



2949115603001 1

Extended to November 16, 2020

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

- ▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information

OMB No 1545-0047

2019

Open to Public Inspection

Form 990-PF

Department of the Treasury
Internal Revenue Service

For calendar year 2019 or tax year beginning

, and ending

Name of foundation

The Francis Family Foundation

Number and street (or P.O. box number if mail is not delivered to street address)

800 W. 47th Street, Suite 717

Room/suite

717

City or town, state or province, country, and ZIP or foreign postal code

Kansas City, MO 64112-1249

A Employer identification number

43-1492132

B Telephone number

816-531-0077

C If exemption application is pending check here ☐D 1. Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

G Check all that apply

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name change

H Check type of organization

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation

I Fair market value of all assets at end of year

(from Part II, col (c), line 16)

\$ 113,564,288.

J Accounting method

☒ Cash☐ Accrual☐ Other (specify) _____
(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1 Contributions, gifts, grants, etc., received

N/A

2 Check ☒ if the foundation is not required to attach Sch. b
Interest on savings and temporary cash investments

503,454.

503,454.

Statement 1

3 Dividends and interest from securities

1,975,348.

1,975,348.

Statement 2

5a Gross rents

b Net rental income or (loss)

4,448,479.

6a Net gain or (loss) from sale of assets not on line 10

b Gross sales price for all assets on line 6a

24,050,282.

7 Capital gain net income (from Part IV, line 2)

4,448,479.

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit or (loss)

28,294.

0.

Statement 3

11 Other income

6,955,575.

6,927,281.

12 Total. Add lines 1 through 11

0.

0.

0.

13 Compensation of officers, directors, trustees, etc.

167,790.

16,641.

151,149.

14 Other employee salaries and wages

33,101.

3,095.

30,006.

15 Pension plans, employee benefits

21,735.

0.

21,735.

16a Legal fees

Stmt 4

49,439.

12,360.

37,079.

b Accounting fees

Stmt 5

6,030.

0.

6,030.

c Other professional fees

Stmt 6

17 Interest

18 Taxes

Stmt 7

155,029.

52,564.

102,465.

19 Depreciation and depletion

2,415.

2,415.

58,660.

20 Occupancy

58,660.

0.

100,280.

21 Travel, conferences, and meetings

100,280.

0.

266,776.

22 Printing and publications

23 Other expenses

Stmt 8

437,915.

171,139.

774,180.

24 Total operating and administrative expenses. Add lines 13 through 23

3,895,949.

4,928,343.

25 Contributions, gifts, grants paid

26 Total expenses and disbursements

Add lines 24 and 25

2,027,232.

6,669,067.

N/A

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

b Net investment income (if negative enter -0-)

c Adjusted net income (if negative enter -0-)

N/A

923501 12-17-19 LHA For Paperwork Reduction Act Notice, see instructions

1

Form 990-PF (2019)

13351104 310454 06359

2019.04030 THE FRANCIS FAMILY FOUNDA 06359__1

RECEIVED

13

NOV 23 2020

OGDEN, UT

151,149.

30,006.

21,735.

37,079.

6,030.

102,465.

58,660.

100,280.

266,776.

774,180.

3,895,949.

4,928,343.

258,214.

4,670,129.

2,027,232.

6,669,067.

N/A

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	163,313.	64,020.	64,020.
	2 Savings and temporary cash investments	1,489,147.	4,744,642.	4,744,642.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock Stmt 9	33,070,500.	40,333,258.	54,426,820.
	c Investments - corporate bonds Stmt 10	255,945.	255,945.	3.
	11 Investments - land, buildings and equipment, basis ▶			
Liabilities	Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other Stmt 11	55,326,721.	46,938,680.	54,312,290.
	14 Land, buildings, and equipment basis ▶ 174,226.			
	Less: accumulated depreciation ▶ 157,713.	18,928.	16,513.	16,513.
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1 item 1)	90,324,554.	92,353,058.	113,564,288.
	17 Accounts payable and accrued expenses		1,272.	
	18 Grants payable			
	19 Deferred revenue			
Net Assets or Fund Balances	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ Accrued excise tax)	-36,297.	-36,297.	
	23 Total liabilities (add lines 17 through 22)	-36,297.	-35,025.	
	Foundations that follow FASB ASC 958, check here and complete lines 24, 25, 29, and 30 ▶ ☐			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ ☒			
	and complete lines 26 through 30			
	26 Capital stock, trust principal, or current funds	0.	0.	
	27 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.	
	28 Retained earnings, accumulated income, endowment, or other funds	90,360,851.	92,388,083.	
	29 Total net assets or fund balances	90,360,851.	92,388,083.	
	30 Total liabilities and net assets/fund balances	90,324,554.	92,353,058.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	90,360,851.
2 Enter amount from Part I, line 27a	2	2,027,232.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	92,388,083.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	92,388,083.

Form 990-PF (2019)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a Sale of investments	P		
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 24,050,282.			4,448,479.
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			4,448,479.
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	4,448,479.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8.		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year. See the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	6,441,760.	109,143,351.	.059021
2017	6,486,500.	108,585,976.	.059736
2016	6,257,326.	103,469,900.	.060475
2015	6,507,266.	109,933,868.	.059193
2014	6,597,200.	114,417,892.	.057659

2 Total of line 1, column (d)	2	.296084
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	.059217
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	105,448,222.
5 Multiply line 4 by line 3	5	6,244,327.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	66,691.
7 Add lines 5 and 6	7	6,311,018.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions	8	4,670,129.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	133,381.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	2	0.
3	Add lines 1 and 2	3	133,381.
4	Substitute A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	133,381.
6	Credits/Payments		
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a	86,297.
b	Exempt foreign organizations - tax withheld at source	6b	0.
c	Tax paid with application for extension of time to file (Form 8868)	6c	0.
d	Backup withholding erroneously withheld	6d	0.
7	Total credits and payments. Add lines 6a through 6d	7	86,297.
8	Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	1,149.
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	48,233.
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be credited to 2020 estimated tax ☐ Refunded ☐	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ 0. (2) On foundation managers ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions. ☐ MO		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Form 990-PF (2019)

Part VII-A Statements Regarding Activities (continued)

- 11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions
- 12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions
- 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?
Website address **www.francisfoundation.org**
- 14 The books are in care of **Liana Riesinger** Telephone no **816-531-0077**
Located at **800 W. 47th Street, Suite 717, Kansas City, MO** ZIP+4 **64112-1249**
- 15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here ☐
and enter the amount of tax-exempt interest received or accrued during the year **15** **N/A**
- 16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country **16** **X**

	Yes	No
11		X
12		X
13	X	
15	N/A	
16	X	

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

- 1a During the year, did the foundation (either directly or indirectly)
- (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No
- (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No
- (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No
- (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No
- (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No
- (6) Agree to pay money or property to a government official? (Exception: Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No
- b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance, check here **N/A**
- c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019? **1c** **X**
- 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).
- a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? ☐ Yes ☒ No
If "Yes," list the years **2a** **N/A**
- b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) **2b** **N/A**
- c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here **2c**
- 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No
- b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019) **3b** **N/A**
- 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? **4a** **X**
- b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019? **4b** **X**

	Yes	No
1a		
1b		
1c	X	
2a	N/A	
2b	N/A	
2c		
3a		
3b	N/A	
4a	X	
4b	X	

Form 990-PF (2019)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

	Yes	No
5a During the year, did the foundation pay or incur any amount to:		
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No	
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No	
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No	
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	☒ Yes ☐ No	
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No	
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions		
Organizations relying on a current notice regarding disaster assistance, check here	☐	
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☒ Yes ☐ No	
If "Yes," attach the statement required by Regulations section 53.4945-5(d)		
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No	
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		
If "Yes" to 6b, file Form 8870.		
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No	
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	☐ Yes ☒ No	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, and foundation managers and their compensation.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 12		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Liana Riesinger - 800 West 47th Street, Kansas City, MO 64112	Administrator/Program Officer	110,940.	13,803.	0.
Shari Hockenberry - 800 West 47th Street, Kansas City, MO 64112	Program Specialist	56,650.	500.	0.

Total number of other employees paid over \$50,000

2

Form 990-PF (2019)

Part VIII. Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Mercer PO Box 730182, Dallas, TX 75373	Investment advisor	228,185.

Total number of others receiving over \$50,000 for professional services

1

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	N/A	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1	N/A	

All other program-related investments See instructions

3		

Total. Add lines 1 through 3

0.

Form 990-PF (2019)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	106,888,992.
b	Average of monthly cash balances	1b	147,319.
c	Fair market value of all other assets	1c	17,721.
d	Total (add lines 1a, b, and c)	1d	107,054,032.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	107,054,032.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	1,605,810.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	105,448,222.
6	Minimum investment return. Enter 5% of line 5	6	5,272,411.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	5,272,411.
2a	Tax on investment income for 2019 from Part VI, line 5	2a	133,381.
b	Income tax for 2019. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	133,381.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	5,139,030.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	5,139,030.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	5,139,030.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	4,670,129.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	4,670,129.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	4,670,129.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2019)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				5,139,030.
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2019.				
a From 2014	1,059,639.			
b From 2015	1,117,335.			
c From 2016	1,197,277.			
d From 2017	1,227,747.			
e From 2018	1,082,538.			
f Total of lines 3a through e	5,684,536.			
4 Qualifying distributions for 2019 from Part XII, line 4: ▶ \$ 4,670,129.				
a Applied to 2018, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2019 distributable amount				4,670,129.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d) the same amount must be shown in column (a).)	468,901.			468,901.
6 Enter the net total of each column as indicated below:	5,215,635.			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount - see instructions			0.	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7	590,738.			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a	4,624,897.			
10 Analysis of line 9				
a Excess from 2015	1,117,335.			
b Excess from 2016	1,197,277.			
c Excess from 2017	1,227,747.			
d Excess from 2018	1,082,538.			
e Excess from 2019				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- 1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling ▶
- b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

		Prior 3 years				(e) Total
		(a) 2019	(b) 2018	(c) 2017	(d) 2016	
2	a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
	b 85% of line 2a					
	c Qualifying distributions from Part XII, line 4, for each year listed					
	d Amounts included in line 2c not used directly for active conduct of exempt activities					
	e Qualifying distributions made directly for active conduct of exempt activities					
	Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon					
	a "Assets" alternative test - enter:					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
	b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6, for each year listed					
	c "Support" alternative test - enter:					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

None

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number or email address of the person to whom applications should be addressed

See attached statement

- b The form in which applications should be submitted and information and materials they should include.

See attached statement

- c Any submission deadlines:

See attached statement

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

See attached statement

Part XV Supplementary Information *(continued)***3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
See attached statement				3,895,949.
Total			3a	3,895,949.
b Approved for future payment				
See attached statement				2,049,829.
Total			3b	2,049,829.

Form 990-PF (2019)

Form 990-PF Interest on Savings and Temporary Cash Investments Statement 1

Source	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income
Interest	83,698.	83,698.	
Securities lending	419,756.	419,756.	
Total to Part I, line 3	503,454.	503,454.	

Form 990-PF Dividends and Interest from Securities Statement 2

Source	Gross Amount	Capital Gains Dividends	(a) Revenue Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income
Dividends	1,975,348.	0.	1,975,348.	1,975,348.	
To Part I, line 4	1,975,348.	0.	1,975,348.	1,975,348.	

Form 990-PF Other Income Statement 3

Description	(a) Revenue Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income
Miscellaneous revenue	28,294.	0.	
Total to Form 990-PF, Part I, line 11	28,294.	0.	

Form 990-PF Legal Fees Statement 4

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Legal	21,735.	0.		21,735.
To Fm 990-PF, Pg 1, ln 16a	21,735.	0.		21,735.

Form 990-PF	Accounting Fees			Statement 5
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Accounting	49,439.	12,360.		37,079.
To Form 990-PF, Pg 1, ln 16b	49,439.	12,360.		37,079.

Form 990-PF	Other Professional Fees			Statement 6
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Other fees	6,030.	0.		6,030.
To Form 990-PF, Pg 1, ln 16c	6,030.	0.		6,030.

Form 990-PF	Taxes			Statement 7
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Payroll taxes	12,632.	1,181.		11,451.
Foreign taxes	51,383.	51,383.		0.
Federal excise tax	91,014.	0.		91,014.
To Form 990-PF, Pg 1, ln 18	155,029.	52,564.		102,465.

Form 990-PF	Other Expenses		Statement 8	
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Board and staff development	429.	0.		429.
Equipment expense	1,627.	0.		1,627.
Insurance	6,703.	0.		6,703.
Miscellaneous	18,522.	0.		18,522.
PBF Fellowship program administration	148,312.	0.		148,312.
Technology expense	30,460.	0.		30,460.
Telephone	1,975.	0.		1,975.
Postage	1,702.	0.		1,702.
Investment expense	228,185.	171,139.		57,046.
To Form 990-PF, Pg 1, ln 23	437,915.	171,139.		266,776.

Form 990-PF	Corporate Stock		Statement 9	
Description	Book Value		Fair Market Value	
Common stocks	40,017,490.		54,089,856.	
Preferred stocks	315,768.		336,964.	
Total to Form 990-PF, Part II, line 10b	40,333,258.		54,426,820.	

Form 990-PF	Corporate Bonds		Statement 10	
Description	Book Value		Fair Market Value	
Corporate bonds and notes	255,945.		3.	
Total to Form 990-PF, Part II, line 10c	255,945.		3.	

Form 990-PF

Other Investments

Statement 11

Description	Valuation Method	Book Value	Fair Market Value
Pooled equity funds	FMV	11,779,046.	16,147,034.
Pooled fixed income funds	FMV	14,333,960.	14,638,355.
Foreign bonds and notes	FMV	446,356.	251,095.
Exchange traded funds	FMV	1,952,993.	2,263,972.
Limited partnerships	FMV	9,608,722.	10,645,967.
Other	FMV	8,817,603.	10,365,867.
Total to Form 990-PF, Part II, line 13		46,938,680.	54,312,290.

Form 990-PF Part VIII - List of Officers, Directors Statement 12
 Trustees and Foundation Managers

Name and Address	Title and Avrg Hrs/Wk	Compen- sation	Employee Ben Plan Expense Contrib Account	
Ms. Ann F. Barhoum 800 W 47th Street Kansas City, MO 64112	Board Member / Chair 1.00	0.	0.	0.
Mr. David V. Francis 800 W 47th Street Kansas City, MO 64112	Board Member / Vice-Chair 1.00	0.	0.	0.
Ms. Susan Stanton 800 W 47th Street Kansas City, MO 64112	Board Member / Secretary 1.00	0.	0.	0.
Mr. J. Michael Sigler 800 W 47th Street Kansas City, MO 64112	Board Member / Treasurer 1.00	0.	0.	0.
Mr. J. Scott Francis 800 W 47th Street Kansas City, MO 64112	Board Member 1.00	0.	0.	0.
Ms. Mary Lou Jaramillo 800 W 47th Street Kansas City, MO 64112	Board Member 1.00	0.	0.	0.
Mr. David Oliver 800 W 47th Street Kansas City, MO 64112	Board Member 1.00	0.	0.	0.
Ms. Debbie Ballard 800 W 47th Street Kansas City, MO 64112	Board Member 1.00	0.	0.	0.
Mr. Jean-Paul Wong 800 W 47th Street Kansas City, MO 64112	Board Member 1.00	0.	0.	0.
Totals included on 990-PF, Page 6, Part VIII		0.	0.	0.

Francis Family Foundation
EIN #43-1492132
Year Ended December 31, 2019

990-PF, Part VII B – Expenditure Responsibility Grant Information Sheet

Grant #2019-230

Name and Address of Grantee

Kemper Museum of Contemporary Art
4420 Warwick Blvd.
Kansas City, MO 64111

Effective Date (Approved 11/22/2019)
January 1, 2020 to December 31, 2020

Grant Amount:

2019 – \$15,000 Paid

Purpose

To support education and outreach programs

Amount Expended:

2020 – \$15,000

Any funds diverted from purpose of grant:

No

Report(s) received date:

Annual Report – August 27, 2020

Francis Family Foundation
EIN #43-1492132
Year Ended December 31, 2019

990-PF, Part VII B – Expenditure Responsibility Grant Information Sheet

Grant #2019-205

Name and Address of Grantee

Kemper Museum of Contemporary Art
4420 Warwick Blvd.
Kansas City, MO 64111

Effective Date (Approved 10/01/2019)
October 2, 2019 to October 1, 2020

Grant Amount:

2019 – \$1,000 Paid

Purpose

Discretionary Grant

Amount Expended:

2019 – \$1,000

Any funds diverted from purpose of grant:

No

Report(s) received date:

None required

Francis Family Foundation
EIN #43-1492132
Year Ended December 31, 2019

990-PF, Part VII B – Expenditure Responsibility Grant Information Sheet

Grant #2019-82

Name and Address of Grantee

Kemper Museum of Contemporary Art
4420 Warwick Blvd.
Kansas City, MO 64111

Effective Date (Approved 7/11/2019)
July 17, 2019 to July 16, 2020

Grant Amount:

2019 – \$4,000 Paid

Purpose

Discretionary Grant for 2019 Kemper Gala

Amount Expended:

2019 – \$4,000

Any funds diverted from purpose of grant:

No

Report(s) received date:

None required

Francis Family Foundation
EIN #43-1492132
Year Ended December 31, 2019

990-PF, Part VII B – Expenditure Responsibility Grant Information Sheet

Grant #2019-4

Name and Address of Grantee

Kemper Museum of Contemporary Art
4420 Warwick Blvd.
Kansas City, MO 64111

Effective Date (Approved 1/2/2019)

January 2, 2019 to January 1, 2020

Grant Amount:

2019 – \$3,000 Paid

Purpose

Discretionary Grant

Amount Expended:

2019 – \$3,000

Any funds diverted from purpose of grant:

No

Report(s) received date:

None required

Francis Family Foundation
EIN #43-1492132
Year Ended December 31, 2019

990-PF, Part VII B – Expenditure Responsibility Grant Information Sheet

Grant #2019-302

Name and Address of Grantee

The National Museum of Toys and Miniatures
5235 Oak Street
Kansas City, MO 64112

Effective Date (Approved 11/15/2019)

November 20, 2019 to November 19, 2020

Grant Amount:

2019 – \$2,500 Paid

Purpose

Discretionary Grant

Amount Expended:

2019 – \$2,500

Any funds diverted from purpose of grant:

No

Report(s) received date:

None required

Francis Family Foundation
EIN #43-1492132
Year Ended December 31, 2019

990-PF, Part VII B – Expenditure Responsibility Grant Information Sheet

Grant #2019-91

Name and Address of Grantee

The National Museum of Toys and Miniatures
5235 Oak Street
Kansas City, MO 64112

Effective Date (Approved 7/23/2019)

July 24, 2019 to July 23, 2020

Grant Amount:

2019 – \$5,000 Paid

Purpose

Discretionary Grant

Amount Expended:

2019 – \$5,000

Any funds diverted from purpose of grant:

No

Report(s) received date:

None required

Francis Family Foundation
EIN #43-1492132
Year Ended December 31, 2019

990-PF, Part VII B – Expenditure Responsibility Grant Information Sheet

Grant #2019-25

Name and Address of Grantee

The National Museum of Toys and Miniatures
5235 Oak Street
Kansas City, MO 64112

Effective Date (Approved 3/15/2019)

March 15, 2019 to March 14, 2020

Grant Amount:

2019 – \$2,500 Paid

Purpose

Discretionary Grant

Amount Expended:

2019 – \$2,500

Any funds diverted from purpose of grant:

No

Report(s) received date:

None required

Francis Family Foundation
EIN #43-1492132
Year Ended December 31, 2019

990-PF, Part VII B – Expenditure Responsibility Grant Information Sheet

Grant #2019-058

Name and Address of Grantee

Ryerson University
350 Victoria Street
Toronto, ON M5B 2K3
CANADA

Effective Date (Approved 1/25/2018)

July 1, 2018 to June 30, 2021

Grant Amount:

2018 – \$50,000 Paid
2019 – \$52,000 Paid
2020 – \$27,000 Paid
2020 – \$27,000 Scheduled

Purpose

PBF Francis Fellowship Program

Amount Expended:

2019 – \$52,000

Any funds diverted from purpose of grant:

No

Report(s) received date:

Progress Report – April 30, 2020
Financial Report – Due October 31, 2020

Francis Family Foundation
EIN #43-1492132
Year Ended December 31, 2019

990-PF, Part VII B – Expenditure Responsibility Grant Information Sheet

Grant #2019-205

Name and Address of Grantee

The National Museum of Toys and Miniatures
5235 Oak Street
Kansas City, MO 64112

Effective Date (Approved 11/15/2019)

November 20, 2019 to November 19, 2020

Grant Amount:

2019 – \$5,000 Paid

Purpose

Discretionary Grant

Amount Expended:

2019 – \$5,000

Any funds diverted from purpose of grant:

No

Report(s) received date:

None required

Francis Family Foundation
EIN #43-1492132
Year Ended December 31, 2019

Form 990-PF, Part XV – Information Regarding Contributions, Grants, Etc.

FELLOWSHIPS:

The Francis Family Foundation awards fellowships in the field of pulmonary medicine. The director of any pulmonary training program may apply on behalf of the fellow. Awards are limited to institutions located in the United States and Canada and a department may submit no more than two applications annually.

Applications should be requested from the director of the fellowship program:

Polly Parsons, M.D.
Scientific Director
PBF Fellowship Program
E.L. Amidon Professor of Medicine and Chair
Dept. of Medicine
The Robert Larner MD College of Medicine
University of Vermont
Polly.Parsons@uvmhealth.org

All Fellowship applications must be made by means of a link provided at www.francisfellowships.org:

- a.) General description of the current research activities in the pulmonary unit;
- b.) Summary of past training record of the unit;
- c.) Biographical sketch of the candidate fellow;
- d.) An outline of the research project to be undertaken;
- e.) Endorsement of the application by the appropriate department head and by an authorized official of the institution agreeing to administer the grant.

GRANTS:

The Francis Family Foundation also makes grants for other charitable purposes within a 60-mile radius of Kansas City.

Any organization interested in requesting such a grant should send the request to:

The Francis Family Foundation
800 W. 47th Street, Suite 717
Kansas City, MO 64112

All grant applications must be made via a link sent to the applicant, through the organization's website at www.francisfoundation.org.

990-PF Report, Part XV 3a - Payments between 1/1/2019 and 12/31/2019

Amounts of at least \$5,000.00 or more

Grantee Name Request Project Title	Payee Name Payee Address	Request Type	Payments ID#	Payee Legal Name
American Jazz Museum <i>general operating support</i>	American Jazz Museum 1616 East 18th Street Kansas City, MO 64108	Grant	\$10,000 2019-295	American Jazz Museum
Art as Mentorship <i>General operating support</i>	Art as Mentorship 3236 Morrell Kansas City, MO 64123	Small Arts Grant	\$5,000 2019-139	Folk Alliance International
Arts Asylum <i>General operating support</i>	Arts Asylum 1000 E. 9th Street Kansas City, MO 64106	Small Arts Grant	\$5,000 2019-131	Arts Asylum
Arts in Prison <i>General operating support</i>	Arts in Prison P.O. Box 23502 Overland Park, KS 66223	Small Arts Grant	\$8,000 2019-184	Arts in Prison Inc
Arts Tech <i>general operating support</i>	Arts Tech 1522 Holmes Street Kansas City, MO 64108	Grant	\$20,000 2019-217	Artstech
Band Of Angels Corp <i>General operating support</i>	Band Of Angels Corp 11890 West 135th Street Overland Park, KS 66213	Small Arts Grant	\$5,000 2019-129	Band Of Angels Corp
Black Repertory Theatre Of Kansas City <i>General operating support</i>	Black Repertory Theatre Of Kansas City P.O. Box 410294 Kansas City, MO 64141	Small Arts Grant	\$10,000 2019-176	Black Repertory Theatre Of Kansas City

Francis Family Foundation
 EIN #43-1492132

Grantee Name Request Project Title	Payee Name Payee Address	Request Type	Payments	ID#	Payee Legal Name
Coterie Theatre <i>general operating support</i>	Coterie Theatre 2450 Grand Blvd Kansas City, MO 64108	Grant	\$25,000	2019-192	Coterie Inc
Do Good Productions Inc <i>General operating support</i>	Do Good Productions Inc 12000 Aberdeen Road Leawood, KS 66209	Small Arts Grant	\$7,500	2019-144	Do Good Productions Inc
Emily A Silverman Memorial Fund (Em's Spotlight) <i>General operating support</i>	Emily A Silverman Memorial Fund (Em's Spotlight) 8257 Wornall Rd Kansas City, MO 64114	Small Arts Grant	\$5,000	2019-132	Emily A Silverman Memorial Fund (Em's Spotlight) Ensemble Iberica Inc
Ensemble Iberica Inc <i>General operating support</i>	Ensemble Iberica Inc 5017 Gladstone Blvd Kansas City, MO 64123	Small Arts Grant	\$7,500	2019-146	Fishtank Theatre Inc
Fishtank Theatre Inc <i>General operating support</i>	Fishtank Theatre Inc 4410 Fairmount Avenue Kansas City, MO 64111	Small Arts Grant	\$7,500	2019-116	Friends Of Chamber Music
Friends of Chamber Music <i>general operating support</i>	Friends of Chamber Music 4635 Wyandotte Ste. 201 Kansas City, MO 64112	Grant	\$15,000	2019-197	William Jewell College
Harriman-Jewell Series at William Jewell College <i>general operating support</i>	Harriman-Jewell Series at William Grant Jewell College 500 College Hill, Campus Box 1015 Liberty, MO 64068	Grant	\$15,000	2019-214	Heart Of America Shakespeare Festival
Heart of America Shakespeare Festival <i>general operating support</i>	Heart of America Shakespeare Festival 3732 Main Street Kansas City, MO 64111	Grant	\$15,000	2019-219	

Francis Family Foundation
EIN #43-1492132

Grantee Name Request Project Title	Payee Name Payee Address	Request Type	Payments	ID#	Payee Legal Name
Heartland Chamber Music, Ltd. <i>General operating support</i>	Heartland Chamber Music, Ltd. 1600 Genessee St., Ste. 824 Kansas City, MO 64102	Small Arts Grant	\$8,000	2019-148	Heartland Chamber Music Ltd
Heartland Men's Chorus <i>general operating support</i>	Heartland Men's Chorus PO Box 32374 Kansas City, MO 64171	Grant	\$15,000	2019-218	Heartland Mens Chorus
Johnson County Community College <i>general operating support</i>	Johnson County Community College Foundation 12345 College Blvd. Overland Park, KS 66210	Grant	\$15,000	2019-236	Johnson County Community College Foundation
Kansas City Art Institute <i>general operating support</i>	Kansas City Art Institute 4415 Warwick Blvd. Kansas City, MO 64111	Grant	\$30,000	2019-228	Kansas City Art Institute
Kansas City Artists Coalition-ArtsKC <i>Exhibition & Programming Support</i>	Kansas City Artists Coalition- ArtsKC 3200 Gillham Road, Suite A Kansas City, MO 64109	Small Arts Grant	\$8,000	2019-182	Metropolitan Arts Council of Greater Kansas City dba ArtsKC
Kansas City Chamber Orchestra <i>General operating support</i>	Kansas City Chamber Orchestra 205 West 65th St. Kansas City, MO 64113-1704	Small Arts Grant	\$8,000	2019-173	Kansas City Chamber Orchestra Incorporated
Kansas City Dance Festival aka Owen/Cox Dance Group <i>General operating support</i>	Kansas City Dance Festival aka Owen/Cox Dance Group 4220 Mercier Street Kansas City, MO 64111	Small Arts Grant	\$8,000	2019-166	Owen-Cox Dance Group
Kansas City Repertory Theatre <i>general operating support</i>	Kansas City Repertory Theatre 4825 Troost Ave. Ste. 106 Kansas City, MO 66110	Grant	\$25,000	2019-238	Kansas City Repertory Theatre Inc

Francis Family Foundation
EIN #43-1492132

Grantee Name Request Project Title	Payee Name Payee Address	Request Type	Payments	ID#	Payee Legal Name
Kansas City Women's Chorus <i>General operating support</i>	Kansas City Women's Chorus 3501 Campbell Street Kansas City, MO 64109	Small Arts Grant	\$7,500	2019-181	Kansas City Womens Chorus
Kemper Museum of Contemporary Art <i>general operating support</i>	Kemper Museum of Contemporary Art 4420 Warwick Blvd. Kansas City, MO 64111	Grant	\$15,000	2019-230	Kemper Museum Operating Foundation
KVC Behavioral Healthcare - Kansas <i>Every Kid Counts Dancing to Help Break Free from Trauma</i>	KVC Behavioral Healthcare - Kansas 21350 West 153rd Street Olathe, KS 66061	Small Arts Grant	\$5,000	2019-158	KVC Behavioral Healthcare - Kansas
Literacy Kansas City <i>Writers For Readers</i>	Literacy Kansas City 3036 Troost Avenue Kansas City, MO 64109	Small Arts Grant	\$7,000	2019-167	Literacy Kansas City
Lyric Opera of Kansas City <i>2019-20 Education Programs</i>	Lyric Opera of Kansas City 1725 Holmes Street Kansas City, MO 64108	Grant	\$25,000	2019-233	Lyric Opera Of Kansas City Inc
Mattie Rhodes Center <i>Latino Cultural Arts</i>	Mattie Rhodes Center 148 N. Topping Ave Kansas City, MO 64123	Grant	\$35,000	2019-227	Mattie Rhodes Center
Mesner Puppet Theater <i>general operating support</i>	Mesner Puppet Theater 1006 Linwood Blvd. Kansas City, MO 64109	Grant	\$15,000	2019-226	Mesner Puppet Theater
Metropolitan Ensemble Theatre <i>general operating support</i>	Metropolitan Ensemble Theatre 3927 MAIN ST KANSAS CITY, MO 64111-1916	Grant	\$20,000	2019-220	Metropolitan Ensemble Theatre

Francis Family Foundation
EIN #43-1492132

Grantee Name Request Project Title	Payee Name Payee Address	Request Type	Payments	ID#	Payee Legal Name
Mid-America Arts Alliance <i>general operating support</i>	Mid-America Arts Alliance 2018 Baltimore Ave Kansas City, MO 64108	Grant	\$10,000	2019-212	Mid-America Arts Alliance
Midwest Music Foundation Inc <i>General operating support</i>	Midwest Music Foundation Inc 532 Troost Kansas City, MO 64106	Small Arts Grant	\$8,000	2019-127	Midwest Music Foundation Inc
National WWI Museum and Memorial <i>2020 Arts Exhibitions and Programming</i>	National WWI Museum and Memorial 2 MEMORIAL DR Kansas City, MO 64108	Grant	\$20,000	2019-225	Liberty Memorial Association
Performing Arts Foundation of Kansas City dba Folly Theater <i>general operating support</i>	Performing Arts Foundation of Kansas City dba Folly Theater PO Box 26505 Kansas City, MO 64196	Grant	\$15,000	2019-194	Performing Arts Foundation Of Kansas City dba The Folly Theater
Reach Out and Read-Kansas City <i>Spencer Museum and Arts Collaborative</i>	Reach Out and Read-Kansas City 3901 Rainbow Blvd, MS 1051 Kansas City, KS 66160	Grant	\$15,000	2019-232	Kansas University Endowment Assoc
Reel Images Film And Video Group <i>General operating support</i>	Reel Images Film And Video Group 3724 Locust Street #5 Kansas City, MO 64109	Small Arts Grant	\$7,500	2019-133	Reel Images Film And Video Group
Rightfully Sewn <i>Seamstress Training Program Expansion</i>	Rightfully Sewn 1800 Wyandotte St, Suite 204 Kansas City, MO 64108	Small Arts Grant	\$8,000	2019-135	Community Capital Fund

**Francis Family Foundation
EIN #43-1492132**

Grantee Name Request Project Title	Payee Name Payee Address	Request Type	Payments	ID#	Payee Legal Name
Scuola Vita Nuova Charter School <i>School Band Instruments</i>	Scuola Vita Nuova Charter School 535 Garfield Ave Kansas City, MO 64124	Small Arts Grant	\$5,000	2019-143	Scuola Vita Nuova Charter School
Spencer Museum of Art	Spencer Museum of Art 1301 Mississippi St. Lawrence, KS 66045	Grant	\$15,000	2020-110	Kansas University Endowment Assoc
Spinning Tree Theatre <i>General operating support</i>	Spinning Tree Theatre PO Box 8647 Kansas City, MO 64114	Small Arts Grant	\$10,000	2019-187	Spinning Tree Theatre
Spire Chamber Ensemble <i>General operating support</i>	Spire Chamber Ensemble 7819 N Birch Ln Kansas City, MO 64151	Small Arts Grant	\$5,000	2019-140	Spire Chamber Ensemble
StoneLion Puppet Theatre <i>General operating support</i>	StoneLion Puppet Theatre 2400 East Truman Rd Kansas City, MO 64127	Small Arts Grant	\$8,000	2019-128	Stonelion Puppet Theatre
Storling Dance Theater <i>General operating support</i>	Storling Dance Theater 14808 W. 117th Street Olathe, KS 66062	Small Arts Grant	\$8,000	2019-183	Culture House
The Plaza Academy <i>Plaza Academy Arts and Music programming</i>	The Plaza Academy 3930 Broadway Boulevard Kansas City, MO 64111	Small Arts Grant	\$5,000	2019-152	Plaza Academy
The Rabbit Hole KC <i>General operating support</i>	The Rabbit Hole KC 919 E. 14th Avenue North Kansas City, MO 64116	Small Arts Grant	\$8,000	2019-160	The Rabbit Hole KC
Theatre for Young America <i>General operating support</i>	Theatre for Young America 30 W. Pershing Rd Suite 800 Kansas City, MO 64108	Small Arts Grant	\$5,000	2019-177	Theatre For Young America Inc

**Francis Family Foundation
EIN #43-1492132**

Grantee Name Request Project Title	Payee Name Payee Address	Request Type	Payments	ID#	Payee Legal Name
Traditional Music Society <i>General operating support</i>	Traditional Music Society P O Box 10022 Kansas City, MO 64171	Small Arts Grant	\$7,500	2019-185	Traditional Music Society
UMKC Foundation <i>Musical Bridges</i>	UMKC Foundation 4825 Troost Ave. Ste 202 Kansas City, MO 64110	Grant	\$20,000	2019-190	Umkc Foundation
UMKC Foundation for the benefit of KCUR 89.3 <i>general operating support</i>	UMKC Foundation for the benefit of KCUR 89.3 4825 Troost Avenue; Ste 202 Kansas City, MO 64110	Grant	\$25,000	2019-213	Umkc Foundation
Unicorn Theatre <i>general operating support</i>	Unicorn Theatre 3828 Main St Kansas City, MO 64111	Grant	\$15,000	2019-193	Unicorn Theatre
Wyandot Center for Community Behavioral Healthcare <i>ArtMakers' Place</i>	Wyandot Center for Community Behavioral Healthcare 757 Armstrong Avenue Kansas City, KS 66101	Small Arts Grant	\$7,000	2019-168	Wyandot Center For Community Behavioral Healthcare Inc
Youth Chorus Of Kansas City Inc <i>General operating support</i>	Youth Chorus Of Kansas City Inc 4255 Jefferson Street, Ste. 325 Kansas City, MO 64111	Small Arts Grant	\$5,000	2019-151	Youth Chorus of Kansas City Inc
Aligned <i>designated by David Oliver</i>	Aligned 22052 W. 66th St. Suite 200 Shawnee, KS 66226	Discretionary Award	\$5,000	2019-336	Alliance for Childhood Education

Francis Family Foundation
EIN #43-1492132

Grantee Name Request Project Title	Payee Name Payee Address	Request Type	Payments	ID#	Payee Legal Name
Anderson Ranch Arts Foundation <i>scholarships for two Kansas City area students to attend each summer designated by J Scott Francis</i>	Anderson Ranch Arts Foundation P.O. Box 5598 Snowmass Village, CO 81615- 5598	Discretionary Award	\$10,000	2016-068	Anderson Ranch Arts Foundation
Anderson Ranch Arts Foundation <i>designated by J Scott Francis</i>	Anderson Ranch Arts Foundation P.O. Box 5598 Snowmass Village, CO 81615- 5598	Discretionary Award	\$5,000	2019-88	Anderson Ranch Arts Foundation
ArtsKC - Regional Arts Council <i>14K designated by David V Francis</i> <i>5 5K designated by Ann F Barhoum</i> <i>2 5K designated by David Oliver</i>	ArtsKC - Regional Arts Council 106 Southwest Boulevard Kansas City, MO 64108	Discretionary Award	\$22,000	2019-15	Metropolitan Arts Council of Greater Kansas City
ArtsKC - Regional Arts Council <i>designated by J Scott Francis</i>	ArtsKC - Regional Arts Council 106 Southwest Boulevard Kansas City, MO 64108	Discretionary Award	\$5,000	2019-72	Metropolitan Arts Council of Greater Kansas City
Charlotte Street Foundation <i>designated by Jean-Paul Wong for the Capital Campaign</i>	Charlotte Street Foundation PO Box 10263 Kansas City, MO 64171	Discretionary Award	\$5,000	2019-299	Charlotte Street Foundation
Crossroads Academy of Kansas City <i>designated by David V Francis for new building</i>	Crossroads Academy of Kansas City 1011 Central Street Kansas City, MO 64105	Discretionary Award	\$48,000	2019-17	Crossroads Charter Schools
Crossroads Academy of Kansas City <i>designated by David V Francis</i>	Crossroads Academy of Kansas City 1011 Central Street Kansas City, MO 64105	Discretionary Award	\$5,000	2019-200	Crossroads Charter Schools

**Francis Family Foundation
EIN #43-1492132**

Grantee Name Request Project Title	Payee Name Payee Address	Request Type	Payments	ID#	Payee Legal Name
Dibs for Kids <i>designated by David V Francis</i>	Dibs for Kids 1111 N. 13th Street, Ste. 426 Omaha, NE 68102	Discretionary Award	\$5,000	2019-201	Dibs for Kids
Eisenhower Foundation <i>Eisenhower Museum Renovation Req by David V Francis</i>	Eisenhower Foundation 200 SE 4th Street P.O. Box 295 Abilene, KS 67410	Discretionary Award	\$10,000	2018-031	Eisenhower Foundation
El Centro <i>designated by Mary Lou Jaramillo</i>	El Centro 650 Minnesota Avenue Kansas City, KS 66101	Discretionary Award	\$5,000	2019-56	El Centro Inc
Friends of Chamber Music <i>designated by J Scott Francis</i>	Friends of Chamber Music 4635 Wyandotte, Ste. 201 Kansas City, MO 64112	Discretionary Award	\$5,000	2019-66	Friends Of Chamber Music
Friends of the Zoo <i>designated by David V Francis</i>	Friends of the Zoo 6800 Zoo Dr. Kansas City, MO 64132-1711	Discretionary Award	\$10,000	2019-316	Friends of the Zoo Inc Of Kansas City Mo
Gem Theater Cultural and Performing Arts Center, Inc. <i>designated by J Scott Francis for the Virgil Thompson Project</i>	Gem Theater Cultural and Performing Arts Center, Inc. P.O. Box 270392 Kansas City, MO 64127	Discretionary Award	\$10,000	2019-70	Gem Theater Cultural And Performing Arts Center Inc
Greater Kansas City Hispanic Development Fund <i>designated by David V Francis</i>	Greater Kansas City Hispanic Development Fund c/o Greater Kansas City Community Foundation 1055 Broadway, Suite 130 Kansas City, MO 64105	Discretionary Award	\$5,000	2019-75	Greater Kansas City Hispanic Development Fund

Francis Family Foundation
EIN #43-1492132

Grantee Name Request Project Title	Payee Name Payee Address	Request Type	Payments	ID#	Payee Legal Name
Growing Futures Early Education Center <i>designated by David V Francis for Shawnee Mission Head Start</i>	Growing Futures Early Education Center 8155 Santa Fe Drive Overland Park, KS 66204	Discretionary Award	\$5,000	2019-27	Growing Futures Early Education Center Inc
Harry S. Truman Library Institute <i>designated by David V Francis for the capital campaign</i>	Harry S. Truman Library Institute 500 West US Highway 24 Independence, MO 64050	Discretionary Award	\$5,000	2019-308	Harry S Truman Library Institute For National & International Affairs
Harvesters-The Community Food Network <i>designated by David V Francis</i>	Harvesters-The Community Food Network 3801 Topping Ave Kansas City, MO 64129-1744	Discretionary Award	\$5,000	2019-93	Harvesters- The Community Food Network
Heart of America Shakespeare Festival <i>Endowment support designated by J Scott Francis</i>	Heart of America Shakespeare Festival 3732 Main Street Kansas City, MO 64111	Discretionary Award	\$10,000	2018-17-363	Heart Of America Shakespeare Festival
Hillcrest Transitional Housing of Eastern Jackson County <i>designated by David V Francis</i>	Hillcrest Transitional Housing of Eastern Jackson County P.O. Box 901924 Kansas City, MO 64190	Discretionary Award	\$10,000	2019-14	Hillcrest Ministries of Eastern Jackson County
Hillcrest Transitional Housing of Eastern Jackson County <i>designated by David V Francis</i>	Hillcrest Transitional Housing of Eastern Jackson County P.O. Box 901924 Kansas City, MO 64190	Discretionary Award	\$10,000	2019-286	Hillcrest Ministries of Eastern Jackson County

**Francis Family Foundation
EIN #43-1492132**

Grantee Name Request Project Title	Payee Name Payee Address	Request Type	Payments	ID#	Payee Legal Name
Jackson County (MO) Chapter of The Links, Incorporated <i>designated by Debby Ballard for Derby Day for Education</i>	Jackson County (MO) Chapter of The Links, Incorporated P.O. Box 7106 Lee's Summit, MO 64074-7106	Discretionary Award	\$5,000	2019-52	Links Foundation Inc
Jackson County CASA <i>designated by Susan Stanton</i>	Jackson County CASA 2544 Holmes Kansas City, MO 64108-2743	Discretionary Award	\$5,000	2019-290	Jackson County Casa
Kansas City Ballet <i>designated by Jean-Paul Wong</i>	Kansas City Ballet 500 West Pershing Road Kansas City, MO 64108	Discretionary Award	\$5,000	2019-298	Kansas City Ballet Association
Kansas City Chorale <i>designated by J Scott Francis</i>	Kansas City Chorale 5601 Wyandotte Ste 412 Kansas City, MO 64113	Discretionary Award	\$5,000	2019-23	Kansas City Chorale
Kansas City Repertory Theatre <i>designated by Debby Ballard</i>	Kansas City Repertory Theatre 4825 Troost Ave. Ste. 106 Kansas City, MO 66110	Discretionary Award	\$5,000	2019-62	Kansas City Repertory Theatre Inc
Kansas Newspaper Foundation <i>Designated by David Oliver for The Beacon Media Fund</i>	Kansas Newspaper Foundation 5423 SW Seventh Street Topeka, KS 66606	Discretionary Award	\$5,000	2019-335	Kansas Newspaper Foundation
KCPT - Public Television 19, Inc. <i>designated by David V Francis</i>	KCPT - Public Television 19, Inc. 125 East 31st Street Kansas City, MO 64108	Discretionary Award	\$5,000	2019-204	Public Television 19 Inc
Land Institute <i>designated by David V Francis</i>	Land Institute 2440 E. Waterwell Road Salina, KS 67401-9051	Discretionary Award	\$5,000	2019-28	Land Institute

**Francis Family Foundation
EIN #43-1492132**

Grantee Name Request Project Title	Payee Name Payee Address	Request Type	Payments	ID#	Payee Legal Name
Land Institute <i>designated by Ann F. Barhoum</i>	Land Institute 2440 E. Waterwell Road Salina, KS 67401-9051	Discretionary Award	\$25,000	2019-323	Land Institute
Lyric Opera of Kansas City <i>designated by J. Michael Sigler</i>	Lyric Opera of Kansas City 1725 Holmes Street Kansas City, MO 64108	Discretionary Award	\$10,000	2019-38	Lyric Opera of Kansas City Inc
Mattie Rhodes Center <i>designated by Mary Lou Jaramillo</i>	Mattie Rhodes Center 148 N. Topping Ave Kansas City, MO 64123	Discretionary Award	\$5,000	2019-57	Mattie Rhodes Center
Mattie Rhodes Center <i>designated by Ann F. Barhoum</i>	Mattie Rhodes Center 148 N. Topping Ave Kansas City, MO 64123	Discretionary Award	\$14,500	2019-326	Mattie Rhodes Center
Minddrive Incorporated <i>designated by David V. Francis</i>	Minddrive Incorporated 2615 Holmes Road Kansas City, MO 64108-2744	Discretionary Award	\$25,000	2019-7	Minddrive Incorporated
Monterey Bay Aquarium <i>designated by Ann F. Barhoum</i>	Monterey Bay Aquarium 886 Cannery Row Monterey, CA 93940	Discretionary Award	\$25,000	2019-324	Monterey Bay Aquarium Foundation
MRIGlobal <i>designated by David Oliver</i>	MRIGlobal 425 Volker Blvd. Kansas City, MO 64110	Discretionary Award	\$5,000	2019-198	MRIGlobal

Francis Family Foundation
EIN #43-1492132

Grantee Name Request Project Title	Payee Name Payee Address	Request Type	Payments	ID#	Payee Legal Name
Nelson-Atkins Museum of Art <i>designated by J Scott Francis for 30 Americans Exhibition</i>	Nelson-Atkins Museum of Art 4525 Oak Street Kansas City, MO 64111	Discretionary Award	\$5,000	2019-43	Nelson Gallery Foundation
Nelson-Atkins Museum of Art <i>designated by J Scott Francis for Director Level membership in Society of Fellows</i>	Nelson-Atkins Museum of Art 4525 Oak Street Kansas City, MO 64111	Discretionary Award	\$25,000	2019-327	Nelson Gallery Foundation
Open Spaces <i>on behalf of J Scott Francis for Open Spaces through KC Creates</i>	Open Spaces GKCCF and Affiliated Trusts 1055 Broadway, Ste. 130 Kansas City, MO 64105	Discretionary Award	\$55,000	2019-12	KC Creates
Open Spaces <i>designated by J Scott Francis for Open Spaces at KC Creates</i>	Open Spaces GKCCF and Affiliated Trusts 1055 Broadway, Ste. 130 Kansas City, MO 64105	Discretionary Award	\$50,000	2019-22	KC Creates
Operation Breakthrough <i>designated by David V Francis for annual fundraiser</i>	Operation Breakthrough 3039 Troost Ave. Kansas City, MO 64109	Discretionary Award	\$5,000	2019-30	Operation Breakthrough Inc
Operation Breakthrough <i>designated by Susan Stanton</i>	Operation Breakthrough 3039 Troost Ave. Kansas City, MO 64109	Discretionary Award	\$10,000	2019-288	Operation Breakthrough Inc
Performing Arts Foundation of Kansas City dba Folly Theater <i>designated by J Michael Sigler</i>	Performing Arts Foundation of Kansas City dba Folly Theater PO Box 26505 Kansas City, MO 64196	Discretionary Award	\$5,000	2019-8	Performing Arts Foundation Of Kansas City dba The Folly Theater

**Francis Family Foundation
EIN #43-1492132**

Grantee Name Request Project Title	Payee Name Payee Address	Request Type	Payments	ID#	Payee Legal Name
Second Harvest of Silicon Valley <i>designated by Ann F. Barhoum</i>	Second Harvest of Silicon Valley 750 Curtner Avenue San Jose, CA 95125	Discretionary Award	\$25,000	2019-325	Second Harvest of Silicon Valley
Sherwood Autism Center <i>designated by David V. Francis 4-year grant/\$25,000 each year</i>	Sherwood Autism Center 8030 Ward Parkway Center Kansas City, MO 64114	Discretionary Award	\$25,000	2019-40	Sherwood Autism Center
The Aspen Institute Inc <i>designated by J. Scott Francis for the Aspen Leaf Society</i>	The Aspen Institute Inc 1000 North Third Street Aspen, CO 81611	Discretionary Award	\$5,000	2019-68	The Aspen Institute Inc
The National Museum of Toys and Miniatures <i>designated by David V. Francis</i>	The National Museum of Toys and Miniatures 5235 Oak Street Kansas City, MO 64112	Discretionary Award	\$5,000	2019-91	National Museum of Toys and Miniatures
UMKC Trustees <i>designated by J. Scott Francis</i>	UMKC Trustees 5100 Rockhill Road Kansas City, MO 64110-2446	Discretionary Award	\$5,000	2019-3	UMKC Trustees
United Palestinian Appeal <i>designated by Ann F. Barhoum</i>	United Palestinian Appeal 1330 New Hampshire Avenue, N.W. Suite 104 Washington, DC 20036-6350	Discretionary Award	\$150,000	2019-322	United Palestinian Appeal Inc
University of Missouri-Kansas City <i>designated by Ann F. Barhoum for the UMKC Pride Breakfast</i>	University of Missouri-Kansas City 5100 Rockhill Road Kansas City, MO 64110	Discretionary Award	\$5,000	2019-16	Curators of The University of Missouri
University of Missouri-Kansas City <i>designated by J. Scott Francis for UMKC Pride Breakfast</i>	University of Missouri-Kansas City 5100 Rockhill Road Kansas City, MO 64110	Discretionary Award	\$5,000	2019-21	Curators of The University of Missouri

Francis Family Foundation
EIN #43-1492132

Grantee Name Request Project Title	Payee Name Payee Address	Request Type	Payments	ID#	Payee Legal Name
University of Missouri-Kansas City <i>designated by J Michael Sigler</i>	University of Missouri-Kansas City 5100 Rockhill Road Kansas City, MO 64110	Discretionary Award	\$5,000	2019-24	Curators Of The University Of Missouri
Aligned <i>Today for Tomorrow</i>	Aligned 22052 W. 66th St. Suite 200 Shawnee, KS 66226	Grant	\$100,000	2019-47	Alliance for Childhood Education
El Centro <i>El Centro - Academy for Children</i>	El Centro 650 Minnesota Avenue Kansas City, KS 66101	Grant	\$132,203	2019-87	El Centro Inc
Front Porch Alliance-Kansas City Inc <i>Neighborhood Families</i>	Front Porch Alliance-Kansas City Inc 3210 Michigan Avenue Kansas City, MO 64109	Grant	\$25,000	2019-99	Front Porch Alliance- Kansas City Inc
Kansas Action for Children, Inc. <i>General Operating Support</i>	Kansas Action for Children, Inc. 709 S. Kansas Ave., Ste 200 Topeka, KS 66603	Grant	\$50,000	2019-46	Kansas Action For Children Inc
KCPT - Public Television 19, Inc. <i>KCPT Early Childhood Programming and Community Engagement</i>	KCPT - Public Television 19, Inc. 125 East 31st Street Kansas City, MO 64108	Grant	\$25,000	2019-42	Public Television 19 Inc
Missouri Budget Project <i>General Operating Support for the Missouri Budget Project</i>	Missouri Budget Project 1 Campbell Plaza, Suite 101 - Building A Saint Louis, MO 63139	Grant	\$50,000	2019-45	Missouri Budget Project

Francis Family Foundation
 EIN #43-1492132

Grantee Name Request Project Title	Payee Name Payee Address	Request Type	Payments	ID#	Payee Legal Name
PREP-KC <i>PREP-KC General Operating Support</i>	PREP-KC 2300 Main Street Suite 340 Kansas City, MO 64108	Grant	\$125,000	2019-48	Partnership for Regional Educational Preparation- Kansas City The Family Conservancy
The Family Conservancy <i>General Operations for The Family Conservancy</i>	The Family Conservancy 444 Minnesota Ave Suite 200 Kansas City, KS 66101	Grant	\$50,000	2019-44	
American Thoracic Society <i>General Operating Support</i>	American Thoracic Society 25 Broadway, 18th Floor New York, NY 10004-1012	Grant	\$10,000	2014-0211	American Thoracic Society Inc
Boston Children's Hospital	Boston Children's Hospital 300 Longwood Avenue Boston, MA 02115	Fellowship	\$27,000	2019-341	Boston Children's Hospital
Cedars-Sinai Medical Center	Cedars-Sinai Medical Center	Fellowship	\$25,000	2018-195	Cedars-Sinai Medical Center
Cedars-Sinai Medical Center	Cedars-Sinai Medical Center	Fellowship	\$25,000	2018-195	Cedars-Sinai Medical Center
Cincinnati Children's Hospital Medical Center <i>Saish K. Madala, PhD 2019 Jo Rae Wright Awardee</i>	Cincinnati Children's Hospital Medical Center 3333 Burnet Avenue, ML5000 Cincinnati, OH 45229	Grant	\$5,000	2019-39	Cincinnati Children's Hospital Medical Center

**Francis Family Foundation
EIN #43-1492132**

Grantee Name Request Project Title	Payee Name Payee Address	Request Type	Payments	ID#	Payee Legal Name
Columbia University Medical Center	Columbia University Medical Center 475 Riverside Dr. Mail Code 7724 New York, NY 10115	Fellowship	\$25,000	2018-213	The Trustees of Columbia University in the City of New York
Columbia University Medical Center	Columbia University Medical Center 475 Riverside Dr. Mail Code 7724 New York, NY 10115	Fellowship	\$25,000	2018-213	The Trustees of Columbia University in the City of New York
Harvard College	Harvard College Harvard School of Public Health Sponsored Programs Administration Boston, MA 02115-6021	Fellowship	\$25,000	2018-231	President And Fellows Of Harvard College
Harvard College	Harvard College Harvard School of Public Health Sponsored Programs Administration Boston, MA 02115-6021	Fellowship	\$25,000	2018-231	President And Fellows Of Harvard College
Johns Hopkins University	Johns Hopkins University Johns Hopkins Asthma and Allergy Center Room 4B-74A Baltimore, MD 21224	Fellowship	\$25,000	2018-197	Johns Hopkins University
Johns Hopkins University	Johns Hopkins University Johns Hopkins Asthma and Allergy Center Room 4B-74A Baltimore, MD 21224	Fellowship	\$25,000	2018-197	Johns Hopkins University

**Francis Family Foundation
EIN #43-1492132**

Grantee Name Request Project Title	Payee Name Payee Address	Request Type	Payments	ID#	Payee Legal Name
Massachusetts General Hospital <i>Dr Harold Ott, Mentor</i> <i>Dr Sarah Gilpin, Fellow</i>	Massachusetts General Hospital 55 Fruit Street Cox 201 Boston, MA 02114	Fellowship	\$27,000	2017-16-188	Partners Healthcare System Inc
Massachusetts General Hospital <i>Dr Andrew Tager, Mentor</i> <i>Dr Sydney Montesi, Fellow</i>	Massachusetts General Hospital 55 Fruit Street Cox 201 Boston, MA 02114	Fellowship	\$27,000	2017-16-203	Partners Healthcare System Inc
Massachusetts General Hospital <i>Dr Andrew Tager, Mentor</i> <i>Dr Sydney Montesi, Fellow</i>	Massachusetts General Hospital 55 Fruit Street Cox 201 Boston, MA 02114	Fellowship	\$27,000	2017-16-203	Partners Healthcare System Inc
Medical College of Wisconsin	Medical College of Wisconsin Grants and Contracts 8701 Watertown Plank Road Milwaukee, WI 53226	Fellowship	\$26,000	2017-227	Medical College of Wisconsin
Medical College of Wisconsin	Medical College of Wisconsin Grants and Contracts 8701 Watertown Plank Road Milwaukee, WI 53226	Fellowship	\$26,000	2017-227	Medical College of Wisconsin
Medical University of South Carolina	Medical University of South Carolina	Fellowship	\$25,000	2018-201	Medical University of South Carolina
Medical University of South Carolina	Medical University of South Carolina	Fellowship	\$25,000	2018-201	Medical University of South Carolina

Francis Family Foundation
EIN #43-1492132

Grantee Name Request Project Title	Payee Name Payee Address	Request Type	Payments	ID#	Payee Legal Name
Northwestern University	Northwestern University Abbot Hall 517 710 N. Lake Shore Dr., 5th Floor Chicago, IL 60611-3008	Fellowship	\$25,000	2018-232	Northwestern University
Northwestern University	Northwestern University Abbot Hall 517 710 N. Lake Shore Dr., 5th Floor Chicago, IL 60611-3008	Fellowship	\$25,000	2018-214	Northwestern University
Northwestern University	Northwestern University Abbot Hall 517 710 N. Lake Shore Dr., 5th Floor Chicago, IL 60611-3008	Fellowship	\$25,000	2018-232	Northwestern University
Perelman School of Medicine at the University of Pennsylvania	Perelman School of Medicine at the University of Pennsylvania	Fellowship	\$25,000	2018-196	Trustees of the University of Pennsylvania
Perelman School of Medicine at the University of Pennsylvania <i>Dr George Worthen, Mentor</i> <i>Dr Andrew Paris, Fellow</i>	Perelman School of Medicine at the University of Pennsylvania	Fellowship	\$27,000	2017-16-178	Trustees of the University of Pennsylvania
Perelman School of Medicine at the University of Pennsylvania <i>Dr George Worthen, Mentor</i> <i>Dr Andrew Paris, Fellow</i>	Perelman School of Medicine at the University of Pennsylvania	Fellowship	\$5,522	2017-16-178	Trustees of the University of Pennsylvania
Perelman School of Medicine at the University of Pennsylvania	Perelman School of Medicine at the University of Pennsylvania	Fellowship	\$25,000	2018-196	Trustees of the University of Pennsylvania

**Francis Family Foundation
EIN #43-1492132**

Grantee Name Request Project Title	Payee Name Payee Address	Request Type	Payments	ID#	Payee Legal Name
Ryerson University <i>Developing and validating CT and MRI small airway disease biomarkers</i>	Ryerson University 350 Victoria Street Toronto M5B 2K3 Canada	Fellowship	\$26,000	2018-058	Ryerson University
Ryerson University <i>Developing and validating CT and MRI small airway disease biomarkers</i>	Ryerson University 350 Victoria Street Toronto M5B 2K3 Canada	Fellowship	\$26,000	2018-058	Ryerson University
Seattle Biomedical Research Institute <i>Dr Kevin Urdahl, Mentor Dr Courtney Plumlee, Fellow</i>	Seattle Biomedical Research Institute	Fellowship	\$27,000	2017-16-201	Seattle Biomedical Research Institute
Seattle Biomedical Research Institute <i>Dr Kevin Urdahl, Mentor Dr Courtney Plumlee, Fellow</i>	Seattle Biomedical Research Institute	Fellowship	\$27,000	2017-16-201	Seattle Biomedical Research Institute
Seattle Childrens Hospital Foundation	Seattle Childrens Hospital Foundation P.O. Box 5371, MS S-200 Seattle, WA 98145-5005	Fellowship	\$26,000	2017-268	Seattle Children's Hospital Foundation
Seattle Childrens Hospital Foundation	Seattle Childrens Hospital Foundation P.O. Box 5371, MS S-200 Seattle, WA 98145-5005	Fellowship	\$26,000	2017-268	Seattle Children's Hospital Foundation

Francis Family Foundation
 EIN #43-1492132

Grantee Name Request Project Title	Payee Name Payee Address	Request Type	Payments	ID#	Payee Legal Name
Stanford University	Stanford University P.O. Box 44253 San Francisco, CA 94144-4253	Fellowship	\$26,000	2017-277	The Board Of Trustees Of The Leland Stanford Junior University
Stanford University <i>Dr Mark Nicholls, Mentor</i> <i>Dr Ke Yuan, Fellow</i>	Stanford University P.O. Box 44253 San Francisco, CA 94144-4253	Fellowship	\$27,000	2017-16-175	The Board Of Trustees Of The Leland Stanford Junior University
Stanford University	Stanford University P.O. Box 44253 San Francisco, CA 94144-4253	Fellowship	\$26,000	2017-277	The Board Of Trustees Of The Leland Stanford Junior University
University of Alabama at Birmingham <i>Dr Brent Carter, Mentor</i> <i>Dr Jennifer Casey, Fellow</i>	University of Alabama at Birmingham Office of Grants and Contracts Accounting Administration Building 990 701 20th St. South Birmingham, AL 35294-0111	Fellowship	\$27,000	2017-16-226	University of Alabama at Birmingham
University of Alabama at Birmingham <i>Dr Brent Carter, Mentor</i> <i>Dr Jennifer Casey, Fellow</i>	University of Alabama at Birmingham Office of Grants and Contracts Accounting Administration Building 990 701 20th St. South Birmingham, AL 35294-0111	Fellowship	\$27,000	2017-16-226	University of Alabama at Birmingham

Francis Family Foundation
EIN #43-1492132

Grantee Name Request Project Title	Payee Name Payee Address	Request Type	Payments	ID#	Payee Legal Name
University of British Columbia <i>Dr Don Sin, Mentor</i> <i>Dr Ma'en Obediat, Fellow</i>	University of British Columbia Office of Research Services Instructional Resources Centre, Room 323 2194 Health Sciences Mall University of British Columbia Vancouver, British Columbia V6G 1Z1 Canada	Fellowship	\$27,000	2017-16-197	University of British Columbia
University of Calgary	University of Calgary Financial Services 2500 University Dr., NW Calgary, Alberta T2N 4N1 Canada	Fellowship	\$25,000	2018-233	University of Calgary
University of Calgary	University of Calgary Financial Services 2500 University Dr., NW Calgary, Alberta T2N 4N1 Canada	Fellowship	\$25,000	2018-233	University of Calgary
University of California, San Francisco <i>Dr Adithya Cattamanchi, Mentor</i> <i>Dr. Priya Shete, Fellow</i>	University of California, San Francisco 3333 California Street, Suite 315 San Francisco, CA 94143-0962	Fellowship	\$27,000	2017-16-228	University Of California San Francisco
University of California, San Francisco <i>Dr Adithya Cattamanchi, Mentor</i> <i>Dr Priya Shete, Fellow</i>	University of California, San Francisco 3333 California Street, Suite 315 San Francisco, CA 94143-0962	Fellowship	\$27,000	2017-16-228	University Of California San Francisco

**Francis Family Foundation
EIN #43-1492132**

Grantee Name Request Project Title	Payee Name Payee Address	Request Type	Payments	ID#	Payee Legal Name
University of Colorado Denver <i>Dr. Gregory Downey, Mentor</i> <i>Dr. Yael Ascher, Fellow</i>	University of Colorado Denver Health Science Center National Jewish Center 1400 Jackson Street Denver, CO 80206	Fellowship	\$45,145	2017-16-185	Regents of the University of Colorado
University of Colorado Denver	University of Colorado Denver Health Science Center National Jewish Center 1400 Jackson Street Denver, CO 80206	Fellowship	\$25,000	2018-211	Regents of the University of Colorado
University of Colorado Denver	University of Colorado Denver Health Science Center National Jewish Center 1400 Jackson Street Denver, CO 80206	Fellowship	\$26,000	2017-267	Regents of the University of Colorado
University of Colorado Denver	University of Colorado Denver Health Science Center National Jewish Center 1400 Jackson Street Denver, CO 80206	Fellowship	\$29,187	2017-267	Regents of the University of Colorado
University of Colorado Denver	University of Colorado Denver Health Science Center National Jewish Center 1400 Jackson Street Denver, CO 80206	Fellowship	\$25,000	2018-211	Regents of the University of Colorado
University of Florida	University of Florida 207 Grinter Hall PO Box 115500 Gainesville, FL 32611-5500	Fellowship	\$25,000	2018-217	University of Florida

Francis Family Foundation
EIN #43-1492132

Grantee Name Request Project Title	Payee Name Payee Address	Request Type	Payments	ID#	Payee Legal Name
University of Florida	University of Florida 207 Grinter Hall PO Box 115500 Gainesville, FL 32611-5500	Fellowship	\$25,000	2018-217	University of Florida
University of Iowa <i>Dr Joseph Zabner, Mentor</i> <i>Dr Alejandro Pezzulo, Fellow</i>	University of Iowa 4 Jessup Hall University of Iowa Business Office Iowa City, IA 52242	Fellowship	\$22,541	2017-16-186	The University of Iowa
University of Iowa <i>Dr Joseph Zabner, Mentor</i> <i>Dr Alejandro Pezzulo, Fellow</i>	University of Iowa 4 Jessup Hall University of Iowa Business Office Iowa City, IA 52242	Fellowship	\$14,006	2017-16-186	The University of Iowa
University of Kansas Medical Center <i>PBF Summer Program</i>	University of Kansas Medical Center 3901 Rainbow Blvd., Mail Stop 4004 Kansas City, KS 66160	Grant	\$10,000	2017-370	Kansas University Endowment Assoc

Francis Family Foundation
EIN #43-1492132

Grantee Name Request Project Title	Payee Name Payee Address	Request Type	Payments	ID#	Payee Legal Name
University of Maryland <i>Dr Johnathan Dinman, Mentor</i> <i>Dr Margaret Scull, Fellow</i>	University of Maryland 4101 Chesapeake Building 4300 Terrapin Trail College Park, MD 20742	Fellowship	\$27,000	2016-272	University of Maryland
University of Michigan <i>Functional dissection of a sigh integration</i> <i>center</i>	University of Michigan Division of Research Development & Administration University of Michigan 3003 S. State Street, Room 1054 Ann Arbor, MI 48109-1274	Fellowship	\$27,000	2019-37	University of Michigan
University of Michigan	University of Michigan Division of Research Development & Administration University of Michigan 3003 S. State Street, Room 1054 Ann Arbor, MI 48109-1274	Fellowship	\$26,000	2017-239	University of Michigan
University of Michigan <i>Functional dissection of a sigh integration</i> <i>center</i>	University of Michigan Division of Research Development & Administration University of Michigan 3003 S. State Street, Room 1054 Ann Arbor, MI 48109-1274	Fellowship	\$27,000	2019-37	University of Michigan
University of Michigan	University of Michigan Division of Research Development & Administration University of Michigan 3003 S. State Street, Room 1054 Ann Arbor, MI 48109-1274	Fellowship	\$26,000	2017-239	University of Michigan

Francis Family Foundation
EIN #43-1492132

Grantee Name Request Project Title	Payee Name Payee Address	Request Type	Payments	ID#	Payee Legal Name
University of Pittsburgh <i>Role of Adenine Nucleotide Translocase in Chronic Obstructive Pulmonary Disease</i>	University of Pittsburgh Research/Cost Accounting University of Pittsburgh 3130 Cathedral of Learning P.O. Box 371220 Pittsburgh, PA 15251-7220	Fellowship	\$24,351	2019-36	University of Pittsburgh
University of Pittsburgh <i>Role of Adenine Nucleotide Translocase in Chronic Obstructive Pulmonary Disease</i>	University of Pittsburgh Research/Cost Accounting University of Pittsburgh 3130 Cathedral of Learning P.O. Box 371220 Pittsburgh, PA 15251-7220	Fellowship	\$24,351	2019-36	University of Pittsburgh
University of Southern California <i>Identification of Progenitors in Lung Organoid from Human Pluripotent Stem Cells</i>	University of Southern California University Park Los Angeles, CA 90089	Grant	\$26,000	2019-34	University of Southern California

Francis Family Foundation
 EIN #43-1492132

Grantee Name Request Project Title	Payee Name Payee Address	Request Type	Payments	ID#	Payee Legal Name
University of Southern California <i>Identification of Progenitors in Lung Organoid from Human Pluripotent Stem Cells</i>	University of Southern California University Park Los Angeles, CA 90089	Grant	\$26,000	2019-34	University of Southern California
University of Texas Health Science Center at San Antonio	University of Texas Health Science Center at San Antonio	Fellowship	\$25,000	2018-188	University of Texas Health Science Center at San Antonio
University of Texas Health Science Center at San Antonio	University of Texas Health Science Center at San Antonio	Fellowship	\$25,000	2018-188	University of Texas Health Science Center at San Antonio
University of Washington	University of Washington Grants and Contract Services Campus Box 354945 The University of Washington 3935 University Way, NE Seattle, WA 98105	Fellowship	\$25,000	2018-236	University of Washington
University of Wisconsin at Madison	University of Wisconsin at Madison Research and Sponsored Programs A.W. Peterson Building, 4th Floor University of Wisconsin 750 University Ave. Madison, WI 53706-1490	Fellowship	\$26,000	2017-245	Regents of the University of Wisconsin System at Madison

**Francis Family Foundation
EIN #43-1492132**

Grantee Name Request Project Title	Payee Name Payee Address	Request Type	Payments	ID#	Payee Legal Name
University of Wisconsin at Madison	University of Wisconsin at Madison Research and Sponsored Programs A.W. Peterson Building, 4th Floor University of Wisconsin 750 University Ave. Madison, WI 53706-1490	Fellowship	\$26,000	2017-245	Regents of the University of Wisconsin System at Madison
Vanderbilt University Medical Center <i>Dr Tina Hartert, Mentor</i> <i>Dr Christian Rosas-Salazar, Fellow</i>	Vanderbilt University Medical Center	Fellowship	\$28,000	2017-16-210	Vanderbilt University Medical Center
Vanderbilt University Medical Center	Vanderbilt University Medical Center	Fellowship	\$25,000	2018-229	Vanderbilt University Medical Center
Vanderbilt University Medical Center	Vanderbilt University Medical Center	Fellowship	\$26,000	2017-278	Vanderbilt University Medical Center
Vanderbilt University Medical Center	Vanderbilt University Medical Center	Fellowship	\$26,000	2017-278	Vanderbilt University Medical Center
Yale University	Yale University P.O. Box 208231 New Haven, CT 06520-8231	Fellowship	\$26,000	2017-264	Yale University

Francis Family Foundation
EIN #43-1492132

Grantee Name Request Project Title	Payee Name Payee Address	Request Type	Payments	ID#	Payee Legal Name
Yale University	Yale University P.O. Box 208231 New Haven, CT 06520-8231	Fellowship	\$26,000	2017-235	Yale University
Yale University	Yale University P.O. Box 208231 New Haven, CT 06520-8231	Fellowship	\$26,000	2017-235	Yale University
Yale University	Yale University P.O. Box 208231 New Haven, CT 06520-8231	Fellowship	\$26,000	2017-264	Yale University
Grand Totals (187 items)			\$3,751,806		

Francis Family Foundation
 EIN #43-1492132
 Year Ended December 31, 2019

990-PF, Part XV 3b – Grants and Contributions Approved for Future Payment

2019 Multi-Year Grants

PBF Fellowship Program

Grants Approved January 2019 (Three-year grant 2019-2021)

<u>Fellow/Investigator</u>	<u>Amount Approved</u>	<u>Amount Paid 2019</u>	<u>Amount Remaining</u>	<u>Payable To</u>
Katzen, Jeremy B	\$156,000	\$50,000	\$106,000	University of Pennsylvania
Hough, Rebecca F	\$156,000	\$50,000	\$106,000	Columbia University
Sahetya, Sarina K	\$156,000	\$50,000	\$106,000	Johns Hopkins School of Medicine
Reyfnan, Paul	\$156,000	\$50,000	\$106,000	Northwestern University
Han, SeungHye	\$156,000	\$25,000	Terminated	Northwestern University
Nadig, Nandita	\$156,000	\$50,000	\$106,000	Medical University of South Carolina
Mitchel, Jennifer A	\$156,000	\$50,000	\$106,000	President & Fellows of Harvard College
Moreira, Alvaro G	\$156,000	\$50,000	\$106,000	UT Health Science Ctr San Antonio
Tadjalli, Arash	\$156,000	\$50,000	\$106,000	University of Florida
Kurche, Jonathan	\$156,000	\$50,000	\$106,000	University of Colorado Denver
Palmer, Lauren D	\$156,000	\$25,000	Terminated	Vanderbilt University Medical Center
Yao, Changfu	\$156,000	\$50,000	\$106,000	Cedars-Sinai Medical Center
Jendzjowsky, Nicholas G	\$156,000	\$50,000	\$106,000	University of Calgary
Morrell, Enc	<u>\$156,000</u>	<u>\$25,000</u>	<u>Terminated</u>	University of Washington
Total:	\$2,184,000	\$625,000	\$1,166,000	

Francis Family Foundation

EIN #43-1492132

Year Ended December 31, 2019

990-PF, Part XV 3b – Grants and Contributions Approved for Future Payment

2018 Multi-Year Grants (payable in 2019-20)

PBF Fellowship Program

Grants Approved January 2018 (Three-year grant 2018-2020)

<u>Fellow/Investigator</u>	<u>Amount Approved</u>	<u>Amount Paid 2019</u>	<u>Amount Remaining</u>	<u>Payable To</u>
Burgener, Elizabeth B.	\$156,000	\$52,000	\$54,000	BOT of the Leland Stanford Jr Univ.
Chen, Ya-Wen	\$156,000	\$52,000	\$54,000	University of Southern California
Eldredge, Laurie C	\$156,000	\$52,000	\$54,000	Seattle Children's Hospital Foundation
Gauthier, Marc C	\$156,000	\$52,000	\$54,000	University of Pittsburgh
Goss, Kara N	\$156,000	\$52,000	\$54,000	University of Wisconsin-Madison
Gurczynski, Stephen	\$156,000	\$52,000	\$54,000	Regents of the University of Michigan
Kirby, Miranda	\$156,000	\$52,000	\$54,000	Ryerson University
Kliment, Corrine R	\$156,000	\$50,351	\$49,329	University of Pittsburgh (re-budgeted)
Maddux, Aline B	\$156,000	\$29,186.50	\$28,000	Regents of the University of Colorado (re-budgeted)
Mouradian, Gary C	\$156,000	\$52,000	\$54,000	Medical College of Wisconsin
Ryu, Changwan	\$156,000	\$52,000	\$54,000	Yale University
Snyder, Mark E	\$156,000	\$52,000	\$54,000	University of Pittsburgh
Sucre, Jennifer	\$156,000	\$52,000	\$54,000	Vanderbilt University School of Medicine
Zinchuk, Andrey V	\$156,000	\$52,000	\$54,000	Yale University
Total:	\$2,184,000	\$703,537.50	\$725,329	

Francis Family Foundation
EIN #43-1492132
Year Ended December 31, 2019

990-PF, Part XV 3b – Grants and Contributions Approved for Future Payment

2018 Multi-Year Grants (payable in 2019-20)

Discretionary Grants

<u>Organization</u>	<u>Year/Amount Approved</u>	<u>Amount Paid 2019</u>	<u>Amount Remaining</u>
Anderson Ranch Arts Foundation	2016/\$50,000	\$10,000	\$10,000
Eisenhower Foundation	2018/\$30,000	\$10,000	\$10,000
Heart of American Shakespeare Festival	2018/\$50,000	\$10,000	\$30,000
Gem Theater Cultural & Performing Arts Ctr.	2019/\$30,000	\$15,000	\$15,000
Hillcrest Transitional Housing	2019/\$20,000	\$10,000	\$10,000
Nelson-Atkins Museum of Art	2019/\$10,000	\$5,000	\$5,000
Sherwood Autism Center	2019/\$100,000	\$25,000	\$75,000

Total: \$85,000

\$155,000

Pulmonary Research Grants

<u>Organization</u>	<u>Year/Amount Approved</u>	<u>Amount Paid 2019</u>	<u>Amount Remaining</u>
American Thoracic Society	2015/\$50,000	\$10,000	None (grant ended in 2019)
Aspen Lung Conference	2018/\$10,500	\$3,500	\$3,500

Francis Family Foundation

EIN #43-1492132

Year Ended December 31, 2019

990-PF, Part XV 3b – Grants and Contributions Approved for Future Payment

Total: \$13,500

\$3,500