

Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Go to www.irs.gov/Form990PF for instructions and the latest information.

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OMB No 1545-0047

2019

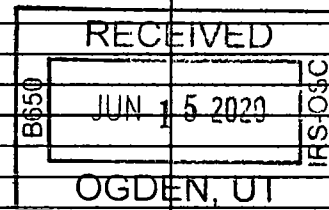
Open to Public Inspection

For calendar year 2019 or tax year beginning

, and ending

Name of foundation KETTERING FAMILY FOUNDATION		A Employer identification number 31-0727384	
Number and street (or P.O. box number if mail is not delivered to street address) 40 North Main St.		Room/suite 1480	B Telephone number 303-906-5101
City or town, state or province, country, and ZIP or foreign postal code Dayton, OH 45423		C If exemption application is pending, check here <input type="checkbox"/> le	
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1 Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation 04		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 114,850,324. (Part I, column (d), must be on cash basis.)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		2,000.		N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		3,192,900.	3,192,900.		
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		7,424,957.			
b Gross sales price for all assets on line 6a					
7 Capital gain net income (from Part IV, line 2)			7,402,966.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less: Cost of goods sold					
c Gross profit or (loss)					
11 Other income		310,420.	376,569.		See Statement 1
12 Total. Add lines 1 through 11		10,930,277.	10,972,435.		
13 Compensation of officers, directors, trustees, etc.		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees Stmt 2		1,821.	0.		1,821.
b Accounting fees Stmt 3		58,525.	29,263.		29,263.
c Other professional fees Stmt 4		510,559.	222,444.		288,115.
17 Interest					
18 Taxes Stmt 5		99,427.	11,286.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses Stmt 6		790.	0.		790.
24 Total operating and administrative expenses. Add lines 13 through 23		671,122.	262,993.		319,989.
25 Contributions, gifts, grants paid		4,871,125.			4,871,125.
26 Total expenses and disbursements. Add lines 24 and 25		5,542,247.	262,993.		5,191,114.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		5,388,030.			
b Net investment income (if negative, enter -0-)			10,709,442.		
c Adjusted net income (if negative, enter -0-)				N/A	



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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	7,380.	9,380.	9,380.
	2 Savings and temporary cash investments	1,376,317.	2,147,168.	2,147,168.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock Stmt 7	27,123,738.	23,520,352.	40,631,263.
	c Investments - corporate bonds			
Liabilities	11 Investments - land, buildings, and equipment basis ▶			
	Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other Stmt 8	54,286,337.	62,504,902.	72,062,513.
	14 Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	82,793,772.	88,181,802.	114,850,324.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 24, 25, 29, and 30			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds	0.	0.	
	27 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	28 Retained earnings, accumulated income, endowment, or other funds	82,793,772.	88,181,802.	
	29 Total net assets or fund balances	82,793,772.	88,181,802.	
	30 Total liabilities and net assets/fund balances	82,793,772.	88,181,802.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	82,793,772.
2 Enter amount from Part I, line 27a	2	5,388,030.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	88,181,802.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	88,181,802.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	See Attached Statements			
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a			
b			
c			
d			
e			7,402,966.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			7,402,966.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	7,402,966.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	4,892,636.	112,031,359.	.043672
2017	4,979,446.	107,845,584.	.046172
2016	4,813,003.	97,641,415.	.049293
2015	4,370,008.	103,023,201.	.042418
2014	948,606.	107,197,865.	.008849

2 Total of line 1, column (d)	2	.190404
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	.038081
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	113,618,593.
5 Multiply line 4 by line 3	5	4,326,710.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	107,094.
7 Add lines 5 and 6	7	4,433,804.
8 Enter qualifying distributions from Part XII, line 4	8	5,191,114.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	107,094.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		2	0.
3 Add lines 1 and 2		3	107,094.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		4	0.
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-		5	107,094.
6 Credits/Payments:			
a 2019 estimated tax payments and 2018 overpayment credited to 2019	6a	90,000.	
b Exempt foreign organizations - tax withheld at source	6b	0.	
c Tax paid with application for extension of time to file (Form 8868)	6c	17,094.	
d Backup withholding erroneously withheld	6d	0.	
7 Total credits and payments. Add lines 6a through 6d	7	107,094.	
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	0.	
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0.	
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2020 estimated tax <input type="checkbox"/> Refunded <input checked="" type="checkbox"/>	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions. <input type="checkbox"/> OR <input type="checkbox"/>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address www.ketteringfamilyfoundation.org	X	
14 The books are in care of The Organization Telephone no. 303-906-5101 Located at 40 North Main St., No. 1480, Dayton, OH ZIP+4 45423		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year 15 N/A		
16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here 1b X		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019? 1c X		
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A 2b		
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019.) N/A 3b		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a X		
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019? 4b X		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

	Yes	No
5a During the year, did the foundation pay or incur any amount to:		
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	N/A	5b
Organizations relying on a current notice regarding disaster assistance, check here	<input checked="" type="checkbox"/>	
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	N/A	
If "Yes," attach the statement required by Regulations section 53.4945-5(d).	<input type="checkbox"/> Yes <input type="checkbox"/> No	
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b
If "Yes" to 6b, file Form 8870		x
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 9		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Ridgeleigh Management Company - 1100 N. Market St., Ste. 1010, Wilmington, DE 19801	Management services	267,623.
J.P. Morgan - 1650 Market Street, 47th Floor, Philadelphia, PA 19103	Investment custodian	190,448.

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments See instructions.	
3	

Total. Add lines 1 through 3

0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a Average monthly fair market value of securities	1a	114,119,400.	
b Average of monthly cash balances	1b	1,229,425.	
c Fair market value of all other assets	1c		
d Total (add lines 1a, b, and c)	1d	115,348,825.	
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.	
2 Acquisition indebtedness applicable to line 1 assets	2	0.	
3 Subtract line 2 from line 1d	3	115,348,825.	
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	1,730,232.	
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	113,618,593.	
6 Minimum investment return. Enter 5% of line 5	6	5,680,930.	

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	5,680,930.
2a Tax on investment income for 2019 from Part VI, line 5	2a	107,094.
b Income tax for 2019. (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b	2c	107,094.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	5,573,836.
4 Recoveries of amounts treated as qualifying distributions	4	0.
5 Add lines 3 and 4	5	5,573,836.
6 Deduction from distributable amount (see instructions)	6	0.
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	5,573,836.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	5,191,114.
b Program-related investments - total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	5,191,114.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on not investment income. Enter 1% of Part I, line 27b	5	107,094.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	5,084,020.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				5,573,836.
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only			1,188,970.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2019.				
a From 2014				
b From 2015				
c From 2016				
d From 2017				
e From 2018				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2019 from Part XII, line 4: ► \$ 5,191,114.				
a Applied to 2018, but not more than line 2a			1,188,970.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2019 distributable amount				4,002,144.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2018. Subtract line 4a from line 2a Taxable amount - see instr.			0.	
f Undistributed income for 2019 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2020				1,571,692.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2015				
b Excess from 2016				
c Excess from 2017				
d Excess from 2018				
e Excess from 2019				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling ▶
- b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

		Prior 3 years				
		(a) 2019	(b) 2018	(c) 2017	(d) 2016	(e) Total
2	a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
	b 85% of line 2a					
	c Qualifying distributions from Part XII, line 4, for each year listed					
	d Amounts included in line 2c not used directly for active conduct of exempt activities					
	e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon:					
	a "Assets" alternative test - enter:					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
	b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6, for each year listed					
	c "Support" alternative test - enter:					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2))

None

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number or email address of the person to whom applications should be addressed:

See Statement 10

- b** The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Cayucos Land Conservancy PO Box 336 Cayucos, CA 93430	None	Public Charity	Annual General operating support	7,780.
El Segundo Regents PO Box 50204 Long Beach, CA 90815	None	Public Charity	Annual General operating support	11,670.
A Child's Song 12301 Grant St., Unit 200 Thornton, CO 80241-3031	None	Public Charity	Special program/project	5,000.
Big Brothers Big Sisters of Colorado 750 W. Hampden Ave. Suite 450 Englewood, CO 80110	None	Public Charity	Special program/project	15,000.
BookTrails 68 9th Street Steamboat Springs, CO 80487	None	Public Charity	Special program/project	20,000.
Total See continuation sheet(s) ▶ 3a				4,871,125.
b Approved for future payment				
Kettering University 1700 University Avenue Flint, MI 48504	None	Public Charity	Special program/project	200,000.
The New School 66 West 12th St., Room 604 New York, NY 10011	None	Public Charity	Special program/project	200,000.
University of Dayton 300 College Park Dayton, OH 45469-7053	None	Public Charity	Special program/project	100,000.
Total See continuation sheet(s) ▶ 3b				750,000.

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury I declare that I have examined this return including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date 6.8.20

President
Title

May the IRS discuss this return with the preparer shown below? See instr.

**Paid
Preparer
Use Only**

Print/Type preparer's name

Laurie Anderson

Preparer's signature

Rami Anderson

Date _____

6.8.20

Check ☐ self-employed

PTIN

P01416697

Firm's name ► Kunder, Corder & Engle, P.C.

Firm's EIN ▶

Firm's address ► 475 Lincoln St., Ste. 200
Denver, CO 80203

Phone no 303-534-5953

KETTERING FAMILY FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	Publicly traded securities			
b	ArcLight Energy Partners Fund IV, LP			
c	ArcLight Energy Partners Fund IV, LP			
d	Gains reported on 990T			
e	Commonfund III			
f	Commonfund III			
g	Losses reported on 990T			
h	Commonfund IV			
i	Commonfund IV			
j	Gains reported on 990T			
k	Fundamental Partners III LP			
l	Harrison Street Real Estate Prts IV			
m	Harrison Street Real Estate Prts V			
n	IPI Data Center Partners Fund I-A LP			
o	IPI Data Center Partners Fund I-A LP			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			6,882,928.
b			-14,277.
c			21,986.
d			-21,986.
e			31,363.
f			-595.
g			595.
h			189,951.
i			1,272.
j			-1,272.
k			89,594.
l			17,706.
m			140,909.
n			-400.
o			-138.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			6,882,928.
b			-14,277.
c			21,986.
d			-21,986.
e			31,363.
f			-595.
g			595.
h			189,951.
i			1,272.
j			-1,272.
k			89,594.
l			17,706.
m			140,909.
n			-400.
o			-138.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	Losses reported on 990T			
b	Neuberger Berman Private Debt Fund II			
c	Neuberger Berman Private Debt Fund II			
d	Losses reported on 990T			
e	Thackery Partners Realty Fund			
f	Jana Offshore			
g	Owl Ventures II, LP			
h	RCG Longview Debt Fund VI			
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			138.
b			-19,414.
c			-534.
d			534.
e			-215.
f			7,664.
g			79,561.
h			-2,404.
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			138.
b			-19,414.
c			-534.
d			534.
e			-215.
f			7,664.
g			79,561.
h			-2,404.
i			
j			
k			
l			
m			
n			
o			

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	7,402,966.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Boulder Food Rescue P.O. Box 284 Boulder, CO 80306-0284	None	Public Charity	Special program/project	10,000.
Boys & Girls Clubs of Northwest Colorado PO Box 776410 Steamboat Springs, CO 80477	None	Public Charity	Special program/project	20,000.
Chef Ann Foundation 5445 Conestoga Court, Suite 150 Boulder, CO 80301	None	Public Charity	Special program/project	15,000.
Colorado Personalized Education Program for Physicians 720 S. Colorado Blvd., Suite 1100-N Denver, CO 80246	None	Public Charity	Annual General operating support	50,000.
Colorado Personalized Education Program for Physicians 720 S. Colorado Blvd., Suite 1100-N Denver, CO 80246	None	Public Charity	Annual General operating support	1,500.
Colorado Public Radio 7409 South Alton Court Centennial, CO 80112	None	Public Charity	Annual General operating support	500.
Craig Hospital Foundation 3425 S. Clarkson Street Englewood, CO 80113	None	Public Charity	Annual General operating support	7,780.
Denver Scholarship Foundation 789 Sherman St., Suite 610 Denver, CO 80203	None	Public Charity	Special program/project	10,000.
Environmental Learning for Kids PO Box 21679 Denver, CO 80221	None	Public Charity	Special program/project	10,000.
Family Tree, Inc. 3805 Marshall Street Wheat Ridge, CO 80033	None	Public Charity	Special program/project	10,000.
Total from continuation sheets				4,811,675.

Part XV .Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Health Care Foundation for the Yampa Valley PO Box 883415 Steamboat Springs, CO 80488	None	Public Charity	Capital projects	10,000.
Integrated Community PO Box 880587, 443 Oak Street Steamboat Springs, CO 80488	None	Public Charity	Special program/project	10,000.
Intercambio de Comunidades 4735 Walnut Street, Suite B Boulder, CO 80301	None	Public Charity	Special program/project	20,000.
Judi's House 1741 Gaylord St. Denver, CO 80206	None	Public Charity	Special program/project	20,000.
La Puente Home, Inc. PO Box 1235, 911 State Ave. Alamosa, CO 81101	None	Public Charity	Annual General operating support	10,000.
Mental Health America of Colorado 1120 Lincoln St. Suite 1606 Denver, CO 80203	None	Public Charity	Special program/project	18,000.
Musana Community Development Organization 400 W. South Boulder Road, Suite 1700 Lafayette, CO 80026	None	Public Charity	Annual General operating support	7,780.
So All May Eat, Inc. 2023 East Colfax Avenue Denver, CO 80206	None	Public Charity	Special program/project	10,000.
Special Olympics Colorado 384 Inverness Parkway, Suite 100 Englewood, CO 80112	None	Public Charity	Annual General operating support	3,890.
Sprout City Farms, Inc PO Box 7536 Denver, CO 80207	None	Public Charity	Special program/project	25,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
St. Anne's Episcopal School 2701 S. York St. Denver, CO 80210	None	Public Charity	Annual General operating support	2,500.
St. Joseph Hospital Foundation 1375 E 19th Ave Denver, CO 80218-1114	None	Public Charity	Special program/project	1,500.
Steamboat Art Museum 807 Lincoln Avenue, PO Box 883434 Steamboat Springs, CO 80488	None	Public Charity	Annual General operating support	30,000.
Strings Music Festival PO Box 774627 Steamboat Springs, CO 80477	None	Public Charity	Special program/project	20,000.
Strings Music Festival PO Box 774627 Steamboat Springs, CO 80477	None	Public Charity	Special program/project	20,000.
University of Colorado Foundation 1800 Grant Street, Suite 725 Denver, CO 80203	None	Public Charity	Special program/project	50,000.
University of Colorado Foundation University of Colorado Fdtn. 4740 Walnut St. Boulder, CO 80301	None	Public Charity	Special program/project	1,500.
Warren Village, Inc. 1323 Gilpin Street Denver, CO 80218	None	Public Charity	Annual General operating support	20,000.
Wedontwaste, Inc 5971 Broadway Denver, CO 80216	None	Public Charity	Special program/project	10,000.
Yampa Valley Sustainability Council PO Box 881461 Steamboat Springs, CO 80488	None	Public Charity	Annual General operating support	10,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Yampa Valley Sustainability Council (YVSC) PO Box 881461 Steamboat Springs, CO 80488	None	Public Charity	Annual General operating support	5,000.
Yampatika Outdoor Awareness Association 925 Weiss Dr. Steamboat Springs, CO 80487	None	Public Charity	Special program/project	10,000.
Naromi Land Trust PO Box 265 Sherman, CT 06784	None	Public Charity	Annual General operating support	10,000.
Regional Hospice and Home Care of Western CT 30 Milestone Road Danbury, CT 06810	None	Public Charity	Annual General operating support	10,000.
Sherman Library Association 1 Sherman Center, PO Box 40 Sherman, CT 06784	None	Public Charity	Annual General operating support	10,000.
Catalogue for Philanthropy 10 G Street NE, Suite 600 Washington, DC 20002	None	Public Charity	Annual General operating support	15,000.
Children's Research Institute (CRI) 111 Michigan Ave, NW Washington, DC 20010	None	Public Charity	Annual General operating support	400,000.
LearnServe International P.O. Box 6203 Washington, DC 20015	None	Public Charity	Special program/project	10,000.
Unfunded List, Inc. 1110 Vermont Avenue NW, Suite 500 Washington, DC, DC 20005	None	Public Charity	Annual General operating support	10,000.
Boca Ballet Theatre Company 7630 NW 6th Ave Boca Raton, FL 33487	None	Public Charity	Special program/project	20,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Atlanta Ballet 1695 Marietta Boulevard NW Atlanta, GA 30318	None	Public Charity	Special program/project	27,500.
Atlanta Ballet 1695 Marietta Boulevard NW Atlanta, GA 30318	None	Public Charity	Special program/project	7,500.
Gulf of Maine Research Institute 350 Commercial Street Portland, ME 04101	None	Public Charity	Special program/project	10,000.
National Park Trust 401 E. Jefferson St., Suite 207 Rockville, MD 20850	None	Public Charity	Special program/project	15,000.
The McLean Hospital Corporation 115 Mill Street, Mail Stop 126 Belmont, MA 02478	None	Public Charity	Special program/project	50,000.
Communities First, Inc. 310 E. Third Street Flint, MI 48502	None	Public Charity	Annual General operating support	10,000.
Glen Arbor Arts Center PO Box 305, 6031 S. Lake St. Glen Arbor, MI 49636	None	Public Charity	Annual General operating support	27,500.
Glen Arbor Arts Center 6031 S. Lake Street, PO Box 305 Glen Arbor, MI 49636	None	Public Charity	Annual General operating support	7,500.
Interlochen Center for the Arts P.O. Box 199 Interlochen, MI 49643	None	Public Charity	Special program/project	50,000.
Kettering University 1700 University Avenue Flint, MI 48504	None	Public Charity	Special program/project	200,000.
Total from continuation sheets				

Part XV, Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Munson Healthcare Foundations 1150 Medical Campus Dr. Traverse City, MI 49684	None	Public Charity	Capital projects	100,000.
Parallel 45, Inc. PO Box 1829 Traverse City, MI 49685	None	Public Charity	Annual General operating support	15,000.
Traverse City Dance Project 8692 S Dunns Farm Rd. Maple City, MI 49664-8715	None	Public Charity	Special program/project	10,000.
Traverse Symphony Orchestra 300 E. Front Street, Suite 230 Traverse City, MI 49684	None	Public Charity	Annual General operating support	10,000.
Alice Peck Day Memorial Hospital 10 Alice Peck Day Drive Lebanon, NH 03766	None	Public Charity	Special program/project	50,000.
Center for School Success 79 E. Wilder Road West Lebanon, NH 03784	None	Public Charity	Special program/project	35,000.
Geisel School of Medicine at Dartmouth Geisel Office of Development, Hinman Box 7070 Lebanon, NH 03756	None	Public Charity	Other	10,000.
Reach Out and Read at The Children's Hospital at Dartmouth 1 Medical Center Dr. Lebanon, NH 03756	None	Public Charity	Annual General operating support	16,500.
The Special Needs Support Center 20 West Park Street, Suite 310 Lebanon, NH 03766	None	Public Charity	Annual General operating support	25,000.
Trustees of Dartmouth College 1 Medical Center Dr., WTRB 5th Floor Room 545 Lebanon, NH 03756	None	Public Charity	Other	55,000.
Total from continuation sheets				

Part XV . **Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Bed-Stuy Campaign Against Hunger 2010 Fulton Street Brooklyn, NY 11233	None	Public Charity	Annual General operating support	2,500.
Brooklyn Botanic Garden Corporation 1000 Washington Avenue Brooklyn, NY 11225	None	Public Charity	Special program/project	25,000.
Brooklyn Botanic Garden Corporation 1000 Washington Avenue Brooklyn, NY 11225	None	Public Charity	Annual General operating support	10,000.
Brooklyn Public Library 10 Grand Army Plaza Brooklyn, NY 11238	None	Public Charity	Annual General operating support	1,000.
CEC ArtsLink 48 Wall Street, Suite 1100 New York, NY 10005	None	Public Charity	Special program/project	75,000.
CEC ArtsLink 48 Wall Street, Suite 1100 New York, NY 10005	None	Public Charity	Special program/project	35,000.
CEC ArtsLink 48 Wall Street, Suite 1100 New York, NY 10005	None	Public Charity	Special program/project	10,000.
Children's Tumor Foundation 370 Lexington Avenue, Suite 2100 New York, NY 10017	None	Public Charity	Annual General operating support	10,000.
City Growers Inc. 63 Flushing Ave., Unit 116 Brooklyn, NY 11205	None	Public Charity	Special program/project	15,000.
International Studio & Curatorial Program 1040 Metropolitan Avenue Brooklyn, NY 11211	None	Public Charity	Special program/project	34,850.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Memorial Sloan Kettering Cancer Center 1275 York Ave. New York, NY 10065-6007	None	Public Charity	Annual General operating support	25,000.
Prospect Park Alliance, Inc. 95 Prospect Park West Brooklyn, NY 11215	None	Public Charity	Annual General operating support	25,000.
Prospect Park Alliance, Inc. 95 Prospect Park West Brooklyn, NY 11215	None	Public Charity	Annual General operating support	15,000.
Red Hook Initiative 767 Hicks Street Brooklyn, NY 11231	None	Public Charity	Special program/project	20,000.
Skidmore College 815 North Broadway Saratoga Springs, NY 12866	None	Public Charity	Endowment	250,000.
The Bronx is Blooming 1020 Grand Concourse #15C Bronx, NY 10451	None	Public Charity	Special program/project	10,000.
The HOPE Program, Inc. 1 Smith Street, 4th Floor Brooklyn, NY 11201	None	Public Charity	Annual General operating support	40,000.
The HOPE Program, Inc. 1 Smith Street, 4th Floor Brooklyn, NY 11201	None	Public Charity	Annual General operating support	10,000.
The New School 66 West 12th St., Room 604 New York, NY 10011	None	Public Charity	Special program/project	200,000.
The New School 66 West 12th Street, Room 604 New York, NY 10011	None	Public Charity	Special program/project	25,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Antioch College 1 Morgan Place Yellow Springs, OH 45387	None	Public Charity	Capital projects	100,000.
Candid 1422 Euclid Avenue, Suite 1600 Cleveland, OH 44011	None	Public Charity	Annual General operating support	3,500.
Columbus Children's Theatre 177 E. Naghten Street Columbus, OH 43215	None	Public Charity	Special program/project	20,000.
Contemporary Arts Center 44 East 6th Street Cincinnati, OH 45202	None	Public Charity	Capital projects	150,000.
Dayton Children's Hospital Foundation One Children's Plaza Dayton, OH 45404	None	Public Charity	Start-up costs	150,000.
Dayton Performing Arts Alliance 126 North Main Street Suite 210 Dayton, OH 45402	None	Public Charity	Endowment	250,000.
Dayton Society of Natural History Boonshoft Museum of Discovery Dayton, OH 45414	None	Public Charity	Special program/project	100,000.
EDWINS Leadership and Restaurant Institute 13101 Shaker Square Cleveland, OH 44120	None	Public Charity	Annual General operating support	25,000.
Five Rivers MetroParks Foundation 409 E. Monument Ave., Third Floor Dayton, OH 45402	None	Public Charity	Capital projects	100,000.
Goodwill Easterseals Miami Valley 660 South Main St. Dayton, OH 45402	None	Public Charity	Capital projects	100,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Hospice of Dayton, Inc. 324 Wilmington Ave. Dayton, OH 45420	None	Public Charity	Special program/project	25,000.
Law Enforcement Foundation Inc 6277 Riverside Drive, Suite 2N Dublin, OH 43017	None	Public Charity	Special program/project	10,000.
Miami University 501 East High Street Oxford, OH 45056	None	Public Charity	Special program/project	100,000.
Miami Valley Public Media Inc 150 E. South College Street Yellow Springs, OH 45387	None	Public Charity	Special program/project	100,000.
OneCity Against Heroin 990 Reading Road Mason, OH 45040	None	Public Charity	Special program/project	35,000.
Philanthropy Ohio 500 South Front Street, Suite 900 Columbus, OH 43215	None	Public Charity	Annual General operating support	5,000.
Sinclair Community College Foundation 444 W. Third St. Dayton, OH 45402	None	Public Charity	Special program/project	15,000.
Teach For America - Southwest Ohio 1110 Main Street Cincinnati, OH 45202	None	Public Charity	Annual General operating support	50,000.
The Dayton Art Institute (DAI) 456 Belmonte Park North Dayton, OH 45405	None	Public Charity	Capital projects	175,000.
The Dayton Early College Academy Fitz Hall, 300 College Park, Third Floor, University of Dayton Dayton, OH 45469	None	Public Charity	Capital projects	150,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
The Legal Aid Society of Columbus 1108 City Park Avenue, Suite 101 Columbus, OH 43206	None	Public Charity	Special program/project	25,000.
The Ohio Foundation of Independent Colleges, Inc. 250 East Broad Street Suite 1700 Columbus, OH 43215	None	Public Charity	Special program/project	25,000.
Therapeutic Riding Institute, Inc. 3960 Middle Run Road Spring Valley, OH 45370	None	Public Charity	Capital projects	25,000.
Widows Home of Dayton Ohio 50 South Findlay Street Dayton, OH 45403	None	Public Charity	Capital projects	25,000.
Woodland Arboretum Foundation 118 Woodland Ave. Dayton, OH 45409	None	Public Charity	Special program/project	200,000.
YWCA Dayton 141 West Third Street Dayton, OH 45402	None	Public Charity	Other	18,375.
YWCA Dayton 141 West Third Street Dayton, OH 45402	None	Public Charity	Capital projects	100,000.
Hellertown Area Library 409 Constitution Avenue Hellertown, PA 18055	None	Public Charity	Special program/project	10,000.
High Hopes, Inc. 301 High Hopes Court Franklin, TN 37064	None	Public Charity	Other	10,000.
Montshire Museum of Science One Montshire Road Norwich, VT 05055	None	Public Charity	Special program/project	25,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Vermont Institute of Natural Science, Inc. 149 Natures Way, P.O. Box 1281 Quechee, VT 05059	None	Public Charity	Capital projects	100,000.
Vermont Institute of Natural Science, Inc. 149 Natures Way, P.O. Box 1281 Quechee, VT 05059	None	Public Charity	Special program/project	40,000.
Vital Communities 195 North Main Street White River Junction, VT 05001	None	Public Charity	Special program/project	10,000.
EcoAdapt PO BOX 11195 BAINBRIDGE ISLAND, WA 98110	None	Public Charity	Special program/project	10,000.
CEC ArtsLink 48 Wall Street, Suite 1100 New York, NY 10005	None	Public Charity	Annual General operating support	20,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Approved for Future Payment (Continuation)				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
Zoological Society of Cincinnati 3400 Vine Street Cincinnati, OH 45220	None	Public Charity	Capital projects	250,000.
Total from continuation sheets				250,000.

Form 990-PF	Other Income	Statement	1
Description	(a) Revenue Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income
CommonFund Capital Partners III	5,920.	0.	
CommonFund Capital Partners III	-6,465.	-6,465.	
CommonFund Capital Partners IV	-2,050.	-2,050.	
CommonFund Capital Partners IV	10,047.	0.	
Harrison Street Real Estate Partnership IV	-15,864.	-15,864.	
Harrison Street Real Estate Partnership V	-21,109.	-21,109.	
Neuberger Berman Private Debt Fund II	279,173.	279,173.	
Neuberger Berman Private Debt Fund II	3,318.	0.	
ArcLight Energy Partners Fund IV, L.P.	56,643.	56,643.	
ArcLight Energy Partners Fund IV, L.P.	-86,494.	0.	
Thackery Partners Realty Fund	13,562.	13,562.	
Blackstone Property Partners Fundamental Partners	96,529.	96,529.	
Fundamental Partners	37,457.	0.	
Fundamental Partners	41,378.	41,378.	
Owl Ventures II, LP	-51,092.	-51,092.	
ICONIQ	-12,628.	-12,628.	
ICONIQ	-5,362.	0.	
Oak Harbor Capital Opp Fund V, LP	-35,441.	-35,441.	
RCG Longview Debt Fund IV	113,713.	113,713.	
Miscellaneous income	947.	947.	
Blackstone Real Estate Europe V	-33,865.	-33,865.	
AEA Investors Fund VII LP	-26,039.	-26,039.	
KKR Global Infrastructure	-31,035.	0.	
KKR Global Infrastructure	-3,729.	-3,729.	
JLL Partners Fund VII LP	13,564.	13,564.	
SOF VIII Private Investors LLC	35,594.	35,594.	
AEA Investors Fund VI LP	-66,252.	-66,252.	
Total to Form 990-PF, Part I, line 11	310,420.	376,569.	

Form 990-PF	Legal Fees			Statement 2
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Thompson Hine	1,821.	0.		1,821.
To Fm 990-PF, Pg 1, ln 16a	1,821.	0.		1,821.

Form 990-PF	Accounting Fees			Statement 3
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Kundinger, Corder & Engle, P.C.	15,500.	7,750.		7,750.
Lisa Ernst	8,025.	4,013.		4,013.
Wilmington Trust	35,000.	17,500.		17,500.
To Form 990-PF, Pg 1, ln 16b	58,525.	29,263.		29,263.

Form 990-PF	Other Professional Fees			Statement 4
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Ridgeleigh Management	267,623.	0.		267,623.
JP Morgan	190,448.	190,448.		0.
Pinnacle Associates	31,996.	31,996.		0.
Korn Ferry	20,492.	0.		20,492.
To Form 990-PF, Pg 1, ln 16c	510,559.	222,444.		288,115.

Form 990-PF	Taxes			Statement	5
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
Foreign taxes	11,286.	11,286.			0.
2019 estimated excise tax payments	60,000.	0.			0.
2019 UBTI estimated tax payments	15,000.	0.			0.
2018 UBTI taxes paid in 2019	13,141.	0.			0.
To Form 990-PF, Pg 1, ln 18	99,427.	11,286.			0.

Form 990-PF	Other Expenses			Statement	6
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
Miscellaneous	790.	0.			790.
To Form 990-PF, Pg 1, ln 23	790.	0.			790.

Form 990-PF	Corporate Stock		Statement	7
Description	Book Value	Fair Market Value		
Vanguard Institutional Index Fund	4,521,553.	11,222,864.		
Dodge & Cox	4,500,000.	7,966,377.		
Prime Cap	2,563,330.	7,814,054.		
Vanguard Ext Mkt Index	1,156,432.	1,927,282.		
BARC BREN	2,440,000.	2,706,448.		
GS Levered CBEN	1,500,000.	1,680,300.		
SG BREN MXEA	3,000,000.	2,952,300.		
WCM Focused Growth International Securities	3,839,037.	4,361,638.		
Total to Form 990-PF, Part II, line 10b	23,520,352.	40,631,263.		

Form 990-PF

Other Investments

Statement

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Description	Valuation Method	Book Value	Fair Market Value
CommonFund Capital Partners III	COST	246,392.	435,193.
CommonFund Capital Partners IV	COST	374,687.	693,502.
Clayton Dubilier & Rice	COST	825,726.	162,706.
Starwood SOF VIII Real Estate Fund	COST	527,134.	159,421.
Blackstone Property Partners	COST	5,206,202.	7,081,134.
Consonance Capital	COST	3,000,000.	4,564,230.
FAO JLL Partners	COST	2,360,510.	3,410,378.
Millenium International	COST	3,000,000.	3,476,058.
Neuberger Berman Private Debt Fund	COST	3,992,203.	4,106,456.
BlackRock Appreciation Fund IV	COST	1,675,000.	2,258,003.
Contrarian Emerging Markets	COST	2,500,000.	3,641,568.
JP Morgan Real Estate Fund	COST	1,425.	0.
Harrison Street Real Estate Fund IV	COST	613,603.	801,874.
Port Advisors	COST	678,014.	207,083.
Trust Realty IX	COST	9,559.	0.
ArcLight Energy Partners Fund V	COST	1,283,156.	2,644,736.
FAO Suvretta Offshore	COST	2,000,000.	2,752,788.
Harrison Street Real Estate Fund V	COST	903,590.	1,660,444.
Ramius Merger Fund	COST	2,000,000.	2,676,380.
Thackery Partners Realty Fund	COST	922,811.	1,346,541.
Coatue Offshore Fund	COST	1,000,000.	2,223,691.
RCG Longview Debt Fund VI	COST	1,568,014.	1,558,126.
Goldtree Offshore	COST	1,525,759.	580,244.
Fir Trees Special Opportunity Fund	COST	90,000.	4,201.
ZP Offshore Utility Fund	COST	3,000,000.	4,172,818.
AEA Investors Fund VI LP	COST	2,735,349.	3,196,578.
Carlyle Energy Credit Fund II	COST	2,521,459.	2,171,995.
IPI Data Center Partners	COST	839,176.	1,214,786.
Biopharma Credit Investments IV	COST	2,547,053.	648,552.
Owl Ventures II	COST	1,334,852.	1,599,157.
Blackstone Real Estate	COST	1,471,805.	1,877,898.
Oak Harbor Capital	COST	1,562,970.	1,665,287.
Fundamental Partners III	COST	1,244,226.	1,369,127.
Pontifax Global	COST	1,612,199.	1,939,474.
KKR Global Infrastructure Investors	COST	1,119,478.	1,117,231.
Pontifax Global F&A Tech Fund II, LP	COST	156,177.	156,177.
Vector Capital Credit	COST	3,000,000.	2,978,448.
BCIM Strategic Value Offshore 2	COST	2,765,805.	1,222,217.
AEA Investors Fund VII LP	COST	290,568.	288,011.
Total to Form 990-PF, Part II, line 13		62,504,902.	72,062,513.

Form 990-PF Part VIII - List of Officers, Directors Statement 9
 Trustees and Foundation Managers

Name and Address	Title and Avrg Hrs/Wk	Compen- sation	Employee Ben Plan	Expense Contrib	Account
Charles F. Kettering, III 40 North Main St., Suite 1480 Dayton, OH 45423	President 10.00	0.	0.	0.	
Susan S. Kettering 40 North Main St., Suite 1480 Dayton, OH 45423	Vice President 2.00	0.	0.	0.	
Debra L. Williamson 40 North Main St., Suite 1480 Dayton, OH 45423	Vice President 2.00	0.	0.	0.	
Richard J. Lombard 40 North Main St., Suite 1480 Dayton, OH 45423	Assistant Secretary 2.00	0.	0.	0.	
Karen W. Cushnie 40 North Main St., Suite 1480 Dayton, OH 45423	Secretary/Treasurer 2.00	0.	0.	0.	
Lisa S. Kettering 40 North Main St., Suite 1480 Dayton, OH 45423	Assistant Treasurer 2.00	0.	0.	0.	
Kyle W. Kim 40 North Main St., Suite 1480 Dayton, OH 45423	Trustee 2.00	0.	0.	0.	
Jane K. Lombard 40 North Main St., Suite 1480 Dayton, OH 45423	Trustee 2.00	0.	0.	0.	
Douglas E. Williamson 40 North Main St., Suite 1480 Dayton, OH 45423	Trustee 2.00	0.	0.	0.	
Linda K. Danneberg 40 North Main St., Suite 1480 Dayton, OH 45423	Trustee 2.00	0.	0.	0.	
Albert W. Leland 40 North Main St., Suite 1480 Dayton, OH 45423	Chief Operating Officer 5.00	0.	0.	0.	
Totals included on 990-PF, Page 6, Part VIII		0.	0.	0.	

Form 990-PF	Grant Application Submission Information	Statement	10
	Part XV, Lines 2a through 2d		

Name and Address of Person to Whom Applications Should be Submitted

Kettering Family Foundation
40 North Main St.
Dayton, OH 45423

Telephone Number

937-228-1021

Name of Grant Program

See www.ketteringfamilyfoundation.org

Email Address

info@ketteringfamilyphilanthropies.org

Form and Content of Applications

Applicants must complete the request summary on the Foundation's website: www.ketteringfamilyfoundation.org. If there is sufficient trustee interest an expanded proposal will be requested. Proposals are required to be submitted electronically to "proposals@ketteringfamilyfoundation.org". The proposal should include a description of the organization, need, project, finance plan, and project evaluation.

Any Submission Deadlines

Proposal deadlines are March 15th and September 15th of each year.

Restrictions and Limitations on Awards

Restrictions may apply - see the Foundation's website for further information.