

Form 990-PF

Return of Private Foundation or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No. 1545-0047

2019

Open to Public Inspection

Department of the Treasury Internal Revenue Service

Do not enter social security numbers on this form as it may be made public. Go to www.irs.gov/Form990PF for instructions and the latest information.

For calendar year 2019 or tax year beginning , 2019, and ending , 20

Name of foundation: FRANK N. ANDERSEN FOUNDATION
A Employer identification number: 38-6062616
B Telephone number: 989-777-2198
C If exemption application is pending, check here
D 1. Foreign organizations, check here
2. Foreign organizations meeting the 85% test, check here and attach computation
E If private foundation status was terminated under section 507(b)(1)(A), check here
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here
G Check all that apply: Initial return, Final return, Address change, Initial return of a former public charity, Amended return, Name change
H Check type of organization: Section 501(c)(3) exempt private foundation, Section 4947(a)(1) nonexempt charitable trust, Other taxable private foundation
I Fair market value of all assets at end of year: \$ 7,533,767
J Accounting method: Cash, Accrual, Other (specify)

Table with 5 columns: (a) Revenue and expenses per books, (b) Net investment income, (c) Adjusted net income, (d) Disbursements for charitable purposes. Rows include Revenue (1-12), Operating and Administrative Expenses (13-26), and Summary (27-29).

SCANNED JUL 02 2020

30 Received in MAR 5 2020

INVESTMENT ADVISOR

Stamp: FEB 03 2020

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash—non-interest-bearing	4,475,602	2,411,669	2,411,669
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S. and state government obligations (attach schedule)	98,778		
	b	Investments—corporate stock (attach schedule)	2,125,931	3,090,697	3,448,502
	c	Investments—corporate bonds (attach schedule)	206,642	206,642	243,054
	11	Investments—land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation (attach schedule) ▶				
12	Investments—mortgage loans				
13	Investments—other (attach schedule)	175,765	1,315,337	1,430,542	
14	Land, buildings, and equipment: basis ▶				
	Less: accumulated depreciation (attach schedule) ▶				
15	Other assets (describe ▶)				
16	Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	7,082,718	7,024,345	7,533,767	
Liabilities	17	Accounts payable and accrued expenses	1,096	1,096	
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)	1,096	1,096	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 24, 25, 29, and 30.				
	24	Net assets without donor restrictions			
	25	Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 26 through 30.				
	26	Capital stock, trust principal, or current funds			
	27	Paid-in or capital surplus, or land, bldg., and equipment fund	7,081,622	7,023,249	
	28	Retained earnings, accumulated income, endowment, or other funds			
29	Total net assets or fund balances (see instructions)	7,081,622	7,023,249		
30	Total liabilities and net assets/fund balances (see instructions)	7,082,718	7,024,345		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	7,081,622
2	Enter amount from Part I, line 27a	2	(91,905)
3	Other increases not included in line 2 (itemize) ▶ TAX EXEMPT INTEREST \$2,500; CAPITAL GAIN \$31,032	3	33,532
4	Add lines 1, 2, and 3	4	7,023,249
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	7,023,249

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr)	(d) Date sold (mo., day, yr)
1a NY State Urban Dev.	P	01/15/2009	03/15/2019
b Vanguard Index Growth	P	03/05/2019	05/22/2019
c BB & T	P	03/13/2014	09/03/2019
d Aegon 6.375%	P	02/14/2014	12/16/2019
e Long-Term Capital Gain Distributions			
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a 100,000		98,778	1,222
b 63,173		61,239	1,934
c 350,000		342,499	7,501
d 300,000		291,503	8,497
e			11,878
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.			
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col (h))
a			
b			
c			
d			
e			
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	31,032
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018			
2017			
2016			
2015			
2014			
2 Total of line 1, column (d)		2	
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years		3	
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5		4	
5 Multiply line 4 by line 3		5	
6 Enter 1% of net investment income (1% of Part I, line 27b)		6	
7 Add lines 5 and 6		7	
8 Enter qualifying distributions from Part XII, line 4		8	

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	3,586
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	2	
3	Add lines 1 and 2	3	3,586
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	3,586
6	Credits/Payments:		
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a	10,000
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	10,000
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	6,414
11	Enter the amount of line 10 to be: Credited to 2020 estimated tax 6,414 . Refunded	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a		X
1b		X
1c		X
2		X
3		X
4a		X
4b		N/A
5		X
6	X	
7	X	
8a		
8b	X	
9		X
10		X

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions 11 Yes No X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions 12 Yes No X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address 13 Yes No X
14 The books are in care of MICHEAL A. TATE Telephone no. 989-777-2198 Located at 8525 DIXIE HWY, BRIDGEPORT, MI ZIP+4 48722-0225
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—check here and enter the amount of tax-exempt interest received or accrued during the year 15
16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? 16 Yes No X
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year, did the foundation (either directly or indirectly):
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes No X
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? Yes No X
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes No X
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes No X
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? Yes No X
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) Yes No X
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019? 1c Yes No X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):
a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? Yes No X
If "Yes," list the years 20 , 20 , 20 , 20
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.) 2b Yes No X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. 20 , 20 , 20 , 20
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? Yes No X
b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019.) 3b Yes No X
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a Yes No X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019? 4b Yes No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a** During the year, did the foundation pay or incur any amount to:
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No
 - (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes No
 - (3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No
 - (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions Yes No
 - (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No
- b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions Yes No
Organizations relying on a current notice regarding disaster assistance, check here
- c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
If "Yes," attach the statement required by Regulations section 53.4945-5(d).
- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
If "Yes" to 6b, file Form 8870.
- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No
- b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No
- 8** Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year? Yes No

	Yes	No
5a(1)–(5)		
b	N/A	
c		
6a		
b		X
7a		
b	N/A	
8		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JEFFREY W. McNALLY, 11786 E. TUSCOLA RD, FRANKENMUTH, MI 48734	PRESIDENT	18,800	NONE	NONE
MICHEAL A. TATE, 1923 FISCHER DR SAGINAW, MI 48601	VICE-PRESIDENT	18,800	NONE	NONE
BARBARA LINCOLN, 4243 RIVER RD FREELAND, MI 48623	SECRETARY/TREASURER	400	NONE	NONE
JOHN GILMOUR, 4492 WARREN BRIDGEPORT, MI 48722	TRUSTEE	800	NONE	NONE

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3 ▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	3,912,414
b	Average of monthly cash balances	1b	3,443,636
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	7,356,050
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	7,356,050
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	110,341
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	7,245,709
6	Minimum investment return. Enter 5% of line 5	6	362,285

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	362,285
2a	Tax on investment income for 2019 from Part VI, line 5	2a	3,586
b	Income tax for 2019. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	3,586
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	358,699
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	358,699
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	358,699

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	224,778
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	224,778
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	224,778

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				358,699
2 Undistributed income, if any, as of the end of 2019:				
a Enter amount for 2018 only				
b Total for prior years: 20 ____, 20 ____, 20 ____				
3 Excess distributions carryover, if any, to 2019:				
a From 2014 440,018				
b From 2015				
c From 2016				
d From 2017				
e From 2018				
f Total of lines 3a through e	440,018			
4 Qualifying distributions for 2019 from Part XII, line 4: ► \$ 224,778				
a Applied to 2018, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2019 distributable amount				224,778
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a).)	133,921			133,921
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	306,097			
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see instructions				
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions				
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions)	306,097			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a	NONE			
10 Analysis of line 9:				
a Excess from 2015				
b Excess from 2016				
c Excess from 2017				
d Excess from 2018				
e Excess from 2019				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

- 1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling ▶
- b** Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year		Prior 3 years		(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4, for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:	N O T A P P L I C A B L E				
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6, for each year listed					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

- 1 Information Regarding Foundation Managers:**
- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)
- NONE
- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.
- NONE
- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**
- Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.
- a** The name, address, and telephone number or email address of the person to whom applications should be addressed:
- MICHEAL A. TATE, P. O. BOX 225, BRIDGEPORT, MI 48722-0225 989-777-2198
- b** The form in which applications should be submitted and information and materials they should include:
- WRITTEN APPLICATION REQUEST
- c** Any submission deadlines:
- NONE
- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:
- SAGINAW AND BAY COUNTIES, MICHIGAN

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
ANDERSEN ENRICHMENT CENTER 120 EZRA RUST DRIVE SAGINAW, MI 48601			OPERATION & MAINTENANCE	\$ 20,000
BIG BROTHERS BIG SISTERS 2200 N. MICHIGAN RD MIDLAND, MI 48640			OPERATIONS	5,000
BOY SCOUTS OF AMERICA PORT HURON SERVICE CENTER PORT HURON, MI 48060			SCOUT REACH PROGRAM	3,000
BRIDGEPORT TOWNSHIP PARKS & RECREATION 6206 DIXIE HWY BRIDGEPORT, MI 48722			BUILD TRAILHEAD	10,000
CENTRAL MICHIGAN UNIVERSITY DEVELOPMENT & EXTERNAL RELATIONS CARLIN ALUMNI HOUSE CENTRAL MICHIGAN UNIVERSITY MT. PLEASANT, MI 48859			SCHOLARSHIPS	20,000
DELTA COLLEGE UNIVERSITY CENTER, MI 48710			SCHOLARSHIPS	20,000
EAST SIDE SOUP KITCHEN 940 E. GENESEE AVE SAGINAW, MI 48603-1982			OPERATIONS	3,000
EMMAUS HOUSE OF SAGINAW 733 S. 14TH ST SAGINAW, MI 48601			RENOVATE HOUSE #2	10,000
FIRST WARD COMMUNITY CENTER 1410 N. TWELFTH ST SAGINAW, MI 48601			RUN AFTER SCHOOL & SUMMER	5,000
GREAT LAKES BAY HEALTH CENTER DENTAL CENTER FUND 501 LAPEER AVE SAGINAW, MI 48601			ESTABLISH NEW DENTAL HOME	5,000
Total			▶ 3a	CONTINUED
b Approved for future payment				
BOYS & GIRLS CLUBS OF THE GREAT LAKES RG. 300 W. LAFAYETTE BAY CITY, MI 48706			KIDS CAMPUS PROJECT	\$ 10,000
CENTRAL MICHIGAN UNIVERSITY DEVELOPMENT & EXTERNAL RELATIONS CARLIN ALUMNI HOUSE CENTRAL MICHIGAN UNIVERSITY MT. PLEASANT, MI 48859			MEDICAL SCHOLARSHIPS	80,000
CITY RESCUE MISSION OF SAGINAW, INC. 1021 BURT ST., P. O. BOX 548 SAGINAW, MI 48606-0548			CONSTRUCTION OF A WAREHOUSE	10,000
GREAT LAKES BAY HEALTH CENTERS 501 LAPEER AVE SAGINAW, MI 48607			ESTABLISH A NEW DENTAL HOME IN SAGINAW	5,000
Total			▶ 3b	CONTINUED

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
HOSPITAL HOSPITALITY HOUSE 1701 N. MICHIGAN SAGINAW, MI 48602			OPERATIONS	\$ 3,000
MAJOR CHORDS FOR MINORS 103 S. MASON ST SAGINAW, MI 48602			PROVIDE MUSIC INSTRUCTION TO AT-RISK	5,000
MID-MICHIGAN CHILDRENS' MUSEUM 315 W. GENESEE SAGINAW, MI 48602			ASSIST WITH FIELD TRIPS	2,500
OLD TOWN SOUP KITCHEN 600 GRATIOT SAGINAW, MI 48602			REPLACE CARO VAN	3,578
P.R.I.D.E. P. O. BOX 872 SAGINAW, MI 48606			HOLIDAY WAGON RIDES	3,600
READ ASSOCIATION OF SAGINAW 100 S. JEFFERSON, SUITE 401 SAGINAW, MI 48607			SUPPORT READING CENTERS	2,100
RIVERSIDE SAGINAW FILM FEATURES P. O. BOX 6023 SAGINAW, MI 48603			PROVIDE A FILM FESTIVAL	1,000
ROCK THE ISLAND C/O YEO & YEO, PC 3023 DAVENPORT AVE SAGINAW, MI 48602			SCHOOL ASSEMBLIES & ISLAND	5,000
SAGINAW AREA FIREWORKS, INC. P. O. BOX 1923 SAGINAW, MI 48605			FIRE WORKS FOR 4TH OF JULY	2,000
SAGINAW COMMUNITY FOUNDATION 100 S. JEFFERSON, SUITE 201 SAGINAW, MI 48607			SCHOLARSHIPS	20,000
Total				3a CONTINUED
b Approved for future payment				
READ ASSOCIATION OF SAGINAW 100 S. JEFFERSON, SUITE 203 SAGINAW, MI 48607-1238			OPERATE A READING CENTER	\$ 4,200
SAGINAW VALLEY HISTORIC PRESERVATION SOCIETY 1001 HOYT AVE SAGINAW, MI 48607			LANDSCAPING AT IPPEL PARK	5,000
SAGINAW VALLEY STATE UNIVERSITY 307 WICKES HALL 7400 BAY RD UNIVERSITY CENTER, MI 48710			CONSTRUCT NEW BUSINESS CENTER	50,000
SAMARITAS 3145 RUSSELL ST SAGINAW, MI 48601			UPGRADE CENTER	5,000
URBAN TENNIS FOUNDATION 300 GOLFOVIEW DR SAGINAW, MI 48638			UPGRADE TENNIS COURTS (GARBER)	5,000
Total				3b \$ 174,200

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SAGINAW COVENANT ACADEMY 508 S. WASHINGTON AVE SAGINAW, MI 48607			PROVIDE COATS, HATS & GLOVES	\$ 500
SAGINAW FUTURES, INC. 515 N. WASHINGTON AVE., THIRD FLOOR SAGINAW, MI 48607			ECONOMIC DEVELOPMENT	4,000
SAGINAW VALLEY STATE UNIVERSITY 7400 BAY RD UNIVERSITY CENTER, MI 48710			SCHOLARSHIPS	20,000
SAGINAW VALLEY STATE UNIVERSITY 7400 BAY RD UNIVERSITY CENTER, MI 48710			CONSTRUCT BUSINESS CENTER	25,000
THE SALVATION ARMY 2030 N. CAROLINA ST SAGINAW, MI 48605			CHARITABLE OPERATION	3,000
SPECIAL NEEDS VISION CLINIC 3660 SOUTHFIELD DR SAGINAW, MI 48601			OPERATION OF SPECIAL NEEDS CLINIC	2,500
SPECIAL OLYMPICS OF MICHIGAN CENTRAL MICHIGAN UNIVERSITY MT. PLEASANT, MI 48859			RUN BASKETBALL COMPETITION	3,000
SVRC 203 S. WASHINGTON AVE., SUITE 310 SAGINAW, MI 48607			CONSTRUCT MOBILITY RAMPS	3,000
UNDERGROUND RAILROAD, INC. 5647 STATE ST., SUITE A SAGINAW, MI 48603			FACILITY UP GRADES	5,000
YMCA - BOYS & GIRLS CLUB 1915 FORDNEY ST SAGINAW, MI 48601			KIDS CAMPUS	10,000
Total				3a \$ 224,778
b Approved for future payment				
Total				3b

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

- 1** Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
- | | Yes | No |
|---|-----|----|
| a Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| (1) Cash | | X |
| (2) Other assets | | X |
| b Other transactions: | | |
| (1) Sales of assets to a noncharitable exempt organization | | X |
| (2) Purchases of assets from a noncharitable exempt organization | | X |
| (3) Rental of facilities, equipment, or other assets | | X |
| (4) Reimbursement arrangements | | X |
| (5) Loans or loan guarantees | | X |
| (6) Performance of services or membership or fundraising solicitations | | X |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees | | X |
| d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
NOT APPLICABLE			

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? Yes No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee: *Michael A. Tate* Date: *1/28/2020* Title: *Vice President*

May the IRS discuss this return with the preparer shown below? See instructions Yes No

Paid Preparer Use Only

Print/Type preparer's name THOMAS R. ZEROS	Preparer's signature <i>Thomas R. Zeros</i>	Date 01/22/2020	Check <input checked="" type="checkbox"/> if self-employed	PTIN P00227609
Firm's name ZEROS & ZEROS CPA PLLC	Firm's EIN 38-3572204	Firm's address 4028 STATE ST., STE D, SAGINAW, MI 48603-4070	Phone no 989-791-1166	

FRANK N. ANDERSEN FOUNDATION, INC.

38-6062616

FORM 990-PF - 2019

ADDITIONAL PAGE 6 - PART VIII - LINE 1:

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JOHN J. HAUSBECK, 3443 S. WASHINGTON, SAGINAW, MI 48601	TRUSTEE	400	NONE	NONE
ARNOLD L. JOHNSON 7306 CUSTA WAY DR., N.D. ROCKFORD, MI 49341-9494	TRUSTEE	800	NONE	NONE

FRANK N. ANDERSEN FOUNDATION

FORM 990-PF - 2019

38-6062616

PAGE 2 - PART II - LINE 10b - INVESTMENTS - CORPORATE STOCK:

		End of Year	
		Book Value	Fair Market Value
4000	Shares Associated Banc Corp	\$ 103,953	\$ 104,000
400	Shares Bank Amer Corp Perpetual 7.25% PFD	453,379	579,600
8000	Shares Bank Amer Corp INCM CP OBG NT	197,484	215,680
4000	Shares HSBC Holdings PLC PFD Ser A 6.20%	101,647	107,520
9000	Shares PNC Finl Svcs Group Inc 5.375%	298,570	307,320
425	Shares Wells Fargo Co 7.5%	504,164	616,250
3	Shares Berkshire Hathaway 6L A	949,538	1,018,770
1600	Shares Berkshire Hathaway CL B	347,157	362,400
500	Shares Honeywell International	90,882	88,500
200	Shares Neurocrine Biosciences	19,505	21,498
300	Shares Trex Inc	24,418	26,964
TOTAL - LINE 10b		\$ <u>3,090,697</u>	\$ <u>3,448,502</u>

PAGE 2 - PART II - LINE 10c - INVESTMENTS - CORPORATE BONDS:

Apple Inc	02/23/36	4.5%	\$ <u>206,642</u>	\$ <u>243,054</u>
TOTAL - LINE 10c			\$ <u>206,642</u>	\$ <u>243,054</u>

PAGE 2 - PART II - LINE 13 - INVESTMENTS - OTHER:

12505.97	Shares Fidelity Contra Fund	\$ 130,000	\$ 171,457
500	Shares SPDR SER TR SPDR Bloomberg	45,765	45,715
400	Shares Invesco QQQ Tr Unit Ser 1	75,943	85,044
1000	Shares RBB fd Motley Fool 100	20,835	24,980
1000	Shares MFAM Small Cap Growth	26,004	25,670
500	Shares Select Sector SPDR TR Healthcare	51,015	50,930
1000	Shares Select Sector SPDR TR Amex Financial	30,960	30,780
500	Shares Select Sector SPDR TR Technology	45,235	45,835
600	Shares Vanguard Div App	71,784	74,796
200	Shares Vanguard World Fds	48,242	48,970
500	Shares Vanguard Index Growth	87,467	91,085
400	Shares Vanguard Index Total Stk	57,635	65,448
2500	Shares Vanguard Bd Index	200,185	209,650
200	Shares Vanguard Scottsdale Long-Term Corp	20,402	20,272
400	Shares Vanguard Real Estate ETF	33,865	37,116
2125.399	Shares Polen Growth - Retail	60,000	72,583
1029.866	Shares Jensen Quality Growth	50,000	53,316
548.196	Shares T Rowe Price Blue Chip Growth	60,000	68,168
18181.818	Shares Morgan Stanley Core Plus Fixed Inc	200,000	208,727
TOTAL - LINE 13		\$ <u>1,315,337</u>	\$ <u>1,430,542</u>