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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to *www.irs.gov/Form990PF* for instructions and the latest information.

OMB No 1545-0052

2019

Open to Public Inspection

For calendar year 2019, or tax year beginning 01-01-2019 , and ending 12-31-2019

Name of foundation
THE NIELS A LUNDGARD AND RUTH LUNDGARD

A Employer identification number
31-6678858

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
P O BOX 630858

B Telephone number (see instructions)
(513) 534-5310

City or town, state or province, country, and ZIP or foreign postal code
CINCINNATI, OH 452630858

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), *line 16)* ▶$ 3,731,330

J Accounting method ☐ Cash ☐ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	97,812	97,812		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	1,157,116			
	b	Gross sales price for all assets on line 6a 4,075,978				
	7	Capital gain net income (from Part IV, line 2)		1,157,116		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	1,254,928	1,254,928		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc				
	14	Other employee salaries and wages		0	0	0
	15	Pension plans, employee benefits		0	0	
	16a	Legal fees (attach schedule)				0
	b	Accounting fees (attach schedule)	1,000	500	0	500
	c	Other professional fees (attach schedule)				0
	17	Interest				0
	18	Taxes (attach schedule) (see instructions)	10,271	3,405		0
	19	Depreciation (attach schedule) and depletion	0	0		
	20	Occupancy				
	21	Travel, conferences, and meetings		0	0	
	22	Printing and publications		0	0	
	23	Other expenses (attach schedule)	23,193	22,993		200
	24	**Total operating and administrative expenses.** Add lines 13 through 23	34,464	26,898	0	700
	25	Contributions, gifts, grants paid	172,500			172,500
	26	**Total expenses and disbursements.** Add lines 24 and 25	206,964	26,898	0	173,200
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	1,047,964			
	b	**Net investment income** (if negative, enter -0-)		1,228,030		
	c	**Adjusted net income** (if negative, enter -0-)			0	

Part II	Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets 1	Cash—non-interest-bearing		1,170	1,170
2	Savings and temporary cash investments	83,452	161,787	161,787
3	Accounts receivable ▶			
	Less allowance for doubtful accounts ▶		0	0
4	Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶ 0			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U S and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule)	1,745,415	2,764,331	3,000,980
c	Investments—corporate bonds (attach schedule)	621,893	570,282	567,393
11	Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)			0
14	Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	2,450,760	3,497,570	3,731,330
Liabilities 17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities**(add lines 17 through 22)		0	
Net Assets or Fund Balances	**Foundations that follow FASB ASC 958, check here ▶ ☐ and complete lines 24, 25, 29 and 30.**			
24	Net assets without donor restrictions			
25	Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ ☑ and complete lines 26 through 30.			
26	Capital stock, trust principal, or current funds	2,450,760	3,497,570	
27	Paid-in or capital surplus, or land, bldg , and equipment fund			
28	Retained earnings, accumulated income, endowment, or other funds			
29	**Total net assets or fund balances** (see instructions)	2,450,760	3,497,570	
30	**Total liabilities and net assets/fund balances** (see instructions)	2,450,760	3,497,570	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	2,450,760
2	Enter amount from Part I, line 27a	2	1,047,964
3	Other increases not included in line 2 (itemize) ▶	3	2
4	Add lines 1, 2, and 3	4	3,498,726
5	Decreases not included in line 2 (itemize) ▶	5	1,156
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	3,497,570

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	1,157,116
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2018	164,000	3,460,041	0 047398
2017	156,700	3,355,124	0 046705
2016	170,295	3,126,921	0 054461
2015	154,787	3,277,542	0 047227
2014	156,400	3,306,615	0 047299

2 **Total** of line 1, column (d)	2	0 24309
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	0 048618
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	3,540,180
5 Multiply line 4 by line 3	5	172,116
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	12,280
7 Add lines 5 and 6	7	184,396
8 Enter qualifying distributions from Part XII, line 4	8	173,200

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	24,561
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0
3	Add lines 1 and 2			3	24,561
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	24,561
6	Credits/Payments				
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a	4,800		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c	0		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	4,800
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	19,761
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	
11	Enter the amount of line 10 to be **Credited to 2020 estimated tax** ▶ 0 **Refunded** ▶			11	0

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition)	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ OH			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶

14 The books are in care of ▶FIFTH THIRD BANK Telephone no ▶(937) 227-3070

Located at ▶1 SOUTH MAIN STREET MD 33297C DAYTON OH ZIP+4 ▶45402

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here . . . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ | 15 |

			Yes	No
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019?. ☐ Yes ☑ No If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2019 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period?*(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019).*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

				Yes	No
5a	During the year did the foundation pay or incur any amount to				
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? See instructions	☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance? See instructions		5b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)				
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		No
	If "Yes" to 6b, file Form 8870				
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☑ No			
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?		7b		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year?	☐ Yes ☑ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
FIFTH THIRD BANK 1 SOUTH MAIN STREET MD33297C DAYTON, OH 45402	TRUSTEE 1	0		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services. . . . . ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes				
a	Average monthly fair market value of securities			1a	3,413,409
b	Average of monthly cash balances			1b	180,682
c	Fair market value of all other assets (see instructions)			1c	0
d	**Total** (add lines 1a, b, and c)			1d	3,594,091
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0		
2	Acquisition indebtedness applicable to line 1 assets			2	0
3	Subtract line 2 from line 1d			3	3,594,091
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)			4	53,911
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4			5	3,540,180
6	**Minimum investment return.** Enter 5% of line 5			6	177,009

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	177,009
2a	Tax on investment income for 2019 from Part VI, line 5	2a	24,561		
b	Income tax for 2019 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	24,561
3	Distributable amount before adjustments Subtract line 2c from line 1			3	152,448
4	Recoveries of amounts treated as qualifying distributions			4	0
5	Add lines 3 and 4			5	152,448
6	Deduction from distributable amount (see instructions)			6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	152,448

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	173,200
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0
b	Cash distribution test (attach the required schedule)	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	173,200
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b See instructions	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	173,200

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				152,448
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only.			166,722	
b Total for prior years 20___ , 20___ , 20___		0		
3 Excess distributions carryover, if any, to 2019				
a From 2014. 0				
b From 2015. 0				
c From 2016. 0				
d From 2017. 0				
e From 2018. 0				
f **Total** of lines 3a through e.	0			
4 Qualifying distributions for 2019 from Part XII, line 4 ▶ $ 173,200				
a Applied to 2018, but not more than line 2a			166,722	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2019 distributable amount.				6,478
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2019 *(If an amount appears in column (d), the same amount must be shown in column (a))*	0			0
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount —see instructions		0		
e Undistributed income for 2018 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2019 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2020				145,970
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions)	0			
9 **Excess distributions carryover to 2020.** Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2015. . . . 0				
b Excess from 2016. . . . 0				
c Excess from 2017. . . . 0				
d Excess from 2018. . . . 0				
e Excess from 2019. . . . 0				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2019	**(b)** 2018	**(c)** 2017	**(d)** 2016	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc to individuals or organizations under other conditions, complete items 2a, b, c, and d See instructions

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

FIFTH THIRD BANK NICK HEPPNER
1 SOUTH MAIN STREET
DAYTON, OH 45402
(937) 227-3070

b The form in which applications should be submitted and information and materials they should include

LETTER FORMAT IN ACCORDANCE TO THE FIFTH THIRD BANK, W OHIO CHARITABLE FOUNDATIONS GUIDE TO APPLICATION

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

PRIMARILY LIMITED TO THE PIQUA, OH AREA

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				172,500
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .				0	97,812
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory				0	1,157,116
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				0	1,254,928

13 Total. Add line 12, columns (b), (d), and (e). 13 1,254,928

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
4	INVESTMENT INCOME EARNED BY THIS TRUST IS USED TO MAKE
4	CHARITABLE CONTRIBUTIONS TO ORGANIZATIONS SPECIFIED IN
4	THE GOVERNING AGREEMENT
8	INVESTMENT INCOME EARNED BY THIS TRUST IS USED TO MAKE
8	CHARITABLE CONTRIBUTIONS TO ORGANIZATIONS SPECIFIED IN
8	THE GOVERNING AGREEMENT
3	INVESTMENT INCOME EARNED BY THIS TRUST IS USED TO MAKE
3	CHARITABLE CONTRIBUTIONS TO ORGANIZATIONS SPECIFIED IN
3	THE GOVERNING AGREEMENT

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash.	**1a(1)**		**No**
	(2) Other assets.	**1a(2)**		**No**
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization.	**1b(1)**		**No**
	(2) Purchases of assets from a noncharitable exempt organization.	**1b(2)**		**No**
	(3) Rental of facilities, equipment, or other assets.	**1b(3)**		**No**
	(4) Reimbursement arrangements.	**1b(4)**		**No**
	(5) Loans or loan guarantees.	**1b(5)**		**No**
	(6) Performance of services or membership or fundraising solicitations.	**1b(6)**		**No**
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1c**		**No**

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2020-04-22	******	May the IRS discuss this return with the preparer shown below (see instr) ☐ **Yes** ☐ **No**
Signature of officer or trustee	Date	Title	

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
Firm's name ▶				Firm's EIN ▶
Firm's address ▶				Phone no

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
465 CITIGROUP INC		2018-10-30	2019-01-03
150 CONSTELLATION BRANDS INC CL A		2018-03-21	2019-01-03
1755 ISHARES S&P GLOB INFRAS INDEX FD		2018-05-07	2019-01-03
825 MONDELEZ INTL INC CL A		2018-02-01	2019-01-03
66 RESIDEO TECHNOLOGIES INC		2012-08-03	2019-01-03
575 ABBVIE INC		2015-03-03	2019-02-28
104 439 AMERICAN CENTURY MID CAP VALUE INV		2018-12-11	2019-02-28
685 62 AMERICAN CENTURY MID CAP VALUE INV		2018-12-11	2019-02-28
85 AMGEN INC		2011-11-22	2019-02-28
90 FACEBOOK INC		2015-07-09	2019-02-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
24,677		30,010	-5,333
24,318		34,061	-9,743
69,082		77,322	-8,240
32,942		38,385	-5,443
1,306		670	636
45,646		34,325	11,321
1,586		1,496	90
10,415		9,818	597
16,221		4,720	11,501
14,586		7,846	6,740

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-5,333
			-9,743
			-8,240
			-5,443
			636
			11,321
			90
			597
			11,501
			6,740

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
274 828 T ROWE PRICE US SMALL-CAP GR EQ		2018-07-16	2019-02-28
20 UNITEDHEALTH GROUP INC		2016-11-18	2019-02-28
135 VANGUARD REAL ESTATE ETF		2017-02-14	2019-02-28
90 VANGUARD SMALL-CAP VALUE ETF		2018-07-16	2019-02-28
PFIZER SLP		1911-01-01	2019-03-13
175 3M CO		2009-08-10	2019-05-03
139 BECTON DICKINSON & CO		2018-01-02	2019-05-15
364 281 INVESCO OPPENHEIMER DEVELOPING MARKETS FUND - CLASS R6		2017-08-24	2019-06-11
662 927 INVESCO OPPENHEIMER DEVELOPING MARKETS FUND - CLASS R6		2017-07-14	2019-06-11
1091 82 INVESCO OPPENHEIMER DEVELOPING MARKETS FUND - CLASS R6		2009-06-16	2019-06-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
10,001		10,647	-646
5,007		2,998	2,009
11,339		10,989	350
11,859		12,390	-531
188			188
32,414		12,358	20,056
31,325		29,790	1,535
15,369		14,837	532
27,969		26,000	1,969
46,064		23,467	22,597

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-646
			2,009
			350
			-531
			188
			20,056
			1,535
			532
			1,969
			22,597

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
250 ABBOTT LABS		2019-05-15	2019-06-11
445 ABBOTT LABS		2019-02-28	2019-06-11
130 ADOBE SYSTEMS INCORPORATED		2019-02-28	2019-06-11
50 ALPHABET INC CAP STK CL C		2010-09-21	2019-06-11
50 ALPHABET INC CAP STK CL A		2010-09-21	2019-06-11
50 AMAZON COM INC COM		2016-08-05	2019-06-11
493 845 AMERICAN CENTURY MID CAP VALUE INV		2018-12-11	2019-06-11
228 237 AMERICAN CENTURY MID CAP VALUE INV		2018-07-16	2019-06-11
96 227 AMERICAN CENTURY MID CAP VALUE INV		2017-12-12	2019-06-11
681 269 AMERICAN CENTURY MID CAP VALUE INV		2017-12-12	2019-06-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
20,257		19,153	1,104
36,058		34,550	1,508
35,745		34,340	1,405
54,280		12,880	41,400
54,423		12,957	41,466
93,341		38,400	54,941
7,660		7,072	588
3,540		4,001	-461
1,492		1,672	-180
10,566		11,840	-1,274

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,104
			1,508
			1,405
			41,400
			41,466
			54,941
			588
			-461
			-180
			-1,274

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
9746 028 AMERICAN CENTURY MID CAP VALUE INV		2010-07-13	2019-06-11
415 AMETEK INC NEW COM		2019-05-03	2019-06-11
240 AMGEN INC		2011-11-22	2019-06-11
600 APPLE COMPUTER INC		2008-01-24	2019-06-11
1005 BANK OF AMERICA CORP		2019-01-03	2019-06-11
230 CATERPILLAR INC		2018-10-30	2019-06-11
300 CHEVRONTEXACO CORP		2017-12-07	2019-06-11
665 CISCO SYS INC		2019-02-28	2019-06-11
395 CONOCOPHILLIPS		2018-10-30	2019-06-11
155 COSTCO WHOLESALE CORP		2019-01-03	2019-06-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
151,161		107,791	43,370
35,834		36,347	-513
41,998		13,327	28,671
116,860		11,948	104,912
28,340		24,942	3,398
29,352		26,892	2,460
36,478		35,817	661
37,859		34,261	3,598
23,230		27,318	-4,088
39,808		31,384	8,424

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			43,370
			-513
			28,671
			104,912
			3,398
			2,460
			661
			3,598
			-4,088
			8,424

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
50 DANAHER CORPORATION		2015-10-27	2019-06-11
375 DANAHER CORPORATION		2015-08-07	2019-06-11
330 DISNEY, WALTER CO		2018-07-16	2019-06-11
16 46 DODGE & COX INCOME FD COM		2015-12-10	2019-06-11
3684 598 DODGE & COX INCOME FD COM		2015-10-27	2019-06-11
3714 71 DODGE & COX INCOME FD COM		2015-10-09	2019-06-11
7385 524 DODGE & COX INCOME FD COM		2015-09-23	2019-06-11
2196 193 DODGE & COX INCOME FD COM		2015-07-08	2019-06-11
7764 198 DODGE & COX INCOME FD COM		2014-12-08	2019-06-11
1799 856 DODGE & COX INCOME FD COM		2014-11-05	2019-06-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,876		3,506	3,370
51,569		25,990	25,579
44,572		36,389	8,183
228		222	6
51,105		50,000	1,105
51,523		50,000	1,523
102,437		100,000	2,437
30,461		30,000	461
107,689		108,000	-311
24,964		25,000	-36

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			3,370
			25,579
			8,183
			6
			1,105
			1,523
			2,437
			461
			-311
			-36

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1806 358 DODGE & COX INCOME FD COM		2014-10-03	2019-06-11
5403 458 DODGE & COX INCOME FD COM		2014-07-11	2019-06-11
3654 971 DODGE & COX INCOME FD COM		2014-01-23	2019-06-11
400 DOVER CORP		2017-10-20	2019-06-11
925 EAST WEST BANCORP INC		2011-02-17	2019-06-11
3914 989 EATON VANCE FLOATING RATE I		2016-12-21	2019-06-11
42 479 AMERICAN FUNDS EUROPACIFIC GROWTH F-3		2018-12-20	2019-06-11
85 819 AMERICAN FUNDS EUROPACIFIC GROWTH F-3		2018-06-14	2019-06-11
60 585 AMERICAN FUNDS EUROPACIFIC GROWTH F-3		2017-12-22	2019-06-11
52 529 AMERICAN FUNDS EUROPACIFIC GROWTH F-3		2017-06-15	2019-06-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
25,054		25,000	54
74,946		75,000	-54
50,694		50,000	694
38,577		29,882	8,695
40,981		21,494	19,487
34,843		35,000	-157
2,201		1,894	307
4,446		4,778	-332
3,139		3,379	-240
2,722		2,733	-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			54
			-54
			694
			8,695
			19,487
			-157
			307
			-332
			-240
			-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
36 094 AMERICAN FUNDS EUROPACIFIC GROWTH F-3		2015-12-24	2019-06-11
2792 718 AMERICAN FUNDS EUROPACIFIC GROWTH F-3		2009-04-23	2019-06-11
500 EVERCORE INC		2016-08-05	2019-06-11
75 EXXON MOBIL CORPORATION		2016-12-21	2019-06-11
50 EXXON MOBIL CORPORATION		2016-11-18	2019-06-11
318 EXXON MOBIL CORPORATION		2002-02-14	2019-06-11
210 FACEBOOK INC		2015-07-09	2019-06-11
325 HOME DEPOT INC		2013-10-23	2019-06-11
400 HONEYWELL INTERNATIONAL INC		2012-08-03	2019-06-11
839 ISHARES S&P PFD STK INDX FN ETF		2008-04-25	2019-06-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,870		1,653	217
144,691		77,855	66,836
44,570		25,765	18,805
5,626		6,806	-1,180
3,751		4,268	-517
23,856		12,413	11,443
37,353		18,308	19,045
64,331		24,461	39,870
68,427		22,527	45,900
30,718		37,260	-6,542

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			217
			66,836
			18,805
			-1,180
			-517
			11,443
			19,045
			39,870
			45,900
			-6,542

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
500 J P MORGAN CHASE & CO		2014-02-07	2019-06-11
600 MICROSOFT CORP		2015-11-05	2019-06-11
325 NEXTERA ENERGY INC		2006-09-21	2019-06-11
400 NORFOLK SOUTHERN CORP		2016-10-12	2019-06-11
350 PEPSICO INC		2002-02-14	2019-06-11
6587 844 PRINCIPAL MIDCAP BLEND FUND		2016-01-08	2019-06-11
345 PROCTER & GAMBLE CO		2019-01-03	2019-06-11
267 273 T ROWE PRICE US SMALL-CAP GR EQ		2018-07-16	2019-06-11
2737 9 T ROWE PRICE US SMALL-CAP GR EQ		2017-01-05	2019-06-11
225 S&P GLOBAL INC		2017-11-17	2019-06-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
55,336		28,447	26,889
79,051		32,545	46,506
65,281		14,446	50,835
79,517		37,369	42,148
46,443		17,299	29,144
190,323		127,870	62,453
37,559		31,478	6,081
9,956		10,354	-398
101,987		79,536	22,451
50,020		36,184	13,836

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			26,889
			46,506
			50,835
			42,148
			29,144
			62,453
			6,081
			-398
			22,451
			13,836

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
700 STARBUCKS CORP		2013-10-23	2019-06-11
40 THERMO ELECTRON CORP COM		2019-05-15	2019-06-11
130 THERMO ELECTRON CORP COM		2019-02-28	2019-06-11
205 UNITEDHEALTH GROUP INC		2016-11-18	2019-06-11
77 VANGUARD REAL ESTATE ETF		2017-02-14	2019-06-11
235 VANGUARD REAL ESTATE ETF		2011-04-05	2019-06-11
915 VANGUARD REAL ESTATE ETF		2010-09-21	2019-06-11
120 VANGUARD SMALL-CAP VALUE ETF		2018-07-16	2019-06-11
710 VANGUARD SMALL-CAP VALUE ETF		2015-03-03	2019-06-11
400 VISA INC CL A		2015-03-03	2019-06-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
57,451		28,114	29,337
11,374		10,591	783
36,966		33,595	3,371
50,501		30,727	19,774
6,818		6,256	562
20,809		12,306	8,503
81,022		43,935	37,087
15,367		16,520	-1,153
90,921		76,829	14,092
67,673		27,563	40,110

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			29,337
			783
			3,371
			19,774
			562
			8,503
			37,087
			-1,153
			14,092
			40,110

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
225 LINDE PLC COM(QDI)		2005-05-10	2019-06-11
250 CHUBB LIMITED ISIN# CH0044328745 [QDI]		2016-02-24	2019-06-11
550 TE CONNECTIVITY LTD REG SHS [QDI]		2014-11-05	2019-06-11
4715 581 DOUBLELINE TOTAL RETURN BOND I		2019-06-11	2019-06-24
604 45 FIDELITY 500 INDEX FD INST PREMIUM		2019-06-11	2019-06-24
76 121 FIDELITY 500 INDEX FD INST PREMIUM		2019-06-11	2019-10-18
2963 384 SEAFARER OVERSEAS GR AND INCOME INSTITUTIONAL SHARES		2019-06-11	2019-10-18
1394 273 HARDING LOEVNER INTL EQUITY INST		2019-06-11	2019-10-18
702 737 FIDELITY 500 INDEX FD INST PREMIUM		2019-06-11	2019-12-20
891 438 SEAFARER OVERSEAS GR AND INCOME INSTITUTIONAL SHARES		2019-06-11	2019-12-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
45,296		36,777	8,519
37,115		28,833	8,282
50,207		34,015	16,192
50,504		50,174	330
62,119		60,820	1,299
7,904		7,659	245
34,375		32,982	1,393
30,953		30,716	237
78,461		70,709	7,752
10,920		9,922	998

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			8,519
			8,282
			16,192
			330
			1,299
			245
			1,393
			237
			7,752
			998

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
769 456 HARDING LOEVNER INTL EQUITY INST		2019-06-11	2019-12-20
22 ISHARES TRUST-S&P 400 MIDCAP ETF [RIC]		2019-06-11	2019-12-20
90 ISHARES TR S&P SMALLCAP 600 INDEX FD ETF		2019-06-11	2019-12-20
429 534 JPMORGAN EQUITY INCOME FUND 3128 CLASS I		2019-06-11	2019-12-20
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
18,213		16,951	1,262
4,524		4,195	329
7,572		6,844	728
8,354		7,697	657
			10,270

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			1,262
			329
			728
			657

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
THE SALVATION ARMY 440 WEST NYACK RD WEST NYACK, NY 109941739	NONE	PC	PROJECT/PROGRAM SUPPORT	10,000
PIQUA AREA CHAMBER FOUNDATION 326 N MAIN ST PIQUA, OH 453562316	NONE	SO	PROJECT/PROGRAM SUPPORT	4,000
FAMILY ABUSE SHELTER OF MIAMI COUNTY INC 16 E FRANKLIN ST TROY, OH 453733520	NONE	PC	CAPITAL FUND SUPPORT	6,000
Total . . . . ▶ **3a**				172,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
LEHMAN CATHOLIC HIGH SCHOOL 2400 ST MARYS AVE SIDNEY, OH 45365	NONE	PC	SCHOLARSHIP	7,500
JOHNSTON FARM FRIENDS COUNCIL 9845 N HARDIN RD PIQUA, OH 453569707	NONE	PC	PROJECT/PROGRAM SUPPORT	25,000
PIQUA HIGH SCHOOL 719 E ASH STREET PIQUA, OH 45356	NONE	GOV	PROJECT/PROGRAM SUPPORT	50,000
Total . . . . ▶ **3a**				172,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
MIAMI COUNTY YMCA 223 WEST HIGH STREET PIQUA, OH 45356	NONE	PC	CAPITAL FUND SUPPORT	50,000
UPPPER VALLEY COMMUNITY CHURCH OF THE NAZARENE1400 SEIDEL PARKWAY PIQUA, OH 453569110	NONE	PC	PROJECT/PROGRAM SUPPORT	15,000
PIQUA FIRE DEPARTMENT 229 W WATER ST PIQUA, OH 45356	NONE	GOV	PROJECT/PROGRAM SUPPORT	5,000
Total . . . . ▶ **3a**				172,500

TY 2019 Accounting Fees Schedule

Name: THE NIELS A LUNDGARD AND RUTH LUNDGARD

EIN: 31-6678858

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION FEE (NON-ALLOC	1,000	500		500

TY 2019 Other Decreases Schedule

Name: THE NIELS A LUNDGARD AND RUTH LUNDGARD

EIN: 31-6678858

Description	Amount
RETURN OF CAPITAL ADJUSTMENT	1,156

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491168011040

TY 2019 Other Expenses Schedule

Name: THE NIELS A LUNDGARD AND RUTH LUNDGARD

EIN: 31-6678858

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK SERVICE FEES	14,315	14,315		0
OTHER MISC EXPENSES	200	0		200
BANK SERVICE FEES	8,678	8,678		0

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491168011040

TY 2019 Other Increases Schedule

Name: THE NIELS A LUNDGARD AND RUTH LUNDGARD

EIN: 31-6678858

Description	Amount
ROUNDING	2

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491168011040

TY 2019 Taxes Schedule

Name: THE NIELS A LUNDGARD AND RUTH LUNDGARD

EIN: 31-6678858

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PRIOR YEAR EXCISE TAX PAID	2,066	0		0
EXCISE TAX ESTIMATE	4,800	0		0
FOREIGN TAXES ON QUALIFIED FOR	3,404	3,404		0
FOREIGN TAXES ON NONQUALIFIED	1	1		0