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Form **990-PF****Return of Private Foundation**

OMB No 1545-0047

Department of the Treasury
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

2019

Open to Public Inspection

For calendar year 2019 or tax year beginning

, 2019, and ending

, 20

Name of foundation

BERGER NORTH FOUNDATION

A Employer identification number

27-3132546

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

B Telephone number (see instructions)

1624 HIGHWAY 395, SUITE #3

(775) 782-3013

City or town, state or province, country, and ZIP or foreign postal code

MINDEN, NV 89423

G Check all that apply

Initial return

Initial return of a former public charity

Final return

Amended return

Address change

Name change

H Check type of organization

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation

I Fair market value of all assets at

J Accounting method ☒ Cash ☐ Accrual

end of year (from Part II, col (c), line

☐ Other (specify)

16) ▶ \$ 18,851,726.

(Part I, column (d), must be on cash basis)

C If exemption application is pending, check here. ☐D 1 Foreign organizations, check here. ☐2 Foreign organizations meeting the 85% test, check here and attach computation. ☐E If private foundation status was terminated under section 507(b)(1)(A), check here. ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here. ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

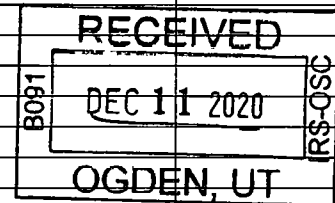
(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check ☒ if the foundation is not required to attach Sch. B				
3 Interest on savings and temporary cash investments	4,480.	4,480.		
4 Dividends and interest from securities	427,166.	427,166.		
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	169,124.			
b Gross sales price for all assets on line 6a	8,363,065.			
7 Capital gain net income (from Part IV, line 2)		167,710.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule) ATCH. 1	2,122.	7,840.		
12 Total Add lines 1 through 11	602,892.	607,196.		
13 Compensation of officers, directors, trustees, etc.	122,015.			122,015.
14 Other employee salaries and wages				
15 Pension plans, employee benefits	55,057.			55,057.
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule)				
c Other professional fees (attach schedule) [2]	179,044.	179,044.		
17 Interest				
18 Taxes (attach schedule) (see instructions) [3]	13,156.	1,283.		
19 Depreciation (attach schedule) and depletion				
20 Occupancy	7,548.			7,548.
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule) ATCH. 4	80,268.	29,053.		51,215.
24 Total operating and administrative expenses. Add lines 13 through 23.	457,088.	209,380.		235,835.
25 Contributions, gifts, grants paid	654,230.			654,230.
26 Total expenses and disbursements Add lines 24 and 25	1,111,318.	209,380.		890,065.
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements	-508,426.			
b Net investment income (if negative, enter -0-)		397,816.		
c Adjusted net income (if negative, enter -0-)				



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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	207,374.	297,498.	297,498.
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U S and state government obligations (attach schedule) [5]	3,019,745.	2,898,640.	2,963,562.
	b	Investments - corporate stock (attach schedule) ATCH 6	12,896,173.	12,139,807.	13,586,099.
	c	Investments - corporate bonds (attach schedule) ATCH 7	1,426,050.	1,485,852.	1,490,190.
Liabilities	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation ▶ (attach schedule)			
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule) ATCH 8	202,430.	421,549.	514,377.
	14	Land, buildings and equipment basis ▶			
		Less accumulated depreciation ▶ (attach schedule)			
	15	Other assets (describe ▶)			
	16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	17,751,772.	17,243,346.	18,851,726.
	17	Accounts payable and accrued expenses			
	18	Grants payable			
Net Assets or Fund Balances	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)	0.	0.	
		Foundations that follow FASB ASC 958, check here ▶ ☐ and complete lines 24, 25, 29, and 30			
	24	Net assets without donor restrictions			
	25	Net assets with donor restrictions			
		Foundations that do not follow FASB ASC 958, check here ▶ ☒ and complete lines 26 through 30			
	26	Capital stock, trust principal, or current funds			
	27	Paid-in or capital surplus, or land, bldg, and equipment fund			
	28	Retained earnings, accumulated income, endowment, or other funds	17,751,772.	17,243,346.	
	29	Total net assets or fund balances (see instructions)	17,751,772.	17,243,346.	
	30	Total liabilities and net assets/fund balances (see instructions)	17,751,772.	17,243,346.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	17,751,772.
2	Enter amount from Part I, line 27a	2	-508,426.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	17,243,346.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	17,243,346.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day , yr)	(d) Date sold (mo , day , yr)
1 a	SEE PART IV SCHEDULE			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	167,710.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) See instructions If (loss), enter -0- in Part I, line 8	3	0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2018	935,953.	18,245,833.	0.051297
2017	745,242.	17,868,312.	0.041707
2016	752,059.	16,061,165.	0.046825
2015	801,468.	16,787,910.	0.047741
2014	1,027,720.	17,967,408.	0.057199

2	Total of line 1, column (d)	2	0.244769
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	0.048954
4	Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	17,922,637.
5	Multiply line 4 by line 3.	5	877,385.
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	3,978.
7	Add lines 5 and 6.	7	881,363.
8	Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	890,065.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	3,978.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		2	
3 Add lines 1 and 2		3	3,978.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		4	0.
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-		5	3,978.
6 Credits/Payments			
a 2019 estimated tax payments and 2018 overpayment credited to 2019	6a	32,477.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d	7	32,477.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	28,499.	
11 Enter the amount of line 10 to be Credited to 2020 estimated tax ► 4,000. Refunded ►	11	24,499.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ► \$ _____ (2) On foundation managers ► \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered See instructions ► NV,		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions.		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions.		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	X	
14 The books are in care of ▶ BERGER NORTH FOUNDATION Telephone no ▶ 800-839-1754 Located at ▶ 1624 HIGHWAY 395, SUITE #3 MINDEN, NV . ZIP+4 ▶ 89423		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here ▶ 15 and enter the amount of tax-exempt interest received or accrued during the year ▶		
16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?		X
See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b	X
Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? ☐ Yes ☒ No If "Yes," list the years ▶		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

		Yes	No
5a	During the year, did the foundation pay or incur any amount to		
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes	☒ No
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes	☒ No
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes	☒ No
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	☐ Yes	☒ No
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes	☒ No
b	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.		
	Organizations relying on a current notice regarding disaster assistance, check here	☐	
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes	☐ No
	If "Yes," attach the statement required by Regulations section 53.4945-5(d)		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes	☒ No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		
	If "Yes" to 6b, file Form 8870		
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes	☒ No
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	☐ Yes	☒ No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 9		122,015.	46,239.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000. ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

[illegible]

Total number of others receiving over \$50,000 for professional services

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B	Summary of Program-Related Investments (see instructions)
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Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2		Amount
1	NONE	
2		
All other program-related investments See instructions		
3	NONE	

Total. Add lines 1 through 3

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	17,528,139.
b	Average of monthly cash balances	1b	240,457.
c	Fair market value of all other assets (see instructions)	1c	426,975.
d	Total (add lines 1a, b, and c)	1d	18,195,571.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	18,195,571.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	272,934.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	17,922,637.
6	Minimum investment return. Enter 5% of line 5	6	896,132.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	896,132.
2a	Tax on investment income for 2019 from Part VI, line 5	2a	3,978.
b	Income tax for 2019 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	3,978.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	892,154.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	892,154.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	892,154.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	890,065.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	890,065.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	3,978.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	886,087.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				892,154.
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only.			856,093.	
b Total for prior years 20 17 , 20 16 , 20 15				
3 Excess distributions carryover, if any, to 2019				
a From 2014				
b From 2015				
c From 2016				
d From 2017				
e From 2018				
f Total of lines 3a through e				
4 Qualifying distributions for 2019 from Part XII, line 4 ▶ \$ 890,065			856,093.	
a Applied to 2018, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2019 distributable amount.				33,972.
e Remaining amount distributed out of corpus.				
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2018 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2019 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2020.				858,182.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2015				
b Excess from 2016				
c Excess from 2017				
d Excess from 2018				
e Excess from 2019				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years				(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4, for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets.					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information *(continued)***3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year ATCH 11				
Total			3a	654,230.
b Approved for future payment				
Total			3b	

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

JSA
9E1492 1 000

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a	Transfers from the reporting foundation to a noncharitable exempt organization of		
	(1) Cash	1a(1)	X
	(2) Other assets	1a(2)	X
b	Other transactions		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)	X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)	X
	(3) Rental of facilities, equipment, or other assets	1b(3)	X
	(4) Reimbursement arrangements	1b(4)	X
	(5) Loans or loan guarantees	1b(5)	X
	(6) Performance of services or membership or fundraising solicitations	1b(6)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

12/9/2020
Date

Trustee

May the IRS discuss this return with the preparer shown below?

See instructions ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

JEFFREY D HASKELL

Preparer's signature

JEFFREY D HASKELL

Date

11/15/2020

Check ☐ if self-employed

PTIN

P01345770

Firm's name	FOUNDATION SOURCE
-------------	-------------------

Firm's address ► ONE HOLLOW LN, STE 212
LAKE SUCCESS, NY

11042

Firm's EIN ▶ 510398347

Phone no 800-839-1754

Form **990-PF** (2019)

Berger North Foundation
Taxable Year Ending December 31, 2019

27-3132546

Part I, Line 6a (990-PF) - Net Gain/(Loss) from Sales of Assets Not Included in Part IV

<u>Name</u>	<u>Date Acquired</u>	<u>Acquisition Method</u>	<u>Date Sold</u>	<u>Gross Sales Price</u>	<u>Book Basis</u>	<u>Net Gain/(Loss)</u>
Publicly-traded Securities				\$ 8,363,066	\$ 8,234,952	\$ 128,114
Passthrough K-1 Capital Gain/(Loss) - non UBI						\$ 39,596
Total included in Part IV				<u>\$ 8,363,066</u>	<u>\$ 8,234,952</u>	<u>\$ 167,710</u>
Passthrough K-1 Capital Gain/(Loss) - UBI						<u>\$ 1,414</u>
Part I, Line 6a Total						<u>\$ 169,124</u>

BERGER NORTH FOUNDATION

2019 FORM 990-PF

27-3132546

ATTACHMENT 1

FORM 990PF, PART I - OTHER INCOME

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
K-1 INC/LOSS CAA-MONTAUK TRIGUARD VIII A	441.	441.
K-1 INC/LOSS MONTAUK TRIGUARD FUND VII L	1,680.	7,398.
SEC 965 INCOME	1.	1.
TOTALS	<u>2,122.</u>	<u>7,840.</u>

ATTACHMENT 1

BERGER NORTH FOUNDATION

2019 FORM 990-PF

27-3132546

ATTACHMENT 2

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
INVESTMENT MANAGEMENT SERVICES	179,044.	179,044.
TOTALS	<u>179,044.</u>	<u>179,044.</u>

ATTACHMENT 2

BERGER NORTH FOUNDATION

2019 FORM 990-PF

27-3132546

ATTACHMENT 3

FORM 990PF, PART I TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
990-PF ESTIMATED TAX FOR 2019	3,900.	
990-PF EXTENSION FOR 2018	7,973.	
FOREIGN TAX PAID	1,283.	1,283.
TOTALS	<u>13,156.</u>	<u>1,283.</u>

ATTACHMENT 3

BERGER NORTH FOUNDATION

2019 FORM 990-PF

27-3132546

ATTACHMENT 4

FORM 990PF, PART I OTHER EXPENSES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>CHARITABLE PURPOSES</u>
ADMINISTRATIVE FEES	50,259.		50,259.
BANK CHARGES	210.	210.	
K-1 EXP CAA-MONTAUK TRIGUARD V	19,447.	19,447.	
K-1 EXP MONTAUK TRIGUARD FUND	9,396.	9,396.	
POSTAGE/DELIVERY SERVICE	83.		83.
PROPERTY INSURANCE	575.		575.
WEBSITE HOSTING/SUPPORT	298.		298.
TOTALS	<u>80,268.</u>	<u>29,053.</u>	<u>51,215.</u>

ATTACHMENT 4

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONSATTACHMENT 5

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
FANNIE MAE - 2.495% - 01/19/20	230,891.	230,148.
FHLMC - 2.375% - 01/13/2022	192,494.	198,007.
U.S - 1.375% - 06/30/2023	647,714.	678,792.
UMBS MA3866 - 3.500% - 12/01/2	222,353.	222,090.
UNIFORM MBS - 3.000% - 02/01/2	219,973.	219,744.
US T NTS - 1.650% - 05/15/2026	188,053.	183,128.
US T NTS - 2.125% - 06/30/2021	211,774.	216,646.
US T NTS - 2.125% - 06/30/2022	397,547.	405,368.
US T NTS - 2.500% - 05/15/2024	48,744.	51,703.
US OBLIGATIONS TOTAL	<u>2,359,543.</u>	<u>2,405,626.</u>
MASS ST HSGFIN AGY - 3.506% -	240,010.	250,505.
NEW JERSEY ST - 2.384% - 06/15	150,010.	150,570.
NEW YORK, NY - 3.150% - 04/01/	149,077.	156,861.
STATE OBLIGATIONS TOTAL	<u>539,097.</u>	<u>557,936.</u>
US AND STATE OBLIGATIONS TOTAL	<u>2,898,640.</u>	<u>2,963,562.</u>

ATTACHMENT 6FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
3M CO	37,225.	32,814.
AARON'S INC	3,695.	3,998.
ABBOTT LABS	39,776.	47,947.
ABBVIE INC	54,661.	61,978.
ABIOMED	7,301.	3,582.
ACADIA HEALTHCARE CO INC	4,475.	4,385.
ACADIA RLTY TR	5,201.	4,901.
ACCENTURE PLC	30,763.	38,955.
ACTIVISION BLIZZARD INC	14,803.	15,033.
ACUITY BRANDS INC	3,609.	3,864.
ADOBE SYSTEMS, INC	53,663.	74,867.
ADVANCE AUTO PARTS INC	4,548.	4,484.
ADVANCED ENERGY IND INC	5,141.	7,832.
ADVANCED MICRO DEVICES INC	7,881.	14,308.
AECOM TECHNOLOGY CORPORATION	6,086.	8,712.
AES CORP	2,398.	3,363.
AFFILIATED MANAGERS GROUP	1,728.	1,017.
AFLAC INC	7,869.	8,993.
AGILENT TECHNOLOGIES INC	4,692.	5,716.
AIR PRODS & CHEM INC	16,107.	23,734.
AKAMAI TECH COM STK	2,767.	3,369.
ALASKA AIR GROUP INC	16,133.	16,734.
ALBEMARLE CP	2,864.	2,118.
ALEXION PHARMACEUTICALS, INC	9,875.	8,436.
ALIBABA GROUP HOLDING LTD	10,865.	12,514.
ALIGN TECHNOLOGY, INC	8,214.	8,092.
ALKERMES	3,672.	2,346.
ALLEGHANY CP	8,009.	10,394.
ALLEGION PLC	1,877.	2,615.

ATTACHMENT 6 (CONT'D)FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
ALLERGAN PLC	17,264.	19,691.
ALLETE INC	8,830.	8,685.
ALLIANCE DATA SYSTEM CORP	25,055.	17,167.
ALLIANT CORP	1,897.	2,408.
ALLSTATE CORP	7,851.	9,333.
ALLY FINANCIAL INC	5,969.	7,029.
ALNYLAM PHARMACEUTICALS, INC	3,747.	5,183.
ALPHABET INC CL A	107,475.	127,242.
ALPHABET INC CL C	108,922.	129,691.
ALTRIA GROUP INC	46,249.	39,778.
AMAZON COM	232,576.	243,914.
AMC NETWORKS INC	3,806.	2,410.
AMER INTERNATIONAL GROUP INC	16,501.	17,298.
AMEREN CORPORATION	2,177.	2,534.
AMERICAN AIRLINES GROUP INC	4,980.	3,786.
AMERICAN ASSETS TRUST INC	6,976.	7,069.
AMERICAN EAGLES OUTFITTERS INC	3,572.	2,602.
AMERICAN ELECTRIC POWER INC	33,520.	49,051.
AMERICAN EXPRESS CO	21,801.	24,898.
AMERICAN STATES WATER CO	5,483.	6,758.
AMERICAN TOWER REIT INC	17,872.	25,740.
AMERICAN WATER WORKS COMPANY I	3,966.	5,283.
AMERIPRISE FINANCIAL INC	18,668.	24,487.
AMERISOURCEBERGEN CORP	2,819.	2,721.
AMETEK INC	1,926.	2,494.
AMGEN INC	74,011.	92,812.
AMPHENOL CORPORATION	5,442.	6,386.
ANALOG DEVICES INC	27,031.	34,701.
ANGEL OAK MULTI-STRATEGY INCOM	304,795.	299,311.

ATTACHMENT 6 (CONT'D)FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
ANNALY MTG MGMT INC. COM	8,331.	7,724.
ANSYS INC	10,204.	15,959.
ANTHEM INC	19,424.	20,840.
ANWORTH MORTGAGE ASSET CORP	6,773.	5,745.
AON PLC	8,143.	10,831.
APACHE CORPORATION	3,354.	2,073.
APARTMENT INVESTMENT AND MANAG	1,748.	2,014.
APOLLO COMMERCIAL REAL ESTATE	5,214.	5,304.
APPLE INC	251,686.	382,919.
APPLIED MATERIALS INC	22,079.	28,567.
APTIV PLC	7,091.	7,977.
AQUA AMERICA INC	7,470.	9,857.
ARAMARK	3,742.	5,468.
ARCH CAPITAL GROUP LTD	8,156.	10,894.
ARCHER DANIELS MDLND	5,272.	5,099.
ARCONIC INC	7,497.	11,970.
ARISTA NETWORKS	7,378.	5,492.
ASPEN TECHNOLOGY, INC	3,734.	4,595.
ASTEC INDUSTRIES, INC.	3,765.	4,074.
ASTRAZENECA	25,625.	42,231.
AT&T, INC	106,864.	125,916.
ATLANTIC POWER CORP	3,607.	3,148.
ATLASSIAN CORP PLC	3,962.	4,573.
ATMOS ENERGY CORP	2,261.	2,573.
AUTODESK, INC	7,470.	9,356.
AUTOMATIC DATA PROCESSING INC	52,961.	82,181.
AUTOZONE INC	18,189.	22,635.
AVALONBAY CMNTYS INC	3,489.	3,984.
AVERY DENNISON CORP	1,781.	2,093.

ATTACHMENT 6 (CONT'D)FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
BAKER HUGHES COMPANY	3,357.	3,152.
BALL CP	3,415.	4,786.
BANCORPSOUTH BANK	7,052.	7,413.
BANK NEW YORK MELLON CORP COM	22,407.	21,793.
BANK OF AMERICA CORP	48,294.	65,263.
BANK OZK	10,274.	10,099.
BAXTER INTERNATIONAL INC	8,081.	9,198.
BECTON DICKINSON & CO	15,307.	16,590.
BENCHMARK ELECTRS INC	5,129.	6,735.
BERKSHIRE HATHAWAY INC. CLASS	103,990.	111,891.
BEST BUY INC	6,860.	8,341.
BIO TECHNE CORPORATION	3,790.	4,390.
BIOGEN INC	14,900.	13,650.
BIOMARIN PHARMACEUTICAL INC	6,389.	6,003.
BIOXCEL THERAPEUTICS INC	4,233.	5,742.
BLACKROCK INC	29,377.	35,189.
BLUEBIRD BIO INC	3,748.	2,369.
BOEING CO	67,636.	59,614.
BOK FINANCIAL CORP	10,655.	11,886.
BOOKING HOLDINGS INC	20,445.	22,591.
BOOZ ALLEN HAMILTON HOLDING CO	3,839.	5,121.
BORG WARNER INC	2,287.	2,776.
BOSTON PPTYS INC	4,682.	4,963.
BOSTON SCIENTIFIC	22,629.	25,685.
BRIGHTHOUSE FINANCIAL INC	1,761.	1,530.
BRISTOL-MYERS SQUIBB CO	56,870.	63,356.
BROADCOM INC	29,162.	37,290.
BROADRIDGE FINANCIAL	3,122.	3,212.
BROWN FORMAN CORP CL B	6,279.	8,518.

ATTACHMENT 6 (CONT'D)FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
BRYN MAWR BANK CORP	6,763.	7,299.
BUNGE LTD	3,717.	4,259.
BURLINGTON STORES, INC	3,875.	6,157.
BWX TECHNOLOGIES INC	5,407.	6,891.
C H ROBINSON WORLDWIDE INC	2,813.	2,346.
CABLE ONE INC	5,521.	8,931.
CABOT CP	3,585.	3,897.
CABOT OIL & GAS CORP	20,494.	15,773.
CADENCE DESIGN SYSTEMS INC	2,773.	4,300.
CAESARS ENTERTAINMENT CORPORAT	3,710.	5,970.
CAMPBELL SOUP CO	1,792.	2,224.
CAPITAL ONE FINANCIAL CORP	29,678.	36,019.
CAPRI HOLDINGS LTD.SHS	3,942.	3,357.
CARDINAL HEALTH INC	15,850.	17,652.
CARMAX INC	2,911.	3,594.
CARNIVAL CORP	5,263.	4,219.
CATALENT INC	3,715.	4,954.
CATERPILLAR INC	23,743.	24,958.
CBOE HOLDINGS INC	2,730.	3,120.
CDW CORP	6,276.	9,713.
CENTENE CORP	8,370.	8,173.
CENTERPOINT ENERGY	2,770.	2,700.
CENTRAL PACIFIC FNCL	6,804.	7,040.
CENTURYLINK INC	4,167.	2,642.
CF INDUSTRIES HOLDINGS, INC	2,992.	2,960.
CHARLES RIV LABORATORIES INTL	3,725.	4,125.
CHARLES SCHWAB CORP	18,132.	18,358.
CHARTER COMMUNICATIONS, INC	11,075.	16,493.
CHENIERE ENERGY INC	5,502.	5,069.

ATTACHMENT 6 (CONT'D)FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
CHEVRON CORP	77,307.	78,090.
CHIPOTLE MEX GRILL	3,713.	5,860.
CHOICE HOTELS INTERNATIONAL IN	3,787.	4,965.
CHUBB LIMITED	15,086.	18,368.
CHURCH & DWIGHT	2,611.	3,025.
CIENA CORP	3,784.	4,141.
CIGNA CORPORATION	21,111.	22,903.
CIMAREX ENERGY CO	2,851.	1,732.
CINCINNATI FINANCIAL CORP	7,356.	9,358.
CINTAS CRP	3,140.	4,305.
CISCO SYSTEMS INC	56,571.	55,969.
CITIGROUP INC	41,365.	49,292.
CITIZENS FINANCIAL GROUP, INC	17,405.	20,386.
CITY OFFICE REIT	5,051.	6,125.
CME GROUP, INC	18,328.	21,276.
CMS ENERGY CP	2,694.	3,393.
CNO FINANCIAL GROUP INC	6,221.	6,889.
COGNEX CORPORATION	3,810.	4,147.
COGNIZANT TECHNOLOGY SOLUTIONS	11,287.	9,675.
COLGATE-PALMOLIVE COMPANY	8,366.	8,949.
COLONY FINANCIAL INC	3,731.	3,434.
COLUMBIA SPORTSWEAR CO	5,250.	5,210.
COMCAST CORP	40,336.	49,242.
COMERICA INC	3,099.	2,583.
COMMUNITY HEALTHCARE TRUST	5,092.	6,643.
COMMSCOPE HOLDING COMPANY INC	3,723.	2,412.
CONAGRA FOODS INC	3,140.	3,150.
CONCHO RESOURCES INC	9,432.	6,918.
CONDUENT INC	8,526.	5,946.

ATTACHMENT 6 (CONT'D)FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
CONOCOPHILLIPS	28,815.	26,467.
CONSOLIDATED EDISON INC	4,767.	5,519.
CONSTELLATION BRANDS INC	7,860.	7,211.
COPART INC	2,647.	4,820.
CORNING INC	6,899.	5,735.
CORTEVA INC	7,892.	7,449.
COSTAR GROUP, INC	10,761.	15,556.
COSTCO WHOLESALE CORPORATION	33,535.	41,737.
COTY INC	3,817.	3,904.
COUPONS.COM	3,714.	5,996.
COVETRUS INC	584.	172.
CROWN CASTLE INTL	10,925.	13,789.
CSX CORP	11,951.	12,084.
CULLEN FROST BANKERS INC	13,161.	12,711.
CUMMINS INC	19,058.	20,222.
CURTISS WRIGHT CP	2,062.	2,536.
CVS CAREMARK CORP	40,019.	44,425.
D R HORTON	5,682.	7,438.
DANAHER CORP	21,939.	32,384.
DARDEN RESTAURANTS INC	2,773.	2,725.
DAVITA HEALTHCARE PARTNERS INC	2,649.	3,001.
DEERE CO	12,817.	14,554.
DELTA AIR LINES INC	10,456.	14,035.
DENTSPLY SIRONA INC	1,901.	2,773.
DEVON ENERGY CORPORATION	3,884.	2,571.
DEXCOM, INC	4,011.	6,125.
DFA EMERGING MARKETS PORTFOLIO	223,347.	202,229.
DFA EMERGING MARKETS SMALL CAP	78,746.	66,367.
DFA EMERGING MARKETS VALUE POR	37,269.	32,077.

ATTACHMENT 6 (CONT'D)FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
DFA INTERNATIONAL SMALL CAP GR	143,165.	140,465.
DFA INTERNATIONAL SMALL CAP VA	190,326.	161,187.
DFA INTERNATIONAL VALUE PORTFO	413,927.	353,319.
DFA INTL LARGE CAP GROWTH	233,135.	250,624.
DIAMONDBACK ENERGY INC	5,253.	5,107.
DIAMONDROCK HOSP CO	5,183.	5,407.
DIGITAL RLTY TR INC	29,311.	29,097.
DISCOVER FINL SVCS COM	18,369.	19,848.
DISCOVERY INC (FORMERLY DISC C	15,976.	18,629.
DISH NETWORK CORPORATION	1,736.	1,738.
DOLLAR GENERAL CORP	7,891.	10,919.
DOLLAR TREE INC	3,890.	4,326.
DOMINION ENERGY INC	54,428.	62,612.
DOMINOS PIZZA INC	4,008.	4,700.
DOW INC	15,412.	13,792.
DTE ENERGY CO COM	4,485.	5,065.
DU PONT DE NEMOURS	21,509.	15,472.
DUKE ENERGY CO	13,610.	14,867.
DUNKIN' BRANDS GROUP INC	3,678.	3,928.
DXC TECHNOLOGY COMPANY	4,174.	1,804.
DYNEX CAPITAL INC REIT	5,742.	5,404.
E*TRADE FINANCIAL CORP	2,928.	2,586.
EAGLE BANCORP INC	4,167.	5,058.
EAST WEST BANCORP, INC	13,895.	14,318.
EASTERLY GVMT PPTYS INC	5,091.	6,787.
EASTGROUP PROPERTIES INC	10,795.	13,400.
EASTMAN CHEMICAL CO	23,368.	19,260.
EATON CORP PLC	27,936.	32,584.
EBAY INC	6,274.	6,897.

ATTACHMENT 6 (CONT'D)FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
ECOLAB INC	5,471.	6,755.
EDISON INTL	12,849.	15,233.
EDWARDS LIFESCIENCES	10,728.	15,164.
EHEALTH INC	4,085.	4,996.
EL PASO ELECTRIC CO	5,319.	6,178.
ELECTRONIC ARTS	10,734.	11,074.
ELI LILLY & CO	46,819.	64,401.
EMERSON ELECTRIC CO	9,109.	9,609.
ENBRIDGE INC	34,759.	44,383.
ENTEGRIS INC MINNESOTA	5,011.	7,163.
ENTERGY CORP	2,749.	3,953.
EOG RESOURCES INC	15,614.	11,140.
EPAM SYSTEMS INC	5,799.	7,638.
EQUIFAX INC	3,203.	3,783.
EQUINIX, INC	15,361.	19,262.
EQUITY LIFESTYLE PRP	11,293.	16,612.
EQUITY RESIDENTIAL PPTYS TR	4,722.	5,664.
ESSEX PRTY TR INC	1,995.	2,407.
ESTEE LAUDER COMPANIES INC	7,233.	10,534.
ETSY INC	3,863.	2,525.
EURONET WORLDWIDE INC	3,795.	4,412.
EVEREST RE GROUP LTD	1,830.	2,215.
EVERSOURCE ENERGY INC	4,436.	5,785.
EXACT SCIENCES CORPORATION	11,490.	11,560.
EXELIXIS, INC.	3,756.	2,872.
EXELON CORPORATION	10,152.	10,349.
EXPEDIA INC	3,700.	3,136.
EXPEDITORS INTL WASH INC	2,514.	2,575.
EXTRA SPACE STORAGE	2,408.	2,852.

ATTACHMENT 6 (CONT'D)FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
EXXON MOBIL CORP	123,817.	103,065.
F M C CP	2,401.	3,194.
FACEBOOK INC	125,002.	158,658.
FACTSET RESH SYST INC	3,929.	4,561.
FASTENAL COMPANY	4,261.	5,543.
FEDERAL RLTY INVT TR	1,755.	1,802.
FEDEX CORPORATION	12,435.	8,317.
FERRARI NV	7,764.	11,257.
FGL HLDGS ORD SHS	3,736.	4,771.
FIBROGEN INC	3,697.	2,917.
FIDELITY NATIONAL INFORMATION	17,851.	23,506.
FIFTH THIRD BANCORP	3,148.	3,474.
FIRST BUSEY CORP	8,607.	9,048.
FIRST COMMONWEALTH FINANCIAL C	6,894.	7,487.
FIRST ENERGY CORP	4,411.	5,638.
FIRST FINANCIAL CORPORATION	4,290.	4,572.
FIRST INDUSTRIAL REALTY TRUST	10,836.	13,242.
FIRST REPUBLIC BANK	2,902.	3,289.
FISERV INC	21,078.	29,833.
FIVE BELOW INC	10,326.	10,868.
FLEETCOR TECHNOLOGIES INC	3,891.	5,179.
FLIR SYSTEMS INC	1,771.	1,510.
FLOWSERVE CP	1,749.	1,593.
FLUOR CORP	2,429.	906.
FOOT LOCKER INC N.Y. COM	2,334.	1,716.
FORD MOTOR COMPANY	25,728.	24,794.
FORTINET INC	2,506.	3,737.
FORTIVE CORPORATION	10,413.	11,000.
FORTUNE BRANDS HOME & SECURITY	5,638.	7,971.

ATTACHMENT 6 (CONT'D)FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
FOX CORP CL A	3,142.	3,003.
FOX CORP CL B	1,246.	1,201.
FRANKLIN RES INC	2,585.	2,078.
FREEMPORT-MCMORAN COPPER & GOLD	6,083.	6,232.
GALLAGHER ARTHUR J & CO	2,774.	3,524.
GAP INC	3,656.	2,493.
GARMIN LTD	3,827.	5,171.
GARTNER INC	2,905.	2,928.
GCI LIBERTY, INC.	3,725.	4,960.
GEN DYNAMICS CP	21,975.	23,984.
GENERAL ELECTRIC CO	19,655.	24,552.
GENERAL MILLS INC	5,148.	6,534.
GENERAL MOTORS	21,263.	22,289.
GILEAD SCIENCES INC	26,708.	24,627.
GLADSTONE COMMERCIAL CORP	5,078.	5,487.
GLOBAL PAYMENTS INC	2,724.	4,381.
GODADDY INC	14,327.	14,127.
GOLDMAN SACHS GROUP	11,053.	12,646.
GOODYEAR TIRE & RUBBER COMPANY	1,740.	1,136.
GRAINGER W W INC	4,459.	4,739.
GRAND CANYON EDUCATION, INC	3,770.	3,161.
GRANITE POINT MORTGAGE TRUST I	5,088.	5,055.
GRAPHIC PACKAGING CORP COM STK	5,018.	6,843.
GREENLIGHT CAP RE CL A ORD	5,017.	4,772.
GRUBHUB INC	3,743.	2,481.
GTT COMMUNICATIONS, INC	4,813.	2,996.
GUIDEWIRE SOFTWARE INC	5,230.	6,476.
H&R BLOCK INC	19,545.	17,211.
HAEMONETICS CP	3,865.	5,171.

ATTACHMENT 6 (CONT'D)FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
HALLIBURTON COMPANY	15,414.	12,333.
HANESBRANDS INC	1,739.	1,426.
HARLEY DAVIDSON INC	1,742.	1,450.
HARTFORD FINANCIALSERVICES GRO	4,090.	5,409.
HASBRO INC	2,919.	3,168.
HCA INC	19,696.	28,675.
HD SUPPLY HLDGS INC	5,679.	5,349.
HEALTHPEAK PROPERTIES INC	2,465.	3,206.
HEICO CP CL A	6,062.	5,372.
HELMERICH PAYNE	4,361.	3,407.
HENRY SCHEIN INC	2,107.	2,135.
HERBALIFE LTD	3,859.	3,194.
HESS CORP	6,638.	7,483.
HEWLETT PACKARD ENTERPRISE CO	4,923.	4,806.
HEXCEL CP DELAWARE	5,512.	5,791.
HILL-ROM HOLDINGS, INC	4,021.	4,428.
HILTON GRAND VACATIONS INC.	3,802.	4,058.
HILTON WORLDWIDE HOLDINGS, INC	4,350.	6,211.
HOLLYFRONTIER CORP	3,851.	3,347.
HOME DEPOT INC	67,543.	75,778.
HONEYWELL INTL	51,781.	69,207.
HORIZON BANCORP INC	6,799.	7,676.
HORMEL FOODS CP	2,445.	2,707.
HOST HOTELS & RESORTS INC	2,865.	2,653.
HP INC	12,278.	11,241.
HUBBELL INCORPORATED	4,594.	5,765.
HUBSPOT INC	3,739.	3,646.
HUMANA INC	11,807.	15,027.
HUNTINGTON BANCSHARES INC	3,109.	3,137.

ATTACHMENT 6 (CONT'D)FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
HUNTINGTON INGALLS INDUSTRIES	2,032.	2,007.
HUNTSMAN CORP	3,713.	3,841.
IAA INC	3,377.	5,459.
IAC/INTERACTIVE CORP	6,442.	7,722.
IDEXX CORP	4,348.	4,961.
IHS MARKIT LTD	4,631.	6,555.
ILLINOIS TOOL WORKS	13,316.	16,885.
ILLUMINA INC COM	13,528.	13,601.
INGERSOLL-RAND PLC	1,884.	2,525.
INNERWORKINGS, INC	3,837.	5,504.
INNOVATIVE INDUSTRIAL PROPERTI	9,954.	5,766.
INSPERITY INC	3,769.	2,667.
INSULET CORPORATION	3,681.	6,848.
INT'L FLAVORS & FRAGRANCES INC	2,762.	2,580.
INTEL CORP	81,004.	116,648.
INTERCONTINENTAL EXCHANGE, INC	10,533.	12,494.
INTERNATIONAL BUSINESS MACHINE	36,160.	34,850.
INTERNATIONAL PAPER CO	6,212.	5,987.
INTERPUBLIC GROUP OF COS	15,373.	17,094.
INTUIT	23,598.	28,550.
INTUITIVE SURGICAL	18,571.	20,690.
INVESCO PLC	1,753.	1,331.
IONIS PHARMACEUTICALS INC	3,875.	3,323.
IPG PHOTONICS CORP	3,702.	3,623.
IQVIA HOLDINGS INC	4,758.	5,871.
IRON MOUNTAIN	2,613.	2,390.
J & J SNACK FOODS CORP	5,157.	6,265.
JACK IN THE BOX INC	4,766.	4,838.
JACOBS ENGINEERING GP	2,793.	3,593.

ATTACHMENT 6 (CONT'D)FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
JAZZ PHARMACEUTICALS INC	5,433.	6,120.
JEFFERIES GROUP INC	1,762.	1,624.
JOHNSON & JOHNSON	97,918.	101,234.
JOHNSON CONTROLS INTERNATIONAL	13,290.	16,935.
JONES LANG LASALLE	3,859.	4,178.
JP MORGAN CHASE	110,817.	154,037.
JP MORGAN STRATEGIC INCOME OPP	301,314.	297,133.
KANSAS CITY SOUTHERN	2,853.	3,982.
KAR AUCTION SERVICES INC	2,177.	2,528.
KELLOGG CO	3,204.	3,251.
KEYCORP	5,005.	5,283.
KEYSIGHT TECHNOLOGIES INC	2,582.	4,516.
KIMBALL INTERNATIONAL, INC	3,762.	5,043.
KIMBERLY CLARK CORP	35,057.	40,440.
KIMCO RLTY CORP	1,743.	2,195.
KINDER MORGAN INC	7,473.	9,251.
KLA TENCOR CORP	17,494.	20,311.
KNIGHT SWIFT TRANSPORTATION HO	6,198.	6,559.
KOHL'S CORP	2,816.	2,038.
KONTOOR BRANDS INC	366.	420.
KORN FERRY INTL	5,053.	4,791.
KRAFT HEINZ CO	9,237.	8,482.
KROGER CO	10,578.	10,349.
L BRANDS, INC	15,359.	15,746.
L3HARRIS TECHNOLOGIES	9,659.	12,268.
LABORATORY CORP AMER HLDGS	2,949.	3,383.
LAKELAND BANCORP INC	8,527.	9,350.
LAM RESEARCH CORP	20,255.	25,731.
LAMB WESTON HOLDINGS INC	2,644.	3,097.

ATTACHMENT 6 (CONT'D)FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
LANDSTAR SYSTEM	5,412.	5,807.
LAS VEGAS SANDS CORP	7,470.	8,561.
LEAR CORP	5,800.	5,488.
LEGGETT PLATT INC	4,211.	4,829.
LEIDOS HOLDINGS INC	3,871.	6,069.
LENNAR CORP	25,761.	29,736.
LENNOX INTL INC	5,371.	5,367.
LEXINGTON REALTY TRUST	5,180.	6,245.
LIBERTY BROADBAND CORPORATION	5,815.	8,300.
LIBERTY MEDIA CORPORATION - SE	3,708.	5,195.
LINCOLN ELECTRIC HOLDING INC	5,400.	6,191.
LINCOLN NATIONAL CORP	3,027.	2,773.
LINDE PLC COM	28,403.	37,683.
LIVANOVA PLC	3,799.	3,093.
LIVE NATION INC COM	4,498.	5,289.
LIVERAMP HOLDINGS INC	3,988.	3,269.
LKQ CORPORATION	1,745.	1,964.
LOCKHEED MARTIN CORP	38,902.	57,628.
LOEWS CORP	4,969.	5,564.
LOWES COMPANIES INC	37,027.	43,473.
LULULEMON ATHLETICA INC	5,985.	9,730.
LYONDELLBASELL INDUSTRIES NV	6,180.	6,047.
M&T BANK CORP	4,994.	5,093.
MACY'S INC	2,688.	1,360.
MARATHON OIL CORP COM	3,704.	2,336.
MARATHON PETROLEUM CORP	13,364.	11,749.
MARKEL CORP	7,660.	9,145.
MARKETAXESS HOLDINGS, INC	3,836.	6,445.
MARRIOTT INTERNATIONAL INC. CL	2,114.	2,877.

ATTACHMENT 6 (CONT'D)FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
MARSH AND MCLENNAN COMPANIES I	19,878.	25,067.
MARTIN MARIETTA MATLS INC	8,249.	12,863.
MARVELL TECHNOLOGY GROUP LTD	4,521.	6,428.
MASCO CORP	2,648.	3,599.
MASTERCARD INC	68,381.	96,743.
MATTELL INC	3,753.	3,509.
MAXIM INTEGRATED PRODS INC	2,751.	3,199.
MCCORMICK & CO	4,029.	4,922.
MCDERMOTT INT PANAMA	7,171.	463.
MCDONALD'S CORP	70,029.	92,877.
MCKESSON CORP	6,830.	7,331.
MEDICINES COMPANY	4,011.	13,336.
MEDTRONIC PLC	67,134.	80,323.
MERCK & CO INC	62,531.	75,125.
METHODE ELECTRONICS, INC	5,098.	6,768.
METLIFE INC	18,041.	23,956.
METTLER-TOLEDO INTL	3,602.	4,760.
MGM RESORTS INTERNATIONAL	2,789.	3,394.
MICROCHIP TECHNOLOGY INC	19,035.	23,457.
MICRON TECHNOLOGY	12,833.	16,833.
MICROSOFT CORP	254,671.	367,598.
MID-AMERICA APT COMMUNITIES IN	2,625.	3,428.
MIDDLEBY CORPORATION	3,708.	3,286.
MOHAWK INDS INC	2,409.	2,728.
MOLINA HEALTHCARE	3,844.	3,935.
MOLSON COOR BREW CO CL B	2,635.	2,264.
MONDELEZ INTERNATIONAL INC	26,452.	33,268.
MONSTER BEVERAGE CORP	4,818.	5,275.
MOODYS CORP	12,596.	19,230.

ATTACHMENT 6 (CONT'D)FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
MORGAN STANLEY	13,877.	15,592.
MOTOROLA SOLUTIONS INC	4,230.	5,318.
MSCI INC	3,035.	4,647.
MURPHY OIL CP HLDG	3,625.	3,350.
MYLAN NV	7,216.	4,683.
NASDAQ OMX GROUP	2,587.	3,106.
NATL FUEL GAS CO	7,584.	6,376.
NATL OILWELL VARCO	3,666.	2,229.
NEKTAR THERAPEUTICS	2,569.	1,101.
NETFLIX INC	40,020.	38,181.
NEUROCRINE BIOSCIENCES, INC	3,766.	5,267.
NEW RELIC INC	3,721.	2,431.
NEW YORK MORTGAGE TRUST INC	5,138.	5,389.
NEWELL RUBBERMAID INC	2,423.	2,230.
NEWS CORP LTD CL A	5,427.	5,995.
NEXTERA ENERGY, INC	17,583.	24,458.
NIELSEN HOLDINGS N.V	17,256.	16,139.
NIKE INC-CL B	39,344.	50,148.
NISOURCE INC	1,770.	1,949.
NOBLE ENERGY INC	3,148.	2,782.
NORDSTROM INC	1,800.	1,228.
NORFOLK SOUTHERN CORP	9,239.	10,289.
NORTHEAST BANK	4,912.	5,124.
NORTHERN TRUST CORPORATION	5,077.	5,418.
NORTHROP GRUMMAN CORP	11,919.	14,103.
NORTHWEST NAT GAS CO	9,051.	10,396.
NORTHWESTERN CORP	8,912.	9,102.
NORTONLIFELOCK INC	2,781.	3,267.
NOVAGOLD RESOURCES	7,259.	10,474.

ATTACHMENT 6 (CONT'D)FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
NRG ENERGY	16,423.	16,973.
NUCOR CP	2,654.	2,476.
NUTANIX INC	3,724.	3,376.
NVIDIA CORP	27,522.	34,589.
O'REILLY AUTOMOTIVE INC	9,833.	12,271.
OCCIDENTAL PETROLEUM CORP	55,792.	34,039.
OKTA INC	3,608.	5,307.
OLD DOMINION FREIGHT LINES	5,851.	7,781.
OMEGA HEALTHCARE INV	3,070.	3,473.
OMNICOM GROUP	6,336.	7,049.
ON SEMICONDUCTOR	4,029.	4,437.
ONEOK INC	5,405.	6,281.
ORACLE CORP	23,484.	25,007.
ORCHID ISLAND CAPITAL INC	4,977.	4,358.
OSHKOSH CORP	7,810.	11,169.
OTTER TAIL CORP	6,959.	7,078.
PACCAR INC	5,174.	6,249.
PACKAGING CORP AMER	6,121.	6,719.
PALO ALTO NETWORKS INC	6,804.	6,706.
PARAMOUNT GROUP INC COM	10,581.	10,329.
PARK HOTELS & RESORTS INC	2,888.	2,872.
PARKER HANNIFIN CP	15,005.	19,553.
PAYCHEX	4,992.	5,869.
PAYCOM SOFTWARE	3,918.	5,825.
PAYPAL HOLDINGS, INC	42,374.	52,679.
PELOTON INTERACTIVE, INC.	1,038.	1,250.
PENTAIR INC. COM	1,754.	1,835.
PEPSICO INC	28,700.	34,031.
PERFICIENT INC	3,822.	6,312.

ATTACHMENT 6 (CONT'D)FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
PERKIN ELMER INC	2,571.	2,719.
PERRIGO CO PLC	5,970.	5,941.
PFIZER INC	54,314.	49,876.
PG & E CORP	5,006.	2,881.
PHILIP MORRIS INTL	48,867.	48,501.
PHILLIPS 66	15,081.	18,160.
PIEDMONT OFFICE REALTY TRUST I	7,929.	8,696.
PINNACLE WEST CAPITAL CORP	2,382.	2,608.
PIONEER MULTI-ASSET ULTRASHORT	224,115.	223,246.
PIONEER NAT RES CO	8,152.	8,477.
PLANET FITNESS INC	3,778.	4,406.
PNC FINANCIAL GROUP INC	12,361.	14,367.
POOL CORP	3,747.	5,097.
PORTLAND GEN ELEC	7,312.	7,922.
POST HOLDINGS INC	4,984.	5,455.
PPG INDUSTRIES INC	9,546.	12,548.
PPL CORPORATION	52,816.	55,614.
PRINCIPAL FINANCIAL GROUP	21,506.	18,315.
PRINCIPAL PREFERRED SECURITIES	292,567.	314,279.
PROCTER GAMBLE CO	87,330.	125,525.
PROGRESSIVE CORP OHIO	8,462.	8,976.
PROLOGIS	8,042.	10,786.
PROOFPOINT INC	3,773.	3,788.
PROTO LABS INC	3,806.	3,656.
PRUDENTIAL FINCL INC	20,671.	20,904.
PTC INC	3,892.	3,295.
PUBLIC STORAGE INC	5,635.	5,750.
PUBLIC SVC ENTERPRISE GROUP IN	2,160.	2,362.
PULTE GROUP INC	9,299.	13,929.

ATTACHMENT 6 (CONT'D)FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
PVH CORP	2,479.	1,998.
QIAGEN NV	5,795.	5,036.
QORVO INC	1,804.	2,673.
QUALCOMM INC	31,385.	41,292.
QUANTA SVCS INC	14,397.	13,597.
QUEST DIAGNOSTICS	2,242.	2,563.
QURATE RETAIL INC QVC GROUP	3,837.	1,855.
RALPH LAUREN CORP	1,741.	1,524.
RAYMOND JAMES FINANCIAL INC	2,942.	3,042.
RAYTHEON CO	6,167.	7,911.
REALTY INCOME CORP	4,187.	5,080.
REGENERON PHARMACEUTICALS INC	10,225.	9,762.
REGIONS FINANCIAL CORP	3,574.	3,226.
RELIANCE STL & ALMN	4,658.	6,467.
RESMED INC	6,669.	9,763.
RESOURCES CONNECTION, INC	4,683.	4,491.
RINGCENTRAL INC	3,770.	6,241.
RIVERNORTH DOUBLE LINE STRATEG	363,945.	383,150.
ROBERT HALF INTL INC	1,783.	1,579.
ROCKWELL AUTOMATION INC	5,093.	5,675.
ROLLINS INC	3,112.	2,686.
ROPER INDUSTRIES	12,588.	14,523.
ROSS STORES, INC	8,605.	11,060.
ROYAL CARIBBEAN CRUISE	4,954.	5,474.
ROYAL GOLD INC COM	3,838.	5,257.
S&P GLOBAL INC COM	9,765.	13,106.
S&T BANCORP, INC.	6,936.	7,091.
SAGE THERAPEUTICS INC	3,773.	1,805.
SALESFORCE.COM	56,772.	62,454.

ATTACHMENT 6 (CONT'D)FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
SANMINA-SCI CORPORATION	5,154.	5,958.
SAREPTA THERAPEUTICS INC	3,793.	3,742.
SBA COMMUNICATIONS CORP	4,418.	6,507.
SCHLUMBERGER LTD	5,314.	5,146.
SEAGATE TECHNOLOGY	14,298.	14,697.
SEALED AIR CORP	1,788.	1,713.
SEATTLE GENETICS, INC	3,807.	6,284.
SEMPRA ENERGY COM	30,309.	38,779.
SENSATA TECHNOLOGIES HOLDINGS	5,624.	6,303.
SENSIENT TECHNOLOGIES	6,256.	6,477.
SERVICE CP INTL	4,626.	5,339.
SERVICE NOW	11,698.	14,116.
SERVICEMASTER GLOBAL HOLDINGS	3,774.	3,054.
SHERWIN-WILLIAMS CO	26,002.	36,179.
SILICON LABORATORIES, INC	4,967.	7,307.
SIMON PPTY GROUP INC	22,607.	18,918.
SIRIUS XM RADIO	3,914.	4,712.
SKYWORKS SOLUTIONS, INC	3,157.	4,593.
SL GREEN REALTY CORP	1,758.	1,654.
SMITH A O CORP DEL COM	1,802.	1,477.
SNAP ON INC	2,277.	2,202.
SOUTHERN CO	8,538.	12,167.
SOUTHWEST AIRLINES	13,212.	13,117.
SPECTRUM BRANDS HOLDINGS INC	49.	64.
SPIRIT AEROSYSTEMS HOLDINGS CL	3,955.	2,988.
SPLUNK INC	6,611.	8,088.
SPRINT NXXTEL CP	3,331.	2,845.
SQUARE INC	6,994.	5,881.
SS&C TECHNOLOGIES INC	4,056.	4,114.

ATTACHMENT 6 (CONT'D)FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
STAG INDUSTRIAL INC	10,603.	12,028.
STANLEY BLACK & DECKER INC	7,481.	9,116.
STARBUCKS CORP COM	15,443.	21,540.
STATE STREET CORPORATION	6,199.	5,933.
STEEL DYNAMICS INC	5,511.	5,412.
STERICYCLE INC	2,685.	3,573.
STERIS PLC	7,865.	10,060.
STRYKER CORPORATION	18,367.	21,414.
SUN COMMUNITIES INC	11,987.	17,412.
SVB FINANCIAL GROUP	3,160.	3,012.
SYNCHRONY FINANCIAL	12,092.	14,584.
SYNOPSIS, INC	2,878.	4,176.
T-MOBILE US INC	4,804.	5,333.
T. ROWE PRICE GROUP	5,341.	6,214.
TAKE-TWO INTERACTIVE SOFTWARE	4,457.	5,387.
TAPESTRY INC	3,047.	1,888.
TARGA RESOURCES CORP	5,260.	5,512.
TARGET CORPORATION	13,208.	21,539.
TD AMERITRADE HOLDING CORP	6,076.	5,566.
TE CONNECTIVITY LTD	17,324.	19,839.
TELEFLEX INC	2,902.	3,764.
TENNECO INC	3,828.	1,546.
TERADYNE INC	3,759.	6,614.
TEREX CORP	4,099.	3,633.
TESLA MOTORS INC	14,222.	22,171.
TEXAS INSTRUMENTS INC	21,932.	27,326.
TEXTRON INC	3,268.	2,319.
THE CHEMOURS CO	3,765.	1,791.
THE COCA-COLA CO	88,199.	109,150.

ATTACHMENT 6 (CONT'D)FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
THE HERSHEY COMPANY	2,687.	3,821.
THE JM SMUCKER COMPANY	2,840.	2,812.
THE MOSAIC CO	2,523.	1,688.
THE TRADE DESK INC	8,651.	10,651.
THERMO FISHER SCIENTIFIC INC	26,959.	36,061.
THIRD POINT REINSURANCE LTD	3,884.	3,808.
THOMSON REUTERS CORPORATION	21,616.	30,430.
TIFFANY & CO	3,793.	4,811.
TJX COMPANIES INC	14,610.	17,463.
TOWNEBANK	8,617.	9,153.
TRACTOR SUPPLY CO COM	2,248.	2,336.
TRANSDIGM GRP INC	3,568.	5,600.
TRANSUNION COM USD0.01	6,096.	8,133.
TRAVELERS COMPANIES INC	7,624.	8,080.
TRIMBLE NAV LTD	5,835.	6,379.
TRIPADVISOR LLC	2,341.	1,154.
TRUIST FINANCIAL CORPORATION	10,277.	11,602.
TRUSTCO BK CORP NY	8,627.	9,234.
TWILIO INC	3,971.	3,342.
TWITTER INC	7,435.	7,404.
TYSON FOODS INC CL A	16,694.	19,118.
U.S. PHYSICAL THERAPY INC	4,352.	4,574.
UDR INC	2,523.	2,895.
ULTA SALON, COSMETICS & FRAGRA	5,539.	4,810.
UMH PROPERTIES, INC	3,946.	4,688.
UNION PACIFIC	38,742.	43,932.
UNITED CONTINENTAL HOLDINGS IN	20,787.	24,841.
UNITED PARCEL SERVICE	22,707.	23,763.
UNITED RENTALS INC	20,848.	24,682.

ATTACHMENT 6 (CONT'D)FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
UNITED TECHNOLOGIES CORP	61,513.	72,334.
UNITEDHEALTH GROUP INC	79,731.	89,664.
UNIVERSAL DISPLAY CORP	3,687.	5,152.
UNIVERSAL HLTH SVC B	2,536.	2,869.
UNIVEST CORP OF PA	5,073.	5,302.
UNUM CORP	16,097.	15,921.
URSTADT BIDDLE PROPERTIES INC	5,045.	6,086.
US BANCORP	12,427.	13,933.
V F CORP	15,452.	18,138.
VAIL RESORTS INC	4,114.	4,557.
VALERO ENERGY CORP	5,757.	6,462.
VALVOLINE INC	5,286.	6,059.
VARIAN MEDICAL SYSTEMS INC	2,514.	3,124.
VEEVA SYSTEMS INC	11,324.	14,347.
VENTAS INC	4,205.	4,273.
VERISIGN INC	4,621.	5,010.
VERISK ANALYTICS, INC	4,576.	5,824.
VERIZON COMMUNICATIONS	90,752.	103,398.
VERTEX PHARMCTLS INC	11,650.	14,232.
VIACOMCBS INC	25,973.	23,713.
VIASAT, INC.	3,802.	3,733.
VIAVI SOLUTIONS INC	3,742.	4,545.
VISA INC	76,399.	100,527.
VISTRA ENERGY CORP	4,699.	4,299.
VMWARE INC	4,059.	3,643.
VORNADO RLTY TR	2,762.	2,527.
VOYA FINANCIAL INC	5,645.	7,074.
VULCAN MATERIALS CO	2,856.	3,744.
WABCO HOLDINGS INC	3,280.	3,117.

ATTACHMENT 6 (CONT'D)FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
WABTEC	693.	778.
WAL-MART STORES INC	40,289.	50,150.
WALGREENS BOOTS ALLIANCE	23,956.	18,572.
WALT DISNEY HOLDINGS CO	61,880.	80,003.
WASTE MANAGEMENT INC	4,451.	5,584.
WATERS CORP	13,029.	13,318.
WATTS WATER TECHNOLOGIES INC	5,865.	7,482.
WAYFAIR INC	3,962.	2,169.
WD-40 COMPANY	5,284.	5,824.
WEC ENERGY GROUP, INC	4,431.	5,995.
WELBILT INC	3,743.	3,809.
WELLS FARGO & CO	82,986.	89,792.
WELLTOWER INC.	4,560.	5,643.
WESCO INTL INC	5,397.	6,177.
WEST PHARMA SVCS INC	3,758.	5,562.
WESTERN DIGITAL CORP	3,250.	3,935.
WESTERN UNION CO	1,765.	2,517.
WESTROCK COMPANY	2,972.	2,489.
WEX, INC	3,810.	4,608.
WEYERHAEUSER CO	5,257.	5,225.
WHIRLPOOL CORP	16,572.	17,704.
WILLIAMS COS	12,801.	11,125.
WILLIS TOWERS WATSON PUBLIC LT	4,523.	6,058.
WOLVERINE WORLDWIDE	3,703.	3,576.
WORKDAY INC	16,795.	15,294.
WPX ENERGY INC	5,214.	6,279.
WYNDHAM DESTINATIONS INC	3,787.	4,394.
WYNN RESORTS	7,595.	8,749.
XCEL ENERGY INC	4,645.	6,095.

ATTACHMENT 6 (CONT'D)FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
XEROX HOLDINGS CORPORATION	16,057.	18,988.
XILINX INC	8,167.	8,408.
XPO LOGISTICS INC	4,297.	7,412.
XYLEM INC	2,987.	3,152.
YUM BRANDS INC	6,644.	7,454.
YUM CHINA HOLDINGS INC	4,191.	4,993.
ZAYO GROUP HOLDINGS INC	4,869.	6,133.
ZEBRA TECHNOLOGIES CORP	4,038.	5,109.
ZENDESK INC	3,860.	3,832.
ZIMMER BIOMET HOLDINGS	5,324.	6,436.
ZIONS BANCORP	1,765.	1,765.
ZOETIS INC	17,073.	25,014.
TOTALS	<u>12,139,807.</u>	<u>13,586,099.</u>

ATTACHMENT 7FORM 990PF, PART II - CORPORATE BONDS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
AT&T INC BOND - 2.450% - 06/30	114,177.	115,315.
BANK OF AMERICA SR NOTE - 2.25	119,698.	120,113.
CAPITAL ONE FINL CORP NOTE - 2	115,141.	116,290.
CATHOLIC HEALTH INITIATIVES -	122,312.	127,366.
CITIGROUP - 2.700% - 03/30/202	111,571.	110,794.
GM FINANCIAL BOND - 3.700% - 1	114,689.	114,339.
IBRD - 1.625% - 03/09/2021	197,765.	199,872.
JPMORGAN CHASE & CO - 4.350% -	124,675.	122,382.
KINDER MORGAN ENER PART NOTE -	117,054.	113,471.
ROYAL BANK OF CANADA - 2.550%	114,308.	115,754.
WELLS FARGO CO - 4.600% - 04/0	116,718.	114,602.
WESTPAC BKG CORP - 2.800% - 01	117,744.	119,892.
TOTALS	<u>1,485,852.</u>	<u>1,490,190.</u>

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ATTACHMENT 8

FORM 990PF, PART II - OTHER INVESTMENTS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
CAA-MONTAUK TRIGUARD VIII ACCE	97,229.	117,286.
MONTAUK TRIGUARD FUND VII LP	324,320.	397,091.
TOTALS	<u>421,549.</u>	<u>514,377.</u>

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
8,363,065.		PUBLICLY-TRADED SECURITIES 8,234,951.					128,114.	
		PASSTHROUGH K1 CAPITAL GAIN/(LOSS)					39,596.	
TOTAL GAIN (LOSS)							<u>167,710.</u>	

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FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 9

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
JOHN N BERGER 1624 HIGHWAY 395, SUITE #3 MINDEN, NV 89423	TRUSTEE 20.00	50,015.	31,852.	0.
INGRID C TANNER 1624 HIGHWAY 395, SUITE #3 MINDEN, NV 89423	TRUSTEE 40.00	72,000	14,387.	0.
	GRAND TOTALS	<u>122,015.</u>	<u>46,239.</u>	<u>0.</u>

ATTACHMENT 9

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALSATTACHMENT 10

<u>NAME AND ADDRESS</u>	<u>TYPE OF SERVICE</u>	<u>COMPENSATION</u>
CORIENT CAPITAL PARTNERS, LLC 500 NEWPORT CENTER DRIVE, SUITE 700 NEWPORT BEACH, CA 92660	INVESTMENT MGMT	179,044.
FOUNDATION SOURCE 55 WALLS DRIVE, 3RD FL FAIRFIELD, CT 06824	ADMINISTRATIVE	50,341.
TOTAL COMPENSATION		<u>229,385.</u>

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FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 11

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
BISHOP MUSEUM AND HISTORICAL SOCIETY PO BOX 363 BISHOP, CA 93515	N/A PC	PLANNED TRACK EXPANSION	75,000
BOUNDLESS EMPOWERMENT PO BOX 572 MAMMOTH LAKES, CA 93546	N/A PC	GENERAL & UNRESTRICTED	60,000
CHOC FOUNDATION 1201 W LA VETA AVE ORANGE, CA 92868	N/A PC	THE GIFT OF STRENGTH	9,600
FAMILY SUPPORT COUNCIL OF DOUGLAS COUNTY 1255 WATERLOO LN UNIT A GARDNERVILLE, NV 89410	N/A PC	TRAUMA INFORMED CARE PROGRAM	120,000
FAMILY SUPPORT COUNCIL OF DOUGLAS COUNTY 1255 WATERLOO LN UNIT A GARDNERVILLE, NV 89410	N/A PC	VICTIMS HOUSING	30,000
FRIENDS IN SERVICE HELPING-EMERGENCY REFERRAL SERV 138 E LONG ST CARSON CITY, NV 89706	N/A PC	GENERAL & UNRESTRICTED	75,000

ATTACHMENT 11

BERGER NORTH FOUNDATION

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FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 11 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
MARIANNE FROSTIG CENTER OF EDUCATIONAL THERAPY 971 N ALTADENA DR PASADENA, CA 91107	N/A PC	GENERAL & UNRESTRICTED	50,000
OPERATION UNDERGROUND RAILROAD INC 755 S MAIN ST, STE 194 CEDAR CITY, UT 84720	N/A PC	GENERAL & UNRESTRICTED	50,000
PROJECT HOPE ALLIANCE 1954 PLACENTIA AVE STE 202 COSTA MESA, CA 92627	N/A PC	GENERAL & UNRESTRICTED	10,000
SPARKS HIGH SCHOOL 820 15TH ST SPARKS, NV 89431	N/A GOV	THE WASHOE ACADEMY ABROAD PROGRAM (WAA)	9,630
THE CHURCH OF JESUS CHRIST OF LATTER-DAY SAINTS 5405 W 300 S SALT LAKE CTY, UT 84104	N/A PC	BYU-PATHWAY'S	75,000
TRUCKERS AGAINST TRAFFICKING PO BOX 816 ENGLEWOOD, CO 80151	N/A PC	GENERAL & UNRESTRICTED	75,000

ATTACHMENT 11

BERGER NORTH FOUNDATION

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FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 11 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
UNIVERSITY OF NEVADA-RENO FOUNDATION 1664 N VIRGINIA ST MAILSTOP 162 RENO, NV 89557	N/A PC	GENERAL & UNRESTRICTED	15,000

TOTAL CONTRIBUTIONS PAID 654,230