

For calendar year 2019, or tax year beginning 01-01-2019, and ending 12-31-2019

Name of foundation ROCK ISLAND REFINING FOUNDATION NORMA L WINKLER TRUSTEE		A Employer identification number 35-6264479	
Number and street (or P.O. box number if mail is not delivered to street address) 945 N PASADENA UNIT 23		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code MESA, AZ 85201		B Telephone number (see instructions) (480) 682-7109	
G Check all that apply <div><input type="checkbox"/> Initial return</div> <div><input type="checkbox"/> Initial return of a former public charity</div> <div><input type="checkbox"/> Final return</div> <div><input type="checkbox"/> Amended return</div> <div><input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Name change</div>		D 1. Foreign organizations, check here 2. Foreign organizations meeting the 85% test, check here and attach computation	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶\$ 1,947,649		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here	
J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	12,871	12,871	12,871	
	4 Dividends and interest from securities	34,468	34,468	34,468	
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	52,609			
	b Gross sales price for all assets on line 6a 483,023				
	7 Capital gain net income (from Part IV, line 2)		52,609		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances _____				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	99,948	99,948	47,339	
	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	2,000			
	c Other professional fees (attach schedule)	6,000			
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	610	610		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	7,632	7,632		
	24 Total operating and administrative expenses. Add lines 13 through 23	16,242	8,242		0
	25 Contributions, gifts, grants paid	97,500			97,500
	26 Total expenses and disbursements. Add lines 24 and 25	113,742	8,242		97,500
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-13,794			
	b Net investment income (if negative, enter -0-)		91,706		
	c Adjusted net income (if negative, enter -0-)			47,339	

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	17,368	17,770	17,770
	2 Savings and temporary cash investments	41,019	10,974	10,974
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)	412,578	413,356	426,506
	b Investments—corporate stock (attach schedule)	465,569	470,451	629,159
	c Investments—corporate bonds (attach schedule)	127,260	101,327	103,103
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	693,781	715,691	760,137
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	1,757,575	1,729,569	1,947,649	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)		0	
	Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 24, 25, 29 and 30.		
24 Net assets without donor restrictions				
25 Net assets with donor restrictions				
Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 26 through 30.				
26 Capital stock, trust principal, or current funds		1,659,326	1,645,114	
27 Paid-in or capital surplus, or land, bldg , and equipment fund				
28 Retained earnings, accumulated income, endowment, or other funds		98,249	84,455	
29 Total net assets or fund balances (see instructions)		1,757,575	1,729,569	
30 Total liabilities and net assets/fund balances (see instructions) .	1,757,575	1,729,569		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	1,757,575
2 Enter amount from Part I, line 27a	2	-13,794
3 Other increases not included in line 2 (itemize) ▶ _____	3	
4 Add lines 1, 2, and 3	4	1,743,781
5 Decreases not included in line 2 (itemize) ▶ _____	5	14,212
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	6	1,729,569

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a SEE ATTACHED - FIDELITY 1773	P	2018-10-18	2019-04-17
b SEE ATTACHED - FIDELITY 1773	P	2015-12-14	2019-10-02
c SEE ATTACHED - FIDELITY 1773	P	2008-10-24	2019-04-25
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 161,191		162,653	-1,462
b 272,800		231,933	40,867
c 46,418		35,828	10,590
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			-1,462
b			40,867
c			10,590
d			
e			

2 Capital gain net income or (net capital loss)	2	52,609
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2018	98,000	1,879,073	0 052153
2017	105,000	2,032,368	0 051664
2016	348,136	2,039,057	0 170734
2015	107,823	2,241,398	0 048105
2014	103,051	2,193,048	0 046990

2 Total of line 1, column (d)	2	0 369646
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	0 073929
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	1,844,844
5 Multiply line 4 by line 3	5	136,387
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	917
7 Add lines 5 and 6	7	137,304
8 Enter qualifying distributions from Part XII, line 4	8	97,500

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	1,834
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	1,834
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	1,834
6	Credits/Payments		
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a	2,002
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	2,002
8	Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	5
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . . ▶	10	163
11	Enter the amount of line 10 to be Credited to 2020 estimated tax ▶ 163 Refunded ▶	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ _____ (2) On foundation managers ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ IN _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions.	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <u>N/A</u>	13	Yes	
14	The books are in care of ▶ <u>ROSE STEWART</u> Telephone no ▶ <u>(480) 682-7109</u>			

Located at ▶ 945 N PASADENA UNIT 23 MESA AZ ZIP+4 ▶ 85201

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here ▶ <input type="checkbox"/>			
	and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions.	1b		
	Organizations relying on a current notice regarding disaster assistance check here. ▶ <input type="checkbox"/>			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to		Yes	No
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.		5b	
	Organizations relying on a current notice regarding disaster assistance check here.	<input checked="" type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d)	<input type="checkbox"/> Yes <input type="checkbox"/> No		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	6b	No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	7b	
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?			
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NORMA WINKLER 945 N PASADENA UNIT 23 MESA, AZ 85201	ADMIN DIRECT 000 00	0	0	0
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.		Expenses
1		
2		
3		
4		

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2		Amount
1 N/A		
2		
All other program-related investments. See instructions.		
3		
Total. Add lines 1 through 3 ▶		

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	1,829,372
b	Average of monthly cash balances.	1b	43,566
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	1,872,938
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	1,872,938
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	28,094
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,844,844
6	Minimum investment return. Enter 5% of line 5.	6	92,242

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	92,242
2a	Tax on investment income for 2019 from Part VI, line 5.	2a	1,834
b	Income tax for 2019 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	1,834
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	90,408
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	90,408
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	90,408

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	97,500
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	97,500
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	97,500

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				90,408
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only.				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2019				
a From 2014.				
b From 2015.				
c From 2016. 146,040				
d From 2017. 7,427				
e From 2018. 5,632				
f Total of lines 3a through e.	159,099			
4 Qualifying distributions for 2019 from Part XII, line 4 ▶ \$ 97,500				
a Applied to 2018, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2019 distributable amount.				90,408
e Remaining amount distributed out of corpus	7,092			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	166,191			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2018 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2019 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2020				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions).				
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a	166,191			
10 Analysis of line 9				
a Excess from 2015.				
b Excess from 2016. 146,040				
c Excess from 2017. 7,427				
d Excess from 2018. 5,632				
e Excess from 2019. 7,092				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> See Additional Data Table				
Total			▶ 3a	97,500
b <i>Approved for future payment</i>				
Total			▶ 3b	

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2019)

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

	Yes	No
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--	--	--

1a(1)		No
1a(2)		No

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1b(1)	No
--------------	-----------

1b(2)	No
--------------	-----------

1b(3)		No
--------------	--	-----------

1b(4)	No
--------------	-----------

1b(5)		No
--------------	--	-----------

1b(6)		No
--------------	--	-----------

1c		No
-----------	--	-----------

value
ue

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations?

described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

(a) Name of organization	(b) Type of organization	(c) Description of relationship
--------------------------	--------------------------	---------------------------------

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Title

(see instr) ☒ Yes ☐ No

Print/Type preparer's name SARA E SMITH	Preparer's Signature	Date 2020-05-08	Check if self-employed <input checked="checked" type="checkbox"/>	PTIN P00013667
Firm's name ▶ SARA E SMITH CPA GROUP LLC				Firm's EIN ▶ 27-4609015
Firm's address ▶ 1231 STRADFORD CT GREENWOOD, IN 46142				Phone no (317) 289-4850

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
COBURN PLACE SAFE HAVEN 604 E 38TH ST INDIANAPOLIS, IN 46205		PC	HELPING THOSE IMPACTED BY DOMESTIC	1,500
FAIRBANKS8102 CLEARVISTA PARKWAY INDIANAPOLIS, IN 46256		PC	TO PROVIDE HOPE & RECOVERY	5,000
GLEANERS FOOD BANK OF INDIANA INC 3737 WALDEMERE AVE INDIANAPOLIS, IN 46241		PC	TO HELP PROVIDE FOOD FOR PEOPLE	1,000
Total ▶ 3a				97,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
INDIANA HISTORICAL SOCIETY 450 WEST OHIO STREET INDIANAPOLIS, IN 46202		PC	TO PRESERVE HISTORY	1,000
INDIANAPOLIS SYMPHONY ORCHESTRA INC 32 E WASHINGTON ST STE 600 INDIANAPOLIS, IN 462042919		SO III FI	TO SUPPORT THE INDIANA SYMPHONY	5,000
IVY TECH FOUNDATION 50 W FALL CREEK PKWY N DR INDIANAPOLIS, IN 462085752		PC	TO SUPPORT THE COLLEGE	2,500
Total ▶ 3a				97,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MARIAN UNIVERSITY 3200 COLD SPRINGS ROAD INDIANAPOLIS, IN 462221997		PC	TO INVEST IN STUDENTS	18,000
ST JOSEPH INSTITUTE FOR THE DEAF 1809 CLARKSON CHESTERFIELD, MO 63017		PC	TO SUPPORT LANGUAGE SERVICES	5,000
THOMAS MORE SOCIETY 309 W WASHINGTON STREET SUITE 1250 CHICAGO, IL 60606		PC	TO SUPPORT ANTI-ABORTION	2,000
Total ▶ 3a				97,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
UNITED WAY OF CENTRAL INDIANA INC PO BOX 88409 INDIANAPOLIS, IN 46208		PC	TO HELP PEOPLE LEARN MORE ABOUT SAFE	7,500
WHITE WATER VALLEY RAILROAD 455 MARKET STREET CONNERSVILLE, IN 47331		PC	TO SUPPORT THE RAILROAD	12,000
SAINT LUKE CATHOLIC CHURCH 7575 HOLIDAY DR E INDIANAPOLIS, IN 46260			RELIGIOUS SUPPORT	25,000
Total ▶ 3a				97,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
PURCHASED3421 N PARK AVE INDIANAPOLIS, IN 46205			SEX TRAFFICK AWARENESS & EDUCATION	5,000
BROOKE'S PLACE 8935 N MERIDIAN STREET SUITE 200 INDIANAPOLIS, IN 46260			GRIEF SUPPORT	1,000
HOOSIER VETERANS ASSISTANCE FUND 964 N PENNSYLVANIA STREET INDIANAPOLIS, IN 46204			VETERAN ASSISTANCE	5,000
Total ▶ 3a				97,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CATHOLIC RELIEF SERVICES ARCHDIOCESE OF INDIANAPOLIS 1400 N MERIDIAN STREET INDIANAPOLIS, IN 46202			RELIGIOUS SUPPORT	1,000
Total ► 3a				97,500

TY 2019 Accounting Fees Schedule

Name: ROCK ISLAND REFINING FOUNDATION
NORMA L WINKLER TRUSTEE

EIN: 35-6264479

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPERATION FEES	2,000			

TY 2019 Investments Corporate Bonds Schedule

Name: ROCK ISLAND REFINING FOUNDATION
NORMA L WINKLER TRUSTEE

EIN: 35-6264479

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
DUKE ENERGY CAROLINAS LLC BOND	25,534	25,597
ORACLE CORP NOTE	25,239	25,242
PHILLIP MORRIS INTL INC BOND		
IBM NOTE	25,088	25,649
MICROSOFT CORP NOTE CALL MAKE WHOLE	25,466	26,615

TY 2019 Investments Corporate Stock Schedule

Name: ROCK ISLAND REFINING FOUNDATION
 NORMA L WINKLER TRUSTEE
EIN: 35-6264479

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ALLERGAN		
ALPHABET INC CAP STK CL C	11,198	13,370
AMERICAN EXPRESS	7,597	13,071
AMERICAN INTL GROUP INC COM NEW	17,771	16,169
ANHEUSER-BUSCH INBEV SA/NV ADR EAH	11,728	11,896
APPLE INC COM	1,058	24,960
AT&T INC COM	14,122	20,517
BRISTOL MYERS SQUIBB	12,266	15,406
CABOT OIL & GAS CP COM	12,327	10,881
CAPITAL ONE FINANCIAL CORP	12,942	14,922
CENTERPOINT ENERGY INC	12,407	11,999
CHART INDS INC COM PAR .01		
CHECKPOINT	10,853	13,870
CHEESECAKE FACTORY INC	3,266	3,109
CHEVRON CORP NEW COM	2,700	15,546
CITIZENS FINL GROUP INC COM	9,115	17,259
COGNIZANT TECH SOLUTIONS CORP		
COMPASS MINERALS INTL INC	17,123	16,459
CONOCOPHILLIPS		
CORTEVA INC	12,276	13,006
CVS HEALTH CORP COM (CVS)	9,489	20,355
DIAMONDBACK ENERGY INC COM	10,695	9,750
DISCOVERY INC COM SER C		
DOMINION ENERGY INC COM	12,574	15,322
DOWDUPONT INC COM		
ENBRIDGE INC COM		
EXXON MOBIL CORP COM	10,184	11,165
FIDELITY NATIONAL FINANCIAL (FNF)	12,659	12,925
FIRST SOLAR INC COM		
GALLAGHER ARTHUR J		

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
GARRETT MOTION INC COM		
HANESBRANDS INC COM		
HARTFORD FINL SVCS GROUP INC	9,882	17,319
HONEYWELL INTL INC COM	2,503	16,815
INGREDION INC COM	12,253	11,619
INTL BUSINESS MACHINES	15,934	15,415
IQVIA HLDGS INC COM	8,656	14,678
JOHNSON CONTROLS INTERNATIONAL PLC	14,527	14,249
KEYCORP NEW		
KROGER CO COM	11,822	14,930
LEVI STRAUSS & CO NEW CL A	6,528	6,559
MCKESSON CORP	8,877	9,682
MERCK & CO INC NEW COM	5,763	14,552
MEREDITH CORP	17,565	10,715
MGIC INVST CORP WIS COM	11,203	13,816
MICROSOFT CORP (MSFT)	13,051	14,982
MYLAN NV	3,126	3,417
NCR CORP	12,948	14,416
NUTRIEN LTD COM NPV		
ORACLE CORP COM		
PNC FINL SVCS GROUP INC COM		
PRINCIPAL FINL GROUP INC COM		
QUALCOMM INC	11,837	19,411
RESIDIO TECHNOLOGIES INC COM		
SCHLUMBERGER LIMITED (SLB)	6,648	7,035
STERICYCLE	6,395	7,976
SYNAPTICS INC		
TJX COS INC NEW (TJX)	11,290	14,044
TOPBUILD CORP		
UNITED HEALTH TH GROUP (UNH)	12,450	14,699

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
UNITED TECHNOLOGIES CORP COM	5,719	17,971
VERIZON COMMUNICATIONS	13,289	15,350
WALMART STORES	4,695	8,319
WELLS FARGO CO NEW	6,240	6,725
WOLVERINE WORLD WIDE INC	4,396	9,785
ZIMMER BIOMET HLDGS INC COM	8,504	12,723

TY 2019 Investments Government Obligations Schedule

Name: ROCK ISLAND REFINING FOUNDATION
NORMA L WINKLER TRUSTEE

EIN: 35-6264479

**US Government Securities - End
of Year Book Value:**

358,006

**US Government Securities - End
of Year Fair Market Value:**

370,555

**State & Local Government
Securities - End of Year Book
Value:**

55,350

**State & Local Government
Securities - End of Year Fair
Market Value:**

55,951

TY 2019 Investments - Other Schedule

Name: ROCK ISLAND REFINING FOUNDATION
NORMA L WINKLER TRUSTEE
EIN: 35-6264479

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
CALAMOS MARKET NEUTRAL INCOME CL	AT COST	28,422	28,574
CARILLON REAMS UNCONSTRAINED BOND I	AT COST	40,018	40,642
DBX ETF TR XTRACK MSCI EAFE	AT COST	22,905	23,984
DIAMOND HILL LONG/SHRT FUND CL I	AT COST	30,018	31,275
FIDELITY ADVISOR REAL ESTATE INCOME	AT COST	20,000	20,343
GABELLI ABC FUND - ADVISOR CLASS	AT COST	18,925	18,728
ISHARES CORE S&P MID CAP	AT COST	50,118	56,600
ISHARES CORE S&P SMALL-CAP	AT COST	49,971	57,437
ISHARES S&P MID CAP 400 GROWTH	AT COST	50,204	57,146
ISHARES TIPS BOND ETF	AT COST	34,551	35,554
ISHARES TR EXPANDED TECH	AT COST	29,418	33,791
ISHARES TR MSCI ACWI EX US	AT COST	77,805	77,118
JP MORGAN - BACKED SECURITIES FUND	AT COST	55,881	54,509
OMEGA HEALTHCARE INVS INC COM	AT COST	3,409	12,832
PNC INTERNATIONAL EQUITY FD INSTL			
SIMON PPTY GRP INC	AT COST	12,807	11,917
SPDR SERIES TRUST BLMBRG BRC CNVRT	AT COST	10,056	10,822
STONE RIDGE HIGH YLD REINSRNCE RSK	AT COST	20,518	20,079
VANGUARD GNMA FUND	AT COST	25,835	24,627
WELLS FARGO ADVTG SHORT-TERM HIGH	AT COST	35,644	35,406
MAINSTAY MACKAY CONVERTIBLE CL I	AT COST	19,600	22,395
ISHARES S&P 500 ETF	AT COST	19,076	22,627
VANGUARD REAL ESTATE ETF	AT COST	9,826	10,856
FEDERATED INTL EQUITY FD (PIUIX)	AT COST	50,684	52,875

TY 2019 Other Decreases Schedule

Name: ROCK ISLAND REFINING FOUNDATION
NORMA L WINKLER TRUSTEE

EIN: 35-6264479

Description	Amount
NET CHANGE IN COST BASIS	14,212

TY 2019 Other Expenses Schedule

Name: ROCK ISLAND REFINING FOUNDATION
NORMA L WINKLER TRUSTEE

EIN: 35-6264479

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
INVESTMENT FEES	7,584	7,584		
BANK FEES	48	48		

TY 2019 Other Professional Fees Schedule

Name: ROCK ISLAND REFINING FOUNDATION
NORMA L WINKLER TRUSTEE

EIN: 35-6264479

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BOOKKEEPING FEES	6,000			

TY 2019 Taxes Schedule

Name: ROCK ISLAND REFINING FOUNDATION
NORMA L WINKLER TRUSTEE

EIN: 35-6264479

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES PAID	610	610		