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EXTENDED TO NOVEMBER 16, 2020

Form **990-PF** Department of the Treasury Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No 1545-0047 **2019** Open to Public Inspection

For calendar year 2019 or tax year beginning , and ending

Name of foundation: JAMES M. & LOUISE C. ROCHE FOUNDATION C/O DOUGLAS D. ROCHE

A Employer identification number: 38-6069143

Number and street (or P O box number if mail is not delivered to street address): 2600 W. BIG BEAVER RD. Room/suite: 300

B Telephone number: 248-433-7200

City or town, state or province, country, and ZIP or foreign postal code: TROY, MI 48084

C If exemption application is pending, check here ▶ [ ]

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return [ ] Amended return [X] Address change [ ] Name change

D 1. Foreign organizations, check here ▶ [ ]

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation [ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶$ 1,054,423.32

J Accounting method: [X] Cash [ ] Accrual [ ] Other (specify) (Part I, column (d), must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

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Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc , received			N/A	
2	Check ▶ [X] if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments	186.26	186.26		STATEMENT 1
4	Dividends and interest from securities	21,281.29	21,281.29		STATEMENT 2
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	12,263.00			
b	Gross sales price for all assets on line 6a 483,269.63				
7	Capital gain net income (from Part IV, line 2)		12,263.00		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss)				
11	Other income	234.69	234.69		STATEMENT 3
12	**Total.** Add lines 1 through 11	33,965.24	33,965.24		
13	Compensation of officers, directors, trustees, etc	14,600.00	1,460.00		13,140.00
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees STMT 4	5,720.50	0.00		0.00
b	Accounting fees				
c	Other professional fees				
17	Interest				
18	Taxes STMT 5	775.55	775.55		0.00
19	Depreciation and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses STMT 6	8,116.46	8,043.71		0.00
24	**Total operating and administrative expenses** Add lines 13 through 23	29,212.51	10,279.26		13,140.00
25	Contributions, gifts, grants paid	43,300.00			43,300.00
26	**Total expenses and disbursements** Add lines 24 and 25	72,512.51	10,279.26		56,440.00
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	<38,547.27>			
b	**Net investment income** (if negative, enter -0-)		23,685.98		
c	**Adjusted net income** (if negative, enter -0-)			N/A	

Revenue / Operating and Administrative Expenses

A

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923501 12-17-19 LHA For Paperwork Reduction Act Notice, see instructions.

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1 Cash - non-interest-bearing	37,545.00	21,776.50	21,776.50
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 7	806,593.94	783,814.51	1,032,646.82
	c Investments - corporate bonds			
	11 Investments - land, buildings and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	844,138.94	805,591.01	1,054,423.32
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0.00	0.00	
Net Assets or Fund Balances	**Foundations that follow FASB ASC 958, check here ▶ ☐ and complete lines 24, 25, 29, and 30.**			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ ☒ and complete lines 26 through 30			
	26 Capital stock, trust principal, or current funds	601,467.00	601,467.00	
	27 Paid-in or capital surplus, or land, bldg., and equipment fund	0.00	0.00	
	28 Retained earnings, accumulated income, endowment, or other funds	242,671.28	204,124.01	
	29 **Total net assets or fund balances**	844,138.28	805,591.01	
	30 **Total liabilities and net assets/fund balances**	844,138.28	805,591.01	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	844,138.28
2	Enter amount from Part I, line 27a	2	<38,547.27>
3	Other increases not included in line 2 (itemize) ▶	3	0.00
4	Add lines 1, 2, and 3	4	805,591.01
5	Decreases not included in line 2 (itemize) ▶	5	0.00
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	805,591.01

Part IV Capital Gains and Losses for Tax on Investment Income SEE ATTACHED STATEMENTS

	(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a				
b				
c				
d				
e	483,269.63		471,006.63	12,263.00

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

	(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				12,263.00

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } **2** 12,263.00

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 **3** N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	53,020.00	1,064,306.85	.049816
2017	59,784.52	1,059,186.01	.056444
2016	59,841.78	1,028,150.09	.058203
2015	64,664.41	1,085,076.50	.059594
2014	68,454.73	1,236,896.19	.055344

2	**Total** of line 1, column (d)	2	.279401
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	.055880
4	Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	1,031,634.29
5	Multiply line 4 by line 3	5	57,647.72
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	236.86
7	Add lines 5 and 6	7	57,884.58
8	Enter qualifying distributions from Part XII, line 4	8	56,440.00

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	ALLSTATE CORP DEL COM 27 SHS	P	07/16/19	08/22/19
b	ANHEUSER-BUSCH INBEV ADR 1 SHS	P	07/10/19	10/02/19
c	AMERICAN TOWER REIT INC 1 SHS	P	07/10/19	10/02/19
d	ALLY FINL INC 92 SHS	P	09/09/19	10/17/19
e	ALLY FINL INC 24 SHS	P	10/08/19	10/17/19
f	ALLERGAN PLC 5 SHS	P	01/18/19	07/10/19
g	ALLERGAN PLC 5 SHS	P	03/22/19	07/10/19
h	AT&T INC 20 SHS	P	01/18/19	07/10/19
i	AT&T INC 13 SHS	P	05/09/19	07/10/19
j	AT&T INC 25 SHS	P	05/17/19	07/10/19
k	AMGEN INC COM 1 SHS	P	07/10/19	10/02/19
l	APPLIED MATERIAL INC 8 SHS	P	07/10/19	10/02/19
m	BROADCOM LTD 10 SHS	P	07/16/19	08/22/19
n	BB&T CORPORATION 62 SHS	P	07/10/19	08/22/19
o	BCE INC 4 SHS	P	07/10/19	10/02/19

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	2,824.15		2,823.06	1.09
b	90.96		91.13	<0.17>
c	219.13		210.59	8.54
d	2,788.92		3,123.32	<334.40>
e	727.55		735.28	<7.73>
f	834.98		798.34	36.64
g	834.99		749.99	85.00
h	673.70		618.43	55.27
i	437.90		393.83	44.07
j	842.13		796.00	46.13
k	189.08		181.87	7.21
l	395.23		359.10	36.13
m	2,876.65		2,841.71	34.94
n	2,897.59		3,088.40	<190.81>
o	192.15		184.28	7.87

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				1.09
b				<0.17>
c				8.54
d				<334.40>
e				<7.73>
f				36.64
g				85.00
h				55.27
i				44.07
j				46.13
k				7.21
l				36.13
m				34.94
n				<190.81>
o				7.87

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	BECTON DICKINSON CO 1 SHS	P	05/17/19	07/10/19
b	BECTON DICKINSON CO 1 SHS	P	06/07/19	07/10/19
c	BECTON DICKINSON CO 3 SHS	P	06/10/19	07/10/19
d	CARNIVAL CORP PAIRED SHS 4 SHS	P	08/29/18	07/16/19
e	CARNIVAL CORP PAIRED SHS 8 SHS	P	01/18/19	07/16/19
f	CARNIVAL CORP PAIRED SHS 2 SHS	P	01/22/19	07/16/19
g	CARNIVAL CORP PAIRED SHS 10 SHS	P	03/22/19	07/16/19
h	CARNIVAL CORP PAIRED SHS 6 SHS	P	05/17/19	07/16/19
i	CARNIVAL CORP PAIRED SHS 62 SHS	P	09/13/19	09/27/19
j	CONSTELLATION BRANDS INC 14 SHS	P	07/16/19	09/27/19
k	CHEVRON CORP 3 SHS	P	04/16/19	09/13/19
l	CHEVRON CORP 6 SHS	P	04/17/19	09/13/19
m	CHEVRON CORP 3 SHS	P	07/11/18	07/10/19
n	CME GROUP INC 7 SHS	P	07/12/18	07/10/19
o	CME GROUP INC 4 SHS	P	08/29/18	07/10/19

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	253.71		229.24	24.47
b	253.71		238.45	15.26
c	761.15		718.57	42.58
d	185.42		249.15	<63.73>
e	370.84		438.26	<67.42>
f	92.71		109.41	<16.70>
g	463.56		564.37	<100.81>
h	278.15		322.47	<44.32>
i	2,702.27		3,148.42	<446.15>
j	2,876.85		2,779.53	97.32
k	365.65		363.25	2.40
l	731.30		731.81	<0.51>
m	610.18		496.42	113.76
n	1,423.77		1,164.34	259.43
o	813.58		687.80	125.78

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col. (h) gain over col (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col (j), if any	
a				24.47
b				15.26
c				42.58
d				<63.73>
e				<67.42>
f				<16.70>
g				<100.81>
h				<44.32>
i				<446.15>
j				97.32
k				2.40
l				<0.51>
m				113.76
n				259.43
o				125.78

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	CME GROUP INC 2 SHS	P	08/30/18	07/10/19
b	CME GROUP INC 4 SHS	P	11/21/18	07/10/19
c	CME GROUP INC 7 SHS	P	03/22/19	07/10/19
d	CYRUSONE INC 2 SHS	P	12/11/18	07/10/19
e	CYRUSONE INC 2 SHS	P	12/12/18	07/10/19
f	CYRUSONE INC 3 SHS	P	12/14/18	07/10/19
g	CYRUSONE INC 6 SHS	P	12/17/18	07/10/19
h	CYRUSONE INC 3 SHS	P	06/07/19	07/10/19
i	CYRUSONE INC 2 SHS	P	06/10/19	07/10/19
j	CITIZENS FINL GROUP INC 87 SHS	P	07/10/19	07/16/19
k	CHUBB LTD 3 SHS	P	03/22/19	07/10/19
l	CINN FINCL CRP OHIO 1 SHS	P	07/30/19	10/02/19
m	CINN FINCL CRP OHIO 27 SHS	P	07/30/19	11/26/19
n	CINN FINCL CRP OHIO 4 SHS	P	10/08/19	11/26/19
o	COLGATE PALMOLIVE 1 SHS	P	09/13/19	10/02/19

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	406.79		345.06	61.73
b	813.59		768.69	44.90
c	1,423.79		1,143.41	280.38
d	118.69		115.20	3.49
e	118.69		114.70	3.99
f	178.04		173.29	4.75
g	356.10		344.26	11.84
h	178.05		178.02	0.03
i	118.70		119.10	<0.40>
j	3,028.06		3,089.24	<61.18>
k	449.04		411.49	37.55
l	112.93		106.35	6.58
m	2,872.16		2,871.45	0.71
n	425.50		455.47	<29.97>
o	70.46		70.45	0.01

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				61.73
b				44.90
c				280.38
d				3.49
e				3.99
f				4.75
g				11.84
h				0.03
i				<0.40>
j				<61.18>
k				37.55
l				6.58
m				0.71
n				<29.97>
o				0.01

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	COLGATE PALMOLIVE 43 SHS	P	09/13/19	10/28/19
b	COLGATE PALMOLIVE 7 SHS	P	10/08/19	10/28/19
c	DIAGEO PLC SPSD ADR NEW 17 SHS	P	07/16/19	09/13/19
d	DISNEY (WALT) CO COM STK 3 SHS	P	12/11/18	07/10/19
e	DISNEY (WALT) CO COM STK 3 SHS	P	06/07/19	07/10/19
f	DISNEY (WALT) CO COM STK 1 SHS	P	06/10/19	07/10/19
g	EQUINIX INC 1 SHS	P	07/10/19	10/02/19
h	FMC CORP COM NEW 2 SHS	P	07/10/19	10/02/19
i	GENUINE PARTS CO 30 SHS	P	07/10/19	07/16/19
j	HALLIBURTON COMPANY 155 SHS	P	09/13/19	10/17/19
k	HALLIBURTON COMPANY 12 SHS	P	10/02/19	10/17/19
l	HALLIBURTON COMPANY 25 SHS	P	10/08/19	10/17/19
m	HOME DEPOT INC 15 SHS	P	07/10/19	09/13/19
n	KIMBERLY CLARK 23 SHS	P	07/10/19	07/16/19
o	LINDE PLC REG SHS 1 SHS	P	06/07/19	07/10/19

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	2,892.05		3,029.18	<137.13>
b	470.80		496.49	<25.69>
c	2,771.99		2,892.28	<120.29>
d	427.03		336.57	90.46
e	427.03		414.45	12.58
f	142.35		138.62	3.73
g	559.93		518.52	41.41
h	166.61		164.14	2.47
i	3,162.66		3,125.12	37.54
j	2,842.47		3,140.07	<297.60>
k	220.06		217.50	2.56
l	458.47		459.38	<0.91>
m	3,502.53		3,147.93	354.60
n	3,196.94		3,178.60	18.34
o	202.23		200.20	2.03

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				<137.13>
b				<25.69>
c				<120.29>
d				90.46
e				12.58
f				3.73
g				41.41
h				2.47
i				37.54
j				<297.60>
k				2.56
l				<0.91>
m				354.60
n				18.34
o				2.03

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	LINDE PLC REG SHS 1 SHS	P	06/10/19	07/10/19
b	MORGAN STANLEY 71 SHS	P	07/10/19	09/27/19
c	MEDTRONIC PLC SHS 8 SHS	P	02/22/19	07/10/19
d	MARSH & MCLENNAN COS INC 27 SHS	P	07/16/19	10/17/19
e	MARSH & MCLENNAN COS INC 4 SHS	P	10/02/19	10/17/19
f	MARSH & MCLENNAN COS INC 6 SHS	P	10/08/19	10/17/19
g	MCDONALDS CORP COM 13 SHS	P	07/16/19	08/22/19
h	MICROCHIP TECHNOLOGY INC 34 SHS	P	07/10/19	07/16/19
i	NOVARTIS ADR 33 SHS	P	07/10/19	07/16/19
j	NOVARTIS ADR 1 SHS	P	07/10/19	07/16/19
k	NOVARTIS ADR 33 SHS	P	07/24/19	09/27/19
l	NORFOLK SOUTHERN CORP 16 SHS	P	07/10/19	08/22/19
m	NORTHROP GRUMMAN CORP 2 SHS	P	07/10/19	10/02/19
n	PACKAGING CORP AMERICA 6 SHS	P	12/11/18	07/10/19
o	PACKAGING CORP AMERICA 1 SHS	P	12/12/18	07/10/19

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	202.23		200.26	1.97
b	3,040.91		3,097.47	<56.56>
c	788.01		748.05	39.96
d	2,651.93		2,771.36	<119.43>
e	392.88		384.84	8.04
f	589.32		575.82	13.50
g	2,855.46		2,777.31	78.15
h	3,078.68		3,063.83	14.85
i	2,934.50		3,017.15	<82.65>
j	88.92		91.43	<2.51>
k	2,878.58		3,102.15	<223.57>
l	2,787.65		3,175.11	<387.46>
m	724.22		653.81	70.41
n	600.23		560.99	39.24
o	100.03		94.44	5.59

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				1.97
b				<56.56>
c				39.96
d				<119.43>
e				8.04
f				13.50
g				78.15
h				14.85
i				<82.65>
j				<2.51>
k				<223.57>
l				<387.46>
m				70.41
n				39.24
o				5.59

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	PACKAGING CORP AMERICA 2 SHS	P	12/13/18	07/10/19
b	PNC FINCL SERVICES GROUP 4 SHS	P	01/08/19	07/10/19
c	PNC FINCL SERVICES GROUP 23 SHS	P	01/09/19	07/10/19
d	PNC FINCL SERVICES GROUP 6 SHS	P	03/22/19	07/10/19
e	PHILIP MORRIS INTL INC 3 SHS	P	01/18/19	07/10/19
f	PHILIP MORRIS INTL INC 2 SHS	P	03/22/19	07/10/19
g	PHILLIPS 66 SHS 9 SHS	P	10/24/18	07/10/19
h	PHILLIPS 66 SHS 5 SHS	P	01/18/19	07/10/19
i	PFIZER INC 66 SHS	P	07/16/19	07/30/19
j	PROCTER & GAMBLE CO 1 SHS	P	08/08/19	10/02/19
k	PROCTER & GAMBLE CO 25 SHS	P	08/08/19	11/06/19
l	PROCTER & GAMBLE CO 4 SHS	P	10/08/19	11/06/19
m	PUB SVC ENTERPRISE GRP 52 SHS	P	07/10/19	07/16/19
n	QUALCOMM INC 41 SHS	P	07/10/19	12/06/19
o	QUALCOMM INC 7 SHS	P	10/08/19	12/06/19

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	200.09		186.85	13.24
b	563.07		483.46	79.61
c	3,237.65		2,774.54	463.11
d	844.61		716.12	128.49
e	240.06		221.68	18.38
f	160.04		184.42	<24.38>
g	886.26		867.90	18.36
h	492.37		474.98	17.39
i	2,603.99		2,824.83	<220.84>
j	121.11		117.17	3.94
k	2,997.86		2,929.25	68.61
l	479.66		486.68	<7.02>
m	3,146.98		3,126.77	20.21
n	3,433.30		3,081.12	352.18
o	586.17		515.13	71.04

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				13.24
b				79.61
c				463.11
d				128.49
e				18.38
f				<24.38>
g				18.36
h				17.39
i				<220.84>
j				3.94
k				68.61
l				<7.02>
m				20.21
n				352.18
o				71.04

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g , real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	REALTY INCM CRP MD PV$1. 11 SHS	P	10/03/18	01/07/19
b	REALTY INCM CRP MD PV$1. 2 SHS	P	10/03/18	01/08/19
c	REALTY INCM CRP MD PV$1. 1 SHS	P	10/03/18	06/28/19
d	REALTY INCM CRP MD PV$1. 4 SHS	P	10/04/18	07/01/19
e	REALTY INCM CRP MD PV$1. 1 SHS	P	10/04/18	07/02/19
f	REALTY INCM CRP MD PV$1. 6 SHS	P	10/04/18	07/10/19
g	REALTY INCM CRP MD PV$1. 22 SHS	P	10/05/18	07/10/19
h	REALTY INCM CRP MD PV$1. 9 SHS	P	10/08/18	07/10/19
i	RAYTHEON CO DELAWARE NEW 18 SHS	P	07/10/19	07/16/19
j	SEMPRA ENERGY 1 SHS	P	07/10/19	10/02/19
k	SCHLUMBERGER LTD 5 SHS	P	08/29/18	06/07/19
l	SCHLUMBERGER LTD 3 SHS	P	08/29/18	06/10/19
m	SCHLUMBERGER LTD 12 SHS	P	08/30/18	06/10/19
n	SCHLUMBERGER LTD 5 SHS	P	01/04/19	06/10/19
o	SCHLUMBERGER LTD 5 SHS	P	01/07/19	06/10/19

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	687.90		620.90	67.00
b	124.93		112.90	12.03
c	69.34		56.21	13.13
d	273.07		223.96	49.11
e	620.84		503.83	117.01
f	423.65		335.91	87.74
g	1,553.42		1,244.89	308.53
h	635.49		518.10	117.39
i	3,208.66		3,161.94	46.72
j	143.68		139.40	4.28
k	178.87		322.60	<143.73>
l	107.72		193.56	<85.84>
m	430.88		775.84	<344.96>
n	179.53		192.27	<12.74>
o	179.54		196.88	<17.34>

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				67.00
b				12.03
c				13.13
d				49.11
e				117.01
f				87.74
g				308.53
h				117.39
i				46.72
j				4.28
k				<143.73>
l				<85.84>
m				<344.96>
n				<12.74>
o				<17.34>

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SCHLUMBERGER LTD 17 SHS	P	01/08/19	06/10/19
b	SCHWAB CHARLES CORP NEW 77 SHS	P	07/10/19	07/16/19
c	WELLTOWER INC 33 SHS	P	07/10/19	09/27/19
d	SUNTRUST BKS INC COM 4 SHS	P	08/22/19	10/02/19
e	TJX COS INC NEW 1 SHS	P	07/10/19	10/02/19
f	TRUIST FINL CORP 59.57 SHS	P	08/22/19	12/10/19
g	TRUIST FINL CORP 9.43 SHS	P	10/08/19	12/10/19
h	TRUIST FINL CORP 70 SHS	P	10/17/19	12/10/19
i	TRUIST FINL CORP CASH IN LIEU	P	10/08/19	12/17/19
j	TEXAS INSTRUMENTS 4 SHS	P	02/27/18	02/22/19
k	TEXAS INSTRUMENTS 9 SHS	P	02/28/18	02/22/19
l	TARGET CORP COM 3 SHS	P	07/16/19	10/02/19
m	US BANCORP 59 SHS	P	08/22/19	09/09/19
n	UNITED TECHS CORP COM 1 SHS	P	07/10/19	10/02/19
o	VERIZON COMMUNICATNS COM 55 SHS	P	07/10/19	07/16/19

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	610.44		689.40	<78.96>
b	3,189.89		3,086.52	103.37
c	2,969.47		2,837.02	132.45
d	263.47		244.05	19.42
e	54.26		54.84	<0.58>
f	3,285.05		2,806.60	478.45
g	520.03		479.00	41.03
h	3,860.23		3,643.72	216.51
i	52.29		47.24	5.05
j	430.47		435.03	<4.56>
k	968.57		983.27	<14.70>
l	315.80		265.63	50.17
m	3,201.56		3,075.45	126.11
n	130.42		131.28	<0.86>
o	3,173.03		3,125.02	48.01

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				<78.96>
b				103.37
c				132.45
d				19.42
e				<0.58>
f				478.45
g				41.03
h				216.51
i				5.05
j				<4.56>
k				<14.70>
l				50.17
m				126.11
n				<0.86>
o				48.01

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	WASTE MANAGEMENT INC NEW 1 SHS	P	07/10/19	10/02/19
b	WELLS FARGO & CO NEW DEL 65 SHS	P	07/10/19	07/30/19
c	VAN ECK GLOBAL HARD 1 SHS	P	12/21/18	10/08/19
d	VAN ECK GLOBAL HARD .221 SHS	P	12/21/18	10/08/19
e	ACCENTURE PLC SHS 14 SHS	P	09/23/15	07/10/19
f	ALLERGAN PLC 10 SHS	P	11/02/17	07/10/19
g	ALLERGAN PLC 3 SHS	P	11/21/17	07/10/19
h	ALLERGAN PLC SHS	P	01/18/18	07/10/19
i	AT&T INC 61 SHS	P	09/23/15	07/10/19
j	AT&T INC 6 SHS	P	06/21/16	07/10/19
k	AT&T INC 18 SHS	P	08/25/16	07/10/19
l	AT&T INC 11 SHS	P	09/12/17	07/10/19
m	AT&T INC 6 SHS	P	02/12/18	07/10/19
n	APPLE INC 4 SHS	P	09/23/15	04/16/19
o	APPLE INC 11 SHS	P	09/23/15	04/17/19

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	114.83		117.59	<2.76>
b	3,126.44		3,093.34	33.10
c	25.92		28.98	<3.06>
d	5.73		5.59	0.14
e	2,678.56		1,374.27	1,304.29
f	1,669.95		1,753.89	<83.94>
g	500.98		512.76	<11.78>
h	1,001.98		1,074.93	<72.95>
i	2,054.76		1,962.81	91.95
j	202.10		246.64	<44.54>
k	606.32		737.21	<130.89>
l	370.53		400.19	<29.66>
m	202.10		218.72	<16.62>
n	797.52		456.52	341.00
o	2,189.60		1,255.43	934.17

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				<2.76>
b				33.10
c				<3.06>
d				0.14
e				1,304.29
f				<83.94>
g				<11.78>
h				<72.95>
i				91.95
j				<44.54>
k				<130.89>
l				<29.66>
m				<16.62>
n				341.00
o				934.17

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	APPLE INC 8 SHS	P	09/23/15	07/10/19
b	APPLE INC 1 SHS	P	09/23/15	10/02/19
c	BLACKROCK INC 4 SHS	P	09/23/15	07/10/19
d	BLACKROCK INC 2 SHS	P	08/25/16	07/10/19
e	BLACKROCK INC 1 SHS	P	03/29/17	07/10/19
f	BLACKROCK INC 1 SHS	P	08/11/17	07/10/19
g	BECTON DICKINSON CO 5 SHS	P	01/19/17	07/10/19
h	BECTON DICKINSON CO 3 SHS	P	01/20/17	07/10/19
i	BECTON DICKINSON CO 4 SHS	P	02/15/17	07/10/19
j	BECTON DICKINSON CO 2 SHS	P	06/22/17	07/10/19
k	BECTON DICKINSON CO 1 SHS	P	06/23/17	07/10/19
l	COMCAST CORP NEW CL A 57 SHS	P	07/25/17	07/10/19
m	COMCAST CORP NEW CL A 1 SHS	P	07/25/17	10/02/19
n	COMCAST CORP NEW CL A 1 SHS	P	10/04/17	10/02/19
o	CVS HEALTH CORP 23 SHS	P	09/23/15	07/10/19

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,623.01		913.04	709.97
b	219.11		114.13	104.98
c	1,903.86		1,220.34	683.52
d	951.93		735.57	216.36
e	475.96		378.85	97.11
f	475.97		423.68	52.29
g	1,268.55		867.46	401.09
h	761.13		520.59	240.54
i	1,014.84		719.82	295.02
j	507.42		390.05	117.37
k	253.71		194.78	58.93
l	2,485.34		2,267.50	217.84
m	43.98		39.78	4.20
n	43.98		38.30	5.68
o	1,264.13		2,302.96	<1,038.83>

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col (j), if any	
a				709.97
b				104.98
c				683.52
d				216.36
e				97.11
f				52.29
g				401.09
h				240.54
i				295.02
j				117.37
k				58.93
l				217.84
m				4.20
n				5.68
o				<1,038.83>

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	CVS HEALTH CORP 7 SHS	P	08/25/16	07/10/19
b	CVS HEALTH CORP 6 SHS	P	09/08/16	07/10/19
c	CVS HEALTH CORP 4 SHS	P	08/29/17	07/10/19
d	CVS HEALTH CORP 6 SHS	P	10/20/17	07/10/19
e	CVS HEALTH CORP 6 SHS	P	10/23/17	07/10/19
f	CVS HEALTH CORP 1 SHS	P	10/24/17	07/10/19
g	CARNIVAL CORP PAIRED SHS 1 SHS	P	04/19/18	07/10/19
h	CARNIVAL CORP PAIRED SHS 24 SHS	P	04/19/18	07/16/19
i	CARNIVAL CORP PAIRED SHS 7 SHS	P	04/20/18	07/16/19
j	CARNIVAL CORP PAIRED SHS 7 SHS	P	05/14/18	07/16/19
k	CHEVRON CORP 13 SHS	P	09/23/15	07/10/19
l	CHEVRON CORP 4 SHS	P	09/23/15	09/13/19
m	CHEVRON CORP 7 SHS	P	08/25/16	09/13/19
n	CHEVRON CORP 5 SHS	P	09/22/16	09/13/19
o	CYRUSONE INC 6 SHS	P	08/10/17	07/10/19

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	384.73		663.57	<278.84>
b	329.77		555.93	<226.16>
c	219.85		304.78	<84.93>
d	329.78		458.56	<128.78>
e	329.78		456.79	<127.01>
f	54.97		76.00	<21.03>
g	45.58		65.08	<19.50>
h	1,112.53		1,562.04	<449.51>
i	324.48		459.45	<134.97>
j	324.48		453.39	<128.91>
k	1,627.90		994.18	633.72
l	487.53		305.90	181.63
m	853.18		711.94	141.24
n	609.41		500.10	109.31
o	356.07		323.53	32.54

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				<278.84>
b				<226.16>
c				<84.93>
d				<128.78>
e				<127.01>
f				<21.03>
g				<19.50>
h				<449.51>
i				<134.97>
j				<128.91>
k				633.72
l				181.63
m				141.24
n				109.31
o				32.54

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	CYRUSONE INC 9 SHS	P	08/11/17	07/10/19
b	CYRUSONE INC 4 SHS	P	06/05/18	07/10/19
c	CYRUSONE INC 8 SHS	P	08/14/17	07/10/19
d	CYRUSONE INC 4 SHS	P	08/15/17	07/10/19
e	CYRUSONE INC 5 SHS	P	09/29/17	07/10/19
f	CYRUSONE INC 7 SHS	P	10/02/17	07/10/19
g	CYRUSONE INC 1 SHS	P	10/03/17	07/10/19
h	CYRUSONE INC 8 SHS	P	02/12/18	07/10/19
i	CYRUSONE INC 3 SHS	P	06/06/18	07/10/19
j	CYRUSONE INC 5 SHS	P	06/07/18	07/10/19
k	CHUBB LTD 11 SHS	P	09/23/15	07/10/19
l	CHUBB LTD 4 SHS	P	08/25/16	07/10/19
m	CHUBB LTD 3 SHS	P	12/06/16	07/10/19
n	CHUBB LTD 5 SHS	P	05/31/18	07/10/19
o	CISCO SYSTEMS INC COM 37 SHS	P	12/16/16	07/10/19

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	534.11		490.44	43.67
b	237.39		219.15	18.24
c	474.77		456.36	18.41
d	237.38		226.67	10.71
e	296.73		279.70	17.03
f	415.42		395.07	20.35
g	59.34		56.20	3.14
h	474.77		407.04	67.73
i	178.04		168.30	9.74
j	296.73		280.31	16.42
k	1,646.44		1,116.67	529.77
l	598.70		504.68	94.02
m	449.03		390.07	58.96
n	748.39		654.02	94.37
o	2,125.98		1,129.17	996.81

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				43.67
b				18.24
c				18.41
d				10.71
e				17.03
f				20.35
g				3.14
h				67.73
i				9.74
j				16.42
k				529.77
l				94.02
m				58.96
n				94.37
o				996.81

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g , real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	COLGATE PALMOLIVE 6 SHS	P	09/23/15	07/10/19
b	COLGATE PALMOLIVE 25 SHS	P	09/23/15	08/08/19
c	COLGATE PALMOLIVE 7 SHS	P	08/25/16	08/08/19
d	COLGATE PALMOLIVE 4 SHS	P	01/06/17	08/08/19
e	COLGATE PALMOLIVE 6 SHS	P	01/31/18	08/08/19
f	DISNEY (WALT) CO COM STK 13 SHS	P	11/20/15	07/10/19
g	DISNEY (WALT) CO COM STK 6 SHS	P	01/08/16	07/10/19
h	DISNEY (WALT) CO COM STK 3 SHS	P	06/28/16	07/10/19
i	DISNEY (WALT) CO COM STK 5 SHS	P	08/25/16	07/10/19
j	FIDELITY NATL INFO SVCS 31 SHS	P	09/23/15	07/10/19
k	FIDELITY NATL INFO SVCS 6 SHS	P	03/10/16	07/10/19
l	FIDELITY NATL INFO SVCS 9 SHS	P	08/25/16	07/10/19
m	FIDELITY NATL INFO SVCS 2 SHS	P	11/18/16	07/10/19
n	HONEYWELL INTL INC DEL 5 SHS	P	09/23/15	02/22/19
o	HONEYWELL INTL INC DEL 5 SHS	P	09/23/15	07/10/19

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	443.30		373.26	70.04
b	1,786.07		1,555.25	230.82
c	500.10		523.88	<23.78>
d	285.77		269.75	16.02
e	428.65		444.79	<16.14>
f	1,850.45		1,555.46	294.99
g	854.05		599.30	254.75
h	427.02		286.97	140.05
i	711.71		477.58	234.13
j	3,936.90		2,140.86	1,796.04
k	761.98		366.06	395.92
l	1,142.97		715.86	427.11
m	254.00		154.15	99.85
n	764.84		451.40	313.44
o	878.11		451.40	426.71

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				70.04
b				230.82
c				<23.78>
d				16.02
e				<16.14>
f				294.99
g				254.75
h				140.05
i				234.13
j				1,796.04
k				395.92
l				427.11
m				99.85
n				313.44
o				426.71

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 } 2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 } 3

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	HONEYWELL INTL INC DEL 8 SHS	P	09/23/15	07/16/19
b	HONEYWELL INTL INC DEL 4 SHS	P	06/10/16	07/16/19
c	HONEYWELL INTL INC DEL 6 SHS	P	08/25/16	07/16/19
d	INGERSOLL-RAND PLC 12 SHS	P	09/27/16	07/10/19
e	INGERSOLL-RAND PLC 15 SHS	P	09/28/16	07/10/19
f	INGERSOLL-RAND PLC 2 SHS	P	09/29/16	07/10/19
g	INGERSOLL-RAND PLC 8 SHS	P	11/21/17	07/10/19
h	JPMORGAN CHASE & CO 30 SHS	P	06/18/13	07/10/19
i	JOHNSON AND JOHNSON COM 1 SHS	P	09/23/15	07/10/19
j	LOCKHEED MARTIN CORP 6 SHS	P	05/09/16	07/10/19
k	LOCKHEED MARTIN CORP 2 SHS	P	06/28/16	07/10/19
l	LINDE PLC REG SHS 3 SHS	P	09/23/15	07/10/19
m	LINDE PLC REG SHS 3 SHS	P	08/25/16	07/10/19
n	LINDE PLC REG SHS 5 SHS	P	03/06/17	07/10/19
o	LINDE PLC REG SHS 4 SHS	P	06/30/17	07/10/19

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,403.52		722.25	681.27
b	701.76		444.82	256.94
c	1,052.65		671.07	381.58
d	1,494.80		799.49	695.31
e	1,868.50		1,008.87	859.63
f	249.13		135.94	113.19
g	996.55		680.83	315.72
h	3,396.23		1,619.85	1,776.38
i	141.17		93.02	48.15
j	2,224.63		1,445.30	779.33
k	741.55		478.14	263.41
l	606.67		490.31	116.36
m	606.67		490.37	116.30
n	1,011.13		817.28	193.85
o	808.90		653.82	155.08

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				681.27
b				256.94
c				381.58
d				695.31
e				859.63
f				113.19
g				315.72
h				1,776.38
i				48.15
j				779.33
k				263.41
l				116.36
m				116.30
n				193.85
o				155.08

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	LINDE PLC REG SHS 6 SHS	P	05/14/18	07/10/19
b	LOWE'S COMPANIES INC 24 SHS	P	09/23/15	07/10/19
c	LOWE'S COMPANIES INC 4 SHS	P	09/23/15	07/16/19
d	LOWE'S COMPANIES INC 5 SHS	P	02/17/16	07/16/19
e	LOWE'S COMPANIES INC 2 SHS	P	02/18/16	07/16/19
f	LOWE'S COMPANIES INC 8 SHS	P	08/25/16	07/16/19
g	LOWE'S COMPANIES INC 5 SHS	P	06/30/17	07/16/19
h	LOWE'S COMPANIES INC 6 SHS	P	12/28/17	07/16/19
i	MONDELEZ INTERNATIONAL 34 SHS	P	09/25/15	07/10/19
j	MONDELEZ INTERNATIONAL 11 SHS	P	01/07/16	07/10/19
k	MONDELEZ INTERNATIONAL 11 SHS	P	08/25/16	07/10/19
l	MONDELEZ INTERNATIONAL 7 SHS	P	11/16/16	07/10/19
m	MONDELEZ INTERNATIONAL 17 SHS	P	01/18/18	07/10/19
n	MEDTRONIC PLC SHS 30 SHS	P	09/23/15	07/10/19
o	MEDTRONIC PLC SHS 9 SHS	P	08/25/16	07/10/19

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,213.37		980.73	232.64
b	2,457.15		1,647.12	810.03
c	428.94		274.52	154.42
d	536.18		339.80	196.38
e	214.47		136.29	78.18
f	857.90		616.88	241.02
g	536.19		388.62	147.57
h	643.43		554.73	88.70
i	1,885.55		1,435.08	450.47
j	610.03		458.81	151.22
k	610.03		473.30	136.73
l	388.20		297.36	90.84
m	942.80		742.46	200.34
n	2,954.99		2,059.62	895.37
o	886.49		767.03	119.46

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				232.64
b				810.03
c				154.42
d				196.38
e				78.18
f				241.02
g				147.57
h				88.70
i				450.47
j				151.22
k				136.73
l				90.84
m				200.34
n				895.37
o				119.46

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	MARSH & MCLENNAN COS INC 5 SHS	P	09/23/15	02/07/19
b	MARSH & MCLENNAN COS INC 8 SHS	P	09/23/15	02/08/19
c	MARSH & MCLENNAN COS INC 23 SHS	P	09/23/15	07/10/19
d	MARSH & MCLENNAN COS INC 9 SHS	P	08/25/16	07/10/19
e	MARSH & MCLENNAN COS INC 6 SHS	P	02/12/18	07/10/19
f	MICROSOFT CORP 10 SHS	P	09/23/15	01/04/19
g	MICROSOFT CORP 44 SHS	P	09/23/15	07/10/19
h	MICROSOFT CORP 7 SHS	P	06/28/16	07/10/19
i	MICROSOFT CORP 16 SHS	P	08/25/16	07/10/19
j	NEXTERA ENERGY INC SHS 8 SHS	P	09/23/15	06/28/19
k	NEXTERA ENERGY INC SHS 8 SHS	P	09/23/15	07/10/19
l	NEXTERA ENERGY INC SHS 2 SHS	P	09/23/15	10/02/19
m	PACKAGING CORP AMERICA 21 SHS	P	09/23/15	07/10/19
n	PACKAGING CORP AMERICA 1 SHS	P	11/03/15	07/10/19
o	PACKAGING CORP AMERICA 4 SHS	P	11/04/15	07/10/19

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	446.89		264.80	182.09
b	715.53		423.68	291.85
c	2,343.50		1,218.08	1,125.42
d	917.02		608.45	308.57
e	611.36		487.50	123.86
f	1,014.93		438.78	576.15
g	6,073.76		1,930.64	4,143.12
h	966.28		344.04	622.24
i	2,208.65		928.28	1,280.37
j	1,635.01		776.66	858.35
k	1,673.84		776.66	897.18
l	457.28		194.16	263.12
m	2,100.77		1,316.07	784.70
n	100.03		67.77	32.26
o	400.15		269.80	130.35

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				182.09
b				291.85
c				1,125.42
d				308.57
e				123.86
f				576.15
g				4,143.12
h				622.24
i				1,280.37
j				858.35
k				897.18
l				263.12
m				784.70
n				32.26
o				130.35

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	PACKAGING CORP AMERICA 7 SHS	P	08/25/16	07/10/19
b	PACKAGING CORP AMERICA 3 SHS	P	11/21/17	07/10/19
c	PHILIP MORRIS INTL INC 7 SHS	P	04/03/18	07/10/19
d	PHILIP MORRIS INTL INC 22 SHS	P	04/04/18	07/10/19
e	PHILIP MORRIS INTL INC 3 SHS	P	04/05/18	07/10/19
f	PHILIP MORRIS INTL INC 11 SHS	P	06/12/18	07/10/19
g	PHILLIPS 66 SHS 5 SHS	P	09/23/15	07/10/19
h	PHILLIPS 66 SHS 5 SHS	P	05/16/16	07/10/19
i	PHILLIPS 66 SHS 2 SHS	P	08/03/16	07/10/19
j	PHILLIPS 66 SHS 2 SHS	P	08/04/16	07/10/19
k	PHILLIPS 66 SHS 8 SHS	P	08/25/16	07/10/19
l	PHILLIPS 66 SHS 12 SHS	P	02/28/17	07/10/19
m	PEPSICO INC 13 SHS	P	09/23/15	07/10/19
n	PEPSICO INC 1 SHS	P	09/23/15	10/02/19
o	PFIZER INC 35 SHS	P	10/08/14	01/04/19

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	700.26		536.06	164.20
b	300.11		337.16	<37.05>
c	560.12		699.10	<138.98>
d	1,760.40		2,213.75	<453.35>
e	240.05		305.29	<65.24>
f	880.21		881.74	<1.53>
g	492.36		391.95	100.41
h	492.36		393.07	99.29
i	196.94		157.29	39.65
j	295.41		235.03	60.38
k	787.78		627.20	160.58
l	1,181.68		940.40	241.28
m	1,730.10		1,198.60	531.50
n	134.04		92.20	41.84
o	1,495.97		1,020.60	475.37

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				164.20
b				<37.05>
c				<138.98>
d				<453.35>
e				<65.24>
f				<1.53>
g				100.41
h				99.29
i				39.65
j				60.38
k				160.58
l				241.28
m				531.50
n				41.84
o				475.37

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	PFIZER INC 12 SHS	P	10/08/14	01/18/19
b	PFIZER INC 14 SHS	P	03/21/16	01/18/19
c	PFIZER INC 19 SHS	P	08/25/16	01/18/19
d	PFIZER INC 3 SHS	P	11/09/16	01/18/19
e	PFIZER INC 26 SHS	P	01/06/17	01/18/19
f	SCHLUMBERGER LTD 27 SHS	P	04/20/16	06/07/19
g	SCHLUMBERGER LTD 6 SHS	P	08/25/16	06/07/19
h	SCHLUMBERGER LTD 3 SHS	P	03/06/17	06/07/19
i	WEC ENERGY GROUP INC SHS 33 SHS	P	09/23/15	07/10/19
j	WEC ENERGY GROUP INC SHS 8 SHS	P	08/25/16	07/10/19
k	WEC ENERGY GROUP INC SHS 8 SHS	P	11/18/16	07/10/19
l	WEC ENERGY GROUP INC SHS 6 SHS	P	01/18/18	07/10/19
m	TEXAS INSTRUMENTS 11 SHS	P	03/01/18	07/10/19
n	TEXAS INSTRUMENTS 3 SHS	P	03/23/18	07/10/19
o	TEXAS INSTRUMENTS 1 SHS	P	04/18/18	07/10/19

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	509.74		349.92	159.82
b	594.69		419.05	175.64
c	807.09		666.86	140.23
d	127.43		98.40	29.03
e	1,104.45		873.08	231.37
f	965.85		2,173.62	<1,207.77>
g	214.63		487.41	<272.78>
h	107.32		241.69	<134.37>
i	2,838.08		1,626.90	1,211.18
j	688.02		490.36	197.66
k	688.02		444.45	243.57
l	516.02		380.61	135.41
m	1,291.85		1,179.41	112.44
n	352.32		312.71	39.61
o	117.45		105.59	11.86

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				159.82
b				175.64
c				140.23
d				29.03
e				231.37
f				<1,207.77>
g				<272.78>
h				<134.37>
i				1,211.18
j				197.66
k				243.57
l				135.41
m				112.44
n				39.61
o				11.86

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

923591
04-01-19

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	TEXAS INSTRUMENTS 2 SHS	P	04/18/18	10/02/19
b	UNITEDHEALTH GROUP INC 11 SHS	P	09/23/15	07/10/19
c	UNION PACIFIC CORP 11 SHS	P	09/23/15	07/10/19
d	WHIRLPOOL CORP 1 SHS	P	09/23/15	03/22/19
e	WHIRLPOOL CORP 5 SHS	P	09/23/15	03/25/19
f	WHIRLPOOL CORP 5 SHS	P	09/23/15	03/26/19
g	WHIRLPOOL CORP 3 SHS	P	01/22/16	03/26/19
h	WHIRLPOOL CORP 2 SHS	P	08/25/16	03/26/19
i	VAN ECK GLOBAL HARD 1 SHS	P	12/22/15	10/08/19
j	VAN ECK GLOBAL HARD 5 SHS	P	12/22/15	10/08/19
k	VAN ECK GLOBAL HARD 1 SHS	P	12/21/12	10/08/19
l	VAN ECK GLOBAL HARD 2 SHS	P	12/21/12	10/08/19
m	VAN ECK GLOBAL HARD 4 SHS	P	12/21/12	10/08/19
n	VAN ECK GLOBAL HARD 164 SHS	P	11/18/13	10/08/19
o	VAN ECK GLOBAL HARD 1 SHS	P	12/23/13	10/08/19

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	254.07		211.17	42.90
b	2,719.38		1,351.90	1,367.48
c	1,870.40		943.36	927.04
d	129.18		157.75	<28.57>
e	647.92		788.75	<140.83>
f	652.22		788.75	<136.53>
g	391.33		400.10	<8.77>
h	260.89		362.94	<102.05>
i	25.92		36.55	<10.63>
j	129.60		127.94	1.66
k	25.92		43.60	<17.68>
l	51.84		87.50	<35.66>
m	103.68		174.99	<71.31>
n	4,250.88		7,969.99	<3,719.11>
o	25.92		46.85	<20.93>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				42.90
b				1,367.48
c				927.04
d				<28.57>
e				<140.83>
f				<136.53>
g				<8.77>
h				<102.05>
i				<10.63>
j				1.66
k				<17.68>
l				<35.66>
m				<71.31>
n				<3,719.11>
o				<20.93>

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	VAN ECK GLOBAL HARD 1 SHS	P	01/26/15	10/08/19
b	VAN ECK GLOBAL HARD 265 SHS	P	01/26/15	10/08/19
c	VAN ECK GLOBAL HARD 454 SHS	P	01/28/15	10/08/19
d	VAN ECK GLOBAL HARD 107 SHS	P	10/10/11	10/08/19
e	VAN ECK GLOBAL HARD 1 SHS	P	12/21/11	10/08/19
f	VAN ECK GLOBAL HARD 3 SHS	P	12/21/11	10/08/19
g	POPLAR FOREST PARTNERS I 1 SHS	P	12/11/18	04/30/19
h	POPLAR FOREST PARTNERS I 5 SHS	P	12/11/18	04/30/19
i	POPLAR FOREST PARTNERS I 1 SHS	P	12/11/18	04/30/19
j	POPLAR FOREST PARTNERS I 28 SHS	P	12/11/18	04/30/19
k	POPLAR FOREST PARTNERS I .86 SHS	P	12/11/18	04/30/19
l	POPLAR FOREST PARTNERS I 3 SHS	P	12/11/18	04/30/19
m	FPA INTERNATIONAL VALUE 19 SHS	P	12/19/18	07/09/19
n	FPA INTERNATIONAL VALUE 1 SHS	P	12/19/18	07/09/19
o	FPA INTERNATIONAL VALUE .64 SHS	P	12/19/18	07/09/19

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	25.92		41.36	<15.44>
b	6,868.80		10,220.38	<3,351.58>
c	11,767.68		16,987.53	<5,219.85>
d	2,773.44		4,643.96	<1,870.52>
e	25.92		43.41	<17.49>
f	77.76		130.41	<52.65>
g	43.80		48.55	<4.75>
h	219.00		198.44	20.56
i	43.80		39.68	4.12
j	1,226.40		1,111.33	115.07
k	37.67		34.14	3.53
l	131.41		119.08	12.33
m	289.75		256.69	33.06
n	15.25		13.76	1.49
o	9.76		8.64	1.12

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				<15.44>
b				<3,351.58>
c				<5,219.85>
d				<1,870.52>
e				<17.49>
f				<52.65>
g				<4.75>
h				20.56
i				4.12
j				115.07
k				3.53
l				12.33
m				33.06
n				1.49
o				1.12

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	FPA INTERNATIONAL VALUE 13 SHS	P	12/19/18	07/09/19
b	JP MORGAN STRATEGIC .458 SHS	P	06/27/19	07/09/19
c	FPA NEW INCOME INC 1 SHS	P	10/02/18	07/09/19
d	FPA NEW INCOME INC 39 SHS	P	10/02/18	07/09/19
e	FPA NEW INCOME INC 42 SHS	P	12/19/18	07/09/19
f	FPA NEW INCOME INC 1 SHS	P	01/30/19	07/09/19
g	FPA NEW INCOME INC 14 SHS	P	01/30/19	07/09/19
h	FPA NEW INCOME INC 1 SHS	P	02/27/19	07/09/19
i	FPA NEW INCOME INC 10 SHS	P	02/27/19	07/09/19
j	FPA NEW INCOME INC 1 SHS	P	03/28/19	07/09/19
k	FPA NEW INCOME INC 14 SHS	P	03/28/19	07/09/19
l	FPA NEW INCOME INC 1 SHS	P	04/29/19	07/09/19
m	FPA NEW INCOME INC 10 SHS	P	04/29/19	07/09/19
n	FPA NEW INCOME INC 11 SHS	P	05/30/19	07/09/19
o	FPA NEW INCOME INC 1 SHS	P	06/27/19	07/09/19

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	198.26		175.64	22.62
b	5.26		5.27	<0.01>
c	9.98		9.88	0.10
d	389.22		384.54	4.68
e	419.16		414.12	5.04
f	9.98		9.86	0.12
g	139.72		138.18	1.54
h	9.98		9.87	0.11
i	99.80		98.80	1.00
j	9.98		9.91	0.07
k	139.72		138.88	0.84
l	9.98		9.92	0.06
m	99.80		99.20	0.60
n	109.78		109.45	0.33
o	9.98		9.95	0.03

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				22.62
b				<0.01>
c				0.10
d				4.68
e				5.04
f				0.12
g				1.54
h				0.11
i				1.00
j				0.07
k				0.84
l				0.06
m				0.60
n				0.33
o				0.03

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	FPA NEW INCOME INC .215 SHS	P	06/27/19	07/09/19
b	FPA NEW INCOME INC 11 SHS	P	06/27/19	07/09/19
c	SUNCOR ENERGY INC NEW 500 SHS	P	06/11/12	07/09/19
d	UNITED PARCEL SVC CL B 200 SHS	P	06/18/13	07/09/19
e	POPLAR FOREST PARTNERS I 308 SHS	P	06/08/17	04/30/19
f	POPLAR FOREST PARTNERS I 6 SHS	P	12/04/17	04/30/19
g	POPLAR FOREST PARTNERS I 9 SHS	P	12/04/17	04/30/19
h	FPA INTERNATIONAL VALUE 1706 SHS	P	01/28/15	07/09/19
i	FPA INTERNATIONAL VALUE 1 SHS	P	02/10/15	07/09/19
j	FPA INTERNATIONAL VALUE 49 SHS	P	02/10/15	07/09/19
k	FPA INTERNATIONAL VALUE 1 SHS	P	07/02/15	07/09/19
l	FPA INTERNATIONAL VALUE 44 SHS	P	07/02/15	07/09/19
m	FPA INTERNATIONAL VALUE 3 SHS	P	07/05/16	07/09/19
n	FPA INTERNATIONAL VALUE 1 SHS	P	12/21/16	07/09/19
o	FPA INTERNATIONAL VALUE 48 SHS	P	12/21/16	07/09/19

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	2.15		2.15	0.00
b	109.79		109.78	0.01
c	15,986.92		13,922.75	2,064.17
d	20,300.48		17,511.98	2,788.50
e	13,490.40		14,999.60	<1,509.20>
f	262.80		298.88	<36.08>
g	394.19		448.28	<54.09>
h	26,016.50		21,931.57	4,084.93
i	15.25		13.34	1.91
j	747.25		591.22	156.03
k	15.25		13.35	1.90
l	670.99		592.93	78.06
m	45.75		34.83	10.92
n	15.25		12.08	3.17
o	732.00		583.95	148.05

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				0.00
b				0.01
c				2,064.17
d				2,788.50
e				<1,509.20>
f				<36.08>
g				<54.09>
h				4,084.93
i				1.91
j				156.03
k				1.90
l				78.06
m				10.92
n				3.17
o				148.05

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 } 2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 } 3

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04-01-19

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	FPA INTERNATIONAL VALUE 8 SHS	P	07/05/17	07/09/19
b	JP MORGAN STRATEGIC .161 SHS	P	06/11/12	07/09/19
c	JP MORGAN STRATEGIC 35 SHS	P	06/11/12	07/09/19
d	JP MORGAN STRATEGIC 27 SHS	P	06/11/12	07/09/19
e	JP MORGAN STRATEGIC 1675 SHS	P	06/11/12	07/09/19
f	FPA NEW INCOME INC 4921 SHS	P	03/11/15	07/09/19
g	FPA NEW INCOME INC 34 SHS	P	04/02/15	07/09/19
h	FPA NEW INCOME INC 1 SHS	P	10/04/16	07/09/19
i	FPA NEW INCOME INC 24 SHS	P	07/02/15	07/09/19
j	FPA NEW INCOME INC 1 SHS	P	10/02/15	07/09/19
k	FPA NEW INCOME INC 14 SHS	P	10/02/15	07/09/19
l	FPA NEW INCOME INC 18 SHS	P	12/22/15	07/09/19
m	FPA NEW INCOME INC 25 SHS	P	04/04/16	07/09/19
n	FPA NEW INCOME INC 1 SHS	P	07/05/16	07/09/19
o	FPA NEW INCOME INC 27 SHS	P	07/05/16	07/09/19

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	122.00		113.28	8.72
b	1.86		1.85	0.01
c	402.85		403.20	<0.35>
d	310.77		311.04	<0.27>
e	19,279.25		19,296.00	<16.75>
f	49,111.58		49,997.36	<885.78>
g	339.32		344.08	<4.76>
h	9.98		9.99	<0.01>
i	239.52		242.16	<2.64>
j	9.98		10.10	<0.12>
k	139.71		140.70	<0.99>
l	179.64		179.10	0.54
m	249.50		248.75	0.75
n	9.98		10.02	<0.04>
o	269.46		269.73	<0.27>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				8.72
b				0.01
c				<0.35>
d				<0.27>
e				<16.75>
f				<885.78>
g				<4.76>
h				<0.01>
i				<2.64>
j				<0.12>
k				<0.99>
l				0.54
m				0.75
n				<0.04>
o				<0.27>

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	FPA NEW INCOME INC 30 SHS	P	10/04/16	07/09/19
b	FPA NEW INCOME INC 33 SHS	P	12/21/16	07/09/19
c	FPA NEW INCOME INC 36 SHS	P	04/04/17	07/09/19
d	FPA NEW INCOME INC 36 SHS	P	07/05/17	07/09/19
e	FPA NEW INCOME INC 39 SHS	P	10/03/17	07/09/19
f	FPA NEW INCOME INC 1 SHS	P	12/20/17	07/09/19
g	FPA NEW INCOME INC 35 SHS	P	12/20/17	07/09/19
h	FPA NEW INCOME INC 1 SHS	P	04/03/18	07/09/19
i	FPA NEW INCOME INC 39 SHS	P	04/03/18	07/09/19
j	FPA NEW INCOME INC 1 SHS	P	07/03/18	07/09/19
k	FPA NEW INCOME INC 42 SHS	P	07/03/18	07/09/19
l	SUNCOR ENERGY INC NEW 500 SHS	P	04/29/08	07/09/19
m	CAPITAL GAINS DIVIDENDS			
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	299.40		300.30	<0.90>
b	329.34		328.35	0.99
c	359.28		358.56	0.72
d	359.28		358.20	1.08
e	389.22		389.22	0.00
f	9.98		9.96	0.02
g	349.30		347.90	1.40
h	9.98		9.94	0.04
i	389.22		386.88	2.34
j	9.98		9.91	0.07
k	419.16		415.80	3.36
l	15,986.92		40,579.00	<24,592.08>
m	5,116.37			5,116.37
n				
o				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				<0.90>
b				0.99
c				0.72
d				1.08
e				0.00
f				0.02
g				1.40
h				0.04
i				2.34
j				0.07
k				3.36
l				<24,592.08>
m				5,116.37
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter "-0-" in Part I, line 7 }	2	12,263.00
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter. ______ (attach copy of letter if necessary-see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b		1	473.72
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		2	0.00
3	Add lines 1 and 2		3	473.72
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		4	0.00
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-		5	473.72
6	Credits/Payments:			
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a 906.06		
b	Exempt foreign organizations - tax withheld at source	6b 0.00		
c	Tax paid with application for extension of time to file (Form 8868)	6c 0.00		
d	Backup withholding erroneously withheld	6d 0.00		
7	Total credits and payments. Add lines 6a through 6d		7	906.06
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8	0.00
9	**Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10	**Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	432.34
11	Enter the amount of line 10 to be: **Credited to 2020 estimated tax** ▶ 432.34 **Refunded** ▶		11	0.00

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition	1b		X
	If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0.00 **(2)** On foundation managers. ▶ $ 0.00			
e	*Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation* managers. ▶ $ 0.00			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by *General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	7	X	
8a	Enter the states to which the foundation reports or with which it is registered. See instructions. ▶ MI			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G*? If "No," attach explanation	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

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Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ DOUGLAS D. ROCHE Telephone no ▶ 248-433-7200
Located at ▶ 2600 W. BIG BEAVER RD., SUITE 300, TROY, MI ZIP+4 ▶ 48084

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year, did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		X
	Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? ☐ Yes ☒ No If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019.) N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a During the year, did the foundation pay or incur any amount to:				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	N/A	5b		
Organizations relying on a current notice regarding disaster assistance, check here	▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	☐ Yes ☐ No			
If "Yes," attach the statement required by Regulations section 53.4945-5(d).				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		X
If "Yes" to 6b, file Form 8870.				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b		
8 Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment(s) during the year?	☐ Yes ☒ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DOUGLAS D. ROCHE 22543 FIDDLERS COVE BIRMINGHAM, MI 48025	CO-TRUSTEE / ATTORNEY 5.00	14,600.00	0.00	0.00
MICHAEL J. ROCHE 14087 E. BELLEWOOD DR. AURORA, CO 80015	CO-TRUSTEE 1.00	0.00	0.00	0.00

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	0.00

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,010,884.40
b	Average of monthly cash balances	1b	36,460.06
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	1,047,344.46
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0.00		
2	Acquisition indebtedness applicable to line 1 assets	2	0.00
3	Subtract line 2 from line 1d	3	1,047,344.46
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	15,710.17
5	**Net value of noncharitable-use assets** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,031,634.29
6	**Minimum investment return** Enter 5% of line 5	6	51,581.71

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	51,581.71
2a	Tax on investment income for 2019 from Part VI, line 5 2a 473.72		
b	Income tax for 2019. (This does not include the tax from Part VI) 2b		
c	Add lines 2a and 2b	2c	473.72
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	51,107.99
4	Recoveries of amounts treated as qualifying distributions	4	0.00
5	Add lines 3 and 4	5	51,107.99
6	Deduction from distributable amount (see instructions)	6	0.00
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	51,107.99

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	56,440.00
b	Program-related investments - total from Part IX-B	1b	0.00
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	56,440.00
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.00
6	**Adjusted qualifying distributions** Subtract line 5 from line 4	6	56,440.00

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				51,107.99
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only			1,906.10	
b Total for prior years: ______ , ______ , ______		0.00		
3 Excess distributions carryover, if any, to 2019:				
a From 2014				
b From 2015				
c From 2016				
d From 2017				
e From 2018				
f **Total** of lines 3a through e	0.00			
4 Qualifying distributions for 2019 from Part XII, line 4: ▶ $ 56,440.00				
a Applied to 2018, but not more than line 2a			1,906.10	
b Applied to undistributed income of prior years (Election required - see instructions)		0.00		
c Treated as distributions out of corpus (Election required - see instructions)	0.00			
d Applied to 2019 distributable amount				51,107.99
e Remaining amount distributed out of corpus	3,425.91			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.00			0.00
6 **Enter the net total of each column as indicated below.**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	3,425.91			
b Prior years' undistributed income Subtract line 4b from line 2b		0.00		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.00		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.00		
e Undistributed income for 2018. Subtract line 4a from line 2a Taxable amount - see instr.			0.00	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0.00
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.00			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7	0.00			
9 **Excess distributions carryover to 2020.** Subtract lines 7 and 8 from line 6a	3,425.91			
10 Analysis of line 9.				
a Excess from 2015				
b Excess from 2016				
c Excess from 2017				
d Excess from 2018				
e Excess from 2019 3,425.91				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4, for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6, for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient: Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ANGEL'S PLACE 29229 FRANKLIN RD., SUITE #2 SOUTHFIELD, MI 48034	NONE	CHARITY	ASSISTANCE TO DISADVANTAGED	500.00
ARRUPE COLLEGE OF LOYOLA 820 N. MICHIGAN AVE. CHICAGO, IL 60611	NONE	COLLEGE	EDUCATION	1,500.00
BROTHER RICE HIGH SCHOOL 7101 LAHSER RD. BLOOMFIELD HILLS, MI 48301	NONE	SCHOOL	EDUCATION	1,000.00
CAPUCHIN SOUP KITCHEN 1820 MT. ELLIOTT ST. DETROIT, MI 48207	NONE	RELIGIOUS	ASSISTANCE TO DISADVANTAGED	300.00
CATHOLIC SERVICES APPEAL C/O ST. REGIS PARISH, 3695 LINCOLN DRIVE BLOOMFIELD, MI 48301	NONE	CHURCH	RELIGIOUS	1,000.00
Total SEE CONTINUATION SHEET(S)			▶ 3a	43,300.00
b *Approved for future payment*				
NONE				
Total			▶ 3b	0.00

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
COMMUNITY HOUSE WINNETKA 620 LINCOLN AVE. WINNETKA, IL 60093	NONE	PC	ASSISTANCE FOR PUBLIC BENEFIT	500.00
ELIZABETH SEEBECK SCHOLARSHIP FUND C/O NEAR NORTH 1434 W. DIVISION ST. CHICAGO, IL 60642	NONE	PC	EDUCATIONAL ASSISTANCE	500.00
FRIENDS OF PRENTICE NORTHWESTERN MEMORIAL FDN. 541 N. FAIRBANKS CT., STE. 800 CHICAGO, IL 60611	NONE	PC	SUPPORT OF MEDICAL CHARITABLE CARE	500.00
GESU SCHOOL 17139 OAK DRIVE DETROIT, MI 48221	NONE	SCHOOL	EDUCATION	500.00
GESU SCHOOL 17139 OAK DRIVE DETROIT, MI 48221	NONE	SCHOOL	EDUCATION	500.00
GUEST HOUSE 1601 JOSLYN RD. LAKE ORION, MI 48361	NONE	CHARITY	ASSISTANCE TO DISADVANTAGED DISADVANTAGED	300.00
HARBOR HALL FOUNDATION P.O. BOX 376 HARBOR SPRINGS, MI 49740	NONE	MUSEUM	PUBLIC BENEFIT	1,000.00
HEARD MUSEUM 2301 NORTH CENTRAL AVE. PHOENIX, AZ 85044	NONE	MUSEUM	PUBLIC BENEFIT	2,000.00
HEARD MUSEUM 2301 NORTH CENTRAL AVE. PHOENIX, AZ 85004	NONE	MUSEUM	PUBLIC BENEFIT	2,000.00
HOLY CHILDHOOD CHURCH 150 W. MAIN ST. HARBOR SPRINGS, MI 49740	NONE	RELIGIOUS	RELIGIOUS	1,500.00
Total from continuation sheets				39,000.00

Part XV Supplementary Information

3 . Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
HOLY CROSS COLLEGE ONE COLLEGE STREET WORCESTER, MA 1610	NONE	COLLEGE	EDUCATION	500.00
IHM SISTERS 610 W. ELM AVE. MONROE, MI 48162	NONE	RELIGIOUS	RELIGIOUS	500.00
JOURNEY CARE 2050 CLAIRE CT. GLENVIEW, IL 60025	NONE	CHARITY	RESEARCH	500.00
LES TURNER ALS FOUNDATION 5550 W. TOUHY AVE., SUITE 302 SKOKIE, IL 60077	NONE	CHARITY	RESEARCH	1,500.00
LOYOLA HIGH SCHOOL 15325 PINEHURST DETROIT, MI 48238	NONE	SCHOOL	EDUCATION	500.00
MANNA FOOD PROJECT 8791 MCBRIDE PARK CT. HARBOR SPRINGS, MI 49740	NONE	CHARITY	ASSISTANCE TO DISADVANTAGED	1,000.00
MARIAN FUND 7225 LAHSER RD. BLOOMFIELD HILLS, MI 48301	NONE	SCHOOL	EDUCATION	250.00
MATERNITY OF MARY ST. ANDREWS (MMSA) 592 ARLINGTON AVE. WEST ST. PAUL, MN 55117	NONE	PC	SUPPORT OF MEDICAL CHARITABLE CARE	2,000.00
MCLAREN NORTHERN MICHIGAN FOUNDATION 360 CONNABLE AVE, SUITE 3 PETOSKEY, MI 49770	NONE	CHARITY	RESEARCH	2,500.00
MENTAL HEALTH ASSN OF WESTCHESTER 580 WHITE PLAINS RD., STE 510 TARRYTOWN, NY 10591	NONE	PC	MEDICAL ASSISTANCE TO DISABLED	500.00
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MERCY HOME FOR BOYS AND GIRLS 1140 W. JACKSON BLVD CHICAGO, IL 60607	NONE	CHARITY	ASSISTANCE TO DISADVANTAGED	500.00
MICHAEL J. FOX FOUNDATION PO BOX 5014 HAGERSTOWN, MD 21741	NONE	CHARITY	RESEARCH	500.00
MORTON PLANT MEASE FOUNDATION 1200 DRUID RD. S. CLEARWATER, FL 33756	NONE	CHARITY	ASSISTANCE TO DISADVANTAGED	1,500.00
MOST HOLY TRINITY 1050 PORTER STREET DETROIT, MI 48226	NONE	CHARITY	ASSISTANCE TO DISADVANTAGED	300.00
NATIONAL ALLIANCE FOR THE MENTALLY ILL 2107 WILSON BLVD., SUITE 300 ARLINGTON, VA 22201	NONE	CHARITY	RESEARCH	2,000.00
NATIONAL CAMPS FOR THE BLIND 4444 S. 52ND STREET LINCOLN, NE 68506	NONE	CHARITY	EDUCATION	2,000.00
OLD NEWSBOYS - GOODFELLOW FUND 1927 ROSA PARKS BLVD DETROIT, MI 48216	NONE	CHARITY	ASSISTANCE TO DISADVANTAGED	250.00
RELIGIOUS COMMUNITY SERVICES 503 S. MARTIN LUTHER KING, JR AVE. CLEARWATER, FL 33756	NONE	CHARITY	ASSISTANCE TO DISADVANTAGED	1,000.00
ROSE HILL FOUNDATION 5130 ROSE HILL BLVD. HOLLY, MI 48442	NONE	CHARITY	ASSISTANCE TO DISADVANTAGED	500.00
SALVATION ARMY 1625 N. BELCHER RD. CLEARWATER, FL 33756	NONE	CHARITY	PUBLIC BENEFIT	2,000.00
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SPIRIT SONG CHOIR 6100 HADLEY AVE. SOUTH COTTAGE GROVE, MN 55106	NONE	PC	RELIGIOUS AND YOUTH SERVICES	500.00
ST. BRIDGID PARISH 7179 AVERY ROAD DUBLIN , OH 43017	NONE	PC	RRELIGIOUS	100.00
ST. BRIDGID PARISH 7179 AVERY ROAD DUBLIN , OH 43017	NONE	PC	RELIGIOUS	300.00
ST. REGIS CHURCH 3695 LINCOLN BLOOMFIELD HILLS, MI 48301	NONE	CHURCH	RELIGIOUS	1,000.00
ST. THOMAS UNIVERSITY 2115 SUMMIT AVE. ST. PAUL, MN 55105	NONE	PC	EDUCATION	2,500.00
ST. VINCENT DE PAUL SOCIETY 384 15TH ST. NORTH ST. PETERSBURG, FL 33705	NONE	CHARITY	ASSISTANCE TO DISADVANTAGED	1,000.00
THE VOLUNTEER CENTER 520 GLENDALE AVE., SUITE 211 WINNETKA, IL 60093	NONE	CHARITY	ASSISTANCE TO DISADVANTAGED	500.00
U OF D HIGH JESUIT HIGH SCHOOL AND ACADEMY 8400 SOUTH CAMBRIDGE DETROIT, MI 48221	NONE	SCHOOL	EDUCATION	500.00
U OF D HIGH JESUIT HIGH SCHOOL AND ACADEMY 8400 SOUTH CAMBRIDGE DETROIT, MI 48221	NONE	SCHOOL	EDUCATION	500.00
VOLUNTEER CENTER OF NE METRO CHICAGO 520 GLENDALE AVE. WINNETKA, IL 60093	NONE	CHARITY	ASSISTANCE TO DISADVANTAGED	1,500.00
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
WAYNE STATE UNIVERSITY 5700 CASS AVE., STE. 1200 DETROIT, MI 48202	NONE	PC	EDUCATION	500.00
YOUTH SERVICES OF GLENVIEW NORTHBROOK 3080 WEST LAKE AVE. GLENVIEW, IL 60026	NONE	PC	COMMUNITY AND YOUTH SERVICES	500.00
Total from continuation sheets				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	186.26	
4 Dividends and interest from securities			14	21,281.29	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	234.69	
8 Gain or (loss) from sales of assets other than inventory			18	12,263.00	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.00		33,965.24	0.00

13 **Total** Add line 12, columns (b), (d), and (e) 13 33,965.24

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

May the IRS discuss this return with the preparer shown below? See instr ☒ Yes ☐ No

Douglas D. Roche | 10-11-20 | TRUSTEE

Signature of officer or trustee | Date | Title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
THOMAS D. HAMMERSCHMIDT	THammerschmidt	10/11/20		P00577505
Firm's name ▶ DICKINSON WRIGHT PLLC			Firm's EIN ▶ 38-1364333	
Firm's address ▶ 350 S. MAIN STREET, SUITE 300 ANN ARBOR, MI 48104			Phone no 734-623-1602	

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
MERRILL LYNCH ML BANK	165.84	165.84	
MERRILL LYNCH ML BANK ACCT 2	20.42	20.42	
TOTAL TO PART I, LINE 3	186.26	186.26	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
MERRILL LYNCH	7,592.47	0.00	7,592.47	7,592.47	
MERRILL LYNCH ACCT 2	3,607.23	65.12	3,542.11	3,542.11	
MERRILL LYNCH ACCT 2 FOREIGN DIVS	802.42	0.00	802.42	802.42	
MERRILL LYNCH FOREIGN DIVS	14,395.54	5,051.25	9,344.29	9,344.29	
TO PART I, LINE 4	26,397.66	5,116.37	21,281.29	21,281.29	

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
NONDIVIDEND DISTRIBS ON MUTUAL FUNDS	132.29	132.29	
PARTNERSHIP INVESTMENT DISTRIBUTION	102.40	102.40	
TOTAL TO FORM 990-PF, PART I, LINE 11	234.69	234.69	

FORM 990-PF LEGAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
DICKINSON WRIGHT PLLC	5,720.50	0.00		0.00
TO FM 990-PF, PG 1, LN 16A	5,720.50	0.00		0.00

FORM 990-PF TAXES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES WITHHELD (ML)	775.55	775.55		0.00
TO FORM 990-PF, PG 1, LN 18	775.55	775.55		0.00

FORM 990-PF OTHER EXPENSES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
DETROIT LEGAL NEWS PUBLICATION	72.75	0.00		0.00
BROKERAGE ACCT AND INVESTMENT ADVISOR FEES	7,503.83	7,503.83		0.00
OTHER PORTFOLIO EXPENSES AND BANK FEES	539.88	539.88		0.00
TO FORM 990-PF, PG 1, LN 23	8,116.46	8,043.71		0.00

FORM 990-PF CORPORATE STOCK STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
8 ACCENTURE PLC	785.29	1,684.56
2 ACCENTURE PLC	207.68	421.14
6 ACCENTURE PLC	687.00	1,263.42
853.983 ALLIANZGI GLOBAL WATER FD	11,695.90	14,560.41
1645.556 AMERICAN EURO PACIFIC GROWTH FD	83,407.16	91,262.53
10 APPLE INC	1,069.45	2,936.50
200 AUTOMATIC DATA PROC	12,095.42	34,100.00
39 COMCAST CORP NEW CL A	1,423.63	1,753.83
17 CISCO SYSTEMS INC	581.44	815.32
18 CISCO SYSTEMS INC	574.21	863.27
16 CISCO SYSTEMS INC	502.41	736.36
1112.803 EDGEWOOD GROWTH FD	23,050.99	42,865.18
1300 EXXON MOBIL	12,928.70	90,714.00
325 INVESCO S AND P GLOBAL WATER IDX	10,640.83	13,367.25
594 FIRST TR EXCH-TRADED FD VI	11,434.50	17,226.00
80 INTL BUSINESS MACHINES CORP	14,878.40	10,723.20
20 INTL BUSINESS MACHINES CORP	4,106.60	2,680.80
6 JOHNSON AND JOHNSON	712.14	875.20
3 JPMORGAN CHASE & CO	170.59	418.20
12 JPMORGAN CHASE & CO	790.04	1,672.80
8 JPMORGAN CHASE & CO	832.08	1,115.20
11275.071 JPMORGAN STRAT INC OPPOR FD	131,144.56	129,325.10
2 LOCKHEED MARTIN CORP	498.58	778.76
2 LOCKHEED MARTIN CORP	648.77	778.76
148.754 MATTHEWS INDIA FD	3,001.00	3,503.16
2488.816 MATTHEWS ASIA DIV FD	34,347.13	43,479.62
6 NEXTERA ENERGY INC	746.94	1,452.96
6 PEPSICO INC	648.87	820.02
2 PEPSICO INC	240.30	273.84
146 PROCTER & GAMBLE CO	9,180.48	18,235.40
154 PROCTER & GAMBLE CO	9,947.63	19,234.60
973 ROYAL DUTCH SHELL	21,075.18	57,387.54
1734.989 SMEAD VALUE FD	72,686.12	87,408.75
1 UNION PACIFIC CORP	92.88	180.79
1 UNION PACIFIC CORP	92.44	180.79
7 UNION PACIFIC CORP	669.06	1,265.23
5 UNION PACIFIC CORP	670.59	903.95
2 UNION PACIFIC CORP	296.54	361.58
136 UNITED TECHS CORP	9,960.64	20,367.36
64 UNITED TECHS CORP	6,133.09	9,584.64
13 TEXAS INSTRUMENTS	1,230.07	1,677.67
9 TEXAS INSTRUMENTS	856.14	1,154.61
6 UNITEDHEALTH GROUP INC	836.64	1,763.88
1308.940 CHIRON CAPITAL ALLOC FD	15,349.25	15,681.11
50.000 AMERICAN INTL GROUP	2,810.43	2,566.50
6.000 AMERICAN INTL GROUP	320.91	307.98
11.000 AMERICAN INTL GROUP	564.63	580.48
23.000 ANHEUSER-BUSCH INBEV	3,007.13	2,707.32
1.000 ANHEUSER-BUSCH INBEV	92.47	82.04
4.000 ANHEUSER-BUSCH INBEV	369.20	328.16
3.000 ACCENTURE PLC	549.12	631.71
14.000 AMERICAN TOWER REIT	2,948.26	3,217.48

2.000 AMERICAN TOWER REIT	449.24	459.64
16.000 AMGEN INC	2,909.85	3,857.12
2.000 AMGEN INC	393.52	482.14
4.000 APPLE INC	451.84	587.30
61.000 APPLIED MATERTIAL INC	2,738.10	3,723.44
11.000 APPLIED MATERTIAL INC	542.52	671.44
63.000 BCE INC	2,902.40	2,920.05
10.000 BCE INC	482.76	463.50
13.000 COMCAST CORP	497.94	584.61
17.000 COMCAST CORP	614.10	764.49
12.000 COMCAST CORP	528.48	539.64
40.000 CAPITAL ONE FNL	3,599.45	4,116.40
43.000 CITIGROUP INC	3,055.85	3,435.27
3.000 CITIGROUP INC	198.84	239.67
7.000 CITIGROUP INC	464.16	559.23
3.000 CISCO SYSTEMS INC	91.55	143.88
11.000 CISCO SYSTEMS INC	511.56	527.56
11.000 CISCO SYSTEMS INC	514.54	527.56
94.000 CORNING INC	3,096.92	2,736.34
17.000 CORNING INC	464.59	494.87
19.000 CORNING INC	517.85	553.09
40.000 EDISON INTL CALIF	2,828.68	3,016.40
1.000 EDISON INTL CALIF	74.14	75.41
7.000 EDISON INTL CALIF	522.24	527.87
4.000 EDISON INTL CALIF	280.59	301.64
5.000 EQUINIX INC	2,592.16	2,918.50
1.000 EQUINIX INC	571.16	583.70
36.000 FMC CORP	2,954.54	3,593.52
8.000 FMC CORP	638.70	798.56
13.000 HOME DEPOT INC	2,992.89	2,838.94
3.000 HOME DEPOT INC	679.55	655.14
28.000 INTL BUSINESS MACHINES CORP	3,729.88	3,753.12
4.000 JPMORGAN CHASE & CO	447.37	557.60
5.000 JPMORGAN CHASE & CO	560.60	697.00
1.000 JOHNSON & JOHNSON	131.92	145.87
4.000 JOHNSON & JOHNSON	529.62	583.48
107.000 KKR & CO INC	2,804.82	3,121.19
11.000 KKR & CO INC	278.96	320.87
12.000 KKR & CO INC	516.02	583.40
134.000 KROGER CO	3,671.65	3,884.66
2.000 LOCKHEED MARTIN CORP	597.35	778.76
1.000 LOCKHEED MARTIN CORP	355.04	389.38
1.000 LOCKHEED MARTIN CORP	358.33	389.38
1.000 LOCKHEED MARTIN CORP	379.71	389.38
65.000 LINCOLN INTL CORP	3,775.06	3,835.65
28.000 LOWES COMPANIES INC	3,036.47	3,353.28
5.000 LOWES COMPANIES INC	537.83	598.80
75.000 MORGAN STANLEY	3,738.00	3,834.00
37.000 MERCK AND CO	3,126.42	3,365.15
5.000 MERCK AND CO	417.55	454.75
22.000 MICROSOFT CORP	3,054.86	3,469.40
4.000 MICROSOFT CORP	545.08	630.80
7.000 NEXTERA ENERGY INC	679.58	1,695.12
2.000 NEXTERA ENERGY INC	461.46	484.32
8.000 NORTHROP GRUMMAN CORP	2,615.23	2,751.76
2.000 NORTHROP GRUMMAN CORP	729.50	687.94
97.000 OCCIDENTAL PETE CORP	3,777.18	3,997.37
52.000 ORACLE CORP	3,134.33	2,754.96

5.000 ORACLE CORP	265.98	264.90
9.000 ORACLE CORP	485.94	478.82
15.000 PEPSICO INC	1,383.00	2,050.05
3.000 PEPSICO INC	412.07	410.01
31.000 RELIANCE STL & ALUM CO	3,081.66	3,712.56
5.000 RELIANCE STL & ALUM CO	489.31	598.80
16.000 RAYTHEON CO	2,991.32	3,515.84
2.000 RAYTHEON CO	385.54	439.48
63.000 ROYAL DUTCH SHELL PLC	3,659.49	3,715.74
21.000 SEMPRA ENERGY	2,927.51	3,181.08
3.000 SEMPRA ENERGY	435.76	454.44
31.000 STARBUCKS CORP	2,795.27	2,725.52
5.000 STARBUCKS CORP	421.35	439.60
6.000 STARBUCKS CORP	509.82	527.52
56.000 TJX COS	3,070.95	3,419.36
1.000 TJX COS	55.95	61.06
7.000 TJX COS	387.62	427.42
13.000 TEXAS INSTRUMENTS	211.17	256.58
4.000 TEXAS INSTRUMENTS	498.54	513.16
29.000 TARGET CORP	2,567.73	3,718.09
4.000 TARGET CORP	434.88	512.84
34.000 TE CONNECTIVITY LTD	3,088.68	3,258.56
7.000 TE CONNECTIVITY LTD	604.98	670.88
45.000 TYSON FOODS INC	3,657.47	4,096.80
7.000 UNITEDHEALTH GROUP INC	830.30	2,057.86
1.000 UNITEDHEALTH GROUP INC	216.45	293.98
2.000 UNITEDHEALTH GROUP INC	440.63	587.96
2.000 UNION PACIFIC CORP	171.52	361.58
2.000 UNION PACIFIC CORP	302.70	361.58
3.000 UNION PACIFIC CORP	462.60	542.37
23.000 UNITED TECHS CORP	3,019.53	3,444.48
1.000 UNITED TECHS CORP	131.40	149.76
3.000 UNITED TECHS CORP	391.64	449.28
51.000 VERIZON COMMUNICATIONS COM	3,079.77	3,131.40
9.000 VERIZON COMMUNICATIONS COM	536.27	552.60
104.000 ENTERPRISE PRDTS PRTN LP	3,128.63	2,928.64
3.000 ENTERPRISE PRDTS PRTN LP	84.26	84.48
21.000 ENTERPRISE PRDTS PRTN LP	582.46	591.36
112.000 WEYERHAUSER CO	3,106.35	3,382.40
1.000 WEYERHAUSER CO	26.62	30.20
21.000 WEYERHAUSER CO	557.55	634.20
26.000 WASTE MANAGEMENT INC	3,057.26	2,962.96
1.000 WASTE MANAGEMENT INC	117.34	113.96
4.000 WASTE MANAGEMENT INC	458.32	455.84
4687.118 GUGGENHEIM MACRO OPPOR FD	121,550.88	120,599.55
TOTAL TO FORM 990-PF, PART II, LINE 10B	783,814.51	1,032,646.82