Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

on tenter social security numbers on this form as it may be made public.

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Name of foundation RAYMOND J. AND KATHRYN D. HARBERT FOUNDATION Number and street (or PO box number if mail is not delivered to street address) 2100 THIRD AVENUE NORTH, SUITE 600 City or town, state or province, country, and ZIP or foreign postal code BIRMINGHAM, AL 35203 G Check all that apply: Initial return Address change Name change H Check type of organization: Section 501(c)(3) exempt private foundation Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation I Fair market value of all assets at end of year (from Part II, col. (c), line 16) Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not expenses per books I Contributions, grifs, grants, etc., received 2 Chock I in the foundation is not required to attach Sch 8 Interest on savings and temporary against income required to attach Sch 8 Interest on savings and temporary against income or (loss) Name change C it exemption application is pending, check here contributions pending, check here check here check here contributions pending, check here chec
RAYMOND J. AND KATHRYN D. HARBERT FOUNDATION Number and street (or P O box number if mail is not delivered to street address) 2100 THIRD AVENUE NORTH, SUITE 600 City or town, state or province, country, and ZIP or foreign postal code BIRMINGHAM, AL 35203 G Check all that apply: Initial return Amended return Address change Name change H Check type of organization: Section 501(c)(3) exempt private foundation Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$\$\$ 3,974,551. (Part I, column (d), must be on cash basis) Part I Analysis of Revenue and Expenses Analysis of Revenue and Expenses (a) Revenue and expenses per books 1 Contributions, grifts, grants, etc., received 2 Check Ithe foundation is not required to attach Sch B interest on savings and interest from securities 1 Contributions, grifts, grants, etc., received 2 Check Ithe foundation is not required to attach Sch B interest on savings and interest from securities 5 a Gross rents b Net rental income or (loss)
Room/suite Stephone number if mail is not delivered to street address) Room/suite Stephone number 20.5 - 987 - 56.05
Room/suite B Telephone number 205-987-5605
2100 THIRD AVENUE NORTH, SUITE 600 City or town, state or province, country, and ZIP or foreign postal code BIRMINGHAM, AL 35203 G Check all that apply: Initial return Address change Initial return Initial return of a former public charity 2 Foreign organizations, check here check here check here and statch computation. 2 Foreign organizations meeting the 85% test, check here Initial return Address change Initial return Address change Initial return Address change Initial return Initial return of a former public charity 2 Foreign organizations, check here Initial return Initial return of a former public charity 2 Foreign organizations, check here Initial return Initial return of a former public charity 2 Foreign organizations check here Initial return Initial return of a former public charity 3 Accrual Initial return Initial return Initial return of a former public charity 4 Dividends and interest from securities Initial return Initial return of a former public charity 5 Accrual Initial return Initial return Initial return Initial return of a former public charity 4 Dividends and interest from securities Initial return Initial return Initial retu
City or town, state or province, country, and ZIP or foreign postal code BIRMINGHAM, AL 35203 G Check all that apply: Initial return Initial return of a former public charity Final return Amended return Address change Name change H Check type of organization: Section 501(c)(3) exempt private foundation Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$\infty\$ 3,974,551. (Part I, column (d), must be on cash basis) Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in columns (b) and the expenses per books 1 Contributions, gifts, grants, etc, received 275,000. 2 Check if the foundation is not required to attach Sch B interest on savings and temporary cash nivestments 4 Dividends and interest from securities 5a Gross rents Name change If private foundation status was terminated under section 507(b)(1)(A), check here on detach computation C if exemption application is pending, check here C if exemption application is pending, check here 2 Foreign organizations, check here 2 Foreign organizations, check here 2 Foreign organizations, check here 2 foreign organizations meeting the 85% test, check here on detach computation If private foundation status was terminated under section 507(b)(1)(A), check here on detach computation Fill file foundation is a 60-month termination under section 507(b)(1)(B), check here on detach check here on detach computation Fill file foundation is in a 60-month termination under section 507(b)(1)(B), check here on detach check here on detach computation Foreign organizations meeting the 85% test, check here 1 Contributions is in a 60-month termination under section 507(b)(1)(B), check here on check here on check here on d
BIRMINGHAM, AL 35203 G Check all that apply: Initial return Amended return Amend
G Check all that apply:
Final return Address change Name change H Check type of organization: X Section 501(c)(3) exempt private foundation Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$
Address change Name change H Check type of organization: X Section 501(c)(3) exempt private foundation Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$\$\square\$\$ 3,974,551. (Part I, column (d), must be on cash basis) Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necesserily equal the amounts in columns (b), (c), and (d) may not necesserily equal the amounts in column (e)) 1 Contributions, gifts, grants, etc., received 275,000. 2 Check \[\text{m} \] if the foundation is in a 60-month termination under section 507(b)(1)(B), check here \[\text{m} \] 1 Contributions, gifts, grants, etc., received 275,000. 1 Contributions, gifts, grants, etc., received 275,000. 2 Check \[\text{m} \] if the foundation is not required to attach Sch B and temporary cash investments and temporary cash investments for charitable purposes (cash basis only) 1 Dividends and interest from securities 5 a Gross rents b Net rental income or (loss)
H Check type of organization: X Section 501(c)(3) exempt private foundation Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation I Fair market value of all assets at end of year (from Part II, col. (c), line 16) S 3, 974, 551. Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a)) Contributions, gifts, grants, etc., received C Check I if the foundation is not required to attach Sch B interest on savings and temporary cash investments D I I Contributions and interest from securities D I I Contributions are for chartable purposes for chartable purposes in a 60-month termination under section 507(b)(1)(B), check here F If the foundation is an 60-month termination under section 507(b)(1)(B), check here F If the foundation is not 60-month termination under section 507(b)(1)(B), check here F If the foundation is not 60-month termination under section 507(b)(1)(B), check here F If the foundation is not 60-month termination under section 507(b)(1)(B), check here
Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation under section 507(b)(1)(A), check here under section 507(b)(1)(B), check here under section 507(b)(B), check here under section 507(b)(B), check here under section 507(b)(B), check here under section
Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation under section 507(b)(1)(A), check here under section 507(b)(1)(B), check here under section 507(b)(B), check here under section 507(b)(B), check here under section 507(b)(B), check here under section
(from Part II, col. (c), line 16) \$\ 3,974,551\$. (Part I, column (d), must be on cash basis) Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a)) 1 Contributions, gifts, grants, etc., received 2 Check if the foundation is not required to attach Sch B Interest on savings and temporary cash investments 4 Dividends and interest from securities 5 a Gross rents b Net rental income or (loss) Other (specify) under section 507(b)(1)(B), check here (d) Disbursements for charitable purposes (cash basis only) 1 (c) Adjusted net income inco
(from Part II, col. (c), line 16)
Part 1 Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a)) 1 Contributions, gifts, grants, etc., received 2 Check ▶ if the foundation is not required to attach Sch B 3 Interest on savings and temporary cash investments 4 Dividends and interest from securities b Net rental income or (loss) (a) Revenue and expenses per books (b) Net investment income (c) Adjusted net income for charitable purposes (cash basis only) 1 (b) Net investment (c) Adjusted net income 1 (d) Disbursements for charitable purposes (cash basis only) 1 2 7 7 7 7 . STATEMENT 1
(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a)) 1 Contributions, gifts, grants, etc., received 2 Check ▶ if the foundation is not required to attach Sch B Interest on savings and temporary cash investments 4 Dividends and interest from securities 5 a Gross rents b Net rental income or (loss)
necessarily equal the amounts in column (a) 1 Contributions, gifts, grants, etc., received 275,000. N/A Check interest on savings and temporary cash investments 12,717. 12,717. STATEMENT 1 Dividends and interest from securities 5a Gross rents b Net rental income or (loss)
2 Check
3 Interest on savings and temporary cash investments 4 Dividends and interest from securities 5a Gross rents b Net rental income or (loss)
5 cash investments 4 Dividends and interest from securities 5 a Gross rents b Net rental income or (loss)
5a Gross rents b Net rental income or (loss)
b Net rental income or (loss)
447 701
447 761
68 Net gain or (loss) from sale of assets not on line 10
b Gross sales price for all assets on line 6a 447,781. 7 Capital gain net income (from Part IV, line 2)
7 Capital gain net income (from Part IV, line 2)
8 Net short-term capital gain
9 Income modifications
Gross sales less returns and allowances
b Less Cost of goods sold
c Gross profit or (loss)
11 Other Income -447,781447,781. STATEMENT 2
12 Total. Add lines 1 through 11 287,717. 12,717.
13 Compensation of officers, directors, trustees, etc 0. 0.
14 Other employee salaries and wages
15 Pension plans, employee benefits
3 16a Legal fees STMT 3 470. 235. 235
b Accounting fees STMT 4 2,900. 1,450. 1,450 C Other professional fees
c Other professional fees
17 Interest 18 Taxes 19 Depreciation and depletion 20 Occupancy 21 Travel, conferences, and meetings
19 Depreciation and depletion 20 Occupancy
20 Occupancy
2 22 Printing and publications
Total operating and administrative
23 Uniter expenses STMT 5 73,095. 73,083. 12 24 Total operating and administrative expenses. Add lines 13 through 23 76,465. 74,768. 1,697 25 Contributions, gifts, grants paid 410,000. 410,000
25 Contributions, girls, grants paid 410,000.
26 Total expenses and disbursements.
Add lines 24 and 25 486, 465. 74, 768. 411, 697
27 Subtract line 26 from line 12:
8 Excess of revenue over expenses and disbursements -198,748.
b Net investment income (if negative, enter -0-)
c Adjusted net income (if negative, enter -0-) N/A 223501 12-17-19 LHA For Panarwork Reduction Act Natice see instructions

Form 990-PF (2019)

FOUNDATION

26-3910444 Page 2

	art	Balance Sheets Attached schedules and amounts in the description	Beginning of year	End o	
<u></u>	art	column should be for end-of-year amounts only	(a) Book Value	(b) Book Value	(c) Fair Market Value
	1	Cash - non-interest-bearing	189,688.	707,927.	707,927.
	2	Savings and temporary cash investments			
	3	Accounts receivable >			
		Less; allowance for doubtful accounts			
	4	Pledges receivable >			
		Less: allowance for doubtful accounts			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other			
		disqualified persons			i.
	7	Other notes and loans receivable			
		Less: allowance for doubtful accounts			
23	8	Inventories for sale or use			
Assets	9	Prepaid expenses and deferred charges		-	
ĕ	10a	Investments - U.S and state government obligations			
	b	Investments - corporate stock		-	
	C	Investments - corporate bonds			
	11	Investments - land, buildings, and equipment basis			
		Less accumulated depreciation			
	12	Investments - mortgage loans			
	13	Investments - other STMT 6	5,018,744.	4,591,991.	3,266,624.
	14	Land, buildings, and equipment basis -			
		Less accumulated depreciation			
	15	Other assets (describe			
	16	Total assets (to be completed by all filers - see the			
		instructions. Also, see page 1, item I)	5,208,432.	5,299,918.	3,974,551.
	17	Accounts payable and accrued expenses			
	18	Grants payable			
ç	19	Deferred revenue			
Liabilities	20	Loans from officers, directors, trustees, and other disqualified persons			
ā	21	Mortgages and other notes payable			
_	22	Other liabilities (describe			
				_	
	23	Total liabilities (add lines 17 through 22)	0.	0.	
		Foundations that follow FASB ASC 958, check here			
S		and complete lines 24, 25, 29, and 30.			
alances	24	Net assets without donor restrictions	5,208,432.	5,299,918.	
3913	25	Net assets with donor restrictions			
Fund B		Foundations that do not follow FASB ASC 958, check here			
Ē		and complete lines 26 through 30.			
þ	26	Capital stock, trust principal, or current funds			
ets	27	Paid-in or capital surplus, or land, bldg., and equipment fund			
Net Assets	28	Retained earnings, accumulated income, endowment, or other funds	F 000 430	F 000 010	
<u>e</u>	29	Total net assets or fund balances	5,208,432.	5,299,918.	
~			F 200 420	F 200 010	
_	30	Total liabilities and net assets/fund balances	5,208,432.	5,299,918.	!
P	art	Analysis of Changes in Net Assets or Fund Ba	lances		
_	Total	net assets or fund balances at beginning of year - Part II, column (a), line		<u> </u>	
			29	,	5,208,432.
		t agree with end-of-year figure reported on prior year's return) amount from Part I, line 27a		1 2	-198,748.
		Increases not included in line 2 (itemize) GAAP EQUITY	TN RARNINGS	3	1,140,848.
		ines 1, 2, and 3	TI DERUITION	3	6,150,532.
		eases not included in line 2 (itemize) UNREALIZED GAIN	J	5	850,614.
		net assets or fund balances at end of year (line 4 minus line 5) - Part II, co		6	5,299,918.
<u> </u>	· otul	Total deserte or form balances at one or year time a filling into of a fall it, co	namm (O), mio E3		Form 990-PF (2019)

Form 990-PF (2019) FOU	NDAT	J. AND KATHE ION sses for Tax on Inv						2	6-391	0444	Page 3
(a) List and describe	the kınd(s) of property sold (for exan or common stock, 200 shs.	nple, real esta		(b) H	low ac Purch Dona	lase '	c) Date (mo., d	acquired lay, yr.)	(d) Dat (mo , da	
18 NET LONG-TERM	CAPI	ral gain - HS	SHF I				P				
b					ļ						
<u>c</u>			<u> </u>		<u> </u>						
<u>d</u>					-		_				
(e) Gross sales price	(f)	Depreciation allowed (or allowable)	107	st or other basis					aın or (loss) s (f) mınus (
a 447,781.		·		•							,781.
b											
С					_						
d											
Complete only for coasts above		the second beautiful and secon	ha fair-dation	10/01/60							
Complete only for assets showin		· · · · · · · · · · · · · · · · · · ·			\dashv				ol (h) gain not less thar		
(i) FMV as of 12/31/69		(j) Adjusted basis as of 12/31/69		cess of col. (1) col. (1), if any					(from col (
8				(,,, -, -,	\dashv					447	,781.
b									_		
C											
d											
е										<u>.</u>	
2 Capital gain net income or (net ca	pital loss) { If gain, also enter If (loss), enter -0-			}	2				447	,781 <u>.</u>
Net short-term capital gain or (los If gain, also enter in Part I, line 8, If (loss), enter -0- in Part I, line 8	•		d (6):		}	٠			N/A		
	nder S	ection 4940(e) for	Reduced	Tax on Net	Inves	stme	ent Incon	ne	21, 22		
(For optional use by domestic private	foundati	ons subject to the section 4	940(a) tax on	net investment in	come.)						
f section 4940(d)(2) applies, leave th	ns part b	ank.	.,								
Was the foundation liable for the sect f"Yes," the foundation doesn't qualif	y under s	ection 4940(e). Do not com	plete this part							Yes	X No
1 Enter the appropriate amount in e	ach colu	mn for each year; see the in	structions bef	ore making any er	ntries.			,			
(a) Base period years Calendar year (or tax year beginnii	ng in)	(b) Adjusted qualifying dist		Net value of no					Distrib (col. (b) div	(d) oution ratio ided by col	<u> </u>
2018			1,580.				8,683.	ļ			11122
2017			6,661.				<u>4,578.</u>	 			71247
2016			9,264.				9,780.	<u> </u>			54124 52306
2015			1,138. 6,728.		ο,	68	3,575. 8,270.	 		198.86	
2014		13,57	0,720.				<i>5,270</i> •			150.00	/0141
2 Total of line 1, column (d)								2		200.6	56940
3 Average distribution ratio for the	5-year ba	se period - divide the total o	on line 2 by 5.0), or by the numbe	er of ye	ears					
the foundation has been in exister	-	•	•	•	•			3		40.13	33388
4. Enter the net value of nepelporitoh	la	anto for 2010 from Bart V I	ıno E							3,831	642
4 Enter the net value of noncharitab	ic-use ds	3563 IUI ZU I3 IIUIII FAIL A, II	inic J					4		<u> </u>	, , , , , ,
5 Multiply line 4 by line 3								5	15	3,776	<u>,775.</u>
6 Enter 1% of net investment incom	ıe (1% of	Part I, line 27b)						6			0.
7 Add lines 5 and 6								_7	15	3,776	<u>,775.</u>

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

411,697.

8 Enter qualifying distributions from Part XII, line 4

RAYMOND J. AND KATHRYN D. HARBERT FOUNDATION

Part VII Excise Tax Based on Investment Income (Section 4940(a), 4940(b), or 4948 - see instructions) 1s	_	m 990-PF (2019) FOUNDATION 26-39	10444	Pa	age 4
Dates for ruling or determination letter:			e instruc	tions	
b Domestor foundations that meet the section 4940(e) requirements in Part V, check here □ and enter 1% 0	18	· · · · · · · · · · · · · · · · · · ·			i
of Part I, line 27b c. All Other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b) 2 Tax under section 51 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-) 3 Add lines 1 and 2 4 A 0 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- 6 Cordish2-gramets; a 2019 estimated tax payments. Add line 4 from line 3. If zero or less, enter -0- 6 End 3, 900 . 6 Exempt foreign organizations -1 tax withheld at source b. 6					ᆛ
c All other domestic foundations enter 2% of the 27h. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b) 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-) 3 Add lines I and 2 4 Subtlet A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-) 5 Tax based on Investment income. Subtract line 4 from line 3. If zero or less, enter -0-) 6 CredistPayments; a 2019 estimated tax payments and 2018 overpayment credited to 2019 6 Exempt foreign organizations - tax withhold at source 6 CredistPayments; 6 D. 7 Oster Credist and populations for extension of time to fire from 8969) 6 Baskup withholding erroneously withheld 7 Total credist and payments. Add lines 6s through 6d 8 Enter any penalty for onderpayment of estimated tax. Check here I from 2220 is attached 9 Tax doe. If the total of lines 5 and 8 is more than line 7, enter amount overpald 10 Overpayment line 7 is more time to total of lines 5 and 8, enter the amount overpald 11 Enter the amount of line 10 to be; Credited to 2020 estimated tax b 6 D. Retunded b 111 3,900. 12 Part VII-A I Statements Regarding Activities 1a During the tax year, did the foundation attempt to influence any rational, state, or local legislation or did it participate or intervene in any political campagin? 1b Did it spend more than 5100 during the year (either directly) for political purposes? See the misructions for the definition if the anisomer six vive 1 to a for 1, auch and detailed description of the activities 1 During the tax year, did the foundation in conscious with the activities 1 Did the foundation lie Ferni 1120-PCL for this year? 1 Did the foundation in pay part part to pay the foundation during the year (optimal payments) for political purposes? See the misructions for the definition of the activities of the condition of the payments of the activities of the activities of the condition have unrelated business gross income of \$1,000 or m	0	· · · · · · · · · · · · · · · · · · ·			<u>u.,</u>
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-) 3 Add lines 1 and 2 4 Subhila A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-) 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- 6 Credits-Payments; a 2019 estimated tax payments and 2018 overpayment credited to 2019 5 Exempt foreign organizations: -tax withheld at source 6 Tax paid with application for extension of time to file (Form 8868) 6 Exempt foreign organizations: -tax withheld at source 6 Tax paid with application for extension of time to file (Form 8868) 6 Exempt foreign organizations: -tax withheld at source 6 Tax due, if the total of lines 3 and 8 is more than line 7, enter amount owed 7 Total credits and payments. Add lines 6a through 6d 8 Exit any penalty for underpayment of estimated tax. Check here if Form 2220 is attached 9 Tax due, if the total of lines 3 and 8 is more than line 7, enter amount owed 9 Tax due, if the total of lines 3 and 8 is more than line 7, enter amount owed 10 overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 10 overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 10 the payment of the total of lines 3 overpayment overpaid 11 Tax due,	_	·			
3 Add lines 1 and 2 4 Subbits A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-) 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- 6 Credet/Payments; a 2019 estimated tax payments and 2018 overpayment credited to 2019 b Exempt foreign organizations - tax withheld at source 1 Tax paid with application for enterson of time to file (Form 8858) 6 Backup withholding erroneously withheld 7 Total credits and payments, Add lines 6s through 6d 6 Enter any pentity for underspayment of estimated tax. Check here ☐ if Form 2220 is attached 8 0. 6 Enter any pentity for underspayment of estimated tax. Check here ☐ if Form 2220 is attached 9 Tax due. If the botal of lines 5 and 6 is more than line 7, enter amount overd 10 Overpayment If line 7 is more than the total of lines 5 and 6, enter the amount overpaid 11 Enter the amount of line 10 to be: Credited to 2020 estimated tax Pent 11 to 11 to 12 to 12 to 12 to 12 to 13	C				,
A Subtille A (imcome) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-) 5 Tax based on investment inceme. Subtract line 4 from line 3. If zero or lisss, enter -0- 6 Credits-Payments: a 2019 estimated tax payments and 2018 overpayment credited to 2019 6 Exempt froreign organizations - tax withheld at source c Tax paid with application for extension of time to file (Form 8868) 6 Backup withholding erroneously withheld 7 Total credits and payments. Add lines 6a through 8d 6 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached 7 Total credits and payments. Add lines 6a through 8d 6 Interest any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached 7 Total credits and payments. Add lines 6a through 8d 6 Interest any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached 8 Tax due. If the total of lines 5a nd 8 is more than line 7, enter amount owed 9 Tax due. If the total of lines 5a cell setting to the second to the total of lines 5a nd 8, enter the amount owed 10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 1 Enter the amount of line 10 to be Credited to 2209 estimated tax. 1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 5 Line 1 is spend more than \$100 during the year (rether directly or indirectly) for political epidential purposes? See the instructions for the definition of the activities and copies of any materials published or distributed by the foundation in connection with the activities. 1 but the foundation in the form 1120-POL for this year? 1 but the foundation in the form 1120-POL for this year? 1 but the foundation engaged in any activities that have not previously been reported to the IRS? 1 but the foundation engaged in any activities that have not previously proposed during the year? 1	2	(-)(-)			_
5 Tab based on Investment income. Subtract line 4 from line 3. If zero or less, enter-0-6 6 Credus/Payments: a 2019 estimated tax payments and 2018 overpayment credited to 2019 b Exempt foreign organizations - tax withheld at source 1 Tay paid with application for extension of time to life (Form 8868) 6 Backup withholding erroneously withheld 7 Total credits and payments. Add lines 6a through 6d 6 Enter any penalty for underpayment of estimated tax. Check here if if form 2220 is attached 7 Total credits and payments. Add inces 6a through 6d 6 Enter any penalty for underpayment of estimated tax. Check here if if form 2220 is attached 7 Total credits with lines 2 is more than line 7, enter amount oved 8 O. Part VII-A 1 line 7 is more than the total of lines 5 and 6, enter the amount overpaid 11 Enter the amount of line 10 to be; Credited to 2020 estimated tax ▶ 12 During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it purticipate or intervene in any political campaign? 18 During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it purticipate or intervene in any political campaign? 19 Dud it spend more it than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition if the answer is "Yes" to 1s or 1b, attach a detailed description of the activities of distributed by the foundation in comerction with the activities 2 Did it spend more it than \$100 during the year (either directly or indirectly) for political supenditure is a migration of the activities of the foundation his political campaign and any activities that have not previously been reported to the IRS? 10 In the foundation his or political expenditures (section 4955) imposed during the year? 11 Yes, Tatach a detailed description of the activities 2 Dut the foundation have unrelated business gross income of \$1,000 or more during the year? 12 In the foundation have unrelated busin	-				
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If "Yes," attach the statement required by General Instruction T Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: By language in the governing instrument, or By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV Enter the states to which the foundation reports or with which it is registered. See instructions. AL If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV If "Yes," complete Part XIV Did any persons become substantial contributors during the tax year? If "Yes," ethach a schedule listing their names and addresses By X Did any persons become substantial contributors during the tax year? If "Yes," ethach a schedule listing their names and addresses		·		\vdash	
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10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 10 X	y			-	ㅜ '/
The state of the s	10				
		end and persons depositional commodute desting the tax year. It is a stream a scribation issuing their names and accresses			

26-3910444 FOUNDATION Page 5 Part VII-A | Statements Regarding Activities (continued) Yes No 11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions 11 12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions 12 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? 13 Website address ► N/A Telephone no > 205 - 987 - 560514 The books are in care of ▶ RAYMOND J. HARBERT Located at ▶ 2100 THIRD AVENUE NORTH, SUITE 600, BIRMINGHAM, A ZIP+4 ▶35203 15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here N/A and enter the amount of tax-exempt interest received or accrued during the year 15 No 16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, Yes X securities, or other financial account in a foreign country? 16 See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. Yes No 1a During the year, did the foundation (either directly or indirectly): Yes X No (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) Yes X No a disqualified person? Yes X No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes X No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available Yes X No for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after Yes X No termination of government service, if terminating within 90 days.) b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations N/A section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions 1b Organizations relying on a current notice regarding disaster assistance, check here c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected X before the first day of the tax year beginning in 2019? 1c 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines Yes X No 6d and 6e) for tax year(s) beginning before 2019? If "Yes," list the years ▶ b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach N/A statement - see instructions.) 2b c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time Yes X No during the year? b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, N/A

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X

3b

4a

Schedule C, to determine if the foundation had excess business holdings in 2019.)

had not been removed from jeopardy before the first day of the tax year beginning in 2019?

4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?

b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that

Form 990-PF (2019) FOUNDATION			26-39104	44	Page 6	
Part VII-B Statements Regarding Activities for Which F	orm 4720 May Be R	equired (contin	ued)			
5a During the year, did the foundation pay or incur any amount to:				Yes	No	
(1) Carry on propaganda, or otherwise attempt to influence legislation (section	1 4945(e))?	Y	s X No		1 1	
(2) Influence the outcome of any specific public election (see section 4955); o	r to carry on, directly or indire	ectly,				
any voter registration drive?		Y	s X No			
(3) Provide a grant to an individual for travel, study, or other similar purposes	7	Yo	s X No			
(4) Provide a grant to an organization other than a charitable, etc., organization	n described in section			1		
4945(d)(4)(A)? See instructions			s X No			
(5) Provide for any purpose other than religious, charitable, scientific, literary,	or educational purposes, or f	or	_	- 1		
the prevention of cruelty to children or animals?		Y	s X No	İ		
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify uni	der the exceptions described	in Regulations				
section 53 4945 or in a current notice regarding disaster assistance? See instru	ictions	•	N/A	5b		
Organizations relying on a current notice regarding disaster assistance, check h						
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption fr		ined	_			
expenditure responsibility for the grant?			s No	ı		
If "Yes," attach the statement required by Regulations section 53.4945-5(d).						
6a Did the foundation, during the year, receive any funds, directly or indirectly, to	pay premiums on				1	
a personal benefit contract?	,		s X No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a p	ersonal benefit contract?			5b	X	
If "Yes" to 6b, file Form 8870.	***************************************				\Box	
7a At any time during the tax year, was the foundation a party to a prohibited tax s	helter transaction?		s X No			
				7b	Ι'	
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A 8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or						
excess parachute payment(s) during the year?	1,000,000 111 101112110144011 01		s X No			
Part VIII Information About Officers, Directors, Truste	es. Foundation Mar		.0 [
Paid Employees, and Contractors	,					
1 List all officers, directors, trustees, and foundation managers and the	eir compensation.					
	(b) Title, and average	(c) Compensation	(d) Contributions to employee benefit plans and deferred	(e) Exp	enșe	
(a) Name and address	hòurs per week devoted to position	(If not paid, enter -0-)	and deferred compensation	account allowa		
RAYMOND J. HARBERT	DIRECTOR		, , , , , , , , , , , , , , , , , , , ,			
2100 THIRD AVENUE NORTH, SUITE 600						
BIRMINGHAM, AL 35203	0.50	0.	0.		0.	
	DIRECTOR					
2913 SOUTHWOOD ROAD						
BIRMINGHAM, AL 35223	0.00	0.	0.		0.	
2 Compensation of five highest-paid employees (other than those incl	uded on line 1). If none,	enter "NONE."				
(a) Name and address of such as 1	(b) Title, and average		(d) Contributions to employee benefit plans	(e) Exp	ense	
(a) Name and address of each employee paid more than \$50,000	hours per week devoted to position	(c) Compensation	and deferred compensation	account allowa		
NONE	advated to position		oo iii poilissaanii			
		<u> </u>				
Total number of other employees paid over \$50,000	<u>.</u>	·			0	
Total Common Compression Paid Over Question			Form	990-PF	<u> </u>	
					· ·-/	

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Part VIII Infor Paid	mation About Officers, Directors, Trustees, Foun Employees, and Contractors (continued)	dation Managers, Highly					
3 Five highest-paid	independent contractors for professional services. If none, er	nter "NONE."					
(a)	Name and address of each person paid more than \$50,000	(b) Type of service	(c)	Compensation			
NONE							
			j				
Total number of others r	eceiving over \$50,000 for professional services		•	0			
PartilX-Ai Sumi	mary of Direct Charitable Activities						
List the foundation's foundations	r largest direct charitable activities during the tax year. Include relevant si and other beneficiaries served, conferences convened, research papers p	tatistical information such as the produced, etc.	Ехр	enses			
1 N/A							
			7				
			7				
2		· · 					
			7				
			7				
3							
]				
4							
		•					
	nary of Program-Related Investments						
	program-related investments made by the foundation during the tax year	on lines 1 and 2	Am	ount			
1 N/A			_				
			_				
2			_				
			_				
		<u> </u>					
All other program-related	d investments. See instructions						
3			4				
			4				
			4				
			4				
<u> </u>			4				

Total. Add lines 1 through 3

FOUNDATION

26-3910444 Part-X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.) Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: 0. a Average monthly fair market value of securities 1a 409.992. b Average of monthly cash balances 16 3,480,000. c Fair market value of all other assets 1c $3,889,\overline{992}$ 1d d Total (add lines 1a, b, and c) e Reduction claimed for blockage or other factors reported on lines 1a and 0. _1e | 1c (attach detailed explanation) Acquisition indebtedness applicable to line 1 assets 2 889 3 Subtract line 2 from line 1d 58,350. Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions) 4 831,642. Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4 5 191,582. Minimum investment return. Enter 5% of line 5 6 Part:XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here
and do not complete this part.) 191,582. Minimum investment return from Part X, line 6 Tax on investment income for 2019 from Part VI, line 5 28 Income tax for 2019. (This does not include the tax from Part VI.) c Add lines 2a and 2b 2c 191 Distributable amount before adjustments. Subtract line 2c from line 1 3 3 0. Recoveries of amounts treated as qualifying distributions 4 <u> 191</u> 582. Add lines 3 and 4 5 0. Deduction from distributable amount (see instructions) 6 191 582. 7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 [Part:XII] Qualifying Distributions (see instructions) Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: 411,697. Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 1a b Program-related investments - total from Part IX-B 1b 2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes 2 3 Amounts set aside for specific charitable projects that satisfy the: a Suitability test (prior IRS approval required) 3a b Cash distribution test (attach the required schedule) 3b 411,697. Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4 4 Foundations that qualify under section 1940(e) for the reduced rate of tax on net investment

Adjusted qualifying distributions Subtract line 5 from line 4 6 Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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0.

697

income Enter 1% of Part I, line 27b

FOUNDATION

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
Distributable amount for 2019 from Part XI,	00.900	, out o p. 10 10 10 10 10 10 10 10 10 10 10 10 10		20.0
line 7				191,582.
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only			0.	
b Total for prior years:				
2 · · · · · · · · · · · · · · · · · · ·		0.		
3 Excess distributions carryover, if any, to 2019:				
a From 2014 13,573,314.				
b From 2015 7,319,959.				
c From 2016 3,348,743.				
d From 2017 1,233,903.				
e From 2018				
f Total of lines 3a through e	25,475,919.		-	
4 Qualifying distributions for 2019 from		-		
Part XII, line 4: ► \$ 411,697.				
a Applied to 2018, but not more than line 2a			0.	
b Applied to undistributed income of prior				
years (Election required - see instructions)		0.		
c Treated as distributions out of corpus				
(Election required - see instructions)	0.			
d Applied to 2019 distributable amount				191,582.
e Remaining amount distributed out of corpus	220,115.			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount	0.			0.
must be shown in column (a)) 6 Enter the net total of each column as	0.		<u> </u>	0.
indicated below: 8 Corpus Add lines 3f, 4c, and 4e Subtract line 5	25,696,034.			
b Prior years' undistributed income. Subtract	23,030,031			
line 4b from line 2b		0.		
c Enter the amount of prior years'				
undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable		W .	-	
amount - see instructions		0.		
e Undistributed income for 2018. Subtract line				
4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2019. Subtract				
lines 4d and 5 from line 1. This amount must				
be distributed in 2020				0.
7 Amounts treated as distributions out of				· · · · · · ·
corpus to satisfy requirements imposed by				
section 170(b)(1)(F) or 4942(g)(3) (Election				
may be required - see instructions)	0.			
8 Excess distributions carryover from 2014				
not applied on line 5 or line 7	13,573,314.			
9 Excess distributions carryover to 2020	_			
Subtract lines 7 and 8 from line 6a	12,122,720.			
10 Analysis of line 9.				
a Excess from 2015 7,319,959.				
b Excess from 2016 3,348,743.				
c Excess from 2017 1,233,903.				
d Excess from 2018				
e Excess from 2019 220,115.				

Part XIV Private O	FOUNDATIO	N dations (accord	Acceptance and Dead VIII	1 A O\		10444 F	Page 10
				-A, question 9)	N/A		
1 a If the foundation has rec							
foundation, and the rulin			\ •	▶∟			
b Check box to indicate wh			g foundation described		4942(j)(3) or 4	942(j)(5)	
2 a Enter the lesser of the ac	· —	Tax year	181 0010	Prior 3 years	1 (4) 0040		
income from Part I or the		(a) 2019	(b) 2018	(c) 2017	(d) 2016	(e) Total	
investment return from F	Part X for						
each year listed	<u> </u>					1	
b 85% of line 2a							
c Qualifying distributions f	rom Part XII,		\				
line 4, for each year liste	d <u> </u>			\			
d Amounts included in line	2c not					ļ	
used directly for active c	onduct of						
exempt activities							
e Qualifying distributions r	nade directly					İ	
for active conduct of exe	mpt activities						
Subtract line 2d from line							
3 Complete 3a, b, or c for t							
alternative test relied upo a "Assets" alternative test -				$Y \sim X$			
(1) Value of all assets				\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \			
(2) Value of assets qual	ifying						
under section 4942(
b "Endowment" alternative							
2/3 of minimum investm shown in Part X, line 6, f							
listed							
c "Support" alternative test	- enter:						
(1) Total support other t							
investment income (
dividends, rents, pay securities loans (sec							
512(a)(5)), or royalt							
(2) Support from genera	al public /]		/	
and 5 or more exem organizations as pro							
section 4942(j)(3)(B)(111)						
(3) Largest amount of s	upport from						
an exempt organizat	ion						
(4) Gross investment in							
				f the foundation	had \$5,000 or moi	re in assets∕	
at any tim	e during the y	/ear-see instrι	ıctions.)				
1 Information Regarding	g Foundation Ma	anagers:					
a List any managers of the	foundation who have	e contributed more t	han 2% of the total conti	butions received by the	foundation before the clos	e of any tax	
year (but only if they hav	e contributed more	than \$5,000). (See se	ection 507(d)(2).)				
RAYMOND J. HAF	RBERT						
b List any managers of the	foundation who ow	n 10% or more of the	stock of a corporation (or an equally large portio	n of the ownership of a pa	rtnership or	
other entity) of which the				. , , , ,		•	
NONE							
2 Information Regardin	g Contribution, (Grant, Gift, Loan,	Scholarship, etc., Pr	ograms:			
	_		• • • •	•	ot accept unsolicited requi	ests for funds If	
the foundation makes gif							
a The name, address, and t	elephone number o	r email address of the	person to whom applic	ations should be address	ed:		
RAYMOND J. HAR							
2913 SOUTHWOOD	•						
b The form in which applica				ould include.			
LETTER STATING					RMINATION LE	TTER	
c Any submission deadline							
NONE							
d Any restrictions or limitar	tions on awards sur	th as by geographical	areas, charitable fields	kinds of institutions, or o	other factors:		
NONE		, g.og.ap.mou		50 0			

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Part XV Supplementary Information (continued) 26-3910444 Page 11

3 Grants and Contributions Paid During the		Payment		
Recipient	If recipient is an individual, show any relationship to	Foundation status of	Purpose of grant or contribution	Amount
Name and address (home or business)	any foundation manager or substantial contributor	recipient	Contribution	
a Paid during the year				
RAILROAD PARK FOUNDATION	NONE	501(C)(3)	SUPPORT OF GENERAL	
l600 1ST AVE S BIRMINGHAM, AL 35233			PROGRAMS	50,00
				30,00
DIDMINGUAN KIMANIG BOIDMINATON	Lover Control	E01/G)/2)	GUNDODE OF GENERAL	
BIRMINGHAM KIWANIS FOUNDATION 2019 4TH AVE N #101	NONE	501(C)(3)	SUPPORT OF GENERAL PROGRAMS	
BIRMINGHAM, AL 35203				20,000
MCWANE SCIENCE CENTER	NONE	501(C)(3)	SUPPORT OF GENERAL	
200 19TH ST N BIRMINGHAM, AL 35203			PROGRAMS	E 000
BIRMINGHAM, AL 35203		_		5,000
UAB COMPREHENSIVE DIABETES CENTER	NONE	501(C)(3)	SUPPORT OF GENERAL	
SHELBY BIOMEDICAL RESEARCH BUILDING,			PROGRAMS	
SUITE 1207 1825 UNIVERSITY BOULEVARD				
BIRMINGHAM, AL 35294				10,000
ST. STEPHEN'S EPISCOPAL CHURCH	NONE	501(C)(3)	SUPPORT OF GENERAL	
3775 CROSSHAVEN DR			PROGRAMS	175 000
VESTAVIA HILLS, AL 35223 Total SEE CO	NTINUATION SHEE	T(S)	▶ 3a	175,000 410,000
Approved for future payment				·
NONE				
ANON				
Total	_		▶ 3b	(

26-3910444

Part XV Supplementary Information Grants and Contributions Paid During the Year (Continuation) Recipient If recipient is an individual, Purpose of grant or contribution Foundation status of recipient show any relationship to any foundation manager or substantial contributor Amount Name and address (home or business) NONE 501(c)(3) SUPPORT OF GENERAL UNITED WAY OF CENTRAL ALABAMA 3600 8TH AVE S PROGRAMS 100,000. BIRMINGHAM, AL 35222 501(C)(3) SUPPORT OF GENERAL TIGERS UNLIMITED NONE 392 S DONAHUE DR PROGRAMS 50,000. AUBURN, AL 36849 150,000. Total from continuation sheets

Form 990-PF (2019)

9) FOUNDATION

(e)		Excluded by	ousiness income	Unirelated L	mounts unless otherwise indicated	Enter aross
Related or exempt function income	(d) Amount	(C) Exclu- sion code	(b) Amount	(a) Business code	ervice revenue:	
		-		- Code	ervice revenue.	-
-						_
						
						f <u> </u>
					nd contracts from government agencies	g Fees
					ip dues and assessments	2 Member
					savings and temporary cash	3 Interest
	12,717.	14			ts	investm
					and interest from securities	4 Dividend
					income or (loss) from real estate:	
		 	• •	-	nanced property	
		 			ot-financed property	
					income or (loss) from personal	
-447,781						property
-44/,/01		 			stment income	
	447,781.	18			ss) from sales of assets other	
 	447,701.	10			or (loss) from special events	than inv
				-	it or (loss) from sales of inventory	
					• •	11 Other re
					-	e
-447,781	460,498.		0.		dd columns (b), (d), and (e)	12 Subtotal
12,717	13				line 12, columns (b), (d), and (e)	13 Total. A
			· 		et in line 13 instructions to verify calculations.)	(See worksl
	poses	empt Pur	plishment of Ex	the Accom	B Relationship of Activities to	Part XV
hment of	importantly to the accompli	contributed	, ,	•	Explain below how each activity for which inco	Line No.
	·			• •	the foundation's exempt purposes (other than	▼
			T FUND	NAESIMEN	OSS FROM REAL ESTATE I	'
<u> </u>						
					-	

Form 990-PF (2019)

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26-3910444

Page 13

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

1 [Old the or	ganization directly or indi	rectly engage in any o	of the followin	ng with any other organization	on described in section	on 501(c)		Yes	No
(other than section 501(c)(3) organizations) or in section 527, relating to political organizations?										
a 1	Fransfers	from the reporting founda	ation to a noncharitat	ole exempt org	ganization of:					
(1) Cash							1a(1)		<u> </u>
(2) Other	assets						1a(2)		X
b (Other tran	isactions:								
(1) Sales	of assets to a noncharital	ble exempt organizati	ion				1b(1)		X
(2) Purcl	nases of assets from a noi	ncharitable exempt o	rganization				1b(2)	-	X
(Renta	al of facilities, equipment,	or other assets					1b(3)		X
(4) Reim	bursement arrangements						1b(4)		X
	•	s or loan guarantees						1b(5)		<u>X</u>
•	•	rmance of services or me	•	•				1b(6)		<u>X</u>
	•	f facilities, equipment, ma	• ,		•			_1c_		<u> </u>
					dule. Column (b) should alv				ets,	
					ed less than fair market valu	e in any transaction	or sharing arrangement, shi)W IN		
		(h) Amount progled	Y		Avamet organization	(4) 0				
(a) Line	e no	(b) Amount involved	(c) Name of		e exempt organization	(a) Description	of transfers, transactions, and sh	aring arra	ngemen	is
				N/A						
		-								
	-+					-				
						-				
										
	-					- 				—
									-	
								•	-	
										—
										
2a	s the fou	ndation directly or indirect	tly affiliated with, or r	elated to, one	or more tax-exempt organi	zations described				
11	n section	501(c) (other than section	n 501(c)(3)) or in sec	ction 527?				Yes	X	No
b l	f "Yes," co	implete the following sche								
		(a) Name of org	anization		(b) Type of organization	(c) Description of relationsh	p		
		N/A								
										
		-								
_	Hada	nenetties of persons I dealers to	hat I have examined #	ratura maludi	accompanying schedules and str	toments and to the bee	t of my knowledge -			
Sigi					taxpayer) is based on all informat		■ Mav	the IRS d with the	scuss th	nis r
Her		\mathcal{A} $(\mathcal{A}(\mathcal{A})$	the O.	9	15.00.00	DIBECO	show	n below?	See ins	tr
		nature of Officer or trustee	40h 50	<u>~~</u>	, Date	DIRECT	<u> </u>	Yes	<u> </u>	J No ⊦
	Loigi	Print/Type preparer's na		Preparer's si	Duto	Title Date	Check If PTIN			
		Transtype preparer 5 lid		i ichaici S Si	ignature A	vale	self- employed			
Paid	d	MEGAN RANDO	OT DU	1000	4 months	05/02/20	, ,	989	558	
	parer	Firm's name WAR		լ√ ⁽	C CIO CIO VIC	0 3/02/20	Firm's EIN ► 45 – 40			—
	Only	THIN S HAIRE F WAR.	KDR AVDKD.	* * ' ^ 177	C		I I I I I I I I I I I I I I I I I I I	J 1	٠,	
	•	Firm's address ▶ 25	00 ACTON 1	ROAD			-			
			RMINGHAM,		243		Phone no. 205-97	9-4	100	
		,	/		. 			m 990		(2019)

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury Internal Revenue Service

Name of the organization

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

RAYMOND J. AND KATHRYN D. HARBERT

Go to www.irs.gov/Form990 for the latest information.

OMB No 1545-0047

2019

Employer identification number

Schedule B (Form 990, 990-EZ, or 990-PF) (2019)

FOUNDATION 26-3910444 Organization type (check one) Filers of: Section: Form 990 or 990-EZ ___ 501(c)() (enter number) organization 4947(a)(1) nonexempt charitable trust not treated as a private foundation 527 political organization Form 990-PF 501(c)(3) exempt private foundation 4947(a)(1) nonexempt charitable trust treated as a private foundation 501(c)(3) taxable private foundation Check if your organization is covered by the General Rule or a Special Rule. Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions General Rule X For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions Special Rules For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000, or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 exclusively for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III 🔟 For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions exclusively for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000 If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose Don't complete any of the parts unless the General Rule applies to this organization because it received nonexclusively religious, charitable, etc., contributions totaling \$5,000 or more during the year Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it must answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

LHA For Paperwork Reduction Act Notice, see the instructions for Form 990, 990-EZ, or 990-PF.

Name of organization

RAYMOND J. AND KATHRYN D. HARBERT FOUNDATION

Employer identification number

26-3910444

Part I	Contributors (see instructions) Use duplicate copies of Part I if addition	nal space is needed	
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	RAYMOND J. HARBERT 2100 THIRD AVENUE NORTH SUITE 600 BIRMINGHAM, AL 35203	\$ <u>275,000.</u>	Person X Payroll
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		- - \$	Person Payroll Oncash Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		- - \$	Person Payroll Noncash (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		- - \$	Person Payroll Noncash (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		- - \$	Person Payroll Oncash Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		- - \$	Person Payroll Oncash Ocomplete Part II for noncash contributions)

Name of organization

26-3910444

Employer identification number

RAYMOND J. AND KATHRYN D. HARBERT FOUNDATION

Part II	Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed						
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received				
		\$					
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received				
		\$					
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received				
		\$					
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received				
		\$					
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received				
		\$					
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received				
		\$					

Name of organization

Employer identification number

RAYMOND J. AND KATHRYN D. HARBERT

TOTT	ע ענע	ТΩΝ

26-3910444

Part III		 a) through (e) and the following line en charitable, etc., contributions of \$1,000 or 	section 501(c)(7), (8), or (10) that total more than \$1,000 for the year intry For organizations riless for the year (Enter this info once) \$						
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held						
		(e) Transfer of gif	ift						
	Transferee's name, address, a	nd ZIP + 4	Relationship of transferor to transferee						
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held						
	Transferee's name, address, a	(e) Transfer of gif	sfer of gift Relationship of transferor to transferee						
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held						
		(e) Transfer of gif							
	Transferee's name, address, a		Relationship of transferor to transferee						
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held						
		(e) Transfer of gift							
	Transferee's name, address, a	nd ZIP + 4	Relationship of transferor to transferee						

FORM 990-PF INTEREST ON SAV	INGS AND TEN	MPORARY CASH	INVESTMENTS	STATEMENT 1
SOURCE	(<i>I</i> REVI PER I	ENUE NET	(B) INVESTMENT INCOME	(C) ADJUSTED NET INCOME
INTEREST BEARING CHECKING ACCOUNT INTEREST INCOME - HSHF I		6,472. 6,245.	6,472. 6,245.	
TOTAL TO PART I, LINE 3	<u> </u>	12,717.	12,717.	
FORM 990-PF	OTHER 1	INCOME		STATEMENT 2
DESCRIPTION		(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
LOSS FROM REAL ESTATE INVEST	MENT	-447,781.	-447,781.	•
TOTAL TO FORM 990-PF, PART I	, LINE 11 =	-447,781.	-447,781.	
FORM 990-PF	LEGAI	. FEES		
				STATEMENT 3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME		(D) CHARITABLE
DESCRIPTION LEGAL EXPENSES	EXPENSES	(B) NET INVEST- MENT INCOME	ADJUSTED NET INCOME	(D) CHARITABLE
LEGAL EXPENSES	EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL EXPENSES TO FM 990-PF, PG 1, LN 16A	EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME 235	ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
	EXPENSES PER BOOKS 470.	(B) NET INVEST- MENT INCOME 235	ADJUSTED NET INCOME (C) ADJUSTED	(D) CHARITABLE PURPOSES 235 235 STATEMENT 4 (D) CHARITABLE
LEGAL EXPENSES TO FM 990-PF, PG 1, LN 16A FORM 990-PF	EXPENSES PER BOOKS 470. 470. ACCOUNTI	(B) NET INVEST- MENT INCOME 235 235 ING FEES (B) NET INVEST- MENT INCOME	ADJUSTED NET INCOME (C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES 235 235 STATEMENT 4 (D) CHARITABLE
LEGAL EXPENSES TO FM 990-PF, PG 1, LN 16A FORM 990-PF DESCRIPTION	ACCOUNTI (A) EXPENSES PER BOOKS 2,900.	(B) NET INVEST- MENT INCOME 235 235 ING FEES (B) NET INVEST- MENT INCOME 1,450	ADJUSTED NET INCOME (C) ADJUSTED NET INCOME	CHARITABLE PURPOSES 235 235 STATEMENT 4 (D) CHARITABLE PURPOSES

FORM 990-PF	OTHER E	XPENSES	S	STATEMENT 5		
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES		
DELAWARE ANNUAL REPORT FEE	25.	13.		12.		
BANK FEES OTHER DEDUCTIONS - HSHF I	120. 73,131.	120. 73,131.		0.		
OTHER DEDUCTIONS - HSHF I OTHER INCOME - HSHF I NONDEDUCTIBLE EXPENSES -	-196.	•		0. 0.		
HSHF I	15.	15.		0.		
TO FORM 990-PF, PG 1, LN 23	73,095.	73,083.		12.		

FORM 990-PF O	THER INVESTMENT	rs	STATEMENT 6
DESCRIPTION	VALUATIO METHOD	N BOOK VALUE	FAIR MARKET VALUE
HARBERT SENIORS HOUSING FUND I, L.P.	COST	4,591,991.	3,266,624.
TOTAL TO FORM 990-PF, PART II, L	INE 13	4,591,991.	3,266,624.