

Form **990-PF****Return of Private Foundation**Department of the Treasury
Internal Revenue Service

- or Section 4947(a)(1) Trust Treated as Private Foundation
- Do not enter social security numbers on this form as it may be public.
- Go to www.irs.gov/Form990PF for instructions and the latest information.

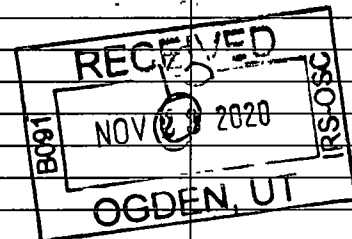
2019

Open to Public Inspection

For calendar year 2019 or tax year beginning , 2019, and ending , 20

Name of foundation THE KRONTHAL FAMILY FOUNDATION INC		A Employer identification number 46-1243824
Number and street (or P O box number if mail is not delivered to street address) C/O JEFF KRONTHAL, 157 VILLAGE RD		B Telephone number (see instructions) (212) 905-0888
City or town, state or province, country, and ZIP or foreign postal code GREEN VILLAGE, NJ 07935		C If exemption application is pending, check here. ☐
G Check all that apply	Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change ☐	D 1 Foreign organizations, check here. ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation 04		E If private foundation status was terminated under section 507(b)(1)(A), check here. ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 10,416,499.	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d), must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here. ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch. B.				
	3 Interest on savings and temporary cash investments	992.	992.		
	4 Dividends and interest from securities	222,635.	192,631.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	70,363.			
	b Gross sales price for all assets on line 6a	247,821.			
	7 Capital gain net income (from Part IV, line 2)		70,363.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule) ATCH 1	102,836.	123,844.			
12 Total. Add lines 1 through 11	396,826.	387,830.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	0.			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	12,650.	6,325.		6,325.
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions) [2]	12,773.	12,773.		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) ATCH 3	17,031.	16,812.		160.
	24 Total operating and administrative expenses. Add lines 13 through 23	42,454.	35,910.		6,485.
	25 Contributions, gifts, grants paid	535,039.			535,039.
26 Total expenses and disbursements. Add lines 24 and 25	577,493.	35,910.		541,524.	
27 Subtract line 26 from line 12	-180,667.				
a Excess of revenue over expenses and disbursements		351,920.			
b Net investment income (if negative, enter -0-)					
c Adjusted net income (if negative, enter -0-)					



Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

Beginning of year

End of year

(a) Book Value

(b) Book Value

(c) Fair Market Value

Assets

1	Cash - non-interest-bearing	102,714.	16,495.	16,495.
2	Savings and temporary cash investments	166,927.	124,465.	124,465.
3	Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less: allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments - U S and state government obligations (attach schedule) [4]	632,890.	632,890.	637,190.
b	Investments - corporate stock (attach schedule) ATCH 5	5,348,015.	5,361,714.	5,602,145.
c	Investments - corporate bonds (attach schedule) ATCH 6	587,608.	587,608.	725,000.
11	Investments - land, buildings and equipment basis ▶			
	Less: accumulated depreciation (attach schedule) ▶			
12	Investments - mortgage loans			
13	Investments - other (attach schedule) ATCH 7	3,470,992.	3,410,385.	3,311,204.
14	Land, buildings, and equipment basis ▶			
	Less: accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	10,309,146.	10,133,557.	10,416,499.

Liabilities

17	Accounts payable and accrued expenses	24,436.	29,514.	
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	Total liabilities (add lines 17 through 22)	24,436.	29,514.	

Net Assets or Fund Balances

	Foundations that follow FASB ASC 958, check here ▶ ☐ and complete lines 24, 25, 29, and 30.			
24	Net assets without donor restrictions			
25	Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ ☒ and complete lines 26 through 30.			
26	Capital stock, trust principal, or current funds			
27	Paid-in or capital surplus, or land, bldg, and equipment fund			
28	Retained earnings, accumulated income, endowment, or other funds	10,284,710.	10,104,043.	
29	Total net assets or fund balances (see instructions)	10,284,710.	10,104,043.	
30	Total liabilities and net assets/fund balances (see instructions)	10,309,146.	10,133,557.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	10,284,710.
2	Enter amount from Part I, line 27a	2	-180,667.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	10,104,043.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	10,104,043.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1 a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	70,363.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) See instructions If (loss), enter -0- in Part I, line 8 }			3	0.	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2018	722,928.	10,565,310.	0.068425
2017	461,798.	10,821,091.	0.042676
2016	421,678.	10,614,583.	0.039726
2015	378,994.	10,439,182.	0.036305
2014	348,112.	9,862,237.	0.035297
2 Total of line 1, column (d)			2 0.222429
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years			3 0.044486
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5			4 10,257,643.
5 Multiply line 4 by line 3.			5 456,322.
6 Enter 1% of net investment income (1% of Part I, line 27b).			6 3,519.
7 Add lines 5 and 6.			7 459,841.
8 Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions			8 541,524.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1			
Date of ruling or determination letter: _____ (attach copy of letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	3,519.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations, enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		2	
3 Add lines 1 and 2		3	3,519.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	3,519.
6 Credits/Payments			
a 2019 estimated tax payments and 2018 overpayment credited to 2019	6a	6,112.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	5,000.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	11,112.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	7,593.	
11 Enter the amount of line 10 to be Credited to 2020 estimated tax ☐ 7,593. Refunded ☐ ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ 0. (2) On foundation managers ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions ☐ DE, NJ, ☐		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV.		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions.		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	X	
14 The books are in care of ▶ JEFFREY KRONTHAL Telephone no ▶ 212-905-0888 Located at ▶ 157 VILLAGE ROAD GREEN VILLAGE, NJ ZIP+4 ▶ 07935		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here ▶ 15 and enter the amount of tax-exempt interest received or accrued during the year		
16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?		X
See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions ☐ 1b		
Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019? ☐ 1c		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? ☐ Yes ☒ No If "Yes," list the years ▶		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) ☐ 2b		
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019) ☐ 3b		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? ☐ 4a		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019? ☐ 4b		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

	Yes	No
5a During the year, did the foundation pay or incur any amount to		
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes	☒ No
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes	☒ No
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes	☒ No
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	☐ Yes	☒ No
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes	☒ No
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.		
Organizations relying on a current notice regarding disaster assistance, check here	☐	
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes	☐ No
If "Yes," attach the statement required by Regulations section 53.4945-5(d)		
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes	☒ No
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		
If "Yes" to 6b, file Form 8870		
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes	☒ No
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	☐ Yes	☒ No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 8		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000. ☐

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	

Total. Add lines 1 through 3 ▶Form **990-PF** (2019)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	6,772,892.
b	Average of monthly cash balances	1b	360,378.
c	Fair market value of all other assets (see instructions)	1c	3,280,581.
d	Total (add lines 1a, b, and c)	1d	10,413,851.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	10,413,851.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	156,208.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	10,257,643.
6	Minimum investment return. Enter 5% of line 5	6	512,882.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	512,882.
2a	Tax on investment income for 2019 from Part VI, line 5	2a	3,519.
b	Income tax for 2019 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	3,519.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	509,363.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	509,363.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	509,363.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	541,524.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	541,524.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	3,519.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	538,005.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				509,363.
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only.			3,794.	
b Total for prior years 20 <u>17</u> , 20 <u>16</u> , 20 <u>15</u>				
3 Excess distributions carryover, if any, to 2019				
a From 2014				
b From 2015				
c From 2016				
d From 2017				
e From 2018				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2019 from Part XII, line 4 ▶ \$ <u>541,524.</u>				
a Applied to 2018, but not more than line 2a			3,794.	
b Applied to undistributed income of prior years (Election required - see instructions).				
c Treated as distributions out of corpus (Election required - see instructions).				
d Applied to 2019 distributable amount.				509,363.
e Remaining amount distributed out of corpus.	28,367.			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	28,367.			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2018 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2019 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2020.				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(q)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions).				
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a	28,367.			
10 Analysis of line 9				
a Excess from 2015				
b Excess from 2016				
c Excess from 2017				
d Excess from 2018				
e Excess from 2019	28,367.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year				(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4, for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter:					
(1) Value of all assets.					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization.					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE SCHEDULE ATTACHED	NONE	PC	GENERAL SUPPORT	535,039.
Total ▶ 3a				535,039.
b Approved for future payment				
Total ▶ 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments .			14	992.	
4 Dividends and interest from securities			14	222,635.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income	523000	-21,008.	15	102,836.	
8 Gain or (loss) from sales of assets other than inventory			18	70,363.	
9 Net income or (loss) from special events . . .					
10 Gross profit or (loss) from sales of inventory . .					
11 Other revenue a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal Add columns (b), (d), and (e)		-21,008.		396,826.	
13 Total. Add line 12, columns (b), (d), and (e)					375,818.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

- | 1. Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | Yes | No |
|---|--------------|-----|----|
| a Transfers from the reporting foundation to a noncharitable exempt organization of | | | |
| (1) Cash | 1a(1) | | X |
| (2) Other assets | 1a(2) | | X |
| b Other transactions | | | |
| (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| (4) Reimbursement arrangements | 1b(4) | | X |
| (5) Loans or loan guarantees | 1b(5) | | X |
| (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |
| d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

Title

May the IRS discuss this return with the preparer shown below?

See instructions ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

JEFFREY PARKER

Preparer's signature

Jeffrey Parker

Date _____

11/10/2020

Check ☐ if self-employed

PTIN

P00443642

Firm's name ► EISNERAMPER LLP

Firm's EIN ► 13-1639826

Firm's address ► 733 THIRD AVENUE
NEW YORK, NY

10017-2703

Phone no 212-949-8700

THE KRONTHAL FAMILY FOUNDATION, INC
EIN 46-1243824
For the Year 2019

FORM 990-PF PART XV
GRANTS & CONTRIBUTIONS PAID

RECIPIENT		IF RECIPIENT IS AN INDIVIDUAL SHOW ANY RELATIONSHIP TO ANY FOUNDATION MANAGER OR SUBSTANTIAL	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
NAME AND ADDRESS					
Bucknell University	112 Marts Hall Lewisburg PA 17837	None	PC	GENERAL SUPPORT	2 000
NYU Langone Medical Center	550 First Avenue New York NY 10018	None	PC	GENERAL SUPPORT	500
Rutgers University Foundation	7 College Avenue Winants Hall New Brunswick NJ 08901	None	PC	GENERAL SUPPORT	20 000
Philip's Education Partners Inc	342 Central Avenue Newark, NJ 07103	None	PC	GENERAL SUPPORT	1 000
Planned Parenthood	123 William St 10th Floor New York, NY 10038	None	PC	GENERAL SUPPORT	1 500
Carolyn Dorfman Dance Co	2780 Morris Ave 1-A, Union NJ 07083	None	PC	GENERAL SUPPORT	15 000
Teachers College Columbia University	615 West 131st Street MC 8741 New York NY 10027-7922	None	PC	GENERAL SUPPORT	5 000
Memorial Sloan Kettering Hospital	1275 York Ave New York, NY 10065	None	PC	GENERAL SUPPORT	5 000
Good Griefs 5K Run	38 Elm Street, Morristown NJ 07960	None	PC	GENERAL SUPPORT	106
Far Brook School	52 Great Hills Road Short Hills NJ 07078	None	PC	GENERAL SUPPORT	500
Green Village Fire Department	528 Green Village Road Green Village, NJ 07935	None	PC	GENERAL SUPPORT	500
Tomorrows Hope's Foundation	Po Box 9023 Rockville Ctr NY 11571	None	PC	GENERAL SUPPORT	10 000
NJ Performing Arts Center Coporabon	One Center Street, Newark, NJ 07102	None	PC	GENERAL SUPPORT	2 500
Misty Meadows Equine Learning Center	55 Misty Meadows Lane West Tisbury MA 02575	None	PC	GENERAL SUPPORT	500
Melanoma Research Foundation (MRF)	1411 K Street NW Suite 500 Washington DC 20005	None	PC	GENERAL SUPPORT	5 000
Write on Sports	21 Clonsavor Rd West Orange NJ 07052	None	PC	GENERAL SUPPORT	500
Human Rights Campaign	1640 Rhode Island Ave NW Washington DC 20036	None	PC	GENERAL SUPPORT	425
Human Rights Campaign	1640 Rhode Island Ave NW Washington DC 20038	None	PC	GENERAL SUPPORT	75
The Center for Reproductive Rights	199 Water Street 22nd Fl New York NY 10038	None	PC	GENERAL SUPPORT	500
Casa of Morris & Sussex Counties	18 Cettano Ave Morristown NJ 07960	None	PC	GENERAL SUPPORT	500
US Holocaust Memorial Museum	100 Raoul Wallenberg Place SW, Washington DC 20024	None	PC	GENERAL SUPPORT	200
Citymeals-on-Wheels	355 Lexington Avenue 3rd Fl New York NY 10017	None	PC	GENERAL SUPPORT	250
Planned Parenthood	123 William St 10th Floor New York NY 10038	None	PC	GENERAL SUPPORT	1 000
Fordham University	888 Saventh Avenue 7th Floor New York NY 10018	None	PC	GENERAL SUPPORT	1 000
Leukemia & Lymphoma Society	3 International Dr Rye Brook NY 10573	None	PC	GENERAL SUPPORT	250
Rutgers University Foundation	7 College Avenue Winants Hall New Brunswick NJ 08901	None	PC	GENERAL SUPPORT	20 000
Human Rights Campaign	1640 Rhode Island Ave NW Washington DC 20038	None	PC	GENERAL SUPPORT	5 000
Crohn's & Colitis Foundation	733 Third Avenue Suite 510 New York NY 10017	None	PC	GENERAL SUPPORT	500
American Migraine Foundation	19 Mantua Road Mount Royal NJ 08061	None	PC	GENERAL SUPPORT	1 000
Chicago Canine Rescue	5272 North Elston Ave Chicago IL 60630	None	PC	GENERAL SUPPORT	500
Sigma Nu Educational Foundation	PO Box 1869 Lexington VA 24450	None	PC	GENERAL SUPPORT	100
Our House Inc	76 Floral Ave Murray Hill NJ 07974	None	PC	GENERAL SUPPORT	500
Homeless Solutions Inc	8 Dumont Pl Ste 3 Morristown NJ 07960	None	PC	GENERAL SUPPORT	500
Fordham University	888 Seventh Avenue 7th Floor New York NY 10019	None	PC	GENERAL SUPPORT	1 000
Riverdale Country School	5250 Fieldston Rd Bronx NY 10471	None	PC	GENERAL SUPPORT	2 500
Far Brook School	52 Great Hills Road Short Hills NJ 07078	None	PC	GENERAL SUPPORT	200 000
Make A Wish Foundation of NJ Inc	1347 Perrineville Road, Monroe township NJ 08831-6006	None	PC	GENERAL SUPPORT	500
St Jude's Children's Research Hospital	501 St Jude Place Memphis TN 38105	None	PC	GENERAL SUPPORT	500
Anti Defamation League	605 Thurd Avenue New York NY 10158	None	PC	GENERAL SUPPORT	25 000
All Stars of Project of NJ	33 Washington Street, Suite 100, Newark NJ 07102	None	PC	GENERAL SUPPORT	25 000
Memorial Sloan Kettering Hospital	1275 York Ave New York NY 10065	None	PC	GENERAL SUPPORT	70 000
Cliffs Resident Outreach	8 Plumley Summit Rd Manlyn Neves Landrum SC 29356	None	PC	GENERAL SUPPORT	1 000
WNYC New York Public Radio	PO Box 1550 New York NY 10116	None	PC	GENERAL SUPPORT	500
NJSGA Caddie Scholarship Foundation	3 Golf Drive Suite 208 Kenilworth NJ 07033	None	PC	GENERAL SUPPORT	50
NYU Langone Medical center	550 First Avenue New York NY 10018	None	PC	GENERAL SUPPORT	500
Georgetown Fund	37th and O Streets NW Washington DC 20057	None	PC	GENERAL SUPPORT	1 500
HTTV Hometown Television	70 Maple St #2 Summit, NJ 07801	None	PC	GENERAL SUPPORT	250
Natural Resources Defense Council	40 West 20th Street, New York 10011	None	PC	GENERAL SUPPORT	500
MT Pleasant Animal Shelter	194 New Jersey 10 East Hanover NJ 07938	None	PC	GENERAL SUPPORT	250
The Market Street Mission	9 Market Street Morristown NJ 07960	None	PC	GENERAL SUPPORT	250
University of Pennsylvania	3451 Walnut Street 433 Franklin Building Philadelphia PA	None	PC	GENERAL SUPPORT	2 550
Bucknell University	112 Marts Hall Lewnsburg PA 17837	None	PC	GENERAL SUPPORT	2 000
Poets & Writers	90 Broad Street, New York NY 10004	None	PC	GENERAL SUPPORT	250
Pancreatic Cancer Action Network	1500 Rosecrans Avenue No 200 Manhattan Beach CA 90266	None	PC	GENERAL SUPPORT	500
The Valerie Fund	2101 Millburn Avenue Maplewood NJ 07040	None	PC	GENERAL SUPPORT	20 000
The Tommy Fund for Childhood Cancer	20 York St, New Haven CT 06510	None	PC	GENERAL SUPPORT	10 000
Washington University	700 Rosedale Ave Box 1000 St Louis MO 63112	None	PC	GENERAL SUPPORT	50 000
Storycatchers Theatre	544 W Oak St #1005 Chicago IL 60610	None	PC	GENERAL SUPPORT	1 010
Rubin Museum of Art	150 W 17th Street New York NY 10011	None	PC	GENERAL SUPPORT	2 000
Overlook Foundation	P O Box 220 38 Upper Overlook Road Summit NJ 07902	None	PC	GENERAL SUPPORT	7 500
Philip's Education Partners Inc	342 Central Avenue Newark, NJ 07103	None	PC	GENERAL SUPPORT	1 023
Teachers College Columbia University	615 West 131st Street MC 8741 New York NY 10027-7922	None	PC	GENERAL SUPPORT	1 000
YMCA	125 West 14th St New York NY 10011	None	PC	GENERAL SUPPORT	500
Franciscans International	211 E 43rd St Rm 1100 New York NY 10017	None	PC	GENERAL SUPPORT	1 000
ECLC Foundation	100 Passaic Avenue Chatham NJ 07928	None	PC	GENERAL SUPPORT	1 000
Gilman School Inc	5407 Roland Avenue Baltimore MD 21210	None	PC	GENERAL SUPPORT	1 000
Northwestern University	2211 Campus Dr Evanston IL 60208	None	PC	GENERAL SUPPORT	1 000
Smile Train	41 Madison Ave 28th Floor New York NY 10010	None	PC	GENERAL SUPPORT	250
The Pngry School	131 Martinsville Road Basking Ridge NJ 07920	None	PC	GENERAL SUPPORT	1 000
The Center for Reproductive Rights	199 Water Street 22nd Fl New York, NY 10038	None	PC	GENERAL SUPPORT	250
					535,039

FORM 990-PF - PART IV**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL CAPITAL GAIN DISTRIBUTIONS					25,125.	
113,153.		SEE ATTACHED - FIDELITY A/C 656-180753 109,808.					VAR 3,345.	VAR
90,588.		SEE ATTACHED - UBS A/C 83054 67,649.					VAR 22,939.	VAR
		THRU K1 ENCORE HOUSING OPP FUND II LP					VAR 19,308.	VAR
		THRU K1 NEW VERNON FINANCIAL SCTR FD LP					VAR -354.	VAR
TOTAL GAIN (LOSS)							<u>70,363.</u>	

THE KRONTHAL FAMILY FOUNDATION, INC
EIN 46-1243824
FOR THE YEAR 2019

FORM 990-PF, PART IV
CAPITAL GAIN AND LOSS SCHEDULE FOR TAX ON INVESTMENT INCOME

# Shares	Description of property	Maturity Date	Date acquired	Date sold	Sales price	Tax Cost or other basis	Tax Gain or (loss)
703 000	EATON VANCE FLTNG RATE 2022 TR COM		12/20/2018	4/18/2019	6,542 09	5,889 55	652 54
963 000	BLACKROCK CREDIT ALLINC TR COM		12/23/2013	VARIOUS	12,502 20	12,353 25	148 95
101 000	BLACKROCK ENHANCED GOVT FD INC		VARIOUS	11/25/2019	1,338 21	1,315 94	22 27
1070 000	CEF NUVEEN MTG CONTRA		VARIOUS	10/11/2019	24,717 21	24,058 57	658 64
125 000	ISHARES TR SHORT TREAS BOND		6/27/2018	VARIOUS	13,813 71	13,802 50	11 21
2112 000	MFS CHARTER INCOME TRUST SH BEN INT		VARIOUS	VARIOUS	17,613 58	17,169 22	444 36
756 000	NUVEEN MORTGAGE OPPORTUNITY TRM FD2		VARIOUS	12/24/2019	16,673 03	16,509 79	163 24
3453 000	TCW STRATEGIC INCOME FUND INC COM		VARIOUS	VARIOUS	19,953 10	18,709 97	1,243 13
Total Fidelity A/C #0753					113,153 13	109,808 79	3,344 34
2 000	BLACKROCK INC		2/11/2019	9/16/2019	878 32	825 31	53 01
6 000	COSTCO WHOLESale CORP		3/20/2019	11/11/2019	1,812 01	1,418 33	393 68
45 000	RED HAT INC		10/16/2018	2/11/2019	8,063 00	5,607 02	2,455 98
43 000	SCHLUMBERGER LTD NETHERLANDS ANTILLES		VARIOUS	VARIOUS	1,663 21	2,979 18	(1,315 97)
2 000	UNITEDHEALTH GROUP INC		2/13/2018	2/11/2019	514 59	447 73	66 86
16 000	ACCENTURE PLC IRELAND CLA		11/17/2015	12/17/2019	3,274 74	1,700 56	1,574 18
10 000	ADOBE INC		2/16/2016	VARIOUS	2,774 71	778 57	1,996 14
17 000	AMERICAN TOWER CORP REIT		3/15/2017	VARIOUS	3,242 61	1,955 56	1,287 05
40 000	AMERIPRISE FINANCIAL INC		VARIOUS	VARIOUS	5,471 96	4,579 09	892 87
16 000	BLACKROCK INC		12/14/2016	VARIOUS	6,918 21	6,228 16	690 05
2 000	COMCAST CORP NEW CLA		6/17/2015	2/11/2019	73 86	58 87	14 99
22 000	DANAHER CORP		6/17/2015	4/15/2019	2,861 21	1,405 32	1,455 89
52 000	ECOLAB INC		VARIOUS	VARIOUS	9,215 93	6,074 35	3,141 58
12 000	HOME DEPOT INC		6/17/2015	11/11/2019	2,781 75	1,329 48	1,452 27
9 000	HONEYWELL INTL INC		9/14/2015	9/16/2019	1,503 33	853 65	649 68
24 000	INTERCONTINENTALEXCHANGE GROUP		6/17/2015	VARIOUS	2,146 05	1,129 10	1,016 95
57 000	MONDELEZ INTL INC		5/15/2017	3/20/2019	2,738 39	2,571 27	167 12
2 000	O REILLEY AUTOMOTIVE INC		6/17/2015	2/11/2019	729 48	454 18	275 30
24 000	PARKER HANNIFIN CORP		9/22/2017	VARIOUS	4,094 34	4,235 76	(141 42)
47 000	RED HAT INC		VARIOUS	VARIOUS	8,194 34	3,636 68	4,557 66
104 000	SCHLUMBERGER LTD NETHERLANDS ANTILLES		VARIOUS	VARIOUS	4,271 73	7,144 61	(2,872 88)
8 000	THERMO FISHER SCIENTIFIC INC		6/17/2015	2/11/2019	1,952 43	1,032 00	920 43
8 000	TJX COS INC NEW		6/17/2015	2/11/2019	392 24	262 00	130 24
57 000	UNTD TECHNOLOGIES CORP		VARIOUS	VARIOUS	7,557 97	6,324 87	1,233 10
19 000	VISA INC CLA		9/12/2016	6/19/2019	3,215 11	1,551 30	1,663 81
31 000	WALT DISNEY CO		6/17/2016	8/12/2019	4,246 91	3,066 19	1,180 72
Total UBS A/C #3054					90,588 43	67,649 14	22,939 29

THE KRONTHAL FAMILY FOUNDATION, INC
EIN 46-1243824
FOR THE YEAR 2019

FORM 990-PF, PART I LINE 6A
BOOK CAPITAL GAIN AND LOSS SCHEDULE

# Shares	Description of property	Maturity Date	Date acquired	Date sold	Sales price	Book Cost or other basis	Book Gain or (loss)
703 000	EATON VANCE FLTNG RATE 2022 TR COM		12/20/2018	4/18/2019	6,542 09	5,889 55	652 54
963 000	BLACKROCK CREDIT ALLINC TR COM		12/23/2013	VARIOUS	12,502 20	12,353 25	148 95
101 000	BLACKROCK ENHANCED GOVT FD INC		VARIOUS	11/25/2019	1,338 21	1,315 94	22 27
1070 000	CEF NUVEEN MTG CONTRA		VARIOUS	10/11/2019	24,717 21	24,058 57	658 64
125 000	ISHARES TR SHORT TREAS BOND		6/27/2018	VARIOUS	13,813 71	13,802 50	11 21
2112 000	MFS CHARTER INCOME TRUST SH BEN INT		VARIOUS	VARIOUS	17,613 58	17,169 22	444 36
756 000	NUVEEN MORTGAGE OPPORTUNITY TRM FD2		VARIOUS	12/24/2019	16,673 03	16,509 79	163 24
3453 000	TCW STRATEGIC INCOME FUND INC COM		VARIOUS	VARIOUS	19,953 10	18,709 97	1,243 13
Total Fidelity A/C 656-180753					113,153 13	109,808 79	3,344 34
2 000	BLACKROCK INC		2/11/2019	9/16/2019	878 32	825 31	53 01
6 000	COSTCO WHOLESALE CORP		3/20/2019	11/11/2019	1,812 01	1,418 33	393 68
45 000	RED HAT INC		10/16/2018	2/11/2019	8,063 00	5,607 02	2,455 98
43 000	SCHLUMBERGER LTD NETHERLANDS ANTILLES		VARIOUS	VARIOUS	1,663 21	2,979 18	(1,315 97)
2 000	UNITEDHEALTH GROUP INC		2/13/2018	2/11/2019	514 59	447 73	66 86
16 000	ACCENTURE PLC IRELAND CLA		11/17/2015	12/17/2019	3,274 74	1,700 56	1,574 18
10 000	ADOBE INC		2/16/2016	VARIOUS	2,774 71	778 57	1,996 14
17 000	AMERICAN TOWER CORP REIT		3/15/2017	VARIOUS	3,242 61	1,955 56	1,287 05
40 000	AMERIPRISE FINANCIAL INC		VARIOUS	VARIOUS	5,471 96	4,579 09	892 87
16 000	BLACKROCK INC		12/14/2016	VARIOUS	6,918 21	6,228 16	690 05
2 000	COMCAST CORP NEW CLA		6/17/2015	2/11/2019	73 86	58 87	14 99
22 000	DANAHER CORP		6/17/2015	4/15/2019	2,861 21	1,405 32	1,455 89
52 000	ECOLAB INC		VARIOUS	VARIOUS	9,215 93	6,074 35	3,141 58
12 000	HOME DEPOT INC		6/17/2015	11/11/2019	2,781 75	1,329 48	1,452 27
9 000	HONEYWELL INTL INC		9/14/2015	9/16/2019	1,503 33	853 65	649 68
24 000	INTERCONTINENTALEXCHANGE GROUP		6/17/2015	VARIOUS	2,146 05	1,129 10	1,016 95
57 000	MONDELEZ INTL INC		5/15/2017	3/20/2019	2,738 39	2,571 27	167 12
2 000	O REILLEY AUTOMOTIVE INC		6/17/2015	2/11/2019	729 48	454 18	275 30
24 000	PARKER HANNIFIN CORP		9/22/2017	VARIOUS	4,094 34	4,235 76	(141 42)
47 000	RED HAT INC		VARIOUS	VARIOUS	8,194 34	3,636 68	4,557 66
104 000	SCHLUMBERGER LTD NETHERLANDS ANTILLES		VARIOUS	VARIOUS	4,271 73	7,144 61	(2,872 88)
8 000	THERMO FISHER SCIENTIFIC INC		6/17/2015	2/11/2019	1,952 43	1,032 00	920 43
8 000	TJX COS INC NEW		6/17/2015	2/11/2019	392 24	262 00	130 24
57 000	UNTD TECHNOLOGIES CORP		VARIOUS	VARIOUS	7,557 97	6,324 87	1,233 10
19 000	VISA INC CLA		9/12/2016	6/19/2019	3,215 11	1,551 30	1,663 81
31 000	WALT DISNEY CO		6/17/2016	8/12/2019	4,246 91	3,066 19	1,180 72
Total UBS A/C 83054					90,588 43	67,649 14	22,939 29
Gain/(Loss) From Brokerage Stmt					203,742	177,458	26,284
Capital Gain Distribution					25,125	-	25,125
K1 New Vernon Financial Secor Fund LF					(354)	-	(354)
K1 Encore Housing Opportunity Fund II LF					19,308	-	19,308
							70,363

THE KRONTHAL FAMILY FOUNDATION, INC.
EIN 46-1243824
12/31/2019

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVSETMENTS

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
UBS NOMINEE	365	365
NATIONAL FINANCIAL SERVICES LLC NOMINEE	627	627
TOTAL	<u>992</u>	<u>992</u>

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
UBS NOMINEE	170,000	170,000
UBS TAX-EXEMPT INTEREST	30,000	
NATIONAL FINANCIAL SERVICES LLC NOMINEE	21,070	21,070
NEW VERNON MAURITIUS	669	669
ENCORE HOUSING OPPORTUNITY FUND II LP	519	519
ENCORE HOUSING OPPORTUNITY FUND II LP - TAX EXEMPT INCOME	4	
NEW VERNON FINANCIAL SECTOR FUND LP	373	373
TOTAL	<u>222,635</u>	<u>192,631</u>

ATTACHMENT 1FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
OIL & GAS ROYALTY URBAN	138,954.	138,954.
ENCORE HOUSING OPP FD II LP	-36,118.	-36,118.
LESS: UBTI REPORTED ON FORM 990-T		21,008.
TOTALS	<u>102,836.</u>	<u>123,844.</u>

ATTACHMENT 2

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
ROYALTY: SEVERANCE TAX	11,905.	11,905.
STATE TAX	868.	868.
TOTALS	<u>12,773.</u>	<u>12,773.</u>

ATTACHMENT 3FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
FILING FEE	160.		160.
INVESTMENT ADVISORY FEE	6,858.	6,858.	
OTHER MISC	32.	32.	
NEW VERNON FINANCIAL SEC FD LP			
- PORTFOLIO LOSS	178.	178.	
- OTHER DEDUCTIONS	1,993.	1,993.	
NEW VERNON MAURITIUS:			
- OTHER DEDUCTIONS	1,858.	1,858.	
ENCORE HOUSING OPP FD II LP:			
- PORTFOLIO LOSS	11.	11.	
- EXCESS BUS INTEREST EXP	467.	467.	
- OTHER PORTFOLIO DEDUCTIONS	2.	2.	
- OTHER DEDUCTIONS	5,413.	5,413.	
- NON-DEDUCTIBLE EXPENSES	59.		
TOTALS	17,031.	16,812.	160.

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ATTACHMENT 4</u>	
		<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
PR PUB FIN 6% 08/01/26	632,890.	632,890.	637,190.
STATE OBLIGATIONS TOTAL	<u>632,890.</u>	<u>632,890.</u>	<u>637,190.</u>

FORM 990PF, PART II - CORPORATE STOCKATTACHMENT 5

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
SEE STATEMENT ATTACHED	5,348,015.	5,361,714.	5,602,145.
TOTALS	<u>5,348,015.</u>	<u>5,361,714.</u>	<u>5,602,145.</u>

THE KRONTHAL FAMILY FOUNDATION, INC
FORM 990PF, PART II - CORPORATE STOCK
EIN 46-1243824
12/31/2019

Description of property	Beginning Book Value	Per Book Ending Book Value	Ending FMV
Blackrock Enhanced Equity Divid Tr Unsolicited	318,197 62	318,197 62	394,458 88
Blackrock Global Floating Rate Income Trust	208,741 57	208,741 57	209,781 00
Eaton Vance Ltd Duration Income Fund	390,760 81	390,760 81	349,097 75
Eaton Vance Senior Floating Rate Trust	232,908 90	232,908 90	237,125 00
Nuveen Floating Rate Income Fund	194,566 42	194,566 42	189,440 00
Nuveen Equity Long / Short Fund Class A	1,250,005 25	1,250,005 25	1,365,548 40
Nuveen Equity Market Neutral Fund A	1,258,619 23	1,258,619 23	1,249,878 39
Wells Fargo Income Opportunities Fund	235,620 14	233,045 51	240,929 88
Western Asset High Income Fund II Inc	237,991 90	236,501 22	218,726 48
Western Asset High Income Opportunity fund Inc	204,492 24	204,492 24	202,810 14
Total UBS A/C #3196 REC	4,531,904 08	4,527,838 77	4,657,795 92
BLACKROCK CREDIT ALL INC TR COM (BTZ)	22,786 93	10,376 62	11,463 60
BLACKROCK ENHANCED GOVT GD INC	9,745 68	8,313 53	8,192 45
BLACKROCK FLOATING RATE INCOME	5,820 28	5,820 28	6,384 00
EATON VANCE FLOATING RATE INCOME TR (EFT)	18,586 39	18,586 39	18,137 84
EATON VANCE LTD DURATION INCOME FD (EVV)	34,231 06	34,231 06	37,113 25
EATON VANCE SH TM DR DIVR INCM COM	11,854 96	11,854 96	12,514 50
EATON VANCE FLTING RATE 2022 TR COM	5,889 55	0 00	0 00
FIRST TR SR FLG RTE INCM FD II COM (FCT)	17,881 75	17,881 75	17,457 05
KAYNE ANDERSON MLP	8,560 96	8,249 44	8,939 16
MFS CHARTER INCOME TRUST SH BEN INT (MCR)	17,515 79	0 00	0 00
MFS INTER INCOME TR SH BEN INT (MIN)	23,500 60	22,391 43	17,662 40
MFS GOVT MKTS INCOME TR SH BEN INT (MGF)	17,577 97	16,829 91	15,817 14
NUVEEN MORTGAGE OPPORTUNITY TRM FD2	16,509 79	0 00	0 00
NUVEEN MTG OPPORTUNITY TERM FUND (JLS)	24,058 57	0 00	0 00
PIONEER FLOATING RATE TRUST (PHD)	5,878 67	5,878 67	5,430 15
SPECIAL OPPORTUNITIES FD INC	18,169 02	18,169 02	18,326 52
TCW STRATEGIC INCOME FD INC (TSI)	18,709 97	0 00	0 00
VOYA PRIME SRATE TR SH BEN INT	11,984 50	11,984 50	12,069 09
WESTERN ASSET VAR RT STRG FD COM (GFY)	3,000 71	3,000 71	2,991 09
ISHARES IBONDS DEC 2020 TERM	0 00	6,264 48	6,274 40
ISHARES 7-10 YEAR TREASURY BOND ETF	8,840 97	95,540 33	95,340 30
ISHARES TR 1-3 YR TREASURY BOND ETF	20,279 32	33,988 20	34,275 15
ISHARES TR 3-7 YR TR BD ETF	82,561 71	88,790 65	89,911 25
ISHARES TR SHORT TREAS BD	39,530 36	25,727 86	25,737 18
ISHARES TR US TREAS BD ETF	106,144 76	106,144 76	110,893 50
SPDR PORTFOLIO SHORT TERM TREASURY ETF	29,994 04	29,994 04	30,469 32
WISDOMTREE TR FLOATING RAT TREA (USFR)	29,578 17	29,578 17	29,520 68
Total FIDELITY A/C #0753	609,192 48	609,596 76	614,920 02
ACCENTURE PLC IRELAND CL A DE	5,845 68	4,300 52	8,422 80
ADOBE SYSTEMS INC (DELAWARE)	3,912 99	3,134 42	13,192 40
ALPHABET INC CL A FKA GOOGLE INC CL A DE	16,958 51	19,210 80	29,466 58
AMAZON COM INC	0 00	18,585 38	20,326 24
AMERICAN TOWER CORP REIT	5,526 23	6,388 99	9,192 80
AMERIPRISE FINANCIAL INC DE	6,941 93	4,746 83	5,830 30
BOEING COMPANY	0 00	12,537 35	11,401 60
BLACKROCK INC	6,228 16	0 00	0 00
COSTCO WHOLESALE CORP	0 00	6,382 48	7,935 84
COMCAST CORP NEW CL A DE	5,180 56	7,685 49	10,522 98
DANAHER CORP DE	6,239 97	5,272 39	9,822 72
ECOLAB INC DE	6,074 35	0 00	0 00
FACEBOOK INC CL A DE	6,457 64	12,726 83	18,267 25
FIDELITY NATL INFORMATION SVCS	0 00	9,604 59	10,153 57
HOME DEPOT INC DE	5,160 28	6,899 81	10,700 62
HONEYWELL INTL INC DE	6,400 04	5,546 39	9,381 00
INTERCONTINENTAL EXCHANGE GROUP	3,293 22	5,073 91	7,681 65
LAUDER ESTEE COS CLA	3,421 08	6,294 49	8,674 68
LOWES COMPANIES INC	4,351 92	4,545 44	7,904 16
MEDTRONIC PLC DE	6,411 24	6,590 42	9,756 70
MICROSOFT CORP	19,724 38	22,460 55	34,220 90
MONDELEZ INTL INC	7,531 71	4,960 44	6,279 12
O REILLY AUTOMOTIVE INC DE	4,314 89	3,860 71	7,450 42
PARKER HANNIFIN CORP	5,647 68	6,145 03	7,203 70
RED HAT INC DE	9,243 70	0 00	0 00
ROCKWELL AUTOMATION INC NEW DE	3,017 24	4,967 36	7,296 12
SCHLUMBERGER LTD NETHERLANDS	9,993 23	0 00	0 00
THERMO FISHER SCIENTIFIC INC DE	5,031 00	3,999 00	10,070 97
TJX COS INC NEW DE	4,323 00	4,061 00	7,571 44
UNITEDHEALTH GROUP INC	12,272 02	11,824 29	15,286 96
UNTD TECHNOLOGIES CORP DE	6,324 87	0 00	0 00
VISA INC CLA	11,407 78	9,856 48	16,159 40
WALT DISNEY CO	9,682 98	6,616 79	9,256 32
Total UBS A/C #3054	206,918 28	224,278 18	329,429 24
	5,348,014 84	5,361,713 71	5,602,145 18

ATTACHMENT 6FORM 990PF, PART II - CORPORATE BONDS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
WELLS FARGO & CO NEW CONV SER	587,608.	587,608.	725,000.
TOTALS	<u>587,608.</u>	<u>587,608.</u>	<u>725,000.</u>

FORM 990PF, PART II - OTHER INVESTMENTSATTACHMENT 7

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
CAYMAN NEW VERNON INDIA DI	83,004.	83,004.	157,148.
ENCORE HOUSING OPPORTUNITY	283,904.	226,638.	259,405.
KLS DIVERSIFIED FUND LTD	1,000,000.	1,000,000.	1,390,022.
NEW VERNON GLOBAL OPP FD	500,000.	500,000.	538,220.
NEW VERNON MAURITIUS	87,980.	86,791.	56,235.
NEW VERNON FINANCIAL SEC FD LP	87,666.	85,514.	115,174.
LAND, OIL & GAS MINERALS	1,428,438.	1,428,438.	795,000.
TOTALS	<u>3,470,992.</u>	<u>3,410,385.</u>	<u>3,311,204.</u>

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 8

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
JEFFREY KRONTHAL 157 VILLAGE ROAD GREEN VILLAGE, NJ 07935	PRESIDENT/TREASURER 1.00	0.	0.	0.
LEAH KRONTHAL 157 VILLAGE ROAD GREEN VILLAGE, NJ 07935	VICE PRESIDENT/ SECRETARY 1.00	0.	0.	0.
MELISSA KRONTHAL 157 VILLAGE ROAD GREEN VILLAGE, NJ 07935	DIRECTOR .25	0.	0.	0.
LAUREN KRONTHAL 157 VILLAGE ROAD GREEN VILLAGE, NJ 07935	DIRECTOR .25	0.	0.	0.
DANIEL KRONTHAL 4910 W. PINE BLVD ST LOUIS, MO 63108	DIRECTOR .25	0.	0.	0.
GRAND TOTALS		0.	0.	0.