASC 958, check here</b> ▶ <input type="checkbox"/>  |                   |                |                       |                |                |                       |
|                             |   | <b>and complete lines 24, 25, 29, and 30.</b>   |                   |                |                       |                |                |                       |
|                             | 24  | Net assets without donor restrictions . . . . .   |                   |                |                       |                |                |                       |
|                             | 25  | Net assets with donor restrictions . . . . .  |                   |                |                       |                |                |                       |
|                             |   | <b>Foundations that do not follow FASB ASC 958, check here</b> ▶ <input checked="" type="checkbox"/>                              |                   |                |                       |                |                |                       |
|                             |   | <b>and complete lines 26 through 30</b>   |                   |                |                       |                |                |                       |
|                             | 26  | Capital stock, trust principal, or current funds . . . . .  | 1,608,171.        | 1,600,262.     |                       |                |                |                       |
| 27                          | Paid-in or capital surplus, or land, bldg, and equipment fund . . . . .                                       |   |                   |                |                       |                |                |                       |
| 28                          | Retained earnings, accumulated income, endowment, or other funds . . . . .                                    |   |                   |                |                       |                |                |                       |
| 29                          | <b>Total net assets or fund balances</b> (see instructions) . . . . .   | 1,608,171.  | 1,600,262.        |                |                       |                |                |                       |
| 30                          | <b>Total liabilities and net assets/fund balances</b> (see instructions) . . . . .                            | 1,608,171.  | 1,600,262.        |                |                       |                |                |                       |

| Part III Analysis of Changes in Net Assets or Fund Balances |  |            |
|---|--|------------|
| 1   | Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) . . . . . | 1,608,171. |
| 2   | Enter amount from Part I, line 27a . . . . .   | -6,936.    |
| 3   | Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 13  | 27.        |
| 4   | Add lines 1, 2, and 3 . . . . .  | 1,601,262. |
| 5   | Decreases not included in line 2 (itemize) ▶ CORTEVA INC SPIN OFF ADJ  | 1,000.     |
| 6   | <b>Total net assets or fund balances at end of year</b> (line 4 minus line 5) - Part II, column (b), line 29 . . . . .   | 1,600,262. |

**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)  |   |   | (b) How acquired<br>P - Purchase<br>D - Donation                                       | (c) Date acquired<br>(mo, day, yr) | (d) Date sold<br>(mo, day, yr) |
|---|---|---|--|------------------------------------|--------------------------------|
| <b>1 a PUBLICLY TRADED SECURITIES</b>   |   |   |  |                                    |                                |
| <b>b</b>  |   |   |  |                                    |                                |
| <b>c</b>  |   |   |  |                                    |                                |
| <b>d</b>  |   |   |  |                                    |                                |
| <b>e</b>  |   |   |  |                                    |                                |
| (e) Gross sales price   | (f) Depreciation allowed<br>(or allowable)  | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g)   |                                    |                                |
| <b>a</b> 716,122.   |   | 633,513.  | 82,609.  |                                    |                                |
| <b>b</b>  |   |   |  |                                    |                                |
| <b>c</b>  |   |   |  |                                    |                                |
| <b>d</b>  |   |   |  |                                    |                                |
| <b>e</b>  |   |   |  |                                    |                                |
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69   |   |   | (i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |                                    |                                |
| (i) FMV as of 12/31/69  | (j) Adjusted basis<br>as of 12/31/69  | (k) Excess of col (i)<br>over col (j), if any   |  |                                    |                                |
| <b>a</b>  |   |   | 82,609.  |                                    |                                |
| <b>b</b>  |   |   |  |                                    |                                |
| <b>c</b>  |   |   |  |                                    |                                |
| <b>d</b>  |   |   |  |                                    |                                |
| <b>e</b>  |   |   |  |                                    |                                |
| <b>2</b> Capital gain net income or (net capital loss)  | { If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7 |   | <b>2</b>   | 82,609.                            |                                |
| <b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):<br>If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8 |   |   | <b>3</b>   |                                    |                                |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
 If "Yes," the foundation doesn't qualify under section 4940(e) Do not complete this part

**1** Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a)<br>Base period years<br>Calendar year (or tax year beginning in)  | (b)<br>Adjusted qualifying distributions | (c)<br>Net value of noncharitable-use assets | (d)<br>Distribution ratio<br>(col (b) divided by col (c)) |
|---|--|--|---|
| 2018  | 129,501.                                 | 2,104,339.                                   | 0.061540  |
| 2017  | 137,115.                                 | 2,101,090.                                   | 0.065259  |
| 2016  | 139,379.                                 | 1,966,245.                                   | 0.070886  |
| 2015  | 165,800.                                 | 2,088,919.                                   | 0.079371  |
| 2014  | 196,741.                                 | 2,192,062.                                   | 0.089752  |
| <b>2</b> Total of line 1, column (d)  |  |  | <b>2</b> 0.366808   |
| <b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years                                     |  |  | <b>3</b> 0.073362   |
| <b>4</b> Enter the net value of noncharitable-use assets for 2019 from Part X, line 5   |  |  | <b>4</b> 2,067,429.                                       |
| <b>5</b> Multiply line 4 by line 3.   |  |  | <b>5</b> 151,671.   |
| <b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)   |  |  | <b>6</b> 1,280.   |
| <b>7</b> Add lines 5 and 6.   |  |  | <b>7</b> 152,951.   |
| <b>8</b> Enter qualifying distributions from Part XII, line 4<br>If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions. |  |  | <b>8</b> 132,532.   |

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

Table with 11 rows for excise tax calculations. Includes items like 'Exempt operating foundations', 'Domestic foundations', 'Tax under section 511', and 'Credits/Payments'. Total tax due is 956, with 956 refunded.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Questions include 'Did the foundation attempt to influence any national, state, or local legislation?', 'Did it spend more than \$100 during the year for political purposes?', and 'Did the foundation have at least \$5,000 in assets at any time during the year?'. Includes a handwritten '2' next to question 9.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions . . . . . 11 Yes No X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions. . . . . 12 Yes No X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A 13 Yes No X
14 The books are in care of US BANK NATIONAL ASSOCIATION Telephone no (402) 536-5129 Located at 1700 FARNAM ST, OMAHA, NE ZIP+4 68102
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year. . . . . 15
16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . . 16 Yes No X
See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year, did the foundation (either directly or indirectly)
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . . Yes No X
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . Yes No X
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . . Yes No X
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . . Yes No X
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . Yes No X
(6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) . . . . . Yes No X
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions . . . . . 1b Yes No X
Organizations relying on a current notice regarding disaster assistance, check here . . . . .
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019? . . . . . 1c Yes No X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))
a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? . . . . . Yes No X
If "Yes," list the years
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) . . . . . 2b Yes No
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . Yes No X
b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019) . . . . . 3b Yes No
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a Yes No X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019? 4b Yes No X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

|  |  |                                     |            |  |
|--|--|-------------------------------------|------------|--|
| <b>5a</b> During the year, did the foundation pay or incur any amount to |  |                                     | <b>Yes</b> | <b>No</b>                              |
| (1)  | Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  | <input type="checkbox"/>            | Yes        | <input checked="" type="checkbox"/> No |
| (2)  | Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?  | <input type="checkbox"/>            | Yes        | <input checked="" type="checkbox"/> No |
| (3)  | Provide a grant to an individual for travel, study, or other similar purposes?   | <input checked="" type="checkbox"/> | Yes        | <input type="checkbox"/> No            |
| (4)  | Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions  | <input type="checkbox"/>            | Yes        | <input checked="" type="checkbox"/> No |
| (5)  | Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  | <input type="checkbox"/>            | Yes        | <input checked="" type="checkbox"/> No |
| <b>b</b>   | If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions                  |                                     |            | <b>5b</b>                              |
|  | Organizations relying on a current notice regarding disaster assistance, check here  | <input type="checkbox"/>            |            | X                                      |
| <b>c</b>   | If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945-5(d) | <input type="checkbox"/>            | Yes        | <input type="checkbox"/> No            |
| <b>6a</b>  | Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  | <input type="checkbox"/>            | Yes        | <input checked="" type="checkbox"/> No |
| <b>b</b>   | Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870  |                                     |            | <b>6b</b>                              |
|  |  |                                     |            | X                                      |
| <b>7a</b>  | At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?   | <input type="checkbox"/>            | Yes        | <input checked="" type="checkbox"/> No |
| <b>b</b>   | If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?  |                                     |            | <b>7b</b>                              |
| <b>8</b>   | Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?   | <input type="checkbox"/>            | Yes        | <input checked="" type="checkbox"/> No |

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------|---|---|---|---------------------------------------|
| SEE STATEMENT 14     |   | 29,465.                                   |   |                                       |
|                      |   |   |   |                                       |
|                      |   |   |   |                                       |
|                      |   |   |   |                                       |
|                      |   |   |   |                                       |

**2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."**

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|------------------|---|---------------------------------------|
| NONE  |   | NONE             | NONE  | NONE                                  |
|   |   |                  |   |                                       |
|   |   |                  |   |                                       |
|   |   |                  |   |                                       |
|   |   |                  |   |                                       |

**Total number of other employees paid over \$50,000** ▶ **NONE**

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)

**3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."**

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|---|---------------------|------------------|
| NONE  |                     | NONE             |
|   |                     |                  |
|   |                     |                  |
|   |                     |                  |
|   |                     |                  |
|   |                     |                  |

**Total number of others receiving over \$50,000 for professional services** . . . . . **NONE**

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

| Expenses |
|----------|
| 1 NONE   |
| 2        |
| 3        |
| 4        |

**Part IX-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

| Amount   |
|--|
| 1 NONE   |
| 2  |
| All other program-related investments See instructions |
| 3 NONE   |
| <b>Total. Add lines 1 through 3</b> . . . . .          |

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

|          |   |           |            |
|----------|---|-----------|------------|
| <b>1</b> | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes          |           |            |
| <b>a</b> | Average monthly fair market value of securities . . . . .   | <b>1a</b> | 2,058,224. |
| <b>b</b> | Average of monthly cash balances . . . . .  | <b>1b</b> | 40,689.    |
| <b>c</b> | Fair market value of all other assets (see instructions). . . . .   | <b>1c</b> | NONE       |
| <b>d</b> | <b>Total</b> (add lines 1a, b, and c) . . . . .   | <b>1d</b> | 2,098,913. |
| <b>e</b> | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . . . | <b>1e</b> |            |
| <b>2</b> | Acquisition indebtedness applicable to line 1 assets . . . . .  | <b>2</b>  | NONE       |
| <b>3</b> | Subtract line 2 from line 1d. . . . .   | <b>3</b>  | 2,098,913. |
| <b>4</b> | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .  | <b>4</b>  | 31,484.    |
| <b>5</b> | <b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4         | <b>5</b>  | 2,067,429. |
| <b>6</b> | <b>Minimum investment return.</b> Enter 5% of line 5 . . . . .  | <b>6</b>  | 103,371.   |

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here  and do not complete this part.)

|           |   |           |          |
|-----------|---|-----------|----------|
| <b>1</b>  | Minimum investment return from Part X, line 6 . . . . .   | <b>1</b>  | 103,371. |
| <b>2a</b> | Tax on investment income for 2019 from Part VI, line 5 . . . . .  | <b>2a</b> | 2,560.   |
| <b>b</b>  | Income tax for 2019. (This does not include the tax from Part VI.) . . . . .                                      | <b>2b</b> |          |
| <b>c</b>  | Add lines 2a and 2b. . . . .  | <b>2c</b> | 2,560.   |
| <b>3</b>  | Distributable amount before adjustments. Subtract line 2c from line 1. . . . .                                    | <b>3</b>  | 100,811. |
| <b>4</b>  | Recoveries of amounts treated as qualifying distributions. . . . .  | <b>4</b>  | 2,208.   |
| <b>5</b>  | Add lines 3 and 4 . . . . .   | <b>5</b>  | 103,019. |
| <b>6</b>  | Deduction from distributable amount (see instructions). . . . .   | <b>6</b>  | NONE     |
| <b>7</b>  | <b>Distributable amount</b> as adjusted. Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . . | <b>7</b>  | 103,019. |

**Part XII Qualifying Distributions** (see instructions)

|          |   |           |          |
|----------|---|-----------|----------|
| <b>1</b> | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes   |           |          |
| <b>a</b> | Expenses, contributions, gifts, etc - total from Part I, column (d), line 26. . . . .   | <b>1a</b> | 132,532. |
| <b>b</b> | Program-related investments - total from Part IX-B. . . . .   | <b>1b</b> |          |
| <b>2</b> | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes. . . . .  | <b>2</b>  | NONE     |
| <b>3</b> | Amounts set aside for specific charitable projects that satisfy the   |           |          |
| <b>a</b> | Suitability test (prior IRS approval required) . . . . .  | <b>3a</b> | NONE     |
| <b>b</b> | Cash distribution test (attach the required schedule) . . . . .   | <b>3b</b> | NONE     |
| <b>4</b> | <b>Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4  | <b>4</b>  | 132,532. |
| <b>5</b> | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b See instructions. . . . . | <b>5</b>  | N/A      |
| <b>6</b> | <b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4 . . . . .   | <b>6</b>  | 132,532. |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income** (see instructions)

|   | (a)<br>Corpus | (b)<br>Years prior to 2018 | (c)<br>2018 | (d)<br>2019 |
|---|---------------|----------------------------|-------------|-------------|
| <b>1</b> Distributable amount for 2019 from Part XI, line 7 . . . . .   |               |                            |             | 103,019.    |
| <b>2</b> Undistributed income, if any, as of the end of 2019  |               |                            |             |             |
| <b>a</b> Enter amount for 2018 only. . . . .  |               |                            | NONE        |             |
| <b>b</b> Total for prior years 20____,20____,20____   |               | NONE                       |             |             |
| <b>3</b> Excess distributions carryover, if any, to 2019  |               |                            |             |             |
| <b>a</b> From 2014 . . . . .  | 88,586.       |                            |             |             |
| <b>b</b> From 2015 . . . . .  | 59,132.       |                            |             |             |
| <b>c</b> From 2016 . . . . .  | 41,107.       |                            |             |             |
| <b>d</b> From 2017 . . . . .  | 33,460.       |                            |             |             |
| <b>e</b> From 2018 . . . . .  | 26,797.       |                            |             |             |
| <b>f</b> Total of lines 3a through e . . . . .  | 249,082.      |                            |             |             |
| <b>4</b> Qualifying distributions for 2019 from Part XII, line 4 ▶ \$ <u>132,532.</u>   |               |                            |             |             |
| <b>a</b> Applied to 2018, but not more than line 2a . . .   |               |                            | NONE        |             |
| <b>b</b> Applied to undistributed income of prior years (Election required - see instructions) . . . . .  |               | NONE                       |             |             |
| <b>c</b> Treated as distributions out of corpus (Election required - see instructions) . . . . .  | NONE          |                            |             |             |
| <b>d</b> Applied to 2019 distributable amount. . . . .  |               |                            |             | 103,019.    |
| <b>e</b> Remaining amount distributed out of corpus. . .  | 29,513.       |                            |             |             |
| <b>5</b> Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a) ) . . . . .  | NONE          |                            |             | NONE        |
| <b>6</b> Enter the net total of each column as indicated below:   |               |                            |             |             |
| <b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5  | 278,595.      |                            |             |             |
| <b>b</b> Prior years' undistributed income Subtract line 4b from line 2b. . . . .   |               | NONE                       |             |             |
| <b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . . |               | NONE                       |             |             |
| <b>d</b> Subtract line 6c from line 6b Taxable amount - see instructions . . . . .  |               | NONE                       |             |             |
| <b>e</b> Undistributed income for 2018 Subtract line 4a from line 2a Taxable amount - see instructions . . . . .  |               |                            | NONE        |             |
| <b>f</b> Undistributed income for 2019 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2020. . . . .   |               |                            |             | NONE        |
| <b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) . . . . .       | NONE          |                            |             |             |
| <b>8</b> Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions) . . .  | 88,586.       |                            |             |             |
| <b>9</b> Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a . . . . .  | 190,009.      |                            |             |             |
| <b>10</b> Analysis of line 9  |               |                            |             |             |
| <b>a</b> Excess from 2015 . . .   | 59,132.       |                            |             |             |
| <b>b</b> Excess from 2016 . . .   | 41,107.       |                            |             |             |
| <b>c</b> Excess from 2017 . . .   | 33,460.       |                            |             |             |
| <b>d</b> Excess from 2018 . . .   | 26,797.       |                            |             |             |
| <b>e</b> Excess from 2019 . . .   | 29,513.       |                            |             |             |

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling . . . . .

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .

|   | Tax year |          | Prior 3 years |          | (e) Total |
|---|----------|----------|---------------|----------|-----------|
|   | (a) 2019 | (b) 2018 | (c) 2017      | (d) 2016 |           |
| b 85% of line 2a . . . . .  |          |          |               |          |           |
| c Qualifying distributions from Part XII, line 4, for each year listed . . . . .  |          |          |               |          |           |
| d Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .   |          |          |               |          |           |
| e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c . . . . .                                    |          |          |               |          |           |
| 3 Complete 3a, b, or c for the alternative test relied upon . . . . .   |          |          |               |          |           |
| a "Assets" alternative test - enter   |          |          |               |          |           |
| (1) Value of all assets . . . . .   |          |          |               |          |           |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .   |          |          |               |          |           |
| b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .                              |          |          |               |          |           |
| c "Support" alternative test - enter  |          |          |               |          |           |
| (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . . |          |          |               |          |           |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .                                      |          |          |               |          |           |
| (3) Largest amount of support from an exempt organization . . . . .   |          |          |               |          |           |
| (4) Gross investment income . . . . .   |          |          |               |          |           |

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed

SEE STATEMENT 16

b The form in which applications should be submitted and information and materials they should include:

SEE ATTACHED STATEMENT FOR LINE 2

c Any submission deadlines:

SEE ATTACHED STATEMENT FOR LINE 2

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE ATTACHED STATEMENT FOR LINE 2

**Part XV** Supplementary Information *(continued)*

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business)      | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount   |
|---|--|--------------------------------------|-------------------------------------|----------|
| <b>a Paid during the year</b><br><br>SEE STATEMENT 28 |  |                                      |                                     | 113,150. |
| <b>Total</b> ..... ▶ <b>3a</b>                        |  |                                      |                                     | 113,150. |
| <b>b Approved for future payment</b>                  |  |                                      |                                     |          |
| <b>Total</b> ..... ▶ <b>3b</b>                        |  |                                      |                                     |          |





FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

| DESCRIPTION                              | REVENUE<br>AND<br>EXPENSES<br>PER BOOKS | NET<br>INVESTMENT<br>INCOME |
|--|---|-----------------------------|
| USGI REPORTED AS NONQUALIFIED DIVIDENDS  | 474.                                    | 474.                        |
| FOREIGN DIVIDENDS                        | 8,584.                                  | 8,584.                      |
| NONDIVIDEND DISTRIBUTIONS                | 30.                                     |                             |
| DOMESTIC DIVIDENDS                       | 20,478.                                 | 20,478.                     |
| CORPORATE INTEREST                       | 3,561.                                  | 3,561.                      |
| US GOVERNMENT INTEREST REPORTED AS QUALI | 20.                                     | 20.                         |
| NONQUALIFIED FOREIGN DIVIDENDS           | 1,808.                                  | 1,808.                      |
| NONQUALIFIED DOMESTIC DIVIDENDS          | 24,194.                                 | 24,194.                     |
| SECTION 199A DIVIDENDS                   | 1,887.                                  | 1,887.                      |
| TOTAL                                    | 61,036.                                 | 61,006.                     |

FORM 990PF, PART I - OTHER INCOME  
=====

| DESCRIPTION<br>----- | REVENUE<br>AND<br>EXPENSES<br>PER BOOKS<br>----- |
|----------------------|--|
| GRANT RECOVERIES     | 2,208.   |
| TOTALS               | -----<br>2,208.<br>=====                         |

FORM 990PF, PART I - LEGAL FEES  
=====

| DESCRIPTION                     | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME | ADJUSTED NET INCOME | CHARITABLE PURPOSES |
|---------------------------------|--------------------------------|-----------------------|---------------------|---------------------|
| LEGAL FEES - INCOME (ALLOCABLE) | 3,650.                         |                       |                     | 3,650.              |
| TOTALS                          | 3,650.                         | NONE                  | NONE                | 3,650.              |

FORM 990PF, PART I - ACCOUNTING FEES  
=====

| DESCRIPTION                    | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME | ADJUSTED NET INCOME | CHARITABLE PURPOSES |
|--------------------------------|--------------------------------|-----------------------|---------------------|---------------------|
| TAX PREPARATION FEE (NON-ALLOC | 1,000.                         |                       |                     | 1,000.              |
| TOTALS                         | 1,000.                         | NONE                  | NONE                | 1,000.              |

FORM 990PF, PART I - TAXES

=====

| DESCRIPTION                    | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|--------------------------------|--------------------------------|-----------------------|
| FOREIGN TAXES                  | 871.                           | 871.                  |
| FEDERAL TAX PAYMENT - PRIOR YE | 1,113.                         |                       |
| FEDERAL ESTIMATES - PRINCIPAL  | 3,516.                         |                       |
| FOREIGN TAXES ON NONQUALIFIED  | 24.                            | 24.                   |
| TOTALS                         | 5,524.                         | 895.                  |

FORM 990PF, PART I - OTHER EXPENSES  
=====

| DESCRIPTION<br>----- | REVENUE<br>AND<br>EXPENSES<br>PER BOOKS<br>----- |
|----------------------|--|
| ADR FEES             |  |
|                      | TOTALS   |

FORM 990PF, PART II - CORPORATE STOCK

=====

| DESCRIPTION                    | BEGINNING<br>BOOK VALUE | ENDING<br>BOOK VALUE | ENDING<br>FMV |
|--------------------------------|-------------------------|----------------------|---------------|
| 92343V104 VERIZON COMMUNICATIO | 5,407.                  | 5,407.               | 9,210.        |
| 56585A102 MARATHON PETROLEUM C | 5,870.                  | 7,486.               | 9,038.        |
| 931142103 WAL MART STORES INC  | 12,386.                 | 12,386.              | 19,609.       |
| 742718109 PROCTER GAMBLE CO    | 2,518.                  |                      |               |
| 125896100 C M S ENERGY CORP    | 1,390.                  |                      |               |
| 808509640 LAUDUS INTERNATIONAL | 40,390.                 |                      |               |
| 378690606 GLENMEDE SC EQUITY P | 49,580.                 |                      |               |
| 91324P102 UNITED HEALTH GROUP  | 5,117.                  | 6,149.               | 44,097.       |
| G5960L103 MEDTRONIC PLC        | 5,988.                  | 3,848.               | 5,673.        |
| 151020104 CELGENE CORPORATION  | 18,833.                 |                      |               |
| 247361702 DELTA AIR LINES INC  | 16,025.                 | 16,025.              | 20,468.       |
| 77957Y106 T ROWE PRICE MID CAP | 76,254.                 |                      |               |
| 594918104 MICROSOFT CORP       | 5,933.                  | 5,413.               | 85,158.       |
| 26875P101 E O G RES INC        | 4,280.                  | 4,280.               | 4,188.        |
| 097023105 BOEING CO            | 4,694.                  | 4,694.               | 13,030.       |
| 06738C778 IPATH DOW JONES UBS  | 13,396.                 |                      |               |
| 023135106 AMAZON.COM INC       | 22,647.                 | 22,647.              | 46,196.       |
| 949746101 WELLS FARGO CO       | 1,591.                  |                      |               |
| 670678507 NUVEEN REAL ESTATE S | 34,997.                 | 34,997.              | 52,621.       |
| 06828M876 BARON EMERGING MARKE | 33,000.                 | 81,000.              | 88,748.       |
| 375558103 GILEAD SCIENCES INC  | 7,758.                  |                      |               |
| 608190104 MOHAWK INDS INC CO   | 5,431.                  | 4,579.               | 4,910.        |
| 02079K305 ALPHABET INC CL A    | 13,535.                 | 15,689.              | 24,109.       |
| 032511107 ANADARKO PETROLEUM C | 9,638.                  |                      |               |
| 57636Q104 MASTERCARD INC       | 8,113.                  | 7,662.               | 25,380.       |
| N53745100 LYONDELLBASELL INDUS | 1,549.                  | 1,549.               | 1,890.        |
| 060505104 BANK OF AMERICA CORP | 11,442.                 | 9,705.               | 24,654.       |
| 46625H100 J P MORGAN CHASE CO  | 15,222.                 | 10,058.              | 43,214.       |
| 717081103 PFIZER INC           | 4,995.                  | 1,492.               | 3,918.        |

FORM 990PF, PART II - CORPORATE STOCK

=====

| DESCRIPTION                    | BEGINNING<br>BOOK VALUE | ENDING<br>BOOK VALUE | ENDING<br>FMV |
|--------------------------------|-------------------------|----------------------|---------------|
| 913017109 UNITED TECHNOLOGIES  | 6,283.                  | 5,824.               | 28,454.       |
| 674599105 OCCIDENTAL PETROLEUM | 14,318.                 |                      |               |
| 713448108 PEPSICO INC          | 2,259.                  | 1,437.               | 4,783.        |
| 17275R102 CISCO SYSTEMS INC    | 2,212.                  | 2,212.               | 9,592.        |
| 779572106 T ROWE PRICE SMALL C | 32,267.                 | 32,194.              | 44,009.       |
| H1467J104 CHUBB LTD            | 9,360.                  | 6,004.               | 21,792.       |
| 369604103 GENERAL ELECTRIC CO  | 8,338.                  | 2,845.               | 3,906.        |
| 031162100 AMGEN INC            | 4,033.                  | 2,881.               | 12,054.       |
| 478160104 JOHNSON JOHNSON      | 8,639.                  | 7,994.               | 19,692.       |
| 808513105 SCHWAB CHARLES CORP  | 4,229.                  | 5,162.               | 7,134.        |
| 037833100 APPLE INC            | 3,947.                  | 3,536.               | 126,270.      |
| 437076102 HOME DEPOT INC       | 4,344.                  | 3,046.               | 34,504.       |
| 779556109 ROWE T PRICE MID-CAP | 76,537.                 | 78,257.              | 102,448.      |
| 03027X100 AMERICAN TOWER CORP  | 7,202.                  | 5,255.               | 31,026.       |
| 149498107 CAUSEWAY EMERGING MA | 35,591.                 |                      |               |
| 126650100 CVS HEALTH CORPORATI | 9,497.                  |                      |               |
| 458140100 INTEL CORP           | 14,186.                 | 6,578.               | 27,232.       |
| 806857108 SCHLUMBERGER LTD     | 11,391.                 | 11,391.              | 7,035.        |
| 747525103 QUALCOMM INC         | 1,837.                  |                      |               |
| 024835100 AMERICAN CAMPUS COMM | 4,558.                  | 4,558.               | 4,703.        |
| 693506107 P P G INDS INC       | 2,019.                  | 2,019.               | 2,670.        |
| 412295107 HARDING LOEVNER INTL | 85,362.                 | 122,862.             | 142,234.      |
| 26078J100 DOWDUPONT INC        | 6,899.                  |                      |               |
| 38143H381 GOLDMAN SACHS COMM S | 5,028.                  |                      |               |
| 755111507 RAYTHEON COMPANY     | 6,041.                  |                      |               |
| 127097103 CABOT OIL GAS CORP C | 6,976.                  | 8,808.               | 6,964.        |
| 30303M102 FACEBOOK INC A       | 10,655.                 | 10,655.              | 14,368.       |
| 89353D107 TRANSCANADA CORP     | 6,948.                  |                      |               |
| 22160K105 COSTCO WHSL CORP     | 4,688.                  | 6,009.               | 7,348.        |

FORM 990PF, PART II - CORPORATE STOCK

=====

| DESCRIPTION                      | BEGINNING<br>BOOK VALUE | ENDING<br>BOOK VALUE | ENDING<br>FMV |
|----------------------------------|-------------------------|----------------------|---------------|
| G6095L109 APTIV PLC              | 3,718.                  | 3,718.               | 4,749.        |
| 701877102 PARSELY ENERGY INC-C   | 5,230.                  |                      |               |
| 958102105 WESTERN DIGITAL CORP   | 11,096.                 | 11,096.              | 7,934.        |
| 36381Y108 MONDRIAN INTERNATIONAL | 121,796.                | 128,827.             | 120,478.      |
| 09062X103 BIOGEN, INC            | 4,925.                  | 5,862.               | 5,638.        |
| 110122157 BRISTOL MYERS SQUIBB   |                         | 1,395.               | 467.          |
| 89609H704 TRIBUTARY SMALL COMP   |                         | 41,705.              | 45,001.       |
| N59465109 MYLAN NV               |                         | 1,727.               | 2,010.        |
| 15135B101 CENTENE CORP COM       |                         | 4,909.               | 6,287.        |
| 172967424 CITIGROUP INC          |                         | 3,448.               | 4,394.        |
| 22052L104 CORTEVA INC            |                         | 1,235.               | 2,453.        |
| 26614N102 DUPONT DE NEMOURS IN   |                         | 3,404.               | 5,329.        |
| 440452100 HORMEL FOODS CORP      |                         | 3,211.               | 3,383.        |
| 87807B107 TC ENERGY CORP         |                         | 6,948.               | 7,997.        |
| 260557103 DOW INC                |                         | 2,230.               | 4,543.        |
| 55272P596 MFS MID CAP VALUE I    |                         | 67,901.              | 77,514.       |
| 110122108 BRISTOL MYERS SQUIBB   |                         | 8,665.               | 9,949.        |
| TOTALS                           | 980,388.                | 880,874.             | 1,492,455.    |

FORM 990PF, PART II - CORPORATE BONDS

=====

| DESCRIPTION                    | BEGINNING<br>BOOK VALUE | ENDING<br>BOOK VALUE | ENDING<br>FMV |
|--------------------------------|-------------------------|----------------------|---------------|
| 258620103 DOUBLELINE TOTAL RET | 14,037.                 | 122,037.             | 121,113.      |
| 277923728 EATON VANCE GLOBAL M | 48,913.                 |                      |               |
| 63872T620 LOOMIS SAYLES STRATE | 61,500.                 |                      |               |
| 670678390 NUVEEN STRATEGIC INC | 80,032.                 |                      |               |
| 31420B300 FEDERATED INST HI YL | 95,058.                 | 95,058.              | 96,440.       |
| 68381K606 OPPENHEIMER SENIOR F | 97,000.                 |                      |               |
| 36962G4J0 GEN ELEC CAP CRP MTN | 50,143.                 | 50,143.              | 50,018.       |
| 670700400 NUVEEN PREFERRED SEC | 81,000.                 | 105,000.             | 107,493.      |
| 024932154 AMERICAN CENTURY HIG | 57,000.                 | 30,273.              | 29,903.       |
| 06051GFBO BANK OF AMERICA MTN  | 25,314.                 | 25,314.              | 26,874.       |
| 74440B884 PGIM TOTAL RETURN BO |                         | 126,133.             | 122,467.      |
| 56063J344 MAINSTAY FLOATING RA |                         | 60,000.              | 60,864.       |
| TOTALS                         | 609,997.                | 613,958.             | 615,172.      |

FORM 990PF, PART II - OTHER INVESTMENTS

=====

| DESCRIPTION                   | COST/<br>FMV<br>C OR F | ENDING<br>BOOK VALUE | ENDING<br>FMV |
|-------------------------------|------------------------|----------------------|---------------|
| 00141G856 INVESCO OPPENHEIMER | C                      | 30,916.              | 28,288.       |
| TOTALS                        |                        | 30,916.              | 28,288.       |

=====

FORM 990PF, PART II - OTHER ASSETS

=====

| DESCRIPTION                   | BEGINNING<br>BOOK VALUE | ENDING<br>BOOK VALUE | ENDING<br>FMV |
|-------------------------------|-------------------------|----------------------|---------------|
| ACCRUED INCOME                | 2,091.                  |                      |               |
| 000000000 MISCELLANEOUS ENTRY |                         | 2,315.               | 2,315.        |
| TOTALS                        | 2,091.                  | 2,315.               | 2,315.        |

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

=====

| DESCRIPTION<br>-----    | AMOUNT<br>----- |
|-------------------------|-----------------|
| NUVEEN EARN-OUT PAYMENT | 14.             |
| ROUNDING                | 13.             |
|                         | -----           |
| TOTAL                   | 27.             |
|                         | =====           |

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

OFFICER NAME:

US BANK, N.A.

ADDRESS:

P.O. BOX 0634  
MILWAUKEE, WI 53201-0634

TITLE:

TRUSTEE

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1

COMPENSATION ..... 29,465.

OFFICER NAME:

RONALD C JENSEN

ADDRESS:

1500 WOODMEN TOWER  
OMAHA, NE 68102

TITLE:

SECRETARY

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 4

OFFICER NAME:

STEVE C OTTO

ADDRESS:

1500 KINGSTON RD  
LINCOLN, NE 68506

TITLE:

CO-PRESIDENT

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 4

OFFICER NAME:

JIM OTTO

ADDRESS:

1610 S 70TH ST STE 101  
LINCOLN, NE 68506

TITLE:

CO-PRESIDENT

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 4

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

=====

OFFICER NAME:

PATRICK A GREWE

ADDRESS:

233 SOUTH 13TH STE 1011  
LINCOLN, NE 68508

TITLE:

TREASURER

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 4

TOTAL COMPENSATION:

29,465.

=====

RECIPIENT NAME:

NORMAN OTTO, JAMES M COX FOUNDATION

ADDRESS:

1500 KINGSTON ROAD  
LINCOLN, NE 68506

RECIPIENT'S PHONE NUMBER: 402-488-1951

FORM, INFORMATION AND MATERIALS:

APPLICATION FORMS ARE AVAILABLE UPON REQUEST.

SUBMISSION DEADLINES:

APRIL 1ST

RESTRICTIONS OR LIMITATIONS ON AWARDS:

AMOUNT OF THE SCHOLARSHIP IS \$1,000 AND AWARDED TO HIGH SCHOOL SENIORS  
ATTENDING COLLEGE OR TRADE SCHOOL. APPLICANTS MUST BE IN THE EASTERN  
THIRD OF NEBRASKA.

=====

RECIPIENT NAME:

SOUTHWESTERN COMMUNITY COLLEGE

ADDRESS:

1501 W TOWNLINE ST  
CRESTON, IA 50801

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SCHOLARSHIP

FOUNDATION STATUS OF RECIPIENT:

I

AMOUNT OF GRANT PAID ..... 1,000.

RECIPIENT NAME:

JANICE^LARSON

ADDRESS:

5100 LONDON ROAD, APT  
LINCOLN, NE 68516

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SCHOLARSHIPS

FOUNDATION STATUS OF RECIPIENT:

I

AMOUNT OF GRANT PAID ..... 950.

RECIPIENT NAME:

SOUTHEAST COMMUNITY COLLEGE

ADDRESS:

600 STATE ST  
MILFORD, NE 68405

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SCHOLARSHIP

FOUNDATION STATUS OF RECIPIENT:

I

AMOUNT OF GRANT PAID ..... 5,000.

=====

RECIPIENT NAME:

CHADRON STATE COLLEGE

ADDRESS:

1000 MAIN STREET  
CHADRON, NE 69337

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SCHOLARSHIP

FOUNDATION STATUS OF RECIPIENT:

I

AMOUNT OF GRANT PAID ..... 2,000.

RECIPIENT NAME:

NE COLLEGE OF TECHNICAL AGRICULTURE

ADDRESS:

404 EAST 7TH STREET  
CURTIS, NE 69025

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SCHOLARSHIP

FOUNDATION STATUS OF RECIPIENT:

I

AMOUNT OF GRANT PAID ..... 2,000.

RECIPIENT NAME:

CLOUD COUNTY COMMUNITY COLLEGE

ADDRESS:

2221 CAMPUS DR.  
CONCORDIA, KS 66901

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SCHOLARSHIP

FOUNDATION STATUS OF RECIPIENT:

I

AMOUNT OF GRANT PAID ..... 1,000.

=====

RECIPIENT NAME:

HASTINGS COLLEGE

ADDRESS:

710 TURNER AVE  
HASTINGS, NE 68901

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SCHOLARSHIP

FOUNDATION STATUS OF RECIPIENT:

I

AMOUNT OF GRANT PAID ..... 3,000.

RECIPIENT NAME:

XAVIER UNIVERSITY OF LOUISIANA

ADDRESS:

1 DREXEL DR. BOX 121  
NEW ORLEANS, LA 70125

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SCHOLARSHIP

FOUNDATION STATUS OF RECIPIENT:

I

AMOUNT OF GRANT PAID ..... 1,000.

RECIPIENT NAME:

NORTHWESTERN UNIVERSITY

ADDRESS:

1801 HINMAN AVE.  
EVANSTON, IL 60208

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SCHOLARSHIP

FOUNDATION STATUS OF RECIPIENT:

I

AMOUNT OF GRANT PAID ..... 1,000.

=====

RECIPIENT NAME:

NORTH CAROLINA A & T UNIVERSITY

ADDRESS:

1601 MARKET ST.  
GREENSBORO, NC 27401

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SCHOLARSHIP

FOUNDATION STATUS OF RECIPIENT:

I

AMOUNT OF GRANT PAID ..... 1,000.

RECIPIENT NAME:

AUGUSTANA UNIVERSITY

ADDRESS:

2001 S. SUMMIT AVE  
SIOUX FALLS, SD 57197

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SCHOLARSHIP

FOUNDATION STATUS OF RECIPIENT:

I

AMOUNT OF GRANT PAID ..... 1,000.

RECIPIENT NAME:

OHIO STATE UNIVERSITY

ADDRESS:

PO BOX 183248  
COLUMBUS, OH 43218

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SCHOLARSHIP

FOUNDATION STATUS OF RECIPIENT:

I

AMOUNT OF GRANT PAID ..... 1,000.

RECIPIENT NAME:  
UNIVERSITY OF TEXAS AT AUSTIN  
ADDRESS:  
100 W DEAN KEETON ST, E3700  
AUSTIN, TX 78712  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
SCHOLARSHIP  
FOUNDATION STATUS OF RECIPIENT:  
I  
AMOUNT OF GRANT PAID ..... 1,000.

RECIPIENT NAME:  
BENEDICTINE COLLEGE  
ADDRESS:  
1020 N. 2ND ST.  
ATCHISON, KS 66002  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
SCHOLARSHIP  
FOUNDATION STATUS OF RECIPIENT:  
I  
AMOUNT OF GRANT PAID ..... 1,000.

RECIPIENT NAME:  
BRYAN COLLEGE OF HEALTH SCIENCES  
ADDRESS:  
1535 S. 52 STREET  
LINCOLN, NE 68506  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
SCHOLARSHIP  
FOUNDATION STATUS OF RECIPIENT:  
I  
AMOUNT OF GRANT PAID ..... 3,000.

RECIPIENT NAME:  
CENTER FOR PEOPLE IN NEED  
ADDRESS:  
3901 N. 27TH ST., UNIT 1  
LINCOLN, NE 68521  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
GENERAL OPERATING  
FOUNDATION STATUS OF RECIPIENT:  
PC  
AMOUNT OF GRANT PAID ..... 2,000.

RECIPIENT NAME:  
CENTRAL COMMUNITY COLLEGE  
ADDRESS:  
PO BOX 1027  
COLUMBUS, NE 68602  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
SCHOLARSHIP  
FOUNDATION STATUS OF RECIPIENT:  
I  
AMOUNT OF GRANT PAID ..... 2,000.

RECIPIENT NAME:  
CONCORDIA UNIVERSITY  
ADDRESS:  
800 N. COLUMBIA AVE.  
SEWARD, NE 68434  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
SCHOLARSHIP  
FOUNDATION STATUS OF RECIPIENT:  
I  
AMOUNT OF GRANT PAID ..... 1,000.

=====

RECIPIENT NAME:

GRACE CHILDREN'S HOME

ADDRESS:

PO BOX 519  
HENDERSON, NE 68371

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL OPERATING

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 3,200.

RECIPIENT NAME:

GRIEF S JOURNEY

ADDRESS:

7811 FARNAM DRIVE  
OMAHA, NE 68114

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL OPERATING

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 1,000.

RECIPIENT NAME:

HAMILTON COMMUNITY FOUNDATION

ADDRESS:

1216 L STREET  
AURORA, NE 68818

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL OPERATING

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 4,000.

RECIPIENT NAME:  
IOWA WESTERN COMMUNITY COLLEGE  
ADDRESS:  
2700 COLLEGE ROAD  
COUNCIL BLUFFS, IA 51503  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
SCHOLARSHIP  
FOUNDATION STATUS OF RECIPIENT:  
I  
AMOUNT OF GRANT PAID ..... 1,000.

RECIPIENT NAME:  
KANSAS STATE UNIVERSITY  
ADDRESS:  
1601 VATTIER ST.  
MANHATTAN, KS 66506  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
SCHOLARSHIP  
FOUNDATION STATUS OF RECIPIENT:  
I  
AMOUNT OF GRANT PAID ..... 1,000.

RECIPIENT NAME:  
METROPOLITAN COMMUNITY COLLEGE  
ADDRESS:  
PO BOX 3777  
OMAHA, NE 68103  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
SCHOLARSHIP  
FOUNDATION STATUS OF RECIPIENT:  
I  
AMOUNT OF GRANT PAID ..... 2,000.

RECIPIENT NAME:  
MIDLAND UNIVERSITY  
ADDRESS:  
900 N. CLARKSON  
FREMONT, NE 68025  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
SCHOLARSHIP  
FOUNDATION STATUS OF RECIPIENT:  
I  
AMOUNT OF GRANT PAID ..... 2,000.

RECIPIENT NAME:  
NEBRASKA CHILDREN'S HOME  
ADDRESS:  
4939 S. 118TH ST.  
OMAHA, NE 68137  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
SCHOLARSHIP  
FOUNDATION STATUS OF RECIPIENT:  
I  
AMOUNT OF GRANT PAID ..... 4,000.

RECIPIENT NAME:  
NEBRASKA WESLEYAN UNIVERSITY  
ADDRESS:  
5000 ST. PAUL  
LINCOLN, NE 68504  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
SCHOLARSHIP  
FOUNDATION STATUS OF RECIPIENT:  
I  
AMOUNT OF GRANT PAID ..... 2,000.

=====

RECIPIENT NAME:

NORTHEAST COMMUNITY COLLEGE

ADDRESS:

PO BOX 469  
NORFOLK, NE 68702

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SCHOLARSHIP

FOUNDATION STATUS OF RECIPIENT:

I

AMOUNT OF GRANT PAID ..... 6,000.

RECIPIENT NAME:

NORTHWEST MISSOURI STATE UNIVERSITY

ADDRESS:

800 UNIVERSITY DRIVE  
MARYVILLE, MO 64468

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SCHOLARSHIP

FOUNDATION STATUS OF RECIPIENT:

I

AMOUNT OF GRANT PAID ..... 4,000.

RECIPIENT NAME:

OMAHA BRIDGES OUT OF POVERTY

ADDRESS:

P.O. BOX 540338  
OMAHA, NE 68154

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL OPERATING

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 1,000.

=====

RECIPIENT NAME:

UNIVERSITY OF NEBRASKA - KEARNEY

ADDRESS:

2510 11TH AVE.  
KEARNEY, NE 68849

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SCHOLARSHIP

FOUNDATION STATUS OF RECIPIENT:

I

AMOUNT OF GRANT PAID ..... 2,000.

RECIPIENT NAME:

UNIVERSITY OF NEBRASKA - LINCOLN

ADDRESS:

PO BOX 880411  
LINCOLN, NE 68588-0411

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SCHOLARSHIP

FOUNDATION STATUS OF RECIPIENT:

I

AMOUNT OF GRANT PAID ..... 28,000.

RECIPIENT NAME:

UNIVERSITY OF NEBRASKA - OMAHA

ADDRESS:

6001 DODGE ST.  
OMAHA, NE 68182

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SCHOLARSHIP

FOUNDATION STATUS OF RECIPIENT:

I

AMOUNT OF GRANT PAID ..... 8,000.

RECIPIENT NAME:  
UNIVERSITY OF NEBRASKA FOUNDATION

ADDRESS:  
PO BOX 2678  
KEARNEY, NE 68848

RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
SCHOLARSHIP

FOUNDATION STATUS OF RECIPIENT:  
I

AMOUNT OF GRANT PAID ..... 8,000.

RECIPIENT NAME:  
UNIVERSITY OF SOUTH DAKOTA

ADDRESS:  
414 E. CLARK ST.  
VERMILLION, SD 57069

RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
SCHOLARSHIP

FOUNDATION STATUS OF RECIPIENT:  
I

AMOUNT OF GRANT PAID ..... 1,000.

RECIPIENT NAME:  
WAYNE STATE COLLEGE

ADDRESS:  
1111 MAIN ST.  
WAYNE, NE 68787

RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
SCHOLARSHIP

FOUNDATION STATUS OF RECIPIENT:  
I

AMOUNT OF GRANT PAID ..... 5,000.

TOTAL GRANTS PAID: ..... 113,150.  
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