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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶Do not enter social security numbers on this form as it may be made public.

▶Go to *www.irs.gov/Form990PF* for instructions and the latest information.

OMB No 1545-0047

2019

Open to Public Inspection

For calendar year 2019 or tax year beginning , and ending

Name of foundation: HARVEY RANDALL WICKES FOUNDATION

A Employer identification number: 38-6061470

Number and street (or P O box number if mail is not delivered to street address): 4301 FASHION SQUARE BLVD. Room/suite:

B Telephone number (see instructions): 989-799-1850

City or town, state or province, country and ZIP or foreign postal code: SAGINAW MI 48603

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations check here ▶ ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ $ 47,504,098

J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d), must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☒ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	1,020,062	965,015		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	1,352,279			
	b	Gross sales price for all assets on line 6a 4,248,457				
	7	Capital gain net income (from Part IV, line 2)		1,534,032		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	0	-8,298		
	12	**Total.** Add lines 1 through 11	2,372,341	2,490,749	0	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	110,692	39,579		71,113
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule) STMT 1	24,350	18,262		6,088
	c	Other professional fees (attach schedule) STMT 2	76,324	76,324		
	17	Interest				
	18	Taxes (attach schedule) (see instructions) STMT 3	31,905	3,787		5,118
	19	Depreciation (attach schedule) and depletion STMT 4	362	362		
	20	Occupancy	10,260	3,591		6,669
	21	Travel, conferences, and meetings				
	22	Printing and publications	987	987		
	23	Other expenses (att sch) STMT 5	12,294	4,128		8,166
	24	**Total operating and administrative expenses.** Add lines 13 through 23	267,174	147,020	0	97,154
	25	Contributions, gifts, grants paid	2,138,897			2,138,897
	26	**Total expenses and disbursements.** Add lines 24 and 25	2,406,071	147,020	0	2,236,051
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-33,730			
	b	**Net investment income** (if negative, enter -0-)		2,343,729		
	c	**Adjusted net income** (if negative, enter -0-)			0	

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets				
1	Cash – non-interest-bearing	114,229	66,542	66,542
2	Savings and temporary cash investments	610,622	279,023	279,023
3	Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (att schedule) ▶			
	Less allowance for doubtful accounts ▶ 0			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments – U S and state government obligations (attach schedule)			
b	Investments – corporate stock (attach schedule) SEE STMT 6	107,970	107,970	31,970
c	Investments – corporate bonds (attach schedule) SEE STMT 7	6,449,200	6,599,202	7,368,236
11	Investments – land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach sch) ▶			
12	Investments – mortgage loans			
13	Investments – other (attach schedule) SEE STATEMENT 8	28,001,141	28,189,149	39,757,152
14	Land, buildings, and equipment basis ▶ 10,696			
	Less accumulated depreciation (attach sch) ▶ STMT 9 9,521	1,537	1,175	1,175
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers – see the instructions Also, see page 1, item I)	35,284,699	35,243,061	47,504,098
Liabilities				
17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶ SEE STATEMENT 10)	14,549	6,641	
23	**Total liabilities** (add lines 17 through 22)	14,549	6,641	
Net Assets or Fund Balances				
	Foundations that follow FASB ASC 958, check here ▶ ☐ and complete lines 24, 25, 29, and 30.			
24	Net assets without donor restrictions			
25	Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ ☒ and complete lines 26 through 30.			
26	Capital stock, trust principal, or current funds			
27	Paid-in or capital surplus, or land, bldg , and equipment fund			
28	Retained earnings, accumulated income, endowment, or other funds	35,270,150	35,236,420	
29	**Total net assets or fund balances** (see instructions)	35,270,150	35,236,420	
30	**Total liabilities and net assets/fund balances** (see instructions)	35,284,699	35,243,061	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	35,270,150
2	Enter amount from Part I, line 27a	2	-33,730
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	35,236,420
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 29	6	35,236,420

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P – Purchase D – Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo day, yr)
1a	PUBLICLY TRADED SECURITIES	P	VARIOUS	VARIOUS
b	K-1'S	P	VARIOUS	VARIOUS
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a	4,248,457		2,896,178	1,352,279
b	181,753			181,753
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j) if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				1,352,279
b				181,753
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } — 2 — 1,534,032

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c) See instructions If (loss), enter -0- in Part I, line 8 — 3 — 1,534,032

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation doesn't qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2018	2,172,047	45,408,357	0.047834
2017	2,045,216	44,325,929	0.046140
2016	2,152,086	41,240,572	0.052184
2015	2,157,084	43,501,021	0.049587
2014	2,014,308	44,413,696	0.045353

2	Total of line 1, column (d)	2	0.241098
3	Average distribution ratio for the 5-year base period – divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	0.048220
4	Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	44,898,638
5	Multiply line 4 by line 3	5	2,165,012
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	23,437
7	Add lines 5 and 6	7	2,188,449
8	Enter qualifying distributions from Part XII, line 4	8	2,236,051

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(c), or 4948 see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b		1	23,437
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations, enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		2	0
3	Add lines 1 and 2		3	23,437
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	23,437
6	Credits/Payments			
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a 15,400		
b	Exempt foreign organizations – tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments Add lines 6a through 6d		7	15,400
8	Enter any **penalty** for underpayment of estimated tax Check here [X] if Form 2220 is attached		8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	8,037
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	
11	Enter the amount of line 10 to be **Credited to 2020 estimated tax** ▶ Refunded ▶		11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition	1b		X
	If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ ____ (2) On foundation managers ▶ $ ____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	X	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	X	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by *General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X	
8a	Enter the states to which the foundation reports or with which it is registered See instructions ▶ MI			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G*? If "No," attach explanation	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See instructions for Part XIV If "Yes," complete Part XIV	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

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Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ CRAIG W. HORN Telephone no ▶ 989-799-1850
4301 FASHION SQUARE BLVD
Located at ▶ SAGINAW MI ZIP+4 ▶ 48603

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year, did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? **(Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance? See instructions		1b		X
	Organizations relying on a current notice regarding disaster assistance, check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019?	☐ Yes ☒ No			
	If "Yes," list the years ▶ 20 , 20 , 20 , 20				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement – see instructions)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20 , 20 , 20 , 20				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2019 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019)	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?		4b		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

				Yes	No
5a	During the year did the foundation pay or incur any amount to				
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? See instructions	☐ Yes ☒ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance? See instructions	N/A	5b		
	Organizations relying on a current notice regarding disaster assistance, check here	▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	N/A ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)				
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		X
	If "Yes" to 6b, file Form 8870				
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No			
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment(s) during the year?	☐ Yes ☒ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 11				

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
CLEARSTEAD ADVISORS CLEVELAND 1100 SUPERIOR AVENUE E, SUITE 700 OH 44114	INVESTMENT SVCS	66,432
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ▶	

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Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	45,523,855
b	Average of monthly cash balances	1b	57,010
c	Fair market value of all other assets (see instructions)	1c	1,509
d	**Total** (add lines 1a, b, and c)	1d	45,582,374
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	45,582,374
4	Cash deemed held for charitable activities Enter 1½% of line 3 (for greater amount, see instructions)	4	683,736
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	44,898,638
6	**Minimum investment return.** Enter 5% of line 5	6	2,244,932

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	2,244,932
2a	Tax on investment income for 2019 from Part VI, line 5 — 2a: 23,437		
b	Income tax for 2019 (This does not include the tax from Part VI) — 2b:		
c	Add lines 2a and 2b	2c	23,437
3	Distributable amount before adjustments Subtract line 2c from line 1	3	2,221,495
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	2,221,495
6	Deduction from distributable amount (see instructions)	6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	2,221,495

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc – total from Part I, column (d), line 26	1a	2,236,051
b	Program-related investments – total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	2,236,051
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b See instructions	5	23,437
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	2,212,614

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2018 from Part XI, line 7				2,221,495
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only			2,233,438	
b Total for prior years 20____ , 20____ , 20____				
3 Excess distributions carryover, if any, to 2019				
a From 2014				
b From 2015				
c From 2016				
d From 2017				
e From 2018				
f **Total** of lines 3a through e				
4 Qualifying distributions for 2019 from Part XII, line 4 ▶ $ 2,236,051				
a Applied to 2018, but not more than line 2a			2,233,438	
b Applied to undistributed income of prior years (Election required – see instructions)				
c Treated as distributions out of corpus (Election required – see instructions)				
d Applied to 2019 distributable amount				2,613
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount – see instructions				
e Undistributed income for 2018 Subtract line 4a from line 2a Taxable amount – see instructions				
f Undistributed income for 2019 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2020				2,218,882
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions)				
9 **Excess distributions carryover to 2020.** Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2015				
b Excess from 2016				
c Excess from 2017				
d Excess from 2018				
e Excess from 2019				

DAA

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year (a) 2019	Prior 3 years (b) 2018	(c) 2017	(d) 2016	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4, for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test – enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test – enter 2/3 of minimum investment return shown in Part X, line 6, for each year listed					
c "Support" alternative test – enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year – see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc , Programs**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc , to individuals or organizations under other conditions, complete items 2a, b, c, and d See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed

CRAIG W. HORN 989-799-1850
4301 FASHION SQ. BLVD. SAGINAW MI 48603

b The form in which applications should be submitted and information and materials they should include

SEE STATEMENT 12

c Any submission deadlines

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE STATEMENT 13

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE ATTACHED STATEMENT				2,138,897
Total			▶ 3a	2,138,897
b *Approved for future payment*				
SEE ATTACHED STATEMENT				770,000
Total			▶ 3b	770,000

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	965,015	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	1,534,032	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b SEE STATEMENT 14	900001	41,469		-8,298	
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		41,469		2,490,749	0

13 **Total.** Add line 12, columns (b), (d), and (e) 13 2,532,218

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
N/A	

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
N/A			

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

May the IRS discuss this return with the preparer shown below? See instructions ☒ Yes ☐ No

Signature of officer or trustee | Date 6/11/20 | Title PRESIDENT

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed
LAURA M. EBEL	Laura M Ebel	05/12/2020	
Firm's name ▶ ANDREWS HOOPER PAVLIK PLC		PTIN	P00184232
Firm's address ▶ 1601 MARQUETTE ST STE 4		Firm's EIN ▶	38-3133790
BAY CITY, MI 48706-6392		Phone no	989-667-4900

DAA

Federal Statements

Form 990-PF, Part I, Line 11 - Other Income

Description	Revenue per Books	Net Investment Income	Adjusted Net Income
BUCKEYE PARTNERS LP - PTP	$	$ -8,219	$
TC PIPELINE LP - PTP		7	
ENTERPRISE PRODUCTS LP - PTP		-86	
TOTAL	$	$ -8,298	$ 0

Statement 1 - Form 990-PF, Part I, Line 16b - Accounting Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
AUDIT AND 990PF PREP FEES	$ 24,350	$ 18,262	$	$ 6,088
TOTAL	$ 24,350	$ 18,262	$ 0	$ 6,088

Statement 2 - Form 990-PF, Part I, Line 16c - Other Professional Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
MERRILL LYNCH INVESTMENT FEES	$ 150	$ 150	$	$
AGINCOURT CAPITAL INVESTMENT FEE	9,742	9,742		
HARTLAND & CO. INVESTMENT FEES	66,432	66,432		
TOTAL	$ 76,324	$ 76,324	$ 0	$ 0

Federal Statements

Statement 3 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
FEDERAL TAXES	$ 23,000	$	$	$
PAYROLL TAXES	8,066	2,987		5,079
PROPERTY TAXES	60	21		39
FOREIGN TAX	779	779		
TOTAL	$ 31,905	$ 3,787	$ 0	$ 5,118

Statement 4 - Form 990-PF, Part I, Line 19 - Depreciation

Description / Date Acquired	Cost Basis	Prior Year Depreciation	Method	Life	Current Year Depreciation	Net Investment Income	Adjusted Net Income
4 DRAWER FILING CABINET							
10/15/77	$ 263	$ 263	S/L	10	$	$	$
4 DRAWER FILING CABINET							
2/15/81	316	316	S/L	10			
FILING CABINET							
11/19/85	225	225	S/L	10			
OFFICE EQUIPMENT							
1/05/89	606	606	S/L	10			
HIGH BACK CHAIR							
2/28/95	750	750	S/L	5			
OFFICE FURNITURE							
5/11/98	2,542	2,542	S/L	7			
(2) DICTATING MACHINES							
11/02/98	1,198	1,198	S/L	5			
4-DRAWER FILING CABINET							
8/22/02	1,449	1,449	S/L	10			
LATERAL FILE							
6/18/07	340	340	S/L	10			
LENOVO THINKCENTRE COMPUTER							
7/24/13	1,199	1,199	S/L	5			
COPIER/SCANNER							
4/09/18	1,808	271	S/L	5	362	362	
TOTAL	$ 10,696	$ 9,159			$ 362	$ 362	$ 0

Federal Statements

Statement 5 - Form 990-PF, Part I, Line 23 - Other Expenses

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
	$	$	$	$
EXPENSES				
MISCELLANEOUS	3,457	1,210		2,247
OTHER INSURANCE	8,337	2,918		5,419
COUNCIL OF MI FOUNDATIONS	500			500
TOTAL	$ 12,294	$ 4,128	$ 0	$ 8,166

Statement 6 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
SEE STATEMENT - CORPORATE STOCK	$ 107,970	$ 107,970	COST	$ 31,970
TOTAL	$ 107,970	$ 107,970		$ 31,970

Statement 7 - Form 990-PF, Part II, Line 10c - Corporate Bond Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
SEE STATEMENT - BONDS	$ 6,449,200	$ 6,599,202	COST	$ 7,368,236
TOTAL	$ 6,449,200	$ 6,599,202		$ 7,368,236

Statement 8 - Form 990-PF, Part II, Line 13 - Other Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
SEE STATEMENT - MUTUAL FUNDS	$ 23,543,062	$ 23,926,421	COST	$ 33,601,424
SEE STATEMENT - ALT INVESTMENTS	4,458,079	4,262,728	COST	6,155,728
TOTAL	$ 28,001,141	$ 28,189,149		$ 39,757,152

Statement 9 - Form 990-PF, Part II, Line 14 - Land, Building, and Equipment

Description	Beginning Net Book	End Cost / Basis	End Accumulated Depreciation	Net FMV
BUILDINGS/EQUIPMENT	$ 1,537	$ 10,696	$ 9,521	$ 1,175
TOTAL	$ 1,537	$ 10,696	$ 9,521	$ 1,175

Statement 10 - Form 990-PF, Part II, Line 22 - Other Liabilities

Description	Beginning of Year	End of Year
FEDERAL EXCISE TAX PAYABLE	$ 13,397	$ 5,504
OTHER LIABILITIES	1,152	1,137
TOTAL	$ 14,549	$ 6,641

Federal Statements

Statement 11 - Form 990-PF, Part VIII, Line 1 - List of Officers, Directors, Trustees, Etc.

Name and Address	Title	Average Hours	Compensation	Benefits	Expenses
MARY LOU CASE 4301 FASHION SQ. BLVD. SAGINAW MI 48603	TREASURER	0.69	1,000	0	0
ELLEN E. CRANE 4301 FASHION SQ. BLVD. SAGINAW MI 48603	VICE PRES.	0.69	1,500	0	0
PETER EWEND 4301 FASHION SQ. BLVD SAGINAW MI 48603	TRUSTEE	0.35	750	0	0
RICHARD HEUSCHELE 4301 FASHION SQ. BLVD. SAGINAW MI 48603	TRUSTEE	0.35	250	0	0
CRAIG W. HORN 4301 FASHION SQ. BLVD. SAGINAW MI 48603	PRESIDENT	20.00	75,000	0	0
RICHARD KATZ 4301 FASHION SQ. BLVD. SAGINAW MI 48603	TRUSTEE	0.35	1,000	0	0
MICHELE PAVLICEK 4301 FASHION SQ. BLVD. SAGINAW MI 48603	SECRETARY	22.31	30,442	0	0
JASON TUNNEY 4301 FASHION SQ. BLVD. SAGINAW MI 48603	TRUSTEE	0.35	750	0	0

Statement 12 - Form 990-PF, Part XV, Line 2b - Application Format and Required Contents

Description

REQUESTS FOR FUNDS SHOULD BE IN WRITTEN FORM INCLUDING SPECIFIC DETAILS AS TO THE INTENDED USE OF THE FUNDS.

Statement 13 - Form 990-PF, Part XV, Line 2d - Award Restrictions or Limitations

Description

CHARITABLE AND CIVIC ORGANIZATIONS LOCATED PRIMARILY IN THE SAGINAW, MICHIGAN AREA.

Statement 14 - Form 990-PF, Part XVI-A, Line 11 - Other Revenue

Description	Business Code	Unrelated Amount	Exclusion Code	Exclusion Amount	Related Income
BUCKEYE PARTNERS LP - PTP		$	14	$ -8,219	$
TC PIPELINE LP - PTP			14	7	
ENTERPRISE PRODUCTS LP - PT			14	-86	
ENTERPRISE PRODUCTS LP - PT	900001	9,649			
BUCKEYE PARTNERS LP - PTP	900001	10,070			
TC PIPELINES LP - PTP	900001	21,750			
TOTAL		$ 41,469		$ -8,298	$ 0

Harvey Randall Wickes Foundation #38-6061470
Year Ended December 31, 2019
Form 990-PF, Part II, Line 10b - Corporate Stock Investments

		December 31, 2019	
	Shares	Cost	Market Value
Corporate stocks (common unless otherwise noted)			
Huntington Bancshares	2,120	$ 107,970	$ 31,970
Total corporate stocks		$ 107,970	$ 31,970

Harvey Randall Wickes Foundation #38-6061470
Year Ended December 31, 2019
Form 990-PF, Part II, Line 10c - Corporate Bond Investments

	Maturity Value	December 31, 2019 Cost	Market Value
Bonds			
Abbott Laboratories, 3.40% Bonds, due 11/30/23	$ 3,000	$ 3,002	$ 3,151
Abbott Laboratories, 3.75% Bonds, due 11/30/26	7,000	7,181	7,639
Abbvie Inc, 2.90% Bonds, due 11/06/22	25,000	24,123	25,496
Abbvie Inc, 4.25% Bonds, due 11/14/28	15,000	16,330	16,581
Aetna, Incorporated, 7.25% Debentures, due 8/15/23	150,000	148,385	174,391

Harvey Randall Wickes Foundation #38-6061470
Year Ended December 31, 2019
Form 990-PF, Part II, Line 10c - Corporate Bond Investments

	Maturity Value	December 31, 2019 Cost	December 31, 2019 Market Value
Bonds (continued)			
Aetna, Incorporated, 7.625% Guarantee, due 8/15/26	$ 200,000	$ 206,591	$ 253,836
America Movil, 6.375% Bonds, due 3/01/35	10,000	11,993	13,417
American Express, 2.70% Bonds, due 3/03/22	10,000	9,985	10,158
American Express, 2.50% Bonds, due 8/01/22	25,000	24,441	25,278
Ameriprise Financial, 4.00% Bonds, due 10/15/23	10,000	10,589	10,668
Ameriprise Financial, 3.70% Bonds, due 10/15/24	10,000	10,245	10,677
Amgen Incorporated, 3.125% Bonds, due 5/01/25	15,000	15,111	15,658
Analog Devices Inc., 3.90% Bonds, due 12/15/25	15,000	15,054	16,054
Analog Devices Inc., 3.50% Bonds, due 12/05/26	5,000	5,063	5,242
Anheuser-Busch Inc., 3.65% Bonds, due 2/01/26	30,000	31,231	32,045
Anthem, Inc. 3.70% Bonds, due 8/15/21	10,000	10,085	10,223
Anthem, Inc 3.125% Bonds, due 5/15/22	10,000	10,041	10,248
Anthem, Inc. 3.30% Bonds, due 1/15/23	15,000	14,793	15,514
Apple, Inc. 4.65% Bonds, due 2/23/46	10,000	11,755	12,489
APTIV PLC, 4.25% Bonds, due 1/15/26	5,000	5,351	5,384
Atmos Energy Co., 4.125% Bonds, due 10/15/44	5,000	5,258	5,606
AT&T Inc , 4.45% Bonds, due 4/01/24	20,000	20,750	21,653
AT&T Inc., 5.35% Bonds, due 9/01/40	10,000	10,030	11,960
AT&T Wireless SVCS, Inc., 8.75% Senior Notes, due 3/01/31	200,000	208,098	296,074
Avangrid, Inc., 6 75% Bonds, due 7/15/36	10,000	11,377	13,824
Bank of America, 3.30% Bonds, due 1/11/23	25,000	25,000	25,854
Bank of America, 3.875% Bonds, due 8/01/25	20,000	20,877	21,439
Bank of America, 3.248% Bonds, due 10/21/27	5,000	5,196	5,188
Berkley WR Corp., 8.70% Debentures, due 1/01/22	186,000	187,559	208,450
Berkshire Hathaway, 6.125% Bonds, due 4/01/36	25,000	27,259	34,287
BNSF, LLC, 3.00% Bonds, due 3/15/23	15,000	15,284	15,413
BP Capital MKT, 3.245% Bonds, due 5/06/22	5,000	5,041	5,161
BP Capital MKT, 3 814% Bonds, due 2/10/24	10,000	10,397	10,671
Bristol-Myers Squibb, 6.80% Bonds, due 11/15/26	10,000	12,416	12,670
British Teleco, 9.625% Bonds, due 12/15/30	15,000	21,874	23,084
Brunswick Corp., 7 125% Bonds, due 8/01/27	100,000	96,659	117,461
Bunge Ltd. Financial Corp., 3.25% Bonds, due 8/15/26	10,000	9,420	9,961
Burlington Resources, Inc., 6.875% Company Guaranteed, due 2/15/26	140,000	146,922	173,526
Canadian Natural Resources, 3.45% Bonds, due 11/15/21	15,000	15,160	15,321
Canadian Natural Resources, 2.95% Bonds, due 1/15/23	15,000	15,051	15,278

Harvey Randall Wickes Foundation #38-6061470
Year Ended December 31, 2019
Form 990-PF, Part II, Line 10c - Corporate Bond Investments

	Maturity Value	December 31, 2019 Cost	Market Value
Bonds (continued)			
Canadian Natural Resources, 3.85% Bonds, due 6/01/27	$ 10,000	$ 10,267	$ 10,643
Canadian Pacific, 4.45% Bonds, due 3/15/23	5,000	5,304	5,304
Canadian Pacific, 2.90% Bonds, due 2/01/25	10,000	9,453	10,242
Capital One Financial, 3.45% Bonds, due 4/30/21	5,000	4,996	5,087
Capital One Financial, 4 75% Bonds, due 7/15/21	15,000	15,552	15,593
Capital One Financial, 3.50% Bonds, due 6/15/23	15,000	15,106	15,568
Champion Intl. Corp., 7.35% Debentures, due 11/01/25	190,000	187,782	229,440
Chubb Corp., 3.15% Bonds, due 3/15/25	5,000	4,930	5,250
Citigroup Inc., 4.50% Bonds, due 1/14/22	50,000	51,871	52,372
Citigroup Inc., 2.70% Bonds, due 4/25/22	10,000	9,640	10,161
Citigroup Inc., 3.20% Bonds, due 10/21/26	5,000	5,204	5,172
Citigroup Inc., 3 093% REMIC, due 4/12/46	35,000	35,559	35,859
Coca Cola Company, 2.875% Bonds, due 10/27/25	15,000	15,016	15,628
Collins Aerospace, 3.20% Bonds, due 3/15/24	10,000	9,910	10,406
Collins Aerospace, 3.50% Bonds, due 3/15/27	15,000	15,833	15,955
Comcast Corp., 3.95% Bonds, due 10/15/25	5,000	4,981	5,449
Comcast Corp., 3.15% Bonds, due 3/01/26	5,000	5,059	5,225
Comcast Corp., 3.90% Bonds, due 3/01/38	10,000	10,521	11,035
Conoco Phillips, 6.50% Bonds, due 2/01/39	10,000	12,743	14,490
Constellation Brands, 4.25% Bonds, due 5/01/23	5,000	5,213	5,296
Constellation Brands, 4.75% Bonds, due 11/15/24	10,000	10,512	11,042
Constellation Brands, 4.75% Bonds, due 12/01/25	10,000	10,656	11,123
CSX Corp., 6 22% Bonds, due 4/30/40	10,000	12,055	13,565
CSX Corp , 8.625% Debentures, due 5/15/22	140,000	144,869	159,734
CVS Health Corp., 3.70% Bonds, due 3/09/23	15,000	15,060	15,611
CVS Health Corp., 4.30% Bonds, due 3/25/28	15,000	15,329	16,348
Dayton Hudson Corporation, 9.35% Bonds, due 6/16/20	100,000	100,000	103,154
Deutsche Mortgage, 3 612% REMIC, due 6/12/46	20,000	21,084	20,792
Deutsche Mortgage, 4.046% REMIC, due 10/15/46	35,000	37,894	37,080
Deutsche Teleko, 8.75% Company Guaranteed, due 6/15/30	15,000	17,929	22,009
Devon Energy Corporation, 5.85% Bonds, due 12/15/25	5,000	5,621	5,917
Diageo Capital PLC, 4.828% Bonds, due 7/15/20	10,000	10,225	10,151
Digital Realty, 2.75% Bonds, due 2/01/23	30,000	29,606	30,297
Dominion Gas Hold, 3.6% Bonds, due 12/15/24	20,000	20,619	20,985
DPH Holdings Corp., 4.15% Bonds, due 3/15/24	5,000	5,141	5,288

Harvey Randall Wickes Foundation #38-6061470
Year Ended December 31, 2019
Form 990-PF, Part II, Line 10c - Corporate Bond Investments

	Maturity Value	December 31, 2019 Cost	December 31, 2019 Market Value
Bonds (continued)			
Duke Energy Field, 3.15% Bonds, due 8/15/27	$ 5,000	$ 5,251	$ 5,132
Duke Energy Field. 8.125% Bonds, due 8/16/30	50,000	49,538	61,250
Duke Energy Field, 6.00% Bonds, due 12/01/39	15,000	17,250	19,458
Eaton Corp, 2.75% Bonds, due 11/02/22	10,000	10,011	10,201
Eaton Corp, 3.103% Bonds, due 9/15/27	5,000	5,232	5,185
Energy Transfer Corp, 4.95% Bonds, due 6/15/28	5,000	4,999	5,467
Enterprise Products, 4.85% Bonds, due 3/15/44	10,000	10,083	11,572
Equinor ASA, 5 10% Bonds, due 8/17/40	5,000	5,600	6,372
FedEx Corp., 4 90% Bonds, due 1/15/34	10,000	10,403	11,308
FHLMC C0-9022, 3.00%, due 1/01/43	90,000	46,906	47,221
FHLMC C0-9035, 3.00%, due 4/01/43	35,000	18,073	18,656
FHLMC E0-9015, 2.50%, due 12/01/27	30,000	9,288	9,009
FHLMC G0-2794, 6.00%, due 5/01/37	60,000	924	1,128
FHLMC G0-8528, 3.00%, due 4/01/43	50,000	25,001	26,523
FHLMC G0-8640, 3.00%, due 5/01/45	50,000	30,653	31,489
FHLMC G0-8653, 3 00%, due 7/01/45	115,000	73,149	74,433
FHLMC G0-8710, 3.00%, due 6/01/46	125,000	85,934	90,054
FHLMC G0-8726, 3.00%, due 10/01/46	40,000	29,598	29,730
FHLMC G1-1879, 5.00%, due 10/01/20	150,000	188	246
FHLMC G1-6164, 2.50%, due 1/01/30	40,000	24,211	24,287
FHLMC G1-8246, 4.50%, due 4/01/23	454,528	7,526	7,334
Fiserv Inc., 2.70% Bonds, due 6/01/20	10,000	10,022	10,023
Fiserv Inc., 3 50% Bonds, due 10/01/22	5,000	4,994	5,179
Fiserv Inc., 3.80% Bonds, due 10/01/23	5,000	5,006	5,271
Fiserv Inc., 3.85% Bonds, due 6/01/25	10,000	10,006	10,638
Fiserv Inc., 3.50% Bonds, due 7/01/29	5,000	4,998	5,257
FNMA PL AB2092, 4.00%, due 1/01/41	105,000	39,191	42,040
FNMA PL AB2172, 4.00%, due 2/01/41	40,000	14,686	15,069
FNMA PL AH2366, 3.50%, due 1/01/26	105,000	13,803	14,183
FNMA PL AH2659, 3.50%, due 1/01/26	105,000	11,004	10,972
FNMA PL AH3394, 4.00%, due 1/01/41	155,000	31,128	31,235
FNMA PL AL6290, 3.50%, due 12/01/27	25,000	7,705	7,541
FNMA PL AL9105, 4 50%, due 10/01/46	25,000	12,859	12,706
FNMA PL AS6520, 3.50%, due 1/01/46	60,000	36,925	38,305
FNMA PL AS7112, 3.50%, due 5/01/46	50,000	29,334	29,003

Harvey Randall Wickes Foundation #38-6061470
Year Ended December 31, 2019
Form 990-PF, Part II, Line 10c - Corporate Bond Investments

	Maturity Value	December 31, 2019 Cost	December 31, 2019 Market Value
Bonds (continued)			
FNMA PL AS7343, 3.00%, due 6/01/46	$ 65,000	$ 46,688	$ 46,412
FNMA PL AS8573, 3.50%, due 12/01/46	35,000	24,529	25,052
FNMA PL BA0849, 3.50%, due 4/01/46	25,000	16,427	16,378
FNMA PL BM5024, 3.00%, due 11/01/48	25,000	22,165	22,918
FNMA PL BM5484, 4.00%, due 3/01/49	35,000	27,555	28,188
FNMA PL MA0533, 3.00%, due 9/01/37	65,000	9,926	10,514
FNMA PL MA2578, 3.50%, due 4/01/46	50,000	29,139	29,244
FNMA PL MA2705, 3.00%, due 8/01/46	25,000	16,932	18,225
FNMA PL MA2879, 4.00%, due 1/01/47	40,000	24,812	25,182
FNMA PL MA2956, 3.00%, due 4/01/47	30,000	22,836	24,692
FNMA PL MA3088, 4.00%, due 8/01/47	25,000	18,103	18,076
FNMA PL MA3210, 3.50%, due 12/01/47	143,000	115,211	117,043
FNMA PL MA3467, 4.00%, due 9/01/48	25,000	17,214	17,852
FNMA PL MA3871, 3.00%, due 12/01/49	65,000	65,694	65,758
FNMA PL 735502, 6.00%, due 4/01/35	170,000	2,034	4,339
FNMA PL 735503, 6.00%, due 4/01/35	225,000	3,354	6,980
FNMA PL 745275, 5.00%, due 2/01/36	355,000	12,040	12,215
FNMA PL 745355, 5.00%, due 3/01/36	135,000	3,521	4,709
FNMA PL 942987, 6.00%, due 9/01/37	40,000	572	653
FNMA PL 948156, 5.50%, due 11/01/22	39,780	267	286
FNMA PL 995023, 5 50%, due 8/01/37	410,000	16,500	19,141
Friendly Financial, 2.70% Bonds, due 1/27/22	5,000	4,880	5,068
General Motors Co , 4 20% Bonds, due 03/01/21	25,000	25,218	25,525
Gilead Sciences, 3.50% Bonds, due 2/01/25	5,000	5,086	5,304
Gilead Sciences, 4.80% Bonds, due 4/01/44	5,000	5,684	5,979
GlaxoSmithKline, 3.375% Bonds, due 5/15/23	20,000	19,863	20,828
GNMA PL MA0783M, 3.50%, due 2/20/43	305,000	96,730	96,973
GNMA PL MA1012M, 3.50%, due 5/20/43	45,000	15,062	15,659
GNMA PL MA1157M, 3 50%, due 7/20/43	85,000	29,236	29,447
GNMA PL MA3662M, 3.50%, due 5/20/46	25,000	13,985	15,118
GNMA PL MA4837M, 3.50%, due 11/20/47	45,000	34,245	35,395
Goldman Sachs, 5.75% GR Bonds, due 1/24/22	35,000	36,879	37,455
Goodrich (B.F.), 7 00% Bonds, due 4/15/38	75,000	77,613	100,183
Healthcare Trust, 3.70% Bonds, due 4/15/23	5,000	4,928	5,166
Healthcare Trust, 3.50% Bonds, due 8/01/26	10,000	10,353	10,428

Harvey Randall Wickes Foundation #38-6061470
Year Ended December 31, 2019
Form 990-PF, Part II, Line 10c - Corporate Bond Investments

	Maturity Value	December 31, 2019 Cost	December 31, 2019 Market Value
Bonds (continued)			
Home Depot Inc., 5.40% Bonds, due 9/15/40	$ 10,000	$ 11,397	$ 13,093
Household Financing Co., 7.625% Global Bonds, due 5/17/32	240,000	249,381	299,976
HSBC Holdings PLC, 5.10% Bonds, due 4/05/21	5,000	5,169	5,180
International Paper Co., 6.00% Bonds, due 11/15/41	10,000	10,614	12,420
Interpublic Group, 4.00% Bonds, due 3/15/22	5,000	5,102	5,174
Interpublic Group, 4.20% Bonds, due 4/15/24	15,000	15,825	16,112
Johnson & Johnson, 4.375% Bonds, due 12/05/33	5,000	5,379	5,944
JPMorgan Chase, 2.55% Bonds, due 3/01/21	15,000	15,038	15,084
JPMorgan Chase, 4.35% Bonds, due 8/15/21	45,000	46,545	46,671
JPMorgan Chase, 4 50% Bonds, due 1/24/22	5,000	5,167	5,243
JPMorgan Chase, 3 625% Bonds, due 5/13/24	5,000	5,112	5,284
JPMorgan Chase, 3.90% Bonds, due 7/15/25	5,000	5,440	5,394
Kroger Company, 3.30% Bonds, due 1/15/21	15,000	15,047	15,160
Lakehead Pipeline LP, 7.125% Senior Notes, due 10/01/28	50,000	49,034	59,655
Lincoln National Corp., 4.85% Bonds, due 6/24/21	10,000	10,246	10,397
Lincoln National Corp., 3.35% Bonds, due 3/09/25	5,000	5,235	5,199
Lincoln National Corp., 3.625% Bonds, due 12/12/26	10,000	10,005	10,541
Lockheed Martin Corp., 4.5% Bonds, due 5/15/36	9,000	9,985	10,656
Lowes Companies, 6.875% Debentures, due 2/15/28	79,000	76,547	100,643
LYB International, 4.00% Bonds, due 7/15/23	20,000	20,116	21,323
LYB International, 3.50% Bonds, due 3/02/27	5,000	4,928	5,232
MacMillan Bloedel LTD, 7.70% Debentures, due 2/15/26	65,000	64,633	79,998
Martin Marietta, 4.25% Bonds, due 7/02/24	20,000	20,422	21,396
Medtronic Inc , 4 375% Bonds, due 3/15/35	4,000	4,184	4,720
Microsoft Corp., 4 20% Bonds, due 11/03/35	10,000	10,108	11,795
Morgan Stanley, 2.625% Bonds, due 11/17/21	20,000	19,497	20,225
Morgan Stanley, 3.125% Bonds, due 1/23/23	10,000	9,795	10,271
Motorola, Inc., 7.50% Debentures, due 5/15/25	120,000	119,710	145,516
National Rural Utilities, 2.95% Bonds, due 2/07/24	15,000	15,170	15,470
National Rural Utilities, 3.40% Bonds, due 2/07/28	5,000	4,995	5,308
Norsk Hydro, 7.75% Company Guaranteed, due 6/15/23	170,000	176,193	201,402
Norsk Hydro, 7.75% Debentures, due 6/15/23	15,000	15,780	17,740
Nucor Corp., 4 125% Bonds, due 9/15/22	15,000	15,509	15,727
Nucor Corp., 5.20% Bonds, due 8/01/43	5,000	5,189	6,209
Nvidia Corp., 3.20% Bonds, due 9/16/26	20,000	19,486	20,910

Harvey Randall Wickes Foundation #38-6061470
Year Ended December 31, 2019
Form 990-PF, Part II, Line 10c - Corporate Bond Investments

	Maturity Value	December 31, 2019 Cost	Market Value
Bonds (continued)			
Occidental Petroleum, 8.45% Bonds, due 2/15/29	$ 175,000	$ 177,464	$ 237,687
Oracle Corp., 5.375% Bonds, due 7/15/40	10,000	11,337	12,960
Orange S.A., 9% Bonds, due 3/01/31	15,000	19,896	23,204
Province De Quebec, 7.125% Debenture, due 2/09/24	35,000	40,928	41,849
Prudential Financial, 3.878% Bonds, due 3/27/28	5,000	4,927	5,469
Prudential Financial, 6.625% Bonds, due 6/21/40	5,000	6,585	7,031
Relx Capital, 3.125% Bonds, due 10/15/22	15,000	14,771	15,509
Relx Capital, 3.50% Bonds, due 03/16/23	5,000	5,006	5,190
Rio Tinto Financial, 3.75% Bonds, due 6/15/25	5,000	4,905	5,365
Roper Technology, 3 125% Bonds, due 11/15/22	5,000	4,913	5,132
Roper Technology, 3.85% Bonds, due 12/15/25	15,000	15,515	16,116
Roper Technology, 4.20% Bonds, due 9/15/28	10,000	11,034	10,935
Ryder System Inc.. 2.25% Bonds, due 9/01/21	10,000	9,803	10,011
Ryder System Inc , 3.45% Bonds, due 11/15/21	5,000	5,003	5,110
Ryder System Inc., 2.80% Bonds due 03/01/22	20,000	20,096	20,311
Shell International Finance, 6.375% Bonds, due 12/15/38	10,000	12,895	14,591
Sherwin Williams, 2.75% Bonds, due 6/01/22	3,000	2,916	3,051
Sherwin Williams, 3.125% Bonds, due 6/01/24	25,000	25,044	25,812
Southern California Gas, 2.45% Bonds, due 10/01/23	10,000	9,726	10,020
Southern California Gas, 3.25% Bonds, due 6/15/26	5,000	4,781	5,135
Southwest Airlines, 2.65% Bonds, due 11/05/20	10,000	9,980	10,049
Southwest Airlines, 3.00% Bonds, due 11/15/26	10,000	9,675	10,215
Southwest Airlines, 6.15% REMIC, due 2/01/24	10,000	4,632	4,230
Sunoco Logistics, 4.65% Bonds, due 2/15/22	15,000	15,416	15,650
Sunoco Logistics, 3 45% Bonds, due 1/15/23	15,000	14,727	15,302
Suntrust Banks, 2.45% Bonds, due 8/01/22	5,000	5,000	5,051
TC Energy Corp., 4.25% Bonds, due 5/15/28	15,000	14,998	16,593
TC Pipelines LP, 4.65% Bonds, due 6/15/21	30,000	30,211	30,865
TCI Communications, 7.875% Bonds, due 2/15/26	5,000	6,007	6,487
TCI, Inc., 7.875% Debentures, due 2/15/26	100,000	109,099	130,233
Telefonica EMI, 5.134% Bonds, due 4/27/20	5,000	5,049	5,047
Telefonica EMI, 7.045% Bonds, due 6/20/36	10,000	12,675	14,068
Thermo Fisher, 4.15% Bonds, due 2/01/24	15,000	15,514	16,072
Toyota Auto, 3.30% Bonds, due 1/12/22	19,000	19,133	19,527
Toyota Motor, 2.90% Notes, due 4/17/24	5,000	4,929	5,173

Harvey Randall Wickes Foundation #38-6061470
Year Ended December 31, 2019
Form 990-PF, Part II, Line 10c - Corporate Bond Investments

	Maturity Value	December 31, 2019 Cost	December 31, 2019 Market Value
Bonds (continued)			
TRW, Inc., 7.75% Debentures, due 6/01/29	$ 70,000	$ 68,860	$ 94,536
UBS-Barclays Co., 3.091% REMIC, due 8/12/49	20,000	20,436	20,382
United Health, 4.625% Bonds, due 11/15/41	10,000	10,131	11,860
US Treasury, 2.25% Notes, due 11/15/27	5,000	5,094	5,139
US Treasury, 2.875% Notes, due 5/15/28	15,000	16,433	16,144
US Treasury, 4 50% Bonds, due 8/15/39	245,000	308,198	332,511
US Treasury, 0% Notes due 5/15/39	180,000	107,555	116,381
USX-Marathon Group, 8.50% Bonds, due 3/01/23	131,000	132,662	149,417
Valero Energy, 6.625% Bonds, due 6/15/37	10,000	11,050	13,233
Ventas Realty, 3.50% Bonds, due 2/01/25	10,000	9,745	10,433
Verizon Communications, 3.50% Bonds, due 11/01/24	5,000	5,113	5,292
Verizon Communications, 4.329% Bonds, due 9/21/28	10,000	11,351	11,335
Verizon Communications, 4.4% Bonds, due 11/01/34	15,000	14,402	17,350
Virginia Electric, 4.65% Bonds, due 8/15/43	10,000	11,075	12,002
Vodafone Group, 3.85% Bonds, due 5/30/25	5,000	4,990	5,402
Vodafone Group, 6.15% Unsecured, due 2/27/37	10,000	11,310	12,854
Waste Management Inc., 4.75% Bonds, due 6/30/20	10,000	10,110	10,132
Waste Management Inc , 3 125% Bonds, due 3/01/25	10,000	10,013	10,449
Waste Management Inc , 3.45% Bonds, due 6/15/29	10,000	10,301	10,680
Wells Fargo Bank, 4.60% Bonds, due 4/01/21	10,000	10,270	10,306
Wells Fargo Bank, 3.625% Bonds, due 7/22/22	10,000	9,665	10,134
Wells Fargo Bank, 3 00% Bonds, due 4/22/26	25,000	25,815	25,714
Wells Fargo Bank. 3 001% REMIC, due 8/17/45	45,000	45,518	45,789
Wells Fargo Bank, 3.678% REMIC, due 8/16/47	10,000	10,021	10,559
Westrock Co , 4 65% Bonds, due 3/15/26	15,000	16,542	16,582
XTO Energy Inc., 6.75% Bonds, due 8/01/37	5,000	6,692	7,339
Total bonds	$ 9,688,308	$ 6,599,202	$ 7,368,236

Harvey Randall Wickes Foundation #38-6061470
Year Ended December 31, 2019
Form 990-PF, Part II, Line 13 - Other Investments

		December 31, 2019	
	Shares	Cost	Market Value
Mutual funds			
AQR Long Short Equity Fund	47,364	$ 573,893	$ 524,795
Boston Partners Small Cap Value Fund	52,001	1,331,656	1,337,996
Credit Suisse Floating Bond Fund	227,145	1,549,309	1,512,784
Diamond Hill Long Short	38,295	1,000,072	1,033,201
Harbor Cap Appreciation Fund	26,483	1,268,896	2,006,054
Oppenheimer Developing Markets	49,297	1,631,270	2,247,459
Meridian Small Cap Growth Fund	86,121	1,375,394	1,389,991
Transamerica International Equity	191,874	3,395,671	3,517,057
Vanguard Mid Cap Index Fund	12,244	1,185,264	2,701,759
Vanguard Value Index	44,629	1,614,337	2,087,282
Vanguard 500 Index Fund	32,997	4,764,758	9,837,760
Wells Fargo Advantage Absolute Return	151,423	1,660,899	1,706,542
William Blair International Growth Fund	121,749	2,575,002	3,698,744
Total mutual funds		$ 23,926,421	$ 33,601,424
Alternative investments			
Black Diamond Arbitrage, Ltd.	4,558	$ 1,600,000	$ 1,705,371
Enbridge Energy Partners LP	2,345	73,598	93,260
Enterprise Products Partners LP	19,482	150,890	548,613
Oneok Inc (Oklahoma)	6,304	290,533	477,024
TC Pipelines LP	6,300	147,707	266,490
Weatherlow Offshore Fund I Ltd. Class IA	1,841	2,000,000	3,064,970
Total alternative investments		$ 4,262,728	$ 6,155,728

Harvey Randall Wickes Foundation #38-6061470
Year Ended December 31, 2019
Form 990-PF, Part XV - Grants and Contributions Paid during the Year

Recipient Organization	Purpose	Foundation Status	Amount
Big Brothers Big Sisters of the Great Lakes Bay Region	Mentoring Program	PC	$ 30,000
Buena Vista Charter Township	Boiler Replacement	GOV	3,500
Central Michigan University	Ultrasound Training System	PC	76,960
Chesaning Area Emergency Relief	Food/Consumables	PC	5,000
Child & Family Services of Saginaw	Roof	PC	34,997
Children's Christmas Store	Blankets, Clothing, and Paper Products	PC	5,000
Citizens Research Council	Operations	PC	5,000
City Rescue Mission of Saginaw	Air Conditioning System	PC	125,000
City Rescue Mission of Saginaw	Food/Consumables	PC	5,000
Council of Michigan Foundations	Dues	PC	7,100
Delta College Foundation	Scholarship Program	PC	20,000
Diaper Alliance	Food/Consumables	PC	4,000
East Side Soup Kitchen	Food/Consumables	PC	5,000
Emmaus House	Parking Lot Renovations	PC	22,770
Emmaus House	Food/Consumables	PC	5,000
First Ward Community Services	LACER Program	PC	100,000
First Ward Community Services	Food/Consumables	PC	5,000
Hidden Harvest	Food/Consumables	PC	5,000
Holy Cross Children's Services	Orwig Family Center Air System	PC	80,000
Junction of Hope	Vehicle Purchase	PC	8,000
Junior Achievement of North Central Michigan	Operations	PC	13,000
Major Chords for Minors	Operations	PC	25,000
Michigan Colleges Alliance	Operations	PC	5,000
Mid-Michigan Children's Museum	Next 10 Exhibit	PC	5,000
Mustard Seed Shelter	Building Renovations	PC	100,000
Old Town Soup Kitchen	Cargo Truck	PC	14,500
Old Town Soup Kitchen	Food/Consumables	PC	5,000
READ Association of Saginaw County	Book Purchases	PC	2,500
Resurrection Lutheran Church Pantry	Food/Consumables	PC	3,500
Riverside Saginaw Film Festival	13th Annual Festival	PC	2,000
Saginaw Area Fireworks	2019 Fireworks Display	PC	2,000
Saginaw Arts & Enrichment Commission	Youth Series	PC	6,000
Saginaw Bay Symphony Orchestra	Operations	PC	20,000
Saginaw Choral Society	Music Purchase	PC	6,000
Saginaw Community Foundation	Saginaw Promise	PC	20,000
Saginaw Community Foundation	Backpack	PC	4,000
Saginaw Community Foundation	Action Committee	PC	4,000
Saginaw County Youth Protection Council	Prevention & Youth Services	PC	57,000
Saginaw County Youth Protection Council	Food/Consumables	PC	5,000
Saginaw Covenant Academy	Food/Consumables	PC	500
Saginaw Valley State University Foundation	Scholarships	PC	20,000
Saginaw Valley State University Foundation	College of Business and Management Education Center	PC	770,000
Salvation Army	Food/Consumables	PC	4,000
Samaritas	New Hope Public School Academy	PC	100,000
Samaritas	Food/Consumables	PC	5,000
Special Needs Vision Clinic	Optometric Needs Funding	PC	15,000
Toys for Tots	Food/Consumables	PC	1,000
Underground Railroad, Inc	Computer Upgrades	PC	12,570
Underground Railroad, Inc	Food/Consumables	PC	5,000
United Way of Saginaw County	Annual Campaign	PC	50,000
Urban Tennis Foundation	Garber Tennis Courts	PC	250,000
Women of Colors	Food/Consumables	PC	4,000
Youth First	After-School Programs	PC	50,000
Total			$ 2,138,897

Harvey Randall Wickes Foundation #38-6061470
Year Ended December 31, 2019
Form 990-PF, Part XV - Grants and Contributions Approved for Future Payment

Recipient Organization	Purpose	Foundation Status	Amount
Citizens Research Council	Operations	PC	$10,000
Junior Achievement of Saginaw	Operations	PC	$26,000
Major Chords for Minors	Operations	PC	$26,000
Partnershift Network	Manager Talent Pipeline	PC	$68,000
Saginaw Future, Inc	Economic Development	PC	$150,000
SEEDS	Improvements at Wickes Park	PC	$40,000
Temple Theater Foundation	Capital Improvements	PC	$200,000
Urban Tennis Foundation	Garber Tennis Court Replacement	PC	$250,000
			$770,000