

Form **990-PF**

OMB No 1545-0047

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public

▶ Go to www.irs.gov/Form990PF for instructions and the latest information.**2019**

Open to Public Inspection

For calendar year 2019 or tax year beginning

, 2019, and ending

, 20

Name of foundation

HENRY & MIRIAM KAPLAN FOUNDATION

A Employer identification number

46-6991481

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

B Telephone number (see instructions)

114 W 47TH ST, NY8-114-07-07 APT

888-866-3275

City or town, state or province, country, and ZIP or foreign postal code

NEW YORK, NY 10036-1510

G Check all that apply:

☐ Initial return☐ Final return☒ Address change☐ Initial return of a former public charity☐ Amended return☐ Name changeC If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐H Check type of organization. ☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation

I Fair market value of all assets at

end of year (from Part II, col. (c), line

16) ▶ \$ 6,347,331.

J Accounting method: ☒ Cash ☐ Accrual☐ Other (specify)

(Part I, column (d), must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1 Contributions, gifts, grants, etc., received (attach schedule)

2 Check ☒ if the foundation is not required to attach Sch. B.

3 Interest on savings and temporary cash investments.

4 Dividends and interest from securities

5a Gross rents

b Net rental income or (loss)

6a Net gain or (loss) from sale of assets not on line 10

b Gross sales price for all assets on line 6a 2,168,391

7 Capital gain net income (from Part IV, line 2)

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit or (loss) (attach schedule)

11 Other income (attach schedule)

12 Total. Add lines 1 through 11

169,138.

166,283.

STMT 1

164,873.

164,873.

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OGDEN, UT

334,011.

331,156.

20,000.

20,000.

NONE

NONE

NONE

NONE

2,500.

NONE

NONE

2,500.

69,114.

41,468.

27,645.

5,542.

3,752.

499.

499.

97,655.

45,220.

NONE

50,644.

255,402.

255,402.

353,057.

45,220.

NONE

306,046.

-19,046.

285,936.

SCANNED MAY 12 2021

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Postmark Missing

Part II Balance Sheets		Beginning of year (a) Book Value	End of year	
			(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	4,570.	11,013.	11,013.
	2 Savings and temporary cash investments	375,489.	173,406.	173,406.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶ NONE			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations (attach schedule)			
	b Investments - corporate stock (attach schedule) . STMT 6	3,021,090.	2,948,099.	3,597,278.
	c Investments - corporate bonds (attach schedule) . STMT 23			
Liabilities	11 Investments - land, buildings, and equipment basis			
	Less accumulated depreciation (attach schedule) ▶			
	12 Investments - mortgage loans			
	13 Investments - other (attach schedule) STMT 24	2,262,488.	2,521,513.	2,565,634.
	14 Land, buildings, and equipment basis			
	Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	5,663,637.	5,654,031.	6,347,331.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)		NONE	
	Foundations that follow FASB ASC 958, check here ▶ ☐ and complete lines 24, 25, 29, and 30.			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ ☒ and complete lines 26 through 30			
	26 Capital stock, trust principal, or current funds	5,663,637.	5,654,031.	
	27 Paid-in or capital surplus, or land, bldg, and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
	29 Total net assets or fund balances (see instructions)	5,663,637.	5,654,031.	
	30 Total liabilities and net assets/fund balances (see instructions)	5,663,637.	5,654,031.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	5,663,637.
2 Enter amount from Part I, line 27a	2	-19,046.
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 25	3	9,440.
4 Add lines 1, 2, and 3	4	5,654,031.
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	5,654,031.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a PUBLICLY TRADED SECURITIES					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))		
a 2,168,176.		2,003,303.	164,873.		
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any			
a			164,873.		
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	164,873.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). See instructions If (loss), enter -0- in Part I, line 8			3		

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2018	313,039.	6,215,842.	0.050361
2017	292,595.	6,200,849.	0.047186
2016	294,036.	5,874,975.	0.050049
2015	188,090.	5,870,989.	0.032037
2014	103,474.	5,766,980.	0.017942
2 Total of line 1, column (d)			2 0.197575
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years			3 0.039515
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5			4 6,096,943.
5 Multiply line 4 by line 3.			5 240,921.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 2,859.
7 Add lines 5 and 6			7 243,780.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions			8 306,046.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1			
Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	2,859.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations, enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		2	NONE
3 Add lines 1 and 2		3	2,859.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		4	NONE
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	2,859.
6 Credits/Payments			
a 2019 estimated tax payments and 2018 overpayment credited to 2019	6a	2,484.	
b Exempt foreign organizations - tax withheld at source	6b	NONE	
c Tax paid with application for extension of time to file (Form 8868)	6c	NONE	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	2,484.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	375.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be Credited to 2020 estimated tax ☐ NONE Refunded ☒	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?		X
If "Yes," attach a detailed description of the activities		
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?		X
If "Yes," attach the statement required by General Instruction T		
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions ☐ NJ		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation . . . STMT 26		X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	X	
14 The books are in care of ▶ SEE STATEMENT 27 Telephone no ▶ Located at ▶ ZIP+4 ▶		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15		
16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b	
Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? ☐ Yes ☒ No If "Yes," list the years ▶		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b	X

Part VII-B **Statements Regarding Activities for Which Form 4720 May Be Required** *(continued)*

5a		During the year, did the foundation pay or incur any amount to	Yes	No
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	☐ Yes ☒ No		
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions		5b	
	Organizations relying on a current notice regarding disaster assistance, check here	☐		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53.4945-5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	X
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	☐ Yes ☒ No		

Part VIII	Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors
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1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MELVYN ATLAS	TRUSTEE			
17 TILLOU ROAD W, SOUTH ORANGE, NJ 07079	3	20,000.	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

[illegible]

Total number of other employees paid over \$50,000	NONE
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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services** See instructions. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE

Total number of others receiving over \$50,000 for professional services **NONE****Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3	

Form **990-PF** (2019)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	6,026,679.
b	Average of monthly cash balances	1b	163,111.
c	Fair market value of all other assets (see instructions).	1c	NONE
d	Total (add lines 1a, b, and c)	1d	6,189,790.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d.	3	6,189,790.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	92,847.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	6,096,943.
6	Minimum investment return. Enter 5% of line 5	6	304,847.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	304,847.
2a	Tax on investment income for 2019 from Part VI, line 5	2a	2,859.
b	Income tax for 2019. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b.	2c	2,859.
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	301,988.
4	Recoveries of amounts treated as qualifying distributions.	4	NONE
5	Add lines 3 and 4	5	301,988.
6	Deduction from distributable amount (see instructions).	6	NONE
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	301,988.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26.	1a	306,046.
b	Program-related investments - total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	306,046.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	2,859.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	303,187.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				301,988.
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only.			255,402.	
b Total for prior years 20____, 20____, 20____		NONE		
3 Excess distributions carryover, if any, to 2019				
a From 2014	NONE			
b From 2015	NONE			
c From 2016	NONE			
d From 2017	NONE			
e From 2018	NONE			
f Total of lines 3a through e	NONE			
4 Qualifying distributions for 2019 from Part XII, line 4 ▶ \$ 306,046.				
a Applied to 2018, but not more than line 2a . . .			255,402.	
b Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c Treated as distributions out of corpus (Election required - see instructions)	NONE			
d Applied to 2019 distributable amount				50,644.
e Remaining amount distributed out of corpus . . .	NONE			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a).)	NONE			NONE
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	NONE			
b Prior years' undistributed income Subtract line 4b from line 2b.		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b Taxable amount - see instructions		NONE		
e Undistributed income for 2018 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2019 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2020				251,344.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	NONE			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions) . . .	NONE			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a	NONE			
10 Analysis of line 9				
a Excess from 2015 . . .	NONE			
b Excess from 2016 . . .	NONE			
c Excess from 2017 . . .	NONE			
d Excess from 2018 . . .	NONE			
e Excess from 2019 . . .	NONE			

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
AMERICAN DIABETES ASSOC 2451 CRYSTAL DR STE 900 ARLINGTON VA 22202	N/A	PC	UNRESTRICTED GENERAL SUPPORT	85,134.
MEM SLOAN KETTERING CANCER CTR 885 2ND AVE FL 8 NEW YORK NY 10017	N/A	PC	UNRESTRICTED GENERAL SUPPORT	85,134.
TEXAS HEART INSTITUTE 6770 BERTNER AVE SUITE C HOUSTON TX 77030	N/A	PC	UNRESTRICTED GENERAL SUPPORT	85,134.
Total			3a	255,402.
b Approved for future payment				
Total			3b	

Part XVI-B	Relationship of Activities to the Accomplishment of Exempt Purposes
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JSA
9E1492 1 000

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
USGI REPORTED AS NONQUALIFIED DIVIDENDS	3,900.	3,900.
FOREIGN DIVIDENDS	25,760.	25,760.
NONDIVIDEND DISTRIBUTIONS	2,855.	
DOMESTIC DIVIDENDS	64,527.	64,527.
OTHER INTEREST	23.	23.
US GOVERNMENT INTEREST REPORTED AS QUALI	11.	11.
NONQUALIFIED FOREIGN DIVIDENDS	13,235.	13,235.
NONQUALIFIED DOMESTIC DIVIDENDS	55,953.	55,953.
SECTION 199A DIVIDENDS	2,874.	2,874.
TOTAL	169,138.	166,283.

FORM 990PF, PART I - ACCOUNTING FEES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	ADJUSTED NET INCOME -----	CHARITABLE PURPOSES -----
TAX PREPARATION FEE	2,500.			2,500.
TOTALS	2,500.	NONE	NONE	2,500.

FORM 990PF, PART I - OTHER PROFESSIONAL FEES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	CHARITABLE PURPOSES -----
INVESTMNT MNGMNT FEES (NON-DED	69,114.	41,468.	27,645.
TOTALS	69,114.	41,468.	27,645.

=====

FORM 990PF, PART I - TAXES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
FOREIGN TAXES	3,203.	3,203.
EXCISE TAX ESTIMATES	1,790.	
FOREIGN TAXES ON NONQUALIFIED	549.	549.
	-----	-----
TOTALS	5,542.	3,752.
	=====	=====

HENRY & MIRIAM KAPLAN FOUNDATION

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FORM 990PF, PART I - OTHER EXPENSES
=====

REVENUE
AND
EXPENSES
PER BOOKS

CHARITABLE
PURPOSES

DESCRIPTION

OTHER EXPENSE (NON-DEDUCTIBLE

499.

499.

TOTALS

499.

499.

HENRY & MIRIAM KAPLAN FOUNDATION

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FORM 990PF, PART II - CORPORATE STOCK
=====

DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV ---
SEE ATTACHED			
911363109 UNITED RENTALS INC	2,290.		
61174X109 MONSTER BEVERAGE SHS	4,468.	1,325.	1,716.
594918104 MICROSOFT CORP COM	35,379.	19,734.	27,755.
617446448 MORGAN STANLEY	20,221.	22,831.	29,701.
018805101 ALLIANZ SE	11,039.	9,334.	11,016.
110448107 BRITISH AMERICAN TOB	14,077.	12,624.	9,511.
26441C204 DUKE ENERGY CORP	11,533.	7,818.	9,486.
127055101 CABOT CORP	4,083.	5,140.	5,227.
320517105 FIRST HORIZON NATION	12,643.	10,200.	10,333.
388689101 GRAPHIC PACKAGING HO	5,905.	8,294.	10,240.
43940T109 HOPE BANCORP INC	5,121.	5,019.	4,934.
50060P106 KOPPERS HLDGS INC	2,637.	2,392.	2,752.
70509V100 PEBBLEBROOK HOTEL TR	1,659.	1,282.	1,287.
75281A109 RANGE RESOURCES CORP	2,375.	2,717.	1,198.
828730200 SIMMONS FIRST NATION	1,213.	1,790.	1,902.
880779103 TEREX CORP NEW	2,790.	2,583.	2,799.
04269X105 ARRAY BIOPHARMA INC	1,423.		
04280A100 ARROWHEAD	1,508.	1,337.	6,470.
30161Q104 EXELIXIS INC	2,857.	4,433.	3,630.
44919P508 IAC INTERACTIVECORP	1,227.	1,160.	4,235.
63938C108 NAVIENT CORP SHS	1,932.		
922475108 VEEVA SYS INC	2,081.	1,367.	2,813.
94946T106 WELLCARE HEALTH PLAN	3,202.		
G47567105 IHS MARKIT LTD SHS	3,947.	2,638.	3,768.
H1467J104 CHUBB LTD	19,369.	2,541.	3,892.
90384S303 ULTA SALON COSMETICS	4,370.	2,549.	3,038.
98419M100 XYLEM INC/NY	4,812.	3,290.	3,546.
85208M102 SPROUTS FARMERS MARK	721.	900.	929.

HENRY & MIRIAM KAPLAN FOUNDATION

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FORM 990PF, PART II - CORPORATE STOCK

=====

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
-----	-----	-----	---
524660107 LEGGETT & PLATT INC	5,704.	3,590.	4,016.
580135101 MC DONALDS CORPORATI	5,205.	2,873.	3,557.
82929R304 SINGAPORE TELECOMM L	5,081.		
882508104 TEXAS INSTRUMENTS IN	5,046.	3,458.	6,671.
95040Q104 WELLTOWER INC	9,780.	6,747.	8,505.
126650100 CVS HEALTH CORP	16,996.	24,029.	26,596.
053015103 AUTOMATIC DATA PROCE	13,373.		
444859102 HUMANA INC COM	14,857.		
16411R208 CHENIERE ENERGY INC	11,080.	23,822.	24,306.
526057104 LENNAR CORP	36,648.	24,710.	26,221.
87165B103 SYNCHRONY FINANCIAL	9,298.	6,080.	7,598.
88023U101 TEMPUR-PEDIC INTL IN	13,188.	10,074.	16,019.
90184L102 TWITTER INC	11,578.	20,992.	24,871.
33616C100 FIRST REPUBLIC BANK/	11,219.	10,683.	13,859.
90130A200 TWENTY-FIRST CENTURY	6,199.		
91324P102 UNITEDHEALTH GROUP I	24,521.	45,200.	60,854.
92826C839 VISA INC	29,370.	8,910.	20,669.
98956P102 ZIMMER BIOMET HOLDIN	6,752.	6,398.	8,083.
055262505 BASF SE	8,562.	7,614.	6,101.
410345102 HANESBRANDS INC	5,084.	4,090.	3,653.
806857108 SCHLUMBERGER LIMITED	22,104.		
913017109 UNITED TECHNOLOGIES	5,933.	5,440.	7,638.
949746101 WELLS FARGO & CO NEW	17,106.	3,765.	4,143.
98138H101 WORKDAY INC CL A	11,387.	6,798.	10,854.
G29183103 EATON CORP PLC	6,501.	4,768.	6,346.
11135F101 BROADCOM INC	16,674.	47,672.	59,412.
25157Y202 DEUTSCHE POST AG	6,135.	5,580.	6,467.
26078J100 DOWDUPONT INC COM	26,608.		
494368103 KIMBERLY-CLARK CORP	5,968.	3,879.	4,814.

HENRY & MIRIAM KAPLAN FOUNDATION

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FORM 990PF, PART II - CORPORATE STOCK

=====

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
-----	-----	-----	---
539830109 LOCKHEED MARTIN CORP	12,794.	2,541.	4,283.
67077M108 NUTRIEN LTD	5,209.	4,930.	5,174.
706327103 PEMBINA PIPELINE COR	7,204.	5,502.	5,781.
742718109 PROCTER & GAMBLE CO/	4,951.	2,906.	4,496.
78467K107 SSE PLC SPONSORED AD	7,116.		
87971M103 TELUS CORP	7,086.	5,257.	5,848.
904767704 UNILEVER PLC AMER SH	6,786.	5,131.	6,060.
92343V104 VERIZON COMMUNICATIO	25,340.	30,366.	37,024.
14149Y108 CARDINAL HEALTH INC	22,725.	29,418.	24,278.
94106L109 WASTE MANAGEMENT INC	8,554.	13,396.	26,211.
25470F104 DISCOVERY COMMUNICAT	5,036.	6,986.	7,956.
531229870 LIBERTY MEDIA CORP D	339.	310.	482.
535919500 LIONS GATE ENTERTAIN	854.	3,089.	2,770.
92532F100 VERTEX PHARMACEUTICA	6,736.	6,924.	15,108.
Y2573F102 FLEXTRONICS INTL LTD	1,070.	1,001.	1,552.
001228105 AG MORTGAGE INVESTME	1,151.	1,292.	1,234.
094235108 BLOOMIN BRANDS INC	767.		
04247X102 ARMSTRONG WORLD INDU	1,946.	280.	470.
053807103 AVNET INC	1,679.	1,717.	1,910.
16208T102 CHATHAM LODGING TRUS	2,870.	3,356.	2,861.
20337X109 COMMSCOPE HLDG CO IN	2,533.	2,099.	1,901.
22282E102 COVANTA HOLDING CORP	4,328.	2,982.	3,116.
387328107 GRANITE CONSTR INC	3,603.	5,630.	4,012.
46146L101 INVESTORS BANCORP IN	10,516.	11,764.	11,772.
553777103 MTS SYSTEMS CORP	3,346.	2,755.	2,161.
57686G105 MATSON INC	3,549.	4,563.	4,978.
69318G106 PBF ENERGY INC	815.	1,147.	1,506.
74112D101 PRESTIGE BRANDS HLDG	4,605.	2,900.	3,443.
871237103 SYKES ENTERPRISES IN	1,911.	2,627.	3,847.

HENRY & MIRIAM KAPLAN FOUNDATION

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FORM 990PF, PART II - CORPORATE STOCK

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DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
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880349105 TENNECO INC	5,941.	2,049.	1,245.
90346E103 U S SILICA HLDGS INC	2,564.		
07725L102 BEIGENE LTD	2,760.	2,422.	2,321.
12685J105 CABLE ONE INC	2,257.	2,257.	4,465.
171484108 CHURCHILL DOWNS INC	1,672.	1,487.	2,195.
023135106 AMAZON COM INC	36,609.	30,984.	72,066.
92336X109 VEONEER INC REG SHS	1,745.		
00724F101 ADOBE SYS INC COM	4,650.	2,874.	9,564.
09061G101 BIOMARIN PHARMACEUTI	3,819.	4,855.	4,904.
437076102 HOME DEPOT INC/THE	24,708.	7,237.	7,862.
052800109 AUTOLIV INC COM	1,432.		
08579W103 BERRY PLASTICS GROUP	3,584.	4,355.	4,607.
37733W105 GLAXOSMITHKLINE PLC	12,004.	4,889.	5,921.
517834107 LAS VEGAS SANDS CORP	7,408.	9,250.	9,182.
58933Y105 MERCK AND CO INC SHS	20,072.	24,844.	33,833.
59156R108 METLIFE INC	7,581.	5,810.	6,320.
641069406 NESTLE S A SPONSORED	6,547.	3,630.	4,655.
69351T106 PPL CORP	8,709.	6,885.	7,391.
82929W105 SINGAPORE EXCHANGE L	4,093.		
406216101 HALLIBURTON CO	17,780.	28,493.	25,008.
609207105 MONDELEZ INTERNATION	12,066.	18,205.	26,934.
G7S00T104 PENTAIR PLC SHS	3,191.	5,648.	6,376.
052769106 AUTODESK INC COM	9,297.	7,018.	15,227.
343412102 FLUOR CORP	10,079.	10,079.	3,606.
530307107 LIBERTY BROADBAND CO	538.	461.	747.
530307305 LIBERTY BROADBAND CO	1,016.	1,016.	1,635.
74915M100 QURATE RETAIL INC	6,140.	12,830.	8,194.
G1991C105 CARDTRONICS LTD-CL A	2,227.		
L9340P101 TRINSEO S.A. REG.SHS	1,838.	1,133.	967.

HENRY & MIRIAM KAPLAN FOUNDATION

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FORM 990PF, PART II - CORPORATE STOCK

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DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
-----	-----	-----	---
00508X203 ACTUANT CORP	1,177.	1,295.	1,484.
00738A106 ADTRAN INC	1,820.	1,595.	969.
024061103 AMERICAN AXLE & MFG	1,381.	981.	1,044.
073685109 BEACON ROOFING SUPPL	4,519.	3,006.	2,878.
109696104 BRINK S CO/THE	3,160.	3,608.	5,350.
35471R106 FRANKLIN STREET PROP	3,425.	3,687.	2,970.
37253A103 GENTHERM INC	2,289.	2,894.	4,128.
47580P103 JELD WEN HLDG INC	3,292.	3,142.	4,050.
62886E108 NCR CORP	3,037.	2,371.	2,672.
78377T107 RYMAN HOSPITALITY PR	1,223.	1,306.	2,080.
872275102 TCF FINANCIAL CORP	2,182.		
88162F105 TETRA TECHNOLOGIES I	1,956.	1,956.	623.
109194100 BRIGHT HORIZONS FAMI	1,651.	1,416.	1,803.
400110102 GRUBHUB INC SHS	706.		
254687106 WALT DISNEY CO/THE	12,613.	4,204.	9,835.
74340W103 PROLOGIS INC	8,345.		
871829107 SYSCO CORP	14,249.		
149123101 CATERPILLAR INC	7,260.	6,702.	7,089.
23636T100 DANONE-SPONS	13,566.	13,146.	14,478.
759351604 REINSURANCE GROUP OF	3,539.	3,041.	3,913.
015351109 ALEXION PHARMACEUTIC	4,978.	2,293.	1,622.
20605P101 CONCHO RESOURCES INC	2,985.		
57636Q104 MASTERCARD INC	3,768.	2,239.	5,972.
281020107 EDISON INTERNATIONAL	14,120.	19,086.	25,715.
666807102 NORTHROP GRUMMAN COR	6,098.	11,722.	24,766.
74834L100 QUEST DIAGNOSTICS IN	9,759.		
G0177J108 ALLERGAN PLC	56,939.	28,948.	27,911.
177376100 CITRIX SYSTEMS INC	5,384.	7,543.	9,981.
25659T107 DOLBY LABORATORIES I	10,899.	12,767.	16,994.

HENRY & MIRIAM KAPLAN FOUNDATION

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FORM 990PF, PART II - CORPORATE STOCK

=====

DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV ---
531229409 LIBERTY MEDIA CORP D	1,658.	2,020.	2,610.
54142L109 LOGMEIN INC	1,300.	2,963.	3,087.
21676P103 COOPER STD HOLDINGS	2,168.	3,366.	1,791.
217204106 COPART INC	3,461.	1,558.	4,547.
26969P108 EAGLE MATERIALS INC	1,878.	2,176.	2,901.
283677854 EL PASO ELECTRIC CO	2,864.	3,864.	5,363.
302520101 FNB CORP/PA	4,390.	5,833.	6,160.
415864107 HARSCO CORPORATION C	394.	295.	828.
49803T300 KITE REALTY GROUP TR	1,392.	2,302.	2,930.
55345K103 MRC GLOBAL INC	2,368.	1,935.	1,978.
76131V202 RETAIL PROPERTIES OF	1,291.	1,592.	1,836.
45262P102 IMPERIAL BRANDS PLC	13,173.	11,983.	7,390.
G50871105 JAZZ PHARMACEUTICALS	3,937.		
03755L104 APERGY CORP REG SHS	2,224.	2,745.	2,534.
21925Y103 CORNERSTONE ONDEMAND	1,456.	1,295.	1,405.
531229854 LIBERTY MEDIA CORP D	18,195.	16,827.	20,087.
907818108 UNION PACIFIC CORP	20,635.	7,214.	14,644.
046353108 ASTRAZENCA PLC SPON	10,271.	3,455.	5,385.
29476L107 EQUITY RESIDENTIAL	16,797.	19,918.	24,762.
G51502105 JOHNSON CTLS INTL PL	11,742.	17,627.	16,854.
G5960L103 MEDTRONIC PLC SHS	15,365.	5,621.	7,942.
531229607 LIBERTY MEDIA CORP D	3,308.	3,946.	5,151.
67020Y100 NUANCE COMMUNICATION	5,107.	5,043.	6,775.
670346105 NUCOR CORP	8,401.	13,172.	12,719.
004498101 ACI WORLDWIDE INC	4,656.	2,746.	4,508.
04316A108 ARTISAN PARTNERS ASS	1,689.		
11120U105 BRIXMOR PROPERTY GRO	6,293.	3,562.	4,905.
11373M107 BROOKLINE BANCORP IN	2,213.	2,784.	3,111.
198516106 COLUMBIA SPORTSWEAR	1,548.	2,487.	3,507.

HENRY & MIRIAM KAPLAN FOUNDATION

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FORM 990PF, PART II - CORPORATE STOCK
=====

DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV ---
228368106 CROWN HLDGS INC *PP*	8,375.	4,365.	6,891.
29272W109 ENERGIZER HOLDINGS I	3,581.	3,131.	3,817.
377316104 PH GLATFELTER CO	2,267.	1,622.	1,757.
600544100 HERMAN MILLER INC	1,955.	1,704.	2,249.
703481101 PATTERSON-UTI ENERGY	4,829.	4,603.	3,140.
909907107 UNITED BANKSHARES IN	2,916.	2,737.	3,054.
92343X100 VERINT SYSTEMS INC	1,925.	2,057.	2,879.
00206R102 AT&T INC	30,743.	28,931.	33,531.
02209S103 ALTRIA GROUP INC	9,127.	8,711.	8,585.
054536107 AXA ADR	14,046.	11,688.	11,877.
12572Q105 CME GROUP INC	2,241.		
202712600 COMMONWEALTH BANK OF	5,414.	4,244.	3,597.
25243Q205 DIAGEO PLC SPON ADR	7,706.	4,286.	5,895.
458140100 INTEL CORPORATION	12,174.	17,043.	31,302.
55607P204 MACQUARIE GROUP LTD	4,561.	4,247.	4,430.
636274409 NATIONAL GRID PLC	12,545.	9,778.	8,962.
686331109 ORKLA ASA	6,196.	5,209.	6,143.
718172109 PHILIP MORRIS INTERN	10,034.	9,102.	8,339.
756568101 RED ELECTRICA CORP U	7,177.		
874039100 TAIWAN SEMICONDUCTOR	2,788.	1,980.	3,835.
92857W308 VODAFONE GROUP PLC S	21,666.		
020002101 ALLSTATE CORP/THE	10,298.	14,433.	26,201.
125523100 CIGNA CORP	10,567.	23,128.	25,357.
032511107 ANADARKO PETE CORP	16,993.		
09062X103 BIOGEN IDEC INC	28,472.	27,640.	30,266.
35671D857 FREEMPORT-MCMORAN INC	7,901.	12,170.	12,687.
637071101 NATIONAL OILWELL VAR	6,781.	12,683.	10,847.
G0585R106 ASSURED GUARANTY LTD	1,693.	1,610.	2,206.
03762U105 APOLLO COML REAL EST	1,133.		

HENRY & MIRIAM KAPLAN FOUNDATION

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FORM 990PF, PART II - CORPORATE STOCK
=====

DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV ---
68389X105 ORACLE CORP	14,560.	19,679.	24,106.
00164V103 AMC NETWORKS INC SHS	3,337.	5,863.	4,701.
225447101 CREE INC	3,037.	7,978.	9,461.
45253H101 IMMUNOGEN INC	720.	861.	1,419.
462222100 IONIS PHARMACEUTICAL	6,751.	13,347.	14,982.
014491104 ALEXANDER & BALDWIN	2,703.	1,511.	1,153.
150870103 CELANESE CORP DEL SE	4,128.	1,868.	2,709.
19626G108 COLONY CAPITAL INC	8,901.	2,474.	1,691.
23334L102 DSW INC	1,884.		
48253L106 KLX ENERGY SERVICES	369.	1,946.	522.
88224Q107 TEXAS CAP BANCSHARES	3,360.	3,005.	2,839.
899896104 TUPPERWARE CORP	3,264.		
930059100 WADDELL & REED FINAN	1,182.	1,396.	1,338.
98212B103 WPX ENERGY INC	4,136.	6,838.	9,123.
N96617118 WRIGHT MEDICAL GROUP	2,971.		
007973100 ADVANCED ENERGY INDS	1,061.	927.	1,922.
655663102 NORDSON CORP	1,784.	1,573.	3,582.
05722G100 BAKER HUGHES A GE CO	9,464.	13,072.	12,328.
151020104 CELGENE CORP	2,190.		
452327109 ILLUMINA INC	2,993.	2,906.	4,313.
81762P102 SERVICENOW INC	3,051.	1,946.	8,187.
172967424 CITIGROUP INC	33,587.	26,481.	34,672.
29977A105 EVERCORE INC	1,659.	1,432.	1,420.
548862101 LOXO ONCOLOGY INC SH	1,396.		
67059N108 NUTANIX INC	2,871.	1,584.	1,938.
87918A105 TELADOC INC	1,045.	2,033.	2,679.
892356106 TRACTOR SUPPLY CO	3,156.	2,780.	3,457.
03027X100 AMERICAN TOWER REIT	27,308.	10,674.	26,889.
29444U700 EQUINIX INC	3,030.	1,702.	4,670.

HENRY & MIRIAM KAPLAN FOUNDATION

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FORM 990PF, PART II - CORPORATE STOCK
=====

DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV ---
98978V103 ZOETIS INC	4,383.	2,753.	5,294.
036752103 ANTHEM INC	16,907.		
438516106 HONEYWELL INTL INC	15,530.		
65339F101 NEXTERA ENERGY INC S	11,251.		
883556102 THERMO ELECTRON CORP	19,025.		
141788109 CARGURUS	11,681.	11,338.	10,061.
67066G104 NVIDIA CORP	6,903.	4,209.	4,235.
78409V104 S&P GLOBAL INC	3,279.	1,945.	3,277.
037833100 APPLE INC	34,833.	12,464.	41,992.
14040H105 CAPITAL ONE FINL COR	7,254.		
824348106 SHERWIN-WILLIAMS COM	14,387.		
032654105 ANALOG DEVICES INC	3,650.	6,221.	7,843.
25754A201 DOMINOS PIZZA INC	2,524.		
384109104 GRACO INC	3,264.	2,839.	3,432.
44332N106 HUAZHU GROUP LTD	2,451.		
538034109 LIVE NATION INC	3,982.	3,502.	5,932.
596278101 MIDDLEBY CORP	2,309.		
49456B101 KINDER MORGAN INC/DE	3,139.	3,139.	3,832.
05523R107 BAE SYSTEMS PLC	8,185.	7,882.	8,357.
05534B760 BCE INC	13,224.	8,819.	9,409.
251566105 DEUTSCHE TELEKOM AG	10,879.		
337932107 FIRSTENERGY CORP	5,974.	7,133.	9,331.
500754106 KRAFT (THE) HEINZ CO	4,734.		
63903X103 NATURGY ENERGY GROUP	4,930.		
674599105 OCCIDENTAL PETROLEUM	30,265.	56,024.	41,869.
771195104 ROCHE HLDG LTD SPONS	8,585.	4,596.	5,855.
780087102 ROYAL BANK OF CANADA	5,000.	3,971.	4,514.
826197501 SIEMENS AG	4,336.	4,112.	4,613.
83546A203 SONIC HEALTHCARE LTD	3,806.		

HENRY & MIRIAM KAPLAN FOUNDATION

46-6991481

FORM 990PF, PART II - CORPORATE STOCK
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DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV ---
842587107 SOUTHERN COMPANY COM	4,992.		
86959C103 SVENSKA HANDELSBANKE	6,402.		
20825C104 CONOCOPHILLIPS	17,241.	24,201.	25,557.
02079K305 ALPHABET INC SHS	33,497.	20,266.	32,145.
595017104 MICROCHIP TECHNOLOGY	6,987.		
867914103 SUNTRUST BANKS INC	8,875.		
278642103 EBAY INC	15,110.	7,027.	6,319.
369604103 GENERAL ELECTRIC CO	25,010.		
375558103 GILEAD SCIENCES INC	9,359.	8,705.	8,642.
38141G104 GOLDMAN SACHS GROUP	15,954.	19,093.	19,544.
731068102 POLARIS INDUSTRIES I	20,790.	10,692.	12,306.
931142103 WAL MART STORES INC	23,188.	14,461.	17,588.
00287Y109 ABBVIE INC	3,677.	5,241.	6,198.
023608102 AMEREN CORP	4,128.	2,465.	3,610.
156700106 CENTURYLINK INC	6,463.		
25746U109 DOMINION ENERGY INC	9,164.	9,482.	10,104.
29364G103 ENTERGY CORP	7,528.	5,630.	8,745.
30231G102 EXXON MOBIL CORP	8,917.	6,505.	5,513.
363576109 GALLAGHER ARTHUR J &	2,810.		
235851102 DANAHER CORP	18,431.	5,740.	9,362.
031162100 AMGEN INC	6,714.	10,517.	12,536.
29084Q100 EMCOR GROUP INC	3,311.	2,955.	3,970.
426281101 JACK HENRY & ASSOCIA	3,168.	2,772.	3,059.
524901105 LEGG MASON INC	3,243.	2,745.	2,765.
69336V101 PGT INC	1,549.		
78667J108 SAGE THERAPEUTICS IN	3,216.	2,572.	1,155.
896945201 TRIPADVISOR INC SHS	2,716.		
90385D107 ULTIMATE SOFTWARE GR	2,953.		
91879Q109 VAIL RESORTS INC	2,246.	3,481.	3,358.

FORM 990PF, PART II - CORPORATE STOCK
=====

DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV ---
957638109 WESTERN ALLIANCE BAN	2,572.	3,239.	3,705.
96812T102 WILDHORSE RESOURCE D	2,178.		
016255101 ALIGN TECHNOLOGY INC	5,195.	3,864.	4,186.
09857L108 BOOKING HLDGS INC	4,583.		
22160K105 COSTCO WHSL CORP NEW	18,756.	1,985.	4,409.
79466L302 SALESFORCE COM INC	5,140.	3,122.	7,319.
89400J107 TRANSUNION	3,951.	3,370.	5,051.
46284V101 IRON MOUNTAIN INC	7,521.	5,600.	5,768.
594837304 MICRO FOCUS INTL-SPN	5,660.		
717081103 PFIZER INC	20,856.	30,338.	34,008.
747525103 QUALCOMM INC	4,435.		
780259206 ROYAL DUTCH SHELL PL	11,293.	8,392.	8,316.
911312106 UNITED PARCEL SERVIC	4,723.	3,383.	3,746.
17275R102 CISCO SYSTEMS INC	15,046.	20,368.	31,462.
291011104 EMERSON ELECTRIC CO	3,948.	4,548.	5,491.
539439109 LLOYDS BANKING GROUP	7,362.	7,129.	6,355.
59410T106 CIE GENERALE DES	4,883.	5,607.	5,745.
626188106 MUENCHENER RUECK-UNS	11,585.	5,954.	8,625.
66987V109 NOVARTIS AG SPNSRD A	7,634.	5,974.	7,765.
712704105 PEOPLE S UNITED FINA	3,978.	3,210.	3,448.
74460D109 PUBLIC STORAGE INC C	4,386.		
88088L103 TERNA RETE ELETRICA	10,970.	5,210.	6,512.
89151E109 TOTAL FINA ELF S.A.	22,891.	9,007.	9,290.
002824100 ABBOTT LABORATORIES	11,313.	16,382.	26,666.
064058100 BANK OF NEW YORK MEL	10,927.	20,109.	26,272.
565849106 MARATHON OIL CORP	16,269.	26,061.	25,395.
G48833100 WEATHERFORD INTL PLC	13,524.		
G7945M107 SEAGATE TECH PLC SHS	12,983.	12,492.	20,349.
36164V305 GCI LIBERTY INC REG	1,106.	1,065.	1,842.

HENRY & MIRIAM KAPLAN FOUNDATION

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FORM 990PF, PART II - CORPORATE STOCK

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DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
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958102105 WESTERN DIGITAL CORP	10,854.	13,507.	15,487.
G4474Y214 JANUS HENDERSON GROU	3,914.	1,529.	1,540.
G9618E107 WHITE MOUNTAINS INS	2,884.	2,884.	4,462.
12621E103 CNO FINANCIAL GROUP	5,903.	5,777.	5,874.
184496107 CLEAN HBRS INC	2,690.		
46625H100 J P MORGAN CHASE & C	19,742.	23,611.	34,432.
571903202 MARRIOTT INTERNATION	3,312.		
744320102 PRUDENTIAL FINL INC	9,669.		
N53745100 LYONDELLBASELL INDUS	4,640.	12,315.	13,983.
025537101 AMERICAN ELECTRIC PO	5,648.	3,762.	5,009.
054937107 BB&T CORP COM	19,231.		
09247X101 BLACKROCK INC	9,621.	2,883.	3,519.
166764100 CHEVRONTTEXACO CORP	4,439.	4,571.	4,579.
191216100 COCA-COLA CO/THE	4,699.	4,994.	5,922.
478160104 JOHNSON & JOHNSON	16,039.	23,703.	31,362.
713448108 PEPSICO INC	4,441.	11,404.	14,487.
775109200 ROGERS COMMUNICATION	5,948.	3,387.	3,974.
80105N105 SANOFI-SYNTHELABO	5,630.	5,849.	6,777.
80917Q106 SCOR SPONSORED ADR	3,962.	3,969.	4,325.
026874784 AMERICAN INTERNATION	17,848.	23,742.	24,125.
039483102 ARCHER-DANIELS-MIDLA	14,545.	23,015.	25,678.
256746108 DOLLAR TREE INC	14,816.	20,462.	22,196.
548661107 LOWES COMPANIES INC	8,785.	13,407.	27,066.
571748102 MARSH & MCLENNAN COS	10,106.	12,947.	25,513.
927320101 VINCI SA	4,645.	2,986.	3,979.
92939U106 WEC ENERGY GROUP INC	4,432.	2,276.	3,505.
961214301 WESTPAC BKG CORP SPO	7,014.		
755111507 RAYTHEON CO	7,962.	14,531.	26,149.
H84989104 TE CONNECTIVITY LTD	12,155.	15,865.	20,414.

FORM 990PF, PART II - CORPORATE STOCK
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DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV ---
20030N101 COMCAST CORP	30,823.	39,773.	46,949.
502413107 L3 TECHNOLOGIES INC	12,326.		
67011P100 NOW INC SHS	3,371.	6,433.	4,507.
01988P108 ALLSCRIPTS HEALTHCAR	3,461.	4,253.	3,445.
03761U502 APOLLO INVT CORP REG	1,810.		
03820C105 APPLIED INDUSTRIAL T	938.	2,208.	2,801.
05969A105 BANCORP INC DEL	974.	1,184.	1,414.
12008R107 BUILDERS FIRSTSOURCE	3,099.	592.	1,093.
17878Y108 CIVEO CORP SHS	291.		
201723103 COMMERCIAL METALS CO	2,780.	1,353.	1,960.
320867104 FIRST MIDWEST BANCOR	3,676.	5,334.	5,627.
449172105 HYSTER-YALE MATERIAL	1,251.	1,568.	1,710.
493267108 KEYCORP NEW COM	6,686.	2,914.	3,724.
636220303 NATIONAL GENERAL HOL	2,747.	3,912.	4,066.
737630103 POTLATCHDELTIC CORP	971.	1,220.	1,471.
754907103 RAYONIER INC	2,631.	3,200.	4,062.
968223206 JOHN WILEY & SONS IN	1,396.	2,048.	2,135.
G0750C108 AXALTA COATING SYSTE	2,909.		
09609G100 BLUEBIRD BIO INC	3,489.	2,947.	2,018.
265504100 DUNKIN BRANDS GROUP	2,284.	2,022.	2,946.
29362U104 ENTEGRIS INC	1,411.	1,234.	5,109.
29404K106 ENVESTNET INC	2,690.	2,346.	2,716.
40171V100 GUIDEWIRE SOFTWARE I	1,634.	1,422.	2,744.
42330P107 HELIZ ENERGY SOLUTIO	1,576.	1,326.	1,223.
48238T109 KAR AUCTION SERVICES	2,169.		
64829B100 NEW RELIC INC	1,598.	1,384.	854.
848637104 SPLUNK INC	5,128.	3,727.	9,436.
868459108 SUPERNUS PHARMACEUTI	1,073.		
30303M102 FACEBOOK INC	31,273.	28,917.	43,103.

HENRY & MIRIAM KAPLAN FOUNDATION

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FORM 990PF, PART II - CORPORATE STOCK

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DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV ---
955306105 WEST PHARMACEUTICAL	3,238.		
49271V100 KEURIG DR PEPPER INC	10,685.		
025816109 AMERICAN EXPRESS CO	5,560.	5,205.	7,843.
03524A108 ANHEUSER-BUSCH INBEV	21,498.	24,697.	22,315.
43300A203 HILTON WORLDWIDE	13,017.		
110122108 BRISTOL-MYERS SQUIBB	6,730.	6,356.	7,639.
489170100 KENNAMETAL INC	3,370.	1,809.	1,918.
835898107 SOTHEBYS HLDGS INC C	723.		
87265H109 TRI POINTE HOMES INC	2,605.	1,367.	1,589.
G4705A100 ICON PLC	1,715.		
G84720104 STERIS PLC SHS	3,204.		
11133T103 BROADRIDGE FINL	2,777.		
224399105 CRANE CO	2,738.	2,462.	4,818.
29786A106 ETSY INC SHS	2,150.	2,321.	2,419.
34959E109 FORTINET INC	2,525.	3,270.	3,101.
58733R102 MERCADOLIBRE INC	1,773.	1,359.	3,737.
739276103 POWER INTEGRATIONS I	1,766.		
74758T303 QUALYS INC COM	2,825.	1,506.	2,374.
891906109 TOTAL SYS SVCS INC	1,611.	3,519.	3,251.
92686J106 VIKING THERAPEUTICS	1,510.		
02079K107 ALPHABET INC SHS	6,547.	1,312.	746.
70450Y103 PAYPAL HOLDINGS INC	3,963.	3,902.	9,359.
808513105 CHARLES SCHWAB CORP/	3,301.	2,184.	5,949.
94106B101 WASTE CONNECTIONS IN	4,162.	2,173.	3,567.
872307103 TCF FINANCIAL CORP		2,486.	3,268.
35137L204 FOX CORP		6,187.	7,535.
349553107 FORTIS INC/CANADA		17,229.	17,836.
482480100 KLA-TENCOR CORP		3,687.	3,695.
693475105 PNC FINANCIAL SERVIC		2,656.	3,742.
		3,644.	3,671.

HENRY & MIRIAM KAPLAN FOUNDATION

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FORM 990PF, PART II - CORPORATE STOCK
=====

DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV ---
718546104 PHILLIPS 66			
816850101 SEMTECH CORPORATION		4,166.	5,125.
N07059210 ASML HLDG NV NY REG		2,413.	2,328.
874060205 TAKEDA PHARMACEUTICA		1,759.	2,663.
87612E106 TARGET CORP		7,173.	7,418.
071734107 BAUSCH HEALTH COMPAN		3,091.	5,257.
192422103 COGNEX CORP		2,546.	2,603.
650111107 NEW YORK TIMES CO/TH		2,652.	2,970.
88339J105 TRADE (THE) DESK INC		3,133.	3,088.
G25839104 COCA-COLA EUROPEAN		1,905.	2,598.
15189T107 CENTERPOINT ENERGY I		3,366.	3,053.
237194105 DARDEN RESTAURANTS I		5,359.	4,881.
260557103 DOW INC		3,154.	2,943.
60855R100 MOLINA HEALTHCARE IN		6,526.	6,732.
70432V102 PAYCOM SOFTWARE INC		3,112.	3,121.
737010108 PORTOLA PHARMACEUTIC		2,527.	2,648.
81282V100 SEAWORLD ENTMT INC.		3,410.	3,248.
831865209 AO SMITH CORP		2,601.	2,695.
397624107 GREIF INC		2,734.	2,477.
449253103 IAA INC REG SHS WHEN		1,567.	2,077.
452907108 IMMUNOMEDICS INC COM		2,010.	2,965.
250565108 DESIGNER BRANDS INC		3,482.	4,190.
00900T107 AIMMUNE THERAPEUTICS		3,841.	3,101.
04016X101 ARGENX SE		2,647.	4,016.
594837403 MICRO FOCUS INTERNAT		1,573.	1,605.
828806109 SIMON PROPERTY GROUP		3,186.	1,543.
26614N102 DUPONT DE NEMOURS IN		2,950.	2,979.
736508847 PORTLAND GENERAL ELE		27,134.	23,048.
76118Y104 RESIDEO TECHNOLOGIES		3,787.	3,961.
		3,523.	2,374.

HENRY & MIRIAM KAPLAN FOUNDATION

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FORM 990PF, PART II - CORPORATE STOCK

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DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
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31572Q808 FIBROGEN INC		2,648.	2,145.
45778Q107 INSPERITY INC		2,355.	1,635.
32051X108 FIRST HAWAIIAN INC		2,480.	2,798.
577933104 MAXIMUS INC		2,038.	2,009.
G8473T100 STERIS PLC REG SHS		2,882.	4,115.
30063P105 EXACT SCIENCES CORP		659.	740.
17243V102 CINEMARK HOLDINGS IN		3,696.	3,554.
89832Q109 TRUIST FINL CORP		25,353.	31,933.
450056106 IRHYTHM TECHNOLOGIES		1,394.	1,362.
92337F107 VERACYTE INC		1,424.	1,619.
101137107 BOSTON SCIENTIFIC CO		2,684.	3,030.
88579Y101 3M CO		3,916.	3,705.
889094108 TOKIO MARINE HOLDING		4,058.	4,459.
942622200 WATSCO INC		3,359.	4,143.
205887102 CONAGRA BRANDS INC		21,408.	25,714.
459200101 INTERNATIONAL BUSINE		7,253.	7,104.
156727109 CERENCE INC REG SHS		1,890.	2,693.
31816Q101 FIREEYE INC		5,339.	6,033.
125581801 CIT GROUP INC		1,819.	1,962.
G3075P101 ENSTAR GROUP LTD		1,409.	1,655.
22163N106 COTT CORP		1,668.	1,778.
G6674U108 NOVOCURE LTD SHS		1,532.	1,348.
57772K101 MAXIM INTEGRATED PRO		2,777.	3,199.
879360105 TELEDYNE TECHNOLOGIE		2,080.	2,079.
98986T108 ZYNGA INC		2,514.	2,595.
751212101 RALPH LAUREN CORP		6,788.	9,260.
857477103 STATE ST CORP COM		8,967.	12,735.
502431109 L3HARRIS TECHNOLOGIE		12,147.	20,381.
SPX NOTES ISS HSBC		290,000.	309,024.

HENRY & MIRIAM KAPLAN FOUNDATION

46-6991481

FORM 990PF, PART II - CORPORATE STOCK

=====

DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV ---
TOTALS	----- 3,021,090. =====	----- 2,948,099. =====	----- 3,597,278. =====

HENRY & MIRIAM KAPLAN FOUNDATION

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FORM 990PF, PART II - CORPORATE BONDS
=====

DESCRIPTION

BEG BAL

TOTALS

HENRY & MIRIAM KAPLAN FOUNDATION

46-6991481

FORM 990PF, PART II - OTHER INVESTMENTS
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DESCRIPTION	COST/ FMV C OR F	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
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SEE ATTACHED				
09260B382 BLACKROCK STRATEGIC	C	192,412.	192,356.	189,757.
47103X278 JANUS HENDERSON INTE	C	86,025.		
03875R205 ARBITRAGE FUND/THE	C	188,374.	188,374.	195,704.
543916167 LORD ABBETT FLOATING	C	365,799.	353,356.	338,183.
87234N401 TCW CORE FIXED INCOM	C	364,600.	364,600.	368,842.
024527301 AMERICAN BEACON THE	C	185,731.	235,731.	291,253.
880208400 TEMPLETON GLOBAL BON	C	246,003.	246,003.	209,603.
47103X492 JANUS HENDERSON GLOB	C	120,670.		
746792407 PUTNAM INCOME FUND	C	184,297.	259,297.	263,202.
544004609 LORD ABBETT BOND-DEB	C	125,059.		
67065W639 NUVEEN SANTA BARBARA	C	203,518.		
461418162 AAM/BAHL & GAYNOR IN	C		201,065.	212,064.
641224415 NEUBERGER BERMAN EME	C		305,731.	323,476.
957663503 WESTERN ASSET CORE P	C		175,000.	173,550.
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TOTALS		2,262,488.	2,521,513.	2,565,634.
		=====	=====	=====

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES
=====

DESCRIPTION -----	AMOUNT -----
TYE SALES ADJUSTMENT	7,394.
TYE INCOME ADJUSTMENT	510.
COST ADJUSTMENT	1,536.

TOTAL	9,440.
	=====

990PF, PART VII-A LINE 8b - EXPLANATION OF NON-FILING WITH A.G. STMT.
=====

This foundation is exempt from filing and registering with NJ AG
office.

HENRY & MIRIAM KAPLAN FOUNDATION

46-6991481

FORM 990PF, PART VII-A, LINE 14 - BOOKS ARE IN THE CARE OF
=====

NAME: BANK OF AMERICA, N.A.

ADDRESS: 114 WEST 47TH STREET, NY8-114-07-07
NEW YORK, NY 10036-1510

TELEPHONE NUMBER: (888)866-3275

STATEMENT 27