

Form **990-PF**  
 Department of the Treasury  
 Internal Revenue Service

**Return of Private Foundation**  
**or Section 4947(a)(1) Trust Treated as Private Foundation**

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 ▶ Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

OMB No. 1545-0052

**2019**

**Open to Public Inspection**

**For calendar year 2019, or tax year beginning 01-01-2019 , and ending 12-31-2019**

Name of foundation DBJ FOUNDATION		<b>A Employer identification number</b> 34-1661482	
Number and street (or P.O. box number if mail is not delivered to street address) Room/suite 600 SUPERIOR AVENUE EAST		<b>B Telephone number</b> (see instructions)	
City or town, state or province, country, and ZIP or foreign postal code CLEVELAND, OH 44114		<b>C</b> If exemption application is pending, check here <input type="checkbox"/>	
<b>G</b> Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		<b>D 1.</b> Foreign organizations, check here..... <input type="checkbox"/> <b>2.</b> Foreign organizations meeting the 85% test, check here and attach computation ... <input type="checkbox"/>	
<b>H</b> Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here ..... <input type="checkbox"/>	
<b>I</b> Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ <u>22,096,242</u>		<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ..... <input type="checkbox"/>	
<b>J</b> Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

<b>Part I Analysis of Revenue and Expenses</b> <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	<b>1</b> Contributions, gifts, grants, etc., received (attach schedule)				
	<b>2</b> Check <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch. B				
	<b>3</b> Interest on savings and temporary cash investments	448	448		
	<b>4</b> Dividends and interest from securities	513,037	513,037		
	<b>5a</b> Gross rents				
	<b>b</b> Net rental income or (loss)				
	<b>6a</b> Net gain or (loss) from sale of assets not on line 10	328,716			
	<b>b</b> Gross sales price for all assets on line 6a	6,634,894			
	<b>7</b> Capital gain net income (from Part IV, line 2)		328,716		
	<b>8</b> Net short-term capital gain				
	<b>9</b> Income modifications				
	<b>10a</b> Gross sales less returns and allowances				
<b>b</b> Less: Cost of goods sold					
<b>c</b> Gross profit or (loss) (attach schedule)					
<b>11</b> Other income (attach schedule)					
<b>12 Total.</b> Add lines 1 through 11	842,201	842,201			
<b>Operating and Administrative Expenses</b>	<b>13</b> Compensation of officers, directors, trustees, etc.				
	<b>14</b> Other employee salaries and wages				
	<b>15</b> Pension plans, employee benefits				
	<b>16a</b> Legal fees (attach schedule)				
	<b>b</b> Accounting fees (attach schedule)	10,542		5,271	5,271
	<b>c</b> Other professional fees (attach schedule)	299,231		66,898	232,333
	<b>17</b> Interest				
	<b>18</b> Taxes (attach schedule) (see instructions)	43,411		5,368	
	<b>19</b> Depreciation (attach schedule) and depletion				
	<b>20</b> Occupancy				
	<b>21</b> Travel, conferences, and meetings				
	<b>22</b> Printing and publications				
	<b>23</b> Other expenses (attach schedule)	38,782			37,755
	<b>24 Total operating and administrative expenses.</b> Add lines 13 through 23	391,966		77,537	275,359
	<b>25</b> Contributions, gifts, grants paid	1,205,000			1,205,000
<b>26 Total expenses and disbursements.</b> Add lines 24 and 25	1,596,966		77,537	1,480,359	
<b>27</b> Subtract line 26 from line 12:					
<b>a Excess of revenue over expenses and disbursements</b>	-754,765				
<b>b Net investment income</b> (if negative, enter -0-)		764,664			
<b>c Adjusted net income</b> (if negative, enter -0-)					

<b>Part II Balance Sheets</b> Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	<b>1</b> Cash—non-interest-bearing . . . . .	86,739	12,410	12,410
	<b>2</b> Savings and temporary cash investments . . . . .	26,074	231,603	231,603
	<b>3</b> Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	<b>4</b> Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	<b>5</b> Grants receivable . . . . .			
	<b>6</b> Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	<b>7</b> Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	<b>8</b> Inventories for sale or use . . . . .			
	<b>9</b> Prepaid expenses and deferred charges . . . . .			
	<b>10a</b> Investments—U.S. and state government obligations (attach schedule)			
	<b>b</b> Investments—corporate stock (attach schedule) . . . . .	19,055,068	18,829,216	20,561,053
	<b>c</b> Investments—corporate bonds (attach schedule) . . . . .	1,951,328	1,291,215	1,291,176
	<b>11</b> Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	<b>12</b> Investments—mortgage loans . . . . .			
	<b>13</b> Investments—other (attach schedule) . . . . .			
	<b>14</b> Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
<b>15</b> Other assets (describe ▶ _____)				
<b>16 Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I)	21,119,209	20,364,444	22,096,242	
<b>Liabilities</b>	<b>17</b> Accounts payable and accrued expenses . . . . .			
	<b>18</b> Grants payable . . . . .			
	<b>19</b> Deferred revenue . . . . .			
	<b>20</b> Loans from officers, directors, trustees, and other disqualified persons			
	<b>21</b> Mortgages and other notes payable (attach schedule) . . . . .			
	<b>22</b> Other liabilities (describe ▶ _____)			
	<b>23 Total liabilities</b> (add lines 17 through 22) . . . . .		0	
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow FASB ASC 958, check here</b> ▶ <input checked="" type="checkbox"/> <b>and complete lines 24, 25, 29 and 30.</b>			
	<b>24</b> Net assets without donor restrictions . . . . .	21,119,209		
	<b>25</b> Net assets with donor restrictions . . . . .			
	<b>Foundations that do not follow FASB ASC 958, check here</b> ▶ <input type="checkbox"/> <b>and complete lines 26 through 30.</b>			
	<b>26</b> Capital stock, trust principal, or current funds . . . . .			
	<b>27</b> Paid-in or capital surplus, or land, bldg., and equipment fund			
	<b>28</b> Retained earnings, accumulated income, endowment, or other funds			
<b>29 Total net assets or fund balances</b> (see instructions) . . . . .	21,119,209	20,364,444		
<b>30 Total liabilities and net assets/fund balances</b> (see instructions) .	21,119,209	20,364,444		

**Part III Analysis of Changes in Net Assets or Fund Balances**

<b>1</b> Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) . . . . .	<b>1</b>	21,119,209
<b>2</b> Enter amount from Part I, line 27a . . . . .	<b>2</b>	-754,765
<b>3</b> Other increases not included in line 2 (itemize) ▶ _____	<b>3</b>	
<b>4</b> Add lines 1, 2, and 3 . . . . .	<b>4</b>	20,364,444
<b>5</b> Decreases not included in line 2 (itemize) ▶ _____	<b>5</b>	
<b>6</b> Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	<b>6</b>	20,364,444

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)	
<b>1a</b> See Additional Data Table					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
<b>a</b> See Additional Data Table					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
(i) F.M.V. as of 12/31/69			(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>a</b> See Additional Data Table					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
<b>2</b> Capital gain net income or (net capital loss) <span style="float:right">If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7</span>					<b>2</b> 328,716
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8					<b>3</b> -64,555

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.  Yes  No

**1** Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	1,078,246	22,103,476	0.04878
2017	1,240,867	22,223,248	0.05584
2016	1,548,431	21,771,024	0.07112
2015	1,179,374	22,926,646	0.05144
2014	1,585,930	23,572,074	0.06728
<b>2</b> Total of line 1, column (d)			<b>2</b> 0.294462
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years			<b>3</b> 0.058892
<b>4</b> Enter the net value of noncharitable-use assets for 2019 from Part X, line 5			<b>4</b> 21,095,972
<b>5</b> Multiply line 4 by line 3			<b>5</b> 1,242,384
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)			<b>6</b> 7,647
<b>7</b> Add lines 5 and 6			<b>7</b> 1,250,031
<b>8</b> Enter qualifying distributions from Part XII, line 4			<b>8</b> 1,480,359

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculation. Includes questions about exempt foundations, tax under section 511, and tax due. Total tax based on investment income is 21,153.

Part VII-A Statements Regarding Activities

Table with 10 rows for statements regarding activities. Includes questions about political campaigns, political expenditures, and state reporting requirements.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address DBJFOUNDATION.ORG
14 The books are in care of AVERY & GREIG LLP Telephone no. (310) 829-7654

Located at 2811 WILSHIRE BLVD 700 SANTA MONICA CA ZIP+4 90403

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 -check here and enter the amount of tax-exempt interest received or accrued during the year. 15

16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly):
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
(6) Agree to pay money or property to a government official?
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions.
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):
a At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019?
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income?
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?



**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

<b>3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".</b>		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
<b>Total number of others receiving over \$50,000 for professional services.</b> . . . . . ▶		

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
<b>1</b>	
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
<b>1</b>	
<b>2</b>	
All other program-related investments. See instructions.	
<b>3</b>	
<b>Total.</b> Add lines 1 through 3 . . . . . ▶	

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities. . . . .	<b>1a</b>	21,344,916
<b>b</b>	Average of monthly cash balances. . . . .	<b>1b</b>	72,314
<b>c</b>	Fair market value of all other assets (see instructions). . . . .	<b>1c</b>	0
<b>d</b>	<b>Total</b> (add lines 1a, b, and c). . . . .	<b>1d</b>	21,417,230
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	<b>1e</b>	0
<b>2</b>	Acquisition indebtedness applicable to line 1 assets. . . . .	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d. . . . .	<b>3</b>	21,417,230
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	<b>4</b>	321,258
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	21,095,972
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5. . . . .	<b>6</b>	1,054,799

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6. . . . .	<b>1</b>	1,054,799
<b>2a</b>	Tax on investment income for 2019 from Part VI, line 5. . . . .	<b>2a</b>	7,647
<b>b</b>	Income tax for 2019. (This does not include the tax from Part VI.). . . . .	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b. . . . .	<b>2c</b>	7,647
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1. . . . .	<b>3</b>	1,047,152
<b>4</b>	Recoveries of amounts treated as qualifying distributions. . . . .	<b>4</b>	
<b>5</b>	Add lines 3 and 4. . . . .	<b>5</b>	1,047,152
<b>6</b>	Deduction from distributable amount (see instructions). . . . .	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1. . . . .	<b>7</b>	1,047,152

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26. . . . .	<b>1a</b>	1,480,359
<b>b</b>	Program-related investments—total from Part IX-B. . . . .	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes. . . . .	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required). . . . .	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule). . . . .	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	1,480,359
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions. . . . .	<b>5</b>	7,647
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4. . . . .	<b>6</b>	1,472,712

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

		(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
<b>1</b>	Distributable amount for 2019 from Part XI, line 7				1,047,152
<b>2</b>	Undistributed income, if any, as of the end of 2019:				
<b>a</b>	Enter amount for 2018 only. . . . .				
<b>b</b>	Total for prior years: 20___, 20___, 20___				
<b>3</b>	Excess distributions carryover, if any, to 2019:				
<b>a</b>	From 2014. . . . .	425,440			
<b>b</b>	From 2015. . . . .	50,398			
<b>c</b>	From 2016. . . . .	472,748			
<b>d</b>	From 2017. . . . .	149,262			
<b>e</b>	From 2018. . . . .	1,715			
<b>f</b>	<b>Total</b> of lines 3a through e. . . . .	1,099,563			
<b>4</b>	Qualifying distributions for 2019 from Part XII, line 4: ▶ \$ _____ 1,480,359				
<b>a</b>	Applied to 2018, but not more than line 2a				
<b>b</b>	Applied to undistributed income of prior years (Election required—see instructions). . . . .				
<b>c</b>	Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
<b>d</b>	Applied to 2019 distributable amount. . . . .				1,047,152
<b>e</b>	Remaining amount distributed out of corpus	433,207			
<b>5</b>	Excess distributions carryover applied to 2019. (If an amount appears in column (d), the same amount must be shown in column (a).)				
<b>6</b>	<b>Enter the net total of each column as indicated below:</b>				
<b>a</b>	Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	1,532,770			
<b>b</b>	Prior years' undistributed income. Subtract line 4b from line 2b. . . . .				
<b>c</b>	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
<b>d</b>	Subtract line 6c from line 6b. Taxable amount—see instructions. . . . .				
<b>e</b>	Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions. . . . .				
<b>f</b>	Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020. . . . .				0
<b>7</b>	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .				
<b>8</b>	Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions). . . . .	425,440			
<b>9</b>	<b>Excess distributions carryover to 2020.</b> Subtract lines 7 and 8 from line 6a. . . . .	1,107,330			
<b>10</b>	Analysis of line 9:				
<b>a</b>	Excess from 2015. . . . .	50,398			
<b>b</b>	Excess from 2016. . . . .	472,748			
<b>c</b>	Excess from 2017. . . . .	149,262			
<b>d</b>	Excess from 2018. . . . .	1,715			
<b>e</b>	Excess from 2019. . . . .	433,207			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling, . . . . . ▶

**b** Check box to indicate whether the organization is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

**2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .

	Tax year	Prior 3 years			<b>(e) Total</b>
	<b>(a) 2019</b>	<b>(b) 2018</b>	<b>(c) 2017</b>	<b>(d) 2016</b>	
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon:					
<b>a</b> "Assets" alternative test—enter:					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . . .					
<b>c</b> "Support" alternative test—enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)  
 DAVID H JACOBS JR

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:  
 DAVID H JACOBS JR  
 235 ENTRADA DR  
 SANTA MONICA, CA 90402  
 (310) 573-1410

**b** The form in which applications should be submitted and information and materials they should include:  
 A LETTER THAT INDICATES THE PURPOSE, THE INTENT, AND PROJECTED DATE.

**c** Any submission deadlines:  
 NONE

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:  
 LIMITED TO RELIGIOUS, COMMUNITY, AND CHARITABLE PROGRAMS.

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> <i>Paid during the year</i> See Additional Data Table				
<b>Total . . . . . ▶ 3a</b>				1,205,000
<b>b</b> <i>Approved for future payment</i>				
<b>Total . . . . . ▶ 3b</b>				





**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
27804.942 BLACKROCK TOTAL FACTOR INST	P	2019-01-29	2019-02-05
73009.009 CREDIT SUISSE MULTIALTERNATIVE STRATEGY	P	2015-01-01	2019-02-05
17982.427 CREDIT SUISSE MULTIALTERNATIVE STRATEGY	P	2019-01-01	2019-02-05
45093.921 DFA SHORT TERM EXTENDED	P	2015-01-01	2019-05-06
1694.597 DFA SHORT TERM EXTENDED	P	2011-02-08	2019-08-21
5274.207 DFA SHORT TERM EXTENDED	P	2019-02-06	2019-08-21
1892.148 DFA FIVE YEAR GLOBAL FIXED	P	2018-11-29	2019-01-17
5222.105 DFA FIVE YEAR GLOBAL FIXED	P	2014-11-26	2019-05-06
42632.874 DFA FIVE YEAR GLOBAL FIXED	P	2019-01-01	2019-05-06
7001.163 DFA FIVE YEAR GLOBAL FIXED	P	2014-11-26	2019-08-21

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
273,030		272,503	527
721,317		746,106	-24,789
177,663		180,850	-3,187
485,652		481,227	4,425
18,520		18,033	487
57,640		56,119	1,521
19,990		19,990	
56,137		57,966	-1,829
458,294		466,524	-8,230
76,723		77,714	-991

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			527
			-24,789
			-3,187
			4,425
			487
			1,521
			-1,829
			-8,230
			-991

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
1669.545 DFA INVT GRP INC INL	P	2019-05-06	2019-11-01
2440.582 DFA US LARGE CAP	P	2019-01-01	2019-07-31
335.34 DFA US LARGE CAP	P	2018-07-25	2019-11-01
1283.126 DFA US LARGE CAP	P	2018-11-29	2019-11-01
607.02 DFA US LARGE CAP	P	2018-07-25	2019-11-06
2348.083 DFA US SMALL CAP	P	2018-08-30	2019-04-03
559.055 DFA INTL LARGE CAP GWTH	P	2018-02-12	2019-04-03
2013.423 DFA INTL LARGE CAP GWTH	P	2018-02-12	2019-11-01
8428.81 NATIXIS GATEWAY	P	2018-09-20	2019-01-29
45434.036 VANGUARD ST INVMT GRADE ADM	P	2019-02-06	2019-05-06

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
28,990		29,369	-379
89,559		93,525	-3,966
12,637		13,160	-523
48,353		48,852	-499
23,148		23,822	-674
79,590		97,093	-17,503
7,090		7,235	-145
26,990		26,055	935
272,151		289,881	-17,730
481,131		477,065	4,066

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			-379
			-3,966
			-523
			-499
			-674
			-17,503
			-145
			935
			-17,730
			4,066

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
7100.394 VANGUARD ST INVMT GRADE ADM	P	2019-02-06	2019-08-21
555.176 VANGUARD GROWTH INDEX ADMIRAL	P	2019-01-01	2019-01-17
2700.234 VANGUARD GROWTH INDEX ADMIRAL	P	2019-05-06	2019-06-06
496.951 VANGUARD GROWTH INDEX ADMIRAL	P	2018-07-09	2019-11-01
340.727 VANGUARD SM CAP GROWTH INDEX ADM	P	2018-03-21	2019-04-03
322.205 VANGUARD SM CAP GROWTH INDEX ADM	P	2018-08-30	2019-04-03
1740.029 VANGUARD SM CAP GROWTH INDEX ADM	P	2019-01-01	2019-06-06
27702.645 WOA ALL ASSET I FD CL I	P	2017-07-25	2019-02-05
57007.914 WOA ALL ASSET I FD CL I	P	2019-01-01	2019-02-05
720.199 WOA ALL ASSET I FD CL I	P	2017-07-25	2019-04-03

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
76,314		74,555	1,759
40,585		44,263	-3,678
218,785		227,156	-8,371
43,985		39,365	4,620
21,887		20,203	1,684
20,698		21,439	-741
109,485		113,221	-3,736
329,103		323,020	6,083
677,244		681,652	-4,408
8,685		8,398	287

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			1,759
			-3,678
			-8,371
			4,620
			1,684
			-741
			-3,736
			6,083
			-4,408
			287

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
10179.501 WOA ALL ASSET I FD CL I	P	2017-07-25	2019-05-06
32725.078 WOA ALL ASSET I FD CL I	P	2015-01-01	2019-07-31
4830.918 WOA ALL ASSET I FD CL I	P	2015-01-01	2019-11-01
15420.962 WOA ALL ASSET I FD CL I	P	2015-01-01	2019-11-06
1081.315 NEW ECONOMY FUND - A	P	2018-06-22	2019-11-04
1583.531 CAPITAL INCOME BUILDER-A	P	2015-05-14	2019-12-23
10111.223 SHORT-TERM BOND FUND OF AMERICA-A	P	2015-01-01	2019-04-08
10040.161 SHORT-TERM BOND FUND OF AMERICA-A	P	2015-01-01	2019-08-01
12562.814 SHORT-TERM BOND FUND OF AMERICA-A	P	2015-01-01	2019-11-01
9547.739 SHORT-TERM BOND FUND OF AMERICA-A	P	2015-01-01	2019-11-04

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
123,462		118,696	4,766
404,794		372,498	32,296
59,985		51,581	8,404
191,359		154,247	37,112
50,000		52,602	-2,602
100,000		97,343	2,657
100,000		101,156	-1,156
100,000		100,458	-458
125,000		125,701	-701
95,000		95,535	-535

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			4,766
			32,296
			8,404
			37,112
			-2,602
			2,657
			-1,156
			-458
			-701
			-535

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
Capital Gain Dividends			

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
			323,918

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
CLEVELAND FOOD BANK 15500 SOUTH WATERLOO RD CLEVELAND, OH 44110			CONTRIBUTION TO TAX-EXEMPT ORG GENERAL FUND	50,000
CLEVELAND SIGHT CENTER 1900 EAST 101ST ST PO BOX 1988 CLEVELAND, OH 44106			CONTRIBUTION TO TAX-EXEMPT ORG GENERAL FUND	50,000
EITELJORG MUSEUM 500 W WASHINGTON ST INDIANAPOLIS, IN 46204			CONTRIBUTION TO TAX-EXEMPT ORG GENERAL FUND	100,000
<b>Total . . . . .</b> ▶ <b>3a</b>				1,205,000

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
GLSEN90 BROAD ST NEW YORK, NY 10004			CONTRIBUTION TO TAX-EXEMPT ORG GENERAL FUND	250,000
INDIANA UNIVERSITY FOUNDATION PO BOX 500 BLOOMINGTON, IN 47402			CONTRIBUTION TO TAX-EXEMPT ORG GENERAL FUND	25,000
THE TREVOR PROJECT 9056 SANTA MONICA BLVD 100 WEST HOLLYWOOD, CA 90069			CONTRIBUTION TO TAX-EXEMPT ORG GENERAL FUND	10,000
<b>Total . . . . .</b>			<b>▶ 3a</b>	1,205,000

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
UNITED CEREBRAL PALSY OF GREATER CL 10011 EUCLID AVE CLEVELAND, OH 44106			CONTRIBUTION TO TAX-EXEMPT ORG GENERAL FUND	20,000
VOCATIONAL GUIDANCE SERVICES 2239 EAST 55TH ST CLEVELAND, OH 44103			CONTRIBUTION TO TAX-EXEMPT ORG GENERAL FUND	10,000
CORNUCOPIA INC 18120 SLOANE AVENUE LAKEWOOD, OH 44107			CONTRIBUTION TO TAX-EXEMPT ORG GENERAL FUND	30,000
<b>Total . . . . .</b> ▶ <b>3a</b>				1,205,000

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
HAND IN HAND PARENTING 555 WAVERLY ST EDUCATION BLDG 25 PALO ALTO, CA 94301			CONTRIBUTION TO TAX-EXEMPT ORG GENERAL FUND	60,000
CLEVELAND RAPE CRISIS CENTER 526 SUPERIOR AVE STE 1400 CLEVELAND, OH 44114			CONTRIBUTION TO TAX-EXEMPT ORG GENERAL FUND	100,000
ENVISION2108 LAMBERTON ROAD CLEVELAND HEIGHTS, OH 44118			CONTRIBUTION TO TAX-EXEMPT ORG GENERAL FUND	500,000
<b>Total . . . . .</b>			<b>▶ 3a</b>	1,205,000

**TY 2019 Accounting Fees Schedule****Name:** DBJ FOUNDATION**EIN:** 34-1661482**Software ID:** 19009920**Software Version:** 2019v5.0

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
ACCOUNTING FEES	10,542	5,271	0	5,271

**TY 2019 Investments Corporate Bonds Schedule****Name:** DBJ FOUNDATION**EIN:** 34-1661482**Software ID:** 19009920**Software Version:** 2019v5.0**Investments Corporate Bonds Schedule**

<b>Name of Bond</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
AMERICAN FUNDS (SEE ATTACHED)	6,904	6,869
TD AMERITRADE INVESTMENTS (SEE ATTACHED)	1,284,311	1,284,307

**TY 2019 Investments Corporate Stock Schedule****Name:** DBJ FOUNDATION**EIN:** 34-1661482**Software ID:** 19009920**Software Version:** 2019v5.0**Investments Corporation Stock Schedule**

<b>Name of Stock</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
AMERICAN FUNDS (SEE ATTACHED)	7,409,844	7,907,233
TD AMERITRADE INVESTMENTS (SEE ATTACHED)	11,419,372	12,653,820

**TY 2019 Other Expenses Schedule****Name:** DBJ FOUNDATION**EIN:** 34-1661482**Software ID:** 19009920**Software Version:** 2019v5.0**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK CHARGES	120			
GRANT SUPPORT	38,398			37,755
TELEPHONE	264			

**TY 2019 Other Professional Fees Schedule****Name:** DBJ FOUNDATION**EIN:** 34-1661482**Software ID:** 19009920**Software Version:** 2019v5.0

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
ADVISOR FEES	66,898	66,898	0	0
LEGAL	141,333	0	0	141,333
TRAINING & COACHING	91,000	0	0	91,000

**TY 2019 Taxes Schedule****Name:** DBJ FOUNDATION**EIN:** 34-1661482**Software ID:** 19009920**Software Version:** 2019v5.0

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
FEDERAL	37,843			
FOREIGN	5,368	5,368		
STATE	200			

FORM 990-PF, PART II, LINE 10B  
INVESTMENTS - CORPORATE STOCK  
AMERICAN FUNDS

<b>QUANTITY</b>	<b>DESCRIPTION</b>	<b>SYMBOL</b>	<b>COST BASIS</b>	<b>CURRENT VALUE</b>
54,953.854	CAPITAL INCOME BUILDER-A	CAIBX	3,376,532.36	3,479,678.04
11,143.223	CAPITAL WORLD GROWTH & INCOME-A	CWGIX	542,988.69	582,567.70
121,438.824	INCOME FUND OF AMERICA-A	AMECX	2,488,547.29	2,822,238.27
15,471.062	NEW ECONOMY FUND-A	ANEFX	749,157.50	707,336.95
6,673.966	NEW PERSPECTIVE FUND-A	ANWPX	<u>252,618.17</u>	<u>315,411.63</u>
<b>TOTAL CORPORATE STOCK - AMERICAN FUNDS</b>			<b><u>7,409,844.01</u></b>	<b><u>7,907,232.59</u></b>

FORM 990-PF, PART II, LINE 10B  
 INVESTMENTS - CORPORATE STOCK  
 TD AMERITRADE INVESTMENTS

<b>QUANTITY</b>	<b>DESCRIPTION</b>	<b>SYMBOL</b>	<b>COST BASIS</b>	<b>CURRENT VALUE</b>
8,972.983	DFA EMRG MKTS CORE EQTY INS	DFCEX	187,635.06	195,341.84
48,440.253	DFA INVT GRP INC INL	DFIVX	849,512.59	858,845.69
92,965.061	DFA US LARGE CAP	DFLVX	3,343,001.25	3,595,888.56
35,037.300	DFA US SMALL CAP	DFSVX	1,173,373.22	1,206,684.61
41,811.494	DFA INTL LARGE CAP GWTH	DILRX	492,330.72	580,343.54
21,067.910	DFA INTL SMALL CAP GWTH	DISMX	315,965.65	320,864.27
24,311.575	DFA INTL SMALL CAP	DISVX	487,384.91	470,428.98
25,738.545	VANGUARD GROWTH INDEX ADM	VIGAX	1,967,419.53	2,415,305.06
11,306.067	VANGUARD SM CAP GROWTH INC	VSGAX	681,692.03	789,954.90
187,197.557	WOA ALL ASSET I FD CL I	WOAIX	<u>1,921,056.50</u>	<u>2,220,163.03</u>
<b>TOTAL CORPORATE STOCK - TD AMERITRADE INVESTMENTS</b>			<b><u>11,419,371.46</u></b>	<b><u>12,653,820.48</u></b>

FORM 990-PF, PART II, LINE 10C  
INVESTMENTS - CORPORATE BONDS  
TD AMERITRADE INVESTMENTS

<b>QUANTITY</b>	<b>DESCRIPTION</b>	<b>SYMBOL</b>	<b>COST BASIS</b>	<b>CURRENT VALUE</b>
39,489.892	DFA SHORT TERM EXTENDED	DFEQX	423,201.94	426,885.73
39,673.260	DFA FIVE YEAR GLOBAL FIXED	DFGBX	438,628.95	425,694.08
40,235.570	VANGUARD ST INVMT GRADE ADI	VFSUX	<u>422,479.99</u>	<u>431,727.67</u>
<b>TOTAL CORPORATE BONDS - TD AMERITRADE INVESTMENTS</b>			<b><u>1,284,310.88</u></b>	<b><u>1,284,307.48</u></b>

FORM 990-PF, PART II, LINE 10C  
INVESTMENTS - CORPORATE BONDS  
AMERICAN FUNDS

<b>QUANTITY</b>	<b>DESCRIPTION</b>	<b>SYMBOL</b>	<b>COST BASIS</b>	<b>CURRENT VALUE</b>
690.310	SHORT-TERM BOND FUND OF AMERICA-A	ASBAX	<u>6,904.38</u>	<u>6,868.58</u>
<b>TOTAL CORPORATE BONDS - AMERICAN FUNDS</b>			<b><u>6,904.38</u></b>	<b><u>6,868.58</u></b>