

For calendar year 2019, or tax year beginning 01-01-2019, and ending 12-31-2019

Name of foundation GEORGE AND FRANCES BALL FOUNDATION		A Employer identification number 35-6033917	
Number and street (or P.O. box number if mail is not delivered to street address) P O BOX 1408		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code MUNCIE, IN 47308		B Telephone number (see instructions)	
G Check all that apply: ☐ Initial return☐ Initial return of a former public charity ☐ Final return☐ Amended return ☐ Address change☐ Name change		D 1. Foreign organizations, check here..... ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ... ☐	
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 121,123,682		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	48,115	48,115		
	4 Dividends and interest from securities	2,466,882	2,466,882		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10 _____	3,024,529			
	b Gross sales price for all assets on line 6a _____ 10,200,786				
	7 Capital gain net income (from Part IV, line 2)		3,024,529		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances _____				
Operating and Administrative Expenses	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	5,539,526	5,539,526		
	13 Compensation of officers, directors, trustees, etc.	75,809			75,809
	14 Other employee salaries and wages	107,833			107,833
	15 Pension plans, employee benefits	23,235			23,235
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	115,323	115,323		
	c Other professional fees (attach schedule)	296,303	296,303		
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	74,038	28,038		
	19 Depreciation (attach schedule) and depletion	1,592	1,592		
	20 Occupancy	50,301			50,301
	21 Travel, conferences, and meetings	10,006			10,006
	22 Printing and publications	3,596			3,596
	23 Other expenses (attach schedule)	18,961	17,916		1,045
	24 Total operating and administrative expenses. Add lines 13 through 23	776,997	459,172		271,825
	25 Contributions, gifts, grants paid	5,322,214			5,322,214
	26 Total expenses and disbursements. Add lines 24 and 25	6,099,211	459,172		5,594,039
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	-559,685			
	b Net investment income (if negative, enter -0-)		5,080,354		
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	2,622,302	1,490,649	1,490,649
	3 Accounts receivable ▶ <u>24,148</u>			
	Less: allowance for doubtful accounts ▶ _____	777,345	24,148	24,148
	4 Pledges receivable ▶ _____			
	Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____			
	Less: allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)	1,739,853	2,167,948	2,210,831
	b Investments—corporate stock (attach schedule)	49,604,559	51,038,101	96,639,234
	c Investments—corporate bonds (attach schedule)	20,885,466	20,344,035	20,750,889
	Liabilities	11 Investments—land, buildings, and equipment: basis ▶ _____		
Less: accumulated depreciation (attach schedule) ▶ _____				
12 Investments—mortgage loans				
13 Investments—other (attach schedule)				
14 Land, buildings, and equipment: basis ▶ <u>15,273</u>				
Less: accumulated depreciation (attach schedule) ▶ <u>7,342</u>		1,635	7,931	7,931
15 Other assets (describe ▶ _____)		1		
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)		75,631,161	75,072,812	121,123,682
17 Accounts payable and accrued expenses		3,035	4,371	
18 Grants payable				
19 Deferred revenue				
20 Loans from officers, directors, trustees, and other disqualified persons				
21 Mortgages and other notes payable (attach schedule).				
22 Other liabilities (describe ▶ _____)				
23 Total liabilities (add lines 17 through 22)		3,035	4,371	
Net Assets or Fund Balances		Foundations that follow FASB ASC 958, check here ▶ ☐ and complete lines 24, 25, 29 and 30.		
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ ☒ and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds			
	27 Paid-in or capital surplus, or land, bldg., and equipment fund	31,967,307	31,967,307	
	28 Retained earnings, accumulated income, endowment, or other funds	43,660,819	43,101,134	
	29 Total net assets or fund balances (see instructions)	75,628,126	75,068,441	
30 Total liabilities and net assets/fund balances (see instructions) .	75,631,161	75,072,812		

Part III Analysis of Changes in Net Assets or Fund Balances		
1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	75,628,126
2 Enter amount from Part I, line 27a	2	-559,685
3 Other increases not included in line 2 (itemize) ▶ _____	3	
4 Add lines 1, 2, and 3	4	75,068,441
5 Decreases not included in line 2 (itemize) ▶ _____	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	6	75,068,441

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	2	3,024,529
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	5,376,900	111,960,591	0.04803
2017	5,224,095	109,626,688	0.04765
2016	4,979,606	101,066,491	0.04927
2015	5,014,160	102,884,658	0.04874
2014	4,690,491	103,584,311	0.04528

2 Total of line 1, column (d)	2	0.238967
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	0.047793
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	115,972,787
5 Multiply line 4 by line 3	5	5,542,687
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	50,804
7 Add lines 5 and 6	7	5,593,491
8 Enter qualifying distributions from Part XII, line 4	8	5,594,039

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	50,804
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	50,804
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	50,804
6	Credits/Payments:		
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a	64,183
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	64,183
8	Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached.	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ▶	10	13,379
11	Enter the amount of line 10 to be: Credited to 2020 estimated tax ▶ 13,379 Refunded ▶	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		No
c Did the foundation file Form 1120-POL for this year?		No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ _____ (2) On foundation managers. ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	Yes	
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		No
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	Yes	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	Yes	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ IN _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation .</i>	Yes	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i>		No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	13	Yes	
14	The books are in care of ▶ BALL ASSOCIATES Telephone no. ▶ (765) 741-5500			

Located at **▶** P O BOX 1408 MUNCIE IN ZIP+4 **▶** 47308

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here ▶ ☐			
	and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions ☐	1b	No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019? ☐	1c	No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019? ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.) ☐	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019.) ☐	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to:		Yes	No
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	☐ Yes ☒ No		
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions		5b	
	Organizations relying on a current notice regarding disaster assistance check here.	☒		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d).	☐ Yes ☐ No		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No	6b	No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No		7b	
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?			
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	☐ Yes ☒ No		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
KORTNEY ZIMMERMAN	PROGRAM OFFICER	68,400		
PO BOX 1408	40.00			
MUNCIE, IN 47308				
Total number of other employees paid over \$50,000.				

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

1	Expenses

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	117,654,135
b	Average of monthly cash balances.	1b	84,735
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	117,738,870
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	117,738,870
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	1,766,083
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	115,972,787
6	Minimum investment return. Enter 5% of line 5.	6	5,798,639

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	5,798,639
2a	Tax on investment income for 2019 from Part VI, line 5.	2a	50,804
b	Income tax for 2019. (This does not include the tax from Part VI.).	2b	
c	Add lines 2a and 2b.	2c	50,804
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	5,747,835
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	5,747,835
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	5,747,835

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	5,594,039
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	5,594,039
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	50,804
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	5,543,235

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				5,747,835
2 Undistributed income, if any, as of the end of 2019:				
a Enter amount for 2018 only.			4,960,363	
b Total for prior years: 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2019:				
a From 2014.				
b From 2015.				
c From 2016.				
d From 2017.				
e From 2018.				
f Total of lines 3a through e.				
4 Qualifying distributions for 2019 from Part XII, line 4: ► \$ 5,594,039				
a Applied to 2018, but not more than line 2a			4,960,363	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2019 distributable amount.				633,676
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2019. (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b. Taxable amount—see instructions.				
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions.				
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020.				5,114,159
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions).				
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a.				
10 Analysis of line 9:				
a Excess from 2015.				
b Excess from 2016.				
c Excess from 2017.				
d Excess from 2018.				
e Excess from 2019.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

SHANNON CLINE
PO BOX 1408
MUNCIE, IN 47308
(765) 741-5500

b The form in which applications should be submitted and information and materials they should include:

DESCRIPTION OF PROJECT OR PURPOSE OF GRANT REQUEST, BUDGET, COPY OF IRS EXEMPTION LETTER. GRANT SUBMISSION DETAILS AVAILABLE AT THE FOUNDATION'S WEBSITE AT WWW.GFBALLFDN.ORG

c Any submission deadlines:

DEADLINES VARY. DEADLINES AT WWW.GFBALLFDN.ORG

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

PROVIDES GRANTS TO ORGANIZATIONS SHARING SIMILAR VALUES OF THE BALL FAMILY WITH A PRIMARY FOCUS ON IMPROVING THE LIVABILITY AND EDUCATIONAL ATTAINMENT OF THE CITIZENS OF EAST CENTRAL INDIANA. ADDITIONAL DETAILS ARE AVAILABLE AT WWW.GFBALLFDN.ORG

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			▶ 3a	5,322,214
b <i>Approved for future payment</i> See Additional Data Table				
Total			▶ 3b	4,335,000

Enter gross amounts unless otherwise indicated.

[illegible]

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of:				
(1) Cash.		1a(1)		No
(2) Other assets.		1a(2)		No
b Other transactions:				
(1) Sales of assets to a noncharitable exempt organization.		1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.		1b(2)		No
(3) Rental of facilities, equipment, or other assets.		1b(3)		No
(4) Reimbursement arrangements.		1b(4)		No
(5) Loans or loan guarantees.		1b(5)		No
(6) Performance of services or membership or fundraising solicitations.		1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.		1c		No
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.				

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.		
	*****	2020-11-10	*****
	_____ Signature of officer or trustee	_____ Date	_____ Title

May the IRS discuss this return with the preparer shown below
 (see instr.) ☒ **Yes** ☐ **No**

Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN P01064437
	TAMARA S PHILLIPS CPA				
	Firm's name ▶ Ball Associates				Firm's EIN ▶ 35-1138363
	Firm's address ▶ 222 S Mulberry Street Muncie, IN 473052802				Phone no. (765) 741-5500

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
THOMAS C BRACKEN	2nd Vice Chair 1.00	2,805	281	
PO BOX 1408 MUNCIE, IN 47308				
JOSEPH F WIESE	Director 1.00	0		
PO BOX 1408 MUNCIE, IN 47308				
STEFAN S ANDERSON	Chair, Director 3.00	0		
PO BOX 1408 MUNCIE, IN 47308				
JOAN H McKEE	Director 1.00	0		
PO BOX 1408 MUNCIE, IN 47308				
THOMAS J KINGHORN	President 20.00	73,004	7,300	
PO BOX 1408 MUNCIE, IN 47308				
ROBERT M SMITSON	Director 1.00	0		
PO BOX 1408 MUNCIE, IN 47308				
JON H MOLL	Vice Chair &Dir 2.00	0		
PO BOX 1408 MUNCIE, IN 47308				
RONALD K FAUQUHER	Director 1.00	0		
PO BOX 1408 MUNCIE, IN 47308				
MARIANNE L VORHEES	Director 1.00	0		
PO BOX 1408 MUNCIE, IN 47308				
NORMAN E BECK	Secretary 1.00	0		
PO BOX 1408 MUNCIE, IN 47308				
TAMARA S PHILLIPS	Treasurer 8.00	0		
PO BOX 1408 MUNCIE, IN 47308				
MICHAEL B GALLIHER	Director 1.00	0		
PO BOX 1408 MUNCIE, IN 47308				
CAROL E SEALS	Asst Treasurer 4.00	0		
PO BOX 1408 MUNCIE, IN 47308				
WILLIAM M BRACKEN	Director 1.00	0		
PO BOX 1408 MUNCIE, IN 47308				
STEVEN M SMITH	Director 1.00	0		
PO BOX 1408 MUNCIE, IN 47308				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BALL STATE UNIVERSITY FOUNDATION 2800 BETHEL AVE MUNCIE, IN 47304	N/A	PC	ENDOWED SCHOLARSHIP PROGRAM	800,000
BALL STATE UNIVERSITY FOUNDATION 2800 W BETHEL AVE MUNCIE, IN 47304	N/A	PC	ATHLETIC CAMPAIGN CARDINAL COMMITMENT	506,959
CHRISTIAN MINISTRIES OF DELAWARE COUNTY PO BOX 1088 MUNCIE, IN 47308	N/A	PC	EMERGENCY MEN'S SLEEPING ROOM	30,000
Total ▶ 3a				5,322,214

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
COLLEGE MENTORS FOR KIDS 212 W 10TH STREET INDIANAPOLIS, IN 46202	N/A	PC	BSU CHAPTER PROGRAM EXPENSES & EXPANSION TO SOUTH VIEW	12,500
DELAWARE ADVANCEMENT CORPORATION PO BOX 842 MUNCIE, IN 47308	N/A	PC	VISION 2021	60,000
ECI REGIONAL PARTNERSHIP PO BOX 1912 MUNCIE, IN 47308	N/A	PC	OPERATIONAL SUPPORT	75,000
Total ▶ 3a				5,322,214

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
GREATER MUNCIE IN HABITAT FOR HUMANITY PO BOX 1119 MUNCIE, IN 47308	N/A	PC	HOUSING PROGRAM	70,000
MINNETRISTA CULTURAL FOUNDATION INC 1200 N MINNETRISTA PARKWAY MUNCIE, IN 47303	N/A	SO I	OPERATIONS/ CAPITAL PROJECTS & NEW OAKHURST EXPERIENCE	1,210,000
MUNCIE SYMPHONY ORCHESTRA 1419 WEST MARSH STREET MUNCIE, IN 47306	N/A	PC	CLASSICAL SERIES, PICNIC AND POPS & MUSIC ON THE MOVE	63,500
Total ▶ 3a				5,322,214

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
RED-TAIL CONSERVANCY INCPO BOX 8 MUNCIE, IN 47308	N/A	PC	OPERATIONS SUPPORT & MATCHING CHALLENGE GRANT	60,000
UNITED WAY DELAWARE HENRY & RANDOLPH PO BOX 968 MUNCIE, IN 47308	N/A	PC	ADMINISTRATIVE OVERHEAD COSTS DELAWARE CO	175,000
MUNCIE CIVIC THEATER 216 E MAIN STREET MUNCIE, IN 47305	N/A	PC	UNDERWRITE SCRIPTS/ ROYALTIES/ MUSIC	82,030
Total ▶ 3a				5,322,214

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CORNERSTONE CENTER FOR THE ARTS 520 E MAIN ST MUNCIE, IN 47305	N/A	PC	SUPPORT FOR ARTS EDUCATION PROGRAMS	90,000
MASTERWORKS CHORALE 520 EAST MAIN ST MUNCIE, IN 47305	N/A	PC	FALL CELEBRATION CONCERT	3,500
IVY TECH FOUNDATION 4301 S COWAN ROAD MUNCIE, IN 47302	N/A	PC	CAPITAL CAMPAIGN - MUNCIE CAMPUS	400,000
Total ▶ 3a				5,322,214

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FELLOWSHIP OF CHRISTIAN ATHLETES 3201 N 600 W MUNCIE, IN 47304	N/A	PC	STAFF PERSON SUPPORT	5,000
MUSIC FOR ALL 39 W JACKSON PL SUITE 150 INDIANAPOLIS, IN 46225	N/A	PC	SUMMER SYMPOSIUM	40,000
BOYS & GIRLS CLUB OF MUNCIE 1710 S MADISON ST MUNCIE, IN 47302	N/A	PC	OUT OF SCHOOL PROGRAMS	110,000
Total ▶ 3a				5,322,214

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CARDINAL GREENWAY700 E WYSOR ST MUNCIE, IN 47305	N/A	PC	TRAIL MAINTENANCE	200,000
MUNCIE COMMUNITY SCHOOLS 1601 E 26TH ST MUNCIE, IN 47302	N/A	PC	CAMP ADVENTURE DOCK PURCHASE	6,850
MUNCIE DOWNTOWN DEVELOPMENT PARTNERSHIP 120 W CHARLES ST MUNCIE, IN 47305	N/A	PC	MUNCIE THREE TRAILS MUSIC SERIES	15,000
Total ▶ 3a				5,322,214

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
RILEY CHILDRENS FOUNDATION 30 S MEREDIAN ST SUITE 200 INDIANAPOLIS, IN 46204	N/A	PC	PEDIATRIC DIABETES RESEARCH - LUKE BRACKEN WIESE FUND	50,000
YOUTH OPPORTUNITY CENTER FOUNDATION 3700 W KILGORE AVE MUNCIE, IN 47304	N/A	PC	REPLACE & EXPAND WI-FI ACCESS & OTHER COUNTIES SUPPORT MATCHING GRANT	50,265
COMMUNITY FOUNDATION OF MUNCIE & DE CO PO BOX 807 MUNCIE, IN 47308	N/A	PC	FUNDERS FORUM	5,000
Total ▶ 3a				5,322,214

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
DELAWARE CO BY5 EARLY CHILDHOOD ED INIT 201 E JACKSON ST STE 400 MUNCIE, IN 47305	N/A	SO I	GENERAL OPERATIONS	100,000
DELAWARE COUNTY HISTORICAL SOCIETY 120 E WASHINGTON ST MUNCIE, IN 47305	N/A	PC	EXECUTIVE DIRECTOR & PART TIME POSITION SALARY	25,000
TEEN WORKSPO BOX 40177 INDIANAPOLIS, IN 46240	N/A	SO I	PROGRAM EXPENSES MUNCIE TEENS EMPLOYMENT	50,000
Total ► 3a				5,322,214

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
HILLCROFT SERVICES 114 E STREETER AVE MUNCIE, IN 47303	N/A	PC	BUILDING PROJECTS FOR CONSOLIDATION	200,000
MUNCIE INNOVATION CONNECTOR INC 1208 W WHITE RIVER BLVD MUNCIE, IN 47303	N/A	PC	CODING CONNECTOR INITIATIVE PHASE 3	29,000
WHITELY COMMUNITY COUNCIL PO BOX 665 MUNCIE, IN 47308	N/A	PC	OPERATIONS & PROFESSIONAL DEVELOPMENT	40,000
Total ▶ 3a				5,322,214

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
YOUTH SYMPHONY ORCHESTRAS PO BOX 1463 MUNCIE, IN 47308	N/A	PC	PARTNERSHIP PROGRAM	10,000
BOY SCOUTS OF AMERICA- CROSSROADS COUNCIL 7125 FALL CREEK RD N INDIANAPOLIS, IN 46256	N/A	PC	CAPITAL PROJECTS - 4 FLAGSHIP CAMPS	100,000
AMERICAS HOMETOWN BAND 2112 W BERWYN RD MUNCIE, IN 47304	N/A	PC	OPERATIONAL SUPPORT	5,000
Total ▶ 3a				5,322,214

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
BALL STATE UNIVERSITY 2000 W UNIVERSITY AVE MUNCIE, IN 47306	N/A	PC	SUPPORT FOR MUNCIE COMMUNITY SCHOOLS	335,000
BIG BROTHERS BIG SISTERS PO BOX 876 MUNCIE, IN 47308	N/A	PC	ONE-TO-ONE MENTORING PROGRAM	30,000
INSIDE OUT COMMUNITY DEVELOPMENT 300 N MADISON MUNCIE, IN 47305	N/A	PC	FRESH DIRECTIONS - HOT MEALS FOR KIDS	20,000
Total ▶ 3a				5,322,214

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
YMCA OF CENTRAL INDIANA 500 S MULBERRY ST MUNCIE, IN 47305	N/A	PC	ER SHELTER & COTS STAY PROGRAMS	40,000
BRIDGES COMMUNITY SERVICES INC 318 W 8TH ST MUNCIE, IN 47302	N/A	PC	TECH UPGRADE & PROFESSIONAL DEVELOPMENT	8,000
MOTIVATE OUR MINDS 2023 E HIGHLAND AVE MUNCIE, IN 47303	N/A	PC	EDUCATIONAL ENRICHMENT PROGRAMS	30,000
Total ▶ 3a				5,322,214

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ROSS COMMUNITY CENTER 1110 W 10TH ST MUNCIE, IN 47302	N/A	PC	YOUTH & COMMUNITY ENGAGEMENT PROGRAMS	30,000
ARTS PLACE INCPO BOX 804 PORTLAND, IN 47371	N/A	PC	CAPITAL CAMPAIGN - PHASE II	10,000
BENEFICENCE FAMILY SCHOLARS 125 E CHARLES ST MUNCIE, IN 47305	N/A	PC	OPERATING EXPENSES	28,000
Total ▶ 3a				5,322,214

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
DELAWARE COMMUNITY SCHOOL CORPORATI 9750 N CR 200 E MUNCIE, IN 47303	N/A	PC	MATCHING GRANT FOR BAND UNIFORMS	25,000
EXPONENT PHILANTHROPY 1720 N STREET NW WASHINGTON, DC 20036	N/A	PC	GENERAL OPERATIONS & STRATEGIC PLAN	500
HUFFER MEMORIAL CHILDRENS CENTER 2000 N ELGIN ST MUNCIE, IN 47303	N/A	PC	ESTABLISHMENT OF PRE-K CLASSROOM AT LONGFELLOW SCHOOL	45,690
Total ► 3a				5,322,214

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
INDIANA PHILANTHROPY ALLIANCE 32 E WASHINGTON ST STE 1100 INDIANAPOLIS, IN 46204	N/A	PC	PARTICIPATION IN STATEWIDE ALLIANCE OF PHILANTHROPIC ORGANIZATIONS	7,920
YOUTH FOR CHRIST MUNCIE AREA PO BOX 99 MUNCIE, IN 47308	N/A	PC	NEW MENTORING PROGRAM AT YOUTH OPPORTUNITY CENTER	32,500
Total ▶ 3a				5,322,214

TY 2019 Accounting Fees Schedule**Name:** GEORGE AND FRANCES BALL FOUNDATION**EIN:** 35-6033917**Software ID:** 19009920**Software Version:** 2019v5.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BALL ASSOCIATES	115,323	115,323	0	0

TY 2019 Investments Corporate Bonds Schedule

Name: GEORGE AND FRANCES BALL FOUNDATION

EIN: 35-6033917

Software ID: 19009920

Software Version: 2019v5.0

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
FEDERATED TOTAL RETURN BOND	1,735,199	1,747,595
BECTON DICKINSON 3.25% 11-20	248,470	252,342
COLUMBIA HIGH YIELD BOND	1,771,530	1,796,741
VANGUARD TOTAL BOND MKT INDEX ETF	1,238,857	1,257,900
VANGUARD SHORT TERM INVEST ADMIRAL	1,570,562	1,576,237
CHEVRON CORP 6-20	251,200	250,540
WAL-MART STORES 10-20	252,690	252,990
PUBLIC STORAGE PREF SER X 5.2%	150,000	151,860
JOHNSON & JOHNSON 3.55% 5-21	253,739	255,877
PEPSICO INC 3.0% 8-21	250,248	254,983
JM SMUCKER CO 3.5% 10-21	251,598	256,453
UNITED TECHNOLOGIES 3.1% 6-22	297,372	307,812
SHELL INTL FINANCE NOTES 2.375% 8-22	245,588	253,480
INTEL CORP 2.7% 12-22	247,080	256,200
COCA COLA 3.2% 11-23	248,858	262,225
VANGUARD TOTAL BOND MKT FD 584	1,635,269	1,671,998
XILINX INC SR NOTES 3.0% 3-21	253,375	253,175
APPLE INC GLOBAL SR NOTES 2.15% 2-22	248,437	252,122
NOVARTIS CAPITAL 2.4% 9-22	250,125	254,033
AMERICAN TOWER TRUST 3.07% 3-23	250,390	253,277

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
APPLE INC SR NOTES 2.82% 2-23	251,555	257,150
AUTOZONE INC NOTES 3.125% 7-23	254,607	257,347
EXPRESS SCRIPTS HLDG NOTE 3.0% 7-23	252,436	255,460
MEDTRONIC INC 3.625% 3-24	271,325	265,140
FEDERATED SH-INTERM TOT RETURN BOND	372,449	372,091
NATIXIS INTER DUR BOND CL Y	800,000	793,917
NORTHERN ULTRA-SHORT FIXED	112,506	113,267
IBM CORP 2.5% 1-22	209,958	212,463
MICROSOFT SR NOTES 2.875% 2-24	250,000	259,415
ROCKWELL COLLINS 3.2% 3-24	253,494	260,203
MARSH & MCLENNAN 3.5% 6-24	254,103	262,638
BURLINGTON NORTHERN 3.4% 8-24	258,229	264,442
APPLE INC SR NOTES 3.2% 5-25	405,818	422,676
VISA INC 3.15% 12-25	406,726	422,904
CIT BANK CD 2.55% 1-22	250,000	254,210
AMGEN INC	248,912	260,840
GENERAL DYNAMICS 3.375% 5-23	301,350	313,470
IBM CORP 2.9% 11-21	398,352	407,420
NORTHERN TRUST 3.95% 10-25	257,283	272,168
OMNICOM GROUP 3.65% 11-24	297,633	317,094

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
SYSCO CORP 3.55% 3-25	394,200	425,940
SYSCO CORP 3.3% 7-26	482,352	527,280
UNION PACIFIC 2.25% 6-20	299,037	300,324
AMERICAN WTR CAP CORP 2.95% 9-27	304,287	306,666
HERSHEY COMPANY 2.3% 8-26	301,800	303,036
LOWE'S COS 3.1% 5-27	310,369	310,227
MORGAN STANLEY PRIVATE BK 2.45% 12-20	250,000	251,843
OMNICOM GROUP 3.6% 4-26	244,667	263,418

TY 2019 Investments Corporate Stock Schedule

Name: GEORGE AND FRANCES BALL FOUNDATION

EIN: 35-6033917

Software ID: 19009920

Software Version: 2019v5.0

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ACCENTURE PLC ADR	463,953	1,052,850
AT&T INC	61,958	289,192
ABBOTT LABS	161,791	738,310
AUTOMATIC DATA PROCESSING	39,099	494,450
BALL CORP	68,936	8,665,780
CHEVRON CORP	96,606	361,530
CISCO SYSTEMS	357,608	731,390
DANAHER CORP	80,600	644,616
EXXON MOBIL	61,680	314,010
FIRST MERCHANTS CORP	33,384	1,039,750
L3HARRIS TECHNOLOGIES INC	230,795	989,350
JOHNSON & JOHNSON	42,901	437,610
KIMBERLY CLARK	35,897	206,325
MICROSOFT CORPORATION	190,946	1,419,300
MICROCHIP TECHNOLOGY	104,818	418,880
ORACLE CORP	240,601	635,760
PEPSICO INC	94,735	546,680
T ROWE PRICE GROUP INC	146,991	572,648
PROCTOR & GAMBLE	45,575	374,700
SCHLUMBERGER LTD	101,977	144,720
STRYKER CORP	243,669	692,802
US BANCORP DEL	83,674	177,870
UNITED TECHNOLOGIES	47,480	628,992
VERIZON COMMUNICATIONS	109,947	276,300
WAL-MART STORES	42,229	475,360
ARTISAN MID CAP VALUE FUND	1,463,351	1,480,894
NUVEEN MIDCAP GROWTH	997,622	1,111,512
S&P 400 MIDCAP ETF SPDR	316,395	797,683
RUSSELL 2000 INDEX ETF ISHARES	600,084	1,289,741
DODGE & COX INTERNATIONAL STOCK	1,365,000	1,423,997

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
VANGUARD INTL GROWTH	2,241,814	3,331,033
NORTHERN TR CORP	379,046	637,440
S&P 400 MIDCAP GROWTH ETF ISHARES	2,227,405	5,021,740
S&P 400 MIDCAP VALUE ETF ISHARES	2,394,262	4,908,487
VANGUARD FTSE ALL WORLD EXUS ETF	3,253,112	3,776,744
ALLIANT CORP	272,114	601,920
BECTON DICKINSON & CO	178,396	652,728
DONALDSON INC	82,264	345,720
ECOLAB INC	80,731	482,475
MDU RES GROUP INC	207,753	297,100
JM SMUCKER COMPANY	325,386	374,868
3M COMPANY	158,863	370,482
VF CORP	79,872	617,892
S&P SMALL CAP 600 CORE ETF ISHARES	523,613	1,311,414
S&P 600 SMALL CAP VALUE ETF ISHARES	1,102,271	2,622,648
S&P 600 SMALL CAP GROWTH ETF ISHARES	908,044	2,699,434
NORTHERN SMALL CAP VALUE	650,000	1,273,252
HENRY JACK & ASSOCIATES	160,823	728,350
OMNICOM GROUP	359,006	567,140
MATERIALS SECTOR SPDR	297,332	393,088
FRANKLIN SMALL CAP VALUE	970,644	1,067,689
AMERICAN EUROPACIFIC GROWTH	1,200,000	1,620,498
MFS NEW DISCOVERY INTL	1,165,000	1,686,095
APPLE COMPUTER INC	407,067	1,262,695
HONEYWELL INTL INC	341,113	885,000
NUCOR CORP	119,897	168,840
NASDAQ BIOTECH INDEX ETF ISHARES	145,044	723,060
VANGUARD REIT INDEX ETF	1,706,403	2,361,506
AMERIPRISE FINANCIAL	472,218	782,926
CONSUMER STAPLES SECTOR ETF SPDR	391,756	466,052

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
INVESCO EX S&P 500 EQ WGHT ETF	1,354,474	2,025,100
ALERIAN MLP ETF	372,356	246,500
OPPEN STEEL PATH MLP SEL 40 CL Y	357,017	303,704
TRUIST FINANCIAL	403,255	602,624
GENUINE PARTS CO	426,647	531,150
PEOPLE'S UNITED FINANCIAL	369,601	405,600
VANGUARD MIDCAP INDX ADMIRAL CLASS	1,800,000	2,872,198
VANGUARD SMALL CAP INDX ADMIRAL CL	995,000	1,496,437
VANGUARD REIT INDX ADMIRAL CL	1,837,402	2,395,470
COSTCO COMPANIES	347,989	558,448
CULLEN FROST BANKERS	236,792	293,340
UNION PAC CORP	249,966	488,133
XILINX INC	350,088	664,836
MEDTRONIC INC ADR	442,755	669,355
CORNING INC	246,040	363,875
LOWE'S COMPANIES INC	412,604	622,752
PFIZER INC	395,532	470,160
TRAVELERS INC	450,003	534,105
CHUBB LIMITED ADR	401,110	562,088
NORTHERN MIDCAP INDEX	1,120,000	1,166,891
ALPHABET	717,535	904,088
HOME DEPOT INC	549,679	655,140
TJX COS INC	418,891	671,660
VANGUARD SELECTED VALUE	200,000	164,581
BAXTER INTERNATIONAL INC	391,866	418,100
CARNIVAL CORP	527,125	482,885
CHECKPOINT SOFTWARE TECH ADR	308,681	310,688
COGNIZANT TECHNOLOGY	155,552	124,040
COMCAST CORP CLASS A	492,665	562,125
LAM RESEARCH CORP	206,638	380,120

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
LILLY ELI & CO	388,826	460,005
AMERICAN TOWER CORP REIT	323,153	344,730
AMPHENOL CORPORATION	320,873	357,159
DISNEY WALT COMPANY	467,211	535,131
EDWARDS LIFESCIENCES CORP	299,273	349,935
NEXTERA ENERGY INC	353,776	435,888
VALERO ENERGY	286,418	327,775
VANGUARD S&P 500	979,351	1,035,300
VISA	350,406	375,800

TY 2019 Investments Government Obligations Schedule**Name:** GEORGE AND FRANCES BALL FOUNDATION**EIN:** 35-6033917**Software ID:** 19009920**Software Version:** 2019v5.0**US Government Securities - End
of Year Book Value:**

1,477,820

**US Government Securities - End
of Year Fair Market Value:**

1,520,689

**State & Local Government
Securities - End of Year Book
Value:**

690,128

**State & Local Government
Securities - End of Year Fair
Market Value:**

690,142

**TY 2019 Land, Etc.
Schedule****Name:** GEORGE AND FRANCES BALL FOUNDATION**EIN:** 35-6033917**Software ID:** 19009920**Software Version:** 2019v5.0

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
Furniture and Fixtures	15,273	7,342	7,931	7,931

TY 2019 Other Expenses Schedule**Name:** GEORGE AND FRANCES BALL FOUNDATION**EIN:** 35-6033917**Software ID:** 19009920**Software Version:** 2019v5.0**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
DUES/FEES	1,773	1,773		
FEES & ACTIVITIES	7,936	6,891		1,045
INSURANCE	4,306	4,306		
SUPPLIES & SUNDRY	4,946	4,946		

TY 2019 Other Professional Fees Schedule**Name:** GEORGE AND FRANCES BALL FOUNDATION**EIN:** 35-6033917**Software ID:** 19009920**Software Version:** 2019v5.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT FEES	296,303	296,303	0	0

TY 2019 Taxes Schedule**Name:** GEORGE AND FRANCES BALL FOUNDATION**EIN:** 35-6033917**Software ID:** 19009920**Software Version:** 2019v5.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL TAXES	46,000			
FOREIGN TAXES ON DIVIDENDS	28,038	28,038		