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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to *www.irs.gov/Form990PF* for instructions and the latest information.

OMB No 1545-0052

2019

Open to Public Inspection

For calendar year 2019, or tax year beginning 01-01-2019 , and ending 12-31-2019

Name of foundation
ANNE R HENRY CHARITABLE FOUNDATION

A Employer identification number
27-6254411

Number and street (or P O box number if mail is not delivered to street address) P O BOX 31 | Room/suite

B Telephone number (see instructions)
(207) 772-3761

City or town, state or province, country, and ZIP or foreign postal code
PORTLAND, ME 041120031

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), *line 16)* ▶$ 5,198,364

J Accounting method ☐ Cash ☐ Accrual ☐ Other (specify) ______
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	98,985	98,985		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	54,558			
	b	Gross sales price for all assets on line 6a 2,002,543				
	7	Capital gain net income (from Part IV, line 2)		54,558		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	153,543	153,543		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	37,473	18,736		18,736
	14	Other employee salaries and wages		0	0	0
	15	Pension plans, employee benefits		0	0	
	16a	Legal fees (attach schedule)				0
	b	Accounting fees (attach schedule)	875	438	0	438
	c	Other professional fees (attach schedule)				0
	17	Interest				0
	18	Taxes (attach schedule) (see instructions)	3,158	1,640		0
	19	Depreciation (attach schedule) and depletion	0	0		
	20	Occupancy				
	21	Travel, conferences, and meetings		0	0	
	22	Printing and publications		0	0	
	23	Other expenses (attach schedule)	93	93		
	24	**Total operating and administrative expenses.** Add lines 13 through 23	41,599	20,907	0	19,174
	25	Contributions, gifts, grants paid	211,635			211,635
	26	**Total expenses and disbursements.** Add lines 24 and 25	253,234	20,907	0	230,809
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-99,691			
	b	**Net investment income** (if negative, enter -0-)		132,636		
	c	**Adjusted net income** (if negative, enter -0-)			0	

Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
1	Cash—non-interest-bearing			
2	Savings and temporary cash investments	524,233	291,025	291,025
3	Accounts receivable ▶			
	Less allowance for doubtful accounts ▶		0	0
4	Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶ 0			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U S and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule)	2,272,207	2,335,650	4,078,696
c	Investments—corporate bonds (attach schedule)			
11	Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)	681,922	761,458	828,643
14	Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	3,478,362	3,388,133	5,198,364
17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities**(add lines 17 through 22)		0	
	Foundations that follow FASB ASC 958, check here ▶ ☐ and complete lines 24, 25, 29 and 30.			
24	Net assets without donor restrictions			
25	Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ ☑ and complete lines 26 through 30.			
26	Capital stock, trust principal, or current funds	3,478,362	3,388,133	
27	Paid-in or capital surplus, or land, bldg , and equipment fund			
28	Retained earnings, accumulated income, endowment, or other funds			
29	**Total net assets or fund balances** (see instructions)	3,478,362	3,388,133	
30	**Total liabilities and net assets/fund balances** (see instructions)	3,478,362	3,388,133	

Side labels: Assets (lines 1–16); Liabilities (lines 17–23); Net Assets or Fund Balances (lines 24–30).

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	3,478,362
2	Enter amount from Part I, line 27a	2	-99,691
3	Other increases not included in line 2 (itemize) ▶	3	9,909
4	Add lines 1, 2, and 3	4	3,388,580
5	Decreases not included in line 2 (itemize) ▶	5	447
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	3,388,133

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	54,558
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2018	226,751	4,522,401	0 05014
2017	224,597	4,219,063	0 053234
2016	223,621	3,873,357	0 057733
2015	223,515	4,154,805	0 053797
2014	231,653	4,281,926	0 0541

2 **Total** of line 1, column (d)	2	0 269004
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	0 053801
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	4,662,689
5 Multiply line 4 by line 3	5	250,857
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,326
7 Add lines 5 and 6	7	252,183
8 Enter qualifying distributions from Part XII, line 4	8	230,809

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	2,653
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0
3	Add lines 1 and 2			3	2,653
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	2,653
6	Credits/Payments				
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a	2,192		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c	0		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	2,192
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	461
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	
11	Enter the amount of line 10 to be **Credited to 2020 estimated tax** ▶ 0 **Refunded** ▶			11	0

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition)	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ ME			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶

14 The books are in care of ▶H M PAYSON Telephone no ▶(207) 772-3761

Located at ▶P O BOX 31 PORTLAND ME ZIP+4 ▶04112

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here . . . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ 15

		Line	Yes	No
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Line	Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?.	☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☑ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?.	☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance? See instructions		1b		No
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?.		1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019?. If "Yes," list the years ▶ 20___, 20___, 20___, 20___	☐ Yes ☑ No			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)		2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20___, 20___, 20___, 20___				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?.	☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2019 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019).*		3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?		4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? See instructions. ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance? See instructions	5b		
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year? ☐ Yes ☑ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
HM PAYSON CO P O BOX 31 PORTLAND, ME 04112	TRUSTEE 1	28,181		
VERRILL DANA P O BOX 586 PORTLAND, ME 04112	TRUSTEE 1	9,292		
ANNE STANLEY 11 ISLAND POND ROAD CUMBERLAND FORESIDE, ME 04110	TRUSTEE 0	0		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000. ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** ***(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services. ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	4,478,965
b	Average of monthly cash balances.	1b	254,729
c	Fair market value of all other assets (see instructions).	1c	0
d	**Total** (add lines 1a, b, and c).	1d	4,733,694
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). 1e 0		
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	4,733,694
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	71,005
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	4,662,689
6	**Minimum investment return.** Enter 5% of line 5.	6	233,134

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6.	1	233,134
2a	Tax on investment income for 2019 from Part VI, line 5. 2a 2,653		
b	Income tax for 2019 (This does not include the tax from Part VI). 2b		
c	Add lines 2a and 2b.	2c	2,653
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	230,481
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	230,481
6	Deduction from distributable amount (see instructions).	6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	230,481

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	230,809
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	230,809
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b See instructions.	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	230,809

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7					230,481
2 Undistributed income, if any, as of the end of 2019					
a Enter amount for 2018 only.				0	
b Total for prior years 20___ , 20___ , 20___			0		
3 Excess distributions carryover, if any, to 2019					
a From 2014.	25,845				
b From 2015.	21,199				
c From 2016.	31,441				
d From 2017.	16,506				
e From 2018.	2,821				
f **Total** of lines 3a through e.		97,812			
4 Qualifying distributions for 2019 from Part XII, line 4 ▶ $ 230,809					
a Applied to 2018, but not more than line 2a				0	
b Applied to undistributed income of prior years (Election required—see instructions).			0		
c Treated as distributions out of corpus (Election required—see instructions).		0			
d Applied to 2019 distributable amount.					230,481
e Remaining amount distributed out of corpus		328			
5 Excess distributions carryover applied to 2019 *(If an amount appears in column (d), the same amount must be shown in column (a))*		0			0
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		98,140			
b Prior years' undistributed income Subtract line 4b from line 2b			0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.			0		
d Subtract line 6c from line 6b Taxable amount —see instructions			0		
e Undistributed income for 2018 Subtract line 4a from line 2a Taxable amount—see instructions				0	
f Undistributed income for 2019 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2020					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)		0			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions) . . .		25,845			
9 **Excess distributions carryover to 2020.** Subtract lines 7 and 8 from line 6a		72,295			
10 Analysis of line 9					
a Excess from 2015. . . .	21,199				
b Excess from 2016. . . .	31,441				
c Excess from 2017. . . .	16,506				
d Excess from 2018. . . .	2,821				
e Excess from 2019. . . .	328				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2019	**(b)** 2018	**(c)** 2017	**(d)** 2016	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc to individuals or organizations under other conditions, complete items 2a, b, c, and d See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				211,635
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	98,985	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	54,558	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				153,543	

13 **Total.** Add line 12, columns (b), (d), and (e). 13 153,543

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? . . . ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2020-04-28	******	May the IRS discuss this return with the preparer shown below (see instr) ☑ Yes ☐ No
Signature of officer or trustee	Date	Title	

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
DOAK ARTHUR PFAFF		2020-04-28		P00878518
Firm's name ▶ ERNST & YOUNG US LLP				Firm's EIN ▶34-6565596
Firm's address ▶ 2100 E CARY STREET SUITE 201 RICHMOND, VA 23223				Phone no (804) 344-4548

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
115 ORACLE CORP		2017-10-06	2019-01-18
100 ORACLE CORP		2017-10-06	2019-01-18
480 ORACLE CORP		2018-06-29	2019-01-18
655 ORACLE CORP		2017-09-27	2019-01-18
90 UNITED TECHNOLOGIES CORP		2017-10-06	2019-01-18
80 UNITED TECHNOLOGIES CORP		2017-10-06	2019-01-18
530 UNITED TECHNOLOGIES CORP		2017-09-27	2019-01-18
285 DANAHER CORP		2015-08-13	2019-02-19
50 DANAHER CORP		2015-08-13	2019-02-19
40 DANAHER CORP		2015-08-13	2019-02-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,657		5,042	615
4,919		4,352	567
23,610		21,152	2,458
32,218		28,466	3,752
10,252		10,623	-371
9,112		9,443	-331
60,370		62,256	-1,886
31,718		19,408	12,310
5,558		3,407	2,151
4,445		2,726	1,719

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			615
			567
			2,458
			3,752
			-371
			-331
			-1,886
			12,310
			2,151
			1,719

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
20 MASTERCARD INC CLASS A		2013-10-14	2019-02-19
20 MASTERCARD INC CLASS A		2013-10-14	2019-02-19
100 MASTERCARD INC CLASS A		2013-10-14	2019-02-19
93 43 TWENTY FIRST CENTURY FOX INC COM CL B SH		2015-11-19	2019-03-19
639 5347 TWENTY FIRST CENTURY FOX INC COM CL B SH		2015-11-19	2019-03-19
110 5369 TWENTY FIRST CENTURY FOX INC COM CL B SH		2015-07-07	2019-03-19
151 DISNEY WALT CO COM		2015-11-19	2019-04-03
785 DISNEY WALT CO COM		2015-07-07	2019-04-03
753 DISNEY WALT CO COM		2015-11-19	2019-04-03
3333 FOX CORPORATION CL B COM		2019-03-19	2019-04-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,410		1,373	3,037
4,410		1,304	3,106
22,080		6,851	15,229
4,760		2,928	1,832
32,582		20,233	12,349
5,632		3,524	2,108
17		10	7
88		55	33
85		51	34
12		13	-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			3,037
			3,106
			15,229
			1,832
			12,349
			2,108
			7
			33
			34
			-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
710 WALGREENS BOOTS ALLIANCE INC COM		2018-09-13	2019-04-03
125 WALGREENS BOOTS ALLIANCE INC COM		2018-09-13	2019-04-03
105 WALGREENS BOOTS ALLIANCE INC COM		2018-09-13	2019-04-03
744 202 OAKMARK INTERNATIONAL FUND CLASS INSTITU		2017-10-06	2019-04-04
216 673 OAKMARK INTERNATIONAL FUND CLASS INSTITU		2018-06-29	2019-04-04
4195 804 OAKMARK INTERNATIONAL FUND CLASS INSTITU		2017-09-27	2019-04-04
768 801 OAKMARK INTERNATIONAL FUND CLASS INSTITU		2018-06-29	2019-04-04
196 604 OAKMARK INTERNATIONAL FUND CLASS INSTITU		2018-06-29	2019-04-04
647 283 OAKMARK INTERNATIONAL FUND CLASS INSTITU		2017-10-06	2019-04-04
7734 832 OAKMARK INTERNATIONAL FUND CLASS INSTITU		2018-06-29	2019-05-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
38,797		50,070	-11,273
6,824		8,822	-1,998
5,731		7,412	-1,681
17,347		21,500	-4,153
5,051		5,725	-674
97,804		120,000	-22,196
17,921		20,312	-2,391
4,583		5,194	-611
15,088		18,700	-3,612
171,791		204,354	-32,563

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-11,273
			-1,998
			-1,681
			-4,153
			-674
			-22,196
			-2,391
			-611
			-3,612
			-32,563

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
960 058 OAKMARK INTERNATIONAL FUND CLASS INSTITU		2018-06-29	2019-05-21
1149 603 OAKMARK INTERNATIONAL FUND CLASS INSTITU		2018-06-29	2019-05-21
140 FOX CORPORATION CL B COM		2019-03-19	2019-05-23
810 FOX CORPORATION CL B COM		2019-03-19	2019-05-23
118 FOX CORPORATION CL B COM		2019-03-19	2019-05-23
2773 392 BARON EMERGING MARKETS INSTITUTIONAL		2019-02-11	2019-06-12
507 98 BARON EMERGING MARKETS INSTITUTIONAL		2017-10-06	2019-06-12
412 288 BARON EMERGING MARKETS INSTITUTIONAL		2017-10-06	2019-06-12
3154 45 BARON EMERGING MARKETS INSTITUTIONAL		2017-09-27	2019-06-12
15203 486 BARON EMERGING MARKETS INSTITUTIONAL		2019-02-11	2019-06-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
21,323		25,365	-4,042
25,533		30,373	-4,840
5,101		5,632	-531
29,554		32,582	-3,028
4,298		4,747	-449
37,690		38,239	-549
6,903		7,452	-549
5,603		6,048	-445
42,869		45,014	-2,145
206,615		209,728	-3,113

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-4,042
			-4,840
			-531
			-3,028
			-449
			-549
			-549
			-445
			-2,145
			-3,113

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
2182 822 BARON EMERGING MARKETS INSTITUTIONAL		2019-02-11	2019-06-12
1502 672 DFA EMERGING MARKETS CORE EQUITY PORTFOL		2019-04-04	2019-06-12
7897 75 DFA EMERGING MARKETS CORE EQUITY PORTFOL		2019-04-04	2019-06-12
1326 864 DFA EMERGING MARKETS CORE EQUITY PORTFOL		2019-04-04	2019-06-12
435 VANGUARD FTSE DEVELOPED MARKETS ETF (MKT		2019-05-24	2019-06-28
385 VANGUARD FTSE DEVELOPED MARKETS ETF (MKT		2019-05-24	2019-06-28
195 AFLAC INC		2010-09-13	2019-07-11
25 AFLAC INC		2013-03-07	2019-07-11
30 AFLAC INC		2013-03-07	2019-07-11
495 DISCOVER FINANCIAL SERVICES		2015-12-08	2019-07-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
29,665		30,184	-519
30,670		32,052	-1,382
161,193		168,459	-7,266
27,081		28,302	-1,221
18,114		17,638	476
16,031		15,599	432
10,937		5,073	5,864
1,395		645	750
1,676		774	902
45,517		28,379	17,138

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-519
			-1,382
			-7,266
			-1,221
			476
			432
			5,864
			750
			902
			17,138

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
75 DISCOVER FINANCIAL SERVICES		2015-12-08	2019-07-29
675 FISERV INC		2018-06-29	2019-07-29
90 FISERV INC		2018-06-29	2019-07-29
50 FISERV INC		2018-06-29	2019-07-29
55 MICROSOFT CORP		2012-10-31	2019-07-29
50 MICROSOFT CORP		2017-10-06	2019-07-29
2300 VANGUARD FTSE DEVELOPED MARKETS ETF (MKT		2019-05-24	2019-07-29
75 DISCOVER FINANCIAL SERVICES		2015-12-08	2019-08-08
500 DISCOVER FINANCIAL SERVICES		2015-12-08	2019-08-08
190 DISCOVER FINANCIAL SERVICES		2015-12-08	2019-08-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,890		4,304	2,586
70,410		50,170	20,240
9,381		6,696	2,685
5,208		3,724	1,484
7,749		1,578	6,171
7,043		2,617	4,426
95,486		93,248	2,238
6,342		4,189	2,153
42,324		27,881	14,443
16,078		10,784	5,294

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2,586
			20,240
			2,685
			1,484
			6,171
			4,426
			2,238
			2,153
			14,443
			5,294

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
530 POLARIS INDUSTRIES INC		2019-02-19	2019-08-08
95 POLARIS INDUSTRIES INC		2019-02-19	2019-08-08
80 POLARIS INDUSTRIES INC		2019-02-19	2019-08-08
40 APPLE INC		2013-03-18	2019-08-09
80 HASBRO INC		2018-06-29	2019-08-09
35 APPLE INC		2012-10-31	2019-08-12
585 VANGUARD FTSE DEVELOPED MARKETS ETF (MKT		2019-02-13	2019-09-11
4185 VANGUARD FTSE DEVELOPED MARKETS ETF (MKT		2019-05-24	2019-09-11
700 VANGUARD FTSE DEVELOPED MARKETS ETF (MKT		2019-02-13	2019-09-11
260 GENERAL DYNAMICS CORP		2017-09-27	2019-12-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
48,805		45,691	3,114
8,741		8,197	544
7,360		6,904	456
8,093		2,567	5,526
9,206		7,343	1,863
7,031		2,950	4,081
24,134		23,264	870
172,703		166,584	6,119
28,880		27,800	1,080
45,839		53,903	-8,064

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			3,114
			544
			456
			5,526
			1,863
			4,081
			870
			6,119
			1,080
			-8,064

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
			4,200

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
MAINE COAST HERITAGE TRUST 1 BOWDOIN MILL ISLAND STE 201 TOPSHAM, ME 04086	NONE	PC	GENERAL PURPOSE	15,000
GOOD SHEPHERD FOOD BANK P O BOX 1807 AUBURN, ME 04112	NONE	PC	GENERAL PURPOSE	7,500
PORTLAND WIDOWS WOOD SOCIETY P O BOX 31 PORTLAND, ME 04112	NONE	SO I	GENERAL PURPOSE	7,500
Total . . . . ▶ **3a**				211,635

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
PREBLE STREETP O BOX 1459 PORTLAND, ME 04104	NONE	PC	GENERAL PURPOSE	15,000
MAINE STATE MUSIC THEATRE 22 ELM ST BRUNSWICK, ME 04011	NONE	PC	PROFESSIONAL PERFORMANCES &	7,500
OPERA MAINEPO BOX 7733 PORTLAND, ME 04112	NONE	PC	OPERA PERFORMANCES	7,500
Total . . . . ▶ **3a**				211,635

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
USM FOUNDATIONP O BOX 9300 PORTLAND, ME 04104	NONE	PC	GENERAL OPERATING SUPPORT	22,085
USM FOUNDATIONP O BOX 9300 PORTLAND, ME 04104	NONE	PC	SCHOLARSHIPS TO USM SCHOOL	29,550
MAINE HISTORICAL SOCIETY 489 CONGRESS ST PORTLAND, ME 04101	NONE	PC	GENERAL PURPOSE	7,500
Total . . . . ▶ **3a**				211,635

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
MAINE MEDICAL CENTER 22 BRAMHALL ST PORTLAND, ME 04102	NONE	PC	GENERAL PUROSE	15,000
ST ALBANS EPISCOPAL CHURCH 885 SHORE RD CAP ELIZABETH, ME 04107	NONE	PC	GENERAL PURPOSE	15,000
MAINE AUDUBON 20 GILSLAND FARM RD FALMOUTH, ME 04105	NONE	PC	GENERAL PURPOSE	7,500
Total . . . . ▶ **3a**				211,635

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
MAINE BEHAVIORAL HEALTHCARE 123 ANDOVER RD WESTBROOK, ME 04092	NONE	PC	GENERAL PURPOSE	7,500
CHILDRENS MUSEUM & THEATRE OF MAINE P O BOX 4041 PORTLAND, ME 04112	NONE	PC	GENERAL PURPOSE	10,000
PORTLAND MUSEUM OF ART 7 CONGRESS SQ PORTLAND, ME 04101	NONE	PC	ART EXHIBITS & EDUCATIONAL	7,500
Total . . . . ▶ **3a**				211,635

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
PORTLAND PUBLIC LIBRARY 5 MONUMENT SQUARE PORTLAND, ME 04101	NONE	PC	GENERAL PURPOSE	7,500
FALMOUTH MEMORIAL LIBRARY 5 LUNT RD FALMOUTH, ME 04105	NONE	PC	GENERAL PURPOSE	7,500
PORTLAND SYMPHONY ORCHESTRA P O BOX 3573 PORTLAND, ME 04104	NONE	PC	GENERAL PURPOSE	15,000
Total . . . . ▶ **3a**				211,635

TY 2019 Accounting Fees Schedule

Name: ANNE R HENRY CHARITABLE FOUNDATION

EIN: 27-6254411

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION FEE (NON-ALLOC	875	438		438

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TY 2019 Investments Corporate Stock Schedule

Name: ANNE R HENRY CHARITABLE FOUNDATION

EIN: 27-6254411

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
MCDONALDS CORP	52,188	66,199
AFLAC INC	41,543	84,640
JOHNSON & JOHNSON	61,986	96,274
APPLE INC	67,275	327,420
INTEL CORP	56,097	154,473
MICROSOFT CORP	28,074	166,216
DANAHER CORP	34,735	85,335
INTERNATIONAL BUSINESS MACHINE	69,069	67,690
MASTERCARD INC CLASS A	35,376	171,689
ORACLE CORP		
PFIZER INC	103,834	141,440
JPMORGAN CHASE & CO	34,384	87,125
CUMMINS INC	89,438	148,537
DISCOVER FINANCIAL SERVICES		
ALPHABET INC CAP STK CL A	26,440	46,879
ALPHABET INC CAP STK CL C	81,273	147,072
AMERICAN EXPRESS CO	76,417	95,235
CISCO SYSTEMS INC	58,078	104,313
PHILLIPS 66	89,638	128,122
AMAZON.COM INC	77,107	147,827
AMGEN INC	62,070	80,758
DIAGEO PLC SPONSORED ADR	80,066	102,736
GENERAL DYNAMICS CORP	71,984	60,841
HOME DEPOT INC	86,150	115,741
ACCENTURE PLC A	54,032	83,175
BROADCOM INC COM	90,465	110,607
CDW CORP COM	97,513	162,123
FISERV INC		
HASBRO INC	52,330	60,198
S&P GLOBAL INC COM	50,467	66,897

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
TJX COS INC NEW	87,442	144,102
TEXAS INSTRUMENTS INC	60,627	70,560
TWENTY FIRST CENTURY FOX INC C		
UNITED TECHNOLOGIES CORP		
VISA INC CLASS A SHARES	46,454	187,900
WALGREENS BOOTS ALLIANCE INC C		
MEDTRONIC PLC SHS	63,740	77,146
BANK OF AMERICA CORP	99,929	126,264
DISNEY WALT CO COM	68,669	154,031
MARRIOTT INTERNATIONAL INC CL	87,558	101,458
RAYTHEON COMPANY	93,202	107,673

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TY 2019 Investments - Other Schedule

Name: ANNE R HENRY CHARITABLE FOUNDATION

EIN: 27-6254411

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
BAILLIE GIFFORD EAFE PURE K	AT COST	113,075	123,226
BAILLIE GIFFORD EMERGING MARKE	AT COST	535,308	583,580
WCM FOCUSED INTERNATIONAL GROW	AT COST	113,075	121,837
BARON EMERGING MARKETS			
OAKMARK INTERNATIONAL FUND CLA			

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TY 2019 Other Decreases Schedule

Name: ANNE R HENRY CHARITABLE FOUNDATION

EIN: 27-6254411

Description	Amount
2019 TRANS POSTED IN 2020	443
ROUNDING	4

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TY 2019 Other Expenses Schedule

Name: ANNE R HENRY CHARITABLE FOUNDATION

EIN: 27-6254411

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OTHER ALLOCABLE EXPENSE-PRINCI	81	81		0
OTHER EXPENSE (NON-DEDUCTIBLE	12	12		0

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TY 2019 Other Increases Schedule

Name: ANNE R HENRY CHARITABLE FOUNDATION

EIN: 27-6254411

Description	Amount
2018 TRANS POSTED IN 2019	579
2018 WASH SALE BASIS ADJUSTMENT	1,265
2019 SALES SETTLED IN 2020	8,065

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TY 2019 Taxes Schedule

Name: ANNE R HENRY CHARITABLE FOUNDATION

EIN: 27-6254411

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL ESTIMATES - PRINCIPAL	1,518	0		0
FOREIGN TAXES ON QUALIFIED FOR	1,098	1,098		0
FOREIGN TAXES ON NONQUALIFIED	542	542		0