

EXTENDED TO NOVEMBER 16, 2020

## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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OMB No 1545-0047

**2019**

Open to Public Inspection

Form **990-PF**Department of the Treasury  
Internal Revenue Service

For calendar year 2019 or tax year beginning

, and ending

Name of foundation <b>THE 1040 FOUNDATION C/O RICK KOOPMAN</b>		A Employer identification number <b>30-6057235</b>
Number and street (or P.O. box number if mail is not delivered to street address) <b>P.O. BOX 65</b>	Room/suite	B Telephone number <b>(303) 442-5474</b>
City or town, state or province, country, and ZIP or foreign postal code <b>BOULDER, CO 80306-0065</b>		C If exemption application is pending, check here <input type="checkbox"/> <b>6</b>
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation <b>0A</b>		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) <b>\$ 2,980,134.</b>	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		1.	1.		STATEMENT 1
4 Dividends and interest from securities		71,120.	71,120.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		61,293.			
b Gross sales price for all assets on line 6a	302,665.				
7 Capital gain net income (from Part IV, line 2)			61,293.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		<7,815.>	<7,815.>		STATEMENT 3
12 Total. Add lines 1 through 11		124,599.	124,599.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 4		2,988.	299.		2,689.
c Other professional fees STMT 5		8,421.	8,421.		0.
17 Interest					
18 Taxes STMT 6		3,650.	703.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 7		15.	15.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		15,074.	9,438.		2,689.
25 Contributions, gifts, grants paid		132,481.			132,481.
26 Total expenses and disbursements. Add lines 24 and 25		147,555.	9,438.		135,170.
27 Subtract line 26 from line 12		<22,956.>			
a Excess of revenue over expenses and disbursements			115,161.		
b Net investment income (if negative, enter -0-)					
c Adjusted net income (if negative, enter -0-)				N/A	

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**Part II Balance Sheets**

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	276,520.	131,887.	131,887.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations STMT 9	157,384.	77,981.	76,825.
	b Investments - corporate stock STMT 10	757,889.	962,897.	2,169,436.
	c Investments - corporate bonds STMT 11	589,195.	589,074.	601,986.
	11 Investments - land, buildings, and equipment basis ▶			
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment basis ▶				
Less accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	1,780,988.	1,761,839.	2,980,134.	
<b>Liabilities</b>	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
<b>Net Assets or Fund Balances</b>	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/>			
	and complete lines 24, 25, 29, and 30.			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/>			
	and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds	0.	0.	
	27 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.	
28 Retained earnings, accumulated income, endowment, or other funds	1,780,988.	1,761,839.		
29 Total net assets or fund balances	1,780,988.	1,761,839.		
30 Total liabilities and net assets/fund balances	1,780,988.	1,761,839.		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	1,780,988.
2 Enter amount from Part I, line 27a	2	<22,956.>
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 8	3	3,807.
4 Add lines 1, 2, and 3	4	1,761,839.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	1,761,839.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
<b>1a</b>			
<b>b</b> SEE ATTACHED STATEMENTS			
<b>c</b>			
<b>d</b>			
<b>e</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
<b>a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b> 302,665.		241,372.	61,293.

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
<b>a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			61,293.

<b>2</b> Capital gain net income or (net capital loss)	<div> <div>If gain, also enter in Part I, line 7</div> <div>If (loss), enter -0- in Part I, line 7</div> </div>	<b>2</b>	61,293.
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	<div> <div>If gain, also enter in Part I, line 8, column (c)</div> <div>If (loss), enter -0- in Part I, line 8</div> </div>	<b>3</b>	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No  
If "Yes," the foundation doesn't qualify under section 4940(e) Do not complete this part

**1** Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2018	129,605.	2,754,795.	.047047
2017	122,686.	2,643,605.	.046409
2016	123,014.	2,480,526.	.049592
2015	120,727.	2,497,107.	.048347
2014	122,043.	2,558,198.	.047707

<b>2</b> Total of line 1, column (d)	<b>2</b>	.239102
<b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	.047820
<b>4</b> Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	<b>4</b>	2,818,417.
<b>5</b> Multiply line 4 by line 3	<b>5</b>	134,777.
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	1,152.
<b>7</b> Add lines 5 and 6	<b>7</b>	135,929.
<b>8</b> Enter qualifying distributions from Part XII, line 4	<b>8</b>	135,170.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate  
See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		1	2,303.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations, enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		2	0.
3 Add lines 1 and 2		3	2,303.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		4	0.
5 Tax based on investment income Subtract line 4 from line 3 If zero or less, enter -0-		5	2,303.
6 Credits/Payments			
a 2019 estimated tax payments and 2018 overpayment credited to 2019	6a	2,800.	
b Exempt foreign organizations - tax withheld at source	6b	0.	
c Tax paid with application for extension of time to file (Form 8868)	6c	0.	
d Backup withholding erroneously withheld	6d	0.	
7 Total credits and payments Add lines 6a through 6d	7	2,800.	
8 Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8	0.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	497.	
11 Enter the amount of line 10 to be Credited to 2020 estimated tax <input type="checkbox"/> 497. Refunded <input type="checkbox"/>	11	0.	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ 0. (2) On foundation managers <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered See instructions <input type="checkbox"/> CT		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

**Part VII-A Statements Regarding Activities** (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	X	
14 The books are in care of ► <u>RICK KOOPMAN</u> Telephone no ► <u>(303) 442-5474</u> Located at ► <u>P.O. BOX 65, BOULDER, CO</u> ZIP+4 ► <u>80306-0065</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A
16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ►	16	X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here ► <input type="checkbox"/>	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► _____ b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions ) <u>N/A</u> c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► _____	2b	
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019 ) <u>N/A</u>	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4a	X
	4b	X

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

N/A

Organizations relying on a current notice regarding disaster assistance, check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

☐ Yes ☒ No

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?

☐ Yes ☒ No**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
RICK KOOPMAN	PRESIDENT			
P.O. BOX 65				
BOULDER, CO 80306-0065	1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2019)



**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	2,713,675.
b	Average of monthly cash balances	1b	147,662.
c	Fair market value of all other assets	1c	0.
d	Total (add lines 1a, b, and c)	1d	2,861,337.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	2,861,337.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	42,920.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,818,417.
6	Minimum investment return. Enter 5% of line 5	6	140,921.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	140,921.
2a	Tax on investment income for 2019 from Part VI, line 5	2a	2,303.
b	Income tax for 2019 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	2,303.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	138,618.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	138,618.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	138,618.

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	135,170.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	135,170.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	135,170.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
<b>1</b> Distributable amount for 2019 from Part XI, line 7				138,618.
<b>2</b> Undistributed Income, if any, as of the end of 2019				
<b>a</b> Enter amount for 2018 only			132,481.	
<b>b</b> Total for prior years		0.		
<b>3</b> Excess distributions carryover, if any, to 2019				
<b>a</b> From 2014				
<b>b</b> From 2015				
<b>c</b> From 2016				
<b>d</b> From 2017				
<b>e</b> From 2018				
<b>f</b> Total of lines 3a through e	0.			
<b>4</b> Qualifying distributions for 2019 from Part XII, line 4 ▶ \$ 135,170.				
<b>a</b> Applied to 2018, but not more than line 2a			132,481.	
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions)		0.		
<b>c</b> Treated as distributions out of corpus (Election required - see instructions)	0.			
<b>d</b> Applied to 2019 distributable amount				2,689.
<b>e</b> Remaining amount distributed out of corpus	0.			
<b>5</b> Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
<b>6</b> Enter the net total of each column as indicated below.				
<b>a</b> Corpus: Add lines 3f, 4c, and 4e. Subtract line 5	0.			
<b>b</b> Prior years' undistributed income: Subtract line 4b from line 2b		0.		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
<b>d</b> Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
<b>e</b> Undistributed income for 2018: Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
<b>f</b> Undistributed income for 2019: Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				135,929.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
<b>8</b> Excess distributions carryover from 2014 not applied on line 5 or line 7	0.			
<b>9</b> Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a	0.			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2015				
<b>b</b> Excess from 2016				
<b>c</b> Excess from 2017				
<b>d</b> Excess from 2018				
<b>e</b> Excess from 2019				

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N/A

- ☐ 4942(i)(3) or ☒ 4942(i)(5)

- (4) Gross investment income**

[illegible]Form **990-PF** (2019)

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
AMERICAN RED CROSS 444 SHERMAN STREET DENVER, CO 80203	N/A	PUBLIC CHARITY	OPERATING SUPPORT	5,000.
ANDERSON RANCH ARTS CENTER 5263 OWL CREEK ROAD SNOWMASS VILLAGE, CO 81615	N/A	PUBLIC CHARITY	OPERATING SUPPORT	5,000.
BOULDER COMMUNITY HEALTH FOUNDATION 4747 ARAPAHOE AVENUE BOULDER, CO 80303	N/A	PUBLIC CHARITY	OPERATING SUPPORT	5,000.
BOULDER JEWISH COMMUNITY CENTER 6007 OREG AVENUE BOULDER, CO 80303	N/A	PUBLIC CHARITY	OPERATING SUPPORT	5,000.
BOULDER MUSEUM OF CONTEMPORARY ART 1750 13TH STREET BOULDER, CO 80302	N/A	PUBLIC CHARITY	OPERATING SUPPORT	5,000.
Total			SEE CONTINUATION SHEET(S)	132,481.
b Approved for future payment				
NONE				
Total				0.





**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	600 SHS ISHARES MSCI EMERGING MKTS MIN ETF	P	12/31/18	12/19/19
b	1,500 SHS ISHARES EDGE MSCI MIN VOL EAFE ETF	P	12/31/18	12/19/19
c	250 SHS MOLSON COORS BREWING CO	P	12/31/18	12/19/19
d	20 SHS APPLE INC	P	10/27/09	12/19/19
e	0.66 SHS DELL TECHNOLOGIES INC	P	08/19/09	02/05/19
f	119.59 SHS DELL TECHNOLOGIES INC	P	08/19/09	12/19/19
g	60.41 SHS DELL TECHNOLOGIES	P	10/27/09	12/19/19
h	25 SHS EXXON MOBIL CORP	P	06/15/05	12/19/19
i	25 SHS JOHNSON & JOHNSON	P	08/18/03	12/19/19
j	40 SHS MICROSOFT CORP	P	11/21/03	12/19/19
k	75,000 NEW YORK ST DORM AUTH REVS BONDS	P	03/07/16	07/01/19
l	20 SHS PARKER-HANNIFIN CORP	P	08/19/09	12/19/19
m	30 SHS TEXAS INSTRUMENTS INC	P	08/19/09	12/19/19
n	20 SHS THERMO FISHER SCIENTIFIC INC	P	08/19/09	12/19/19
o	120 SHS 3M CO	P	10/21/08	07/09/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 34,848.		33,528.	1,320.
b 110,675.		99,846.	10,829.
c 13,154.		13,804.	<650.>
d 5,591.		563.	5,028.
e 32.		17.	15.
f 5,984.		3,125.	2,859.
g 3,023.		1,578.	1,445.
h 1,750.		1,474.	276.
i 3,596.		1,065.	2,531.
j 6,168.		1,007.	5,161.
k 75,000.		74,977.	23.
l 4,128.		955.	3,173.
m 3,792.		714.	3,078.
n 6,393.		899.	5,494.
o 19,811.		7,171.	12,640.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			1,320.
b			10,829.
c			<650.>
d			5,028.
e			15.
f			2,859.
g			1,445.
h			276.
i			2,531.
j			5,161.
k			23.
l			3,173.
m			3,078.
n			5,494.
o			12,640.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	75 SHS VERSUM MATERIALS INC	P	11/18/08	10/07/19
b	CAPITAL GAINS DIVIDENDS			
c				
d				
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3,975.		649.	3,326.
b 4,745.			4,745.
c			
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			3,326.
b			4,745.
c			
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	61,293.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	N/A

**Part XV Supplementary Information**

**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
BOULDER SHELTER FOR THE HOMELESS 4869 N. BROADWAY BOULDER, CO 80304	N/A	PUBLIC CHARITY	OPERATING SUPPORT	5,000.
CLINICA FAMILY HEALTH SERVICES 1735 SOUTH PUBLIC ROAD LAFAYETTE, CO 80026	N/A	PUBLIC CHARITY	OPERATING SUPPORT	10,000.
COLORADO PUBLIC RADIO 7409 SOUTH ALTON COURT CENTENNIAL, CO 80112	N/A	PUBLIC CHARITY	OPERATING SUPPORT	5,000.
COMMUNITY FOOD SHARE 6363 HORIZON LANE LONGMONT, CO 80503	N/A	PUBLIC CHARITY	OPERATING SUPPORT	10,000.
DAIRY CENTER FOR THE ARTS 2590 WALNUT STREET BOULDER, CO 80302	N/A	PUBLIC CHARITY	OPERATING SUPPORT	5,000.
DENVER RESCUE MISSION 3501 E. 46TH AVENUE DENVER, CO 80216	N/A	PUBLIC CHARITY	OPERATING SUPPORT	2,500.
EMERGENCY FAMILY ASSISTANCE ASSOCIATION 900 ARAPAHOE AVENUE BOULDER, CO 80302	N/A	PUBLIC CHARITY	OPERATING SUPPORT	5,000.
ENERGY OUTREACH COLORADO 225 E. 16TH AVENUE DENVER, CO 80203	N/A	PUBLIC CHARITY	OPERATING SUPPORT	5,000.
LONGMONT HUMANE SOCIETY 9595 NELSON ROAD LONGMONT, CO 80501	N/A	PUBLIC CHARITY	OPERATING SUPPORT	2,500.
MEALS ON WHEELS OF BOULDER 909 ARAPAHOE AVENUE BOULDER, CO 80302	N/A	PUBLIC CHARITY	OPERATING SUPPORT	5,000.
Total from continuation sheets				107,481.



**Part XV Supplementary Information**

**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NATIONAL OUTDOOR LEADERSHIP SCHOOL 284 LINCOLN STREET LANDER, WY 82520	N/A	PUBLIC CHARITY	OPERATING SUPPORT	5,000.
PENLAND SCHOOL OF CRAFTS P.O. BOX 37 PENLAND, NC 28765	N/A	PUBLIC CHARITY	OPERATING SUPPORT	2,500.
ROCKY MOUNTAIN PBS 1089 BANNOCK STREET DENVER, CO 80204	N/A	PUBLIC CHARITY	OPERATING SUPPORT	2,500.
SAFEHOUSE PROGRESSIVE ALLIANCE FOR NONVIOLENCE 835 NORTH STREET BOULDER, CO 80304	N/A	PUBLIC CHARITY	OPERATING SUPPORT	5,000.
THE COMMUNITY FOUNDATION SERVING BOULDER COUNTY 1123 SPRUCE STREET BOULDER, CO 80302	N/A	PUBLIC CHARITY	BOULDER COMMUNITY FUND	5,000.
THE HARTFORD FOUNDATION FOR PUBLIC GIVING 10 COLUMBUS BOULEVARD, 8TH FLOOR HARTFORD, CT 06106	N/A	PUBLIC CHARITY	BEATRICE FOX AUERBACH FOUNDATION FUND	10,000.
THE MENTAL HEALTH FOUNDATION P.O. BOX 322 ALBANY, NY 12201	N/A	PUBLIC CHARITY	OPERATING SUPPORT	5,000.
THE SALVATION ARMY 4505 W. ALAMEDA AVENUE DENVER, CO 80219	N/A	PUBLIC CHARITY	OPERATING SUPPORT	2,500.
TRU COMMUNITY CARE 2594 TRAILRIDGE DRIVE EAST LAFAYETTE, CO 80026	N/A	PUBLIC CHARITY	OPERATING SUPPORT	5,000.
VIA MOBILITY SERVICES 2855 N. 63RD STREET BOULDER, CO 80301	N/A	PUBLIC CHARITY	OPERATING SUPPORT	5,000.
Total from continuation sheets				

30-6057235

### 3 Grants and Contributions Paid During the Year (Continuation)

**Total from continuation sheets**

## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
BANK OF AMERICA, N.A.	1.	1.	
TOTAL TO PART I, LINE 3	1.	1.	

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
BANK OF AMERICA, N.A.	75,865.	4,745.	71,120.	71,120.	
TO PART I, LINE 4	75,865.	4,745.	71,120.	71,120.	

## FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
BANK OF AMERICA, N.A.	<7,815.>	<7,815.>	
TOTAL TO FORM 990-PF, PART I, LINE 11	<7,815.>	<7,815.>	

## FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	2,988.	299.		2,689.
TO FORM 990-PF, PG 1, LN 16B	2,988.	299.		2,689.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
INVESTMENT MANAGEMENT FEES	8,421.	8,421.		0.	
TO FORM 990-PF, PG 1, LN 16C	8,421.	8,421.		0.	

FORM 990-PF	TAXES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FOREIGN TAXES PAID	703.	703.		0.	
2018 FORM 990-PF BALANCE DUE	147.	0.		0.	
2019 FORM 990-PF TAX DEPOSITS	2,800.	0.		0.	
TO FORM 990-PF, PG 1, LN 18	3,650.	703.		0.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
INVESTMENT EXPENSES	15.	15.		0.	
TO FORM 990-PF, PG 1, LN 23	15.	15.		0.	

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	8
DESCRIPTION		AMOUNT	
NONTAXABLE DISTRIBUTIONS			585.
TAX-EXEMPT INTEREST INCOME			3,222.
TOTAL TO FORM 990-PF, PART III, LINE 3			3,807.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS			STATEMENT	9
DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE	
75,000 BERGEN CNTY NJ BOND 5.000%		X	77,981.	76,825.	
TOTAL U.S. GOVERNMENT OBLIGATIONS					
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS			77,981.	76,825.	
TOTAL TO FORM 990-PF, PART II, LINE 10A			77,981.	76,825.	

FORM 990-PF	CORPORATE STOCK	STATEMENT	10
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
320 SHS AGILENT TECHNOLOGIES INC	7,050.	27,299.	
600 SHS AT&T INC	15,831.	23,448.	
480 SHS CISCO SYSTEMS INC	8,668.	23,021.	
540 SHS COCA COLA CO	11,582.	29,889.	
230 SHS CONOCOPHILLIPS	5,008.	14,957.	
400 SHS EXXON MOBIL CORP	14,838.	27,912.	
100 SHS INTERNATIONAL BUSINESS MACHINES	5,785.	13,404.	
150 SHS ILLINOIS TOOL WORKS INC	6,771.	26,945.	
200 SHS JOHNSON & JOHNSON	8,519.	29,174.	
360 SHS JPMORGAN CHASE & CO	11,288.	50,184.	
200 SHS MCDONALDS CORP	5,864.	39,522.	
200 SHS MERCK & CO INC	8,386.	18,190.	
510 SHS MICROSOFT CORP	12,114.	80,427.	
230 SHS PEPSICO INC	8,324.	31,434.	
580 SHS PFIZER INC	12,234.	22,724.	
325 SHS PROCTER & GAMBLE CO	15,613.	40,593.	
250 SHS UNITED TECHNOLOGIES CORP	7,677.	37,440.	
500 SHS US BANCORP	15,250.	29,645.	
450 SHS VERIZON COMMUNICATIONS INC	17,579.	27,630.	

200	SHS WALMART INC	10,006.	23,768.
450	SHS WELLS FARGO & CO	9,479.	24,210.
250	SHS DISNEY WALT CO	8,348.	36,157.
120	SHS AIR PRODS & CHEMS INC	5,535.	28,199.
380	SHS COLGATE PALMOLIVE CO	11,883.	26,159.
250	SHS SOUTHERN CO	8,747.	15,925.
200	SHS ABBOTT LABS	4,913.	17,372.
120	SHS AMGEN INC	6,524.	28,928.
290	SHS APPLE INC	8,165.	85,158.
250	SHS CHEVRON CORP	17,257.	30,128.
170	SHS GILEAD SCIENCES INC	3,663.	11,047.
150	SHS HONEYWELL INTL INC	5,390.	26,550.
330	SHS LOWES COMPANIES INC	6,592.	39,521.
80	SHS MCKESSON CORP	4,358.	11,066.
180	SHS PNC FINL SVCS GROUP INC	9,199.	28,733.
200	SHS PRICE T ROWE GROUP INC	10,397.	24,368.
160	SHS PRUDENTIAL FINANCIAL INC	7,843.	14,998.
130	SHS TARGET CORP	5,916.	16,667.
300	SHS TEXAS INSTRUMENTS INC	7,136.	38,487.
100	SHS THERMO FISHER SCIENTIFIC CORP	4,497.	32,487.
270	SHS AXIS CAP HLDGS LTD	7,697.	16,049.
100	SHS PARKER HANNIFIN CORP	4,776.	20,582.
270	SHS PRINCIPAL FINANCIAL GROUP INC	7,247.	14,850.
700	SHS ISHARES RUSSELL 2000 ETF	11,794.	33,134.
40	SHS AMAZON.COM INC	7,898.	73,914.
300	SHS VANGUARD REAL ESTATE ETF	15,750.	27,837.
1,356.487	SHS COLUMBIA MID CAP GROWTH FUND	24,274.	35,377.
125	SHS PHILLIPS 66	3,628.	13,926.
1,775.863	SHS JOHN HANCOCK DISC VALUE FUND	21,133.	39,548.
970.421	SHS ARTISAN INTERNATIONAL VALUE FUND	23,950.	35,867.
180	SHS ABBVIE INC	4,795.	15,937.
380	SHS INVESCO QQQ TRUST SER 1	33,210.	80,792.
200	SHS SPDR S&P 500 ETF TR	39,025.	64,372.
1,400	SHS ISHARES MSCI EMERGING MARKETS ETF	54,878.	62,818.
200	SHS ISHARES RUSSELL MID-CAP ETF	28,920.	41,734.
25	SHS ALPHABET INC	19,878.	33,426.
280	SHS EXELON CORP	8,908.	12,765.
210	SHS SALESFORCE.COM INC	14,634.	34,154.
600	SHS NRG ENERGY INC	6,382.	23,850.
85	SHS BROADCOM INC	15,293.	26,862.
191	SHS JOHNSON CONTROLS INTL PLC	3,040.	7,776.
140	SHS CROWN CASTLE INTL CORP	11,988.	19,901.
40	SHS EQUINIX INC	14,397.	23,348.
350	SHS VANGUARD GLOB EX-US REAL EST ETF	17,689.	20,681.
500	SHS ROCHE HOLDING LTD	13,352.	20,266.
65	SHS ADOBE INC	14,638.	21,438.
100	SHS CONSTELLATION BRANDS INC	18,432.	18,975.
220	SHS CVS HEALTH CORP	14,343.	16,344.
90	SHS GENERAL DYNAMICS CORP	14,040.	15,872.
600	SHS KINDER MORGAN INC	9,175.	12,702.
50	SHS LOCKHEED MARTIN CORP	13,059.	19,469.
1,600	SHS ISHARES MSCI EAFE ETF	110,445.	111,104.
TOTAL TO FORM 990-PF, PART II, LINE 10B		962,897.	2,169,436.

FORM 990-PF

CORPORATE BONDS

STATEMENT 11

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
27,392.465 SHS DOUBLELINE CORE FIXED INCOME FUND	294,195.	303,234.
20,070.838 SHS WELLS FARGO ULTRA ST INCOME FUND	170,000.	171,606.
11,074.197 SHS COLUMBIA TOTAL RETURN BOND FUND	99,879.	102,104.
4,215.852 SHS COLUMBIA STRATEGIC INCOME FUND	25,000.	25,042.
TOTAL TO FORM 990-PF, PART II, LINE 10C	589,074.	601,986.