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EXTENDED TO NOVEMBER 16, 2020

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No. 1545-0047

2019

Open to Public Inspection

Form 990-PF

Department of the Treasury
Internal Revenue Service

Do not enter social security numbers on this form as it may be made public
Go to www.irs.gov/Form990PF for instructions and the latest information

1912

For calendar year 2019 or tax year beginning

, and ending

Name of foundation
THE OAK TREE PHILANTHROPIC FOUNDATION
C/O DR VYTAUTAS R. MOCKUS

Number and street (or P.O. box number if mail is not delivered to street address)
2134 AMITY STREET

City or town, state or province, country, and ZIP or foreign postal code
SAN DIEGO, CA 92109

A Employer identification number
36-3779795

B Telephone number
(619) 425-7834

C If exemption application is pending, check here ☐

D 1 Foreign organizations, check here ☐
2 Foreign organizations meeting the 85% test check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

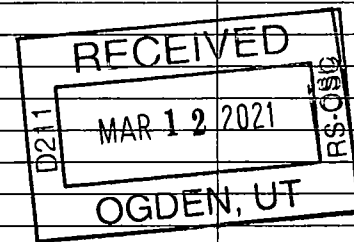
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16)
\$ 4,954,387.

J Accounting method ☐ Cash ☒ Accrual
☐ Other (specify) _____

Part I Analysis of Revenue and Expenses
(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received			N/A	
2 Check ☒ if the foundation is not required to attach Sch. B				
3 Interest on savings and temporary cash investments	114,872.	114,872.		STATEMENT 1
4 Dividends and interest from securities	11,487.	11,487.		STATEMENT 2
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	0.			
b Gross sales price for all assets on line 6a	100,000.			
7 Capital gain net income (from Part IV, line 2)		0.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss)				
11 Other income				
12 Total. Add lines 1 through 11	126,359.	126,359.		
13 Compensation of officers, directors, trustees, etc.	132,000.	92,400.		39,600.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees				
b Accounting fees STMT 3	17,125.	17,125.		0.
c Other professional fees STMT 4	9,181.	9,181.		0.
17 Interest				
18 Taxes				
19 Depreciation and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses STMT 5	26,753.	26,753.		0.
24 Total operating and administrative expenses. Add lines 13 through 23	185,059.	145,459.		39,600.
25 Contributions, gifts, grants paid	221,900.			221,900.
26 Total expenses and disbursements. Add lines 24 and 25	406,959.	145,459.		261,500.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	<280,600.>			
b Net investment income (if negative, enter -0-)		0.		
c Adjusted net income (if negative, enter -0-)			N/A	



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51 Received in Batching Ogden JUN 03 2021

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing		17,443.	30,446.	30,466.
	2	Savings and temporary cash investments		205,684.	460,138.	460,138.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges		10,500.	10,500.	10,500.
	10a	Investments - U.S. and state government obligations				
	b	Investments - corporate stock				
	c	Investments - corporate bonds	STMT 6	4,938,842.	4,390,260.	4,423,090.
	11	Investments - land, buildings, and equipment basis ▶				
	Less: accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other					
14	Land, buildings, and equipment basis ▶	28,949.				
	Less: accumulated depreciation	STMT 7 ▶	28,949.			
15	Other assets (describe ▶)	STATEMENT 8)	29,668.	30,193.	30,193.	
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		5,202,137.	4,921,537.	4,954,387.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
	23	Total liabilities (add lines 17 through 22)		0.	0.	
Net Assets or Fund Balances		Foundations that follow FASB ASC 958, check here ▶	☒			
	24	Net assets without donor restrictions		5,202,137.	4,921,537.	
	25	Net assets with donor restrictions				
		Foundations that do not follow FASB ASC 958, check here ▶	☐			
	26	Capital stock, trust principal, or current funds				
	27	Paid-in or capital surplus, or land, bldg, and equipment fund				
	28	Retained earnings, accumulated income, endowment, or other funds				
	29	Total net assets or fund balances		5,202,137.	4,921,537.	
	30	Total liabilities and net assets/fund balances		5,202,137.	4,921,537.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	5,202,137.
2	Enter amount from Part I, line 27a	2	<280,600.>
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	4,921,537.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	4,921,537.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a FEDERAL HOME LN BK		P	09/29/17	09/29/22
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a 100,000.		100,000.	0.
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			0.
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	0.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2018	277,900.	5,210,466.	.053335
2017	302,600.	5,591,301.	.054120
2016	308,100.	5,982,268.	.051502
2015	334,567.	6,285,364.	.053230
2014	354,948.	6,701,211.	.052968

2 Total of line 1, column (d)	2	.265155
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	.053031
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	5,014,663.
5 Multiply line 4 by line 3	5	265,933.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	0.
7 Add lines 5 and 6	7	265,933.
8 Enter qualifying distributions from Part XII, line 4	8	261,500.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter. _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(a) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 1% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		2	0.
3 Add lines 1 and 2		3	0.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		4	0.
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-		5	0.
6 Credits/Payments			
a 2019 estimated tax payments and 2018 overpayment credited to 2019	6a 10,500.		
b Exempt foreign organizations - tax withheld at source	6b 0.		
c Tax paid with application for extension of time to file (Form 8868)	6c 0.		
d Backup withholding erroneously withheld	6d 0.		
7 Total credits and payments Add lines 6a through 6d	7	10,500.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	0.	
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	10,500.	
11 Enter the amount of line 10 to be Credited to 2020 estimated tax	11	0.	

STATEMENT 9 8,560. Refunded

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ 0. (2) On foundation managers \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(c) (relating to sections 4911 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions <u>IL, CA</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions.		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	X	
14 The books are in care of ► DR VYTAUTAS R. MOCKUS Telephone no ► (619) 425-7834 Located at ► 2134 AMITY ST., SAN DIEGO, CA ZIP+4 ► 92109		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A		
16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception: Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance, check here ► ☐	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)). a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? ☐ Yes ☒ No If "Yes," list the years: N/A b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here: N/A	2b	
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019.) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

		Yes	No
5a During the year, did the foundation pay or incur any amount to:			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	☐ Yes ☒ No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	N/A	5b	
Organizations relying on a current notice regarding disaster assistance, check here ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	N/A ☐ Yes ☐ No		
If "Yes," attach the statement required by Regulations section 53.4945-5(d)			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	X
If "Yes" to 6b, file Form 8870.			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b	
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	☐ Yes ☒ No		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DR VYTAUTAS R. MOCKUS	PRESIDENT & CEO			
2134 AMITY ST				
SAN DIEGO, CA 92109	3.00	60,000.	0.	0.
DANYTE S. MOCKUS	MEMBER			
731 BRASS LANTERN RD				
SAN MARCOS, CA 92069	3.00	24,000.	0.	0.
ANN L. FAILINGER	VICE PRESIDENT			
7130 FOX LEDGES LANE				
CHAGRIN FALLS, OH 44022	3.00	24,000.	0.	0.
ANDRIUS A. MOCKUS	SECRETARY & TREASURER			
2314 AMITY ST.				
SAN DIEGO, CA 92109	3.00	24,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII
Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors
(continued)

3
Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services
 0

Part IX-A
Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

	Expenses
1 N/A	
2	
3	
4	

Part IX-B
Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3
 0.

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	4,530,414.
b	Average of monthly cash balances	1b	560,614.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	5,091,028.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	5,091,028.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	76,365.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	5,014,663.
6	Minimum investment return. Enter 5% of line 5	6	250,733.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	250,733.
2a	Tax on investment income for 2019 from Part VI, line 5	2a	
b	Income tax for 2019 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	0.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	250,733.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	250,733.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	250,733.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	261,500.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	261,500.
5	Foundations that qualify under section 4940(c) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	261,500.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				250,733.
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only			224,600.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2019				
a From 2014				
b From 2015				
c From 2016				
d From 2017				
e From 2018				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2019 from Part XII, line 4 ▶ \$ 261,500.				
a Applied to 2018, but not more than line 2a			224,600.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2019 distributable amount				36,900.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below.				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount - see instr			0.	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				213,833.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2015				
b Excess from 2016				
c Excess from 2017				
d Excess from 2018				
e Excess from 2019				

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N/A

- ☐ 4942(j)(3) or ☐ 4942(j)(5)

- (4) Gross investment income

[illegible]

d. Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ARC - SAN DIEGO FOUNDATION 3030 MARKET STREET SAN DIEGO, CA 92102	NONE	PUBLIC	SUPPORT FOR PEOPLE WITH DEVELOPMENT DISABILITIES	2,000.
CATHOLIC RELIEF SERVICES 228 WEST LEXINGTON STREET BALTIMORE, MD 21201	NONE	PUBLIC	INTERNATIONAL AID IN AFRICA	5,000.
DAUGHTERS OF LITHUANIA 2420 CLOVERFIELD BLVD. SANTA MONICA, CA 90405	NONE	PUBLIC	HUMAN SERVICES	2,000.
FOUNDATION FOR THE CHILDREN OF THE CALIFORNIA 8665 GIBBS DR., SUITE 206 SAN DIEGO, CA 92123	NONE	PUBLIC	HUMANITARIAN AID	1,000.
FRANCISCAN FATHERS OF GREENE, ME 28 BEACH AVE KENNEBUNK, ME 04043	NONE	PUBLIC	HUMANITARIAN RELIEF AND AID	1,000.
Total			SEE CONTINUATION SHEET(S) ▶ 3a	221,900.
b Approved for future payment				
NONE				
Total			▶ 3b	0

Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
		14	114,872.	
		14	11,487.	
		18		
	0.		126,359.	0
			13	126,359

[illegible]

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of:		
	(1) Cash	1a(1)	X
	(2) Other assets	1a(2)	X
b	Other transactions:		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)	X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)	X
	(3) Rental of facilities, equipment, or other assets	1b(3)	X
	(4) Reimbursement arrangements	1b(4)	X
	(5) Loans or loan guarantees	1b(5)	X
	(6) Performance of services or membership or fundraising solicitations	1b(6)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule		
(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.		May the IRS discuss this return with the preparer shown below? See instr. ☒ Yes ☐ No
	 Signature of officer or trustee	3/4/2021 Date	

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
	MICHAEL A. RUBIN		02/17/21		P00201154
	Firm's name ▶ LEAF, DAHL AND COMPANY, LTD.			Firm's EIN ▶ 36-2742663	
	Firm's address ▶ 666 DUNDEE ROAD, BUILDING 600 NORTHBROOK, IL 60062			Phone no 773-545-9090	

THE OAK TREE PHILANTHROPIC FOUNDATION
C/O DR VYTAUTAS R. MOCKUS

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Part XV Supplementary Information

3 . Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
GRAMEEN FOUNDATION USA 1101 15TH STEET, 3RD FLOOR WASHINGTON, DC 20005	NONE	PUBLIC	INTERNATIONAL HUMANITARIAN AID	2,000.
GREATER CLEVELAND HABITAT FOR HUMANITY 2110 W. 110TH STREET CLEVELAND, OH 44102	NONE	PUBLIC	HOUSING FOR THE UNDER PRIVILEGED	2,000.
HABITAT FOR HUMANITY INTERNATIONAL 121 HABITAT STREET AMERICUS, GA 31709	NONE	PUBLIC	HOUSING FOR THE UNDER PRIVILEGED	2,000.
HABITAT FOR HUMANITY OF PALM BEACH COUNTY 6758 N. MILITARY TRAIL, SUITE 301 WEST PALM BEACH, FL 33407	NONE	PUBLIC	HOUSING FOR THE UNDER PRIVILEGED	1,000.
HAWKEN SCHOOL STEMM SYMPOSIUM P.O. BOX 8002 GATES MILLS, OH 44040	NONE	PUBLIC	CHILDREN'S EDUCATION	25,000.
HOME OF THE GUIDING HANDS 1825 GILLESPIE WAY SUITE 200 EL CAJON, CA 92020	NONE	PUBLIC	AID TO DISADVANTAGED CHILDREN	2,000.
INTERNATIONAL RELIEF TEAMS 4560 ALVARADO CANYON RD., SUITE 2G SAN DIEGO, CA 92120	NONE	PUBLIC	HUMANITARIAN AID	4,000.
JESUIT FATHERS OF DELTA STRADA, INC. 1380 CASTLEWOOD DRIVE LEMONT, IL 60439	NONE	PUBLIC	HUMANITARIAN AID AND EDUCATION	20,000.
LESOTHO CONNECTION 1805 LEXINGTON ST TACOMA, WA 98406	NONE	PUBLIC	HUMANITARIAN AID	10,000.
LITHUANIAN CATHOLIC PRESS SOCIETY 4560 ALVARADO CANYON RD., SUITE 2G SAN DIEGO, CA 92120	NONE	PUBLIC	NEWS PUBLICATION	30,000.
Total from continuation sheets				210,900.

THE OAK TREE PHILANTHROPIC FOUNDATION
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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
LITHUANIAN CATHOLIC RELIGIOUS AID 64-25 PERRY AVE. MASPETH, NY 11378	NONE	PUBLIC	HUMANITARIAN AID AND EDUCATION	5,000.
LITHUANIAN CHILDRENS HOPE, LA 3020 ADIRONDACK CT. WESTLAKE VILLAGE, CA 91362	NONE	PUBLIC	CHILDRENS CARE	2,000.
LITHUANIAN EDUCATIONAL COUNCIL 6812 MEADOWCREST DRIVE DOWNERS GROVE, IL 60516	NONE	PUBLIC	EDUCATION	2,000.
LITHUANIAN RESEARCH AND STUDIES CENTER 5600 S CLAREMONT AVE CHICAGO, IL 60636	NONE	PUBLIC	EDUCATION	2,000.
LITHUANIAN SCHOOL SAN DIEGO 4560 ALVARADO CANYON RD., SUITE 2G SAN DIEGO, CA 92120	NONE	PUBLIC	EDUCATION	3,000.
MAGNOLIA CLUBHOUSE 11101 MAGNOLIA DRIVE CLEVELAND, OH 44106	NONE	PUBLIC	SUPPORT FOR PEOPLE WITH DEVELOPMENT DISABILITIES	1,000.
MEDWISH INTERNATIONAL 17325 EUCLID AVENUE CLEVELAND, OH 44112	NONE	PUBLIC	HUMANITARIAN AID	40,000.
OPEN DOORS INC 2747 FAIRMOUNT BLVD. CLEVELAND HEIGHTS, OH 44106	NONE	PUBLIC	SUPPORT FOR AFTER SCHOOL PROGRAMS	1,000.
SALK INSTITUTE, WOMEN & SCIENCE 10010 N. TORRY PINES ROAD LA JOLLA, CA 92037	NONE	PUBLIC	FOUNDATION SERVICES	25,000.
SAULUTE - SUNLIGHT COMMITTEE 414 FREEHAUF STREET LEMONT, IL 60439	NONE	PUBLIC	SUPPORT FOR ORPHANS	2,000.
Total from continuation sheets				

THE OAK TREE PHILANTHROPIC FOUNDATION
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Part XV Supplementary Information

3 . Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SEEDS OF LEARNING 926 1ST STREET SONOMA, CA 95476	NONE	PUBLIC	SUPPORT FOR CHILDRENS EDUCATION	2,400.
SISTERS OF THE IMMACULATE CONCEPTION 600 LIBERTY HIGHWAY PUTNAM, CT 06260	NONE	PUBLIC	SUPPORT OF EDUCATION IN LITHUANIA	2,000.
ST. CASIMIR LITHUANIAN-SATURDAY SCHOOL IN L.A. 540 HILLGREEN DRIVE BEVERLY HILLS, CA 92012	NONE	PUBLIC	CHILDRENS EDUCATION	1,500.
ST. MADELINE SOPHIES TRAINING CTR 2119 EAST MADISON AVE EL CAJON, CA 92019	NONE	PUBLIC	EDUCATION FOR CHILDREN	2,000.
ST. VINCENT DE PAUL VILLAGE 3350 E STREET SAN DIEGO, CA 92102	NONE	PUBLIC	SUPPORT FOR HOMELESS CHILDREN AND MOTHERS	2,000.
THE PREUSS SCHOOL UCSD 9500 GILMAN DRIVE #0536 LA JOLLA, CA 92093	NONE	PUBLIC	STUDENT TRANSPORTION AID	10,000.
THE TANZANIAN CHILDRENS FUND, INC 2 ATLANTIC AVE., 4TH FLOOR BOSTON, MA 02110	NONE	PUBLIC	HUMANITARIAN AID	10,000.
Total from continuation sheets				

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
BANK OF AMERICA	114,872.	114,872.	.
TOTAL TO PART I, LINE 3	114,872.	114,872.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
BANK OF AMERICA	11,487.	0.	11,487.	11,487.	
TO PART I, LINE 4	11,487.	0.	11,487.	11,487.	

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PROFESSIONAL SERVICES	17,125.	17,125.		0.
TO FORM 990-PF, PG 1, LN 16B	17,125.	17,125.		0.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	9,181.	9,181.		0.
TO FORM 990-PF, PG 1, LN 16C	9,181.	9,181.		0.

FORM 990-PF	OTHER EXPENSES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
OFFICE EXPENSES	14,880.	14,880.			0.
INSURANCE	8,782.	8,782.			0.
TELEPHONE	3,091.	3,091.			0.
TO FORM 990-PF, PG 1, LN 23	26,753.	26,753.			0.

FORM 990-PF	CORPORATE BONDS		STATEMENT	6
DESCRIPTION	BOOK VALUE		FAIR MARKET VALUE	
CORPORATE BONDS	4,390,260.		4,423,090.	
TOTAL TO FORM 990-PF, PART II, LINE 10C	4,390,260.		4,423,090.	

FORM 990-PF	DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT		STATEMENT	7
DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE	
COMPUTER	10,260.	10,260.		0.
COMPUTER EQUIPMENT	4,778.	4,778.		0.
COMPUTER EQUIPMENT	1,968.	1,968.		0.
COMPUTER EQUIPMENT	1,221.	1,221.		0.
TELEPHONE EQUIPMENT	1,001.	1,001.		0.
COPIER	1,657.	1,657.		0.
FAX MACHINE	1,077.	1,077.		0.
FURNITURE	1,547.	1,547.		0.
BOOKCASES	536.	536.		0.
CREDENZA	1,435.	1,435.		0.
COMPUTER EQUIPMENT	3,469.	3,469.		0.
TOTAL TO FM 990-PF, PART II, LN 14	28,949.	28,949.		0.

FORM 990-PF	OTHER ASSETS	STATEMENT	8
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DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
ACCRUED INTEREST RECEIVABLE	29,668.	30,193.	30,193.
TO FORM 990-PF, PART II, LINE 15	29,668.	30,193.	30,193.

FORM 990-PF	INTEREST AND PENALTIES	STATEMENT	9
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OVERPAYMENT FROM FORM 990-PF, PART VI, LINE 10
SECTION 6652 LATE FILING PENALTY

10,500.
<1,940.>

NET OVERPAYMENT

8,560.