

For calendar year 2019, or tax year beginning 01-01-2019, and ending 12-31-2019

Name of foundation LUX FOUNDATION INC		A Employer identification number 39-1618778	
% CIBC BANK USA			
Number and street (or P.O. box number if mail is not delivered to street address) C/O STEPHEN M FISHER ASSOCIATES	Room/suite	B Telephone number (see instructions) (414) 359-9067	
City or town, state or province, country, and ZIP or foreign postal code MILWAUKEE, WI 532260305		C If exemption application is pending, check here ▶ <input type="checkbox"/>	
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here..... ▶ <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ... ▶ <input type="checkbox"/>	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 14,247,014	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	0			
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	7,558	7,558	7,558	
	4 Dividends and interest from securities	309,203	309,203	309,203	
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10 _____	352,454			
	b Gross sales price for all assets on line 6a _____				
		1,954,814			
	7 Capital gain net income (from Part IV, line 2)		352,454		
	8 Net short-term capital gain			0	
	9 Income modifications				
Operating and Administrative Expenses	10a Gross sales less returns and allowances _____				
	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	669,215	669,215	316,761	
	13 Compensation of officers, directors, trustees, etc.	0	0	0	0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)	2,593	0	0	2,593
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)	75,569	66,848	66,848	8,721
	17 Interest	34	34	34	0
	18 Taxes (attach schedule) (see instructions)	21,067	3,511	3,511	0
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	690	165	164	526
	24 Total operating and administrative expenses. Add lines 13 through 23	99,953	70,558	70,557	11,840
	25 Contributions, gifts, grants paid	582,000			582,000
	26 Total expenses and disbursements. Add lines 24 and 25	681,953	70,558	70,557	593,840
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	-12,738			
	b Net investment income (if negative, enter -0-)		598,657		
				246,204	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	90,775	473,307	473,307
	3 Accounts receivable ▶ <u>1,399</u>			
	Less: allowance for doubtful accounts ▶ _____	1,981	1,399	1,399
	4 Pledges receivable ▶ _____			
	Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____			
	Less: allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)	1,344,650	1,169,061	1,175,785
	b Investments—corporate stock (attach schedule)	4,766,983	4,827,408	9,984,362
	c Investments—corporate bonds (attach schedule)	2,563,543	2,284,004	2,309,878
	11 Investments—land, buildings, and equipment: basis ▶ _____			
Less: accumulated depreciation (attach schedule) ▶ _____				
12 Investments—mortgage loans				
13 Investments—other (attach schedule)	298,871	298,871	302,283	
14 Land, buildings, and equipment: basis ▶ _____				
Less: accumulated depreciation (attach schedule) ▶ _____				
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	9,066,803	9,054,050	14,247,014	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)	0	0	
	Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 24, 25, 29 and 30.		
24 Net assets without donor restrictions				
25 Net assets with donor restrictions				
Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 26 through 30.				
26 Capital stock, trust principal, or current funds		9,066,803	9,054,050	
27 Paid-in or capital surplus, or land, bldg., and equipment fund				
28 Retained earnings, accumulated income, endowment, or other funds				
29 Total net assets or fund balances (see instructions)		9,066,803	9,054,050	
30 Total liabilities and net assets/fund balances (see instructions) .		9,066,803	9,054,050	

Part III Analysis of Changes in Net Assets or Fund Balances		
1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	9,066,803
2 Enter amount from Part I, line 27a	2	-12,738
3 Other increases not included in line 2 (itemize) ▶ _____	3	
4 Add lines 1, 2, and 3	4	9,054,065
5 Decreases not included in line 2 (itemize) ▶ _____	5	15
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	6	9,054,050

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a SEE PART IV STATEMENT			
b CAPITAL GAIN DIVIDENDS	P		
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,954,814		1,606,324	348,490
b			3,964
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			348,490
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	352,454
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	{ }	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	472,604	13,040,764	0.036241
2017	527,864	11,790,997	0.044768
2016	475,821	8,809,105	0.054015
2015	452,980	8,590,393	0.052731
2014	392,557	8,778,236	0.044719




2 Total of line 1, column (d)	2	0.232474
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	0.046495
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	13,480,808
5 Multiply line 4 by line 3	5	626,790
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	5,987
7 Add lines 5 and 6	7	632,777
8 Enter qualifying distributions from Part XII, line 4	8	593,840

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	11,973
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	11,973
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	11,973
6	Credits/Payments:		
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a	12,600
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	12,600
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached.	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ▶	10	627
11	Enter the amount of line 10 to be: Credited to 2020 estimated tax ▶ 627 Refunded ▶	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ _____ (2) On foundation managers. ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> 	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i> 	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ WI _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation .</i>	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <u>N/A</u>	13	Yes	
14	The books are in care of ▶ <u>CIBC BANK USA</u> Telephone no. ▶ <u>(312) 904-2359</u>			

Located at ▶ 120 S LASALLE STREET 7TH FLOOR CHICAGO ILZIP+4 ▶ 60603

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶	16	Yes	No


Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here. ▶ <input type="checkbox"/>	1b	No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1c	No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019.)	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to:		Yes	No
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions		5b	
	Organizations relying on a current notice regarding disaster assistance check here.	<input type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d).	<input type="checkbox"/> Yes <input type="checkbox"/> No		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	6b	No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		7b	
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?			
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
STATEMENT  POST OFFICE BOX 26305 MILWAUKEE, WI 532260305	STATEMENT 0	0	0	0
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Total number of other employees paid over \$50,000.				

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

[illegible]

Total number of others receiving over \$50,000 for professional services.	▶	
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Part IX-A	Summary of Direct Charitable Activities
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List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses

generations and other phenomena (e.g., convergent synthesis, research paper produced, etc.)	
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	13,282,727
b	Average of monthly cash balances.	1b	403,373
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	13,686,100
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	13,686,100
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	205,292
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	13,480,808
6	Minimum investment return. Enter 5% of line 5.	6	674,040

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	674,040
2a	Tax on investment income for 2019 from Part VI, line 5.	2a	11,973
b	Income tax for 2019. (This does not include the tax from Part VI.).	2b	
c	Add lines 2a and 2b.	2c	11,973
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	662,067
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	662,067
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	662,067

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	593,840
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	593,840
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	593,840

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				662,067
2 Undistributed income, if any, as of the end of 2019:				
a Enter amount for 2018 only.			579,662	
b Total for prior years: 2017, 2016, 2015				
3 Excess distributions carryover, if any, to 2019:				
a From 2014.				
b From 2015.				
c From 2016.				
d From 2017.				
e From 2018.	0			
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2019 from Part XII, line 4: ▶ \$ 593,840				
a Applied to 2018, but not more than line 2a			579,662	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2019 distributable amount.				14,178
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2019. (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:	0			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b. Taxable amount—see instructions.				
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions.				
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020.				647,889
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions).				
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a.	0			
10 Analysis of line 9:				
a Excess from 2015.				
b Excess from 2016.				
c Excess from 2017.				
d Excess from 2018.				
e Excess from 2019.	0			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> STATEMENT SEE STATEMENT STATEMENT, WI 53226		PC	SEE STATEMENT	582,000
Total			▶ 3a	582,000
b <i>Approved for future payment</i>				
Total			▶ 3b	

Enter gross amounts unless otherwise indicated.

[illegible]

Part XVII

- | | | | |
|--|--|-----|----|
| | | Yes | No |
|--|--|-----|----|

--	--	--

- | | | |
|-------|--|----|
| 1a(1) | | No |
| 1a(2) | | No |

--	--	--

- | | | |
|--------------|--|-----------|
| 1b(1) | | No |
| 1b(2) | | No |
| 1b(3) | | No |
| 1b(4) | | No |
| 1b(5) | | No |
| 1b(6) | | No |

1c		No
----	--	----

value
ue

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations

described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

<div style="text-align: center;">*****</div>	<div style="text-align: center;">2020-05-02</div>	<div style="text-align: center;">*****</div>
Signature of officer or trustee	Date	Title

**Paid
Preparer
Use Only**

TY 2019 Compensation Explanation

Name: LUX FOUNDATION INC

EIN: 39-1618778

Person Name	Explanation
STATEMENT	AS REQUIRED BUT LESS THAN FULL TIME

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2019 Depreciation Schedule

Name: LUX FOUNDATION INC

EIN: 39-1618778

FORM 990-PF, PART I - ANALYSIS OF REVENUE AND EXPENSES

LINE 3 -	DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	DISBURSEMENTS FOR CHARITABLE PURPOSES
INCOME ON SAVINGS AND TEMPORARY CASH INVESTMENTS	GOLDMAN SACHS TREASURY OBLIGATION FUND CIBC WEALTH MANAGEMENT MONEY MARKET FUND	2,944	2,944	2,944	
		4,615	4,615	4,615	
	TOTAL	7,558	7,558	7,558	
<u>INTEREST AND DIVIDENDS FROM SECURITIES</u>					
<u>INTEREST INCOME</u>					
	U.S. TREASURY NOTE, .625%				
	INFLATION PROTECTED NOTE/2024	4,765	4,765	4,765	
	NET ACCRETION (OID)	13,370	13,370	13,370	
	APPLE, INC.,				
	BOND 2.875%/2021	4,275	4,275	4,275	
	ASTRAZENECA PLC,				
	NOTE, 1.950%/2019	1,950	1,950	1,950	
	BAXTER INTERNATIONAL, INC.,				
	NOTE 2.400%/2022	3,600	3,600	3,600	
	NET ACCRETION				
	W.R. BERKLEY CORPORATION,	804	804	804	
	NOTE 4.625%/2022	6,938	6,938	6,938	
	LESS: ACCRUED INTEREST	(1,176)	(1,176)	(1,176)	
	CITIBANK, N.A.,				
	NOTE 3.000%/2022	7,500	7,500	7,500	
	COSTCO WHOLESALE CORPORATION,				
	NOTE, 2.150%/2021	4,300	4,300	4,300	
	DELAWARE CITY, OHIO,				
	BOND 2.150%/2020	2,150	2,150	2,150	
	WALT DISNEY CO.,				
	NOTE 4.500%/2021	2,700	2,700	2,700	
	ESTEE LAUDER CO., INC.,				
	NOTE, 2.35%/2022	4,700	4,700	4,700	
	GOVERNMENT NATIONAL MORTGAGE ASSOCIATION,				
	POOL #485049 6.000%/2028	438	438	438	
	INDIANA UNIVERSITY,				
	BUILD AMERICA BOND, 5.307%/2023	7,961	7,961	7,961	
	MCDONALDS CORPORATION,				
	NOTE, 3.350%/2023	4,467	4,467	4,467	

FORM 990-PF, PART I - ANALYSIS OF REVENUE AND EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	DISBURSEMENTS FOR CHARITABLE PURPOSES
INTEREST AND DIVIDENDS FROM SECURITIES				
INTEREST INCOME (CONTINUED)				
MEAD JOHNSON NUTRITION CO., NOTE, 3.00%/2020	4,500	4,500	4,500	
ORACLE CORPORATION, NOTE 2.375%/2019	1,781	1,781	1,781	
PALL CORPORATION, NOTE 5.000%/2020	6,438	6,438	6,438	
PEPSICO, INC., NOTE 3.125%/2020	3,125	3,125	3,125	
SOUTH CAROLINA PUBLIC SERVICES AUTHORITY, TAXABLE REVENUE BOND, 3.572%/2022	5,233	5,233	5,233	
TOYOTA MOTOR CREDIT CORPORATION, NOTE 2.750%/2023	6,875	6,875	6,875	
UNILEVER CAPITAL CORP., 2.200%/2019	1,100	1,100	1,100	
VERIZON COMMUNICATIONS, NOTE 2.625%/2020	3,719	3,719	3,719	
WASHINGTON, MARYLAND SUBURBAN SANITATION DISTRICT, BUILD AMERICA BOND, 4.650%/2023	3,488	3,488	3,488	
WELLS FARGO BANK, N.A., C.D., 2.400%/2020	6,000	6,000	6,000	
	111,000	111,000	111,000	
	(19,793)	(19,793)	(19,793)	
	91,207	91,207	91,207	
	GROSS INTEREST INCOME			
	LESS: AMORTIZATION			
	NET INTEREST INCOME			
DIVIDEND INCOME				
ABBOTT LABORATORIES	5,760	5,760	5,760	
ACCENTURE LTD.	4,520	4,520	4,520	
AMERICAN EXPRESS COMPANY	2,400	2,400	2,400	
AMGEN, INC.	8,120	8,120	8,120	
APPLE, INC.	4,256	4,256	4,256	
BLACKROCK, INC.	5,940	5,940	5,940	
BRISTOL-MYERS SQUIBB CO.	4,920	4,920	4,920	
CHEVRON CORPORATION	4,760	4,760	4,760	
CISCO SYSTEMS, INC.	5,520	5,520	5,520	
COLGATE PALMOLIVE CO.	4,104	4,104	4,104	
COMCAST CORPORATION, CLASS A	1,680	1,680	1,680	
CONOCOPHILLIPS	5,441	5,441	5,441	

FORM 990-PF, PART I - ANALYSIS OF REVENUE AND EXPENSES

DIVIDEND INCOME (CONTINUED)

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>DISBURSEMENTS FOR CHARITABLE PURPOSES</u>
CONSTELLATION BRANDS, INC.	2,250	2,250	2,250	
ENBRIDGE, INC.	9,945	9,945	9,945	
EVEREST RE GROUP, LTD.	4,025	4,025	4,025	
HARRIS CORPORATION	1,644	1,644	1,644	
ILLINOIS TOOL WORKS, INC.	6,268	6,268	6,268	
ISHARES MSCI EAFE INDEX FUND	6,958	6,958	6,958	
ISHARES RUSSELL 2000 ETF	6,258	6,258	6,258	
J.P. MORGAN CHASE & CO.	6,600	6,600	6,600	
JOHNSON & JOHNSON	8,250	8,250	8,250	
L3 HARRIS TECHNOLOGIES, INC.	1,800	1,800	1,800	
LOWES COMPANIES, INC.	6,180	6,180	6,180	
METLIFE, INC.	5,220	5,220	5,220	
NESTLE S.A. (ADR)	6,543	6,543	6,543	
NORTHERN TRUST CORPORATION	4,900	4,900	4,900	
PEPSICO, INC.	7,154	7,154	7,154	
PHILLIPS 66	7,000	7,000	7,000	
PUBLIC STORAGE, INC.	6,400	6,400	6,400	
STARBUCKS CORP.	3,340	3,340	3,340	
STARWOOD PROPERTY TRUST, INC.	7,514	7,514	7,514	
SYSCO CORPORATION	7,426	7,426	7,426	
TRAVELERS COMPANIES, INC.	4,845	4,845	4,845	
UNION PACIFIC CORPORATION	4,440	4,440	4,440	
UNITED PARCEL SERVICE, INC.	6,144	6,144	6,144	
UNITED TECHNOLOGIES CORPORATION	5,292	5,292	5,292	
VANGUARD FTSE ALL WORLD EX-U.S.	7,201	7,201	7,201	
VANGUARD SHORT-TERM INVESTMENT GRADE BOND FUND	8,835	8,835	8,835	
VODAFONE GROUP PLC (ADR)	3,302	3,302	3,302	
WALT DISNEY CO.	4,840	4,840	4,840	
TOTAL DIVIDEND INCOME	217,996	217,996	217,996	
<u>SUMMARY</u>				
TOTAL INTEREST INCOME	91,207	91,207	91,207	
TOTAL DIVIDEND INCOME	217,996	217,996	217,996	
TOTAL	309,203	309,203	309,203	

LUX FOUNDATION, INC.

39-1618778

FORM 990-PF, PART I - ANALYSIS OF REVENUE AND EXPENSES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	DISBURSEMENTS FOR CHARITABLE PURPOSES
LINE 16a	LEGAL FEES				
	STEPHEN M. FISHER & ASSOCIATES, ATTORNEY'S FEES AND DISBURSEMENTS	2,593	NONE	NONE	2,593
LINE 16c	OTHER PROFESSIONAL FEES				
	CIBC BANK USA, AGENCY/CUSTODY FEES	8,721	NONE	NONE	8,721
	BARTLETT WEALTH MANAGEMENT, INVESTMENT MANAGEMENT FEES	66,848	66,848	66,848	NONE
	TOTAL	75,569	66,848	66,848	8,721
LINE 18	TAXES				
	FOREIGN TAXES WITHHELD AT SOURCE				
	UNITED STATES TREASURY, 2018 EXCISE TAX	3,511	3,511	3,511	NONE
	UNITED STATES TREASURY, 2019 ESTIMATED EXCISE TAX	4,956	NONE	NONE	NONE
		12,600	NONE	NONE	NONE
	TOTAL	21,067	3,511	3,511	NONE
LINE 23 -	OTHER EXPENSES				
	NESTLE S.A., ADR DEPOSITORY FEES	74	74	74	NONE
	VODAFONE GROUP PLC, DIVIDEND FEE	90	90	90	NONE
	DIRECTOR'S TRAVEL EXPENSE	526	NONE	NONE	526
	TOTAL	690	164	164	526

FORM 990-PF, PART II - BALANCE SHEETS

LINE 10a INVESTMENTS / U.S. AND STATE GOVERNMENT OBLIGATIONS

			ENDING BOOK VALUE	ENDING MARKET VALUE
\$	771,988	U.S. TREASURY NOTE .625% INFLATION PROTECTED NOTE/2024	781,267	788,331
\$	6,473.41	GOVERNMENT NATIONAL MORTGAGE ASSOCIATION, POOL #485049 6.000%/2028	6,378	7,127
\$	100,000	DELAWARE CITY, OHIO, BOND 2.150%/2020	100,691	100,379
\$	150,000	INDIANA UNIVERSITY, BUILD AMERICA BOND, 5.307%/2023	155,863	152,916
\$	122,000	SOUTH CAROLINA PUBLIC SERVICES AUTHORITY, TAXABLE REVENUE BOND, 3.572%/2022	124,862	126,032
TOTAL U.S. AND STATE GOVERNMENT OBLIGATIONS			1,169,061	1,174,785

LINE 10b INVESTMENTS / CORPORATE STOCK

			ENDING BOOK VALUE	ENDING MARKET VALUE
1,000	SHS.	ABBOTT LABORATORIES	22,001	86,860
800	SHS.	ABBOTT LABORATORIES	20,586	69,488
500	SHS.	ABBOTT LABORATORIES	11,487	43,430
1,200	SHS.	ABBOTT LABORATORIES	45,856	104,232
500	SHS.	ABBOTT LABORATORIES	20,197	43,430
1,000	SHS.	ACCENTURE, LTD.	26,105	210,570
1,000	SHS.	ACCENTURE, LTD.	23,520	210,570
18	SHS.	ALPHABET, INC., CLASS A	9,837	24,109
46	SHS.	ALPHABET, INC., CLASS A	25,139	61,612
200	SHS.	ALPHABET, INC., CLASS C	101,887	267,404
13	SHS.	ALPHABET, INC., CLASS C	6,940	17,381
38	SHS.	ALPHABET, INC., CLASS C	20,286	50,807
36	SHS.	ALPHABET, INC., CLASS C	19,200	48,133
1,000	SHS.	AMERICAN EXPRESS COMPANY	30,320	124,490
500	SHS.	AMERICAN EXPRESS COMPANY	19,210	62,245
700	SHS.	AMGEN, INC.	100,955	168,749
700	SHS.	AMGEN, INC.	103,498	168,749
1,400	SHS.	APPLE, INC.	91,122	411,110
800	SHS.	BERKSHIRE HATHAWAY, INC., CLASS B	100,472	181,200
4,000	SHS.	BRISTOL MYERS SQUIBB CO.	186,793	256,760
960	SHS.	CHEVRON CORPORATION	103,663	115,690
40	SHS.	CHEVRON CORPORATION	3,070	4,820
4,000	SHS.	CISCO SYSTEMS, INC.	125,371	191,840
2,400	SHS.	COLGATE PALMOLIVE CO.	572	165,216

FORM 990-PF, PART II - BALANCE SHEETS

LINE 10b	INVESTMENTS / CORPORATE STOCK (CONTINUED)		ENDING	ENDING
			BOOK VALUE	MARKET VALUE
COMMON STOCK				
		D		
60	SHS.	SYSCO CORPORATION	2,176	5,132
1,500	SHS.	TRAVELERS COMPANIES, INC.	73,497	205,425
875	SHS.	UNION PACIFIC CORPORATION	90,026	158,191
325	SHS.	UNION PACIFIC CORPORATION	28,096	58,757
1,200	SHS.	UNITED PARCEL SERVICE, INC.	77,598	140,472
800	SHS.	UNITED PARCEL SERVICE, INC.	81,271	93,648
1,026	SHS.	UNITED TECHNOLOGIES CORP.	107,900	153,654
774	SHS.	UNITED TECHNOLOGIES CORP.	82,760	115,914
4,000	SHS.	VANGUARD FTSE ALL WORLD EX-U.S.	217,680	215,000
TOTAL CORPORATE STOCK			4,827,408	9,984,362

<u>LINE 10c</u>	<u>INVESTMENTS / CORPORATE BONDS</u>		<u>ENDING BOOK VALUE</u>	<u>ENDING MARKET VALUE</u>
\$ 150,000		APPLE, INC.,		
		BOND 2.875%/2021	150,699	152,315
\$ 150,000		BAXTER INTERNATIONAL, INC.,		
		NOTE 2.400%/2022	147,432	151,103
\$ 150,000		W.R. BERKLEY CORPORATION,		
		NOTE 4.625%/2022	153,193	158,198
\$ 250,000		CITIBANK, N.A.,		
		NOTE 3.000%/2022	250,000	257,195
\$ 200,000		COSTCO WHOLESALE CORPORATION,		
		NOTE, 2.150%/2021	200,000	201,114
\$ 225,000		WALT DISNEY CO.,		
		NOTE 4.500%/2021	231,342	231,874
\$ 200,000		ESTEE LAUDER CO., INC.,		
		NOTE, 2.35%/2022	197,727	202,066
\$ 200,000		MCDONALDS CORPORATION,		
		NOTE, 3.350%/2023	201,610	208,320
\$ 150,000		MEAD JOHNSON NUTRITION CO.,		
		NOTE, 3.00%/2020	151,220	151,334
\$ 100,000		PEPSICO, INC.,		
		NOTE 3.125%/2020	100,781	101,119
\$ 250,000		TOYOTA MOTOR CREDIT CORPORATION,		
		NOTE 2.750%/2023	250,000	244,933
\$ 250,000		WELLS FARGO BANK, N.A.,		
		NOTE 2.400%/2020	250,000	250,310
TOTAL CORPORATE BONDS			2,284,004	2,309,878

FORM 990-PF, PART II - BALANCE SHEETS

LINE 13		INVESTMENTS / OTHER / MUTUAL FUNDS	
14,258.550	SHS.	VANGUARD SHORT-TERM INVESTMENT GRADE BOND FUND	150,000
13,913.208	SHS.	VANGUARD SHORT-TERM INVESTMENT GRADE BOND FUND	148,871
			152,994
			149,289
TOTAL OTHER INVESTMENTS			302,283

FORM 990-PF, PART II - ANALYSIS OF CHANGES IN NET ASSETS OR FUND BALANCES

LINE 5		OTHER DECREASES NOT INCLUDED IN LINE 2
		DIFFERENCE IN ACCRETION IN INTEREST BEARING
		SECURITIES BETWEEN TAX AND ACCOUNTING INCOME
		15

FORM 990-PF, PART IV - CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

SHORT-TERM CAPITAL GAIN AND (LOSS)

DESCRIPTION	HOW ACQUIRED	DATE ACQUIRED	DATE SOLD	PROCEEDS OF SALE	COST OR OTHER BASIS	GAIN OR (LOSS)
NONE						-

TOTAL SHORT-TERM CAPITAL GAIN AND (LOSS)

-

LONG-TERM CAPITAL GAIN AND (LOSS)

CAPITAL GAIN DISTRIBUTIONS

STARWOOD PROPERTY TRUST

3,964

DESCRIPTION	HOW ACQUIRED	DATE ACQUIRED	DATE SOLD	PROCEEDS OF SALE	COST OR OTHER BASIS	GAIN OR (LOSS)
GOVERNMENT NATIONAL MORTGAGE ASSOCIATION,						
POOL #485049 6.000%/2028						
1,500 SHS.	P	11/6/1998	VARIOUS	574	565	9
\$ 150,000		5/7/2015	1/10/2019	192,531	153,994	38,538
ORACLE CORPORATION,						
NOTE 2.375%/2019						
6,000 SHS.	P	3/30/2015	1/15/2019	150,000	150,000	-
200 SHS.	P	2/7/2017	1/30/2019	107,028	146,423	(39,396)
500 SHS.	P	VARIOUS	1/30/2019	39,468	15,628	23,841
\$ 100,000		4/29/2008	1/30/2019	98,671	38,570	60,100
UNILEVER CAPITAL CORP.,						
2.200%/2019						
521 SHS.	P	4/7/2014	3/6/2019	100,000	100,000	-
1,000 SHS.	D	VARIOUS	3/19/2019	35,415	997	34,418
1,000 SHS.	P	VARIOUS	3/19/2019	67,976	23,287	44,689
\$ 200,000		12/23/2010	3/19/2019	67,976	50,820	17,155
VERIZON COMMUNICATIONS,						
NOTE 2.625%/2020						
\$ 150,000	P	11/17/2016	5/6/2019	200,074	200,647	(573)
WASHINGTON, MARYLAND SUBURBAN SANITATION						
DISTRICT, BUILD AMERICA BOND, 4.650%/2023						
1,000 SHS.	P	2/23/2017		150,000	150,000	-
500 SHS.	P	11/17/2016	6/28/2019	83,525	40,395	43,130
500 SHS.	P	12/26/2007	6/28/2019	69,688	12,337	57,350
\$ 100,000		2/12/2018	6/28/2019	41,839	27,789	14,050
ASTRAZENECA PLC,						
NOTE, 1.950%/2019						
200 SHS.	P	8/27/2015	9/18/2019	100,000	100,000	-
125 SHS.	P	4/1/2015	9/20/2019	89,192	72,816	16,375
275 SHS.	P	8/28/2015	9/20/2019	55,745	38,710	17,035
\$ 28,000		11/17/2016	9/20/2019	122,639	101,840	20,799
SOUTH CAROLINA PUBLIC SERVICES AUTHORITY,						
TAXABLE REVENUE BOND, 3.572%/2022						
\$ 28,000	P	2/17/2017	10/17/2019	29,559	28,683	876

FORM 990-PF, PART IV - CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

LONG-TERM CAPITAL GAIN AND (LOSS) (CONTINUED)

DESCRIPTION	ACQUIRED	ACQUIRED	SOLD	OF SALE	OTHER BASIS	OR (LOSS)
\$ 150,000	PALL CORPORATION, NOTE 5.000%/2020	P	4/12/2017	10/24/2019	152,916	93
	NET GAIN OR (LOSS) FROM SALE OF ASSETS			1,954,814	1,606,324	348,490
	TOTAL SHORT-TERM CAPITAL GAINS AND (LOSSES)					-
	TOTAL CAPITAL GAIN DISTRIBUTIONS					3,964
	TOTAL LONG-TERM CAPITAL GAINS AND (LOSSES)					348,490
	WASH SALE ADJUSTMENTS					-
	TOTAL CAPITAL GAINS					352,454
	GROSS SALES PRICE FOR ALL ASSETS					1,954,814

LUX FOUNDATION, INC.

39-1618778

FORM 990-PF, PART VIII - LIST OF OFFICERS, DIRECTORS AND TRUSTEES

NAME AND ADDRESS	TITLE	TIME DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS AND EXPENSE ACCOUNTS
BARBARA E. MANGER 3410 N. LAKE DRIVE MILWAUKEE, WI 53211	PRESIDENT / DIRECTOR	AS REQUIRED BUT LESS THAN FULL TIME	NONE	NONE
WILLIAM H. LYNCH 3410 N. LAKE DRIVE MILWAUKEE, WI 53211	TREASURER / DIRECTOR	AS REQUIRED BUT LESS THAN FULL TIME	NONE	NONE
DAVID COLES 4223 DUQUENSE AVENUE CULVER CITY, CA 90232	DIRECTOR	AS REQUIRED BUT LESS THAN FULL TIME	NONE	NONE
LUKE HARRISON 2838 S. HERMAN STREET MILWAUKEE, WI 53207	DIRECTOR	AS REQUIRED BUT LESS THAN FULL TIME	NONE	NONE
MICAH LUX 4000 41ST AVENUE S MINNEAPOLIS, MN 55406	DIRECTOR	AS REQUIRED BUT LESS THAN FULL TIME	NONE	NONE
ELIZABETH LYNCH 9945 N. LAKE SHORE DRIVE MEQUON, WI 53092	DIRECTOR	AS REQUIRED BUT LESS THAN FULL TIME	NONE	NONE
ALISON MANGER 1654 LARKFIELD AVENUE WESTLAKE VILLAGE, CA 91362	DIRECTOR	AS REQUIRED BUT LESS THAN FULL TIME	NONE	NONE
DYLAN MANGER 1654 LARKFIELD AVENUE WESTLAKE VILLAGE, CA 91362	DIRECTOR	AS REQUIRED BUT LESS THAN FULL TIME	NONE	NONE
FRITZ MANGER 1551 WYNNEFIELD AVENUE WESTLAKE VILLAGE, CA 91362	DIRECTOR	AS REQUIRED BUT LESS THAN FULL TIME	NONE	NONE
BROOK YEOMANS 3335 BLACK BALDY DRIVE JACKSON, WY 83001-9245	DIRECTOR	AS REQUIRED BUT LESS THAN FULL TIME	NONE	NONE
STEPHEN M. FISHER 7132 HILLCREST DRIVE MILWAUKEE, WI 53213	SECRETARY	AS REQUIRED BUT LESS THAN FULL TIME	NONE	NONE

LUX FOUNDATION, INC.

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FORM 990-PF, PART VIII - FIVE HIGHEST-PAID INDEPENDENT CONTRACTORS FOR PROFESSIONAL SERVICES

<u>NAME AND ADDRESS</u>	<u>TYPE OF SERVICE</u>	<u>COMPENSATION</u>
BARTLETT WEALTH MANAGEMENT 150 SOUTH WACKER DRIVE, SUITE 3050 CHICAGO, IL 60606	INVESTMENT MANAGEMENT	66,848.00

LUX FOUNDATION, INC.

39-1618778

FORM 990-PF, PART XV - SUPPLEMENTARY INFORMATION

LINE 1A - LIST ANY MANAGERS OF THE FOUNDATION WHO HAVE CONTRIBUTED MORE THAN 2% OF THE
TOTAL CONTRIBUTIONS RECEIVED BY THE FOUNDATION BEFORE THE CLOSE OF ANY TAX YEAR.

BARBARA E. MANGER
3410 N. LAKE DRIVE
MILWAUKEE, WI 53211

LINE 3 - GRANTS AND CONTRIBUTIONS PAID DURING YEAR.

RECIPIENT NAME AND ADDRESS [AND RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR]	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	
ARTISTS WORKING IN EDUCATION MILWAUKEE, WISCONSIN	PUBLIC CHARITY	UNRESTRICTED GRANT	30,000
BAY BEACH WILDLIFE SANCTUARY GREEN BAY, WISCONSIN [NONE]	PUBLIC CHARITY	UNRESTRICTED GRANT	25,000
BELLIN SCHOOL OF NURSING GREEN BAY, WISCONSIN [NONE]	PUBLIC CHARITY	SCHOLARSHIP FUND	50,000
BICYCLE FEDERATION OF WISCONSIN MILWAUKEE, WISCONSIN [NONE]	PUBLIC CHARITY	UNRESTRICTED GRANT	10,000
BOYS AND GIRLS CLUBS OF GREEN BAY GREEN BAY, WISCONSIN [NONE]	PUBLIC CHARITY	UNRESTRICTED GRANT	30,000
CAMP MANITO-WISH YMCA BOULDER JUNCTION, WISCONSIN [NONE]	PUBLIC CHARITY	ACE CAMPERSHIP ENDOWMENT AND STAFF GRANTS	30,000
CONSERVATION FUND WASHINGTON, D.C. [NONE]	PUBLIC CHARITY	WISCONSIN AND MICHIGAN UPPER PENINSULA PROJECTS & WYOMING PROJECTS	60,000
DREAM BIKES MADISON, WISCONSIN [NONE]	PUBLIC CHARITY	GRANT FOR MILWAUKEE PROGRAMS	10,000
THE GATHERING MILWAUKEE, WISCONSIN [NONE]	PUBLIC CHARITY	GRANT FOR OPERATIONS	5,000
GIRLS ACTIVELY PARTICIPATING JACKSON, WYOMING [NONE]	PUBLIC CHARITY	GRANT FOR OPERATIONS	5,000

LUX FOUNDATION, INC.

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FORM 990-PF, PART XV - SUPPLEMENTARY INFORMATION

LINE 3 - GRANTS AND CONTRIBUTIONS PAID DURING YEAR (CONTINUED)

GRAND AVENUE CLUB MILWAUKEE, WISCONSIN [NONE]	PUBLIC CHARITY	GRANT FOR OPERATIONS	5,000
THE GUESTHOUSE MILWAUKEE, WISCONSIN [NONE]	PUBLIC CHARITY	GRANT FOR OPERATIONS	5,000
HERITAGE HILL FOUNDATION GREEN BAY, WISCONSIN [NONE]	PUBLIC CHARITY	GRANT FOR OPERATIONS	10,000
KEEWENAW LAND TRUST HOUGHTON, MICHIGAN [NONE]	PUBLIC CHARITY	UNRESTRICTED GRANT	10,000
COLLEGE OF MENOMINEE NATION KESHENA, WISCONSIN [NONE]	PUBLIC CHARITY	UNRESTRICTED GRANT	15,000
MILWAUKEE RIVERKEEPER MILWAUKEE, WISCONSIN [NONE]	PUBLIC CHARITY	UNRESTRICTED GRANT	10,000
MIDWEST ENVIRONMENTAL ADVOCATES MADISON, WISCONSIN WILLIAM H. LYNCH IS AN OFFICER AND DIRECTOR OF THIS ORGANIZATION	PUBLIC CHARITY	UNRESTRICTED GRANT	40,000
MS SOCIETY MILWAUKEE, WISCONSIN [NONE]	PUBLIC CHARITY	UNRESTRICTED GRANT	10,000
NATURAL RESOURCES FOUNDATION OF WISCONSIN MADISON, WISCONSIN [NONE]	PUBLIC CHARITY	UNRESTRICTED GRANT	7,500
NEIGHBORHOOD HOUSE MILWAUKEE, WISCONSIN [NONE]	PUBLIC CHARITY	UNRESTRICTED GRANT	10,000
NORTH COUNTRY TRAIL ASSOCIATION LOWELL, MICHIGAN [NONE]	PUBLIC CHARITY	UNRESTRICTED GRANT	35,000
NORTHWOODS LAND TRUST EAGLE RIVER, WISCONSIN [NONE]	PUBLIC CHARITY	UNRESTRICTED GRANT	10,000
NORTHEAST WISCONSIN LAND TRUST APPLETON, WISCONSIN [NONE]	PUBLIC CHARITY	UNRESTRICTED GRANT	5,000
pARTners JACKSON, WYOMING [NONE]	PUBLIC CHARITY	UNRESTRICTED GRANT	10,000
FRIENDS OF PATHWAYS JACKSON, WYOMING [NONE]	PUBLIC CHARITY	UNRESTRICTED GRANT	15,000

LUX FOUNDATION, INC.

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FORM 990-PF, PART XV - SUPPLEMENTARY INFORMATION

LINE 3 - GRANTS AND CONTRIBUTIONS PAID DURING YEAR (CONTINUED)

RIVER ALLIANCE OF WISCONSIN MADISON, WISCONSIN [NONE]	PUBLIC CHARITY	UNRESTRICTED GRANT	7,500
RIVERWEST FOOD PANTRY MILWAUKEE, WISCONSIN [NONE]	PUBLIC CHARITY	UNRESTRICTED GRANT	10,000
ST. NORBERT COLLEGE DE PERE, WISCONSIN [NONE]	PUBLIC CHARITY	GRANT FOR FIRST GENERATION STUDENT SCHOLARSHIPS	40,000
TEENS GROW GREENS MILWAUKEE, WISCONSIN [NONE]	PUBLIC CHARITY	UNRESTRICTED GRANT	12,000
TRANSCENTER FOR YOUTH, INC. MILWAUKEE, WISCONSIN [NONE]	PUBLIC CHARITY	CREAM CITY CONSERVATION CORPS EXPERIMENTAL LEARNING PROJECT FOR MILWAUKEE YOUTHS PROJECT	10,000
URBAN ECOLOGY CENTER MILWAUKEE, WISCONSIN [NONE]	PUBLIC CHARITY	GRANT FOR OPERATIONS	15,000
WILDERNESS INQUIRY MINNEAPOLIS, MINNESOTA [NONE]	PUBLIC CHARITY	GRANT FOR OPERATIONS	10,000
WISCONSIN PRESERVATION FUND MILWAUKEE, WISCONSIN [NONE]	PUBLIC CHARITY	GRANT FOR MITCHELL PARK RENOVATIONS	25,000

TOTAL

582,000
STATEMENT