

Form **990-PF****Return of Private Foundation**

OMB No 1545-0047

Department of the Treasury
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation
 ▶ Do not enter social security numbers on this form as it may be made public.
 ▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

2019

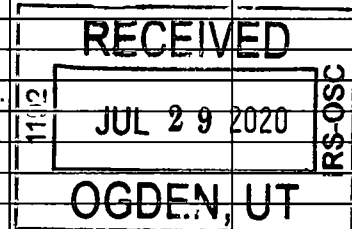
Open to Public Inspection

For calendar year 2019 or tax year beginning , 2019, and ending , 20

Name of foundation PIPER JAFFRAY FOUNDATION		A Employer identification number 41-1734808
Number and street (or P O box number if mail is not delivered to street address)	Room/suite	B Telephone number (see instructions) (612) 303-0447
800 NICOLLET MALL, SUITE 900		
City or town, state or province, country, and ZIP or foreign postal code MINNEAPOLIS, MN 55402		
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 896,159.		
J Accounting method <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d), must be on cash basis)		

- C If exemption application is pending, check here ☐ **le**
- D 1 Foreign organizations, check here ☐
- 2 Foreign organizations meeting the 85% test, check here and attach computation ☐
- E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
- F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	2,186,769.			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B.				
	3 Interest on savings and temporary cash investments.				
	4 Dividends and interest from securities	17,228.	17,228.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-13,892.			
	b Gross sales price for all assets on line 6a 698,008.				
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain.				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total Add lines 1 through 11	2,190,105.	17,228.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	0.			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)				
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) ATCH 1	1,529.	615.		
	24 Total operating and administrative expenses. Add lines 13 through 23.	1,529.	615.		
	25 Contributions, gifts, grants paid	1,852,770.			1,852,770.
26 Total expenses and disbursements Add lines 24 and 25	1,854,299.	615.		1,852,770.	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	335,806.				
b Net investment income (if negative, enter -0-)		16,613.			
c Adjusted net income (if negative, enter -0-)					



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Part II Balance Sheets		Beginning of year (a) Book Value	End of year	
			(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	560,353.	896,159.	896,159.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable.			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use.			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations (attach schedule). .			
	b Investments - corporate stock (attach schedule)			
	c Investments - corporate bonds (attach schedule).			
Liabilities	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	12 Investments - mortgage loans.			
	13 Investments - other (attach schedule)			
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	560,353.	896,159.	896,159.
	17 Accounts payable and accrued expenses			
	18 Grants payable.			
Net Assets or Fund Balances	19 Deferred revenue.			
	20 Loans from officers, directors, trustees, and other disqualified persons. .			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
	Foundations that follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> X and complete lines 24, 25, 29, and 30			
	24 Net assets without donor restrictions	560,353.	896,159.	
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 26 through 30			
	26 Capital stock, trust principal, or current funds			
	27 Paid-in or capital surplus, or land, bldg. and equipment fund.			
	28 Retained earnings, accumulated income, endowment, or other funds . .			
	29 Total net assets or fund balances (see instructions)	560,353.	896,159.	
	30 Total liabilities and net assets/fund balances (see instructions)	560,353.	896,159.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return).	1	560,353.
2 Enter amount from Part I, line 27a.	2	335,806.
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	896,159.
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	896,159.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1 a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any			
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	-13,892.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) See instructions If (loss), enter -0- in Part I, line 8			3	0.	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e) Do not complete this part

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2018	1,874,384.	661,551.	2.833317
2017	2,047,170.	608,541.	3.364063
2016	1,705,703.	1,257,523.	1.356399
2015	1,385,151.	1,068,000.	1.296958
2014	914,943.	866,109.	1.056383
2 Total of line 1, column (d)			2 9.907120
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years			3 1.981424
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5			4 844,635.
5 Multiply line 4 by line 3.			5 1,673,580.
6 Enter 1% of net investment income (1% of Part I, line 27b).			6 166.
7 Add lines 5 and 6.			7 1,673,746.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions			8 1,852,770.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1			
Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	166.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		2	
3 Add lines 1 and 2		3	166.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		4	0.
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-		5	166.
6 Credits/Payments			
a 2019 estimated tax payments and 2018 overpayment credited to 2019	6a	144.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d	7	144.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	22.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be Credited to 2020 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?		X
If "Yes," attach a detailed description of the activities		
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?		X
If "Yes," attach the statement required by General Instruction T		
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions <input type="checkbox"/> MN, <input type="checkbox"/> _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

	Yes	No
5a During the year, did the foundation pay or incur any amount to		
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.	5b	
Organizations relying on a current notice regarding disaster assistance, check here	<input type="checkbox"/>	
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
If "Yes," attach the statement required by Regulations section 53.4945-5(d)		
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b	X
If "Yes" to 6b, file Form 8870		
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	7b	
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 2		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000. ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		0
Total number of others receiving over \$50,000 for professional services		

Part IX-A	Summary of Direct Charitable Activities
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List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.		Expenses
1	N/A	
2		
3		
4		

Part IX-B	Summary of Program-Related Investments (see instructions)
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Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2		Amount
1	NONE	
2		
All other program-related investments See instructions		
3	NONE	
Total. Add lines 1 through 3		

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	
b	Average of monthly cash balances	1b	857,497.
c	Fair market value of all other assets (see instructions).	1c	
d	Total (add lines 1a, b, and c)	1d	857,497.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d.	3	857,497.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	12,862.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	844,635.
6	Minimum investment return. Enter 5% of line 5	6	42,232.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	42,232.
2a	Tax on investment income for 2019 from Part VI, line 5	2a	166.
b	Income tax for 2019 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	166.
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	42,066.
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4	5	42,066.
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	42,066.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26.	1a	1,852,770.
b	Program-related investments - total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,852,770.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	166.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,852,604.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				42,066.
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only.				
b Total for prior years 20 17 , 20 16 , 20 15				
3 Excess distributions carryover, if any, to 2019				
a From 2014 871,662.				
b From 2015 1,331,759.				
c From 2016 1,642,901.				
d From 2017 2,016,791.				
e From 2018 1,841,542.				
f Total of lines 3a through e	7,704,655.			
4 Qualifying distributions for 2019 from Part XII, line 4 ▶ \$ 1,852,770.				
a Applied to 2018, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2019 distributable amount.				42,066.
e Remaining amount distributed out of corpus.	1,810,704.			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	9,515,359.			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2018 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2019 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2020.				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions)	871,662.			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a	8,643,697.			
10 Analysis of line 9				
a Excess from 2015 1,331,759.				
b Excess from 2016 1,642,901.				
c Excess from 2017 2,016,791.				
d Excess from 2018 1,841,542.				
e Excess from 2019 1,810,704.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year		Prior 3 years		(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4, for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets.					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed

ATCH 3

b The form in which applications should be submitted and information and materials they should include

ATCH 4

c Any submission deadlines

ATCH 5

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

ATCH 6

Part XV **Supplementary Information** *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

<div>Recipient</div> <div>Name and address (home or business)</div>	<div>If recipient is an individual, show any relationship to any foundation manager or substantial contributor</div>	<div>Foundation status of recipient</div>	<div>Purpose of grant or contribution</div>	<div>Amount</div>
a <i>Paid during the year</i> ATCH 7				
Total			3a	1,852,770.
b <i>Approved for future payment</i>				
Total			3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount		
1 Program service revenue						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments .						
4 Dividends and interest from securities			14	17,228.		
5 Net rental income or (loss) from real estate						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory			18	-13,892.		
9 Net income or (loss) from special events . . .						
10 Gross profit or (loss) from sales of inventory . .						
11 Other revenue a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal Add columns (b), (d), and (e)				3,336.		
13 Total Add line 12, columns (b), (d), and (e)						3,336.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj. basis		Gain or (loss)	
167,539.		TRANSMEDIC GROUP, INC. 158,991.					8,548.	07/31/2019
168,945.		TRANSMEDIC GROUP, INC. 171,924.					-2,979.	08/01/2019
149,642.		TRANSMEDIC GROUP, INC. 153,177.					-3,535.	08/02/2019
211,882.		TRANSMEDIC GROUP, INC. 227,808.					-15,926.	08/15/2019
TOTAL GAIN (LOSS)					<u>-13,892.</u>	

Schedule B(Form 990, 990-EZ,
or 990-PF)
Department of the Treasury
Internal Revenue Service**Schedule of Contributors**▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No 1545-0047

2019

Name of the organization

PIPER JAFFRAY FOUNDATION

Employer identification number

41-1734808

Organization type (check one)**Filers of:****Section:**

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒
- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000, or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

For Paperwork Reduction Act Notice, see the instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2019)

JSA

9E1251 1 000

86780J 7383

1687953

Name of organization **PIPER JAFFRAY FOUNDATION**Employer identification number
41-1734808**Part I Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	PIPER JAFFRAY & CO. 800 NICOLLET MALL, SUITE 900 MINNEAPOLIS, MN 55402	\$ 2,179,978.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization **PIPER JAFFRAY FOUNDATION**Employer identification number
41-1734808**Part II** **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
<u>1</u>	STOCK _____ _____ _____	\$ <u>711,900.</u>	<u>07/31/2019</u>
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____

Name of organization PIPER JAFFRAY FOUNDATION

Employer identification number

41-1734808

Part III **Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor.** Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this information once. See instructions.) ▶ \$ _____

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

ATTACHMENT 1

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
BANK SERVICE & DRAFT CHARGES	615.	615.
EXECUTION COSTS	914.	
TOTALS	<u>1,529.</u>	<u>615.</u>

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 2

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
DEBRA L. SCHONEMAN 800 NICOLLET MALL, SUITE 900 MINNEAPOLIS, MN 55402	PRESIDENT 3.00	0.	0.	0.
TIMOTHY L. CARTER 800 NICOLLET MALL, SUITE 900 MINNEAPOLIS, MN 55402	CFO/TREASURER 2.15	0.	0.	0.
JAMES GRANT 800 NICOLLET MALL, SUITE 900 MINNEAPOLIS, MN 55402	SECRETARY 2.00	0.	0.	0.
GRETCHEN M. FREEMAN 800 NICOLLET MALL, SUITE 900 MINNEAPOLIS, MN 55402	ASSISTANT TREASURER 2.15	0.	0.	0.
CHAD ABRAHAM 800 NICOLLET MALL, SUITE 900 MINNEAPOLIS, MN 55402	DIRECTOR 1.00	0.	0.	0.
FRANK E. FAIRMAN 800 NICOLLET MALL, SUITE 900 MINNEAPOLIS, MN 55402	DIRECTOR 1.00	0.	0.	0.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 2 (CONT'D)

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
MATTHEW S. HEMSLEY 800 NICOLLET MALL, SUITE 900 MINNEAPOLIS, MN 55402	DIRECTOR 1.00	0.	0.	0.
THOMAS P. SCHNETTLER 800 NICOLLET MALL, SUITE 900 MINNEAPOLIS, MN 55402	DIRECTOR 1.00	0.	0.	0.
VICTORIA BEARD 800 NICOLLET MALL, SUITE 900 MINNEAPOLIS, MN 55402	DIRECTOR 1.00	0.	0.	0.
GRAND TOTALS		0.	0.	0.

ATTACHMENT 3FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

PIPER JAFFRAY COMMUNITY RELATIONS
800 NICOLLET MALL, SUITE 900
MINNEAPOLIS, MN 55402
612-303-0447

ATTACHMENT 4990PF, PART XV - FORM AND CONTENTS OF SUBMITTED APPLICATIONS

PIPER JAFFRAY COMMUNITY RELATIONS

ALL REQUESTS FOR FUNDING SHOULD BEGIN WITH A COMPLETE PIPER JAFFRAY
GRANT APPLICATION AVAILABLE AT PIPERSANDLER.COM. THE APPLICATION
INCLUDES A COMPLETE LIST OF REQUIRED SUPPORTING MATERIALS.

ATTACHMENT 5

990PF, PART XV - SUBMISSION DEADLINES

PIPER JAFFRAY COMMUNITY RELATIONS

THE APPLICATION CYCLE IS JANUARY 1 THROUGH MARCH 15

ATTACHMENT 6990PF, PART XV - RESTRICTIONS OR LIMITATIONS ON AWARDS

PIPER JAFFRAY COMMUNITY RELATIONS

PIPER JAFFRAY CORPORATION GIVING WILL NOT FUND:

1. ORGANIZATIONS THAT ARE NOT TAX-EXEMPT UNDER IRS CODE SECTION 501(C)(3) OR EQUIVALENT
2. ORGANIZATIONS THAT DO NOT SERVE COMMUNITIES WHERE PIPER JAFFRAY HAS A BUSINESS PRESENCE
3. INDIVIDUALS OR GROUPS SEEKING SUPPORT FOR PLANNING, PERSONAL NEEDS OR TRAVEL
4. PUBLIC SERVICE OR POLITICAL CAMPAIGNS
5. LOBBYING, POLITICAL OR FRATERNAL ACTIVITIES
6. ORGANIZATIONS RECEIVING PRIMARY FUNDING FROM UNITED WAY
7. ATHLETIC OR PAGEANT SCHOLARSHIPS
8. RELIGIOUS ACTIVITIES OR RELIGIOUS PURPOSES
9. PUBLICATIONS, AUDIO-VISUAL

PIPER JAFFRAY WILL CONSIDER REPEAT GRANTS FOR UP TO THREE CONSECUTIVE YEARS.

ATTACHMENT 7

FORM 990PF, PART XV, LINE 3A - CONTRIBUTIONS PAID DURING THE YEAR

<u>ORGANIZATION NAME AND ADDRESS</u>	<u>Relationship to Substantial Contributor and Foundation Status of Recipient</u>	<u>PURPOSE</u>	<u>AMOUNT</u>
TWIN CITIES PUBLIC TELEVISION 172 E 4TH STREET, ST PAUL, MN 55101		VARIOUS	19,000
AUTISM SPEAKS 9200 OLIVE BOULEVARD, SUITE 202, ST LOUIS, MO 63132		BOARD LEADER PROGRAM	4,000
DEFEAT JESSE JAMES DAYS PO BOX 23, NORTHFIELD, MN 55057		BOARD LEADER PROGRAM	4,000
CARLSON SCHOOL OF MANAGEMENT-UNIVERSITY OF MN FOUNDATION 321 19TH AVE S SUITE 4-300, MINNEAPOLIS, MN 55443		VARIOUS	7,000
HOURLCAR 755 PRIOR AVENUE NORTH , SUITE 301D, ST PAUL, MN 55104		BOARD LEADER PROGRAM	4,000
STUDENTS CONNECTING THROUGH INTERNATIONAL SERVICE 4431 ZENITH AVENUE NORTH, ROBBINSDALE, MN 55422		BOARD LEADER PROGRAM	4,000
BENFIELD ELEMENTARY PTA 365 LYNWOOD LANE, SEVERNA PARK, MD 21146		BOARD LEADER PROGRAM	4,000
THE CENTER FOR PURSUIT 810 MARSTON STREET, HOUSTON, TX 77019		BOARD LEADER PROGRAM	4,000
SCHOLARS OF FINANCE 5354 PARKDALE DRIVE, SUITE 103, ST LOUIS PARK, MN 55416		VARIOUS	27,000
HARDING ACADEMY 170 WINDSOR DRIVE, NASHVILLE, TN 37205		BOARD LEADER PROGRAM	4,000
ST GEORGES INDEPENDENT SCHOOLS FOUNDATION 1880 WOLF RIVER BLVD , COLLIERVILLE, TN 38017		BOARD LEADER PROGRAM	4,000
THE PLAYWRIGHTS' CENTER 2301 E FRANKLIN AVE , MINNEAPOLIS, MN 55406		BOARD LEADER PROGRAM	4,000
GREATER CAPE MAY HISTORICAL SOCIETY PO BOX 495, CAPE MAY, NJ 08204		BOARD LEADER PROGRAM	4,000
ORT AMERICA CLEVELAND 24100 CHAGRIN BOULEVARD #300, BEACHWOOD, OH 44122		BOARD LEADER PROGRAM	4,000
FOSTER VILLAGE CHARLOTTE PO BOX 77672, CHARLOTTE, NC 28271		BOARD LEADER PROGRAM	2,000
KIPP CHARLOTTE 931 WILANN DRIVE, CHARLOTTE, NC 28215		BOARD LEADER PROGRAM	2,000
COMMUNITY REINVESTMENT FUND (CRF) 801 NICOLLET MALL, SUITE 1700 WEST, MINNEAPOLIS, MN 55402		BOARD LEADER PROGRAM	4,000
MINNESOTA HISTORICAL SOCIETY 345 WEST KELLOGG BOULEVARD, ST PAUL, MN 55102		VARIOUS	19,000
ST PATRICK CENTER 800 NORTH TUCKER BOULEVARD, ST LOUIS, MO 63101		VARIOUS	11,500
DOMESTIC ABUSE PROJECT 1121 JACKSON ST NE, SUITE 105, MINNEAPOLIS, MN 55413		BOARD LEADER PROGRAM	4,000
MENTOR IOWA 3900 INGERSOLL AVENUE, SUITE 102, DES MOINES, IA 50312		BOARD LEADER PROGRAM	4,000
COLEMAN PROFESSIONAL SERVICES, INC 5982 RHODES RD , KENT, OH 44240		BOARD LEADER PROGRAM	2,000

COPLEY-FAIRLAWN SCHOOLS FOUNDATION 2480 COPLEY ROAD, COPLEY, OH 44321	BOARD LEADER PROGRAM	2,000
ELLIS SOUTHBEND NEIGHBORHOOD ASSOCIATION PO BOX 170731, BOSTON, MA 02117	BOARD LEADER PROGRAM	4,000
OPERATION SPARTAN FLAGS 7278 SW BOUCHAINE CT, WILSONVILLE, OR 97070	BOARD LEADER PROGRAM	4,000
HIGHPOINT CENTER FOR PRINTMAKING 912 WEST LAKE STREET, MINNEAPOLIS, MN 55408	BOARD LEADER PROGRAM	2,000
ICA FOOD SHELF 12990 ST DAVID'S ROAD, MINNETONKA, MN 55305	VARIOUS	7,000
UNIVERSITY OF CHICAGO 5235 S HARPER COURT, 4TH FLOOR, CHICAGO, IL 60615	BOARD LEADER PROGRAM	4,000
RAW ART WORKS 37 CENTRAL SQUARE, LYNN, MA 01901	BOARD LEADER PROGRAM	4,000
RONALD MCDONALD HOUSE OF WESTERN WASHINGTON & ALASKA 5130 40TH AVENUE NE, SEATTLE, WA 98105	BOARD LEADER PROGRAM	4,000
INVESTED 606 OAKSDALE AVENUE, SUITE C-202, RENTON, VA 98057	BOARD LEADER PROGRAM	4,000
UNIVERSITY OF MINNESOTA ALUMNI ASSOCIATION 200 OAK STREET SE, SUITE 200, MINNEAPOLIS, MN 55455	BOARD LEADER PROGRAM	1,000
STREETWISE PARTNERS 222 BROADWAY, 19TH FLOOR, NEW YORK, NY 10038	BOARD LEADER PROGRAM	4,000
WEST NORTHFIELD DISTRICT 31 EDUCATION FOUNDATION 3131 TECHNY ROAD, NORTHBROOK, IL 60062	BOARD LEADER PROGRAM	4,000
COLLEGE POSSIBLE 755 PRIOR AVENUE N, SUITE 200, ST PAUL, MN 55104	VARIOUS	19,000
CHILDREN'S THEATRE COMPANY 2400 THIRD AVENUE SOUTH, MINNEAPOLIS, MN 55404	BOARD LEADER PROGRAM	4,000
JOHN THEISSEN CHILDREN'S FOUNDATION 1881 WANTAGH AVE, WANTAGH, NY 11793	BOARD LEADER PROGRAM	4,000
MARIPOSA WOMEN AND FAMILY CENTER 200 E KATELLA AVENUE, ORANGE, CA 92867	BOARD LEADER PROGRAM	4,000
WISE PENNY THRIFT SHOPPE (DBA, WELSTORES OF MINNESOTA) 310 BRIGHTON AVE S, BUFFALO, MN 55313	BOARD LEADER PROGRAM	4,000
YMCA OF THE GREATER TWIN CITIES 651 NICOLLET MALL, MINNEAPOLIS, MN 55402	BOARD LEADER PROGRAM	4,000
THE NATURE CONSERVANCY OF MINNESOTA 1101 WEST RIVER PARKWAY, SUITE 200, MINNEAPOLIS, MN 55415	VARIOUS	24,000
CATHOLIC CHARITIES 1200 SECOND AVENUE SOUTH, MINNEAPOLIS, MN 55403	VARIOUS	24,000
SPRINGSPIRIT BASEBALL 8526 PITNER ROAD, HOUSTON, TX 77080	BOARD LEADER PROGRAM	4,000
TOM KARLIN FOUNDATION 17707 W 83 TERRACE, LENEXA, KS 66219	BOARD LEADER PROGRAM	4,000
LAFAYETTE COLLEGE 700 W PIERCE STREET, EASTON, PA 18042	BOARD LEADER PROGRAM	8,000

BEYOND WALLS URBAN SQUASH TWIN CITIES 123 HARVARD STREET SE, SUITE 144, MINNEAPOLIS, MN 55455	BOARD LEADER PROGRAM	4,000
DRAKE UNIVERSITY 2507 UNIVERSITY AVE , DES MOINES, IA 50311	BOARD LEADER PROGRAM	4,000
ST DAVID'S CENTER FOR CHILD AND FAMILY DEVELOPMENT 3395 PLYMOUTH ROAD, MINNETONKA, MN 55305	BOARD LEADER PROGRAM	4,000
POSSE FOUNDATION 1001 MCKINNEY STREET, SUITE 950, HOUSTON, TX 77051	VARIOUS	19,000
SAINT PAUL CHAMBER ORCHESTRA THE HISTORIC HAMM BUILDING, 3RD FLOOR, 408 SAINT PETER STREET, ST PAUL, MN 55102	VARIOUS	21,000
ALLINA HEALTH 2925 CHICAGO AVE , MINNEAPOLIS, MN 55407	BOARD LEADER PROGRAM	4,000
EASTMAN MEMORIAL FOUNDATION - LAUREN ROGERS MUSEUM OF ART P O BOX 1108, LAUREL, MS 39441	BOARD LEADER PROGRAM	4,000
PHI BETA KAPPA ALUMNI OF GREATER HOUSTON PO BOX 25283, HOUSTON, TX 77265	BOARD LEADER PROGRAM	4,000
HOUSTON MUSEUM OF NATURAL SCIENCE 5555 HERMANN PARK DRIVE, HOUSTON, TX 77030-1799	REGIONAL GIVING	50,000
ARIZONA COUNCIL ON ECONOMIC EDUCATION 16421 N TATUM BOULEVARD, SUITE 123, PHOENIX, AZ 85032	REGIONAL GIVING	16,000
CRISTO REY NETWORK 14 E JACKSON BOULEVARD, SUITE 1200, CHICAGO, IL 60604	REGIONAL GIVING	14,000
RIGHT MOVES FOR YOUTH 2211 W MOREHEAD STREET, CHARLOTTE, NC 28208	REGIONAL GIVING	14,000
BECHTLER MUSEUM OF MODERN ART 420 S TRYON STREET, CHARLOTTE, NC 28202	REGIONAL GIVING	14,000
WALLIN EDUCATION PARTNERS 5200 WILLSON ROAD, SUITE 209, MINNEAPOLIS, MN 55435	HEADQUARTER GIVING	17,000
BESTPREP 7100 NORTHLAND CIRCLE NORTH, SUITE 402, BROOKLYN PARK, MN 55428	HEADQUARTER GIVING	17,500
WOMENVENTURE 2021 E HENNEPIN AVENUE, SUITE 200, MINNEAPOLIS, MN 55413	HEADQUARTER GIVING	10,000
GILLETTE CHILDREN'S SPECIALTY HEALTHCARE 200 UNIVERSITY AVENUE EAST, INTERNAL ZIP 010605, ST PAUL, MN 55101	HEADQUARTER GIVING	15,000
ACHIEVEMPLES UNIVERSITY PARK PLAZA, 2829 UNIVERSITY AVE SE, SUITE 850, MINNEAPOLIS, MN 55414	HEADQUARTER GIVING	12,000
MINNESOTA COUNCIL ON ECONOMIC EDUCATION UNIVERSITY OF MINNESOTA, 1994 BUFORD AVENUE, 116 RUTTAN HALL, ST PAUL, MN 55108	HEADQUARTER GIVING	10,000
CES MEALS ON WHEELS 1900 11TH AVENUE SOUTH, MINNEAPOLIS, MN 55404	HEADQUARTER GIVING	10,000
CHILDREN'S MINNESOTA 2525 CHICAGO AVENUE SOUTH, MINNEAPOLIS, MN 55404	HEADQUARTER GIVING	15,000
CORNERSTONE ADVOCACY SERVICE 1000 EAST 80TH STREET, BLOOMINGTON, MN 55420	HEADQUARTER GIVING	10,000
GUTHRIE THEATER 818 S SECOND STREET, MINNEAPOLIS, MN 55415	HEADQUARTER GIVING	12,000
JUNIOR ACHIEVEMENT 1745 UNIVERSITY AVE W , ST PAUL, MN 55422	HEADQUARTER GIVING	10,000

MINNESOTA PUBLIC RADIO 480 CEDAR STREET, ST PAUL, MN 55101	HEADQUARTER GIVING	10,000
NEIGHBORHOOD DEVELOPMENT CENTER 663 UNIVERSITY AVE SUITE 200, ST PAUL, MN 55104	HEADQUARTER GIVING	10,000
SCIENCE MUSEUM OF MINNESOTA 120 WEST KELLOGG BOULEVARD, ST PAUL, MN 55102	HEADQUARTER GIVING	15,000
ACBC FOOD SHELF 2615 9TH AVENUE N , ANOKA, MN 55303	VOLUNTEER	1,000
FOUNDATION FOR CHANGE 519 EIGHTH AVENUE, NEW YORK, NY 10018	VOLUNTEER	1,000
LAST HOPE, INC 431 3RD STREET, FARMINGTON, MN 55024	VOLUNTEER	1,000
MINDS MATTER OF DENVER PO BOX 48162, DENVER, CO 80204	VOLUNTEER	1,000
MOUNT OLIVET ROLLING 18986 LAKE DRIVE EAST, CHANHASSEN, MN 55317	VOLUNTEER	1,000
SACRED HEART CATHOLIC 4050 HUBBARD AVENUE NORTH, ROBBINSDALE, MN 55422	VOLUNTEER	1,000
SAFE HANDS ANIMAL RESCUE 3732 10TH AVE S , MINNEAPOLIS, MN 55407	VOLUNTEER	1,000
ADAM MORGAN FOUNDATON 2440 EXECUTIVE DRIVE, SUITE 200, ST CHARLES, MO 63303	CORPORATE MATCH	1,200
BARTON SCHOOL FOUNDATION 4237 COLFAX AVENUE SOUTH, MINNEAPOLIS, MN 55409-1710	CORPORATE MATCH	500
BENILDE-ST MARGARETS SCHOOL 2501 HIGHWAY 100 SOUTH, ST LOUIS PARK, MN 55416	CORPORATE MATCH	1,050
CHRIST PRINCE OF PEACE CATHOLIC SCHOOL 417 WEIDMAN ROAD, MANCHESTER, MO 63011-4431	CORPORATE MATCH	924
CONSERVATION MINNESOTA 1101 WEST RIVER PARKWAY, SUITE 250, MINNEAPOLIS, MN 55415-4853	CORPORATE MATCH	2,500
CUSTODES LIBERTATIS MEMORIAL FOUNDATION 3711 KENNETT PIKE, GREENVILLE, DE 19807	CORPORATE MATCH	4,000
FOUNDATION FIGHTING BLINDNESS 7168 COLUMBIA GATEWAY DRIVE, SUITE 100, COLUMBIA, MD 21046	CORPORATE MATCH	100
GREATER CHICAGO FOOD DEPOSITORY 4100 WEST ANN LURIE PLACE, CHICAGO, IL 60632	CORPORATE MATCH	1,072
INCARNATION FOOD SHELF 3817 PLEASANT AVENUE SOUTH, MINNEAPOLIS, MN 55409-1710	CORPORATE MATCH	250
JDRF INTERNATIONAL 26 BROADWAY, 14TH FLOOR, NEW YORK, NY 10004	CORPORATE MATCH	250
LOVETT ELEMENTARY PARENT TEACH ORGANIZATION 8814 S RICE AVENUE, HOUSTON, TX 77096	CORPORATE MATCH	5,000
MAKE A WISH FOUNDATION OF MINNESOTA 1919 UNIVERSITY AVENUE WEST, SUITE 415, ST PAUL, MN 55104	CORPORATE MATCH	631
PROVIDENCE ACADEMY 15100 SCHMIDT LAKE ROAD, PLAYMOUTH, MN 55446	CORPORATE MATCH	1,250

RURAL PARISH WORKERS OF CHRIST THE KING 15540 CANNON MINES ROAD, CADET, MO 63630-9455	CORPORATE MATCH	97
SACRED HEART OF JESUS CATHOLIC SCHOOL 5501 MONTICELLO ROAD, SHAWNEE, KS 66218	CORPORATE MATCH	3,150
SAMARITAN STRATEGY FOUNDATION OF ZAMBIA	CORPORATE MATCH	6,000
SHORELINE YOUNG LIFE PO BOX 60001, SEATTLE, WA 98160-0001	CORPORATE MATCH	3,000
ST ATHANASIOS SCHOOL 2510 ASHLAND AVE , EVANSTON, IL 60201-2319	CORPORATE MATCH	5,700
CURATORS OF THE UNIVERSITY OF MISSOURI TR 407 REYNOLDS ALUMNI CENTER, ST LOUIS, MO 65211	CORPORATE MATCH	688
ST LOUIS UNIVERSITY HIGH SCHOOL 4970 OAKLAND AVENUE, ST LOUIS, MO 63110-1402	CORPORATE MATCH	3,030
ST PATRICK'S CATHOLIC SCHOOL 1066 N 94 STREET, KANSAS CITY, KS 66112	CORPORATE MATCH	800
ST JUDE CHILDREN'S RESEARCH HOSPITAL 501 ST JUDE PLACE, MEMPHIS, TN 38105	CORPORATE MATCH	1,000
SUSAN G KOMEN BREAST CANCER FOUNDATION 1409 PACIFIC AVENUE, SAN FRANCISCO, CA 94109	CORPORATE MATCH	100
CARVER FOUNDATION OF NORWALK INC 7 ACADEMY STREET, NORWALK, CT 06850-4016	CORPORATE MATCH	3,000
TOURETTE SYNDROM ASSOCIATION OF GREATER MISSOURI, INC GREATER MISSOURI TSA, 6526 PARKWOOD PLACE, ST LOUIS, MO 63116	CORPORATE MATCH	100
TRUST FOR PUBLIC LAND 2610 UNIVERSITY AVENUE WEST, SUITE 300, ST PAUL, MN 55114	CORPORATE MATCH	2,500
UNION GOSPEL MISSION 77 9TH STREET EAST, ST PAUL, MN 55101	CORPORATE MATCH	414
UNIVERSITY OF MINNESOTA FOUNDATION 200 SE OAK STREET, SUITE 500, MINNEAPOLIS, MN 55455	VARIOUS	1,360
VILLAGE OF LYNBROOK COMMUNITY CHEST PO BOX 7021, LYNBROOK, NY 11563-7021	CORPORATE MATCH	50
WESTPORT FOOTBALL ASSOCIATION INC 28 HICKORY DRIVE, WESTPORT, CT 06880-3808	CORPORATE MATCH	5,000
CORPORATE MATCH	VARIOUS	522,189
BNY UK GIFT MATCHING	VARIOUS	5,919
PAYROLL DONATION MATCH	VARIOUS	85,644
PAYROLL DONATION MATCH ADJUSTMENT	VARIOUS	(11,696)
01-04 2019 GIVING CAMPAIGN	CORPORATE MATCH	385,493
BOA - Q4 2018 MATCH	VARIOUS	72,288
ITALIAN HOME FOR CHILDREN 1125 CENTRE STREET, BOSTON, MA 02130	501(C) (3)	1,000
ALEX'S LEMONADE STAND FOUNDATION 111 PRESIDENTIAL BOULEVARD, SUITE 203, BALA CYNWYD, PA 19004	GIFT MATCHING	2,256
ALLIANCE DEFENDING FREEDOM 15100 N 90TH STREET, SCOTTSDALE, AZ 85260	GIFT MATCHING	500

ALTERNATIVES PREGNANCY CENTER 1006 DECATHLON DRIVE, WATERLOO, IA 50701	GIFT MATCHING	500
ALZHEIMER'S ASSOCIATION MINNESOTA-NORTH DAKOTA CHAPTER 7900 WEST 78TH STREET, SUITE 100, MINNEAPOLIS, MN 55439	GIFT MATCHING	500
AMERICAN RED CROSS NATIONAL HEADQUARTERS 431 18TH STREET NW, WASHINGTON, DC 20006	GIFT MATCHING	500
BLAKE SCHOOL 110 BLAKE ROAD SOUTH, HOPKINS, MN 55343	GIFT MATCHING	5,000
BLUEPATH SERVICE DOGS INC 8 COUNTRY CLUB ROAD, HOPEWELL JUNCTION, NY 12533	VARIOUS	2,000
CODY'S FRESH START CHARITY WORKS INC 6138 REED WAY, ARVADA, CO 80003	GIFT MATCHING	1,500
CORTE MADERA LARKSPUR FOUNDATION (SPARK) 230 DOHERTY DRIVE, LARKSPUR, CA 94939	GIFT MATCHING	1,000
CRETIN-DERHAM HALL 550 S ALBERT STREET, ST PAUL, MN 55116	GIFT MATCHING	300
DUKE UNIVERSITY ANNUAL FUND, PO BOX 90600, DURHAM, NC 27708-0600	GIFT MATCHING	750
DUNWOODY COLLEGE OF TECHNOLOGY 818 DUNWOODY BOULEVARD, MINNEAPOLIS, MN 55403	GIFT MATCHING	750
FRIENDS OF SOUTH DAKOTA PUBLIC BROADCASTING PO BOX 5000, BROOKINGS, SD 57006	GIFT MATCHING	150
HAITI CHARITY HOPE FOUNDATION 30 ROLFE SQUARE, CRANSTON, RI 02910	GIFT MATCHING	250
INTERFAITH OUTREACH & COMMUNITY PARTNERS 1605 COUNTY ROAD 101 N , PLYMOUTH, MN 55447	GIFT MATCHING	1,500
LITTLE SISTERS OF THE POOR 330 EXCHANGE STREET SOUTH, ST PAUL, MN 55102-2311	GIFT MATCHING	100
MERCY SHIPS FOUNDATION PO BOX 2020, GARDEN VALLEY, TX 75771	GIFT MATCHING	500
MILL CITY COMMONS 125 MAIN STREET SE, SUITE 339, MINNEAPOLIS, MN 55414	GIFT MATCHING	500
NORTHSIDE ACHIEVEMENT ZONE (NAZ) 2123 WEST BROADWAY AVENUE, SUITE 100, MINNEAPOLIS, MN 55411	GIFT MATCHING	1,000
OUTER COAST PO BOX 6573, SITKA, AK 99835	GIFT MATCHING	400
PACIFIC GARDEN MISSION 1458 S CANAL STREET, CHICAGO, IL 60607	GIFT MATCHING	1,000
SALVATION ARMY TWIN CITIES 2445 PRIOR AVENUE NORTH, ROSEVILLE, MN 55331-2714	GIFT MATCHING	100
SESDAC FOUNDATION, INC 1314 E CHERRY ST , VERMILLION, SD 57069	GIFT MATCHING	100
SIOUX EMPIRE COMMUNITY THEATER 315 N PHILLIPS AVENUE, SIOUX FALLS, SD 57104	GIFT MATCHING	500
SOUTH DAKOTA SYMPHONY ORCHESTRA 301 S MAIN AVENUE, SIOUX FALLS, SD 57104	GIFT MATCHING	250

ST CLEMENT SCHOOL 2524 N ORCHARD ST , CHICAGO, IL 60614	GIFT MATCHING	1,000
ST PHILLIP THE DEACON LUTHERAN CHURCH 17205 COUNTY ROAD SIX, PLYMOUTH, MN 55447	GIFT MATCHING	3,952
TEACH FOR AMERICA TWIN CITIES 401 N 2ND AVENUE, SUITE 200, MINNEAPOLIS, MN 55401	GIFT MATCHING	1,500
UNION GOSPEL MISSION ASSOCIATION OF ST PAUL 77 9TH STREET EAST, ST PAUL, MN 55101	GIFT MATCHING	100
VENICE LITTLE THEATRE 140 W TAMPA AVENUE, VENICE, FL 34285	GIFT MATCHING	250
WASHBURN CENTER FOR CHILDREN 1100 GLENWOOD AVENUE, MINNEAPOLIS, MN 55405	GIFT MATCHING	1,000
WASHINGTON PAVILION OF ART AND SCIENCES 301 S MAIN AVENUE, SIOUX FALLS, SD 57104	GIFT MATCHING	250
WAY TO GROW 201 IRVING AVENUE N , SUITE 100, MINNEAPOLIS, MN 55405	GIFT MATCHING	1,500
YOUTH FRONTIERS 5215 EDINA INDUSTRIAL BOULEVARD, SUITE 400, MINNEAPOLIS, MN 55439	GIFT MATCHING	1,500
ABERDEEN FC COMMUNITY TRUST PITTDORIE STREET, ABERDEEN, AB24 5QH, GB	GIFT MATCHING	2,629
ORMOND ST HOSPITAL GREAT ORMOND STREET, LONDON, WC1N 3JH, UK	GIFT MATCHING	139
MATCHING GIFT REQUESTS	VARIOUS	56,491
TOTAL CONTRIBUTION EXPENSE		1,852,770