

For calendar year 2019, or tax year beginning 01-01-2019, and ending 12-31-2019

Name of foundation VIRGINIA W KETTERING FOUNDATION XXXXX3003		A Employer identification number 31-6570701	
Number and street (or P.O. box number if mail is not delivered to street address) 10 S DEARBORN IL1-0111		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code CHICAGO, IL 60603		B Telephone number (see instructions) (800) 496-2583	
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here..... 2. Foreign organizations meeting the 85% test, check here and attach computation ...	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶\$ 28,910,131		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here	
J Accounting method: <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities . . .	609,378	608,526		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	1,125,397			
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2) . . .		1,125,397		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)		-2,960		
	12 Total. Add lines 1 through 11	1,734,775	1,730,963		
	13 Compensation of officers, directors, trustees, etc.	128,892	75,389		53,503
	14 Other employee salaries and wages		0	0	0
	15 Pension plans, employee benefits		0	0	
	16a Legal fees (attach schedule)				0
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)	49,391			49,391
	17 Interest				0
	18 Taxes (attach schedule) (see instructions)	53,431	12,631		0
	19 Depreciation (attach schedule) and depletion	0	0		
	20 Occupancy				
	21 Travel, conferences, and meetings		0	0	
	22 Printing and publications		0	0	
	23 Other expenses (attach schedule)	200			200
	24 Total operating and administrative expenses. Add lines 13 through 23	231,914	88,020	0	103,094
	25 Contributions, gifts, grants paid	1,330,548			1,330,548
	26 Total expenses and disbursements. Add lines 24 and 25	1,562,462	88,020	0	1,433,642
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	172,313			
	b Net investment income (if negative, enter -0-)		1,642,943		
c Adjusted net income (if negative, enter -0-)				0	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	189,801	247,154	247,154
	2 Savings and temporary cash investments	156,522	140,785	140,785
	3 Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____		0	0
	4 Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____ 0			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	12,457,022	12,036,908	15,998,030
	c Investments—corporate bonds (attach schedule)	7,141,284	7,715,876	7,890,355
	11 Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	3,020,327	3,002,080	4,633,807
	14 Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	22,964,956	23,142,803	28,910,131	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds	22,964,956	23,142,803	
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
	29 Total net assets or fund balances (see instructions)	22,964,956	23,142,803	
30 Total liabilities and net assets/fund balances (see instructions) .	22,964,956	23,142,803		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	22,964,956
2 Enter amount from Part I, line 27a	2	172,313
3 Other increases not included in line 2 (itemize) ▶ _____	3	39,075
4 Add lines 1, 2, and 3	4	23,176,344
5 Decreases not included in line 2 (itemize) ▶ _____	5	33,541
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	6	23,142,803

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	1,125,397
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 }	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?



Yes



No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	1,418,575	27,529,064	0.05153
2017	1,368,606	27,392,620	0.049963
2016	1,377,797	25,884,711	0.053228
2015	1,334,328	27,233,567	0.048996
2014	1,412,720	27,914,422	0.050609

2 Total of line 1, column (d)	2	0.254326
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	0.050865
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	27,333,674
5 Multiply line 4 by line 3	5	1,390,327
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	16,429
7 Add lines 5 and 6	7	1,406,756
8 Enter qualifying distributions from Part XII, line 4	8	1,433,642

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	16,429
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	16,429
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	16,429
6	Credits/Payments:		
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a	33,292
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	0
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	33,292
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached.	8	0
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ▶	10	16,863
11	Enter the amount of line 10 to be: Credited to 2020 estimated tax ▶ 16,863 Refunded ▶	11	0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		No
c Did the foundation file Form 1120-POL for this year?		No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ _____ (2) On foundation managers. ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		No
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	Yes	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	Yes	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ OH _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>		No
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i>		No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <u>N/A</u>	13	Yes	
14	The books are in care of ▶ <u>JP MORGAN CHASE BANK NA</u> Telephone no. ▶ <u>(800) 496-2583</u>			

Located at ▶ 10 S DEARBORN IL1-0111 CHICAGO IL ZIP+4 ▶ 60603

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here ▶ <input type="checkbox"/>			
	and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly):		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		No
	Organizations relying on a current notice regarding disaster assistance check here. ▶ <input type="checkbox"/>			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019.)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to:		Yes	No
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions		5b	
	Organizations relying on a current notice regarding disaster assistance check here.	<input type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input type="checkbox"/> No		
	<i>If "Yes," attach the statement required by Regulations section 53.4945–5(d).</i>			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	6b	No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			
	<i>If "Yes" to 6b, file Form 8870.</i>			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		7b	
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?			
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JPMORGAN CHASE BANK NA 10 S DEARBORN IL1-0111 CHICAGO, IL 60603	TRUSTEE 2	128,892		
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. ►		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

1	Expenses

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ►	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	27,257,054
b	Average of monthly cash balances.	1b	492,869
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	27,749,923
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	27,749,923
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	416,249
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	27,333,674
6	Minimum investment return. Enter 5% of line 5.	6	1,366,684

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	1,366,684
2a	Tax on investment income for 2019 from Part VI, line 5.	2a	16,429
b	Income tax for 2019. (This does not include the tax from Part VI.).	2b	
c	Add lines 2a and 2b.	2c	16,429
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	1,350,255
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	1,350,255
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	1,350,255

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	1,433,642
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,433,642
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	16,429
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	1,417,213

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				1,350,255
2 Undistributed income, if any, as of the end of 2019:				
a Enter amount for 2018 only.			0	
b Total for prior years: 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2019:				
a From 2014. 27,927				
b From 2015. 0				
c From 2016. 120,921				
d From 2017. 36,213				
e From 2018. 70,306				
f Total of lines 3a through e.	255,367			
4 Qualifying distributions for 2019 from Part XII, line 4: ► \$ 1,433,642				
a Applied to 2018, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2019 distributable amount.				1,350,255
e Remaining amount distributed out of corpus	83,387			
5 Excess distributions carryover applied to 2019. (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	338,754			
b Prior years' undistributed income. Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions.		0		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions.			0	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions).	27,927			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a.	310,827			
10 Analysis of line 9:				
a Excess from 2015. 0				
b Excess from 2016. 120,921				
c Excess from 2017. 36,213				
d Excess from 2018. 70,306				
e Excess from 2019. 83,387				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

Part XV

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

KETTERING FAMILY PHILANTHROPIES
40 N MAIN ST 1480
DAYTON, OH 45423
(937) 228-1021
info@ketteringfamilyphilanthropies.org

b The form in which applications should be submitted and information and materials they should include:

access the wesbsite at: www.ketteringfamilyphilanthropies.org

c Any submission deadlines:

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

NONE

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> See Additional Data Table				
Total			▶ 3a	1,330,548
b <i>Approved for future payment</i>				
Total			▶ 3b	

Enter gross amounts unless otherwise indicated.

[illegible]

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | | | |
|---|--|--------------|------------|-----------|
| 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | Yes | No |
| a Transfers from the reporting foundation to a noncharitable exempt organization of: | | | | |
| (1) Cash. | | 1a(1) | | No |
| (2) Other assets. | | 1a(2) | | No |
| b Other transactions: | | | | |
| (1) Sales of assets to a noncharitable exempt organization. | | 1b(1) | | No |
| (2) Purchases of assets from a noncharitable exempt organization. | | 1b(2) | | No |
| (3) Rental of facilities, equipment, or other assets. | | 1b(3) | | No |
| (4) Reimbursement arrangements. | | 1b(4) | | No |
| (5) Loans or loan guarantees. | | 1b(5) | | No |
| (6) Performance of services or membership or fundraising solicitations. | | 1b(6) | | No |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees. | | 1c | | No |
| d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

2020-10-14

Signature of officer or trustee

Date _____

Title

May the IRS discuss this return with the preparer shown below

(see instr.) ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed <input type="checkbox"/>	PTIN
	JACOB ZEHNDER		2020-10-14		P01564049
	Firm's name ▶ ERNST & YOUNG US LLP				Firm's EIN ▶ 34-6565596
	Firm's address ▶ 155 N WACKER DRIVE CHICAGO, IL 60606				Phone no. (312) 879-2000

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
37742.676 DODGE & COX INCOME FUND		2016-06-01	2019-02-04
25219.311 JH II STRAT INC OPPORT-R6		2016-03-24	2019-02-04
3188. VANGUARD BD INDEX FD INC		2018-12-18	2019-02-04
18028.003 MFS EMERG MRKTS DEBT-R5		2018-11-29	2019-02-14
25780.314 FIDELITY ADV HI INC ADV-Z		2008-01-23	2019-03-01
24143.986 AMG MG PICTET INTL-Z		2018-01-02	2019-04-01
20762.601 DODGE & INTERNATIONAL STOCK FUND			2019-04-01
25005.953 FMI LARGE CAP FUND-INST		2008-09-26	2019-04-01
18683.096 JPM US L/C CORE PL FD - USD - R6 ISIN US48129C6030		2007-06-06	2019-04-01
1620. SPDR TR UNIT SER 1			2019-04-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
507,639		514,055	-6,416
258,498		263,084	-4,586
250,890		250,062	828
255,998		244,640	11,358
268,115		234,062	34,053
223,332		275,000	-51,668
853,966		747,328	106,638
480,364		352,670	127,694
510,235		396,152	114,083
463,018		359,689	103,329

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-6,416
			-4,586
			828
			11,358
			34,053
			-51,668
			106,638
			127,694
			114,083
			103,329

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
24925.387 JPM GL RES ENH IDX FD - USD - R6 ISIN US48129C2070		2016-05-27	2019-04-12
4935. ISHARES TR BARCLAYS MBS BD FD		2019-02-04	2019-09-25
3353. VANGUARD BD INDEX FD INC		2019-03-01	2019-09-25
1. WORLDCOM INC SR NOTES 7 3/4% APR 1 2027		2010-01-01	2019-11-15
2525. ISHARES MISC1 EAFE INDEX FUND		2014-05-09	2019-11-22
425. SPDR TR UNIT SER 1		2016-07-18	2019-11-22
1. GOLDENTREE OFFSHORE FUND, LTD. (NON-SELF DEALING FIDUCIARY		2018-01-01	2019-12-31
1. CCMP III PRIVATE INVESTORS OFFSHORE, L.P. - CLASS A -		2018-01-01	2019-12-31
1. JANA OFFSHORE PARTNERS, LTD. CLASS A - NEW ISSUES INELIGIBLE		2018-01-01	2019-12-31
1. STARWOOD SOF IX PRIVATE INVESTORS OFFSHORE L.P. CLASS A - NON-SELF		2018-01-01	2019-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
528,917		451,648	77,269
533,088		517,398	15,690
270,404		264,148	6,256
12			12
171,450		172,293	-843
132,109		92,003	40,106
966,256		439,092	527,164
105,171		105,894	-723
471,643		500,000	-28,357
37,810		895	36,915

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			77,269
			15,690
			6,256
			12
			-843
			40,106
			527,164
			-723
			-28,357
			36,915

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1. SILVER LAKE PARTNERS IV (SLP IV) PRIVATE INVESTORS, L.P. (OFFSHORE)		2018-01-01	2019-12-31
1. THE WINTON FUND LIMITED TRANCHE B - NEW ISSUES INELIGIBLE NON-SELF		2018-01-01	2019-12-31
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
192,594		196,985	-4,391
249,964		232,947	17,017
			3,969

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-4,391
			17,017

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ST VINCENT DE PAUL SOCIAL SERVICES INC 1133 S EDWIN C MOSES BLVD STE 308 DAYTON, OH 45417	NONE	PC	GENERAL	60,000
PREVENT BLINDNESS OHIO 4130 LINDEN AVE 340 DAYTON, OH 45402	NONE	PC	GENERAL	12,000
DAYTON HISTORY1000 CARILLON BLVD DAYTON, OH 45409	NONE	PC	GENERAL	25,000
Total ▶ 3a				1,330,548

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
EQUITAS HEALTH FOR PROTECTION OUR YOUTH PROGRAM15 W 4TH ST SUITE 200 DAYTON, OH 45402	NONE	PC	GENERAL	30,000
SINCLAIR COMMUNITY COLLEGE 7301 SHULL ROAD HUBER HEIGHTS, OH 45424	NONE	PC	GENERAL	10,000
HUMAN RACE THEATRE COMPANY 126 NORTH MAIN STREET STE 300 DAYTON, OH 454021766	NONE	PC	GENERAL	25,000
Total ▶ 3a				1,330,548

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
LIFE ESSENTIALS 123 RIVERSIDE DR 100 DAYTON, OH 91381	NONE	PC	GENERAL	10,000
DOWNTOWN DAYTON 10 W SECOND STREET STE 611 DAYTON, OH 45402	NONE	PC	GENERAL	17,000
COX ARBORETUM FOUNDATION 6733 SPRINGBORO PIKE DAYTON, OH 45449	NONE	PC	GENERAL	15,000
Total ▶ 3a				1,330,548

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
GLEN HELEN ASSOCIATION 405 CORRY ST YELLOW SPRINGS, OH 45387	NONE	PC	GENERAL	12,000
MUSCULAR DYSTROPHY ASSOCIATION 1080 NIMITZVIEW DRIVE 208 CINCINNATI, OH 45230	NONE	PC	GENERAL	4,000
AFFILIATE SOCIETIES COUNCIL 4801 SPRINGFIELD ST STE 2-23 DAYTON, OH 45431	NONE	PC	GENERAL	5,000
Total ▶ 3a				1,330,548

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
BIG BROTHERS BIG SISTERS 2211 ARBOR BLVD MORaine, OH 45439	NONE	PC	GENERAL	25,000
ARTEMIS CENTERALTERNATIVES TO DOMESTIC VIOLENCE 310 WEST MONUMENT AVE DAYTON, OH 45402	NONE	PC	GENERAL	30,000
GIRL SCOUTS OF WESTERN OHIO 450 SHOUP MILL ROAD DAYTON, OH 45415	NONE	PC	GENERAL	15,000
Total ▶ 3a				1,330,548

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
DAYTON AREA LEAGUE OF WOMEN VOTERS 131 N LUDLOW ST TALBOTT TOWER DAYTON, OH 45402	NONE	PC	GENERAL	7,000
DAYTON CONTEMPORARY DANCE CO 840 GERMANTOWN STREET DAYTON, OH 45402	NONE	PC	GENERAL	55,000
BLACK BROTHERS INVOLVEMENT BLACK SISTERS INVOLVEMENT 513 FREDERICKSBURG DR Dayton, OH 45415	NONE	PC	GENERAL	15,000
Total ▶ 3a				1,330,548

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FAMILY VIOLENCE PREVENTION 380 BELLBROOK AVENUE XENIA, OH 45385	NONE	PC	GENERAL	20,000
CRAYONS TO CLASSROOMS 1750 WOODMAN DRIVE DAYTON, OH 45420	NONE	PC	GENERAL	10,000
ARTHUR MORGAN INSTITUTE FOR COMMUNITY SOLUTIONS PO BOX 243 YELLOW SPRINGS, OH 45387	NONE	PC	GENERAL	25,000
Total ▶ 3a				1,330,548

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
YMCA OF GREATER DAYTON 118 W FIRST ST STE 300 DAYTON, OH 45402	NONE	PC	GENERAL	30,000
SENIOR RESOURCE CONNECTION 222 SALEM AVENUE DAYTON, OH 45406	NONE	PC	GENERAL	5,000
WOMEN HELPING WOMEN 6 SOUTH 2ND STREET SUITE 828 HAMILTON, OH 45011	NONE	PC	GENERAL	10,000
Total ▶ 3a				1,330,548

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
RONALD MCDONALD HOUSE 555 VALLEY ST DAYTON, OH 45404	NONE	PC	GENERAL	10,000
FRIENDS OF AULLWOOD INC 1000 AULLWOOD ROAD DAYTON, OH 45414	NONE	PC	GENERAL	15,000
ST MARY DAYTON FOOD PANTRY 310 ALLEN ST DAYTON, OH 45410	NONE	PC	GENERAL	3,000
Total ▶ 3a				1,330,548

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MIAMI VALLEY FAIR HOUSING CENTER INC 505 RIVERSIDE DR DAYTON, OH 450454619	NONE	PC	GENERAL	15,000
AIM INC945 DANBURY ROAD DAYTON, OH 45420	NONE	PC	GENERAL	10,000
JUNIOR ACHIEVEMENT OF OKI 644 LINN STREET SUITE 1024 Cincinnati, OH 45203	NONE	PC	GENERAL	10,000
Total ▶ 3a				1,330,548

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
WE CARE ARTS3035 WILMINGTON PIKE KETTERING, OH 45429	NONE	PC	GENERAL	25,000
GOOD NEIGHBOR HOUSE 844 SOUTH PATTERSON BLVD DAYTON, OH 45402	NONE	PC	GENERAL	30,000
GREATER DAYTON PUBLIC TELEVISION 110 S JEFFERSON ST DAYTON, OH 45402	NONE	PC	GENERAL	20,000
Total ▶ 3a				1,330,548

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
PHILANTHROPY OHIO 37 WEST BROAD STREET COLUMBUS, OH 43215	NONE	PC	GENERAL	2,348
DAYTON PERFORMING ARTS ALLIANCE 126 N MAIN ST STE 210 DAYTON, OH 45402	NONE	PC	GENERAL	185,000
MONTGOMERY COUNTY JUVENILE COURT 380 W SECOND STREET DAYTON, OH 45402	NONE	PC	GENERAL	30,000
Total ▶ 3a				1,330,548

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BACH SOCIETY OF DAYTON 126 N MAIN ST 210 DAYTON, OH 45402	NONE	PC	GENERAL	7,500
DAYTON FOUNDATION 40 N MAIN ST 500 DAYTON, OH 45423	NONE	PC	GENERAL	3,500
FOODBANK INC56 ARMOR PL Dayton, OH 45417	NONE	PC	GENERAL	35,000
Total ▶ 3a				1,330,548

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
VICTORIA THEATRE 138 NORTH MAIN STREET DAYTON, OH 45402	NONE	PC	GENERAL	50,000
THE DAYTON METRO LIBRARY FOUNDATION 215 E THIRD ST DAYTON, OH 45402	NONE	PC	GENERAL	15,000
PLANNED PARENTHOOD SOUTHWEST OHIO 2314 AUBURN AVENUE CINCINNATI, OH 45219	NONE	PC	GENERAL	40,000
Total ▶ 3a				1,330,548

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
KIDS IN NEW DIRECTION 425 N FINDLEY STREET DAYTON, OH 45403	NONE	PC	GENERAL	15,000
K-12 GALLERY510 EAST 3RD STREET DAYTON, OH 45402	NONE	PC	GENERAL	15,000
DAYTON CHILDRENS HOSPITAL 1 CHILDRENS PLAZA DAYTON, OH 45404	NONE	PC	GENERAL	25,000
Total ▶ 3a				1,330,548

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BRIGIDS PATH FOR DELIVERING HOPE 3601 S DIXIE DRIVE KETTERING, OH 45439	NONE	PC	GENERAL	25,000
DAYTON ART INSTITUTE 456 BELMONTE PARK NORTH DAYTON, OH 45405	NONE	PC	GENERAL	75,000
DAYBREAK 605 SOUTH PATTERSON BLVD DAYTON, OH 45402	NONE	PC	GENERAL	30,000
Total ▶ 3a				1,330,548

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CLOTHES THAT WORK 1133 S EDWIN C MOSES BLVD 392 DAYTON, OH 45417	NONE	PC	GENERAL	32,200
HANNAHS TREASURE CHEST 124 WESTPARK RD DAYTON, OH 45459	NONE	PC	GENERAL	10,000
YWCA DAYTON141 W 3RD ST DAYTON, OH 45402	NONE	PC	GENERAL	40,000
Total ▶ 3a				1,330,548

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MUSE MACHINE 126 N MAIN STREET STE 130 DAYTON, OH 45402	NONE	PC	GENERAL	25,000
BRUNNER LITERACY4825 SALEM AVE DAYTON, OH 45416	NONE	PC	GENERAL	10,000
DAYTON SCORE 200 W 2ND STREET STE 104 DAYTON, OH 45402	NONE	PC	GENERAL	10,000
Total ▶ 3a				1,330,548

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
WESLEY COMMUNITY CENTER 1300 SOUTH 10TH STREET PHOENIX, AZ 85034	NONE	PC	GENERAL	15,000
ON-THE-RISE CLARK COUNTY 341 BROADWAY CAMBRIDGE, MA 02139	NONE	PC	GENERAL	5,000
WESTCARE OHIO INC 1711 WHITNEY MESA DRIVE HENDERSON, NV 890142080	NONE	PC	GENERAL	20,000
Total ▶ 3a				1,330,548

TY 2019 Explanation of Non-Filing with Attorney General Statement

Name: VIRGINIA W KETTERING FOUNDATION XXXXX3003

EIN: 31-6570701

Statement:

The Ohio Attorney General requires that charitable organizations operating or organized within the State submit an annual registration through an online portal that highlights key information from the Form 990-PF for public disclosure purposes. The Trust completes this registration as required in lieu of furnishing a copy of its Form 990-PF to comply with the specific reporting instructions of the State of Ohio.

TY 2019 Investments Corporate Bonds Schedule**Name:** VIRGINIA W KETTERING FOUNDATION XXXXX3003**EIN:** 31-6570701**Investments Corporate Bonds Schedule**

Name of Bond	End of Year Book Value	End of Year Fair Market Value
256210105 DODGE & COX INCOME F		
258620103 DOUBLELINE TTL RTRN	531,110	523,900
315807875 FIDELITY ADV HIGH IN		
41015K516 JH II STRAT INC OPPO		
92203J308 VANGUARD TOTAL INTL	1,768,925	1,815,733
94987W794 WELLS FARGO CORE BON	2,728,269	2,804,756
464287176 ISHARES TIPS BOND ET	267,052	278,019
552746364 MFS EMERGING MKTS DE		
693390601 PIMCO SHORT-TERM FD-	275,025	271,403
722005816 PIMCO INVESTMENT GRA	540,000	586,163
921937827 VANGUARD SHORT-TERM		
83002G108 SIX CIRCLES ULTRA SH	525,000	523,951
464287432 ISHARES 20+ YEAR TRE	547,537	524,308
464287440 ISHARES 7-10 YEAR TR	532,958	562,122

TY 2019 Investments Corporate Stock Schedule**Name:** VIRGINIA W KETTERING FOUNDATION XXXXX3003**EIN:** 31-6570701**Investments Corporation Stock Schedule**

Name of Stock	End of Year Book Value	End of Year Fair Market Value
256206103 DODGE & COX INTL STO		
302933502 FMI LARGE CAP FUND-I		
464287465 ISHARES MSCI EAFE ET	2,593,477	2,781,072
78462F103 SPDR S&P 500 ETF TRU	2,242,601	4,990,439
48129C207 JPMORGAN GL RES ENH		
48129C603 JPMORGAN US L/C CORE		
315911750 FIDELITY 500 INDEX F	3,035,000	3,706,993
00171C403 AMG MG PICTET INTL-Z		
46641Q696 JPMORGAN BETABUILDER	543,848	579,789
46641Q712 JPMORGAN BETABUILDER	486,982	506,334
83002G306 SIX CIRCLES U.S. UNC	2,085,000	2,333,984
83002G405 SIX CIRCLES INTERNAT	1,050,000	1,099,419

TY 2019 Investments - Other Schedule**Name:** VIRGINIA W KETTERING FOUNDATION XXXXX3003**EIN:** 31-6570701**Investments Other Schedule 2**

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
190747907 COATUE OFFSHORE FUND	AT COST	154,826	444,345
382891968 GOLDENTREE OFFSHORE			
386999973 GOLDENTREE OFFSHORE			
389001942 GRE II PRIVATE INVES	AT COST	699,109	1,123,338
576814925 CCMP III PRIVATE INV	AT COST	304,976	444,679
656143914 JANA OFFSHORE PARTNE			
811711969 STARWOOD SOF IX PRIV	AT COST		170,373
827994922 SILVER LAKE PARTNERS	AT COST	172,675	641,118
G82981997 GOLDENTREE OFFSHORE	AT COST	22,493	129,681
G82982920 GOLDENTREE OFFSHORE			
976491910 THE WINTON FUND LIMI	AT COST	267,053	280,757
238568919 RIDGE SELECT; PRIVAT	AT COST	270,000	265,390
34F4K2934 FORT GLOBAL OFFSHORE	AT COST	270,000	270,000
387999915 GOLDENTREE OFFSHORE	AT COST	19,688	43,067
HEGTRAAA8 GOLDENTREE OFFSHORE	AT COST	11,260	6,029
HEVARAAD6 VARDE CREDIT PARTNER	AT COST	270,000	270,360
P31A17917 POLAR LONG/SHORT FUN	AT COST	270,000	274,670
T72C41919 TACONIC OPPORTUNITY	AT COST	270,000	270,000

TY 2019 Other Decreases Schedule

Name: VIRGINIA W KETTERING FOUNDATION XXXXX3003

EIN: 31-6570701

Description	Amount
2019 TRANSACTIONS POSTED IN 2020	33,541

TY 2019 Other Expenses Schedule**Name:** VIRGINIA W KETTERING FOUNDATION XXXXX3003**EIN:** 31-6570701**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ATTORNEY GENERAL FEE	200	0		200

TY 2019 Other Income Schedule

Name: VIRGINIA W KETTERING FOUNDATION XXXXX3003

EIN: 31-6570701

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
K1 INCOME - GRE II PRIVATE INVESTOR		-2,960	

TY 2019 Other Increases Schedule**Name:** VIRGINIA W KETTERING FOUNDATION XXXXX3003**EIN:** 31-6570701

Description	Amount
2018 TRANSACTIONS POSTED IN 2019	39,073
ROUNDING	2

TY 2019 Other Professional Fees Schedule**Name:** VIRGINIA W KETTERING FOUNDATION XXXXX3003**EIN:** 31-6570701

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OTHER PROFESSIONAL FEE	49,391			49,391

TY 2019 Taxes Schedule**Name:** VIRGINIA W KETTERING FOUNDATION XXXXX3003**EIN:** 31-6570701

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL TAX PAYMENT - PRIOR YE	21,600	0		0
FEDERAL ESTIMATES - INCOME	19,200	0		0
FOREIGN TAXES ON QUALIFIED FOR	11,412	11,412		0
FOREIGN TAXES ON NONQUALIFIED	1,219	1,219		0