

Form **990-PF****Return of Private Foundation**
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0047

2019

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

▶ Do not enter social security numbers on this form as it may be made public.

▶ Go to www.irs.gov/Form990PF for instructions and the latest information.**For calendar year 2019 or tax year beginning****, 2019, and ending****, 20**

Name of foundation Onan Family Foundation		A Employer identification number 41-6033631
Number and street (or P O box number if mail is not delivered to street address) PO Box 50667	Room/suite	B Telephone number (see instructions) 612 226 1198
City or town, state or province, country, and ZIP or foreign postal code Minneapolis, MN 55403		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input checked="" type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input checked="" type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation 04 <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 6,126,754	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d), must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	130,587	130,587		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	454,340			
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 21)		454,340		
	8 Net short-term capital gain			578	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	584,927	584,927	578		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)	52,574	18,960		33,614
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	9,401			9,401
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy	5,869			5,869
	21 Travel, conferences, and meetings	2,052			2,052
	22 Printing and publications				
	23 Other expenses (attach schedule)	3,504			3,504
	24 Total operating and administrative expenses. Add lines 13 through 23	73,400	18,960		54,440
	25 Contributions, gifts, grants paid	225,000			225,000
26 Total expenses and disbursements. Add lines 24 and 25	298,400	18,960		279,440	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	286,527				
b Net investment income (if negative, enter -0-)		565,967			
c Adjusted net income (if negative, enter -0-)					

For Paperwork Reduction Act Notice, see instructions.

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Form **990-PF** (2019)

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	28,531	61,246	61,246
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	1,925,870	1,977,084	3,817,147
	c Investments—corporate bonds (attach schedule)	2,021,909	2,224,508	2,248,361
	11 Investments—land, buildings, and equipment: basis ▶			
Liabilities	Less: accumulated depreciation (attach schedule) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)			
	14 Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶ Plug adjustment)	178	177	
	16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	3,976,488	4,263,015	6,126,754
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
Net Assets or Fund Balances	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)			
	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 24, 25, 29, and 30.			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds	3,976,488	4,263,015	
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
	29 Total net assets or fund balances (see instructions)	3,976,488	4,263,015	
	30 Total liabilities and net assets/fund balances (see instructions)	3,976,488	4,263,015	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	3,976,488
2 Enter amount from Part I, line 27a	2	286,527
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	4,263,015
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	4,263,015

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a Details for this section is in Statement 5				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.				
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	454,340
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8			3	578

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.				
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))	
2018	279,039	5,771,211	0.048350	
2017	271,540	5,662,062	0.047958	
2016	268,100	5,293,966	0.050643	
2015	266,191	5,389,228	0.049393	
2014	235,471	5,413,400	0.043498	
2 Total of line 1, column (d)			2	0.239841
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years			3	0.047968
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5			4	5,837,260
5 Multiply line 4 by line 3			5	280,003
6 Enter 1% of net investment income (1% of Part I, line 27b)			6	5,660
7 Add lines 5 and 6			7	285,663
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions			8	279,440

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	11,319
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	2	
3	Add lines 1 and 2	3	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	11,319
6	Credits/Payments:		
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a	
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	11,319
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be: Credited to 2020 estimated tax Refunded	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		✓
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		✓
c Did the foundation file Form 1120-POL for this year?		✓
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation. ▶ \$ _____ (2) On foundation managers. ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		✓
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes.		✓
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		✓
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by <i>General Instruction T</i> .		✓
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	✓	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	✓	
8a Enter the states to which the foundation reports or with which it is registered. See instructions. ▶ Minnesota		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? If "No," attach explanation	✓	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV		✓
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		✓

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		✓
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		✓
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	✓	
Website address ▶ <u>www.onanfamily.org</u>		
14 The books are in care of ▶ <u>Onan Family Foundation</u> Telephone no. ▶ <u>612 226 1198</u>		
Located at ▶ <u>301 Clifton Ave, #3A, Minneapolis, MN</u> ZIP+4 ▶ <u>55403</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here ▶ <input type="checkbox"/>		
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15		
16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?		✓
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions		
Organizations relying on a current notice regarding disaster assistance, check here ▶ <input type="checkbox"/>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?		✓
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)		✓
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019)		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		✓
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purposes that had not been removed from jeopardy before the first day of the tax year beginning in 2019?		✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

	Yes	No
5a During the year, did the foundation pay or incur any amount to:		
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions		
Organizations relying on a current notice regarding disaster assistance, check here	<input type="checkbox"/>	
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
If "Yes," attach the statement required by Regulations section 53.4945-5(d).		
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		<input checked="" type="checkbox"/>
If "Yes" to 6b, file Form 8870.		
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 3				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
None paid over the limit above		

Total number of others receiving over \$50,000 for professional services ▶**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3 ▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	5,926,152
b	Average of monthly cash balances	1b	
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	5,926,152
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	5,926,152
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	88,892
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	5,837,260
6	Minimum investment return. Enter 5% of line 5	6	291,863

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	291,863
2a	Tax on investment income for 2019 from Part VI, line 5	2a	11,319
b	Income tax for 2019. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	11,319
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	280,544
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	280,544
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	280,544

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	279,440
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	279,440
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	279,440

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				280,544
2 Undistributed income, if any, as of the end of 2019.				
a Enter amount for 2018 only			259,230	
b Total for prior years: 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2019:				
a From 2014				
b From 2015				
c From 2016				
d From 2017				
e From 2018				
f Total of lines 3a through e				
4 Qualifying distributions for 2019 from Part XII, line 4: ► \$ 279,440				
a Applied to 2018, but not more than line 2a			259,230	
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2019 distributable amount				20,210
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see instructions				
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				- 260,334
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9:				
a Excess from 2015				
b Excess from 2016				
c Excess from 2017				
d Excess from 2018				
e Excess from 2019				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

- 1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling
- b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

- 2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

[illegible]

- b** 85% of line 2a
- c** Qualifying distributions from Part XII,
line 4, for each year listed

- d** Amounts included in line 2c not used directly for active conduct of exempt activities

- e** Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c

- 3** Complete 3a, b, or c for the alternative test relied upon:

- a “Assets” alternative test—enter:**

- (1) Value of all assets**

- (2) Value of assets qualifying under section 4942(j)(3)(B)(i)

- b** "Endowment" alternative test—enter $\frac{2}{3}$ of minimum investment return shown in Part X, line 6, for each year listed .

- c** “Support” alternative test—enter.

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(i)(3)(B)(iii).

- (3) Largest amount of support from an exempt organization

- (4) Gross investment income**

Part XV	Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)
----------------	--

- ### 1 Information Regarding Foundation Managers:

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2))

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

- a** The name, address, and telephone number or email address of the person to whom applications should be addressed:

- b** The form in which applications should be submitted and information and materials they should include:

- c** Any submission deadlines:

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** *(continued)***3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> See Statement 4				
Total			▶ 3a	225,000
b <i>Approved for future payment</i>				
Total			▶ 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income (See instructions)
1	Program service revenue:					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities			14	130,587	
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			18	454,340	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue: a					
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)				584,927	
13	Total. Add line 12, columns (b), (d), and (e)				584,927	584,927

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

- | 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | Yes | No |
|--|--|-----|----|
| a Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| (1) Cash | | | ✓ |
| (2) Other assets | | | ✓ |
| b Other transactions: | | | |
| (1) Sales of assets to a noncharitable exempt organization | | | ✓ |
| (2) Purchases of assets from a noncharitable exempt organization | | | ✓ |
| (3) Rental of facilities, equipment, or other assets | | | ✓ |
| (4) Reimbursement arrangements | | | ✓ |
| (5) Loans or loan guarantees | | | ✓ |
| (6) Performance of services or membership or fundraising solicitations | | | ✓ |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees | | | ✓ |
| d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

5/2/20
Date

Treasurer
Title

May the IRS discuss this return with the preparer shown below?
See Instructions ☐ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date

Check ☐ if
self-employed

PTIN	
------	--

Firm's name ▶

Firm's EIN ►

Firm's address ►

Phone no

ONAN FAMILY FOUNDATION - 41-6033631

STATEMENT #1

990PF 2019

Revenue and Expense
Part I, Expense schedules

Line 18.	Taxes			
	Excises Taxes 2018 paid in 2019		<u>9,401</u>	
		TOTAL	<u>9,401</u>	
Line 16c	Other Professional Fees			
	Outsourced Administrative Services	37,737	7,547	
	Consultng Services	4,280	856	
	Portfolio Management Fee	<u>10,557</u>	<u>10,557</u>	
		TOTAL	<u>52,574</u>	<u>18,960</u>
				<u>33,614</u>
Line 23	Other expenses			
	Computer and web site	3,024		
	MN Filing Fee	25		
	Office supplies and services	<u>455</u>		
		TOTAL	<u>3,504</u>	

Sections B & D The employee's salary and benefits, and contracted administrative services were allocated between investment activities and charitable purposes on basis of time spent

ONAN FAMILY FOUNDATION - 41-6033631

STATEMENT #2

990PF 2019

BALANCE SHEET

Part II, Investments

Portfolio Value and Cost Basis - As of 12/31/2019

	12/31/2019 Cost Basis	12/31/2019 FMV
ISHARES TRUST DJ US UTILITIES SECTOR INDEX FD	12,519 51	31,275 65
ISHARES TRUST DOW JONES TECHNOLOGY SECTOR INDEX FUND	122,794 86	580,569 60
ISHARES TRUST DOW JONES U S CONSUMER GOOD SECTOR INDEX FU	88,921 49	241,588 52
ISHARES TRUST S&P SMALLCAP 600 INDEX FUND	77,414 64	277,040 40
ISHARES TRUST S&P MID CAP 400 INDEX FUND	76,661 41	260,979 76
ISHARES TRUST US ENERGY SECTOR INDEX FUND	82,008 89	85,374 96
ISHARES U.S CONSUMER SERVICES ETF	40,232 64	81,478 11
ISHARES U S FINANCIALS EFT	76,872 22	136,677 76
ISHARES U S INDUSTRIALS ETF	52,028 11	109,468 92
iShares U S. Medical Devices ETF	311,703 97	345,730 56
Vanguard Admrrial Index 500	144,216 88	674,183 68
VANGUARD COMMUNICATION SERVICES ETF	188,760 92	195,844 05
VANGUARD CONSUMER DISCRETIONARY ETF	64,044 91	79,960 56
VANGUARD CONSUMER STAPLES ETF	83,731 02	91,561 60
VANGUARD FINANCIALS ETF	26,201 00	30,054 32
VANGUARD FTSE EUROPE ETF	94,717 24	98,506 60
VANGUARD MID-CAP ETF	180,721 28	212,746 92
VANGUARD SMALL-CAP ETF	169,702 07	193,798 80
VANGUARD UTILITIES ETF	83,831 40	90,306 48
	-	-
Line 10b - Corporate Stock	<u>1,977,084</u>	<u>3,817,147</u>
ALLY BK SANDY UT US RT 02 7500% MAT 03/14/22 FIXED RATE CD	204,272	207,440
CAPITAL ONE NA VA US RT 02 2000% MAT 10/21/20 FIXED RATE CD	80,715	80,384
COCA COLA CO COM NTS B/E 03.150% 111520 DTD111510 FC051511	140,373	132,552
DISCOVER BANK DE US RT 03 1500% MAT 08/22/22 FIXED RATE CD	116,636	120,074
ENERBANK UT US RT 01 8500% MAT 10/31/23 FIXED RATE CD	149,517	149,070
GOLDMAN SACHS BANK NY US RT 03 3500% MAT 08/22/23 FIXED RATE CD	234,393	245,398
J P MORGAN CHASE & CO 05 125% 091514 DTD091504 FC031505	103,273	98,702
MERRICK BK UT US RT 02 3500% MAT 06/21/21 FIXED RATE CD	108,974	109,047
MORGAN STANLEY BK UT US RT 03 5500% MAT 11/15/23 FIXED RATE CD	197,172	208,505
Sallie Mae Bank US 2 750% MAT 03/21/22	203,192	206,446
SALLIE MAE BANK UT US RT 03 1500% MAT 08/22/22 FIXED RATE CD	117,642	121,109
SYNCHRONY BANK UT US RT 03 3000% MAT 08/15/24 FIXED RATE CD	116,451	121,271
UNITEDHEALTH GROUP INC 03 350% 071522 DTD072315 FC011516 CALL@M	95,853	92,188
US BANCORP MED TERM NTS 03 600% 091124 DTD091114 FC031115 B/E	124,904	124,397
WELLS FARGO BK NA SD US RT 01 9500% MAT 10/18/24 FIXED RATE CD	113,391	112,854
WELLS FARGO BK NA SD US RT 02 7500% MAT 05/11/20 FIXED RATE CD	70,516	70,277
WELLS FARGO BK NA SD US RT 03 1500% MAT 08/16/22 FIXED RATE CD	47,234	48,648
	-	-
Line 10c - Corporate Bonds	<u>2,224,508</u>	<u>2,248,361</u>

ONAN FAMILY FOUNDATION - 41-6033631

STATEMENT #3

990PF 2019

Part VIII, Line 1

List of Officers, Directors, Trustees, and Foundation Managers

Name and address	Title and average hours per week devoted to position	Compensation	Contributions to EPB and DC	Expense Account / Other
Sarah Boswell-Healey P O Box 50667 Minneapolis, MN 55405	President and Trustee None	\$ -	\$ -	\$ -
David W Onan III P O Box 50667 Minneapolis, MN 55405	Treasurer and Trustee None	\$ -	\$ -	\$ -
Charles W Onan II P O Box 50667 Minneapolis, MN 55405	Trustee None	\$ -	\$ -	\$ -
Laura S Girard P O Box 50667 Minneapolis, MN 55405	Secretary and Trustee None	\$ -	\$ -	\$ -
Karenjane Onan-Wakefield P O Box 50667 Minneapolis, MN 55405	Trustee None	\$ -	\$ -	\$ -
	Total	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>

990PF 2019

Part XV, Supplementary Information 3a

Organization	Purpose	Amount
-----	-----	-----
Boys & Girls Clubs of the Twin Cities 2575 University Ave W #100 St Paul, MN 55114	General support	6,000
Charities Review Council 2334 University Ave W, Suite 150 St Paul, MN 55114	General support	1,000
Dogs for the Deaf 10175 Wheeler Road Central Point, OR 97502	General support	10,000
Dunwoody College of Technology 818 Dunwoody Boulevard Minneapolis, MN 55403	Scholarship support	15,000
Faith's Lodge 6942 County Road C Danbury, WI 54830	General support	10,000
Greater Minneapolis Crisis Nursery 5400 Glenwood Avenue Minneapolis, MN 55422	General support	6,000
Hennepin Technical Pathways 13100 College View Drive Eden Prairie, MN 55347	General support	5,000
Hope Kids P.O. Box 240721 Apple Valley, MN 55124	General support	10,000
Minneapolis Sailing Center 2735 Fremont Ave S. #2 Minneapolis, MN 55408	General support	4,000
Larsen Pavilion Auxiliary 15071 Shell Point Boulevard Ft Myers, FL 33908	General Support	3,000
Little Brothers Friends of the Elderly 1845 East Lake Street Minneapolis, MN 55407	General support	10,000
Minneapolis Institute of Arts 2400 Third Avenue South Minneapolis, MN 55404	General support	3,500

990PF 2019

Part XV, Supplementary Information 3a

Organization	Purpose	Amount
Minnesota Astronomical Society P. O. Box 583011 Minneapolis, MN 55458-3011	General support	17,000
Minnesota Opera 620 North First Street Minneapolis, MN 55401	General support	2,000
Minnesota Orchestral Association 1111 Nicollet Mall Minneapolis, MN 55403	General support	3,500
MORA Vasaloppet P. O. Box 22 Mora, MN 55051	General support	6,000
Park Avenue Foundation 3400 Park Avenue South Minneapolis, MN 55407	General support	15,000
People Responding in Social Ministry 2323 Zenith Avenue North Golden Valley, MN 55422	General support	10,000
Pike Chain of Lakes Association P. O. Box 602 Iron River, MN 54847	General support	3,000
Pine Technical College 900 Fourth Street SE Pine City, MN 55063	Scholarship support	20,000
Salvation Army – Kanabec County P.O. Box 225 Morris, MN 56267	General support	5,000
Salvation Army – Northern Division 2445 Prior Avenue Roseville, MN 55113	General support	5,000
Second Harvest 1140 Gervais Avenue Saint Paul, MN 55109	General support	5,000
St Therese 1660 South Hwy 100, St 103 St Louis Park, MN 55416	General support	5,000

990PF 2019

Part XV, Supplementary Information 3a

Organization	Purpose	Amount
Stages Theatre Company 1111 Mainstreet Hopkins Minnesota 55343	General support	5,000
The Retreat 1221 Wayzata Blvd East Wayzata, MN 55391	Scholarship support	10,000
Urban Boat Builders 2288 University Avenue West St Paul, MN 55114	General support	8,000
Walker Art Center 1750 Hennepin Avenue Minneapolis, MN 55403	General support	2,000
Wayzata Sailing Foundation P. O. Box 768 Wayzata, MN 55391	General support	5,000
Wisconsin Indianhead Technical College 505 Pine Ridge Drive Shell Lake, WI 54871	Scholarship support	15,000
		-
TOTAL GRANTS PAID IN 2015		<u>225,000</u>

Capital Gains - Last year

1/1/2019 through 12/31/2019

ONAN FAMILY FOUNDATION - 41-6033631

990PF 2019

Capital Gains and Losses for Tax on Investment Income
Part IV

STATEMENT #5

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain or Loss
SHORT TERM							
01 UBS Portfolio 0269	HOMESTREET BANK WA US	2000	9/11/18	3/11/19	200,000	200,446	(446)
02 UBS Managed Portfolio 3634	VANGUARD CONSUMER DISCRETION	51	4/26/18	3/26/19	8,687	8,195	492
02 UBS Managed Portfolio 3634	VANGUARD FINANCIALS ETF	547	4/26/18	3/26/19	34,862	38,186	(3,324)
02 UBS Managed Portfolio 3634	VANGUARD MID-CAP ETF	197	4/26/18	3/26/19	31,417	30,417	1,000
02 UBS Managed Portfolio 3634	VANGUARD SMALL-CAP ETF	205	4/26/18	3/26/19	31,048	30,467	581
02 UBS Managed Portfolio 3634	VANGUARD HEALTH CARE ETF	330	3/26/19	11/12/19	58,710	56,435	2,275
	ST Capital Gain Distributions						
TOTAL SHORT TERM					<u>364,724</u>	<u>364,146</u>	<u>578</u>
LONG TERM							
01 UBS Portfolio 0269	IBM CORP B/E	1,070 00	7/15/15	7/19/19	107,888	110,392	(2,504)
01 UBS Portfolio 0269	APPLE INC B/E	1,420 00	10/27/15	11/12/19	148,805	149,064	(259)
01 UBS Portfolio 0269	MICROSOFT CORP B/E	1,060 00	5/1/17	11/12/19	112,742	111,491	1,251
01 UBS Portfolio 0269	CHEVRON CORP NTS B/E	1,050 00	3/10/16	11/15/19	105,000	106,587	(1,587)
01 UBS Portfolio 0269	NATL RURAL UTILS COOP	500 00	10/31/11	11/15/19	50,000	50,000	-
02 UBS Managed Portfolio 3634	ISHARES TRUST S&P SMALLCAP 600 I	106 00	9/9/09	3/26/19	8,101	2,662	5,439
02 UBS Managed Portfolio 3634	ISHARES TRUST S&P SMALLCAP 600 I	290 00	10/2/09	3/26/19	22,162	7,324	14,839
02 UBS Managed Portfolio 3634	ISHARES TRUST S&P MID CAP 400 INDE	52 00	9/9/09	3/26/19	9,723	3,440	6,283
02 UBS Managed Portfolio 3634	ISHARES TRUST S&P MID CAP 400 INDE	101 00	10/2/09	3/26/19	18,885	6,716	12,169
02 UBS Managed Portfolio 3634	ISHARES TRUST DOW JONES U S HLTHC	22 00	6/8/09	3/26/19	4,231	1,150	3,081
02 UBS Managed Portfolio 3634	ISHARES TRUST DOW JONES U S HLTHC	120 00	10/2/09	3/26/19	23,079	6,927	16,151
02 UBS Managed Portfolio 3634	ISHARES TRUST DOW JONES U S CONSU	195 00	9/15/09	3/26/19	23,027	10,177	12,851
02 UBS Managed Portfolio 3634	ISHARES TRUST DOW JONES U S CONSU	23 00	10/2/09	3/26/19	2,716	1,192	1,524
02 UBS Managed Portfolio 3634	ISHARES U S CONSUMER SERVICES ET	283 00	10/18/13	3/26/19	57,426	31,893	25,533
02 UBS Managed Portfolio 3634	ISHARES U S CONSUMER SERVICES ET	149 00	9/26/16	3/26/19	30,235	21,616	8,619
02 UBS Managed Portfolio 3634	ISHARES TRUST US ENERGY SECTOR INI	58 00	9/9/09	3/26/19	2,094	1,793	301
02 UBS Managed Portfolio 3634	ISHARES TRUST US ENERGY SECTOR INI	352 00	10/27/15	3/26/19	12,708	12,954	(247)
02 UBS Managed Portfolio 3634	ISHARES U S FINANCIALS EFT	627 00	11/14/14	3/26/19	73,261	55,187	18,074
02 UBS Managed Portfolio 3634	ISHARES U S INDUSTRIALS ETF	147 00	4/16/13	3/26/19	21,862	11,712	10,149
02 UBS Managed Portfolio 3634	ISHARES TRUST DOW JONES TECHNOLO	332 00	4/17/12	3/26/19	62,923	25,441	37,482
02 UBS Managed Portfolio 3634	Shares U S Medical Devices ETF	15 00	4/22/16	3/26/19	3,443	1,935	1,508
02 UBS Managed Portfolio 3634	ISHARES TRUST DJ US UTILITIES SECT	22 00	6/8/09	3/26/19	3,278	1,427	1,851
02 UBS Managed Portfolio 3634	Vanguard Admiral Index 500	271 89	8/11/14	3/26/19	70,700	17,340	53,360
02 UBS Managed Portfolio 3634	VANGUARD FINANCIALS ETF	117 00	10/19/17	3/26/19	7,457	7,781	(324)
02 UBS Managed Portfolio 3634	VANGUARD FTSE EUROPE ETF	202 00	4/23/15	3/26/19	10,806	11,382	(576)
02 UBS Managed Portfolio 3634	ISHARES TRUST DOW JONES U S HLTHC	1,183 00	6/8/09	11/12/19	237,065	61,859	175,205
02 UBS Managed Portfolio 3634	ISHARES TRUST DOW JONES SECTOR INI	784 00	6/8/09	11/12/19	25,405	24,186	1,219
02 UBS Managed Portfolio 3634	ISHARES TRUST DOW JONES TECHNOLO	171 00	4/17/12	11/12/19	37,567	13,104	24,463
02 UBS Managed Portfolio 3634	ISHARES TRUST DOW JONES TECHNOLO	94 00	10/19/12	11/12/19	20,651	6,734	13,916
02 UBS Managed Portfolio 3634	VANGUARD MID-CAP ETF	444 00	4/26/18	11/12/19	76,247	68,554	7,694
02 UBS Managed Portfolio 3634	VANGUARD SMALL-CAP ETF	532 00	4/26/18	11/12/19	85,363	79,066	6,297
	LT Capital Gain Distributions						
TOTAL LONG TERM					<u>1,474,847</u>	<u>1,021,085</u>	<u>453,762</u>
OVERALL TOTAL					<u>1,839,571</u>	<u>1,385,231</u>	<u>454,340</u>