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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to *www.irs.gov/Form990PF* for instructions and the latest information.

OMB No. 1545-0052

2019

Open to Public Inspection

For calendar year 2019, or tax year beginning 01-01-2019 , and ending 12-31-2019

Name of foundation
THE STEPHEN AND JOANNE KIRK FOUNDATION

A Employer identification number
45-2538930

Number and street (or P.O. box number if mail is not delivered to street address) Room/suite
2000 AUBURN DRIVE SUITE 400

B Telephone number (see instructions)

City or town, state or province, country, and ZIP or foreign postal code
BEACHWOOD, OH 44122

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here............ ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ... ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here .... ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), *line 16)* ▶$ 1,977,529

J Accounting method: ☑ Cash ☐ Accrual ☐ Other (specify) ________ *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here .... ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch. B				
	3	Interest on savings and temporary cash investments	33,764	33,764		
	4	Dividends and interest from securities . . .	14,729	14,729		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	102,007			
	b	Gross sales price for all assets on line 6a 470,545				
	7	Capital gain net income (from Part IV, line 2) . . .		102,007		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	7,692	14,094		
	12	**Total.** Add lines 1 through 11	158,192	164,594		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)	11,153	11,153		
	17	Interest	4,885	4,885		
	18	Taxes (attach schedule) (see instructions) . . .	332	332		
	19	Depreciation (attach schedule) and depletion . . .				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	25,259	25,059		200
	24	**Total operating and administrative expenses.** Add lines 13 through 23	41,629	41,429		200
	25	Contributions, gifts, grants paid	157,500			157,500
	26	**Total expenses and disbursements.** Add lines 24 and 25	199,129	41,429		157,700
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	-40,937			
	b	**Net investment income** (if negative, enter -0-)		123,165		
	c	**Adjusted net income** (if negative, enter -0-) . . .				

Part II	Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets				
1	Cash—non-interest-bearing	282	282	282
2	Savings and temporary cash investments	41,479	43,377	43,377
3	Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less: allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U.S. and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule)			
c	Investments—corporate bonds (attach schedule)	899,926	807,412	828,076
11	Investments—land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)	984,275	1,105,794	1,105,794
14	Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	1,925,962	1,956,865	1,977,529
Liabilities				
17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities**(add lines 17 through 22)		0	
Net Assets or Fund Balances				
	Foundations that follow FASB ASC 958, check here ▶ ☑ and complete lines 24, 25, 29 and 30.			
24	Net assets without donor restrictions	1,925,962	1,956,865	
25	Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ ☐ and complete lines 26 through 30.			
26	Capital stock, trust principal, or current funds			
27	Paid-in or capital surplus, or land, bldg., and equipment fund			
28	Retained earnings, accumulated income, endowment, or other funds			
29	**Total net assets or fund balances** (see instructions)	1,925,962	1,956,865	
30	**Total liabilities and net assets/fund balances** (see instructions)	1,925,962	1,956,865	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	1,925,962
2	Enter amount from Part I, line 27a	2	-40,937
3	Other increases not included in line 2 (itemize) ▶	3	71,840
4	Add lines 1, 2, and 3	4	1,956,865
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	1,956,865

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 }	2	102,007
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	4,264

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	121,033	1,982,934	0.061037
2017	103,404	1,990,521	0.051948
2016	99,539	1,950,873	0.051023
2015	98,369	2,001,281	0.049153
2014	99,345	2,033,896	0.048845

2	**Total** of line 1, column (d)	2	0.262006
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	0.052401
4	Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	1,946,538
5	Multiply line 4 by line 3	5	102,001
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1,232
7	Add lines 5 and 6	7	103,233
8	Enter qualifying distributions from Part XII, line 4 , ...	8	157,700

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b			1	1,232
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	
3	Add lines 1 and 2			3	1,232
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	1,232
6	Credits/Payments:				
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a	1,445		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c	600		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments. Add lines 6a through 6d			7	2,045
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	813
11	Enter the amount of line 10 to be: **Credited to 2020 estimated tax** ▶ 813	**Refunded** ▶		11	

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition).	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ ________ **(2)** On foundation managers. ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ OH			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶NONE

14 The books are in care of ▶DOUGLAS SMORAG Telephone no. ▶(216) 831-4105

Located at ▶2000 AUBURN DR SUITE 400 BEACHWOOD OH ZIP+4 ▶44122

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here . . . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ 15

			Yes	No
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. Yes No

1a During the year did the foundation (either directly or indirectly):

(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No

(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☑ No

(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No

(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No

(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☑ No

(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.). ☐ Yes ☑ No

b If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions . . . 1b

Organizations relying on a current notice regarding disaster assistance check here. . . ▶ ☐

c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?. . . 1c

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):

a At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019?. ☐ Yes ☑ No

If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____

b Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.) . . . 2b

c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here.
▶ 20____ , 20____ , 20____ , 20____

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☑ No

b If "Yes," did it have excess business holdings in 2019 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019.)*. . . 3b

			Yes	No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? . . . ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions. . . . ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?. . . ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions . . .	5b		
	Organizations relying on a current notice regarding disaster assistance check here. . . . ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?. . . ☐ Yes ☐ No *If "Yes," attach the statement required by Regulations section 53.4945–5(d).*			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?. . . ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . *If "Yes" to 6b, file Form 8870.*	6b		No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction? . . .	7b		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year? . . . ☐ Yes ☑ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
STEPHEN KIRK 2000 AUBURN DR SUITE 400 BEACHWOOD, OH 44122	PRESIDENT 1.00	0	0	0
JOANNE KIRK 2000 AUBURN DR SUITE 400 BEACHWOOD, OH 44122	VICE PRESIDE 0.50	0	0	0
BARBARA NEFF 2000 AUBURN DR SUITE 400 BEACHWOOD, OH 44122	DIRECTOR 0.25	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000. . . . ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services. . . . . ▶

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 . . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	882,725
b	Average of monthly cash balances.	1b	48,110
c	Fair market value of all other assets (see instructions).	1c	1,045,346
d	**Total** (add lines 1a, b, and c).	1d	1,976,181
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). 1e		
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	1,976,181
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	29,643
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,946,538
6	**Minimum investment return.** Enter 5% of line 5.	6	97,327

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.			1	97,327
2a	Tax on investment income for 2019 from Part VI, line 5.	2a	1,232		
b	Income tax for 2019. (This does not include the tax from Part VI.).	2b			
c	Add lines 2a and 2b.			2c	1,232
3	Distributable amount before adjustments. Subtract line 2c from line 1.			3	96,095
4	Recoveries of amounts treated as qualifying distributions.			4	
5	Add lines 3 and 4.			5	96,095
6	Deduction from distributable amount (see instructions).			6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.			7	96,095

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	157,700
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	157,700
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	1,232
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	156,468

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				96,095
2 Undistributed income, if any, as of the end of 2019:				
a Enter amount for 2018 only.			4,427	
b Total for prior years: 20___ , 20___ , 20___				
3 Excess distributions carryover, if any, to 2019:				
a From 2014.				
b From 2015.				
c From 2016.				
d From 2017.				
e From 2018.				
f **Total** of lines 3a through e.				
4 Qualifying distributions for 2019 from Part XII, line 4: ▶ $ 157,700				
a Applied to 2018, but not more than line 2a			4,427	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2019 distributable amount.				96,095
e Remaining amount distributed out of corpus	57,178			
5 Excess distributions carryover applied to 2019. *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6 **Enter the net total of each column as indicated below:**				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	57,178			
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b. Taxable amount —see instructions				
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions				
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions) . . .				
9 **Excess distributions carryover to 2020.** Subtract lines 7 and 8 from line 6a	57,178			
10 Analysis of line 9:				
a Excess from 2015. . . .				
b Excess from 2016. . . .				
c Excess from 2017. . . .				
d Excess from 2018. . . .				
e Excess from 2019. . . . 57,178				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2019	**(b)** 2018	**(c)** 2017	**(d)** 2016	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: **a** "Assets" alternative test—enter: **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter: **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

STEPHEN KIRK

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				157,500
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	33,764	
4 Dividends and interest from securities. . . .			14	14,729	
5 Net rental income or (loss) from real estate:					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			14	102,007	
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a See Additional Data Table					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e). .		-6,402		164,594	

13 **Total.** Add line 12, columns (b), (d), and (e). 13 158,192

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash.	1a(1)		No
	(2) Other assets.	1a(2)		No
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
	(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
	(3) Rental of facilities, equipment, or other assets.	1b(3)		No
	(4) Reimbursement arrangements.	1b(4)		No
	(5) Loans or loan guarantees.	1b(5)		No
	(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line No.	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule.

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

******	2020-10-27	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr.) ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
DOUGLAS J SMORAG		2020-10-27		P01277446
Firm's name ▶ CM WEALTH ADVISORS				Firm's EIN ▶34-1410460
Firm's address ▶ 2000 AUBURN DR STE 400 BEACHWOOD, OH 441224327				Phone no. (216) 831-9667

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
CMWA CAP APPREC FUND K-1	P	2019-01-01	2019-12-31
CMWA PRIVATE OPPORTUNITIES I	P	2018-01-01	2019-12-31
10000 NEW HAMPSHIRE ST	P	2012-02-03	2019-09-12
CMWA CAP APPREC FUND K-1	P	2018-01-01	2019-12-31
10000 UNITS MEDTRONIC INC	P	2018-11-14	2019-02-28
1000 ORACLE CORP	P	2014-10-28	2019-09-12
CMWA DEFENSIVE K-1	P	2019-01-01	2019-12-31
6000 UNITS MEDTRONIC INC	P	2019-04-16	2019-06-27
25000 PRAXAIR INC	P	2012-02-01	2019-11-20
CMWA DEFENSIVE K-1	P	2018-01-01	2019-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,056			2,056
18			18
10,494		10,842	-348
20,786			20,786
9,700		9,941	-241
10,110		9,880	230
281			281
5,992		6,072	-80
25,293		24,899	394
37,396			37,396

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			2,056
			18
			-348
			20,786
			-241
			230
			281
			-80
			394
			37,396

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
5000 UNITS SIMON PROPERTY	P	2019-04-30	2019-10-07
10000 SAN MATEO CA	P	2012-11-28	2019-09-12
CMWA PRIVATE EQUITY 2011 K-1	P	2019-01-01	2019-12-31
US TREASURY 2.75%	P	2018-05-10	2019-05-03
US TREASURY 2.375%	P	2014-10-31	2019-09-12
CMWA PRIVATE EQUITY 2011 K-1	P	2018-01-01	2019-12-31
US TREASURY 2.875%	P	2019-02-22	2019-04-26
US TREASURY 2.375%	P	2015-02-18	2019-09-12
CMWA PRIVATE EQUITY 2012 K-1	P	2019-01-01	2019-12-31
US TREASURY NOTE	P	2019-06-12	2018-08-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,170		5,109	61
10,301		10,131	170
31			31
25,481		24,516	965
5,166		5,011	155
13,089			13,089
15,479		15,277	202
10,332		10,136	196
21			21
4,879		4,631	248

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			61
			170
			31
			965
			155
			13,089
			202
			196
			21
			248

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
US TREASURY NOTE 1.0%	P	2017-10-03	2019-09-12
CMWA PRIVATE EQUITY 2012 K-1	P	2018-01-01	2019-12-31
US TREASURY 1.5%	P	2018-09-06	2019-05-31
US TREASURY 1.375%	P	2017-06-28	2019-09-12
CMWA PRIVATE EQUITY 2014 K-1	P	2019-01-01	2019-12-31
10000 WESTPAC BKG CORP	P	2019-05-14	2019-11-19
US TREASURY 2.375%	P	2017-09-28	2019-09-12
CMWA PRIVATE EQUITY 2014 K-1	P	2018-01-01	2019-12-31
20000 AMEX CRED	P	2017-05-11	2019-04-16
10000 UNITED HEALTH	P	2018-11-13	2019-11-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
9,995		9,999	-4
9,444			9,444
20,000		20,000	
24,905		24,904	1
183			183
10,064		9,999	65
10,461		10,035	426
6,248			6,248
19,963		20,069	-106
10,053		9,972	81

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-4
			9,444
			1
			183
			65
			426
			6,248
			-106
			81

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
CMWA PRIVATE EQUITY 2015 K-1	P	2019-01-01	2019-12-31
45000 COOK CNTY	P	2012-02-23	2019-02-25
25000 WESTPAC	P	2016-12-20	2019-05-13
CMWA PRIVATE EQUITY 2015 K-1	P	2018-01-01	2019-12-31
5000 JOHN DEERE CAPITAL	P	2012-11-16	2019-09-12
CMWA PRIVATE EQUITY 2016 K-1	P	2019-01-01	2019-12-31
10000 FAIRFAX CNTY	P	2012-03-06	2019-09-12
CMWA PRIVATE EQUITY 2016 K-1	P	2018-01-01	2019-12-31
25000 JPMORGAN CHASE & CO	P	2014-05-12	2019-04-26
CMWA PRIVATE OPPORTUNITIES I	P	2019-01-01	2019-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
86			86
44,704		44,474	230
25,000		25,000	
7,014			7,014
5,114		5,115	-1
220			220
11,153		11,283	-130
2,700			2,700
26,100		26,253	-153
166			166

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			86
			230
			7,014
			-1
			220
			-130
			2,700
			-153
			166

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo., day, yr.)	**(d)** Date sold (mo., day, yr.)
15000 MICROSOFT CORP	P	2017-01-30	2019-04-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
14,897		14,990	-93

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col. (i) over col. (j), if any	
			-93

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
BOY SCOUTS OF AMERICA 2241 WOODLAND AVE CLEVELAND, OH 44115	NONE	501(C)3	GREAT SCOUT 2019	5,000
BLUECOATS INC23300 MERCANTILE RD BEACHWOOD, OH 44122	NONE	501(C)3	EDUCATION FUND	5,000
CLEVELAND STATE UNIVERSITY 2121 EUCLID AVE CLEVELAND, OH 44115	NONE	501(C)3	RADIANCE SCHOLARSHIP EVENT	25,000
Total . . . . ▶ **3a**				157,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CLEVELAND STATE UNIVERSITY 2121 EUCLID AVE CLEVELAND, OH 44115	NONE	501(C)3	COLLEGE OF BUSINESS FUND	15,000
CLEVELAND STATE UNIVERSITY 2121 EUCLID AVE CLEVELAND, OH 44115	NONE	501(C)3	ANNUAL FUND	10,000
CLEVELAND STATE UNIVERSITY 2121 EUCLID AVE CLEVELAND, OH 44115	NONE	501(C)3	TENNIS PROGRAM	5,000
Total . . . . ▶ **3a**				157,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CLEVELAND ZOOLOGICAL SOCIETY 3900 WILDLIFE WAY CLEVELAND, OH 44109	NONE	501(C)3	OPERATIONS	45,000
FRIENDS OF BREAKTHROUGH 3615 SUPERIOR-STE 3103 A CLEVELAND, OH 44114	NONE	501(C)3	ANNUAL FUND	5,000
MAKE A WISH OHIO 2545 FARMERS DRIVE 300 COLUMBUS, OH 43235	NONE	501(C)3	GENERAL FUND	3,000
Total . . . . ▶ **3a**				157,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
POODLE CLUB OF AMERICA FOUNDATION 5989 SIERRA MEDINA AVE LAS VEGAS, NV 89139	NONE	501(C)3	GENERAL FUND	5,000
STULBERG INTERNATIONAL STRING COMP 359 S KALAMAZOO MALL SUITE 14 KALAMAZOO, MI 49007	NONE	501(C)(3)	STRINGS COMPETITION	2,000
VOCATIONAL GUIDANCE SERVICES 2239 EAST 55TH ST CLEVELAND, OH 44103	NONE	501(C)3	ANNUAL FUND	15,000
Total . . . . ▶ **3a**				157,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
VOCATIONAL GUIDANCE SERVICES 2239 EAST 55TH ST CLEVELAND, OH 44103	NONE	501(C)3	ACHIEVE CAMPAIGN	15,000
LIBERIA ECONOMIC DEVELOPMENT PO BOX 14037 CLEVELAND, OH 44144	NONE	501(C)3	GENERAL FUND	1,500
WESTERN RESERVE LAND CONSERVANCY 3850 CHAGRIN RIVER ROAD MORELAND HILLS, OH 44022	NONE	501(C)3	GENERAL FUND	1,000
Total . . . . ▶ **3a**				157,500

Form 990PF Part XVI-A Line 11 - Other revenue:

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		**(e)** Related or exempt function income (See the instructions.)
11 Other revenue:	**(a)** Business code	**(b)** Amount	**(c)** Exclusion code	**(d)** Amount	
a CMWA CAP APPREC FUND K1			14	51	
b CMWA PRIVATE EQUITY 2011 K1			14	-262	
c CMWA PRIVATE EQUITY 2012 K1			14	411	
d CMWA PRIVATE EQUITY 2014 K1			14	2,236	
e CMWA PRIVATE EQUITY 2015 K1			14	4,339	
f CMWA PRIVATE EQUITY 2016			14	7,097	
g PRIVATE OPPORTUNITIES I			14	-2,006	
h CMWA PRIVATE EQUITY 2016			14	509	
i MELLON MISCELLANEOUS			14	1,719	
j CMWA CAP APPREC FUND K-1	525990	7			
k CMWA PRIVATE 2011 K1	525990	-6			
l CMWA PRIVATE 2012 K1	525990				
m CMWA PRIVATE 2014 K1	525990	-582			
n CMWA PRIVATE 2015 K1	525990	-2,000			
o CMWA PRIVATE 2016 K1	525990	-2,888			
p PRIVATE OPPORTUNITIES I	525990	-237			
q CMWA PRIVATE 2019 K1	525990	-696			

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TY 2019 Investments Corporate Bonds Schedule

Name: THE STEPHEN AND JOANNE KIRK FOUNDATION

EIN: 45-2538930

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
SEE ATTACHED EXHIBIT A	807,412	828,076

TY 2019 Investments - Other Schedule

Name: THE STEPHEN AND JOANNE KIRK FOUNDATION

EIN: 45-2538930

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
CMWA CAPITAL APPRECIATION FUND	FMV	209,398	209,398
CMWA DEFENSIVE FUND	FMV	314,920	314,920
CMWA PRIVATE EQUITY 2011	FMV	40,475	40,475
CMWA PRIVATE EQUITY 2012	FMV	78,869	78,869
CMWA PRIVATE EQUITY 2014	FMV	73,391	73,391
CMWA PRIVATE EQUITY 2015	FMV	185,648	185,648
CMWA PRIVATE EQUITY 2016	FMV	121,295	121,295
PRIVATE OPPORTUNITIES I	FMV	76,346	76,346
CMWA PRIVATE EQUITY 2019	FMV	5,452	5,452

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TY 2019 Other Expenses Schedule

Name: THE STEPHEN AND JOANNE KIRK FOUNDATION

EIN: 45-2538930

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
CMWA CAPITAL APPRECIATION FUN	1,343	1,343		
CMWA DEFENSIVE FUND K1	549	549		
CMWA PRIVATE EQUITY 2011 K1	1,174	1,174		
CMWA PRIVATE EQUITY 2012 K1	1,825	1,825		
CMWA PRIVATE EQUITY 2014 K1	1,740	1,740		
CMWA PRIVATE EQUITY 2015 K1	3,838	3,838		
CMWA PRIVATE EQUITY 2016 K1	9,713	9,713		
PRIVATE OPPORTUNITIES I	3,933	3,933		
CMWA PRIVATE EQUITY 2019 K1	944	944		

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FILING FEE	200			200

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TY 2019 Other Income Schedule

Name: THE STEPHEN AND JOANNE KIRK FOUNDATION

EIN: 45-2538930

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
CMWA CAP APPREC FUND K1	51	51	
CMWA PRIVATE EQUITY 2011 K1	-262	-262	
CMWA PRIVATE EQUITY 2012 K1	411	411	
CMWA PRIVATE EQUITY 2014 K1	2,236	2,236	
CMWA PRIVATE EQUITY 2015 K1	4,339	4,339	
CMWA PRIVATE EQUITY 2016	7,097	7,097	
PRIVATE OPPORTUNITIES I	-2,006	-2,006	
CMWA PRIVATE EQUITY 2016	509	509	
MELLON MISCELLANEOUS	1,719	1,719	
CMWA CAP APPREC FUND K-1	7		
CMWA PRIVATE 2011 K1	-6		
CMWA PRIVATE 2014 K1	-582		
CMWA PRIVATE 2015 K1	-2,000		
CMWA PRIVATE 2016 K1	-2,888		
PRIVATE OPPORTUNITIES I	-237		
CMWA PRIVATE 2019 K1	-696		

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TY 2019 Other Increases Schedule

Name: THE STEPHEN AND JOANNE KIRK FOUNDATION

EIN: 45-2538930

Description	Amount
UNREALIZED LOSSES ON PARTNERSHIPS MARKED TO MARKET	71,840

TY 2019 Other Professional Fees Schedule

Name: THE STEPHEN AND JOANNE KIRK FOUNDATION

EIN: 45-2538930

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT FEES	11,153	11,153		

TY 2019 Taxes Schedule

Name: THE STEPHEN AND JOANNE KIRK FOUNDATION

EIN: 45-2538930

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL TAXES				
FOREIGN INCOME TAX PASSED THROUG	332	332		

THE STEPHEN AND JOANNE KIRK FOUNDATION
EI#45-2538930
2019-FORM 990PF

EXHIBIT A - PAGE 2, PART II, LINE 10c - Investments - corporate bonds

Description		Quantity	Cost	Market Value
APPLE INC	3.350% 02/09/2027 DD 02/09/17	20,000	20,265	21,304
BANK OF AMERICA CORP	3.500% 04/19/2026 DD 04/19/16	15,000	15,154	15,953
BANK OF NEW YORK MELLON CORP	2.950% 01/29/2023 DD 01/29/18	10,000	10,033	10,270
CALIFORNIA ST HLTH FACS FING A	2.534% 06/01/2028 DD 11/25/19	15,000	15,000	14,948
COMCAST CORP	3.600% 03/01/2024 DD 02/26/14	20,000	20,917	21,203
JOHN DEERE CAPITAL CORP	2.800% 01/27/2023 DD 06/29/12	20,000	20,447	20,491
DTE ELECTRIC CO	2.650% 06/15/2022 DD 06/22/12	20,000	19,754	20,313
FAIRFAX CNTY VA	4.800% 10/01/2024 DD 10/28/09	25,000	28,127	28,021
FEDERAL HOME LN BK CONS BD	2.625% 12/10/2021 DD 11/04/11	25,000	25,326	25,465
JPMORGAN CHASE & CO	4.500% 02/01/2028 DD 02/01/17	15,000	15,288	16,176
MEDTRONIC INC	2.500% 03/15/2020 DD 03/15/15	4,000	4,040	4,114
MERCK & CO INC	3.400% 03/07/2029 DD 03/07/19	5,000	5,270	5,417
NASHUA NH	2.757% 01/15/2020 DD 01/25/12	20,000	20,466	20,007
NEW HAMPSHIRE ST FEDERAL HIGHW	4.334% 09/01/2022 DD 11/18/10	30,000	32,444	31,605
NEW YORK CITY NY TRANSITIONALF	2.460% 08/01/2021 DD 08/28/12	20,000	19,960	20,165
NIKE INC	2.375% 11/01/2026 DD 10/21/16	15,000	14,264	15,251
ORACLE CORP	2.500% 10/15/2022 DD 10/25/12	15,000	14,821	15,278
PUBLIC SERVICE ELECTRIC & GAS	3.050% 11/15/2024 DD 11/07/14	20,000	20,952	20,749
ROANOKE VA	2.962% 10/01/2023 DD 03/14/12	20,000	20,000	20,631
ROYAL BANK OF CANADA	3.200% 04/30/2021 DD 04/30/18	10,000	10,128	10,179
SAN MATEO CA UNION HIGH SCH DI	3.070% 09/01/2023 DD 11/07/12	30,000	30,380	31,140
SCHLUMBERGER INVESTMENT SA	3.650% 12/01/2023 DD 12/03/13	25,000	25,491	26,455
STATE STREET CORP	VAR RT 11/01/2025 DD 11/01/19	10,000	10,036	10,044
TORONTO-DOMINION BANK	2.125% 04/07/2021 DD 04/07/16	15,000	14,580	15,090
TOTAL CAPITAL INTERNATIONAL SA	2.875% 02/17/2022 DD 02/17/12	25,000	25,066	25,546
TOYOTA MOTOR CREDIT CORP	3.300% 01/12/2022 DD 01/12/12	15,000	15,227	15,429
U S TREASURY NOTE	2.375% 08/15/2024 DD 08/15/14	25,000	25,052	25,766
U S TREASURY NOTE	2.250% 11/15/2024 DD 11/15/14	25,000	24,722	25,655
U S TREASURY NOTE	2.000% 08/15/2025 DD 08/15/15	30,000	29,488	30,411
U S TREASURY NOTE	1.625% 02/15/2026 DD 02/15/16	15,000	14,033	14,868
U S TREASURY NOTE	2.000% 11/15/2021 DD 11/15/11	15,000	15,113	15,118
U S TREASURY NOTE	1.625% 05/15/2026 DD 05/15/16	15,000	13,892	14,848
U S TREASURY NOTE	2.000% 02/15/2022 DD 02/15/12	15,000	14,782	15,128
U S TREASURY NOTE	1.750% 05/15/2022 DD 05/15/12	20,000	19,710	20,073
U S TREASURY NOTE	2.000% 11/15/2026 DD 11/15/16	10,000	9,232	10,116
U S TREASURY NOTE	1.750% 05/15/2023 DD 05/15/13	15,000	14,910	15,058
U S TREASURY NOTE	1.375% 05/31/2020 DD 05/31/13	15,000	14,708	14,982
U S TREASURY NOTE	2.000% 05/31/2021 DD 05/31/14	20,000	19,868	20,110
U S TREASURY NOTE	2.125% 05/15/2025 DD 05/15/15	15,000	14,202	15,309
U S TREASURY NOTE	2.375% 05/15/2027 DD 05/15/17	30,000	30,102	31,119
U S TREASURY NOTE	2.250% 11/15/2027 DD 11/15/17	30,000	28,539	30,853
U S TREASURY NOTE	2.875% 05/15/2028 DD 05/15/18	5,000	5,086	5,385
UNITEDHEALTH GROUP INC	2.700% 07/15/2020 DD 07/23/15	10,000	10,775	10,803
WELLS FARGO & CO	3.300% 09/09/2024 DD 09/09/14	25,000	24,767	26,201
WESTPAC BANKING CORP	2.600% 11/23/2020 DD 11/23/15	5,000	4,999	5,027
		TOTALS	**807,412**	**828,076**