

Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No 1545-0047

2019

Open to Public Inspection

For calendar year 2019 or tax year beginning

, 2019, and ending

, 20

Name of foundation

THE UBEROI FOUNDATION FOR RELIGIOUS STUDIES

Number and street (or P.O. box number if mail is not delivered to street address)

Room/suite

FOUNDATION SOURCE 501 SILVERSIDE RD

City or town, state or province, country, and ZIP or foreign postal code

WILMINGTON, DE 19809-1377

G Check all that apply

☐ Initial return☐ Final return☐ Address change☐ Initial return of a former public charity☐ Amended return☐ Name change

H Check type of organization

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundationI Fair market value of all assets at
end of year (from Part II, col (c), line
16) ▶ \$ 7,907,630.J Accounting method ☒ Cash ☐ Accrual☐ Other (specify) _____

(Part I, column (d), must be on cash basis)

A Employer identification number

26-6101307

B Telephone number (see instructions)

(800) 839-1754

C If exemption application is
pending, check here. ☐D 1 Foreign organizations, check here. ☐2 Foreign organizations meeting the
85% test, check here and attach
computation ☐E If private foundation status was terminated
under section 507(b)(1)(A), check here. ☐F If the foundation is in a 60-month termination
under section 507(b)(1)(B), check here. ☐**Part I Analysis of Revenue and Expenses** (The
total of amounts in columns (b), (c), and (d)
may not necessarily equal the amounts in
column (a) (see instructions))(a) Revenue and
expenses per
books(b) Net investment
income(c) Adjusted net
income(d) Disbursements
for charitable
purposes
(cash basis only)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check ☒ if the foundation is not required to attach Sch. D.				
3 Interest on savings and temporary cash investments.	3,971.	3,973.		
4 Dividends and interest from securities	161,925.	161,926.		
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	199,293.			
b Gross sales price for all assets on line 6a	3,015,187.			
7 Capital gain net income (from Part IV, line 2)		199,293.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales, less returns and allowances				
b Less cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule) ATCH. 1	55,391.	94,013.		
12 Total. Add lines 1 through 11	420,580.	459,205.		
13 Compensation of officers, directors, trustees, etc.	54,000.			54,000.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule)				
c Other professional fees (attach schedule) [2]	100,076.	58,845.		41,231.
17 Interest				
18 Taxes (attach schedule) (see instructions) [3]	10,102.			
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings	54,963.			54,963.
22 Printing and publications				
23 Other expenses (attach schedule) ATCH. 4	52,629.	18,573.		33,661.
24 Total operating and administrative expenses	271,770.	77,418.		183,855.
Add lines 13 through 23	200,000.			200,000.
25 Contributions, gifts, grants paid	471,770.	77,418.		383,855.
26 Total expenses and disbursements. Add lines 24 and 25				
27 Subtract line 26 from line 12	-51,190.			
a Excess of revenue over expenses and disbursements		381,787.		
b Net investment income (if negative, enter -0-)				
c Adjusted net income (if negative, enter -0-)				

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2)

Part II Balance Sheets		Beginning of year	End of year	
			(a) Book Value	(b) Book Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	377,040.	189,302.	189,302.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations (attach schedule) [5]	713,054.	783,162.	797,438.
	b Investments - corporate stock (attach schedule) ATCH 6	4,158,388.	4,421,117.	5,186,513.
	c Investments - corporate bonds (attach schedule) ATCH 7	702,390.	557,796.	578,043.
Liabilities	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶ (attach schedule)			
	12 Investments - mortgage loans			
	13 Investments - other (attach schedule) ATCH 8	831,041.	779,346.	1,156,334.
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶ (attach schedule)			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	6,781,913.	6,730,723.	7,907,630.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ ☐ and complete lines 24, 25, 29, and 30.			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ ☒ and complete lines 26 through 30			
	26 Capital stock, trust principal, or current funds			
	27 Paid-in or capital surplus, or land, bldg, and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds	6,781,913.	6,730,723.	
	29 Total net assets or fund balances (see instructions)	6,781,913.	6,730,723.	
	30 Total liabilities and net assets/fund balances (see instructions)	6,781,913.	6,730,723.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	6,781,913.
2 Enter amount from Part I, line 27a	2	-51,190.
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	6,730,723.
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	6,730,723.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1 a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any			
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) $\left\{ \begin{array}{l} \text{If gain, also enter in Part I, line 7} \\ \text{If (loss), enter -0- in Part I, line 7} \end{array} \right\}$			2	199,293.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) See instructions If (loss), enter -0- in Part I, line 8			3	0.	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2018	343,797.	7,414,311.	0.046369
2017	307,593.	7,562,575.	0.040673
2016	393,888.	7,037,606.	0.055969
2015	424,786.	7,539,082.	0.056345
2014	381,612.	7,828,739.	0.048745
2 Total of line 1, column (d)			2 0.248101
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years			3 0.049620
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5			4 7,578,583.
5 Multiply line 4 by line 3.			5 376,049.
6 Enter 1% of net investment income (1% of Part I, line 27b).			6 3,818.
7 Add lines 5 and 6.			7 379,867.
8 Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions			8 383,855.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1		
	Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	3,818.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	2	
3	Add lines 1 and 2	3	3,818.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	3,818.
6	Credits/Payments		
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a	4,900.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	2,734.
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	7,634.
8	Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	3,816.
11	Enter the amount of line 10 to be Credited to 2020 estimated tax ☐ 3,816. Refunded ☐	11	

Part VII-A Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	Yes	No
1a			X
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition		X
1b			X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c	Did the foundation file Form 1120-POL for this year?		X
1c			X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____		
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____		
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?		X
2	If "Yes," attach a detailed description of the activities		
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
3			X
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
4a		X	
b	If "Yes," has it filed a tax return on Form 990-T for this year?	X	
4b		X	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?		X
5	If "Yes," attach the statement required by General Instruction T		
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		
6		X	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
7		X	
8a	Enter the states to which the foundation reports or with which it is registered. See instructions ☐ CO,		
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
8b		X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV		X
9			X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X
10			X

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions.		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	X	
14 The books are in care of ► FOUNDATION SOURCE Telephone no ► 800-839-1754 Located at ► 501 SILVERSIDE ROAD, SUITE 123 WILMINGTON, DE ZIP+4 ► 19809-1377		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year. ► 15		
16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	Yes	No
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance, check here ► ☐	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? ☐ Yes ☒ No If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

		Yes	No
5a	During the year, did the foundation pay or incur any amount to		
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes	☒ No
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes	☒ No
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes	☒ No
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	☐ Yes	☒ No
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes	☒ No
b	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.		
	Organizations relying on a current notice regarding disaster assistance, check here		☐
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945-5(d)	☐ Yes	☐ No
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes	☒ No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870		X
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes	☒ No
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	☐ Yes	☒ No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 9		94,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000. ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ATCH 10		89,553.

Total number of others receiving over \$50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 EXPERTS MEETINGS-PROMOTE COLLEGIABILITY & UNDERSTANDING AMONG US ACADEMICIANS & OTHER EXPERTS IN ONE OR MORE OF THE FOUR DHARMIC TRADITIONS OF BUDDHISM, HINDUISM, SIKHISM & JAINISM	46,636.
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 NONE	
2	
All other program-related investments. See instructions.	
3 NONE	
Total. Add lines 1 through 3 ▶	

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	6,342,043.
b	Average of monthly cash balances	1b	195,616.
c	Fair market value of all other assets (see instructions)	1c	1,156,334.
d	Total (add lines 1a, b, and c)	1d	7,693,993.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	7,693,993.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	115,410.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	7,578,583.
6	Minimum investment return. Enter 5% of line 5	6	378,929.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	378,929.
2a	Tax on investment income for 2019 from Part VI, line 5	2a	3,818.
b	Income tax for 2019 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	3,818.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	375,111.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	375,111.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	375,111.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	383,855.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	383,855.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	3,818.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	380,037.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				375,111.
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only.			353,630.	
b Total for prior years 20 <u>17</u> , 20 <u>16</u> , 20 <u>15</u>				
3 Excess distributions carryover, if any, to 2019				
a From 2014				
b From 2015				
c From 2016				
d From 2017				
e From 2018				
f Total of lines 3a through e				
4 Qualifying distributions for 2019 from Part XII, line 4 ▶ \$ <u>383,855.</u>				
a Applied to 2018, but not more than line 2a . . .			353,630.	
b Applied to undistributed income of prior years (Election required - see instructions).				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2019 distributable amount.				30,225.
e Remaining amount distributed out of corpus. . .				
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2018 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2019 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2020.				344,886.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions) . . .				
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2015 . . .				
b Excess from 2016 . . .				
c Excess from 2017 . . .				
d Excess from 2018 . . .				
e Excess from 2019 . . .				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section	4942(j)(3) or	4942(j)(5)
---	---------------	------------

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

[illegible]

Part XV **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** *(continued)***3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ATCH 11				
Total			▶ 3a	200,000.
b Approved for future payment				
Total			▶ 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount		
1 Program service revenue						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments .			14	3,971.		
4 Dividends and interest from securities			14	161,925.		
5 Net rental income or (loss) from real estate						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory			18	199,293.		
9 Net income or (loss) from special events . . .						
10 Gross profit or (loss) from sales of inventory . .						
11 Other revenue a _____						
b ATCH 12 _____		-38,622.		94,013.		
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)		-38,622.		459,202.		
13 Total. Add line 12, columns (b), (d), and (e)					13	420,580.

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|---|--------------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

**Sign
Here**


Signature of officer or trustee

4/12/20
Date

Ex2. Director
Title Treasurer

May the IRS discuss this return with the preparer shown below?

See Instructions ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name JEFFREY D HASKELL	Preparer's signature JEFFREY D HASKELL	Date 11/10/2020	Check ☐ if self-employed	PTIN P01345770
Firm's name ▶ FOUNDATION SOURCE			Firm's EIN ▶ 510398347	
Firm's address ▶ ONE HOLLOW LN, STE 212 LAKE SUCCESS, NY 11042			Phone no 800-839-1754	

ATTACHMENT 1FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
K-1 INC/LOSS ALTIUS ASSOC PRIVATE EQUITY	17,515.	15,103.
K-1 INC/LOSS BRIGHTWOOD CAPITAL SBIC II	37,841.	78,875.
FOREIGN TAX REFUND	35.	35.
TOTALS	55,391.	94,013.

ATTACHMENT 2FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>CHARITABLE PURPOSES</u>
INVESTMENT MANAGEMENT SERVICES	58,845.	58,845.	
CONSULTING SERVICES	1,020.		1,020.
WEBSITE DEVELOPMENT	211.		211.
EXECUTIVE DIRECTOR	40,000.		40,000.
TOTALS	<u>100,076.</u>	<u>58,845.</u>	<u>41,231.</u>

ATTACHMENT 3FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>
990-PF ESTIMATED TAX FOR 2019	4,900.
990-PF EXTENSION FOR 2018	5,202.
TOTALS	<u>10,102.</u>

ATTACHMENT 4FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
ADMINISTRATIVE FEES	1,000.		1,000.
BANK CHARGES	287.	287.	
K-1 EXP ALTIUS ASSOC PRIVATE E	8,922.	8,858.	
K-1 EXP BRIGHTWOOD CAPITAL SBI	9,759.	9,428.	
STORAGE FEE	1,952.		1,952.
ADMINISTRATIVE FEES	30,709.		30,709.
TOTALS	52,629.	18,573.	33,661.

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONSATTACHMENT 5

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
FEDERAL HOME LN MTG CRPSPER 372	16,042.	16,894.
FEDERAL HOME LN MTG CRPSPER 389	16,739.	17,630.
FEDERAL HOME LOAN BANKS - 3.25	32,071.	31,919.
FEDERAL NATIONAL MORTGAGE ASSO	18,514.	18,694.
FEDERAL NATIONAL MORTGAGE ASSO	17,280.	17,420.
FEDL HOME LN MTG #C91859 - 3.5	15,821.	16,436.
FEDL HOME LN MTG - 4.500% - 01	5,276.	5,166.
FEDL HOME LN MTG CRPSPER - 5.50	12,155.	12,361.
FEDL HOME LN MTG CRPSPER 3034 C	15,145.	15,565.
FEDL HOME LN MTG CRPSPER 3775 C	5,661.	5,676.
FEDL HOME LN MTG CRPSPER 4010 C	13,847.	13,425.
FEDL HOME LN MTG CRPSPER 4017 C	3,265.	3,276.
FEDL HOME LN MTG CRPSPER 4088 C	30,903.	31,651.
FEDL HOME LN MTG CRPSPER 4226 C	9,807.	9,789.
FEDL HOME LN MTG FEDL HOME LN	19,847.	19,771.
FEDL HOME LOAN - 5.000% - 02/1	19,549.	19,591.
FHLM - 3.000% - 02/15/2042	14,997.	15,109.
FHLM - 3.000% - 10/15/2037	11,809.	11,667.
FHLM - 4.500% - 01/15/2041	17,123.	17,175.
FHLMC - 3.000% - 08/15/2039	9,306.	9,256.
FHLMC - 4.500% - 06/15/2039	27,125.	26,387.
FHLMC MULTICLASS SER 4077 - 4.	4,290.	4,170.
FHML - 3.000% - 03/15/2041	16,592.	16,670.
GNMA SER 2010-10 - 4.000% - 12	3,017.	2,911.
GNMA SER 2017-013 - 3.000% - 0	19,243.	19,128.
GOVT NATL MTG ASSN SER 2010-03	5,072.	5,012.
GOVT NATL MTG ASSN SER 2015-18	12,157.	12,145.
GOVT NATL MTG ASSN SER 2019-04	12,144.	12,068.
SMALL BUS ADMIN GTD DEV - 5.51	2,776.	2,626.
SMALL BUS ADMIN GTD DEV PTC -	9,836.	9,185.
US T BDS - 3.000% - 05/15/2042	22,150.	24,482.
US T BILL - 5.250% - 02/15/202	6,088.	6,401.
US T BILL - 5.250% - 11/15/202	30,461.	31,866.
US T NOTE - 1.625% - 02/15/202	14,848.	14,868.
US T NOTE - 1.750% - 05/15/202	19,453.	20,066.

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONSATTACHMENT 5 (CONT'D)

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
US T NOTE - 2.375% - 08/15/202	23,493.	23,704.
US T NOTE - 2.750% - 02/15/202	16,380.	17,058.
US T NOTE - 6.250% - 08/15/202	36,782.	34,870.
US T NTS - 2.250% - 11/15/2024	15,488.	15,393.
US T NTS NOTE - 2.875% - 07/31	34,147.	33,941.
US TURY - 2.250% - 11/15/2025	44,568.	46,198.
US TURY - 2.875% - 05/15/2028	18,752.	20,469.
US TURY - 3.000% - 10/31/2025	38,047.	39,552.
TENNESSEE VALLEY AUTHORITY - 7	25,096.	28,899.
US OBLIGATIONS TOTAL	<u>763,162.</u>	<u>776,540.</u>
CA HSG FIN BD - 3.650% - 08/01	20,000.	20,898.
STATE OBLIGATIONS TOTAL	<u>20,000.</u>	<u>20,898.</u>
US AND STATE OBLIGATIONS TOTAL	<u>783,162.</u>	<u>797,438.</u>

FORM 990PF, PART II - CORPORATE STOCKATTACHMENT 6

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
ABBOTT LABS	11,804.	19,978.
ACCENTURE PLC	20,411.	26,953.
ACS ACTIVIDADES CO UNSP ADR	5,653.	6,051.
ADOBE SYSTEMS, INC	10,806.	12,863.
AERCAP HOLDING N.V	7,895.	10,204.
AIA GROUP LTD ADR	5,780.	7,155.
ALLIANCEBERNSTEIN SMALL CAP GR	384,707.	388,173.
ALPHABET INC CL A	21,790.	26,788.
AMERICAN SOFTWARE INC	3,118.	5,000.
AMERICAN TOWER REIT INC	16,658.	28,268.
ANHEUSER BUSCH COS INC	18,975.	18,951.
ANIKA THERAPEUTICS INC	8,036.	8,503.
ASML HOLDING NV NY REG SHS	5,626.	7,990.
AXA ADS	6,620.	6,756.
BADGER METER, INC	2,728.	8,311.
BAE SYSTEMS PLC	5,728.	6,540.
BAIDU.COM - ADR	5,685.	7,584.
BANK OF HAWAII CORP	8,532.	13,227.
BASF AG SPONS ADR	5,634.	6,608.
BHP BILLITON SP ADR	5,659.	6,205.
BLACKROCK INC	13,721.	19,605.
BOEING CO	9,556.	9,121.
BROOKS AUTOMATION, INC	10,695.	16,155.
CALRSBERG AS SP ADR REP B	5,672.	5,958.
CASS INFORMATION SYSTEMS, INC	4,366.	9,816.
CENTERPOINT ENERGY	22,729.	21,134.
CHARLES SCHWAB CORP	13,259.	18,501.
CHEESECAKE FACTORY INC	13,224.	11,464.
CINEMARK HOLDINGS INC	9,029.	9,613.

FORM 990PF, PART II - CORPORATE STOCKATTACHMENT 6 (CONT'D)

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
CORE LABORATORIES	11,256.	4,144.
CORELOGIC INC	11,010.	11,365.
DAIMLER AG ADR	5,592.	6,586.
DANAHER CORP	14,390.	24,096.
DBS GROUP HLDGS SPON ADR	2,955.	7,716.
DEUTSCHE BOERSE ADR	5,745.	6,325.
DEUTSCHE POST AG	5,662.	6,770.
DNB NOR ASA SPONSOR ADR	5,567.	6,449.
DOLLAR GENERAL CORP	9,187.	13,258.
E.ON AG SPON ADR REP 1/3 ORD N	5,718.	6,690.
EBAY INC	18,053.	19,247.
ECOLAB INC	19,133.	25,475.
ENGIE	5,726.	6,242.
ESSILOR INTL ADR	5,648.	6,051.
ESTEE LAUDER COMPANIES INC	20,823.	34,492.
FANUC LIMITED UNSPONSORED	5,661.	6,263.
FIRST FINANCIAL BANKSHARES, IN	5,741.	12,285.
FIRST REPUBLIC BANK	18,866.	22,903.
FIRST WESTERN SHORT DURATION H	264,771.	264,203.
FORTIVE CORPORATION	16,399.	17,952.
GILEAD SCIENCES INC	13,824.	16,310.
GRACO INC	2,709.	11,752.
GROUPE DANONE ADS	7,175.	6,744.
HALLIBURTON COMPANY	13,007.	14,168.
HENRY JACK & ASSOC INC	1,721.	7,284.
HITACHI LTD	5,651.	7,008.
HOME DEPOT INC	22,132.	26,642.
HONEYWELL INTL	19,424.	26,019.
HOULIHAN LOKEY INC	11,862.	12,951.

FORM 990PF, PART II - CORPORATE STOCKATTACHMENT 6 (CONT'D)

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
HSBC HOLDINGS PLC	5,663.	6,137.
INDUSTRIA DE DISENO	5,687.	6,997.
INTERCONTINENTAL EXCHANGE, INC	8,067.	11,291.
INTUIT	7,038.	11,001.
INVESCO OPPENHEIMER DEVELOPING	213,509.	233,443.
ISHARES RUSSELL MIDCAP VALUE	312,142.	334,443.
ISHARES TRUST RUSSELL MIDCAP I	262,560.	335,064.
JONES LANG LASALLE	4,827.	5,919.
JP MORGAN CHASE	24,078.	31,226.
JULIUS BAER GROUP LTD	5,625.	7,685.
KONINKLIJKE DSM NV ADR	6,692.	7,181.
LANDSTAR SYSTEM	4,905.	11,045.
LAZARD INTL STRATEGY EQUITY PO	282,210.	302,145.
LINCOLN ELECTRIC HOLDING INC	5,965.	7,255.
LLOYDS BANKING GROUP PLC	5,936.	8,255.
MAINGATE MLP FUND CL I	172,907.	151,374.
MCDONALD'S CORP	18,234.	22,528.
MGM GROWTH PROPERTIES LLC	15,764.	16,569.
MICROSOFT CORP	11,229.	23,813.
MURATA MFG INC UNSP/ADR	5,735.	8,181.
NASPERS LTD N SPONS ADR	5,513.	4,103.
NATIONAL BEVERAGE CORP	1,940.	6,939.
NIKE INC-CL B	11,919.	22,491.
NORDEA BANK ABP	5,593.	7,543.
NUTRIEN LTD	5,690.	5,558.
NXP SEMICONDUCTORS	5,712.	7,254.
ORACLE CORP	20,433.	22,728.
OTSUKA HOLDINGS CO	5,809.	6,550.
PAYPAL HOLDINGS, INC	7,341.	10,492.

FORM 990PF, PART II - CORPORATE STOCKATTACHMENT 6 (CONT'D)

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
PEPSICO INC	20,934.	25,421.
PRIMERICA, INC	4,379.	13,578.
PROSUS NV ADR	1,931.	1,877.
R L I CP	3,818.	11,433.
RAYTHEON CO	9,084.	11,207.
RBC BEARINGS INCORPORATED	7,145.	16,626.
REPSOL YPF S A ADR	5,612.	6,241.
ROCHE HOLDING LTD ADR	5,299.	9,149.
ROLLS ROYCE GRP PLC S/ADR	5,806.	5,649.
ROPER INDUSTRIES	15,295.	21,254.
ROYAL DUTCH SHELL CL A	13,613.	11,855.
RWE AKTIENGESSELLSCHAF	5,808.	6,255.
S&P GLOBAL INC COM	8,115.	13,926.
SAP AKTIENGESSELL ADS	8,155.	9,111.
SCHLUMBERGER LTD	18,709.	15,155.
SCOTT'S CO. CLASS A	15,721.	21,130.
SECOM CO LTD ADR OTC	5,760.	6,325.
SIEMENS HEALTHINEERS AG	5,670.	6,896.
SITEONE LANDSCAPE SUPPLY INC	9,370.	20,578.
SMITH & NEPHEW PLC ADR	5,751.	6,057.
STRYKER CORPORATION	11,854.	20,154.
SUMITOMO MITSUI FINCL GRP	5,661.	6,454.
TESCO PLC S ADR	5,818.	7,500.
TEXAS INSTRUMENTS INC	11,790.	20,142.
THERMO FISHER SCIENTIFIC INC	15,640.	28,589.
THOR INDS INC	10,487.	12,555.
TJX COMPANIES INC	22,146.	29,309.
TORAY INDUSTRIES INC	5,772.	5,384.
UNIFIRST CP	4,859.	5,050.

FORM 990PF, PART II - CORPORATE STOCKATTACHMENT 6 (CONT'D)

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
VANGUARD FTSE DEVELOPED MARKET	215,343.	251,715.
VANGUARD S&P 500 ETF	611,562.	835,338.
VANGUARD SHORT-TERM BOND INDEX	356,508.	366,597.
VIRTUS GLBL REAL ESTATE SEC	260,844.	282,570.
VISA INC	14,592.	24,051.
WALT DISNEY HOLDINGS CO	11,068.	11,715.
WATSCO INC	10,985.	13,691.
WD-40 COMPANY	1,986.	9,901.
TOTALS	<u>4,421,117.</u>	<u>5,186,513.</u>

FORM 990PF, PART II - CORPORATE BONDSATTACHMENT 7

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
ABS CORP HM EQ LN TRSER 2001-H	22,838.	23,703.
AIR LEASE CORP - 4.250% - 09/1	10,921.	11,785.
AMERICAN AIRLN SER 2019-1 CL A	21,325.	21,593.
AMERICAN CAMPUS CMNTYS OPER -	11,965.	12,438.
AMERICAN TOWER CORP - 4.400% -	11,647.	12,004.
ARES CAP CORP - 3.500% - 02/10	11,643.	12,181.
AT&T - 4.250% - 03/01/2027	11,053.	12,057.
AUTODESK INC - 4.375% - 06/15/	11,657.	12,014.
BANK OF AMERICA - 4.250% - 10/	10,855.	11,999.
BEAR STEARNS ALT-A SER 2003-6	9,423.	9,369.
BOSTON SCIENTIFIC CORP - 3.750	10,972.	11,791.
CA INC - 4.500% - 08/15/2023	12,849.	12,618.
CELGENE CORP - 3.900% - 02/20/	14,954.	16,175.
CONTINENTAL AIRLN - 5.500% - 0	9,556.	9,269.
CROWN CASTLE INTL CORP - 4.000	12,392.	12,958.
CS FIRST BOSTON - 2.579% - 10/	1,468.	1,484.
CSFB COML MTG - 3.055% - 02/25	5,116.	5,210.
CSFB MGT PRC SER 2004-AR8 - 0.	5,049.	5,111.
CSFB MTG SER 2003-113 - 5.500%	2,945.	2,921.
DOLLAR TREE INC - 4.000% - 05/	11,993.	12,839.
EBAY INC - 3.600% - 06/05/2027	10,010.	10,488.
HARBORVIEW MTG - 2.055% - 06/1	14,837.	15,030.
HCA INC - 5.250% - 06/15/2026	10,615.	11,203.
INTERNATIONAL LEASE FIN - 5.87	11,649.	11,985.
JP MORGAN ACCEPT SER 2004-A3 C	6,889.	7,153.
KINDER MORGAN ENERGY - 4.250%	11,304.	11,782.
LAS VEGAS SANDS CORP - 3.500%	10,976.	11,317.
LEGG MASON INC - 4.750% - 03/1	10,743.	10,948.
LONG BEACH MTG LN TRSER - 1.33	18,586.	19,363.

FORM 990PF, PART II - CORPORATE BONDSATTACHMENT 7 (CONT'D)

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
MAGELLAN MIDSTREAM PRTRNS LP -	12,176.	12,421.
MARTIN MARIETTA MATERIALS - 3.	9,992.	10,384.
MASRT ADJ MTG 2004-6SER - 0.02	7,231.	7,321.
MORGAN STANLEY - 4.00% - 07/2	11,233.	11,903.
PACKAGING CORPORATION - 3.400%	11,989.	12,566.
PAYPAL HLDGS INC - 2.850% - 10	12,995.	13,121.
RAYMOND JAMES FINANCIAL - 3.62	9,985.	10,571.
RESIDENTIAL MTG LN SER 1998-1	14,958.	15,073.
ROPER TECHNOLOGIES BD - 3.650%	12,004.	12,601.
SEQUOIA MTG TR SER 2013-6 CL A	22,480.	23,223.
SEQUOIA MTG TRUST SER 2003-8 C	18,515.	18,825.
SIMON PROPERTY GROUP - 3.300%	6,283.	6,317.
STRUCTURED ASSET SER 1999-SP1	8,791.	8,918.
SVB FINL - 3.500% - 01/29/2025	11,583.	12,573.
UNITED AIRLINES - 4.300% - 02/	6,504.	6,453.
UNITED CONTINENTAL HOLDINGS IN	13,092.	13,282.
US AIRWAYS PT TRUST SER 2001-1	6,842.	6,822.
VIACOM INC SR DEB - 7.875% - 0	7,434.	8,413.
WASHINGTON MUTUAL - 3.842% - 0	6,998.	7,097.
WASHINGTON MUTUAL MORTGAGE - 3	20,481.	21,371.
TOTALS	<u>557,796.</u>	<u>578,043.</u>

FORM 990PF, PART II - OTHER INVESTMENTSATTACHMENT 8

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
AETOS CAPITAL DISTRESSED INV S	64,882.	107,385.
AETOS CAPITAL LONG/SHORT STRAT	178,172.	284,661.
AETOS CAPITAL MULTI-STRATEGY A	103,981.	153,002.
ALTIOUS ASSOC PRIVATE EQUITY FU	288,938.	462,643.
BRIGHTWOOD CAPITAL SBIC II LP	143,373.	148,643.
TOTALS	<u>779,346.</u>	<u>1,156,334.</u>

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
3,015,187.		PUBLICLY-TRADED SECURITIES 2,861,999.					153,188.	
		PASSTHROUGH K1 CAPITAL GAIN/(LOSS)					46,105.	
TOTAL GAIN (LOSS)							<u>199,293.</u>	

THE UBEROI FOUNDATION FOR RELIGIOUS STUDIES 2019 FORM 990-PF
FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

26-6101307.

ATTACHMENT 9

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
ANU BHATIA FOUNDATION SOURCE 501 SILVERSIDE RD WILMINGTON, DE 19809-1377	TRUSTEE 5.00	11,000.	0.	0.
JYOTHI BHATIA FOUNDATION SOURCE 501 SILVERSIDE RD WILMINGTON, DE 19809-1377	TRUSTEE 5.00	11,000.	0.	0.
SNEHA HARJAI FOUNDATION SOURCE 501 SILVERSIDE RD WILMINGTON, DE 19809-1377	TRUSTEE 5.00	11,000.	0.	0.
KATHARINE NANDA FOUNDATION SOURCE 501 SILVERSIDE RD WILMINGTON, DE 19809-1377	SEC 10.00	1,000.	0.	0.
VED P NANDA FOUNDATION SOURCE 501 SILVERSIDE RD WILMINGTON, DE 19809-1377	CHAIRMAN 5.00	10,000.	0.	0.
JAMES POLSFUT* FOUNDATION SOURCE 501 SILVERSIDE RD WILMINGTON, DE 19809-1377	TREAS 10.00	40,000.	0.	0.

*JAMES POLSFUT, TREASURER, WAS PAID \$40,000 BY THE FOUNDATION IN HIS CAPACITY AS EXECUTIVE DIRECTOR DURING 2019. THIS COMPENSATION IS REPORTED ON PART I, LINE 16C TO BE CONSISTENT WITH THE CHARACTER OF THE EXPENSE.

THE UBEROI FOUNDATION FOR RELIGIOUS STUDIES 2019 FORM 990-PF
 FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

26-6101307.

ATTACHMENT 9 (CONT'D)

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
PARVEEN K SETIA* FOUNDATION SOURCE 501 SILVERSIDE RD WILMINGTON, DE 19809-1377 *RESIGNED IN 2019	VICE CHAIRMAN 5.00	10,000.	0.	0.
GRAND TOTALS		94,000.	0.	0.

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALSATTACHMENT 10

<u>NAME AND ADDRESS</u>	<u>TYPE OF SERVICE</u>	<u>COMPENSATION</u>
FIRST WESTERN TRUST BANK 1200 17TH STREET, STE 2650 DENVER, CO 80202	INV MGT/ADMIN	89,553.
TOTAL COMPENSATION		<u>89,553.</u>

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 11

RECIPIENT NAME AND ADDRESS		RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
BUENA VISTA UNIVERSITY 610 W 4TH ST STORM LAKE, IA 50588		N/A PC	UTT IOWA	10,000
CALIFORNIA STATE UNIVERSITY FRESNO FOUNDATION 4910 N CHESTNUT AVE FRESNO, CA 93726		N/A PC	PHILOSOPHY UBEROI TEACHER TRAINING (UTT) FRESNO	10,000
CALIFORNIA STATE UNIVERSITY FRESNO FOUNDATION 4910 N CHESTNUT AVE FRESNO, CA 93726		N/A PC	GANDHI'S GLOBAL LEGACY IN INDIA AND BEYOND	20,000
HINDU AMERICAN FOUNDATION 910 17TH ST NW STE 316A WASHINGTON, DC 20006		N/A PC	GETTING HINDUISM RIGHT EMPOWERING EDUCATORS THROUGH PROFESSIONAL DEVELOPMENT	25,000
HINDUPEDIA CORPORATION 10367 MENHART LN CUPERTINO, CA 95014		N/A PC	TEXTBOOK REFORM	10,000
INSTITUTE OF ADVANCED SCIENCES 86 FAUNCE CORNER RD NORTH DARTMOUTH, MA 02747		N/A PC	UBEROI TEACHING PROGRAM	40,000

ATTACHMENT 11

FORM 990-PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 11 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
LOYOLA MARYMOUNT UNIVERSITY 1 LMU DR STE 2800 LOS ANGELES, CA 90045	N/A	PC	STUDY OF DHARMIC TRADITIONS SCHOLARSHIP FUND	15,000
LOYOLA MARYMOUNT UNIVERSITY 1 LMU DR STE 2800 LOS ANGELES, CA 90045	N/A	PC	UBEROI TEACHER TRAINING INDIA (UTTI) PROGRAM	20,000
LOYOLA MARYMOUNT UNIVERSITY 1 LMU DR STE 2800 LOS ANGELES, CA 90045	N/A	PC	YOGA AND HEALTH STUDIES	25,000
TRUE DHARMA INTERNATIONAL BUDDHIST MISSION INCORPO 11319 93RD ST LARGO, FL 33773	N/A	PC	GOOD DEATH AUSPICIOUS REBIRTH TRAINING	10,000
UNIVERSITY OF MICHIGAN OFC OF FINANCIAL AID 2500 STUDENT ACTIVITIES ANN ARBOR, MI 48109	N/A	PC	THE INDIC CONTEMPLATIVE TRADITIONS IN COLLEGE CLASSROOMS PROGRAM	15,000
TOTAL CONTRIBUTIONS PAID				<u>200,000</u>

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 12

DESCRIPTION	BUSINESS CODE	EXCLUSION		RELATED OR EXEMPT FUNCTION INCOME
		AMOUNT	CODE	
K-1 INC/LOSS FOREIGN TAX REFUND	525990	-38,622.	14	93,978.
			01	35.
TOTALS		-38,622.		94,013.