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Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.
Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No. 1545-0052

2019

Open to Public Inspection

For calendar year 2019, or tax year beginning 01-01-2019, and ending 12-31-2019

Name of foundation
THE DOROTHY B FRANCIS CHARITABLE
FOUNDATION

Number and street (or P.O. box number if mail is not delivered to street address)
8044 MONTGOMERY ROAD

City or town, state or province, country, and ZIP or foreign postal code
CINCINNATI, OH 45236

G Check all that apply:

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☐ Amended return

☐ Address change

☐ Name change

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation

☐ Section 4947(a)(1) nonexempt charitable trust

☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \blacktriangleright \$ 6,109,308

J Accounting method:

☒ Cash

☐ Accrual

☐ Other (specify) _____
(Part I, column (d) must be on cash basis.)

A Employer identification number
35-2235189

B Telephone number (see instructions)
(513) 794-1900

C If exemption application is pending, check here ☐

D 1. Foreign organizations, check here..... ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ... ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)			
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B			
	3 Interest on savings and temporary cash investments	530	530	530
	4 Dividends and interest from securities	174,531	174,531	174,531
	5a Gross rents			
	b Net rental income or (loss)			
	6a Net gain or (loss) from sale of assets not on line 10	95,889		
	b Gross sales price for all assets on line 6a 284,934			
	7 Capital gain net income (from Part IV, line 2)		96,172	
	8 Net short-term capital gain			4,869
	9 Income modifications			
	10a Gross sales less returns and allowances			
b Less: Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)	-611	-611	-611	
12 Total. Add lines 1 through 11	270,339	270,622	179,319	
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	26,923		
	14 Other employee salaries and wages			
	15 Pension plans, employee benefits			
	16a Legal fees (attach schedule)			
	b Accounting fees (attach schedule)	3,500		
	c Other professional fees (attach schedule)			
	17 Interest			
	18 Taxes (attach schedule) (see instructions)	2,310		
	19 Depreciation (attach schedule) and depletion			
	20 Occupancy			
	21 Travel, conferences, and meetings			
	22 Printing and publications			
	23 Other expenses (attach schedule)	56,450	46,174	46,174
	24 Total operating and administrative expenses. Add lines 13 through 23	89,183	46,174	46,174
25 Contributions, gifts, grants paid	310,000			
26 Total expenses and disbursements. Add lines 24 and 25	399,183	46,174	46,174	
	27 Subtract line 26 from line 12:			
	a Excess of revenue over expenses and disbursements	-128,844		
	b Net investment income (if negative, enter -0-)		224,448	
c Adjusted net income (if negative, enter -0-)			133,145	

For Paperwork Reduction Act Notice, see instructions.

Cat. No. 11289X

Form 990-PF (2019)

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	386	426	426
	2 Savings and temporary cash investments	26,544	6,541	6,541
	3 Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	3,999,654	3,920,997	6,052,482
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)		46,075	49,859
	14 Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	4,026,584	3,974,039	6,109,308	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds	4,026,584	3,974,039	
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
29 Total net assets or fund balances (see instructions)	4,026,584	3,974,039		
30 Total liabilities and net assets/fund balances (see instructions) .	4,026,584	3,974,039		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	4,026,584
2 Enter amount from Part I, line 27a	2	-128,844
3 Other increases not included in line 2 (itemize) ▶ _____	3	76,299
4 Add lines 1, 2, and 3	4	3,974,039
5 Decreases not included in line 2 (itemize) ▶ _____	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	6	3,974,039

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) <div style="float: right; border-left: 1px solid black; padding-left: 5px;"> If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 </div>	2	96,172
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	4,869

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☐ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018			
2017			
2016			
2015			
2014			

2 Total of line 1, column (d)	2	
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	
5 Multiply line 4 by line 3	5	
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	
7 Add lines 5 and 6	7	
8 Enter qualifying distributions from Part XII, line 4	8	

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	4,489
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	4,489
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	4,489
6	Credits/Payments:		
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a	7,827
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	7,827
8	Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached.	8	52
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	3,286
11	Enter the amount of line 10 to be: Credited to 2020 estimated tax 3,286 Refunded	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		No
c Did the foundation file Form 1120-POL for this year?		No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ _____ (2) On foundation managers. \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		No
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		No
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	Yes	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) OH		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	Yes	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i>		No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <u>N/A</u>	13	Yes	
14	The books are in care of ▶ <u>ALEX & COMPANY CPAS</u> Telephone no. ▶ <u>(513) 794-1900</u>			

Located at ▶ 8044 MONTGOMERY ROAD 420 CINCINNATI OHZIP+4 ▶ 45236

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here ▶ <input type="checkbox"/>			
	and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions <input type="checkbox"/>	1b	No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019? <input type="checkbox"/>	1c	No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.) <input type="checkbox"/>	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019.) <input type="checkbox"/>	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b	No

Part VII-B **Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

5a During the year did the foundation pay or incur any amount to:			Yes	No
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.		5b	
	Organizations relying on a current notice regarding disaster assistance check here.	<input type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d).	<input type="checkbox"/> Yes <input type="checkbox"/> No		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	6b	No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	7b	
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?			
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000. ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)
3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. ►		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ►	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	5,771,065
b	Average of monthly cash balances.	1b	65,719
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	5,836,784
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	5,836,784
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	87,552
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	5,749,232
6	Minimum investment return. Enter 5% of line 5.	6	287,462

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	287,462
2a	Tax on investment income for 2019 from Part VI, line 5.	2a	4,489
b	Income tax for 2019. (This does not include the tax from Part VI.).	2b	
c	Add lines 2a and 2b.	2c	4,489
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	282,973
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	282,973
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	282,973

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	310,000
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	310,000
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	310,000

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				282,973
2 Undistributed income, if any, as of the end of 2019:				
a Enter amount for 2018 only.			28,124	
b Total for prior years: 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2019:				
a From 2014.				
b From 2015.				
c From 2016.				
d From 2017.				
e From 2018.				
f Total of lines 3a through e.				
4 Qualifying distributions for 2019 from Part XII, line 4: ► \$ <u>310,000</u>				
a Applied to 2018, but not more than line 2a			28,124	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2019 distributable amount.				281,876
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2019. (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b. Taxable amount—see instructions.				
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions.				
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020.				1,097
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions).				
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a.				
10 Analysis of line 9:				
a Excess from 2015.				
b Excess from 2016.				
c Excess from 2017.				
d Excess from 2018.				
e Excess from 2019.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. ▶					
b Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)					
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:	
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)	
b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.	
2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:	
Check here <input type="checkbox"/> if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions	
a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed: DOROTHY B FRANCIS FOUNDATION 8044 MONTGOMERY ROAD STE 420 CINCINNATI, OH 45236 (513) 794-1900	
b The form in which applications should be submitted and information and materials they should include: ANY FORM IS ACCEPTABLE.	
c Any submission deadlines: NONE	
d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors: NONE	

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	310,000
b <i>Approved for future payment</i>				
Total			3b	

Enter gross amounts unless otherwise indicated.

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2019)

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of:				
(1) Cash.		1a(1)		No
(2) Other assets.		1a(2)		No
b Other transactions:				
(1) Sales of assets to a noncharitable exempt organization.		1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.		1b(2)		No
(3) Rental of facilities, equipment, or other assets.		1b(3)		No
(4) Reimbursement arrangements.		1b(4)		No
(5) Loans or loan guarantees.		1b(5)		No
(6) Performance of services or membership or fundraising solicitations.		1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.		1c		No
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.				

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.		
	*****	2020-11-15	*****
	_____ Signature of officer or trustee	_____ Date	_____ Title

May the IRS discuss this return with the preparer shown below
 (see instr.) ☒ **Yes** ☐ **No**

Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed <input type="checkbox"/>	PTIN P00009212
	David J Alex				
	Firm's name ▶ Alex & Company				Firm's EIN ▶ 31-1710612
	Firm's address ▶ 8044 Montgomery Rd 420 Cincinnati, OH 45236				Phone no. (513) 794-1900

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
TAKEDA PHARMACEUTICAL	P	2019-01-11	2019-01-28
LONG TERM BASIS REPORTED	P	2010-01-01	2019-12-31
AFLAC	P	2009-07-09	2019-10-22
BERKSHIRE HATHAWAY INC	P	2010-01-01	2019-12-31
DUKE ENERGY	P	2019-11-19	2019-01-22
COHEN & STEERS SELECT	P	2009-06-22	2019-10-22
EATON VANCE TX-AD	P	2005-06-09	2019-10-22
BLACKROCK CAP&INC STRAT	P	2008-01-01	2019-12-31
COHEN & STEERS CLOSED END OPPTY FUND	P	2007-01-01	2019-12-31
EATON VANCE MANAGED DIV	P	2011-01-14	2019-10-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
11		10	1
81,253		41,057	40,196
7,380		2,023	5,357
31,474		11,688	19,786
9,951		5,083	4,868
9,486		3,951	5,535
7,865		6,204	1,661
4,797		4,374	423
9,648		13,170	-3,522
9,623		6,049	3,574

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			1
			40,196
			5,357
			19,786
			4,868
			5,535
			1,661
			423
			-3,522
			3,574

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
MERCK & CO INC	P	2010-05-21	2019-01-22
NEXTERRA ENERGY INC	P	2010-12-29	2019-01-22
P&G CO	P	2009-03-11	2019-10-22
ANTHEM INC	P	2010-09-21	2019-01-22
VERIZON COMMUNICATIONS COM	P	2005-01-01	2019-12-31
WALMART INC	P	2009-02-20	2019-12-31
AFLAC	P	2018-03-20	2019-10-22
DUKE ENERGY	P	2014-12-18	2019-01-22
BUCKEYE PARTNERS LP	P	2010-01-01	2019-12-31
Wash Sale			

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,352		987	1,365
4,771		1,401	3,370
8,631		3,156	5,475
5,047		1,070	3,977
7,611		3,808	3,803
20,165		9,361	10,804
4		4	
86		86	
64,779		75,563	-10,784
			283

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			1,365
			3,370
			5,475
			3,977
			3,803
			10,804
			-10,784

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
BRUCE LAZARUS 8044 MONTGOMERY RD STE 420 CINCINNATI, OH 45236	Director 1.00	0		
CAROLYN McCLINTOCK 8044 MONTGOMERY RD STE 420 CINCINNATI, OH 45236				
DAVID J ALEX 8044 MONTGOMERY RD STE 420 CINCINNATI, OH 45236	Executive Dir. 20.00	26,923		
DAVID J ALEX 8044 MONTGOMERY RD STE 420 CINCINNATI, OH 45236				
DAIN PAIGE 8044 MONTGOMERY RD STE 420 CINCINNATI, OH 45236	President 1.00	0		
VIRGINIA CLARK 8044 MONTGOMERY RD STE 420 CINCINNATI, OH 45236				
TAMMY PATTON 8044 MONTGOMERY RD STE 420 CINCINNATI, OH 45236	Treasurer 1.00	0		
TAMMY PATTON 8044 MONTGOMERY RD STE 420 CINCINNATI, OH 45236				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MILESTONES INC12372 RIGGS RD INDEPENDENCE, KY 41051	NONE		GENERAL CHARITABLE	15,000
CARACOLE4138 HAMILTON AVE CINCINNATI, OH 45223	NONE		GENERAL CHARITABLE	15,000
GREEN UMBRELLA 4138 HAMILTON AVE STE D CINCINNATI, OH 45223	NONE		GENERAL CHARITABLE	15,000
Total ▶ 3a				310,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BEN JACKSON MEMORIAL FUND 4777 E GALBRAITH ROAD CINCINNATI, OH 45238	NONE		GENERAL CHARITABLE	5,000
RECYCLED DOGGIESPO BOX 12773 CINCINNATI, OH 45212	NONE		GENERAL CHARITABLE	7,500
4 PAWS FOR ABILITY253 DAYTON AVE XENIA, OH 45385	NONE		GENERAL CHARITABLE	15,000
Total ▶ 3a				310,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ARC OF APPALACHIA7660 CAVE ROAD BAINBRIDGE, OH 45612	NONE		GENERAL CHARITABLE	20,000
BETHANY HOUSE1841 FAIRMONT AVE CINCINNATI, OH 45214	NONE		GENERAL CHARITABLE	20,000
ELEMENTZ1100 RACE STREET CINCINNATI, OH 45202	NONE		GENERAL CHARITABLE	5,000
Total ▶ 3a				310,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
PURRFECT FRIENDS CAT RESCUE 2863 HANOIS COURT CINCINNATI, OH 45251	NONE		GENERAL CHARITABLE	5,000
WESTERN WILDLIFE CORRIDOR PO BOX 389077 CINCINNATI, OH 45238	NONE		GENERAL CHARITABLE	15,000
OYLER SCHOOL 2121 HATMAKER STREET CINCINNATI, OH 45204	NONE		GENERAL CHARITABLE	5,000
Total ▶ 3a				310,000


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
GLSEN GREATER CINCINNATI PO BOX 19856 CINCINNATI, OH 45219	NONE		GENERAL CHARITABLE	15,000
CHILDHOOD FOOD SOLUTIONS 2573 ST LEO PLACE CINCINNATI, OH 45225	NONE		GENERAL CHARITABLE	30,000
PLANNED PARENTHOOD 2314 AUBURN AVE CINCINNATI, OH 45219	NONE		GENERAL CHARITABLE	10,000
Total ▶ 3a				310,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE HELP PROGRAM1600 MADISON RD CINCINNATI, OH 45206	NONE		GENERAL CHARITABLE	7,000
LOVELAND SUSTAINABILITY COUNCIL 312 CAPRICE COURT LOVELAND, OH 45140	NONE		GENERAL CHARITABLE	4,000
BRICK GARDENS4721 READING RD CINCINNATI, OH 45237	NONE		GENERAL CHARITABLE	10,000
Total ▶ 3a				310,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
EDUCATIONAL THEATRE ASSOCIATION 4805 MONTGOMERY RD CINCINNATI, OH 45212	NONE		GENERAL CHARITABLE	15,000
CLERMONT COUNTY CARE HUMANE SOCIETY 4025 FILAGER RD BATAVIA, OH 45103	NONE		GENERAL CHARITABLE	7,500
CLERMONT COUNTY CHAMBER OF COMMERCE 4355 FERGUSON DR STE 150 CINCINNATI, OH 45245	NONE		GENERAL CHARITABLE	5,000
Total ▶ 3a				310,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
UCAN2830 COLERAIN AVE CINCINNATI, OH 45225	NONE		GENERAL CHARITABLE	5,000
GREAT PARKS FOREVER 10245 WINTON ROAD CINCINNATI, OH 45231	NONE		GENERAL CHARITABLE	15,000
NEST11905 RICH ROAD LOVELAND, OH 45140	NONE		GENERAL CHARITABLE	10,000
Total ▶ 3a				310,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MILL CREEK ALLIANCE 1662 BLUE ROCK STREET CINCINNATI, OH 45223	NONE		GENERAL CHARITABLE	12,000
CRAZY CATS RESCUEPO BOX 8845 CINCINNATI, OH 45208	NONE		GENERAL CHARITABLE	5,000
MYLES AHEAD 7773 OLD 3C HIGHWAY RD MAINEVILLE, OH 45039	NONE		GENERAL CHARITABLE	5,000
Total ▶ 3a				310,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
HIS HANDS EXTENDED SANCTUARY 1560 DIALTON RD SAINT PARIS, OH 43072	NONE		GENERAL CHARITABLE	12,000
Total  3a				310,000

TY 2019 Accounting Fees Schedule

Name: THE DOROTHY B FRANCIS CHARITABLE
FOUNDATION

EIN: 35-2235189

Software ID: 19009920

Software Version: 2019v5.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PROFESSIONAL FEES	3,500	0	0	0

TY 2019 Investments Corporate Stock Schedule

Name: THE DOROTHY B FRANCIS CHARITABLE
FOUNDATION

EIN: 35-2235189

Software ID: 19009920

Software Version: 2019v5.0

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
PROCTER & GAMBLE - 808.6067 SHRS	55,385	108,489
CONOCOPHILLIPS-800.2564 SHARES	38,360	52,038
DEERE CO- 500.6257 SHARES	31,326	86,738
INTEL CORP- 1628.6402 SHARES	43,917	97,477
MARATHON OIL CORP- 2,923.8342 SHARES	69,413	39,708
VERIZON COMMUNICATIONS COM- 1530.6474 SH	74,203	100,122
WELLS FARGO & CO NEW DEL - 600.4034 SHS	23,636	32,304
COHEN & STEERS CLOSED END OPPTY FUND INC	107,403	111,238
AT&T INC - 2162.0778 SHARES	75,373	95,241
CATERPILLAR INC - 500.3709 SHARES	28,219	73,898
COHEN & STEERS SELECT INFRASTRUCTURE	70,989	97,320
EATON VANCE TX-AD GL OPP	86,440	104,789
CLOUGH GLBL OPPTYS	120,456	98,314
BLACKROCK CAP&INC	74,132	113,531
PFIZER INC- 1,119.6148 SHARES	19,464	43,866
UNITEDHEALTH GROUP INC - 400 SHARES	23,824	108,773
EATON VANCE TAX MAN GLB	83,006	100,650
AFLAC INC - 1,025.0676 SHARES	34,026	101,049
AMERICAN FINL GRP HLDGS - 1,028.8448 SHS	23,405	101,854
BOEING COMPANY - 609.6471 SHARES	22,060	94,356
CSX CORP - 1829.5448 SHARES	30,559	99,317
CHEVRON CORP - 100 SHARES	6,452	12,051
BUCKEYE PARTNERS LP - 1,795.9326 SHARES		
WAL-MART STORES INC - 1,026.2949 SHARES	54,937	114,005
TCW TOTAL RETURN BOND FD CL I	74,432	76,664
BERKSHIRE HATHAWAYINC - 500 SHARES	24,687	79,275
BRISTOL-MYERS SQUIBB CO - 1599.4407 SHS	38,512	94,964
COCA COLA COM - 800 SHARES	28,443	44,280
EXXON MOBIL CORP - 600 SHARES	40,666	41,868
JPMORGAN CHASE & CO - 824.2361 SHARES	28,759	100,957

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ELI LILLY & CO - 1229.2493 SHARES	32,964	115,953
MERCK AND CO INC SHS - 1,564.5873 SHARES	40,937	111,740
NEXTERA ENERGY INC SHS - 738.9457 SHS	26,350	113,560
PPL CORPORATION - 1,619.3661 SHARES	38,780	58,105
TEXAS INSTRUMENTS - 1303.8837 SHARES	28,370	113,650
ENERGY TRANSFER PTNRS LP - 3500.1047 SH	153,647	81,293
ANTHEM INC - 400 SHARES	18,624	101,482
EATON VANCE TAX-MANAGED DIVER EQTY INCM	76,472	110,789
EATON VANCE TAX-MNGD GLBL DIV EQTY INCM	76,241	108,110
AXIS CAPITAL HOLDINGS LTD - 1565.0894 SH	45,488	84,113
PROLOGIS INC - 800.546 SHARES	23,738	71,360
APPLE INC - 700 SHARES	37,941	165,032
CISCO SYSTEMS INC - 2017.3744 SHARES	38,740	96,754
FORD MOTOR CO - 3201.7772 SHARES	36,438	29,776
JOHNSON AND JOHNSON COM - 422.0312 SHS	35,836	73,526
MARATHON PETROLEUM CORP - 2001.7142 SHS	23,875	84,394
NATIONAL GRID PLC SP ADR - 1,200 SHS	54,504	68,938
VALERO ENERGY CORP NEW - 1566.1165 SHS	23,229	96,471
DOUBLELINE TOTAL RETURN BOND FUND CL I	86,171	83,004
DUKE ENERGY CORP NEW - 1121.1777 SHARES	46,122	91,593
PHILLIPS 66 SHS - 549.0803 SHARES	15,389	61,171
ABBVIE INC SHS - 842.4981 SHARES	20,582	74,596
INTL BUSINESS MACHINES CORP IBM - 365 SH	59,874	48,925
ORACLE CORP - 1,000 SHARES	32,937	52,980
AMN ELEC POWER CO - 800 SHARES	38,332	75,608
BAXTER INTERNATIONAL INC - 500 SHARES	19,548	41,810
KINDER MORGAN INC - 1781 SHARES	73,055	37,704
TCW EMERGING MARKETS INCOME I SHARE	74,595	75,715
GOLDMAN SACHS STRATEGIC INCOME FUND CL I	60,572	53,895
JP MORGAN STRATEGIC INC OPP FUND	86,162	83,367

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
PRINCIPAL GLOBAL DIV INCOME FUND CL P	82,722	84,005
FIRST ENERGY CORP - 1400 SHARES	48,132	68,040
OCCIDENTAL PETE CORP CAL-700 SHARES	45,591	24,726
TORONTO DOMINION BANK - 1100 SHARES	50,751	61,743
SHIRE PLC-ADR		
GUGGENHEIMMACRO OPPORTUNITIES FUND INSTL	55,821	54,893
BLACKROCK MULTI ASSET INCOME PORTFOLIO	87,953	90,713
AMER FUNDS INCOME FUND OF AMERICA CL F2	82,130	89,897
AMERICAN CAPITAL INCOME BUILDER FD CL F2	87,223	96,203
CHUBB LTD.	40,184	99,726
ARCHER DANIELS MIDLD	10,477	11,588
KROGER CO.	23,666	28,990
ALLIANZGI NFJ DIVID	87,169	91,110
ABERDEEN TOTAL DYNAMIC	90,806	95,163
FEDERATED STRATEGIC INCOME FUND CL I	31,446	29,136
JANUS HENDERSON GLOBAL EQUITY INCOME	86,366	76,900
LORD ABBETT SHORT	66,045	64,791
THORNBURG INVESTMENT INCOME BUILDER FUND	68,284	74,379
RMR REAL ESTATE	11,157	12,589
TAKEDA PHARMACEUTICAL CO LTD	7,077	7,340

TY 2019 Investments - Other Schedule

Name: THE DOROTHY B FRANCIS CHARITABLE
FOUNDATION

EIN: 35-2235189

Software ID: 19009920

Software Version: 2019v5.0

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
BLACKROCK ENHANCED EQUITY DIVIDEND TRUST	AT COST	46,075	49,859

TY 2019 Other Expenses Schedule

Name: THE DOROTHY B FRANCIS CHARITABLE
FOUNDATION

EIN: 35-2235189

Software ID: 19009920

Software Version: 2019v5.0

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BOARD MEETING EXPENSES	449			
EMPLOYEE BENEFITS	6,311			
INSURANCE EXPENSES	3,516			
INVESTMENT ACCOUNT FEE	46,174	46,174	46,174	

TY 2019 Other Income Schedule

Name: THE DOROTHY B FRANCIS CHARITABLE
FOUNDATION

EIN: 35-2235189

Software ID: 19009920

Software Version: 2019v5.0

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Buckeye Partners LP	3,508		
ENERGY TRANSFER LP	-4,119		

TY 2019 Taxes Schedule

Name: THE DOROTHY B FRANCIS CHARITABLE
FOUNDATION

EIN: 35-2235189

Software ID: 19009920

Software Version: 2019v5.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PAYROLL TAXES	2,060			
STATE OF OHIO FILING FEE	250			