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Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.
Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No 1545-0052

2019

Open to Public Inspection

For calendar year 2019, or tax year beginning 01-01-2019, and ending 12-31-2019

Name of foundation
ROBERT &ELIZABETH FERGUS FOUNDATION
C/O ELIZABETH FERGUS

Number and street (or P O box number if mail is not delivered to street address)
POST OFFICE BOX 1515

City or town, state or province, country, and ZIP or foreign postal code
ASPEN, CO 81612

G Check all that apply

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☐ Amended return

☐ Address change

☐ Name change

H Check type of organization

☒ Section 501(c)(3) exempt private foundation

☐ Section 4947(a)(1) nonexempt charitable trust

☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 2,902,810

J Accounting method

☒ Cash

☐ Accrual

☐ Other (specify) (Part I, column (d) must be on cash basis)

A Employer identification number
31-6087932

B Telephone number (see instructions)
(970) 925-7716

C If exemption application is pending, check here

D 1. Foreign organizations, check here

D 2 Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

Revenue

Operating and Administrative Expenses

1 Contributions, gifts, grants, etc , received (attach schedule)

2 Check If the foundation is not required to attach Sch B

3 Interest on savings and temporary cash investments

4 Dividends and interest from securities

5a Gross rents

b Net rental income or (loss)

6a Net gain or (loss) from sale of assets not on line 10

b Gross sales price for all assets on line 6a

7 Capital gain net income (from Part IV, line 2)

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit or (loss) (attach schedule)

11 Other income (attach schedule)

12 Total. Add lines 1 through 11

13 Compensation of officers, directors, trustees, etc

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees (attach schedule)

b Accounting fees (attach schedule)

c Other professional fees (attach schedule)

17 Interest

18 Taxes (attach schedule) (see instructions)

19 Depreciation (attach schedule) and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses (attach schedule)

24 Total operating and administrative expenses. Add lines 13 through 23

25 Contributions, gifts, grants paid

26 Total expenses and disbursements. Add lines 24 and 25

27 Subtract line 26 from line 12

a Excess of revenue over expenses and disbursements

b Net investment income (if negative, enter -0-)

c Adjusted net income (if negative, enter -0-)

For Paperwork Reduction Act Notice, see instructions.

Cat No 11289X

Form 990-PF (2019)

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	92,196	102,958	102,958
	3	Accounts receivable ▶ <u>1,680</u>			
		Less allowance for doubtful accounts ▶ _____	1,072	1,680	1,680
	4	Pledges receivable ▶ _____			
		Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____			
		Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	2,429,939	1,686,447	1,686,447
	c	Investments—corporate bonds (attach schedule)		1,111,725	1,111,725
	11	Investments—land, buildings, and equipment basis ▶ _____			
	Less accumulated depreciation (attach schedule) ▶ _____				
12	Investments—mortgage loans				
13	Investments—other (attach schedule)				
14	Land, buildings, and equipment basis ▶ _____				
	Less accumulated depreciation (attach schedule) ▶ _____				
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	2,523,207	2,902,810	2,902,810	
Liabilities	17	Accounts payable and accrued expenses	450		
	18	Grants payable			
	19	Deferred revenue		122,148	
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	450	122,148	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 24, 25, 29 and 30.				
	24	Net assets without donor restrictions			
	25	Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 26 through 30.				
	26	Capital stock, trust principal, or current funds	2,522,757	2,780,662	
	27	Paid-in or capital surplus, or land, bldg , and equipment fund			
	28	Retained earnings, accumulated income, endowment, or other funds			
	29	Total net assets or fund balances (see instructions)	2,522,757	2,780,662	
30	Total liabilities and net assets/fund balances (see instructions) .	2,523,207	2,902,810		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	2,522,757
2	Enter amount from Part I, line 27a	2	263,924
3	Other increases not included in line 2 (itemize) ▶ _____	3	639
4	Add lines 1, 2, and 3	4	2,787,320
5	Decreases not included in line 2 (itemize) ▶ _____	5	6,658
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	6	2,780,662

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a ST PUBLICLY TRADED SECURITIES	P		
b LT PUBLICLY TRADED SECURITIES	P		
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 863,987		875,411	-11,424
b 2,476,668		2,114,553	362,115
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			-11,424
b			362,115
c			
d			
e			

2 Capital gain net income or (net capital loss)	2	366,410
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2018	144,556	2,885,076	0 050105
2017	132,200	2,843,159	0 046498
2016	130,094	2,657,454	0 048954
2015	139,629	2,780,022	0 050226
2014	130,681	2,838,537	0 046038

2 Total of line 1, column (d)	2	0 241821
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	0 048364
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	2,813,781
5 Multiply line 4 by line 3	5	136,086
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	4,260
7 Add lines 5 and 6	7	140,346
8 Enter qualifying distributions from Part XII, line 4	8	162,113

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	4,260
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	4,260
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	4,260
6	Credits/Payments		
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a	2,000
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	2,000
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶	9	2,260
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ▶	10	
11	Enter the amount of line 10 to be Credited to 2020 estimated tax ▶ Refunded ▶	11	

Part VII-A Statements Regarding Activities

		Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	No
c	Did the foundation file Form 1120-POL for this year?	1c	No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ _____ (2) On foundation managers ▶ \$ _____		
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____		
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CO _____		
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	8b	Yes
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV <i>If "Yes," complete Part XIV</i>	9	No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions.	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	13	Yes	
14	The books are in care of ▶ JAMES DAGGS & ASSOCPC Telephone no ▶ (970) 925-4290			

Located at **▶** 350 MARKET STREET STE 311 BASALT CO ZIP+4 **▶** 81621

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here ▶ <input type="checkbox"/>	15		
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance check here. ▶ <input type="checkbox"/>	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019? If "Yes," list the years ▶ 20____, 20____, 20____, 20____ <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to		Yes	No
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.		5b	
	Organizations relying on a current notice regarding disaster assistance check here.	<input type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d)	<input type="checkbox"/> Yes <input type="checkbox"/> No		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	6b	No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	7b	
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?			
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ELIZABETH FERGUS PO BOX 1515 ASPEN, CO 81612	CO-TRUSTEE 4 00	0	0	0
CATHERINE GARBER 2201 BYRON ST PALO ALTO, CA 94301	CO-TRUSTEE 2 00	0	0	0
SYLVIA FERGUS 2052 N LINCOLN PARK WEST APT 301 CHICAGO, IL 60614	CO-TRUSTEE 2 00	0	0	0
CORWIN FERGUS 38 LEWISIA RD WINTHROP, WA 98862	CO-TRUSTEE 2 00	0	0	0
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3

Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	2,550,052
b	Average of monthly cash balances.	1b	306,578
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	2,856,630
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	2,856,630
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	42,849
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,813,781
6	Minimum investment return. Enter 5% of line 5.	6	140,689

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	140,689
2a	Tax on investment income for 2019 from Part VI, line 5.	2a	4,260
b	Income tax for 2019 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	4,260
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	136,429
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	136,429
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	136,429

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	162,113
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	162,113
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	4,260
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	157,853

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				136,429
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only.			128,056	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2019				
a From 2014.				
b From 2015.				
c From 2016.				
d From 2017.				
e From 2018.				
f Total of lines 3a through e.				
4 Qualifying distributions for 2019 from Part XII, line 4 ▶ \$ <u>162,113</u>				
a Applied to 2018, but not more than line 2a			128,056	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2019 distributable amount.				34,057
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2018 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2019 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2020				102,372
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions).				
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2015.				
b Excess from 2016.				
c Excess from 2017.				
d Excess from 2018.				
e Excess from 2019.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. ▶					
b Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)					
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:	
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))	
b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest NONE	
2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:	
Check here ▶ <input type="checkbox"/> if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.	
a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed ELIZABETH FERGUS P O BOX 1515 ASPEN, CO 81612 (970) 925-7716	
b The form in which applications should be submitted and information and materials they should include WRITTEN REQUEST REQUIRED - NO SPECIAL FORMAT	
c Any submission deadlines NONE	
d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors NONE	

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> See Additional Data Table				
Total			▶ 3a	161,000
b <i>Approved for future payment</i>				
Total			▶ 3b	

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2019)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.			

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here	*****	2020-04-11	*****	May the IRS discuss this return with the preparer shown below? (see instr.) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
	Signature of officer or trustee	Date	Title	

Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed <input type="checkbox"/>	PTIN
	JAMES K DAGGS		2020-04-14		P00924527
	Firm's name ▶ JAMES DAGGS & ASSOCIATES PC				Firm's EIN ▶ 84-0830571
Firm's address ▶ 350 MARKET STREET 311 BASALT, CO 81621					Phone no (970) 925-4290

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
UNIVERSITY OF COLORADO FOUNDATION MS A065 13001 E 17TH PL AURORA, CO 80045	NONE	GOV'T	SCHOLARSHIPS	10,000
ASPEN PUBLIC RADIO110 E HALLAM ST ASPEN, CO 81611	NONE	501C(3)	UNRESTRICTED	500
ASPEN VALLEY LAND TRUST 320 MAIN ST CARBONDALE, CO 81623	NONE	501C(3)	UNRESTRICTED	2,750
Total ► 3a				161,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ROARING FORK OUTDOOR VOLUNTEERS POBOX 1341 BASALT, CO 81621	NONE	501C(3)	UNRESTRICTED	500
EARTH JUSTICE50 CALIFORNIA ST SAN FRANCISCO, CA 94111	NONE	501C(3)	LEGAL DEFENSE FUND	500
10TH MOUNTAIN DIVISION HUT ASSN 1280 UTE AVE ASPEN, CO 81611	NONE	501C(3)	UNRESTRICTED	500
Total ▶ 3a				161,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
OXFAM226 CAUSEWAY ST BOSTON, MA 02114	NONE	501C(3)	UNRESTRICTED	3,500
GREAT OLD BROADS FOR WILDERNESS PO BOX 2924 DURANGO, CO 81302	NONE	501 C(3)	UNRESTRICTED	500
DOCTORS WITHOUT BORDERS POBOX 5030 HAGERSTOWN, MD 217415030	NONE	501C(3)	UNRESTRICTED	5,000
Total ▶ 3a				161,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ASPEN VALLEY HOSPITAL FNDT 0401 CASTLE CREEK RD ASPEN, CO 81611	NONE	501C(3)	UNRESTRICTED	1,000
STEPHENS COLLEGE1200 E BROADWAY COLUMBIA, MO 65201	NONE	501C(3)	UNRESTRICTED	1,500
ROCKY MOUNTAIN INSTITUTE 1820 FOLSOM ST BOULDER, CO 80302	NONE	501C(3)	UNRESTRICTED	4,000
Total ▶ 3a				161,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ROCKY MOUNTAIN PBS 1089 BANNOCK ST DENVER, CO 80204	NONE	501C(3)	UNRESTRICTED	1,000
WILDERNESS WORKSHOPPOBOX 1442 CARBONDALE, CO 81623	NONE	501C(3)	UNRESTRICTED	2,500
SENIORS INDEPENDENT 0275 CASTLE CREEK RD ASPEN, CO 81611	NONE	501C(3)	UNRESTRICTED	500
Total ▶ 3a				161,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
PITKIN COUNTY LIBRARY120 N MILL ST ASPEN, CO 81611	NONE	501C(3)	UNRESTRICTED	1,000
TRUST FOR PUBLIC LAND 101 MONTGOMERY ST STE 800 SAN FRANCISCO, CA 94104	NONE	501 C(3)	UNRESTRICTED	1,000
SOUTHERN UTAH WILDERNESS ALLIANCE 425 E 100 SOUTH SALT LAKE CITY, UT 84111	NONE	501C(3)	UNRESTRICTED	500
Total ▶ 3a				161,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
WELLESLEY COLLEGE106 CENTRAL ST WELLESLEY, MA 02481	NONE	501C(3)	UNRESTRICTED	5,000
WELLESLEY COLLEGE FRIENDS OF ART 106 CENTRAL ST WELLESLEY, MA 02481	NONE	501C(3)	FRIENDS OF ART	5,000
UNIVERSITY OF CHICAGO WOMEN'S BOARD 5235 S HARPER CT 7TH FL CHICAGO, IL 60615	NONE	501C(3)	UNRESTRICTED	1,000
Total ▶ 3a				161,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
AMERICAN CIVIL LIBERTIES FNDT 125 BROAD ST 18TH FLOOR NEW YORK, NY 10004	NONE	501 C(3)	UNRESTRICTED	2,000
THE ART INSTITUTE OF CHICAGO 111 S MICHIGAN AVE CHICAGO, IL 60603	NONE	501 C(3)	UNRESTRICTED	3,000
BRAIN & BEHAVIOR RESEARCH FNDT 747 THIRD AVE 33RD FLOOR NEW YORK, NY 10017	NONE	501 C(3)	UNRESTRICTED	1,500
Total ▶ 3a				161,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
TEEN LIVING PROGRAMS 162 W HUBBARD ST STE4 CHICAGO, IL 60625	NONE	501 C(3)	UNRESTRICTED	500
WBEZ 915 FM - CHICAGO PUBLIC MEDIA 848 E GRAND AVE CHICAGO, IL 60611	NONE	501C(3)	UNRESTRICTED	2,000
SOUTHERN POVERTY LAW CENTER 400 WASHINGTON AVE MONTGOMERY, AL 36104	NONE	501 (C)3	UNRESTRICTED	1,000
Total ▶ 3a				161,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
STORYCATCHER'S THEATER 544 W OAK ST STE 1005 CHICAGO, IL 60610	NONE	501C(3)	UNRESTRICTED	500
EQUAL JUSTICE INITIATIVE 122 COMMERCE ST MONTGOMERY, AL 36104	NONE	501 C(3)	UNRESTRICTED	1,000
EVERYTOWN FOR GUN SAFETY SUPPORT PO BOX 3886 NEW YORK, NY 10017	NONE	501 C(3)	UNRESTRICTED	1,000
Total ▶ 3a				161,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
LITTLE TRAVERSE CONSERVANCY 3264 POWELL ST HARBOR SPRINGS, MI 49740	NONE	501C(3)	UNRESTRICTED	1,000
METROPOLITAN MUSEUM OF ART PO BOX 5253 NEW YORK, NY 102771998	NONE	501 C(3)	UNRESTRICTED	750
NAMI NAT'L ALLIANCE ON MENTAL ILLNE PO BOX 49104 BALTIMORE, MD 21297	NONE	501 C(3)	UNRESTRICTED	1,500
Total ▶ 3a				161,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
OPEN LANDS 25 E WASHINGTON ST 1650 CHICAGO, IL 60602	NONE	501C(3)	UNRESTRICTED	1,000
THE OUNCE OF PREVENTION FUND 33 W MONROE STE 2400 CHICAGO, IL 60603	NONE	501C(3)	UNRESTRICTED	500
PLANNED PARENTHOOD FED OF AMERICA PO BOX 97166 WASHINGTON, DC 200907166	NONE	501C(3)	UNRESTRICTED	3,000
Total ▶ 3a				161,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
PLANNED PARENTHOOD OF ILLINOIS 18 S MICHIGAN AVE 6TH FL CHICAGO, IL 60603	NONE	501C(3)	UNRESTRICTED	1,000
RAIIN - RAPE ABUSE & INCEST NAT'L 1220 L ST NW STE 505 WASHINGTON, DC 20005	NONE	501(C)3	UNRESTRICTED	1,000
SIERRA CLUB FOUNDATION 2101 WEBSTER ST STE 1300 OAKLAND, CA 94612	NONE	501 (C)3	UNRESTRICTED	2,000
Total ▶ 3a				161,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
WIKIMEDIA FOUNDATION 1 MONTGOMERY ST STE 1600 SAN FRANCISCO, CA 94194	NONE	501C(3)	UNRESTRICTED	500
WRITER'S THEATRE325 TUDOR CT GLENCOE, IL 60022	NONE	501 C(3)	UNRESTRICTED	500
PALO ALTO RECREATION FOUNDATION 1305 MIDDLEFIELD ROAD PALO ALTO, CA 94301	NONE	501C(3)	UNRESTRICTED	5,000
Total ▶ 3a				161,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THEATERWORKSBOX 50458 PALO ALTO, CA 94303	NONE	501C(3)	UNRESTRICTED	10,000
KQEDBOX 7618 SAN FRANCISCO, CA 94120	NONE	501C(3)	UNRESTRICTED	5,000
ENVIRONMENTAL VOLUNTEERS 2560 EMBARCADERO RD PALO ALTO, CA 94303	NONE	501 C(3)	UNRESTRICTED	2,500
Total ▶ 3a				161,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
PALO ALTO ARTS CENTER1313 NEWELL PALO ALTO, CA 94303	NONE	501C(3)	UNRESTRICTED	2,500
CANOPY3921 E BAYSHORE RD PALO ALTO, CA 94303	NONE	501C(3)	UNRESTRICTED	1,000
THE SCHOOL FUND455 COLERIDGE AVE PALO ALTO, CA 94301	NONE	501C(3)	UNRESTRICTED	1,000
Total ▶ 3a				161,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
HUMANS RIGHT'S WATCH 350 SANSOME ST 1000 SAN FRANCISCO, CA 94104	NONE	501C(3)	UNRESTRICTED	5,000
GLOBAL AIDS INTERFAITH ALLIANCE 2171 FRANCISCO BLVD E 1 SAN RAFAEL, CA 94901	NONE	501C(3)	UNRESTRICTED	2,500
MIDDLEBURY700 EXCHANGE ST MIDDLEBURY, VT 05763	NONE	501 C(3)	UNRESTRICTED	2,500
Total ▶ 3a				161,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CAL MATTERS1017 L ST 261 SACRAMENTO, CA 95814	NONE	501 C(3)	UNRESTRICTED	2,500
PRIMARY STAGES307 W 38TH ST NEW YORK, NY 10018	NONE	501C(3)	UNRESTRICTED	1,000
NRDC40 W 20TH ST NEW YORK, NY 10011	NONE	501C(3)	UNRESTRICTED	2,500
Total ▶ 3a				161,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
EDF257 PARK AVE S 17TH FL NEW YORK, NY 10010	NONE	501 C(3)	UNRESTRICTED	1,000
350ORG45 W 36TH ST NEW YORK, NY 10018	NONE	501 C(3)	UNRESTRICTED	5,000
HABITAT FOR HUMANITY 270 PEACHTREE ST NW ATLANTA, GA 30303	NONE	501 C(3)	UNRESTRICTED	2,500
Total ▶ 3a				161,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ALL STUDENTS MATTER 965 LINCOLN AVE PALO ALTO, CA 94301	NONE	501 C(3)	UNRESTRICTED	1,000
PLANNED PARENTHOOD 1605 THE ALAMEDA SAN JOSE, CA 95126	NONE	501 C(3)	UNRESTRICTED	250
CONSERVATION NORTHWEST 1829 10TH AVE W STE B BELLINGHAM, WA 98119	NONE	501C(3)	UNRESTRICTED	8,000
Total ▶ 3a				161,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MULTICULTURAL MUSIC FELLOWSHIP 2419 A 10TH ST BERKELEY, CA 94710	NONE	501C(3)	UNRESTRICTED	3,000
WHATCOM FILM ASSOCIATION BOX 2521 BELLINGHAM, WA 98227	NONE	501C(3)	UNRESTRICTED	5,000
SKAGIT LAND TRUSTBOX 1017 MOUNT VERNON, WA 98273	NONE	501C(3)	UNRESTRICTED	2,000
Total ▶ 3a				161,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
KULSHAN COMMUNITY LAND TRUST 1303 COMMERCIAL ST STE 6 BELLINGHAM, WA 98225	NONE	501C(3)	UNRESTRICTED	2,000
NORTH CASCADES INSTITUTE 810 STATE RT 20 SEDRO WOOLLEY, WA 98284	NONE	501C(3)	UNRESTRICTED	2,000
METHOW CONSERVANCYBOX 71 WINTHROP, WA 98862	NONE	501C(3)	UNRESTRICTED	2,000
Total ▶ 3a				161,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
EARTH ISLAND INSTITUTE 2150 ALLSTON WAY STE 460 BERKELEY, CA 94704	NONE	501C(3)	SACRED LANDS FILM PROJECT	3,000
NATURAL RESOURCES DEFENSE COUNSEL BOX 1830 MERRIFIELD, VA 22116	NONE	501C(3)	UNRESTRICTED	1,250
NORTHWEST FILM FORUM 1515 12TH AVE SEATTLE, WA 98122	NONE	501C(3)	UNRESTRICTED	1,000
Total ▶ 3a				161,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
LITTLE TRAVERSE CONSERVANCY 3264 POWELL ROAD HARBOR SPRINGS, MI 49740	NONE	501C(3)	UNRESTRICTED	1,000
WHATCOM LAND TRUST 412 N COMMERCIAL ST BELLINGHAM, WA 98225	NONE	501 C(3)	UNRESTRICTED	2,000
BLUE EARTH (CAMERAS WITHOUT BORDERS) BOX 4490 SEATTLE, WA 98194	NONE	501C(3)	UNRESTRICTED	3,000
Total ▶ 3a				161,000

TY 2019 Accounting Fees Schedule

Name: ROBERT & ELIZABETH FERGUS FOUNDATION
C/O ELIZABETH FERGUS

EIN: 31-6087932

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING AND TAX PREPARATION	5,089	4,071		1,018

TY 2019 Investments Corporate Bonds Schedule

Name: ROBERT & ELIZABETH FERGUS FOUNDATION

C/O ELIZABETH FERGUS

EIN: 31-6087932

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
BOND FUNDS 1537-3994	1,111,725	1,111,725

TY 2019 Investments Corporate Stock Schedule

Name: ROBERT & ELIZABETH FERGUS FOUNDATION
C/O ELIZABETH FERGUS

EIN: 31-6087932

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ML 51V-28613 - COHEN PFD		
ML 51V-28614 - NWQ LCV		
ML 51V-28615 - NWQ SCV		
ML 51V-28616 - LOOMIS LC GROWTH		
ML 51V-28617 - LAZARD GLOBAL		
ML 51V-28618 - INVESCO INT'L		
EQUITIES A/C 1537-3994	137,466	137,466
EQUITY FUNDS A/C 1537-3994	1,548,981	1,548,981

TY 2019 Other Decreases Schedule

Name: ROBERT & ELIZABETH FERGUS FOUNDATION
C/O ELIZABETH FERGUS

EIN: 31-6087932

Description	Amount
ADJUSTMENT TO BOOK VALUE TO COST PER MERRILL LYNCH	5,623
ADJUSTMENT TO BOOK VALUE TO COST PER CHARLES SCHWA	1,035

TY 2019 Other Expenses Schedule

Name: ROBERT & ELIZABETH FERGUS FOUNDATION
C/O ELIZABETH FERGUS

EIN: 31-6087932

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
BANK FEES	903	808		95
INVESTMENT EXPENSE	134	134		

TY 2019 Other Income Schedule

Name: ROBERT & ELIZABETH FERGUS FOUNDATION

C/O ELIZABETH FERGUS

EIN: 31-6087932

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
MISC INCOME	386	386	

TY 2019 Other Increases Schedule**Name:** ROBERT & ELIZABETH FERGUS FOUNDATION

C/O ELIZABETH FERGUS

EIN: 31-6087932

Description	Amount
NONDIVIDEND DISTRIBUTIONS	639

TY 2019 Other Professional Fees Schedule**Name:** ROBERT & ELIZABETH FERGUS FOUNDATION

C/O ELIZABETH FERGUS

EIN: 31-6087932

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INV MGMT FEES COHEN PFD - 51V-2	1,666	1,666		
INV MGMT FEES NWQ LCV - 51V-286	2,545	2,545		
INV MGMT FEES NWQ SCV - 51V-286	2,422	2,422		
INV MGMT FEES LOOMIS LC - 51V-2	2,802	2,802		
INV MGMT FEES LAZARD GL - 51V-2	2,811	2,811		
INV MGMT FEES INVESCO - 51V-28	1,678	1,678		
INV MGMT FEES - NEWGROUND SOC IN	2,320	2,320		

TY 2019 Taxes Schedule

Name: ROBERT & ELIZABETH FERGUS FOUNDATION
C/O ELIZABETH FERGUS

EIN: 31-6087932

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX PAID	2,724	2,724		

FERGUS FOUNDATION FORM 990PF FOR YEAR ENDED DECEMBER 31, 2019														
SCHEDULE OF CAPITAL GAINS AND LOSSES MERRYLL LYNCH														
ACCOUNT	SHORT TERM PROCEEDS	SHORT TERM COST BASIS	WASH SALE ADJUSTMENT	ST CAP. GAIN (LOSS)	LONG TERM PROCEEDS	LONG TERM COST BASIS	ACCRUED MKT DISC	WASH SALE ADJUSTMENT	LT CAP. GAIN (LOSS)	PROCEEDS PER ML STATEMENT	PROCEEDS PER ML'S 1099	DIFFERENCE	TOTAL BASIS	TOTAL CAP. GAINS
MERRILL LYNCH # 51V-28613	10,891.30	11,150.48	-	(259.18)	83,820.64	87,078.94	11.27	259.13	(3,010.44)	94,711.94	94,711.94	-	98,229.42	(3,269.62)
MERRILL LYNCH # 51V-28614	99,552.20	97,094.13	-	2,458.07	291,694.20	271,056.54	-	1,402.33	22,039.99	391,246.40	391,246.40	-	368,150.67	24,498.06
MERRILL LYNCH # 51V-28615	16,667.39	16,001.48	215.25	881.16	73,008.23	62,038.83	-	-	10,969.40	89,675.62	89,675.62	-	78,040.31	11,850.56
MERRILL LYNCH # 51V-28616	326.11	280.95	-	45.16	10,836.00	8,132.72	-	-	2,703.28	11,162.11	11,162.11	-	8,413.67	2,748.44
MERRILL LYNCH # 51V-28617	19,032.75	18,839.13	-	193.62	41,520.31	38,028.11	-	-	3,492.20	60,553.06	60,553.06	-	56,867.24	3,685.82
MERRILL LYNCH # 51V-28618	4,545.95	4,549.04	-	(3.09)	30,658.54	28,065.25	-	112.47	2,705.76	35,204.49	35,204.49	-	32,614.29	2,702.67
CHARLES SCHWAB # 1537-3994	712,970.86	727,643.60 67.06	-	(14,739.80)	1,945,130.16	1,621,915.74	-	-	323,214.42	2,658,101.02	2,658,101.02	-	2,349,559.34	308,474.62
TOTALS	863,986.56	875,625.87	215.25	(11,424.06)	2,476,668.08	2,116,316.13	11.27	1,773.93	362,114.61	3,340,654.64	3,340,654.64	-	2,991,874.94	350,690.55
CAPITAL GAIN DISTRIBUTIONS					15,112.22				15,112.22	15,112.22				15,112.22
SECURITIES LITIGATION					607.34				607.34	607.34				607.34
					2,492,387.64				377,834.17	3,356,374.20				366,410.11