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Form 990-PF

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0047

2019

Open to Public Inspection

Department of the Treasury Internal Revenue Service

Do not enter social security numbers on this form as it may be made public.

Go to www.irs.gov/Form990PF for instructions and the latest information.

For calendar year 2019 or tax year beginning , 2019, and ending , 20

Name of foundation: VELMA NEIMAN CHARITABLE FOUNDATION
Employer identification number: 43-6362412
Telephone number: (314) 241-9090
City or town, state or province, country, and ZIP or foreign postal code: CLAYTON, MO 63105
Check type of organization: Section 501(c)(3) exempt private foundation
Fair market value of all assets at end of year: 9,490,341.89
Accounting method: Cash

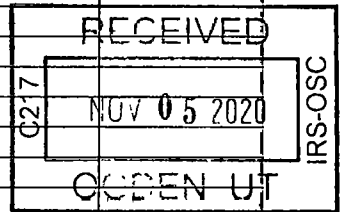
Table with 5 columns: (a) Revenue and expenses per books, (b) Net investment income, (c) Adjusted net income, (d) Disbursements for charitable purposes. Rows include Revenue (1-12) and Operating and Administrative Expenses (13-26). Total revenue: 257,862.15; Total expenses: 453,158.91; Net investment income: 247,201.47; Adjusted net income: 214,070.15.

3/4

SCANNED APR 28 2021

62 Received In Batching Order DEL 20 2020

Operating and Administrative Expenses



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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	471,800.97	419,661.27	419,661.27
	3 Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	1,215,709.90	1,219,035.90	9,070,680.62
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment: basis ▶ Less: accumulated depreciation (attach schedule) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)			
	14 Land, buildings, and equipment: basis ▶ Less: accumulated depreciation (attach schedule) ▶			
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	1,687,510.87	1,638,697.17	9,490,341.89	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.00	0.00		
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 24, 25, 29, and 30.			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds			
	27 Paid-in or capital surplus, or land, bldg., and equipment fund	1,687,510.87	1,638,697.17	
	28 Retained earnings, accumulated income, endowment, or other funds			
29 Total net assets or fund balances (see instructions)	1,687,510.87	1,638,697.17		
30 Total liabilities and net assets/fund balances (see instructions)	1,687,510.87	1,638,697.17		

Part III Analysis of Changes in Net Assets or Fund Balances			
1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)		1	1,687,510.87
2 Enter amount from Part I, line 27a		2	(195,296.76)
3 Other increases not included in line 2 (itemize) ▶ CAPITAL GAINS & NON-BOOK ITEMS VA NEIMAN		3	146,483.06
4 Add lines 1, 2, and 3		4	
5 Decreases not included in line 2 (itemize) ▶		5	
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29		6	1,638,697.17

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a SEE ATTACHED				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.				(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a				
b				
c				
d				
e				
2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	33,498.23
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2018	428,144.87	9,061,340.77	0.0472
2017	391,548.58	8,725,912.34	0.0448
2016	382,285.36	7,880,850.74	0.0485
2015	397,836.04	7,737,026.21	0.0514
2014	374,736.56	8,234,831.22	0.0455
2	Total of line 1, column (d)		2 0.2374
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years		3 0.0474
4	Enter the net value of noncharitable-use assets for 2019 from Part X, line 5		4 8,801,587.09
5	Multiply line 4 by line 3		5 417,195.23
6	Enter 1% of net investment income (1% of Part I, line 27b)		6 1,665.08
7	Add lines 5 and 6		7 418,860.31
8	Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.		8 451,126.92

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculations. Includes sub-rows for credits and payments (6a-6d). Total tax due is 3,000.00, with 8,860.55 overpaid and 5,860.55 refunded.

Part VII-A Statements Regarding Activities

Table with 10 rows of activity statements. Includes questions about political influence, unrelated business income, and state reporting. Includes handwritten '2' next to row 9.

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		✓
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		✓
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶	✓	
14 The books are in care of ▶ <u>WALTER L. WITTENBERG</u> Telephone no. ▶ <u>(314) 241-9090</u> Located at ▶ <u>6434 CECIL AVENUE, CLAYTON, MO</u> ZIP+4 ▶ <u>63105-2225</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15		
16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶		✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here ▶ <input type="checkbox"/>	1b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1c	✓
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019.)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	✓
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b	✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to:		Yes	No
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	5b	N/A	
Organizations relying on a current notice regarding disaster assistance, check here			<input type="checkbox"/>
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
If "Yes," attach the statement required by Regulations section 53.4945-5(d).			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		✓
If "Yes" to 6b, file Form 8870.			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	7b	N/A	
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

	Expenses
1 NONE	

2	

3	

4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 NONE	

2	

All other program-related investments. See instructions	
3	

Total. Add lines 1 through 3 ▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	8,469,575.73
b	Average of monthly cash balances	1b	466,045.68
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	8,935,621.41
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	8,935,621.41
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	134,034.32
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	8,801,587.09
6	Minimum investment return. Enter 5% of line 5	6	440,079.35

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	440,079.35
2a	Tax on investment income for 2019 from Part VI, line 5	2a	1,665.08
b	Income tax for 2019. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	1,665.08
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	438,414.27
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	438,414.27
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	438,414.27

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	452,792.00
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	452,792.00
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	1,665.08
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	451,126.92

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				438,414.27
2 Undistributed income, if any, as of the end of 2019:				
a Enter amount for 2018 only			408,793.47	
b Total for prior years: 20 ____, 20 ____, 20 ____				
3 Excess distributions carryover, if any, to 2019:				
a From 2014				
b From 2015				
c From 2016				
d From 2017				
e From 2018				
f Total of lines 3a through e	0.00			
4 Qualifying distributions for 2019 from Part XII, line 4: ▶ \$ <u>452,792.00</u>				
a Applied to 2018, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2019 distributable amount				43,998.53
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.00			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.00		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see instructions		0.00		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions			408,793.47	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				394,425.74
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a	0.00			
10 Analysis of line 9:				
a Excess from 2015				
b Excess from 2016				
c Excess from 2017				
d Excess from 2018				
e Excess from 2019				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

- 1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling **1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling
- b** Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year				(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					0.00
b 85% of line 2a	0.00	0.00	0.00	0.00	0.00
c Qualifying distributions from Part XII, line 4, for each year listed					0.00
d Amounts included in line 2c not used directly for active conduct of exempt activities					0.00
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c	0.00	0.00	0.00	0.00	0.00
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter:					
(1) Value of all assets					0.00
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0.00
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6, for each year listed					0.00
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0.00
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0.00
(3) Largest amount of support from an exempt organization					0.00
(4) Gross investment income	0.00	0.00	0.00	0.00	0.00

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

- 1 Information Regarding Foundation Managers:**
- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**
- Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

- a** The name, address, and telephone number or email address of the person to whom applications should be addressed:

N/A

- b** The form in which applications should be submitted and information and materials they should include:

NONE

- c** Any submission deadlines:

NONE

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

NONE

Part XV · Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<p>a <i>Paid during the year</i></p> <p>SEE ATTACHED SCHEDULE</p>				
<p>Total ▶</p>				3a
<p>b <i>Approved for future payment</i></p>				
<p>Total ▶</p>				3b 0.00

VELMA A. NEIMAN FOUNDATION
2019 CY 990-PF (EI# 43-6362412)

Schedule 1

Schedule for Part I - Line 16(a) and Line 18

Fees of \$42,100 were paid during CY 2019 to Greensfelder, Hemker & Gale, P.C. These represented legal, accounting and administrative work performed for the period January 1, 2019 to December 31, 2019 for services related to the organization's exempt function.

Schedule for Part I - Line 18

Paid in CY 2018 (Credit of 2018 refund to 2019 estimate)	\$4,400.00
U.S. Tax paid in 2019 (By erroneous withholding)	6,115.63
Dutch withholding tax	1,692.00
U.K. withholding tax	-0-
Refund paid in 2019 of overpayment of Excise Tax (per 2018 990 PF)	<u>(10,148.72)</u>
	\$2,058.91

VELMA A. NEIMAN FOUNDATION
2098 CY 990-PF (EIN# 43-6362412)

Schedule for Part II - Line 10(b)

Book Value (Cost Basis) of Listed Securities 12-31-19

	<u>Basis</u>
Stocks Per Schedules Attached	\$1,219,035.90

Velma A. Neiman Foundation, Schedule for Part II - Line 10(b)

<u>Date</u>	<u>Name</u>	<u>Quantity</u>	<u>Basis Total</u>
10/18/1988	Ameren Corp	578	12,258 62
10/18/1988	Applied Industrial Tech	36,249	173,654 02
10/15/1996	Occidental Petroleum	209	9,678 79
10/18/1988	FirstEnergy Corp	749	21,092 49
12/11/1990	Altria Group	3,093	11,833 68
10/18/1988	Bank of America	2,680	15,868 98
12/25/1997	Bank of America	912	3,950 01
10/18/1988	BP PLC	1,230	30,656 25
10/18/1988	BP PLC	3,572	50,329 48
10/18/1988	Dominion Energy	1,124	16,696 06
10/18/1988	DuPont de Nemours Inc	384	5,741.73
12/11/1990	Walt Disney	3,384	28,039 55
12/11/1990	Federal Natl Mort	5,248	44,535 84
10/18/1988	Sprint	648	19,543 49
10/18/1988	General Electric	6,756	30,463 82
10/18/1988	Halliburton	2,252	14,066.78
12/11/1990	Kimberly Clark	2,922	33,397 41
10/18/1988	McDonalds	3,000	18,421 88
12/11/1990	Merck & Co	3,096	44,270.17
10/18/1988	Duke Energy	325	6,671 08
10/18/1988	Pfizer Inc	6,744	15,718 31
10/18/1988	Proctor & Gamble	2,400	12,215 64
10/18/88	Royal Dutch Shell	3,000	42,375 00
10/18/88	AT&T (SBC)	2,996	35,844 97
10/18/88	AT&T (SBC)	2,963	26,478 55
10/18/88	AT&T (SBC)	1,096	13,806 53
10/18/88	Union Pacific	3,712	18,212 65
10/18/88	Verizon	1,500	27,117 23
10/18/88	Verizon	685	12,205.95
12/11/90	Waste Management	883	42,902 70
10/18/88	Exxon Mobil	3,960	33,749 97
10/18/1988	J M Smucker	24	0 00
10/18/1988	Comcast Holdings	690	0 00
10/18/1988	Neenah Paper	88	0 00
10/18/1988	Cigna Corp (merged with Express Scripts)	147	0.00
10/18/1988	Macys	1,050	21,081 41
10/18/1988	Western Union	250	0 00
10/18/1988	Tronox	27	360 26
10/18/1988	Windstream Corp	10	0 00
12/11/1990	Mondelez Int'l	2,140	8,431 02
12/11/1990	Phillip Morris	3,093	27,186 34
12/11/1990	CenturyLink Inc	168	0 00
10/18/1988	CenturyLink Inc	221	12,786 53
7/1/2010	Frontier Communications	24	0 00
7/1/2010	Frontier Communications	10	0 00
10/18/1988	Sprint	162	614 14
10/18/1988	CenturyLink Inc	41	0 00
12/11/1990	Kraft Heinz	713	4,555 63
10/18/1988	Live Nation Entertainment	23	0 00
10/18/1988	Chemours Co	180	624 98
10/18/1988	Uniti Group (formerly CS&L)	63	0 00
10/18/1988	WABTEC	36	2,807 33
10/18/1988	Dow Inc	384	3,912 28
10/18/1988	Corteva Inc	384	2,003 55
12/11/1990	Avanos Medical, Inc (formerly Halyard Health Inc)	365	<u>1,446 80</u>
Subtotal			957,607 90
plus stock from distrb from untrust, see attached schedule			<u>261,428 00</u>
Total			1,219,035 90

<u>Date</u>	<u>Name</u>	<u>Quantity</u>	<u>Basis Total</u>
9/15/1995	American Intl Group	23	9,429.47
4/12/1996	Abbott Labs	725	7,251.17
4/12/1996	Abbvie Inc.	725	7,863.27
10/18/1988	Bank of America	891	5,273.81
5/29/1992	Bank of America	59	603.25
10/18/1988	BP PLC	827	11,649.22
4/19/1999	Citigroup	20	6,945.01
10/18/1988	DuPont de Nemours Inc.	106	1,593.54
10/18/1988	Exxon Mobil	1,295	11,035.68
10/18/1988	Federal Natl Mtg Assoc	563	4,777.76
2/7/2003	TEGNA (formerly Gannett)	87	3,293.75
12/11/1990	General Electric	350	1,649.96
10/18/1988	General Electric	1,350	4,985.16
6/27/2002	Honeywell	213	7,241.29
9/15/1995	Intel	1,500	11,812.50
4/19/1999	Johnson & Johnson	100	4,668.13
6/27/2002	Johnson & Johnson	50	2,674.00
12/2/1999	Johnson & Johnson	200	9,905.00
9/15/1995	Kimberly Clark	250	7,594.86
12/4/1996	Eli Lilly	325	12,178.56
12/11/1990	Merck	375	5,073.71
2/7/2003	Microsoft	200	4,683.00
4/19/1999	Microsoft	400	17,337.50
10/18/1988	McDonalds	1,000	6,140.63
5/29/1992	Pepsico	700	11,787.18
10/18/1988	Pfizer Inc.	750	1,748.05
10/18/1988	Proctor & Gamble	800	4,039.99
10/18/1988	AT&T	750	7,617.19
2/7/2003	Target	250	6,727.50
6/27/2002	Texas Instruments	250	6,115.75
6/27/2002	United Technologies	224	7,336.00
6/27/2002	Verizon	175	6,585.25
2/7/2003	Verizon	75	2,806.50
6/27/2002	Wal Mart	174.5960	8,297.62
2/7/2003	Wal Mart	174.5960	7,142.60
2/7/2003	3M Co	100	6,149.00
5/29/1992	Proctor & Gamble	438	5,632.88
2/7/2003	ViacomCBS	106	8,607.60
7/1/2011	Frontier Communications	4	0.00
2/7/2003	Gannett	23	146.51
10/18/1988	Chemours Co.	50	173.60
	Dow Inc	106	1,085.80
	Corteva Inc	106	556.06
	WABTEC	9	701.87
	Avanos Medical, Inc (formerly Halyard Health Inc)	31	329.02
9/15/1995	AvanSix Inc.	8	39.92
7/27/2002	Cars.Com, Inc	29	1,847.18
2/7/2003	Resideo Technologies	35	214.61
6/27/2002	Garrett Advancing Motion	21	80.09
6/27/2002			<u>80.09</u>
Total			261,428.00

VELMA A. NEIMAN FOUNDATION
2019 CY 990-PF (EI# 43-6362412)

Schedule for Part II - Line 10(b)

Fair Market Value - Securities - 12-31-19

Stocks Per Schedules Attached

\$9,070,680.62

Velma A. Neiman Foundation, Schedule for Part II - Line 10(b)

<u>Date</u>	<u>Name</u>	<u>Quantity</u>	<u>Basis Total</u>	<u>12/31/19 Value</u>
10/18/1988	Ameren Corp	578	12,258 62	44,367 28
10/18/1988	Applied Industrial Tech	36,249	173,654 02	2,427,233 04
10/15/1996	Occidental Petroleum	209	9,678 79	8,520 93
10/18/1988	FirstEnergy Corp	749	21,092 49	36,247 86
12/11/1990	Altria Group	3,093	11,833 68	154,015.94
10/18/1988	Bank of America	2,680	15,868 98	94,121 60
12/25/1997	Bank of America	912	3,950 01	32,029 44
10/18/1988	BP PLC	1,230	30,656 25	46,171.13
10/18/1988	BP PLC	3,572	50,329 48	134,083 95
10/18/1988	Dominion Energy	1,124	16,696 06	92,718 76
10/18/1988	DuPont de Nemours Inc	384	5,741 73	24,236 16
12/11/1990	Walt Disney	3,384	28,039 55	487,346 76
12/11/1990	Federal Natl Mort	5,248	44,535 84	16,111.36
10/18/1988	Sprint	648	19,543 49	0 00
10/18/1988	General Electric	6,756	30,463.82	74,721 36
10/18/1988	Halliburton	2,252	14,066 78	54,768.64
12/11/1990	Kimberly Clark	2,922	33,397 41	399,744 21
10/18/1988	McDonalds	3,000	18,421 88	591,780 00
12/11/1990	Merck & Co	3,096	44,270.17	280,845 90
10/18/1988	Duke Energy	325	6,671 08	29,553 06
10/18/1988	Pfizer Inc	6,744	15,718 31	262,695 66
10/18/1988	Proctor & Gamble	2,400	12,215 64	298,716 00
10/18/88	Royal Dutch Shell	3,000	42,375 00	179,265 00
10/18/88	AT&T (SBC)	2,996	35,844 97	116,814 04
10/18/88	AT&T (SBC)	2,963	26,478 55	115,527 37
10/18/88	AT&T (SBC)	1,096	13,806 53	42,733 04
10/18/88	Union Pacific	3,712	18,212 65	669,496 32
10/18/88	Verizon	1,500	27,117 23	91,758 75
10/18/88	Verizon	685	12,205 95	41,903 16
12/11/90	Waste Management	883	42,902 70	100,355 16
10/18/88	Exxon Mobil	3,960	33,749 97	274,883 40
10/18/1988	J M Smucker	24	0 00	2,484 60
10/18/1988	Comcast Holdings	690	0 00	41,751 90
10/18/1988	Neenah Paper	88	0 00	6,260 76
10/18/1988	Cigna Corp (merged with Express Scripts)	147	0 00	29,895 39
10/18/1988	Macys	1,050	21,081 41	17,685 15
10/18/1988	Western Union	250	0 00	6,690 63
10/18/1988	Tronox	27	360 26	0 00
10/18/1988	Windstream Corp	10	0 00	0 86
12/11/1990	Mondelez Int'l	2,140	8,431 02	117,593 00
12/11/1990	Phillip Morris	3,093	27,186 34	154,015 94
12/11/1990	CenturyLink Inc	168	0 00	2,200 38
10/18/1988	CenturyLink Inc	221	12,786 53	2,894 55
7/1/2010	Frontier Communications	24	0 00	22 68
7/1/2010	Frontier Communications	10	0 00	9 45
10/18/1988	Sprint	162	614 14	0 00
10/18/1988	CenturyLink Inc	41	0 00	537 00
12/11/1990	Kraft Heinz	713	4,555 63	22,764 31
10/18/1988	Live Nation Entertainment	23	0 00	1,640 71
10/18/1988	Chemours Co.	180	624 98	3,209 85
10/18/1988	Uniti Group (formerly CS&L)	63	0 00	510 30
10/18/1988	WABTEC	36	2,807 33	2,805 30
10/18/1988	Dow Inc	384	3,912 28	20,871 36
10/18/1988	Corteva Inc	384	2,003 55	11,220 48
12/11/1990	Avanos Medical, Inc (formerly Halyard Health Inc)	365	<u>1,446 80</u>	<u>12,271 30</u>
Subtotal			957,607 90	7,680,101 18
plus stock from distrb from untrust, see attached schedule			<u>261,428 00</u>	<u>1,390,579 44</u>
Total			1,219,035 90	9,070,680 62

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<u>Date</u>	<u>Name</u>	<u>Quantity</u>	<u>Basis Total</u>	<u>12/31/2019 Value</u>
9/15/1995	American Intl Group	23	9,429.47	1,175.88
4/12/1996	Abbott Labs	725	7,251.17	62,804.94
4/12/1996	Abbvie Inc	725	7,863.27	63,974.00
10/18/1988	Bank of America	891	5,273.81	31,291.92
5/29/1992	Bank of America	59	603.25	2,072.08
10/18/1988	BP PLC	827	11,649.22	31,043.51
4/19/1999	Citigroup	20	6,945.01	1,590.30
10/18/1988	DuPont de Nemours Inc	106	1,593.54	6,690.19
10/18/1988	Exxon Mobil	1,295	11,035.68	89,892.43
10/18/1988	Federal Natl Mtg Assoc	563	4,777.76	1,728.41
2/7/2003	TEGNA (formerly Gannett)	87	3,293.75	1,447.46
12/11/1990	General Electric	350	1,649.96	3,871.00
10/18/1988	General Electric	1,350	4,985.16	14,931.00
6/27/2002	Honeywell	213	7,241.29	37,540.19
9/15/1995	Intel	1,500	11,812.50	89,467.50
4/19/1999	Johnson & Johnson	100	4,668.13	14,540.50
6/27/2002	Johnson & Johnson	50	2,674.00	7,270.25
12/2/1999	Johnson & Johnson	200	9,905.00	29,081.00
9/15/1995	Kimberly Clark	250	7,594.86	34,201.25
12/4/1996	Eli Lilly	325	12,178.56	42,578.25
12/11/1990	Merck	375	5,073.71	34,017.19
2/7/2003	Microsoft	200	4,683.00	31,419.00
4/19/1999	Microsoft	400	17,337.50	62,838.00
10/18/1988	McDonalds	1,000	6,140.63	197,260.00
5/29/1992	Pepsico	700	11,787.18	95,627.00
10/18/1988	Pfizer Inc	750	1,748.05	29,214.38
10/18/1988	Proctor & Gamble	800	4,039.99	99,572.00
10/18/1988	AT&T	750	7,617.19	29,242.50
2/7/2003	Target	250	6,727.50	32,033.75
6/27/2002	Texas Instruments	250	6,115.75	31,936.25
6/27/2002	United Technologies	224	7,336.00	33,522.72
6/27/2002	Verizon	175	6,585.25	10,705.19
2/7/2003	Verizon	75	2,806.50	4,587.94
6/27/2002	Wal Mart	174 5960	8,297.62	20,776.05
2/7/2003	Wal Mart	174 5960	7,142.60	20,776.05
2/7/2003	3M Co	100	6,149.00	17,582.75
5/29/1992	Proctor & Gamble	438	5,632.88	54,515.67
2/7/2003	ViacomCBS	106	8,607.60	4,414.90
7/1/2011	Frontier Communications	4	0.00	3.78
2/7/2003	Gannett	23	146.51	715.41
10/18/1988	Chemours Co.	50	173.60	891.63
	Dow Inc	106	1,085.80	5,761.37
	Corteva Inc.	106	556.06	3,097.32
	WABTEC	9	701.87	701.33
	Avanos Medical, Inc. (formerly Halyard Health Inc.)	31	329.02	1,042.22
9/15/1995	Inc.)			
7/27/2002	AdvanSix Inc.	8	39.92	157.28
2/7/2003	Cars Com, Inc.	29	1,847.18	348.51
6/27/2002	Resideo Technologies	35	214.61	415.98
6/27/2002	Garrett Advancing Motion	21	80.09	211.21
Total			261,428.00	1,390,579.44

VELMA A. NEIMAN FOUNDATION
 2019 CY 990-PF (EIN# 43-6362412)
Schedule for Part XV - Section 3

	<u>Recipient</u>	<u>Relationship</u>	<u>Status</u>	<u>Purpose</u>	<u>Amount</u>
(1)	St. Louis University High School 4970 Oakland Avenue St. Louis, MO 63110	N/A	N/A	General educational purposes	\$100,000
(2)	DeSmet Jesuit High School 233 N. New Ballas Rd. St. Louis, MO 63108	N/A	N/A	General educational purposes	\$100,000
(3)	Christ the King Catholic Grade School 7324 Balson Avenue St. Louis, MO 63130	N/A	N/A	General educational purposes	\$25,000
(4)	St. Joseph's Academy 2307 S. Lindbergh Blvd. St. Louis, MO 63131	N/A	N/A	General educational purposes	\$25,000
(5)	St. Louis Zoo Association One Government Drive St. Louis, MO 63110	N/A	N/A	General educational purposes	\$25,000
(6)	Radio Amateur Satellite Corp 850 Sligo Ave., #600 Silver Springs, MD 20910	N/A	N/A	General educational purposes	\$25,000
(7)	Villa Duchesne and Oak Hill School 801 S. Spoede Road St. Louis, MO 63131	N/A	N/A	General educational purposes	\$25,000
(8)	Cor Jesu Academy 10230 Gravois Road St. Louis, MO 63123	N/A	N/A	General educational purposes	\$25,000
(9)	Our Lady of Lourdes Catholic Parish School 7148 Forsyth University City, MO 63105	N/A	N/A	General educational purposes	<u>\$59,000</u>
	Total				\$409,000