Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to *www.irs.gov/Form990PF* for instructions and the latest information.

OMB No. 1545-0052

2019

Open to Public Inspection

For calendar year 2019, or tax year beginning 01-01-2019 , and ending 12-31-2019

Name of foundation
THE KLAUER FOUNDATION TRUST XXXXX5009

A Employer identification number
36-6013118

Number and street (or P.O. box number if mail is not delivered to street address) Room/suite
10 S DEARBORN IL1-0111

B Telephone number (see instructions)
(800) 496-2583

City or town, state or province, country, and ZIP or foreign postal code
CHICAGO, IL 60603

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here............. ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ... ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here .... ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), *line 16*) ▶$ 3,491,494

J Accounting method: ☐ Cash ☐ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here .... ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

		Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch. B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	85,353	85,310		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	231,913			
	b	Gross sales price for all assets on line 6a 1,032,507				
	7	Capital gain net income (from Part IV, line 2)		231,913		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	317,266	317,223		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.	42,092	24,699		17,393
	14	Other employee salaries and wages		0	0	0
	15	Pension plans, employee benefits		0	0	
	16a	Legal fees (attach schedule)				0
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)				0
	17	Interest				0
	18	Taxes (attach schedule) (see instructions)	9,673	2,153		0
	19	Depreciation (attach schedule) and depletion	0	0		
	20	Occupancy				
	21	Travel, conferences, and meetings		0	0	
	22	Printing and publications		0	0	
	23	Other expenses (attach schedule)	15			15
	24	**Total operating and administrative expenses.** Add lines 13 through 23	51,780	26,852	0	17,408
	25	Contributions, gifts, grants paid	151,800			151,800
	26	**Total expenses and disbursements.** Add lines 24 and 25	203,580	26,852	0	169,208
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	113,686			
	b	**Net investment income** (if negative, enter -0-)		290,371		
	c	**Adjusted net income** (if negative, enter -0-)			0	

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

			Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	17,384	31,777	31,777
	2	Savings and temporary cash investments	21,351	30,180	30,180
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶		0	0
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶ 0			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S. and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	2,183,735	2,166,564	2,703,169
	c	Investments—corporate bonds (attach schedule)	447,169	564,219	578,684
	11	Investments—land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	152,289	148,064	147,684
	14	Land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	2,821,928	2,940,804	3,491,494
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities**(add lines 17 through 22)		0	
Net Assets or Fund Balances		**Foundations that follow FASB ASC 958, check here ▶ ☐ and complete lines 24, 25, 29 and 30.**			
	24	Net assets without donor restrictions			
	25	Net assets with donor restrictions			
		Foundations that do not follow FASB ASC 958, check here ▶ ☑ and complete lines 26 through 30.			
	26	Capital stock, trust principal, or current funds	2,821,928	2,940,804	
	27	Paid-in or capital surplus, or land, bldg., and equipment fund			
	28	Retained earnings, accumulated income, endowment, or other funds			
	29	**Total net assets or fund balances** (see instructions)	2,821,928	2,940,804	
	30	**Total liabilities and net assets/fund balances** (see instructions)	2,821,928	2,940,804	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	2,821,928
2	Enter amount from Part I, line 27a	2	113,686
3	Other increases not included in line 2 (itemize) ▶	3	9,071
4	Add lines 1, 2, and 3	4	2,944,685
5	Decreases not included in line 2 (itemize) ▶	5	3,881
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	2,940,804

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
a	See Additional Data Table			
b				
c				
d				
e				

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	231,913
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	159,853	3,309,859	0.048296
2017	159,783	3,220,021	0.049622
2016	158,664	2,956,929	0.053658
2015	161,320	3,158,435	0.051076
2014	145,869	3,265,090	0.044675

2 Total of line 1, column (d)	2	0.247327
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	0.049465
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	3,243,670
5 Multiply line 4 by line 3	5	160,448
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	2,904
7 Add lines 5 and 6	7	163,352
8 Enter qualifying distributions from Part XII, line 4	8	169,208

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary–see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b			1	2,904
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0
3	Add lines 1 and 2			3	2,904
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	2,904
6	Credits/Payments:				
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a	7,104		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c	0		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments. Add lines 6a through 6d			7	7,104
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	4,200
11	Enter the amount of line 10 to be: **Credited to 2020 estimated tax** ▶ 2,904	**Refunded** ▶		11	1,296

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition)	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ ________ **(2)** On foundation managers. ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ IL			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶JP MORGAN CHASE BANK NA Telephone no. ▶(800) 496-2583

Located at ▶10 S DEARBORN ST MC IL 1-0111 CHICAGO IL ZIP+4 ▶60603

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here . . . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ 15

			Yes	No
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. Yes No

1a During the year did the foundation (either directly or indirectly):

(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No

(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☑ No

(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No

(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☑ Yes ☐ No

(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☑ No

(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.). ☐ Yes ☑ No

			Yes	No
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		No
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?.	1c		No

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):

a At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019?. ☐ Yes ☑ No
If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____

			Yes	No
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b		

c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here.
▶ 20____ , 20____ , 20____ , 20____

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☑ No

			Yes	No
b	If "Yes," did it have excess business holdings in 2019 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b		No

Part VII-B **Statements Regarding Activities for Which Form 4720 May Be Required** *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions ☑ Yes ☐ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	**5b**		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☑ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year? ☐ Yes ☑ No			

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
JP MORGAN CHASE BANK NA 10 S DEARBORN ST MC IL 1-0111 CHICAGO, IL 60603	TRUSTEE 2	42,092		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services. . . . . ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 . . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:				
a	Average monthly fair market value of securities.			1a	3,199,600
b	Average of monthly cash balances.			1b	93,466
c	Fair market value of all other assets (see instructions).			1c	0
d	**Total** (add lines 1a, b, and c).			1d	3,293,066
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0		
2	Acquisition indebtedness applicable to line 1 assets.			2	0
3	Subtract line 2 from line 1d.			3	3,293,066
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).			4	49,396
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4			5	3,243,670
6	**Minimum investment return.** Enter 5% of line 5.			6	162,184

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.			1	162,184
2a	Tax on investment income for 2019 from Part VI, line 5.	2a	2,904		
b	Income tax for 2019. (This does not include the tax from Part VI.).	2b			
c	Add lines 2a and 2b.			2c	2,904
3	Distributable amount before adjustments. Subtract line 2c from line 1.			3	159,280
4	Recoveries of amounts treated as qualifying distributions.			4	5,000
5	Add lines 3 and 4.			5	164,280
6	Deduction from distributable amount (see instructions).			6	0
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.			7	164,280

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	169,208
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	169,208
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	2,904
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	166,304

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				164,280
2 Undistributed income, if any, as of the end of 2019:				
a Enter amount for 2018 only.			129,655	
b Total for prior years: 20___ , 20___ , 20___		0		
3 Excess distributions carryover, if any, to 2019:				
a From 2014. 0				
b From 2015. 0				
c From 2016. 0				
d From 2017. 0				
e From 2018. 0				
f **Total** of lines 3a through e.	0			
4 Qualifying distributions for 2019 from Part XII, line 4: ▶ $ 169,208				
a Applied to 2018, but not more than line 2a			129,655	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2019 distributable amount.				39,553
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2019. *(If an amount appears in column (d), the same amount must be shown in column (a).)*	0			0
6 **Enter the net total of each column as indicated below:**				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b. Taxable amount —see instructions		0		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				124,727
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions)	0			
9 **Excess distributions carryover to 2020.** Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9:				
a Excess from 2015. . . . 0				
b Excess from 2016. . . . 0				
c Excess from 2017. . . . 0				
d Excess from 2018. . . . 0				
e Excess from 2019. . . . 0				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: **a** "Assets" alternative test—enter: **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter: **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

JPMORGAN CHASE BANK NA
10 S DEARBORN STREET
CHICAGO, IL 606032300
(312) 732-6385

b The form in which applications should be submitted and information and materials they should include:

LETTER

c Any submission deadlines:

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

PER TRUST INSTRUMENT

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				151,800
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	85,353	
5 Net rental income or (loss) from real estate:					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	231,913	
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e). .				317,266	

13 **Total.** Add line 12, columns (b), (d), and (e). 13 317,266

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line No.	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule.

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

******	2020-06-11	******	May the IRS discuss this return with the preparer shown below (see instr.) ☑ Yes ☐ No
Signature of officer or trustee	Date	Title	

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
JACOB ZEHNDER		2020-06-11		P01564049
Firm's name ▶ ERNST & YOUNG US LLP				Firm's EIN ▶34-6565596
Firm's address ▶ 155 N WACKER DRIVE CHICAGO, IL 60606				Phone no. (312) 879-2000

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
. FHLMC			2019-01-17
4. GILEAD SCIENCES INC		2015-01-08	2019-01-23
1. GILEAD SCIENCES INC		2015-01-08	2019-01-23
1. GILEAD SCIENCES INC		2016-02-10	2019-01-23
1. MASTERCARD INC - CLASS A		2012-10-15	2019-01-23
4. WORLDPAY INC CL A		2018-10-08	2019-01-23
1. WORLDPAY INC CL A		2018-10-08	2019-01-23
6. GILEAD SCIENCES INC		2016-02-10	2019-01-24
1. MASTERCARD INC - CLASS A		2012-10-15	2019-01-24
4. MASTERCARD INC - CLASS A		2012-10-15	2019-01-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
101			101
273		406	-133
68		102	-34
68		89	-21
198		47	151
329		388	-59
83		97	-14
407		534	-127
200		47	153
796		190	606

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			101
			-133
			-34
			-21
			151
			-59
			-14
			-127
			153
			606

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
7. WORLDPAY INC CL A		2018-10-08	2019-01-24
1. GILEAD SCIENCES INC		2016-02-10	2019-01-25
2. GILEAD SCIENCES INC		2016-02-10	2019-01-25
5. MASTERCARD INC - CLASS A		2012-10-15	2019-01-25
9. WORLDPAY INC CL A		2017-09-22	2019-01-25
1. GILEAD SCIENCES INC		2016-02-10	2019-01-28
1. GILEAD SCIENCES INC		2016-02-10	2019-01-28
6. WORLDPAY INC CL A		2017-09-22	2019-01-28
1. GILEAD SCIENCES INC		2016-02-10	2019-01-29
1. WORLDPAY INC CL A		2017-09-22	2019-01-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
576		679	-103
68		89	-21
138		178	-40
1,013		237	776
746		648	98
68		89	-21
68		89	-21
499		432	67
69		89	-20
82		72	10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-103
			-21
			-40
			776
			98
			-21
			-21
			67
			-20
			10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1. GILEAD SCIENCES INC		2016-02-10	2019-01-30
6. WORLDPAY INC CL A		2017-09-22	2019-01-30
1. GILEAD SCIENCES INC		2016-02-10	2019-01-31
2. ADOBE SYS INC		2012-08-03	2019-02-01
1. ALPHABET INC-CL C		2013-12-13	2019-02-01
1. AMAZON COM INC		2015-03-12	2019-02-01
1. AMERICAN EXPRESS CO		2018-07-18	2019-02-01
3. ANALOG DEVICES INC		2018-09-13	2019-02-01
6. APPLE COMPUTER INC		2006-04-06	2019-02-01
2. AUTOMATIC DATA PROCESSING INC		2018-12-03	2019-02-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
69		89	-20
501		432	69
70		89	-19
498		64	434
1,114		537	577
1,648		374	1,274
103		103	
301		276	25
1,002		59	943
282		294	-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-20
			69
			-19
			434
			577
			1,274
			25
			943
			-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
15. BANK OF AMERICA CORPORATION		2018-02-06	2019-02-01
8. BANK OF AMERICA CORPORATION		2013-04-18	2019-02-01
3. BERKSHIRE HATHAWAY INC DEL CL B		2018-09-11	2019-02-01
1. BIOGEN IDEC INC		2018-10-08	2019-02-01
11. BOSTON SCIENTIFIC CORP		2016-09-15	2019-02-01
5. BRISTOL MYERS SQUIBB CO		2017-02-23	2019-02-01
2. BROADCOM INC		2013-04-25	2019-02-01
3. CELGENE CORP.		2016-10-28	2019-02-01
1. CHARTER COMMUNICATIONS INC-A		2018-04-13	2019-02-01
7. CITIGROUP INC NEW		2017-05-02	2019-02-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
429		454	-25
229		91	138
625		642	-17
326		342	-16
418		256	162
249		278	-29
533		75	458
269		312	-43
339		306	33
445		423	22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-25
			138
			-17
			-16
			162
			-29
			458
			-43
			33
			22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
6. COCA-COLA CO		2018-04-13	2019-02-01
9. COMCAST CORP NEW CL A		2019-01-29	2019-02-01
4. DELTA AIR LINES INC DEL NEW		2017-12-13	2019-02-01
2. DIAMONDBACK ENERGY INC		2019-01-08	2019-02-01
6. DISNEY WALT CO		2016-12-08	2019-02-01
2. DOLLAR TREE INC		2017-10-27	2019-02-01
10. DOWDUPONT INC		2018-04-06	2019-02-01
4. EOG RESOURCES INC		2016-05-25	2019-02-01
4. ELECTRONIC ARTS		2018-09-20	2019-02-01
2. FACEBOOK INC-A		2014-07-25	2019-02-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
291		268	23
330		325	5
201		214	-13
208		210	-2
667		621	46
193		185	8
534		638	-104
399		332	67
369		456	-87
332		151	181

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			23
			5
			-13
			-2
			46
			8
			-104
			67
			-87
			181

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
3. FIDELITY NATL INFORMATION SVCS INC		2014-12-19	2019-02-01
2. FISERV INC		2019-01-25	2019-02-01
1. GENERAL DYNAMICS CORP		2018-12-13	2019-02-01
1. GENERAL DYNAMICS CORP		2017-02-10	2019-02-01
2. GILEAD SCIENCES INC		2016-02-10	2019-02-01
3. HOME DEPOT INC		2011-09-29	2019-02-01
5. HONEYWELL INTL INC		2012-06-15	2019-02-01
1. INTERCONTINENTALEXCHANGE GROUP, INC		2017-01-31	2019-02-01
15. KEYCORP NEW		2018-10-08	2019-02-01
4. LILLY ELI & CO		2015-06-12	2019-02-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
319		191	128
166		170	-4
169		172	-3
169		184	-15
140		176	-36
553		117	436
728		266	462
77		58	19
249		307	-58
482		338	144

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			128
			-4
			-3
			-15
			-36
			436
			462
			19
			-58
			144

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
3. LOWES COS INC		2011-09-28	2019-02-01
827.408 MFS INTL INTRINSIC VALUE-R6		2013-03-06	2019-02-01
6. MARATHON PETROLEUM CORPORATION		2018-09-20	2019-02-01
3. MASTERCARD INC - CLASS A		2009-05-05	2019-02-01
5. MERCK & CO INC		2017-10-03	2019-02-01
14. MICROSOFT CORP		2010-02-01	2019-02-01
7. MONDELEZ INTERNATIONAL-W/I		2014-08-13	2019-02-01
12. MORGAN STANLEY DEAN WITTER & CO NEW		2013-11-12	2019-02-01
2. NEXTERA ENERGY INC		2018-07-18	2019-02-01
7. NISOURCE INC		2015-01-14	2019-02-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
289		60	229
32,815		23,812	9,003
396		490	-94
641		84	557
385		322	63
1,446		397	1,049
318		247	71
508		301	207
354		339	15
187		108	79

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			229
			9,003
			-94
			557
			63
			1,049
			71
			207
			15
			79

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
3. NORFOLK SOUTHN CORP		2018-05-15	2019-02-01
2. NORTHROP GRUMMAN CORP		2017-10-10	2019-02-01
2. NVIDIA CORP		2018-10-08	2019-02-01
7. OCCIDENTAL PETE CORP		2018-08-09	2019-02-01
1. PARKER HANNIFIN CORP		2018-01-11	2019-02-01
4. PAYPAL HOLDINGS INC		2019-01-23	2019-02-01
4. PEPSICO INC		2018-05-22	2019-02-01
15. PFIZER INC		2018-10-30	2019-02-01
4. PHILIP MORRIS INTERNATIONAL		2017-04-24	2019-02-01
2. PIONEER NAT RES CO		2015-12-09	2019-02-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
507		451	56
548		586	-38
292		537	-245
476		546	-70
165		208	-43
358		362	-4
447		399	48
642		639	3
303		449	-146
287		286	1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			56
			-38
			-245
			-70
			-43
			-4
			48
			3
			-146
			1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
2. PRUDENTIAL FINL INC		2018-12-21	2019-02-01
2. ROSS STORES INC		2018-12-11	2019-02-01
1. SVB FINANCIAL GROUP		2015-02-06	2019-02-01
2. SALESFORCE.COM INC		2018-10-08	2019-02-01
5. SCHWAB CHARLES CORP NEW		2016-06-03	2019-02-01
3. STANLEY BLACK & DECKER,INC.		2015-11-12	2019-02-01
4. TEXAS INSTRS INC		2016-03-10	2019-02-01
3. UNION PAC CORP		2018-02-22	2019-02-01
2. UNITED TECHNOLOGIES CORP		2017-12-11	2019-02-01
4. UNITEDHEALTH GROUP INC		2011-09-16	2019-02-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
185		160	25
183		161	22
238		126	112
309		303	6
237		145	92
382		315	67
406		221	185
479		400	79
238		247	-9
1,072		204	868

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			25
			22
			112
			6
			92
			67
			185
			79
			-9
			868

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1. VERTEX PHARMACEUTICALS INC		2011-12-15	2019-02-01
2. VISA INC CLASS A SHARES		2015-10-19	2019-02-01
3. WASTE CONNECTIONS INC		2016-07-26	2019-02-01
9. WELLS FARGO & CO NEW		2009-12-15	2019-02-01
1. WEX INC		2016-05-02	2019-02-01
1. YUM BRANDS INC		2018-11-29	2019-02-01
4. ZIMMER HLDGS INC		2018-04-26	2019-02-01
2. ALLEGION PLC		2015-11-03	2019-02-01
2. ACCENTURE PLC		2014-01-15	2019-02-01
1. LINDE PLC		2018-12-11	2019-02-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
192		31	161
280		154	126
250		149	101
440		228	212
163		94	69
94		92	2
462		459	3
173		132	41
309		167	142
165		161	4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			161
			126
			101
			212
			69
			2
			3
			41
			142
			4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
3. MEDTRONIC PLC		2018-07-18	2019-02-01
2. APTIV PLC		2018-04-26	2019-02-01
3. CHUBB LTD		2012-01-09	2019-02-01
605. VANGUARD BD INDEX FD INC		2018-06-13	2019-02-04
6683.168 PIMCO INV GRD CRD BND-INST		2018-09-06	2019-02-07
674.351 DODGE & INTERNATIONAL STOCK FUND		2011-02-01	2019-02-14
5. ANALOG DEVICES INC		2018-09-13	2019-02-19
2. ANALOG DEVICES INC		2018-09-13	2019-02-19
6. ANALOG DEVICES INC		2018-09-13	2019-02-19
11. ANALOG DEVICES INC		2016-10-28	2019-02-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
265		264	1
154		174	-20
399		210	189
47,612		47,231	381
67,700		67,466	234
26,765		24,782	1,983
523		461	62
209		184	25
630		553	77
1,148		701	447

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			1
			-20
			189
			381
			234
			1,983
			62
			25
			77
			447

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
5. ANALOG DEVICES INC		2018-09-13	2019-02-19
2. ANALOG DEVICES INC		2016-11-01	2019-02-19
9. ANALOG DEVICES INC		2016-10-27	2019-02-20
999. JPMORGAN BETABUILDERS JAPAN ETF		2018-07-11	2019-03-01
231. JPMORGAN BETABUILDERS JAPAN ETF		2018-07-11	2019-03-01
4. BIOGEN IDEC INC		2010-03-22	2019-03-14
2. BIOGEN IDEC INC		2018-10-08	2019-03-14
64. BRISTOL MYERS SQUIBB CO		2013-03-13	2019-03-14
14. DISNEY WALT CO		2016-12-05	2019-03-14
18. ADOBE SYS INC		2012-08-03	2019-03-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
522		460	62
210		127	83
953		569	384
22,600		23,581	-981
5,227		5,453	-226
1,281		221	1,060
640		685	-45
3,149		2,499	650
1,601		1,432	169
4,781		575	4,206

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			62
			83
			384
			-981
			-226
			1,060
			-45
			650
			169
			4,206

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
15. ALEXION PHARMACEUTICALS INC		2019-03-14	2019-03-29
11. ALPHABET INC-CL C		2012-07-11	2019-03-29
5. ALPHABET INC-CL A		2012-07-11	2019-03-29
8. AMAZON COM INC		2015-02-02	2019-03-29
18. AMERICAN EXPRESS CO		2018-07-18	2019-03-29
74. APPLE COMPUTER INC		2006-04-06	2019-03-29
22. AUTOMATIC DATA PROCESSING INC		2018-10-19	2019-03-29
278. BANK OF AMERICA CORPORATION		2009-02-05	2019-03-29
11. BERKSHIRE HATHAWAY INC DEL CL B		2018-09-11	2019-03-29
21. BERKSHIRE HATHAWAY INC DEL CL B		2017-04-20	2019-03-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,021		2,020	1
12,885		3,912	8,973
5,877		1,870	4,007
14,266		2,932	11,334
1,977		1,830	147
14,028		732	13,296
3,497		3,176	321
7,628		2,507	5,121
2,208		2,301	-93
4,215		3,515	700

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			1
			8,973
			4,007
			11,334
			147
			13,296
			321
			5,121
			-93
			700

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
139. BOSTON SCIENTIFIC CORP		2016-09-15	2019-03-29
28. BROADCOM INC		2013-04-26	2019-03-29
14. CELGENE CORP.		2019-03-14	2019-03-29
22. CELGENE CORP.		2007-12-17	2019-03-29
15. CHARTER COMMUNICATIONS INC-A		2016-02-08	2019-03-29
1. CHARTER COMMUNICATIONS INC-A		2018-04-13	2019-03-29
98. CITIGROUP INC NEW		2009-08-10	2019-03-29
67. COCA-COLA CO		2018-04-13	2019-03-29
88. COMCAST CORP NEW CL A		2018-10-19	2019-03-29
73. COMCAST CORP NEW CL A		2009-12-07	2019-03-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,309		2,892	2,417
8,388		967	7,421
1,305		1,225	80
2,050		575	1,475
5,200		3,436	1,764
347		306	41
6,093		3,702	2,391
3,123		2,984	139
3,498		3,343	155
2,902		620	2,282

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			2,417
			7,421
			80
			1,475
			1,764
			41
			2,391
			139
			155
			2,282

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
41. DELTA AIR LINES INC DEL NEW		2017-12-13	2019-03-29
10. DIAMONDBACK ENERGY INC		2019-01-07	2019-03-29
17. DIAMONDBACK ENERGY INC		2017-05-15	2019-03-29
52. DISNEY WALT CO		2016-10-07	2019-03-29
8. DOLLAR TREE INC		2018-12-17	2019-03-29
25. DOLLAR TREE INC		2017-10-27	2019-03-29
6.368 DOWDUPONT INC		2018-04-06	2019-03-29
112.632 DOWDUPONT INC		2015-06-18	2019-03-29
59. EOG RESOURCES INC		2016-03-07	2019-03-29
45. ELECTRONIC ARTS		2018-09-20	2019-03-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,105		2,193	-88
1,024		1,037	-13
1,740		1,764	-24
5,769		4,902	867
834		691	143
2,605		2,298	307
331		406	-75
5,854		6,558	-704
5,620		4,657	963
4,568		4,292	276

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-88
			-13
			-24
			867
			143
			307
			-75
			-704
			963
			276

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
25. FACEBOOK INC-A		2014-07-25	2019-03-29
36. FIDELITY NATL INFORMATION SVCS INC		2014-12-17	2019-03-29
36. FISERV INC		2019-01-23	2019-03-29
7. GENERAL DYNAMICS CORP		2018-12-13	2019-03-29
16. GENERAL DYNAMICS CORP		2016-08-10	2019-03-29
33. HOME DEPOT INC		2011-09-29	2019-03-29
54. HONEYWELL INTL INC		2009-12-16	2019-03-29
22. INTERCONTINENTALEXCHANGE GROUP, INC		2017-01-30	2019-03-29
65. KEYCORP NEW		2018-10-08	2019-03-29
128. KEYCORP NEW		2016-02-29	2019-03-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,158		1,875	2,283
4,041		2,254	1,787
3,170		2,967	203
1,182		1,203	-21
2,702		2,432	270
6,314		1,115	5,199
8,581		2,113	6,468
1,668		1,277	391
1,024		1,216	-192
2,017		1,377	640

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			2,283
			1,787
			203
			-21
			270
			5,199
			6,468
			391
			-192
			640

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
45. LILLY ELI & CO		2015-06-04	2019-03-29
39. LOWES COS INC		2011-09-22	2019-03-29
25. MARATHON PETROLEUM CORPORATION		2018-03-09	2019-03-29
45. MARATHON PETROLEUM CORPORATION		2018-05-14	2019-03-29
38. MASTERCARD INC - CLASS A		2009-05-05	2019-03-29
24. MERCK & CO INC		2019-03-14	2019-03-29
54. MERCK & CO INC		2016-08-05	2019-03-29
174. MICROSOFT CORP		2008-08-06	2019-03-29
93. MONDELEZ INTERNATIONAL-W/I		2011-08-04	2019-03-29
158. MORGAN STANLEY DEAN WITTER & CO NEW		2013-01-16	2019-03-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,819		3,445	2,374
4,267		758	3,509
1,502		1,758	-256
2,704		3,406	-702
8,933		695	8,238
1,995		1,954	41
4,490		3,401	1,089
20,447		3,894	16,553
4,605		2,047	2,558
6,652		3,302	3,350

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			2,374
			3,509
			-256
			-702
			8,238
			41
			1,089
			16,553
			2,558
			3,350

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
8. NETFLIX.COM INC		2018-09-13	2019-03-29
12. NEXTERA ENERGY INC		2016-10-18	2019-03-29
9. NEXTERA ENERGY INC		2018-07-18	2019-03-29
86. NISOURCE INC		2013-02-26	2019-03-29
9. NORFOLK SOUTHN CORP		2018-05-15	2019-03-29
25. NORFOLK SOUTHN CORP		2017-01-30	2019-03-29
14. NORTHROP GRUMMAN CORP		2016-09-15	2019-03-29
11. NVIDIA CORP		2018-06-12	2019-03-29
11. NVIDIA CORP		2017-10-03	2019-03-29
7. O'REILLY AUTOMOTIVE INC		2017-05-04	2019-03-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,845		2,966	-121
2,310		1,502	808
1,732		1,525	207
2,463		961	1,502
1,688		1,351	337
4,690		3,249	1,441
3,761		3,401	360
1,966		2,914	-948
1,966		1,970	-4
2,719		1,754	965

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-121
			808
			207
			1,502
			337
			1,441
			360
			-948
			-4
			965

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
6. OCCIDENTAL PETE CORP		2018-08-09	2019-03-29
90. OCCIDENTAL PETE CORP		2007-01-12	2019-03-29
11. PARKER HANNIFIN CORP		2018-01-11	2019-03-29
24. PAYPAL HOLDINGS INC		2018-01-26	2019-03-29
28. PAYPAL HOLDINGS INC		2018-07-26	2019-03-29
42. PEPSICO INC		2014-10-07	2019-03-29
9. PEPSICO INC		2018-05-22	2019-03-29
8. PFIZER INC		2018-10-30	2019-03-29
182. PFIZER INC		2015-04-02	2019-03-29
23. PHILIP MORRIS INTERNATIONAL		2017-04-24	2019-03-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
397		463	-66
5,961		5,583	378
1,886		2,290	-404
2,491		2,041	450
2,906		2,486	420
5,124		3,989	1,135
1,098		899	199
338		341	-3
7,695		6,104	1,591
2,022		2,581	-559

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-66
			378
			-404
			450
			420
			1,135
			199
			-3
			1,591
			-559

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
25. PHILIP MORRIS INTERNATIONAL		2018-10-24	2019-03-29
5. PIONEER NAT RES CO		2018-12-21	2019-03-29
23. PIONEER NAT RES CO		2015-12-07	2019-03-29
26. PRUDENTIAL FINL INC		2018-12-21	2019-03-29
5. REGENERON PHARMACEUTICALS INC		2019-01-23	2019-03-29
37. ROSS STORES INC		2018-12-11	2019-03-29
11. SVB FINANCIAL GROUP		2015-02-05	2019-03-29
31. SALESFORCE.COM INC		2018-04-13	2019-03-29
52. SCHWAB CHARLES CORP NEW		2015-01-14	2019-03-29
30. STANLEY BLACK & DECKER,INC.		2015-11-13	2019-03-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,198		2,226	-28
768		639	129
3,533		3,078	455
2,384		2,071	313
2,055		2,047	8
3,444		2,967	477
2,445		1,328	1,117
4,878		4,069	809
2,226		1,446	780
4,080		2,746	1,334

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-28
			129
			455
			313
			8
			477
			1,117
			809
			780
			1,334

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
47. TEXAS INSTRS INC		2016-02-05	2019-03-29
12. TEXAS INSTRS INC		2019-02-19	2019-03-29
41. UNION PAC CORP		2015-09-29	2019-03-29
18. UNITED TECHNOLOGIES CORP		2017-12-05	2019-03-29
48. UNITEDHEALTH GROUP INC		2010-12-02	2019-03-29
14. VERTEX PHARMACEUTICALS INC		2011-12-15	2019-03-29
28. VISA INC CLASS A SHARES		2015-10-19	2019-03-29
33. WASTE CONNECTIONS INC		2016-07-25	2019-03-29
114. WELLS FARGO & CO NEW		2009-02-05	2019-03-29
15. WEX INC		2016-05-03	2019-03-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,984		2,441	2,543
1,273		1,291	-18
6,860		4,095	2,765
2,306		2,190	116
11,738		1,975	9,763
2,556		439	2,117
4,357		2,145	2,212
2,925		1,631	1,294
5,493		1,959	3,534
2,878		1,377	1,501

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			2,543
			-18
			2,765
			116
			9,763
			2,117
			2,212
			1,294
			3,534
			1,501

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
21. YUM BRANDS INC		2018-11-27	2019-03-29
32. ZIMMER HLDGS INC		2017-11-10	2019-03-29
17. ZIMMER HLDGS INC		2018-04-26	2019-03-29
29. ALLEGION PLC		2015-10-26	2019-03-29
27. ACCENTURE PLC		2014-01-15	2019-03-29
22. LINDE PLC		2018-12-11	2019-03-29
43. MEDTRONIC PLC		2018-04-06	2019-03-29
11. APTIV PLC		2018-04-26	2019-03-29
24. APTIV PLC		2017-05-18	2019-03-29
36. CHUBB LTD		2008-11-19	2019-03-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,097		1,904	193
4,067		3,557	510
2,161		1,936	225
2,620		1,879	741
4,750		2,165	2,585
3,841		3,505	336
3,897		3,514	383
874		957	-83
1,906		1,699	207
5,030		1,956	3,074

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			193
			510
			225
			741
			2,585
			336
			383
			-83
			207
			3,074

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
30. NXP SEMICONDUCTORS NV		2019-02-19	2019-03-29
908.689 DODGE & INTERNATIONAL STOCK FUND		2011-02-01	2019-04-01
815.591 MFS INTL INTRINSIC VALUE-R6		2013-03-06	2019-04-01
. PFIZER INC			2019-04-03
232.558 BLACKSTONE ALT MULTI-STRAT-Y		2018-11-15	2019-04-25
125.847 CRM LNG/SHRT OPPORT-INST		2016-12-06	2019-04-25
3277.495 CAPITAL GR NON US EQUITY		2013-12-09	2019-04-25
56.096 DIAMOND HILL LONG/SHORT-Y		2018-11-15	2019-04-25
168.818 EQUINOX IMP SYSTEM MACRO-I		2016-01-28	2019-04-25
30. ISHARES TR 7-10 YR TREAS INDEX FD		2019-02-14	2019-04-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,649		2,797	-148
37,374		33,394	3,980
34,712		23,472	11,240
38			38
2,500		2,465	35
1,300		1,273	27
45,000		38,270	6,730
1,500		1,484	16
1,700		1,774	-74
3,168		3,136	32

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-148
			3,980
			11,240
			38
			35
			27
			6,730
			16
			-74
			32

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
25. ISHARES TR BARCLAYS MBS BD FD		2019-02-04	2019-04-25
370. JPMORGAN BETABUILDERS JAPAN ETF		2018-07-11	2019-04-25
250. SPDR TR UNIT SER 1		2015-01-29	2019-04-25
217.575 VANGUARD TOT BD MKT IDX-ADM		2017-01-20	2019-04-25
15. VANGUARD BD INDEX FD INC		2019-03-01	2019-04-25
179.533 VANGUARD TTL INTL BND-ADM		2018-07-17	2019-04-25
1148.008 DIAMOND HILL LONG/SHORT-Y		2018-11-15	2019-06-14
952. JPMORGAN BETABUILDERS EUROPE ETF		2018-07-11	2019-08-28
. GENERAL MOTORS CO			2019-09-05
275. ISHARES TR BARCLAYS MBS BD FD		2019-02-04	2019-09-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,646		2,621	25
8,431		8,734	-303
73,008		58,773	14,235
2,315		2,317	-2
1,191		1,182	9
4,000		3,941	59
30,296		30,366	-70
21,668		23,401	-1,733
12			12
29,743		28,832	911

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			25
			-303
			14,235
			-2
			9
			59
			-70
			-1,733
			12
			911

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
400. VANGUARD BD INDEX FD INC		2019-03-01	2019-09-24
294.368 FIDELITY 500 INDEX-INST PRM		2019-04-01	2019-11-21
1326.674 EQUINOX IMP SYSTEM MACRO-I		2016-01-28	2019-12-09
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
32,317		31,512	805
31,830		29,596	2,234
12,882		13,943	-1,061
			2,141

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			805
			2,234
			-1,061

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
WARTBURG SEMINARY 333 WARTBURG PLACE Dubuque, IA 52001	NONE	PC	GENERAL	500
ASSOCIATION OF FUNDRAISING PROFESSIONALSP O BOX 1861 DUBUQUE, IA 520041861	NONE	PC	GENERAL	1,000
SISTERS OF ST FRANCIS 3390 WINDSOR AVE DUBUQUE, IA 520011311	NONE	PC	GENERAL	1,000
Total . . . . ▶ **3a**				151,800

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
DUBUQUE ARTS COUNCIL 2728 ASBURY ROAD DUBUQUE, IA 52001	NONE	PC	GENERAL	500
RED CROSS2400 ASBURY ROAD DUBUQUE, IA 52001	NONE	PC	GENERAL	1,000
ALBRECHT ACRES 14775 SHERRILL ROAD PO BOX 50 SHERRILL, IA 52023	NONE	PC	GENERAL	500
Total . . . . ▶ **3a**				151,800

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
DUBUQUE COUNTY HISTORICAL SOCIETY 350 E 3RD STREET DUBUQUE, IA 52001	NONE	PC	GENERAL	1,000
LINWOOD CEMETERY ASSOC 2735 WINDSOR DUBUQUE, IA 52001	NONE	NC	GENERAL	500
BOYS AND GIRLS CLUB OF GREATER DUBUQUE1299 LOCUST DUBUQUE, IA 52001	NONE	PC	GENERAL	2,500
Total . . . . ▶ **3a**				151,800

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CARNEGIE-STOUT PUBLIC LIBRARY FOUNDATIONPO BOX 27 DUBUQUE, IA 520040027	NONE	PC	GENERAL	1,000
HILLS AND DALES1011 DAVIS STREET DUBUQUE, IA 52001	NONE	PC	GENERAL	1,000
FOUR MOUNDS FOUNDATION 4900 PERU ROAD DUBUQUE, IA 52001	NONE	PC	GENERAL	1,000
Total . . . . ▶ **3a**				151,800

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ST MARKS YOUTH ENRICHMENT 1201 LOCUST ST Dubuque, IA 52001	NONE	PC	GENERAL	1,000
DIVINE WORD SEMINARY 107 JACOBY DR SW EPWORTH, IA 52045	NONE	PC	GENERAL	500
WAHLERT HIGH SCHOOL TRAP CLUB 2005 KANE STREET Dubuque, IA 52001	NONE	PC	GENERAL	2,000
Total . . . . ▶ **3a**				151,800

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
TRI-STATE INDEPENDENT BLIND SOC3333 ASBURY DUBUQUE, IA 52002	NONE	PC	GENERAL	500
JR ACHIEVEMENT TRISTATES INC 900 JACKSON ST MAIL BOX 2F Dubuque, IA 52001	NONE	PC	GENERAL	1,000
UNIVERSITY OF DUBUQUE KLAUER FAMILY SCHOLARSHIP FUND DUBUQUE, IA 52001	NONE	PC	GENERAL	10,000
Total . . . . ▶ **3a**				151,800

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
DUBUQUE HUMANE SOCIETY 4242 CHAVENELLE ROAD DUBUQUE, IA 52003	NONE	PC	GENERAL	1,500
DUBUQUE MERCY HEALTH FOUNDATION 250 MERCY DRIVE Dubuque, IA 52001	NONE	PC	GENERAL	7,500
MARYS INN MARERNITY HOME P O BOX 3338 Dubuque, IA 520043338	NONE	PC	GENERAL	500
Total . . . . ▶ **3a**				151,800

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
DUBUQUE RESCUE MISSION 398 MAIN ST Dubuque, IA 52001	NONE	PC	GENERAL	500
STONEHILL CARE CENTER 3485 WINDSOR AVENUE DUBUQUE, IA 52001	NONE	PC	GENERAL	2,000
CATHOLIC CHARITIES OF THE ARCHDIOCESE OF DUBUQUE PO BOX 1300 DUBUQUE, IA 520041300	NONE	PC	GENERAL	1,000
Total . . . . ▶ **3a**				151,800

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ST STEPHENS FOOD BANK 3145 CEDAR CREST RIDGE DUBUQUE, IA 52003	NONE	PC	GENERAL	1,000
DUBUQUE MUSEUM OF ART 701 LOCUST STREET DUBUQUE, IA 52001	NONE	PC	GENERAL	2,000
UNITED WAY OF DUBUQUE 215 WEST 6TH STREET DUBUQUE, IA 52001	NONE	PC	GENERAL	26,000
Total . . . . ▶ **3a**				151,800

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
COLTS DRUM AND BUGLE CORPS 1101 CENTRAL AVE DUBUQUE, IA 52001	NONE	PC	GENERAL	3,000
FINLEY HEALTH FOUNDATION 350 NORTH GRANDVIEW AVE DUBUQUE, IA 52001	NONE	PC	GENERAL	6,000
ILLINOIS STATE UNIVERSITY CAMPUS BOX 3060 NORMAL, IL 617903060	NONE	PC	GENERAL	10,000
Total . . . . ▶ **3a**				151,800

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
LUTHER MANOR3131 HILLCREST RD WAUWATOSA, IA 52001	NONE	PC	GENERAL	1,000
SISTERS OF CHARITYPO BOX 858 DUBUQUE, IA 520040858	NONE	PC	GENERAL	1,000
GREATER DUBUQUE DEVELOPMENT CORPORATION 900 JACKSON ST SUITE 109 DUBUQUE, IA 52001	NONE	PC	GENERAL	2,400
Total . . . . ▶ **3a**				151,800

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ST VINCENT DE PAUL SOCIETY 4990 RADFORD CT DUBUQUE, IA 52003	NONE	PC	GENERAL	1,000
GRAND OPERA HOUSE 135 W 8TH STREET DUBUQUE, IA 52001	NONE	PC	GENERAL	3,000
DUBUQUE SYMPHONY ORCHESTRA 2728 ASBURY RD SUITE 900 DUBUQUE, IA 52001	NONE	PC	GENERAL	2,000
Total . . . . ▶ **3a**				151,800

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CLARKE UNIVERSITY 1550 CLARKE DRIVE DUBUQUE, IA 52001	NONE	PC	GENERAL	10,000
LORAS COLLEGE1450 ALTA VISTA ST DUBUQUE, IA 52001	NONE	PC	GENERAL	10,000
UNIVERSITY OF NOTRE DAME SCHOLARSHIPS115 MAIN BUILDING NOTRE DAME, IA 465565602	NONE	PC	GENERAL	5,000
Total . . . . ▶ **3a**				151,800

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
UNIVERSITY OF IOWA FOUNDATION PO BOX 4550 IOWA CITY, IA 522444550	NONE	PC	GENERAL	500
TERESA SHELTER OPENING DOORS 1111 BLUFF ST DUBUQUE, IA 52001	NONE	PC	GENERAL	500
HOLY FAMILY CATHOLIC SCHOOLS 2005 KANE DUBUQUE, IA 52001	NONE	PC	GENERAL	10,000
Total . . . . ▶ **3a**				151,800

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
IOWA COLLEGE FOUNDATION 505-5TH AVE SUITE 1034 Des Moines, IA 50309	NONE	PC	GENERAL	2,500
SALVATION ARMYPO BOX 416 DUBUQUE, IA 520040416	NONE	PC	GENERAL	400
COMMUNITY FOUNDATION OF GREATER DUBUQUE 700 LOCUST ST SUITE 195 DUBUQUE, IA 52001	NONE	PC	GENERAL	11,000
Total . . . . ▶ **3a**				151,800

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
MARIA HOUSE OPENING DOORS 1561 JACKSON STREET DUBUQUE, IA 52001	NONE	PC	GENERAL	500
DUBUQUE ARBORETUM AND BOTANICAL GARDENS MARSHALL PARK DUBUQUE, IA 52001	NONE	PC	GENERAL	500
SHALOM RETREAT CENTER1001 DAVIS DUBUQUE, IA 52001	NONE	PC	GENERAL	500
Total . . . . ▶ **3a**				151,800

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
HILLCREST FAMILY SERVICES 2005 ASBURY ROAD DUBUQUE, IA 52001	NONE	PC	GENERAL	1,000
VISITING NURSE ASSOC1454 IOWA ST DUBUQUE, IA 52001	NONE	PC	GENERAL	1,000
VETERANS FREEDOM CENTER 2245 KERPER BLVD SUITE 1 DUBUQUE, IA 52001	NONE	PC	GENERAL	500
Total . . . . ▶ **3a**				151,800

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2019 Expenditure Responsibility Statement

Name: THE KLAUER FOUNDATION TRUST XXXXX5009

EIN: 36-6013118

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
Linwood Cemetery Association	2735 WINDSOR DUBUQUE, IA 52001	2019-05-01	500	Cemetery beautification	500		See attached Form 8275-R		

TY 2019 Investments Corporate Bonds Schedule

Name: THE KLAUER FOUNDATION TRUST XXXXX5009

EIN: 36-6013118

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
921937603 VANGUARD TOTAL BOND	164,154	172,641
92203J308 VANGUARD TOTAL INTL	236,989	242,262
722005816 PIMCO INVESTMENT GRA		
921937827 VANGUARD SHORT-TERM		
54401E143 LORD ABBETT SHRT DUR	68,137	69,215
83002G108 SIX CIRCLES ULTRA SH	32,210	32,146
464287432 ISHARES 20+ YEAR TRE	33,987	32,109
464287440 ISHARES 7-10 YEAR TR	28,742	30,311

TY 2019 Investments Corporate Stock Schedule

Name: THE KLAUER FOUNDATION TRUST XXXXX5009

EIN: 36-6013118

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
101137107 BOSTON SCIENTIFIC CO		
110122108 BRISTOL-MYERS SQUIBB		
151020104 CELGENE CORP		
172967424 CITIGROUP INC		
254687106 WALT DISNEY CO/THE		
256206103 DODGE & COX INTL STO		
369550108 GENERAL DYNAMICS COR		
375558103 GILEAD SCIENCES INC		
437076102 HOME DEPOT INC		
438516106 HONEYWELL INTERNATIO		
464287465 ISHARES MSCI EAFE ET	190,596	222,208
493267108 KEYCORP		
532457108 ELI LILLY & CO		
548661107 LOWE'S COS INC		
594918104 MICROSOFT CORP		
609207105 MONDELEZ INTERNATION		
617446448 MORGAN STANLEY		
666807102 NORTHROP GRUMMAN COR		
674599105 OCCIDENTAL PETROLEUM		
713448108 PEPSICO INC		
717081103 PFIZER INC		
723787107 PIONEER NATURAL RESO		
808513105 SCHWAB (CHARLES) COR		
854502101 STANLEY BLACK & DECK		
882508104 TEXAS INSTRUMENTS IN		
907818108 UNION PACIFIC CORP		
949746101 WELLS FARGO & CO		
988498101 YUM! BRANDS INC		
00724F101 ADOBE INC.		
02079K107 ALPHABET INC/CA-CL C		

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
02079K305 ALPHABET INC/CA-CL A		
09062X103 BIOGEN INC		
14042Y601 CAPITAL GR NON US EQ		
16119P108 CHARTER COMMUNICATIO		
20030N101 COMCAST CORP-CLASS A		
26875P101 EOG RESOURCES INC		
30303M102 FACEBOOK INC-A		
31620M106 FIDELITY NATIONAL IN		
57636Q104 MASTERCARD INC-CLASS		
58933Y105 MERCK & CO. INC.		
65339F101 NEXTERA ENERGY INC		
65473P105 NISOURCE INC		
67103H107 O'REILLY AUTOMOTIVE		
78462F103 SPDR S&P 500 ETF TRU	374,756	672,687
78486Q101 SVB FINANCIAL GROUP		
91324P102 UNITEDHEALTH GROUP I		
92532F100 VERTEX PHARMACEUTICA		
92826C839 VISA INC-CLASS A SHA		
94106B101 WASTE CONNECTIONS IN		
96208T104 WEX INC		
G0176J109 ALLEGION PLC		
G1151C101 ACCENTURE PLC-CL A		
H1467J104 CHUBB LTD		
023135106 AMAZON.COM INC		
032654105 ANALOG DEVICES INC		
037833100 APPLE INC		
060505104 BANK OF AMERICA CORP		
084670702 BERKSHIRE HATHAWAY I		
247361702 DELTA AIR LINES INC		
25278X109 DIAMONDBACK ENERGY I		

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
256746108 DOLLAR TREE INC		
26078J100 DOWDUPONT INC		
45866F104 INTERCONTINENTAL EXC		
46432F842 ISHARES CORE MSCI EA	153,095	159,838
552746349 MFS INTL VALUE-R6		
655844108 NORFOLK SOUTHERN COR		
67066G104 NVIDIA CORP		
718172109 PHILIP MORRIS INTERN		
913017109 UNITED TECHNOLOGIES		
98956P102 ZIMMER HOLDINGS INC		
G6095L109 APTIV PLC		
025816109 AMERICAN EXPRESS CO		
053015103 AUTOMATIC DATA PROCE		
191216100 COCA-COLA CO/THE		
285512109 ELECTRONIC ARTS INC		
315911750 FIDELITY 500 INDEX F	658,548	769,117
701094104 PARKER HANNIFIN CORP		
744320102 PRUDENTIAL FINANCIAL		
778296103 ROSS STORES INC		
981558109 WORLDPAY INC CL A		
11135F101 BROADCOM INC		
46641Q688 JPM BTABLDRS DEV ASI	33,452	34,590
46641Q696 JPMORGAN BETABUILDER	99,441	108,592
46641Q712 JPMORGAN BETABUILDER	92,597	98,160
46641Q720 JPMORGAN BETABUILDER	67,050	71,985
56585A102 MARATHON PETROLEUM C		
64110L106 NETFLIX INC		
70450Y103 PAYPAL HOLDINGS INC		
79466L302 SALESFORCE.COM INC		
83002G306 SIX CIRCLES U.S. UNC	316,710	366,233

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
83002G405 SIX CIRCLES INTERNAT	158,630	171,732
G5494J103 LINDE PLC		
G5960L103 MEDTRONIC PLC		
14019V606 AMER FNDS INTL VNTG-	21,689	28,027

TY 2019 Investments - Other Schedule

Name: THE KLAUER FOUNDATION TRUST XXXXX5009

EIN: 36-6013118

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
12628J881 CRM LNG/SHRT OPPORT-	AT COST	29,371	30,872
29446A710 EQUINOX IMP SYSTEM M	AT COST	18,012	16,998
09257V508 BLACKSTONE ALT MULTI	AT COST	83,746	83,338
25264S833 DIAMOND HILL LONG/SH			
09250J734 BLACKROCK EVNT DRVN	AT COST	16,935	16,476

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491176009070

TY 2019 Other Decreases Schedule

Name: THE KLAUER FOUNDATION TRUST XXXXX5009

EIN: 36-6013118

Description	Amount
2019 TRANSACTION POSTED IN 2020	3,842
SALES BASIS ADJUSTMENT	34
ROUNDING	5

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491176009070

TY 2019 Other Expenses Schedule

Name: THE KLAUER FOUNDATION TRUST XXXXX5009

EIN: 36-6013118

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ATTORNEY GENERAL FEE	15	0		15

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491176009070

TY 2019 Other Increases Schedule

Name: THE KLAUER FOUNDATION TRUST XXXXX5009

EIN: 36-6013118

Description	Amount
RECOVERY OF CHARITABLE DISTRIBUTIONS	5,000
2018 TRANSACTION POSTED IN 2019	4,071

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491176009070

TY 2019 Taxes Schedule

Name: THE KLAUER FOUNDATION TRUST XXXXX5009

EIN: 36-6013118

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	14	14		0
FEDERAL TAX PAYMENT - PRIOR YE	2,800	0		0
FEDERAL ESTIMATES - INCOME	4,720	0		0
FOREIGN TAXES ON QUALIFIED FOR	1,881	1,881		0
FOREIGN TAXES ON NONQUALIFIED	258	258		0