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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No. 1545-0047

2019

Open to Public Inspection

For calendar year 2019 or tax year beginning , and ending

Name of foundation	A Employer identification number
ROTONDA FOUNDATION	36-3866527
Number and street (or P.O. box number if mail is not delivered to street address) 191 N. WACKER DRIVE — Room/suite 1500	B Telephone number (312) 621-0590
City or town, state or province, country, and ZIP or foreign postal code CHICAGO, IL 60606-1899	C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶$ 13,037,998.

J Accounting method: ☐ Cash [X] Accrual ☐ Other (specify) (Part I, column (d), must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	4,449,487.		N/A	
	2 Check ▶ ☐ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	15,436.	15,436.		STATEMENT 1
	4 Dividends and interest from securities	221,422.	221,422.		STATEMENT 2
	5a Gross rents	<11,503.>	<11,503.>		STATEMENT 3
	b Net rental income or (loss) <11,503.>				
	6a Net gain or (loss) from sale of assets not on line 10	368,858.			
	b Gross sales price for all assets on line 6a 4,028,791.				
	7 Capital gain net income (from Part IV, line 2)		422,249.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less: Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	144,415.	128,896.		STATEMENT 4
	12 Total. Add lines 1 through 11	5,188,115.	776,500.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees STMT 5	9,743.	4,872.		4,871.
	c Other professional fees STMT 6	118,424.	118,424.		0.
	17 Interest	5,950.	5,950.		0.
	18 Taxes STMT 7	14,305.	4,312.		15.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 8	87,210.	83,425.		2,710.
	24 Total operating and administrative expenses. Add lines 13 through 23	235,632.	216,983.		7,596.
	25 Contributions, gifts, grants paid	549,064.			549,064.
	26 Total expenses and disbursements. Add lines 24 and 25	784,696.	216,983.		556,660.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	4,403,419.			
	b Net investment income (if negative, enter -0-)		559,517.		
	c Adjusted net income (if negative, enter -0-)			N/A	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	1,163,697.	1,297,583.	1,297,583.
	3 Accounts receivable ▶ 6,000.			
	Less allowance for doubtful accounts ▶		6,000.	6,000.
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations			
	b Investments - corporate stock STMT 9	2,875,539.	7,039,700.	7,722,171.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 10	6,795,621.	6,889,421.	4,011,665.
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶ DIVIDENDS RECEIVABL)	809.	579.	579.
	16 **Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	10,835,666.	15,233,283.	13,037,998.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ ANCILLARY FEES PAY)	31,296.	32,480.	
	23 **Total liabilities** (add lines 17 through 22)	31,296.	32,480.	
Net Assets or Fund Balances	**Foundations that follow FASB ASC 958, check here ▶ ☐ and complete lines 24, 25, 29, and 30**			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ [X] and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds	0.	0.	
	27 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	10,000.	
	28 Retained earnings, accumulated income, endowment, or other funds	10,804,370.	15,190,803.	
	29 **Total net assets or fund balances**	10,804,370.	15,200,803.	
	30 **Total liabilities and net assets/fund balances**	10,835,666.	15,233,283.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	10,804,370.
2	Enter amount from Part I, line 27a	2	4,403,419.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	15,207,789.
5	Decreases not included in line 2 (itemize) ▶ OTHER DECREASE	5	6,986.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	15,200,803.

Part IV Capital Gains and Losses for Tax on Investment Income SEE ATTACHED STATEMENT

	(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo , day, yr)
1a				
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a				
b				
c				
d				
e	4,028,791.		3,659,272.	422,249.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				422,249.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } **2** 422,249.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c)
If (loss), enter -0- in Part I, line 8 **3** N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation doesn't qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2018	526,995.	7,964,524.	.066168
2017	1,036,379.	8,710,748.	.118977
2016	780,380.	9,323,098.	.083704
2015	955,172.	10,450,076.	.091403
2014	436,780.	10,721,567.	.040738

2	**Total** of line 1, column (d)	2	.400990
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	.080198
4	Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	10,976,901.
5	Multiply line 4 by line 3	5	880,326.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	5,595.
7	Add lines 5 and 6	7	885,921.
8	Enter qualifying distributions from Part XII, line 4	8	556,660.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ______ (attach copy of letter if necessary-see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	11,190.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)			2	0.
3	Add lines 1 and 2			3	11,190.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)			4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	11,190.
6	Credits/Payments:				
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a	25,215.		
b	Exempt foreign organizations - tax withheld at source	6b	0.		
c	Tax paid with application for extension of time to file (Form 8868)	6c	0.		
d	Backup withholding erroneously withheld	6d	0.		
7	Total credits and payments Add lines 6a through 6d			7	25,215.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☒ if Form 2220 is attached			8	0.
9	**Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	14,025.
11	Enter the amount of line 10 to be **Credited to 2020 estimated tax** ▶	14,025.	Refunded ▶	11	0.

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition	1b		X
	If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ 0. (2) On foundation managers ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		X
	If "Yes," attach a detailed description of the activities			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	X	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	X	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		X
	If "Yes," attach the statement required by *General Instruction T*			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	7	X	
8a	Enter the states to which the foundation reports or with which it is registered See instructions ▶ IL			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G*? If "No," attach explanation	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV If "Yes," complete Part XIV	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

7

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
	Website address ▶ N/A			
14	The books are in care of ▶ WILLIAM HARRIS INVESTORS, INC. Telephone no ▶312-621-0590			
	Located at ▶191 NORTH WACKER DRIVE, SUITE 1500, CHICAGO, IL ZIP+4 ▶60606-1899			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - check here ▶ ☐			
	and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A			
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year, did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1) (6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		X
	Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ______, ______, ______, ______			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ______, ______, ______, ______			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☒ Yes ☐ No			
b	If "Yes," did it have excess business holdings in 2019 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019)	3b		X
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a During the year, did the foundation pay or incur any amount to				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance? See instructions	N/A	5b		
Organizations relying on a current notice regarding disaster assistance, check here	▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	N/A ☐ Yes ☐ No			
If "Yes," attach the statement required by Regulations section 53.4945-5(d).				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		X
If "Yes" to 6b, file Form 8870.				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b		
8 Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment(s) during the year?	☐ Yes ☒ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 13		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
WILLIAM HARRIS INVESTORS, INC. - 191 N. WACKER DRIVE, SUITE 1500, CHICAGO, IL 60606	ACCOUNTING/ INVESTMENT SERVICES	118,424.

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	5,895,966.
b	Average of monthly cash balances	1b	1,399,645.
c	Fair market value of all other assets	1c	3,848,451.
d	**Total** (add lines 1a, b, and c)	1d	11,144,062.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	11,144,062.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	167,161.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	10,976,901.
6	**Minimum investment return.** Enter 5% of line 5	6	548,845.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	548,845.
2a	Tax on investment income for 2019 from Part VI, line 5	2a	11,190.		
b	Income tax for 2019. (This does not include the tax from Part VI.)	2b	2,430.		
c	Add lines 2a and 2b			2c	13,620.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	535,225.
4	Recoveries of amounts treated as qualifying distributions			4	0.
5	Add lines 3 and 4			5	535,225.
6	Deduction from distributable amount (see instructions)			6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	535,225.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes.		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	556,660.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the.		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions** Add lines 1a through 3b Enter here and on Part V, line 8; and Part XIII, line 4	4	556,660.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions** Subtract line 5 from line 4	6	556,660.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				535,225.
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only			0.	
b Total for prior years: ____ , ____ , ____		0.		
3 Excess distributions carryover, if any, to 2019				
a From 2014				
b From 2015 447,920.				
c From 2016 314,499.				
d From 2017 611,239.				
e From 2018 132,074.				
f **Total** of lines 3a through e	1,505,732.			
4 Qualifying distributions for 2019 from Part XII, line 4 ▶ $ 556,660.				
a Applied to 2018, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2019 distributable amount				535,225.
e Remaining amount distributed out of corpus	21,435.			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,527,167.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2018 Subtract line 4a from line 2a. Taxable amount - see instr			0.	
f Undistributed income for 2019 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2020				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2020** Subtract lines 7 and 8 from line 6a	1,527,167.			
10 Analysis of line 9				
a Excess from 2015 447,920.				
b Excess from 2016 314,499.				
c Excess from 2017 611,239.				
d Excess from 2018 132,074.				
e Excess from 2019 21,435.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4, for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter. (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6, for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2).)

ROXANNE H. FRANK

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc , to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

N/A

b The form in which applications should be submitted and information and materials they should include

N/A

c Any submission deadlines

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

N/A

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHMENT	N/A	PC	GENERAL OPERATING SUPPORT/ANNUAL GIFT	549,064.
Total			▶ 3a	549,064.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

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Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	15,436.	
4 Dividends and interest from securities			14	221,422.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property			16	<11,503.>	
6 Net rental income or (loss) from personal property					
7 Other investment income	523000	2,758.	18	129,657.	
8 Gain or (loss) from sales of assets other than inventory	523000	<597.>	18	369,455.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a STATE TAX REFUND			01	12,000.	
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		2,161.		736,467.	0.

13 Total Add line 12, columns (b), (d), and (e) 13 738,628.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII **Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee | Date 11/16/2020 | Title Treasurer

May the IRS discuss this return with the preparer shown below? See instr ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
SARAH REDDEN	Sarah Redden	11/13/2020		P00898534
Firm's name ▶ DELOITTE TAX LLP			Firm's EIN ▶ 86-1065772	
Firm's address ▶ 50 SOUTH SIXTH STREET MINNEAPOLIS, MN 55402			Phone no. 612-397-4000	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	PUBLICLY TRADED SECURITIES	P		
b	FIDELITY #9134 CAPITAL GAINS DISTRIBUTIONS	P	VARIOUS	VARIOUS
c	WHI FIXED INCOME FUND II, LLC	P	VARIOUS	VARIOUS
d	WHI FIXED INCOME FUND III, L.P.	P	VARIOUS	VARIOUS
e	WHI NFP FUND, LLC	P	VARIOUS	VARIOUS
f	WHI PRIVATE EQUITY MANAGERS FUND, LLC	P	VARIOUS	VARIOUS
g	WHI PRIVATE EQUITY MANAGERS FUND II, L.P.	P	VARIOUS	VARIOUS
h	WHI PRIVATE EQUITY MANAGERS FUND III, L.P.	P	VARIOUS	VARIOUS
i	WHI PRIVATE EQUITY MANAGERS FUND IV, L.P.	P	VARIOUS	VARIOUS
j	WHI REALTY FUND, LLC	P	VARIOUS	VARIOUS
k	WHI REALTY FUND II, L.P.	P	VARIOUS	VARIOUS
l	WHI REALTY FUND III, L.P.	P	VARIOUS	VARIOUS
m	WHI REAL ESTATE PARTNERS IV-PF, L.P.	P	VARIOUS	VARIOUS
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	3,748,984.		3,659,272.	89,712.
b	279,807.			279,807.
c				<3,890.>
d				5,163.
e				8,281.
f				<16,557.>
g				<24,979.>
h				26,731.
i				16,712.
j				<127.>
k				<3,674.>
l				13,707.
m				31,363.
n				
o				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				89,712.
b				279,807.
c				<3,890.>
d				5,163.
e				8,281.
f				<16,557.>
g				<24,979.>
h				26,731.
i				16,712.
j				<127.>
k				<3,674.>
l				13,707.
m				31,363.
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter "-0-" in Part I, line 7 }	2	422,249.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

Schedule B (Form 990, 990-EZ, or 990-PF) Department of the Treasury Internal Revenue Service	**Schedule of Contributors** ▶ Attach to Form 990, Form 990-EZ, or Form 990-PF. ▶ Go to www.irs.gov/Form990 for the latest information.	OMB No 1545-0047 **2019**

Name of the organization	Employer identification number
ROTONDA FOUNDATION	36-3866527

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**

Note Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000, or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year ▶ $

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization	Employer identification number
ROTONDA FOUNDATION	36-3866527

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	RHF CHARITABLE TRUST 191 N. WACKER DRIVE, SUITE 1500 CHICAGO, IL 60606-1899	$ 4,449,487.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)

Name of organization	Employer identification number
ROTONDA FOUNDATION	36-3866527

Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

Name of organization	Employer identification number
ROTONDA FOUNDATION	36-3866527

Part III Exclusively religious, charitable, etc , contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry For organizations completing Part III, enter the total of exclusively religious, charitable, etc , contributions of $1,000 or less for the year (Enter this info once) ▶ $

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
INTEREST ON SAVINGS AND TEMPORARY CASH	15,436.	15,436.	
TOTAL TO PART I, LINE 3	15,436.	15,436.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
DIVIDENDS	193,761.	0.	193,761.	193,761.	
INTEREST	27,661.	0.	27,661.	27,661.	
TO PART I, LINE 4	221,422.	0.	221,422.	221,422.	

FORM 990-PF RENTAL INCOME STATEMENT 3

KIND AND LOCATION OF PROPERTY	ACTIVITY NUMBER	GROSS RENTAL INCOME
NET RENTAL INCOME FROM PASS-THROUGHS	1	<11,503.>
TOTAL TO FORM 990-PF, PART I, LINE 5A		<11,503.>

FORM 990-PF OTHER INCOME STATEMENT 4

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
NONTAXABLE INCOME	761.	0.	
OTHER INCOME FROM PASSTHROUGH	131,654.	128,896.	
STATE TAX REFUND	12,000.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	144,415.	128,896.	

FORM 990-PF	ACCOUNTING FEES			STATEMENT 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX ADVISORY FEES	9,743.	4,872.		4,871.
TO FORM 990-PF, PG 1, LN 16B	9,743.	4,872.		4,871.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT 6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FINANCIAL SERVICE FEE	81,200.	81,200.		0.
INVESTMENT ADVISORY FEES	37,224.	37,224.		0.
TO FORM 990-PF, PG 1, LN 16C	118,424.	118,424.		0.

FORM 990-PF	TAXES			STATEMENT 7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	4,312.	4,312.		0.
FEDERAL TAX PAYMENTS	9,511.	0.		0.
STATE TAXES	482.	0.		15.
TO FORM 990-PF, PG 1, LN 18	14,305.	4,312.		15.

FORM 990-PF — OTHER EXPENSES — STATEMENT 8

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OTHER EXPENSES FROM PASSTHROUGHS	83,175.	83,175.		0.
FILING FEES	10.	0.		10.
EVENT EXPENSES	2,700.	0.		2,700.
BANK FEES	250.	250.		0.
NON-DEDUCTIBLE EXPENSES	1,075.	0.		0.
TO FORM 990-PF, PG 1, LN 23	87,210.	83,425.		2,710.

FORM 990-PF — CORPORATE STOCK — STATEMENT 9

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
MFS CORE EQUITY FUND CLASS I	128,340.	146,987.
OAKMARK INTERNATIONAL FUND	344,571.	401,643.
PEAR TREE POLARIS FGN VAL SM CAP INSTL	135,436.	146,937.
BERNSTEIN INTERMEDIATE DURATION PORTFOLIO	743,264.	776,717.
CS FLOATING RATE HIGH INC INSTL SHS	226,873.	223,351.
DOUBLELINE TOTAL RETURN BOND FUND CL I	358,954.	356,069.
EATON VANCE SHORT DURATION HIGH INC I	203,977.	203,870.
MFS GLOBAL ALTERNATIVE STRATEGY I	152,321.	156,531.
ISHARES CORE S&P 500 ETF	742,055.	900,870.
ALLIANZ GI NFJ MID-CAP VALUE INSTL	651,140.	719,889.
COLUMBIA CONTRARIAN CORE FD CL R5	125,088.	131,851.
MORGAN STANLEY INSTL FND CAP GRWH PTF CL I	593,831.	615,372.
VIRTUS KAR SMALL CAP GROWTH FUND I	471,174.	684,007.
VIVALDI OPPORTUNITIES FUND	13,974.	12,736.
NUANCE MID CAP VALUE FUND CL INSTITUTIONAL	244,138.	259,021.
WASATCH SMALL CAP VALUE FUND CL INST	233,702.	250,132.
COLUMBIA ACORN INTERNATIONAL FUND	545,466.	623,264.
DOUBLELINE TOTAL RETURN BOND FUND CL I	843,445.	834,786.
PERFORMANCE TRUST MUNICIPAL BOND INSTL	175,863.	174,752.
DESIGN WITHIN REACH FR GROWTH FUND	106,088.	103,386.
TOTAL TO FORM 990-PF, PART II, LINE 10B	7,039,700.	7,722,171.

FORM 990-PF	OTHER INVESTMENTS		STATEMENT 10
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
WHI PRIVATE EQUITY FUND CORPORATION	COST	3,227,235.	0.
SIH DEBT OPPORTUNITIES	COST	202,961.	203,089.
WHI FIXED INCOME FUND II, LLC	COST	1,401.	34,136.
WHI FIXED INCOME FUND III, LP	COST	152,911.	101,171.
WHI GROWTH FUND, LP	COST	<16,593.>	0.
WHI MORULA FUND II, LP	COST	273,256.	53,510.
WHI PRIVATE EQUITY MNGRS FUND, LLC	COST	<23,034.>	15,793.
WHI PRIVATE EQUITY MNGRS FUND II, LLC	COST	72,435.	54,543.
WHI PRIVATE EQUITY MNGRS FUND III, LLC	COST	17,654.	35,188.
WHI PRIVATE EQUITY MNGRS FUND IV, LLC	COST	181,995.	365,615.
WHI REAL ESTATE PARTI 1-F, LP	COST	4,549.	5,859.
WHI REALTY FUND, LLC	COST	12,061.	2,058.
WHI REALTY FUND II, LLC	COST	43,286.	25,856.
WHI REALTY FUND III, LP	COST	3,421.	4,101.
WHI REAL ESTATE PARTNERS IV - PF	COST	271,278.	294,699.
WHI NFP, LLC	COST	2,464,605.	2,816,047.
TOTAL TO FORM 990-PF, PART II, LINE 13		6,889,421.	4,011,665.

FORM 990-PF	OTHER ASSETS		STATEMENT 11
DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
DIVIDENDS RECEIVABLE	809.	579.	579.
TO FORM 990-PF, PART II, LINE 15	809.	579.	579.

FORM 990-PF	OTHER LIABILITIES	STATEMENT 12
DESCRIPTION	BOY AMOUNT	EOY AMOUNT
ANCILLARY FEES PAYABLE	31,296.	32,480.
TOTAL TO FORM 990-PF, PART II, LINE 22	31,296.	32,480.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS STATEMENT 13

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN-SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
DANIEL H. MEYER 191 N. WACKER DRIVE, SUITE 1500 CHICAGO, IL 60606-1899	VICE PRESIDENT/DIRECTOR 1.00	0.	0.	0.
LAUREN K. MACDONALD 191 N. WACKER DRIVE, SUITE 1500 CHICAGO, IL 60606-1899	TREASURER 1.00	0.	0.	0.
MICHAEL C. MCQUINN 191 N. WACKER DRIVE, SUITE 1500 CHICAGO, IL 60606-1899	VICE PRESIDENT 1.00	0.	0.	0.
NANCY MEYER 191 N. WACKER DRIVE, SUITE 1500 CHICAGO, IL 60606-1899	VICE PRESIDENT/DIRECTOR 1.00	0.	0.	0.
ROXANNE H. FRANK 191 N. WACKER DRIVE, SUITE 1500 CHICAGO, IL 60606-1899	PRESIDENT/SECRETARY/DIRECTOR 1.00	0.	0.	0.
THOMAS W. MEYER 191 N. WACKER DRIVE, SUITE 1500 CHICAGO, IL 60606-1899	VICE PRESIDENT/DIRECTOR 1.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR - 2019 FEIN #36-3866527

Rotonda Foundation

FEIN #36-3866527

Recipient Name	Address	If grantee is individual, show relationship	Foundation Status of Recipient	Purpose of Grant or Contribution	Payment Date	Amount
Alzheimer's Association Greater Missouri	225 N Michigan Ave Ste 1700 Chicago, IL 60601-7652	N/A	PC	General Operating Support/Annual Gift	8/1/2019	$1,000
American Jewish Committee	165 E 56Th St New York, NY 10022-2709	N/A	PC	General Operating Support/Annual Gift	8/1/2019	$10,000
American Parkinson Disease Association - Greater St. Louis Chapter	135 Parkinson Ave Staten Island, NY 10305-1425	N/A	PC	General Operating Support/Annual Gift	8/1/2019	$1,000
Anti-Defamation League Foundation - Missouri/Southern Illinois Chapter	605 Third Avenue New York, NY 10158-0180	N/A	PC	General Operating Support/Annual Gift	8/1/2019	$2,500
Association For Macular Diseases Inc.	210 East 64 Street 8Th Floor New York, NY 10065-7471	N/A	PC	General Operating Support/Annual Gift	7/18/2019	$5,014
Astraea Foundation, Inc.	116 E 16Th St 7Th Fl New York, NY 10003-2159	N/A	PC	General Operating Support/Annual Gift	3/1/2019	$20,000
Barnes-Jewish Hospital, The Foundation for	1001 Highlands Plaza Dr W Ste 140 Saint Louis, MO 63110-1339	N/A	PC	General Operating Support/Annual Gift	5/23/2019	$1,000
Barnes-Jewish Hospital, The Foundation for	1001 Highlands Plaza Dr W Ste 140 Saint Louis, MO 63110-1339	N/A	PC	General Operating Support/Annual Gift	8/1/2019	$19,000
Cancer Support Community of Greater St Louis	1058 Old Des Peres Rd Saint Louis, MO 63131-1865	N/A	PC	General Operating Support/Annual Gift	8/1/2019	$20,000
Center For Constitutional Rights, Inc.	666 Broadway 7Th Flr New York, NY 10012-2317	N/A	PC	General Operating Support/Annual Gift	3/1/2019	$25,000
City Harvest	6 East 32Nd Street 5Th Floor New York, NY 10016-5415	N/A	PC	General Operating Support/Annual Gift	7/18/2019	$2,500
Contemporary Art Museum St. Louis	3750 Washington Blvd Saint Louis, MO 63108-3612	N/A	PC	General Operating Support/Annual Gift	8/1/2019	$2,000
Culinary Institute Of America	1946 Campus Dr Hyde Park, NY 12538-1430	N/A	PC	General Operating Support/Annual Gift	4/18/2019	$5,000
Ellen Frank Illumination Arts Foundation, Inc.	73 Squaw Rd East Hampton, NY 11937-4510	N/A	PC	General Operating Support/Annual Gift	3/1/2019	$5,000
Family of Woodstock	Po Box 3516 Kingston, NY 12402-3516	N/A	PC	General Operating Support/Annual Gift	7/18/2019	$5,000
Food Bank of the Hudson Valley	965 Albany Shaker Rd Latham, NY 12110-1409	N/A	PC	General Operating Support/Annual Gift	7/18/2019	$7,500
Forest Park Forever	5595 Grand Drive In Forest Par Saint Louis, MO 63112-0000	N/A	PC	General Operating Support/Annual Gift	8/1/2019	$1,000
Go Doc Go	1156 Old Ford Rd New Paltz, NY 12561-2654	N/A	PC	General Operating Support/Annual Gift	12/17/2019	$1,000
Hot Bread Kitchen Ltd	1590 Park Ave Unit 1 New York, NY 10029-1857	N/A	PC	General Operating Support/Annual Gift	5/2/2019	$1,250

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR - 2019

FEIN #36-3866527

Recipient Name	Address	If grantee is individual, show relationship	Foundation Status of Recipient	Purpose of Grant or Contribution	Payment Date	Amount
Research Foundation for SUNY as fiscal sponsor for the Hudson Valley Writing Program	Po Box 9 Albany, NY 12201-0009	N/A	PC	General Operating Support/Annual Gift	7/2/2019	$75,000
Jazz Foundation of America	247 West 37Th St New York, NY 10018-5706	N/A	PC	General Operating Support/Annual Gift	7/18/2019	$2,500
Jazz St Louis - Jazz at the Bistro	3536 Washington Ave Saint Louis, MO 63103-1019	N/A	PC	General Operating Support/Annual Gift	8/1/2019	$7,500
Jewish Federation of St Louis	12 Millstone Campus Dr Saint Louis, MO 63146-5776	N/A	PC	General Operating Support/Annual Gift	8/1/2019	$20,000
John Burroughs School	755 S Price Rd Saint Louis, MO 63124-1866	N/A	PC	General Operating Support/Annual Gift	8/1/2019	$1,000
Laumeier Sculpture Park	12580 Rott Rd Saint Louis, MO 63127-1212	N/A	PC	General Operating Support/Annual Gift	8/1/2019	$1,000
Lift For Life Foundation Inc	1731 S Broadway Saint Louis, MO 63104-4050	N/A	SO I	General Operating Support/Annual Gift	5/23/2019	$10,000
Madison Square Park Conservancy, Inc.	11 Madison Ave New York, NY 10010-3643	N/A	PC	General Operating Support/Annual Gift	3/14/2019	$13,400
Madison Square Park Conservancy, Inc.	11 Madison Ave New York, NY 10010-3643	N/A	PC	General Operating Support/Annual Gift	8/1/2019	$50,000
Marlene Meyerson JCC Manhattan	334 Amsterdam Ave New York, NY 10023-8205	N/A	PC	General Operating Support/Annual Gift	5/2/2019	$2,500
Moog Center for Deaf Education	12300 S 40 Dr Saint Louis, MO 63141-8820	N/A	PC	General Operating Support/Annual Gift	8/1/2019	$20,000
NARAL Pro-Choice America Foundation	1725 Eye Street Nw Suite 900 Washington, DC 20006-2420	N/A	PC	General Operating Support/Annual Gift	8/1/2019	$5,000
National Association for Media Literacy Education	10 Laurel Hill Dr Cherry Hill, NJ 08003-2620	N/A	PC	General Operating Support/Annual Gift	7/18/2019	$2,500
National Council of Jewish Women - St. Louis Section	295 N Lindbergh Blvd Saint Louis, MO 63141-7809	N/A	PC	General Operating Support/Annual Gift	8/1/2019	$1,000
New York Women's Foundation	39 Broadway Ste 2300 New York, NY 10006-3003	N/A	PC	General Operating Support/Annual Gift	5/2/2019	$1,250
Opera Theatre of St. Louis	210 Hazel Ave Saint Louis, MO 63119-3236	N/A	PC	General Operating Support/Annual Gift	8/1/2019	$10,000
Planned Parenthood of the Mid-Hudson Valley	178 Church St Poughkeepsie, NY 12601-4165	N/A	PC	General Operating Support/Annual Gift	7/18/2019	$15,000
Planned Parenthood of the St. Louis Region	4251 Forest Park Ave Saint Louis, MO 63108-2810	N/A	PC	General Operating Support/Annual Gift	8/1/2019	$15,000
Regional Food Bank	965 Albany Shaker Rd Latham, NY 12110-1409	N/A	PC	General Operating Support/Annual Gift	7/18/2019	$2,000
Robin Hood Foundation	826 Broadway 9Th Floor New York, NY 10003-4826	N/A	PC	General Operating Support/Annual Gift	4/4/2019	$9,500
Saint Louis Art Museum Foundation	1 Fine Arts Dr Saint Louis, MO 63110-1380	N/A	PC	General Operating Support/Annual Gift	1/10/2019	$5,000

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR - 2019

FEIN #36-3866527

Recipient Name	Address	If grantee is individual, show relationship	Foundation Status of Recipient	Purpose of Grant or Contribution	Payment Date	Amount
Saint Louis Art Museum Foundation	1 Fine Arts Dr Saint Louis, MO 63110-1380	N/A	PC	General Operating Support/Annual Gift	8/1/2019	$10,000
Saint Louis Story Stitchers Artists Collective	616 N Skinker Blvd Saint Louis, MO 63130-4837	N/A	PC	General Operating Support/Annual Gift	8/1/2019	$250
Saint Louis Symphony Orchestra	718 N Grand Blvd Saint Louis, MO 63103-1011	N/A	PC	General Operating Support/Annual Gift	8/1/2019	$12,500
Saint Louis Zoo Foundation	1 Government Dr Saint Louis, MO 63110-1332	N/A	PC	General Operating Support/Annual Gift	8/1/2019	$1,000
Scenic Hudson, Inc	1 Civic Center Plz Ste 200 Poughkeepsie, NY 12601-3157	N/A	PC	General Operating Support/Annual Gift	7/18/2019	$5,000
Scholarship Foundation of St. Louis	6825 Clayton Ave Ste 100 Saint Louis, MO 63139-3749	N/A	PC	General Operating Support/Annual Gift	8/1/2019	$5,000
Share Our Strength, Inc.	1030 15Th St Nw Ste 1100W Washington, DC 20005-1531	N/A	PC	General Operating Support/Annual Gift	5/2/2019	$10,000
Share Our Strength, Inc.	1030 15Th St Nw Ste 1100W Washington, DC 20005-1531	N/A	PC	General Operating Support/Annual Gift	8/1/2019	$5,000
Sheldon Arts Foundation	3648 Washington Blvd Saint Louis, MO 63108-3610	N/A	PC	General Operating Support/Annual Gift	8/1/2019	$1,000
St Louis Children's Hospital Foundation	1001 Highlands Plaza Dr W Ste 160 Saint Louis, MO 63110-1337	N/A	PC	General Operating Support/Annual Gift	8/1/2019	$2,500
St Louis Public Radio - 90 7 KWMU	3651 Olive St Saint Louis, MO 63108-3601	N/A	PC	General Operating Support/Annual Gift	8/1/2019	$20,000
St. Louis Regional Educational and Public TV Commission (KETC)	3655 Olive St Saint Louis, MO 63108-3601	N/A	PC	General Operating Support/Annual Gift	8/1/2019	$7,500
StreetSquash, Inc.	40 West 116Th Street New York, NY 10026-2864	N/A	PC	General Operating Support/Annual Gift	1/3/2019	$1,000
StreetSquash, Inc.	40 West 116Th Street New York, NY 10026-2864	N/A	PC	General Operating Support/Annual Gift	8/1/2019	$5,000
Studio Museum in Harlem	144 W 125Th Street New York, NY 10027-4423	N/A	PC	General Operating Support/Annual Gift	5/2/2019	$5,000
The Apollo Theater Foundation Inc	253 W 125Th St New York, NY 10027-4408	N/A	PC	General Operating Support/Annual Gift	4/11/2019	$4,400
UJA-Federation of NY	130 East 59Th Street New York, NY 10022-1302	N/A	PC	General Operating Support/Annual Gift	5/9/2019	$10,000
Urban Strategies	720 Olive St St Louis, MO 63101-2338	N/A	PC	General Operating Support/Annual Gift	12/12/2019	$5,000
Washington University	700 Rosedale Ave Saint Louis, MO 63112-1408	N/A	PC	General Operating Support/Annual Gift	8/1/2019	$10,000
Washington University - Project ARK/The SPOT	700 Rosedale Ave Saint Louis, MO 63112-1408	N/A	PC	General Operating Support/Annual Gift	8/1/2019	$25,000

Total Rotonda Foundation (60 items) **$549,064**