

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Go to www.irs.gov/Form990PF for instructions and the latest information.

Department of the Treasury
Internal Revenue Service

For calendar year **2019** or tax year beginning , **2019**, and ending , **20**

Name of foundation
ROCHE, THOMAS A. T/U/W

Number and street (or P O box number if mail is not delivered to street address) Room/suite
P O BOX 11647

City or town, state or province, country, and ZIP or foreign postal code
BIRMINGHAM, AL 35202

A Employer identification number
37-6294556

B Telephone number (see instructions)
205-420-7753

C If exemption application is pending, check here **6**

D 1 Foreign organizations, check here
2 Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

G Check all that apply: Initial return Final return Address change Initial return of a former public charity Amended return Name change

H Check type of organization: Section 501(c)(3) exempt private foundation
 Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation **00**

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 1,172,336.

J Accounting method: Cash Accrual
 Other (specify) _____ (Part I, column (d), must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received (attach schedule)				
2	Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B.				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	10,457.	10,386.		STMT-1
5a	Gross rents	21,860.	21,860.		
b	Net rental income or (loss) <u>15,756</u>				
6a	Net gain or (loss) from sale of assets not on line 10	10,665.			
b	Gross sales price for all assets on line 6a <u>177,334</u>				
7	Capital gain net income (from Part IV, line 2)		10,665.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)	135.			STMT-2
12	Total. Add lines 1 through 11	43,117.	42,911.		
13	Compensation of officers, directors, trustees, etc.	6,601.	5,281.		1,320.
14	Other employee salaries and wages		NONE	NONE	
15	Pension plans, employee benefits		NONE	NONE	
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule) STMT-3	1,300.	NONE	NONE	1,300.
c	Other professional fees (attach schedule) STMT-4				
17	Interest				
18	Taxes (attach schedule) (see instructions) STMT-5	140.	140.		
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings		NONE	NONE	
22	Printing and publications		NONE	NONE	
23	Other expenses (attach schedule) STMT-6	10,383.	10,383.		
24	Total operating and administrative expenses. Add lines 13 through 23.	18,424.	15,804.	NONE	2,620.
25	Contributions, gifts, grants paid	86,176.			86,176.
26	Total expenses and disbursements. Add lines 24 and 25	104,600.	15,804.	NONE	88,796.
27	Subtract line 26 from line 12				
a	Excess of revenue over expenses and disbursements	-61,483.			
b	Net investment income (if negative, enter -0-)		27,107.		
c	Adjusted net income (if negative, enter -0-)				

92/00

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year			End of year		
			(a) Book Value			(b) Book Value		
Assets	1	Cash - non-interest-bearing	203.					
	2	Savings and temporary cash investments	11,312.	6,588.	6,588.		6,588.	
	3	Accounts receivable ▶						
		Less allowance for doubtful accounts ▶						
	4	Pledges receivable ▶						
		Less allowance for doubtful accounts ▶						
	5	Grants receivable						
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)						
	7	Other notes and loans receivable (attach schedule) ▶						
		Less allowance for doubtful accounts ▶	NONE					
	8	Inventories for sale or use						
	9	Prepaid expenses and deferred charges						
	10a	Investments - U S and state government obligations (attach schedule)						
	b	Investments - corporate stock (attach schedule)						
	c	Investments - corporate bonds (attach schedule)						
	11	Investments - land, buildings, and equipment basis ▶						
	Less accumulated depreciation ▶ (attach schedule)	140,400.						
12	Investments - mortgage loans							
13	Investments - other (attach schedule) STMT 7.	364,006.	447,807.	447,807.		1,165,748.		
14	Land, buildings, and equipment basis ▶							
	Less accumulated depreciation ▶ (attach schedule)							
15	Other assets (describe ▶)							
16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	515,921.	454,395.	454,395.		1,172,336.		
Liabilities	17	Accounts payable and accrued expenses						
	18	Grants payable						
	19	Deferred revenue						
	20	Loans from officers, directors, trustees, and other disqualified persons						
	21	Mortgages and other notes payable (attach schedule)						
	22	Other liabilities (describe ▶)						
23	Total liabilities (add lines 17 through 22)				NONE			
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here and complete lines 24, 25, 29, and 30. ▶ <input type="checkbox"/>							
	24	Net assets without donor restrictions						
	25	Net assets with donor restrictions						
	Foundations that do not follow FASB ASC 958, check here and complete lines 26 through 30 ▶ <input checked="" type="checkbox"/>							
	26	Capital stock, trust principal, or current funds	515,921.	454,395.	454,395.			
	27	Paid-in or capital surplus, or land, bldg, and equipment fund						
	28	Retained earnings, accumulated income, endowment, or other funds						
29	Total net assets or fund balances (see instructions)	515,921.	454,395.	454,395.				
30	Total liabilities and net assets/fund balances (see instructions)	515,921.	454,395.	454,395.				

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	515,921.
2	Enter amount from Part I, line 27a	2	-61,483.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	454,438.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 11	5	43.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	454,395.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)				(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1 a PUBLICLY TRADED SECURITIES						
b						
c						
d						
e						
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))			
a 177,334.		166,669.	10,665.			
b						
c						
d						
e						
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(i) Gains (Col (h) gain minus col (k), but not less than -0- or Losses (from col (h)))		
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any				
a			10,665.			
b						
c						
d						
e						
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }				2	10,665.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) See instructions If (loss), enter -0- in Part I, line 8 }				3		

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2018	64,339.	1,235,842.	0.052061
2017	65,472.	1,330,138.	0.049222
2016	70,744.	1,347,172.	0.052513
2015	57,914.	1,392,454.	0.041591
2014	50,886.	1,226,216.	0.041498
2 Total of line 1, column (d)			0.236885
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years			0.047377
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5			1,208,671.
5 Multiply line 4 by line 3.			57,263.
6 Enter 1% of net investment income (1% of Part I, line 27b)			271.
7 Add lines 5 and 6			57,534.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions			88,796.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

Table with 11 rows for excise tax calculations. Includes sub-rows 6a-6d for credits and payments. Total tax due is 23.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes 'Yes' and 'No' columns. Marked with 'X' for various activities.

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <u>N/A</u>	X	
14 The books are in care of ▶ <u>REGIONS BANK, TRUST DEPARTMENT</u> Telephone no ▶ <u>(251) 690-1024</u> Located at ▶ <u>201 MILAN PKWY, BIRMINGHAM, AL</u> ZIP+4 ▶ <u>35211</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year ▶ <u>15</u>		
16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here ▶ <input type="checkbox"/>	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions. Yes No
 Organizations relying on a current notice regarding disaster assistance, check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
 If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
 If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No

8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year? Yes No

	Yes	No
5a(1)-(5)		
5a(b)		
5a(c)		
6a		
6b		X
7a		
7b		
8		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
REGIONS BANK 201 MILAN PKWY, BIRMINGHAM, AL 35211	TRUSTEE 1	6,601.	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over \$50,000 **NONE**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE

Total number of others receiving over \$50,000 for professional services **NONE**

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	

Total. Add lines 1 through 3

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,227,077.
b	Average of monthly cash balances	1b	NONE
c	Fair market value of all other assets (see instructions).	1c	NONE
d	Total (add lines 1a, b, and c)	1d	1,227,077.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d.	3	1,227,077.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	18,406.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,208,671.
6	Minimum investment return. Enter 5% of line 5	6	60,434.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here and do not complete this part)

1	Minimum investment return from Part X, line 6	1	60,434.
2a	Tax on investment income for 2019 from Part VI, line 5	2a	271.
b	Income tax for 2019 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b.	2c	271.
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	60,163.
4	Recoveries of amounts treated as qualifying distributions.	4	NONE
5	Add lines 3 and 4	5	60,163.
6	Deduction from distributable amount (see instructions).	6	NONE
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	60,163.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26.	1a	88,796.
b	Program-related investments - total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	88,796.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b See instructions.	5	271.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	88,525.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1. Distributable amount for 2019 from Part XI, line 7				60,163.
2. Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only.			60,700.	
b Total for prior years 20____,20____,20____		NONE		
3. Excess distributions carryover, if any, to 2019				
a From 2014	NONE			
b From 2015	NONE			
c From 2016	NONE			
d From 2017	NONE			
e From 2018	NONE			
f Total of lines 3a through e	NONE			
4. Qualifying distributions for 2019 from Part XII, line 4 ▶ \$ 88,796.				
a Applied to 2018, but not more than line 2a			60,700.	
b Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c Treated as distributions out of corpus (Election required - see instructions)	NONE			
d Applied to 2019 distributable amount				28,096.
e Remaining amount distributed out of corpus	NONE			
5. Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a)		NONE		NONE
6. Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	NONE			
b Prior years' undistributed income Subtract line 4b from line 2b.		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b Taxable amount - see instructions		NONE		
e Undistributed income for 2018 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2019 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2020				32,067.
7. Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	NONE			
8. Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions)	NONE			
9. Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a	NONE			
10. Analysis of line 9				
a Excess from 2015	NONE			
b Excess from 2016	NONE			
c Excess from 2017	NONE			
d Excess from 2018	NONE			
e Excess from 2019	NONE			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

Table with columns: Tax year (a) 2019, (b) 2018, (c) 2017, (d) 2016, (e) Total. Rows include: 2a Adjusted net income, b 85% of line 2a, c Qualifying distributions from Part XII, line 4, d Amounts included in line 2c not used directly for active conduct of exempt activities, e Qualifying distributions made directly for active conduct of exempt activities, 3 Complete 3a, b, or c for the alternative test relied upon (a Assets, b Endowment, c Support, d Gross investment income).

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include.

c Any submission deadlines.

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<p>a Paid during the year</p> <p>SEE STATEMENT 16</p>				86,176.
Total ▶ 3a				86,176.
b Approved for future payment				
Total ▶ 3b				

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
USGI REPORTED AS NONQUALIFIED DIVIDENDS	944.	944.
FOREIGN DIVIDENDS	909.	909.
NONDIVIDEND DISTRIBUTIONS	71.	
DOMESTIC DIVIDENDS	2,064.	2,064.
NONQUALIFIED FOREIGN DIVIDENDS	764.	764.
NONQUALIFIED DOMESTIC DIVIDENDS	5,303.	5,303.
SECTION 199A DIVIDENDS	68.	68.
OTHER INTEREST	334.	334.
TOTAL	10,457.	10,386.

FORM 990PF, PART I - OTHER INCOME

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DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----
FEDERAL TAX REFUND	135.
TOTALS	----- 135. =====

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
TAX PREPARATION FEE (NON-ALLOC	1,300.			1,300.
TOTALS	1,300.	NONE	NONE	1,300.

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

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DESCRIPTION	REVENUE AND EXPENSES PER BOOKS
-----	-----
INVESTMNT MNGMNT FEES (NON-DED	
TOTALS	

ROCHE, THOMAS A. T/U/W

37-6294556

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FOREIGN TAXES	88.	88.
FOREIGN TAXES ON NONQUALIFIED	52.	52.
TOTALS	140.	140.

ROCHE, THOMAS A. T/U/W

37-6294556

FORM 990PF, PART I - OTHER EXPENSES
=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
RENT AND ROYALTY EXPENSES	6,104.	6,104.
OTHER EXPENSE (NON-DEDUCTIBLE	4,264.	4,264.
OTHER NON-ALLOCABLE EXPENSE -	15.	15.
TOTALS	10,383.	10,383.

ROCHE, THOMAS A. T/U/W

37-6294556

FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION	COST/ FMV C OR F	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
717081103 PFIZER INC COM	C	2,059.		
76118Y104 RESIDEO TECHNOLOGIES	C	26.		
778296103 ROSS STORES INC	C	921.	1,155.	1,397.
H1467J104 CHUBB LTD	C	2,080.	1,634.	1,712.
02079K305 ALPHABET INC/CA	C	310.	310.	1,339.
212015101 CONTINENTAL RES INC	C	974.		
316146109 FIDELITY INV GRADE B	C	61,719.		
461202103 INTUIT INC	C	377.	252.	1,048.
579780206 MC CORMICK & CO INC	C	1,359.		
911312106 UNITED PARCEL SERVIC	C	1,859.	1,741.	1,756.
00206R102 AT&T INC	C	2,444.	1,468.	1,720.
143658300 CARNIVAL CORP	C	1,339.		
552983470 MFS SER TR I RESH IN	C	18,446.		
863667101 STRYKER CORP	C	1,086.	231.	1,260.
91324P102 UNITED HEALTH GROUP	C	2,058.		
98978V103 ZOETIS INC	C	1,372.		
N53745100 LYONDELLBASELL INDU-	C	761.	1,319.	1,853.
09247X101 BLACKROCK INC	C	394.	684.	850.
464287234 ISHARES MSCI EMERGIN	C	30,907.	823.	1,508.
46625H100 J P MORGAN CHASE & C	C	864.	24,327.	27,371.
532457108 ELI LILLY & CO	C	2,126.	508.	2,788.
580135101 MC DONALDS CORPORATI	C	2,028.	1,128.	1,840.
594918104 MICROSOFT CORP COM	C	5,517.	1,183.	1,383.
697435105 PALO ALTO NETWORKS I	C	1,070.	3,420.	4,889.
713448108 PEPSICO INC	C	339.	282.	2,050.
907818108 UNION PACIFIC CORP	C	688.		
92647Q405 VICTORY RS SM CAP GR	C	10,146.		
023135106 AMAZON COM INC	C	2,310.	2,310.	3,696.
278865100 ECOLAB INC	C	835.	522.	965.

FORM 990PF, PART II - OTHER INVESTMENTS

=====

DESCRIPTION	COST/ FMV C OR F	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
438516106 HONEYWELL INTERNATIO	C	903.	695.	1,770.
65339F101 NEXTERA ENERGY INC	C	696.	321.	1,453.
92826C839 VISA INC	C	2,111.	2,088.	2,631.
04314H600 ARTISAN FDS INC MID	C	10,117.		
060505104 BANK AMER CORP	C	3,267.	847.	1,358.
172967424 CITIGROUP INC	C	1,689.		
258620509 DOUBLELINE EMG MKTS	C	9,000.	6,782.	6,972.
437076102 HOME DEPOT INC/THE	C	1,897.	1,379.	1,747.
74440Y801 PRUDENTIAL HIGH YIEL	C	18,082.		
880208400 TEMPLETON GLOBAL BD	C	9,798.		
92343V104 VERIZON COMMUNICATIO	C	1,703.	1,103.	1,719.
92939U106 WEC ENERGY GROUP INC	C	2,069.	690.	922.
931142103 WAL MART STORES INC	C	2,277.	1,795.	2,258.
G29183103 EATON CORP PLC	C	1,912.	1,377.	1,705.
009158106 AIR PRODUCTS AND CHE	C	971.		
02079K107 ALPHABET INC	C	309.		
057071854 BAIRD AGGREGATE BOND	C	60,011.	55,561.	59,176.
12572Q105 CME GROUP INC	C	880.	880.	1,004.
192446102 COGNIZANT TECHNOLOGY	C	626.	581.	806.
258620103 DOUBLELINE TOTAL RET	C	17,498.	24,973.	25,217.
445658107 JB HUNT TRANSPORT SE	C	1,874.	729.	817.
037833100 APPLE INC	C	2,809.	1,953.	4,992.
151020104 CELGENE CORP	C	1,023.		
166764100 CHEVRONTXACO CORP	C	2,088.	2,088.	2,169.
256677105 DOLLAR GENERAL CORP	C	973.	698.	1,248.
58933Y105 MERCK & CO INC	C	1,100.	767.	1,728.
744320102 PRUDENTIAL FINANCIAL	C	156.	363.	844.
867914103 SUNTRUST BANKS INC	C	1,786.		
875921306 TARGET PORTFOLIO TR	C	10,082.		

FORM 990PF, PART II - OTHER INVESTMENTS
 =====

DESCRIPTION	COST/ FMV C OR F	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
883556102 THERMO FISHER SCIENT	C	1,320.	390.	1,949.
913017109 UNITED TECHNOLOGIES	C	1,081.	625.	1,797.
00770G847 JOHCM INTERNATIONAL	C	20,000.	23,576.	25,891.
032654105 ANALOG DEVICES INC	C	1,078.		
125269100 CF INDS HLDGS INC	C	912.		
17275R102 CISCO SYSTEMS INC	C	2,188.	1,882.	2,254.
26875P101 EOG RESOURCES INC	C	2,203.	2,345.	1,591.
30231G102 EXXON MOBIL CORP	C	1,041.	1,401.	2,163.
339128100 JP MORGAN MID CAP VA	C	10,062.		
553530106 MSC INDUSTRIAL DIREC	C		915.	942.
79466L302 SALESFORCE COM INC	C		819.	976.
89832Q109 TRUIST FINANCIAL COR	C		1,024.	1,295.
92532F100 VERTEX PHARMACEUTICA	C		757.	876.
NM0010055 S 1/2 SE 1/4 SEC 12	C		140,400.	816,000.
29476L107 EQUITY RESIDENTIAL	C		1,533.	1,618.
609207105 MONDELEZ INTERNATIONAL	C		1,736.	1,763.
74440Y884 PGIM HIGH YIELD FUND	C		20,626.	20,832.
464287507 ISHARES CORE S& P MI	C		17,236.	17,701.
126650100 CVS HEALTH CORP	C		1,420.	1,709.
254687106 WALT DISNEY CO/THE	C		1,599.	1,591.
742718109 PROCTER & GAMBLE CO/	C		635.	874.
464287739 ISHARES U.S. REAL ES	C		1,545.	1,675.
464287804 ISHARES CORE S& P SM	C		17,242.	17,860.
20030N101 COMCAST CORP	C		1,362.	1,799.
67066G104 NVIDIA CORP	C		1,606.	2,118.
316773100 FIFTH THIRD BANCORP	C		1,340.	1,353.
31635T807 FIDELITY ADV INV GR	C		56,827.	59,160.
BALANCE	C		-1.	

ROCHE, THOMAS A. T/U/W

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FORM 990PF, PART II - OTHER INVESTMENTS
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DESCRIPTION	COST/ FMV C OR F	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
TOTALS		364,006.	447,807.	1,165,748.

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

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DESCRIPTION -----	AMOUNT -----
MUTUAL FUND TIMING ADJ	11.
COST BASIS ADJ	32.

TOTAL	43.
	=====

AVERAGE MONTHLY FMV - 990PF, PART X, LINES 1a-1c

=====

MONTH	LINE 1a-FMV SECURITIES	LINE 1b-FMV CASH BALANCES	LINE 1c-FMV OTHER ASSETS
-----	-----	-----	-----
DECEMBER	1,227,077.	NONE	NONE
	-----	-----	-----
TOTAL	1,227,077.	NONE	NONE
	=====	=====	=====
AVERAGE FMV	1,227,077.	NONE	NONE
	=====	=====	=====

ROCHE, THOMAS A. T/U/W

37-6294556

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:

ST FRANCIS PURGATORIAL SOCIETY

ADDRESS:

BOX 188
LORETTO, PA 15940

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

RELIGIOUS

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 4,309.

RECIPIENT NAME:

FRANCISCAN FATHERS & BROTHERS OF 3RD

ADDRESS:

ORDER REGULAR
LORETTO, PA 15940

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT GRANT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 4,309.

RECIPIENT NAME:

SERAPHIC MASS ASSOCIATION ST AUGUSTINE

ADDRESS:

MONASTERY
PITTSBURG, PA 15201

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

RELIGIOUS

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 4,309.

ROCHE, THOMAS A. T/U/W

37-6294556

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

ST JAMES CATHOLIC CHURCH

ADDRESS:

742 E CLAY
DECATUR, IL 62521

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

RELIGIOUS

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 4,309.

RECIPIENT NAME:

ST PATRICK'S CHURCH

ADDRESS:

407 E ELDORADO
DECATUR, IL 62523

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

RELIGIOUS

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 43,086.

RECIPIENT NAME:

OUR LADY OF LOURDES

ADDRESS:

3850 LOURDES DR
DECATUR, IL 62526

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

RELIGIOUS

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 4,309.

RECIPIENT NAME:
HOLY FAMILY CATHOLIC CHURCH
ADDRESS:
2400 S FRANKLIN ST
DECATUR, IL 62521
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
RELIGIOUS
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 4,309.

RECIPIENT NAME:
ST THOMAS THE APOSTLE CHURCH
ADDRESS:
2160 N EDWARD
DECATUR, IL 62526
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
RELIGIOUS
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 4,309.

RECIPIENT NAME:
CLARETIAN FATHERS THE NATIONAL SHRINE OF
ADDRESS:
ST JUDE
CHICAGO, IL 60606
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
RELIGIOUS
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 4,309.

ROCHE, THOMAS A. T/U/W

37-6294556

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

ST MICHAELS CATHOLIC CHURCH

ADDRESS:

1301 N MARKET
MONTICELLO, IL 61856

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

RELIGIOUS

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 4,309.

RECIPIENT NAME:

ABBOTT O S B

ADDRESS:

ST BENEDICTS ABBEY
BENET LAKE, WI 53102

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

RELIGIOUS

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 4,309.

TOTAL GRANTS PAID:

86,176.

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