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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to *www.irs.gov/Form990PF* for instructions and the latest information.

OMB No. 1545-0052

2019

Open to Public Inspection

For calendar year 2019, or tax year beginning 01-01-2019 , and ending 12-31-2019

Name of foundation
JOYCE AND VD SCOTT FOUNDATION

A Employer identification number
26-3403693

Number and street (or P.O. box number if mail is not delivered to street address)
114 W 47TH ST NY8-114-07-07 AFT

Room/suite

B Telephone number (see instructions)
(888) 866-3275

City or town, state or province, country, and ZIP or foreign postal code
NEW YORK, NY 100361510

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here............ ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ... ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here .... ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), *line 16)* ▶$ 4,808,344

J Accounting method: ☐ Cash ☐ Accrual ☐ Other (specify) ________
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here .... ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch. B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities . . .	103,986	100,877		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	26,906			
	b	Gross sales price for all assets on line 6a 704,657				
	7	Capital gain net income (from Part IV, line 2) . . .		26,906		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)		27,584		
	12	**Total.** Add lines 1 through 11	130,892	155,367		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.				
	14	Other employee salaries and wages		0	0	0
	15	Pension plans, employee benefits		0	0	
	16a	Legal fees (attach schedule)				0
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)	35,019	21,012		14,008
	17	Interest				0
	18	Taxes (attach schedule) (see instructions) . . .	5,880	2,580		0
	19	Depreciation (attach schedule) and depletion . . .	0	0		
	20	Occupancy				
	21	Travel, conferences, and meetings		0	0	
	22	Printing and publications		0	0	
	23	Other expenses (attach schedule)	14,348	1,926		13,722
	24	**Total operating and administrative expenses.** Add lines 13 through 23	55,247	25,518	0	27,730
	25	Contributions, gifts, grants paid	213,062			213,062
	26	**Total expenses and disbursements.** Add lines 24 and 25	268,309	25,518	0	240,792
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	-137,417			
	b	**Net investment income** (if negative, enter -0-)		129,849		
	c	**Adjusted net income** (if negative, enter -0-) . . .			0	

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets 1	Cash—non-interest-bearing		3,723	3,723
2	Savings and temporary cash investments	143,937	24,692	24,692
3	Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶		0	0
4	Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less: allowance for doubtful accounts ▶ 0			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U.S. and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule)	3,437,151	3,516	5,129
c	Investments—corporate bonds (attach schedule)			
11	Investments—land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)	392,902	3,579,504	4,774,800
14	Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	3,973,990	3,611,435	4,808,344
Liabilities 17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)	177,500		
23	**Total liabilities**(add lines 17 through 22)	177,500	0	
Net Assets or Fund Balances	**Foundations that follow FASB ASC 958, check here ▶ ☐ and complete lines 24, 25, 29 and 30.**			
24	Net assets without donor restrictions			
25	Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ ☑ and complete lines 26 through 30.			
26	Capital stock, trust principal, or current funds	3,796,490	3,611,435	
27	Paid-in or capital surplus, or land, bldg., and equipment fund			
28	Retained earnings, accumulated income, endowment, or other funds			
29	**Total net assets or fund balances** (see instructions)	3,796,490	3,611,435	
30	**Total liabilities and net assets/fund balances** (see instructions)	3,973,990	3,611,435	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	3,796,490
2	Enter amount from Part I, line 27a	2	-137,417
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	3,659,073
5	Decreases not included in line 2 (itemize) ▶	5	47,638
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	3,611,435

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 }	2	26,906
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	230,637	4,760,768	0.048445
2017	215,756	4,658,058	0.046319
2016	238,445	4,379,760	0.054442
2015	248,662	4,782,969	0.051989
2014	240,400	5,021,437	0.047875

2	**Total** of line 1, column (d)	2	0.24907
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years . . .	3	0.049814
4	Enter the net value of noncharitable-use assets for 2019 from Part X, line 5 . . .	4	4,621,999
5	Multiply line 4 by line 3	5	230,240
6	Enter 1% of net investment income (1% of Part I, line 27b) . . .	6	1,298
7	Add lines 5 and 6	7	231,538
8	Enter qualifying distributions from Part XII, line 4	8	240,792

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary–see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b	1	1,298
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	1,298
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	1,298
6	Credits/Payments:		
a	2019 estimated tax payments and 2018 overpayment credited to 2019 — 6a: 3,350		
b	Exempt foreign organizations—tax withheld at source — 6b:		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 0		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments. Add lines 6a through 6d	7	3,350
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.	8	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	2,052
11	Enter the amount of line 10 to be: **Credited to 2020 estimated tax** ▶ 1,300 **Refunded** ▶	11	752

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition)	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ ________ **(2)** On foundation managers. ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ KY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities (continued)

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶

14 The books are in care of ▶BANK OF AMERICA NA Telephone no. ▶(888) 866-3275

Located at ▶114 WEST 47TH STREET NY8-114-07-07 NEW YORK NY ZIP+4 ▶100361510

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here . . . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ | 15 |

		Line	Yes	No
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Line	Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.				
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?.	☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?.	☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.).	☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions		1b		
	Organizations relying on a current notice regarding disaster assistance check here. ▶	☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?.		1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019?.	☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)		2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20____ , 20____ , 20____ , 20____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?.	☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2019 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period?*(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019.)*.		3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?		4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions. ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	5b		
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No *If "Yes," attach the statement required by Regulations section 53.4945–5(d).*			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? *If "Yes" to 6b, file Form 8870.*	6b		No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year? ☐ Yes ☑ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000. ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services. . . . . ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:				
a	Average monthly fair market value of securities.			1a	4,484,409
b	Average of monthly cash balances.			1b	100,475
c	Fair market value of all other assets (see instructions).			1c	107,501
d	**Total** (add lines 1a, b, and c).			1d	4,692,385
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0		
2	Acquisition indebtedness applicable to line 1 assets.			2	0
3	Subtract line 2 from line 1d.			3	4,692,385
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).			4	70,386
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4			5	4,621,999
6	**Minimum investment return.** Enter 5% of line 5.			6	231,100

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.			1	231,100
2a	Tax on investment income for 2019 from Part VI, line 5.	2a	1,298		
b	Income tax for 2019. (This does not include the tax from Part VI.).	2b			
c	Add lines 2a and 2b.			2c	1,298
3	Distributable amount before adjustments. Subtract line 2c from line 1.			3	229,802
4	Recoveries of amounts treated as qualifying distributions.			4	0
5	Add lines 3 and 4.			5	229,802
6	Deduction from distributable amount (see instructions).			6	0
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.			7	229,802

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	240,792
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	240,792
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	1,298
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	239,494

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7					229,802
2 Undistributed income, if any, as of the end of 2019:					
a Enter amount for 2018 only.				236,656	
b Total for prior years: 20___ , 20___ , 20___			0		
3 Excess distributions carryover, if any, to 2019:					
a From 2014.	0				
b From 2015.	0				
c From 2016.	0				
d From 2017.	0				
e From 2018.	0				
f **Total** of lines 3a through e.		0			
4 Qualifying distributions for 2019 from Part XII, line 4: ▶ $ 240,792					
a Applied to 2018, but not more than line 2a				236,656	
b Applied to undistributed income of prior years (Election required—see instructions).			0		
c Treated as distributions out of corpus (Election required—see instructions).		0			
d Applied to 2019 distributable amount.					4,136
e Remaining amount distributed out of corpus		0			
5 Excess distributions carryover applied to 2019. *(If an amount appears in column (d), the same amount must be shown in column (a).)*		0			0
6 **Enter the net total of each column as indicated below:**					
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5		0			
b Prior years' undistributed income. Subtract line 4b from line 2b			0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.			0		
d Subtract line 6c from line 6b. Taxable amount —see instructions			0		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions				0	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020					225,666
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)		0			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions) . . .		0			
9 **Excess distributions carryover to 2020.** Subtract lines 7 and 8 from line 6a		0			
10 Analysis of line 9:					
a Excess from 2015. . . .	0				
b Excess from 2016. . . .	0				
c Excess from 2017. . . .	0				
d Excess from 2018. . . .	0				
e Excess from 2019. . . .	0				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2019	**(b)** 2018	**(c)** 2017	**(d)** 2016	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: **a** "Assets" alternative test—enter: **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter: **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				213,062
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	103,986	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	26,906	
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				130,892	

13 Total. Add line 12, columns (b), (d), and (e) . . . **13** 130,892

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash.	**1a(1)**		No
	(2) Other assets.	**1a(2)**		No
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization.	**1b(1)**		No
	(2) Purchases of assets from a noncharitable exempt organization.	**1b(2)**		No
	(3) Rental of facilities, equipment, or other assets.	**1b(3)**		No
	(4) Reimbursement arrangements.	**1b(4)**		No
	(5) Loans or loan guarantees.	**1b(5)**		No
	(6) Performance of services or membership or fundraising solicitations.	**1b(6)**		No
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1c**		No

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line No.	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule.

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

******	2020-09-16	******	May the IRS discuss this return with the preparer shown below (see instr.) ☑ **Yes** ☐ **No**
Signature of officer or trustee	Date	Title	

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
KAREN KISER		2020-09-16		P00146417
Firm's name ▶ BANK OF AMERICA				Firm's EIN ▶94-1687665
Firm's address ▶ P O BOX 1802 PROVIDENCE, RI 029011802				Phone no. (888) 866-3275

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
178. ALERIAN MLP ETF		2017-11-28	2019-02-06
108. ALERIAN MLP ETF		2017-11-28	2019-02-07
7848. ALERIAN MLP ETF		2017-11-28	2019-06-03
185. AB LIMITED DURATION HIGH INCOME		2018-12-21	2019-02-06
.32 AB LIMITED DURATION HIGH INCOME		2018-12-21	2019-02-06
112. AB LIMITED DURATION HIGH INCOME		2018-12-21	2019-02-07
.32 AB LIMITED DURATION HIGH INCOME		2018-12-21	2019-02-07
.43 AB LIMITED DURATION HIGH INCOME		2018-12-21	2019-02-07
134932.53 BLACKROCK LIQUIDITY FUNDS TEMPCASH		2019-10-11	2019-10-28
.36 GUGGENHEIM LIMITED DURATION FUND		2018-12-21	2019-02-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,765		1,776	-11
1,058		1,078	-20
75,834		76,791	-957
1,878		1,819	59
3		3	
1,136		1,101	35
3		3	
4		4	
135,013		135,000	13
9		9	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-11
			-20
			-957
			59
			35
			13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
.12 GUGGENHEIM LIMITED DURATION FUND		2018-12-21	2019-02-06
89. GUGGENHEIM LIMITED DURATION FUND		2018-12-21	2019-02-06
.59 GUGGENHEIM LIMITED DURATION FUND		2018-12-21	2019-02-07
54. GUGGENHEIM LIMITED DURATION FUND		2018-12-21	2019-02-07
226. GUGGENHEIM LIMITED DURATION FUND		2018-12-21	2019-06-20
.3 GUGGENHEIM LIMITED DURATION FUND		2018-12-21	2019-06-20
.44 GUGGENHEIM LIMITED DURATION FUND		2018-12-21	2019-06-20
94. IQ HEDGE MULTI-STRAT		2015-04-28	2019-02-06
58. IQ HEDGE MULTI-STRAT		2015-04-28	2019-02-07
4170. IQ HEDGE MULTI-STRAT		2015-04-28	2019-06-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3		3	
2,188		2,191	-3
14		14	
1,328		1,329	-1
5,578		5,564	14
7		7	
11		11	
2,795		2,839	-44
1,723		1,752	-29
124,555		125,930	-1,375

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-3
			-1
			14
			-44
			-29
			-1,375

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
72. INVESCO WATER RESOURCES ETF		2012-08-06	2019-02-06
44. INVESCO WATER RESOURCES ETF		2012-08-06	2019-02-07
65. ISHARES MSCI PACIFIC EX JAPAN ETF		2011-07-08	2019-02-06
38. ISHARES MSCI PACIFIC EX JAPAN ETF		2011-10-03	2019-02-07
2. ISHARES MSCI PACIFIC EX JAPAN ETF		2011-07-08	2019-02-07
41. ISHARES MSCI MEXICO ETF		2014-01-29	2019-02-06
25. ISHARES MSCI MEXICO ETF		2014-01-29	2019-02-07
73. ISHARES S&P 500 GROWTH ETF		2011-04-15	2019-02-06
45. ISHARES S&P 500 GROWTH ETF		2011-04-15	2019-02-07
76. ISHARES S&P 500 VALUE ETF		2011-10-03	2019-02-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,285		1,365	920
1,387		834	553
2,872		3,075	-203
1,677		1,369	308
88		95	-7
1,852		2,579	-727
1,122		1,572	-450
11,944		4,990	6,954
7,289		3,076	4,213
8,429		3,833	4,596

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			920
			553
			-203
			308
			-7
			-727
			-450
			6,954
			4,213
			4,596

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
5. ISHARES S&P 500 VALUE ETF		2011-07-08	2019-02-06
49. ISHARES S&P 500 VALUE ETF		2011-10-03	2019-02-07
25. ISHARES S&P MID-CAP 400 GROWTH ETF		2012-08-06	2019-02-06
15. ISHARES S&P MID-CAP 400 GROWTH ETF		2012-08-06	2019-02-07
7. ISHARES S&P SMALL-CAP 600 VALUE ETF		2011-12-08	2019-02-06
4. ISHARES S&P SMALL-CAP 600 VALUE ETF		2011-12-08	2019-02-07
7. ISHARES S&P SMALL-CAP 600 GROWTH ETF		2011-12-08	2019-02-06
4. ISHARES S&P SMALL-CAP 600 GROWTH ETF		2011-12-08	2019-02-07
36. ISHARES MSCI EAFE SMALL-CAP ETF		2014-01-29	2019-02-06
22. ISHARES MSCI EAFE SMALL-CAP ETF		2014-01-29	2019-02-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
555		312	243
5,395		2,472	2,923
5,311		2,687	2,624
3,163		1,612	1,551
1,049		473	576
595		270	325
1,245		513	732
706		293	413
2,020		1,803	217
1,222		1,102	120

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			243
			2,923
			2,624
			1,551
			576
			325
			732
			413
			217
			120

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
255. ISHARES S&P GSCI COMMDTY		2015-12-16	2019-02-06
155. ISHARES S&P GSCI COMMDTY		2015-12-16	2019-02-07
1. ISHARES S&P GSCI COMMDTY		2019-03-08	2019-06-11
107. ISHARES MSCI JAPAN ETF		2013-04-02	2019-02-06
65. ISHARES MSCI JAPAN ETF		2013-04-02	2019-02-07
5. KRANESHARES CSI CHINA INTERNET ETF		2017-07-28	2019-02-06
3. KRANESHARES CSI CHINA INTERNET ETF		2017-07-28	2019-02-07
184. KRANESHARES CSI CHINA INTERNET ETF		2017-07-28	2019-06-03
.03 LORD ABBETT SHORT DURATION INCOME FUND		2018-12-21	2019-02-06
.52 LORD ABBETT SHORT DURATION INCOME FUND		2018-12-21	2019-02-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,900		3,686	214
2,352		2,241	111
		5,117	-5,117
5,774		4,496	1,278
3,469		2,731	738
220		270	-50
130		162	-32
7,367		9,918	-2,551
2		2	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			214
			111
			-5,117
			1,278
			738
			-50
			-32
			-2,551

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1455. LORD ABBETT SHORT DURATION INCOME FUND		2018-12-21	2019-02-06
.48 LORD ABBETT SHORT DURATION INCOME FUND		2018-12-21	2019-02-07
.14 LORD ABBETT SHORT DURATION INCOME FUND		2018-12-21	2019-02-07
885. LORD ABBETT SHORT DURATION INCOME FUND		2018-12-21	2019-02-07
52. PIMCO 1-5 YEAR US TIP IN		2015-04-28	2019-02-06
32. PIMCO 1-5 YEAR US TIP IN		2015-04-28	2019-02-07
39. SPDR DOW JONES GLOBAL REAL ESTATE ETF		2014-10-08	2019-02-06
24. SPDR DOW JONES GLOBAL REAL ESTATE ETF		2014-10-08	2019-02-07
1721. SPDR DOW JONES GLOBAL REAL ESTATE ETF		2014-10-08	2019-10-09
63. VANGUARD DIVIDEND APPRECIATION ETF		2011-12-08	2019-02-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,053		6,009	44
2		2	
1		1	
3,682		3,655	27
2,660		2,722	-62
1,638		1,675	-37
1,904		1,724	180
1,167		1,061	106
89,299		76,084	13,215
6,629		3,410	3,219

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			44
			27
			-62
			-37
			180
			106
			13,215
			3,219

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
38. VANGUARD DIVIDEND APPRECIATION ETF		2011-12-08	2019-02-07
195. VANGUARD FTSE EUROPE ETF		2012-11-06	2019-02-06
119. VANGUARD FTSE EUROPE ETF		2012-11-06	2019-02-07
56. VANGUARD GROWTH ETF		2014-01-29	2019-02-06
34. VANGUARD GROWTH ETF		2014-01-29	2019-02-07
66. WISDOMTREE MANAGED FUTURES STRATEGY FUND		2015-04-28	2019-02-06
40. WISDOMTREE MANAGED FUTURES STRATEGY FUND		2015-04-28	2019-02-07
2916. WISDOMTREE MANAGED FUTURES STRATEGY FUND		2015-04-28	2019-06-03
90. WISDOMTREE INDIA EARNINGS FUND		2015-04-28	2019-02-06
55. WISDOMTREE INDIA EARNINGS FUND		2015-04-28	2019-02-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,973		2,057	1,916
10,205		9,060	1,145
6,162		5,529	633
8,323		5,023	3,300
5,000		3,050	1,950
2,530		2,895	-365
1,536		1,754	-218
110,339		127,896	-17,557
2,150		1,974	176
1,322		1,207	115

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			1,916
			1,145
			633
			3,300
			1,950
			-365
			-218
			-17,557
			176
			115

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
29. WISDOMTREE US MIDCAP DIVIDEND FUND		2011-12-08	2019-02-06
18. WISDOMTREE US MIDCAP DIVIDEND FUND		2011-12-08	2019-02-07
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,025		488	537
631		303	328
			101

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			537
			328

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
TOMMY JOE FRIDY PO BOX 1501 NJ2-130-03-31 PENNINGTON, NJ 085341501	DIR, PRES, CHAIRMAN 8	0		
ALLISON FRIDY ARBUCKLE PO BOX 1501 NJ2-130-03-31 PENNINGTON, NJ 085341501	DIR, VP 4	0		
MEREDITH FRIDY HELM PO BOX 1501 NJ2-130-03-31 PENNINGTON, NJ 085341501	DIR, SEC 1	0		
TOMMIE ANN FRIDY MCCORMACK PO BOX 1501 NJ2-130-03-31 PENNINGTON, NJ 085341501	DIR, ASST SEC 1	0		
GEORGE EMERY WARREN II PO BOX 1501 NJ2-130-03-31 PENNINGTON, NJ 085341501	DIR 1	0		

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
HENDERSON COUNTY SCHOOL BOARD 1805 SECOND STREET HENDERSON, KY 42420	N/A	PC	UNRESTRICTED GENERAL	2,500
SARAH SMITH EDUCATION FOUNDATION INC 4279 ROSWELL ROAD NE 226 NO 102 ATLANTA, GA 30342	N/A	PC	UNRESTRICTED GENERAL	10,000
KYNDLE EDUCATION FOUNDATION INC 136 SECOND STREET HENDERSON, KY 424203108	N/A	PC	UNRESTRICTED GENERAL	10,000
Total . . . . ▶ **3a**				213,062

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
NEW HOPE ANIMAL RESCUE CENTER INC 526 ATKINSON STREET HENDERSON, KY 424204283	N/A	PC	UNRESTRICTED GENERAL	2,500
BRAIN-INJURY ADVENTURE CAMP INC PO BOX 236 ROBARDS, KY 424520236	N/A	PC	UNRESTRICTED GENERAL	2,500
HENDERSON AREA ARTS ALLIANCE INC PO BOX 234 HENDERSON, KY 424190234	N/A	PC	UNRESTRICTED GENERAL	2,500
Total . . . . ▶ **3a**				213,062

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
FRIENDS OF AUDUBON INCPO BOX 576 HENDERSON, KY 424190576	N/A	PC	UNRESTRICTED GENERAL	5,000
PLEASANT VALLEY BAPTIST CHURCH 800 PLEASANT VALLEY RD OWENSBORO, KY 423030555	N/A	PC	UNRESTRICTED GENERAL	1,000
BARRET CENTER INC111 S ADAMS ST HENDERSON, KY 424203611	N/A	PC	UNRESTRICTED GENERAL	10,000
Total . . . . ▶ **3a**				213,062

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
HOPE HEALS5027 HICKORY HILLS DR WOODSTOCK, GA 301882321	N/A	PC	UNRESTRICTED GENERAL	4,000
PETS FOR VETS - SOUTHWEST INDIANA INC 6511 VANADA RD NEWBURGH, IN 476309754	N/A	PC	UNRESTRICTED GENERAL	650
WILLIAMS SCHOOL419 COLONIAL AVE NORFOLK, VA 235072209	N/A	PC	UNRESTRICTED GENERAL	10,000
Total . . . . ▶ **3a**				213,062

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CROSSROADS CHURCH 1127 SURREY CRES NORFOLK, VA 235081213	N/A	PC	UNRESTRICTED GENERAL	2,500
THE SALVATION ARMY 1424 NORTHEAST EXPY NE BROOKHAVEN, GA 303292018	N/A	PC	UNRESTRICTED GENERAL	20,000
IMMANUEL BAPTIST CHURCH 3100 TATES CREEK RD LEXINGTON, KY 405022955	N/A	PC	UNRESTRICTED GENERAL	5,000
Total . . . . ▶ **3a**				213,062

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SUNRISE CHILDRENS SERVICES PO BOX 1429 MT WASHINGTON, KY 400471429	N/A	PC	UNRESTRICTED GENERAL	3,000
RIVERVIEW SCHOOL INC 133 S WATER ST HENDERSON, KY 424203530	N/A	PC	UNRESTRICTED GENERAL	1,500
CLIFF HAGAN BOYS & GIRLS CLUB INC 3415 BUCKLAND SQ OWENSBORO, KY 423015982	N/A	PC	UNRESTRICTED GENERAL	5,000
Total . . . . ▶ **3a**				213,062

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
BLUE GRASS CHRISTIAN CAMP 7463 ATHENS BOONESBORO RD LEXINGTON, KY 405099718	N/A	PC	UNRESTRICTED GENERAL	3,500
KENTUCKY EDUCATIONAL TELEVISION FOUNDATION INC600 COOPER DR LEXINGTON, KY 405021669	N/A	PC	UNRESTRICTED GENERAL	1,200
FRIENDS OF HENDERSON COUNTY PUBLIC LIBRARY INC101 S MAIN ST HENDERSON, KY 424203520	N/A	PC	UNRESTRICTED GENERAL	1,000
Total . . . . ▶ **3a**				213,062

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
OWENSBORO AREA SHELTER INFORMATION AND SERVICES INC OASISPO BOX 315 OWENSBORO, KY 423020315	N/A	PC	UNRESTRICTED GENERAL	1,000
ONTON UNITED METHODIST CHURCH 15 WRIGHTSBURG ROAD SEBREE, KY 42455	N/A	PC	UNRESTRICTED GENERAL	10,000
VICTORY CHRISTIAN CENTER 7167 AIRLINE RD HENDERSON, KY 424209560	N/A	PC	UNRESTRICTED GENERAL	2,000
Total . . . . ▶ **3a**				213,062

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
HENDERSON CHRISTIAN COMMUNITY OUTREACH I PO BOX 363 HENDERSON, KY 424190363	N/A	PC	UNRESTRICTED GENERAL	5,000
LEXINGTON CHRISTIAN ACADEMY INC 450 W REYNOLDS RD LEXINGTON, KY 405033555	N/A	PC	UNRESTRICTED GENERAL	10,000
OHIO VALLEY ART LEAGUE INC PO BOX 1043 HENDERSON, KY 424191043	N/A	PC	UNRESTRICTED GENERAL	1,000
Total . . . . ▶ **3a**				213,062

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
FATHER BRADLEY SHELTER FOR WOMEN AND CHILDREN INCPO BOX 1617 HENDERSON, KY 424191617	N/A	PC	UNRESTRICTED GENERAL	2,500
MATTHEW 25 AIDS SERVICES INC 452 OLD CORYDON RD HENDERSON, KY 424204645	N/A	PC	UNRESTRICTED GENERAL	2,500
COLLEGE FOUNDATION INC 2260 S GREEN STREET HENDERSON, KY 424200000	N/A	PC	UNRESTRICTED GENERAL	30,000
Total . . . . ▶ **3a**				213,062

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
WESTERN KENTUCKY UNIVERSITY 1906 COLLEGE HEIGHTS BLVD 11006 BOWLING GREEN, KY 42101	N/A	PC	UNRESTRICTED GENERAL	27,112
SAMFORD UNIVERSITY 800 LAKESHORE DR BIRMINGHAM, AL 35229	N/A	PC	UNRESTRICTED GENERAL	4,600
SOCIETY OF ST VINCENT DE PAUL HOLY NAME OF JESUS CONFERENCE HENDERSON 116 N ALVASIA ST HENDERSON, KY 424203201	N/A	PC	UNRESTRICTED GENERAL	4,000
Total . . . . ▶ **3a**				213,062

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
AUDUBON KIDS ZONE INCPO BOX 2057 HENDERSON, KY 424192057	N/A	PC	UNRESTRICTED GENERAL	10,000
Total . . . . ▶ **3a**				213,062

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TY 2019 Investments Corporate Stock Schedule

Name: JOYCE AND VD SCOTT FOUNDATION

EIN: 26-3403693

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
17133Q502 CHUNGHWA TELECOM CO	3,516	5,129
BEG BALANCE		

TY 2019 Investments - Other Schedule

Name: JOYCE AND VD SCOTT FOUNDATION

EIN: 26-3403693

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
922908736 VANGUARD GROWTH ETF	AT COST	224,902	452,510
97717W505 WISDOMTREE US MIDCAP	AT COST	21,557	48,159
018528331 AB LIMITED DURATION	AT COST	80,366	85,026
46137V142 INVESCO WATER RESOUR	AT COST	62,104	122,496
464286665 ISHARES MSCI PACIFIC	AT COST	124,091	133,298
464286822 ISHARES MSCI MEXICO	AT COST	105,811	81,009
543916464 LORD ABBETT SHORT DU	AT COST	405,191	410,329
97717W422 WISDOMTREE INDIA EAR	AT COST	86,636	98,291
464287408 ISHARES S&P 500 VALU	AT COST	310,915	468,324
464287465 ISHARES MSCI EAFE ET	AT COST	58,713	62,079
46434G822 ISHARES MSCI JAPAN E	AT COST	217,798	281,331
921908844 VANGUARD DIVIDEND AP	AT COST	197,151	347,677
922042874 VANGUARD FTSE EUROPE	AT COST	430,671	505,659
464287606 ISHARES S&P MID-CAP	AT COST	171,659	290,256
464288273 ISHARES MSCI EAFE SM	AT COST	79,795	99,212
464287887 ISHARES S&P SMALL-CA	AT COST	20,995	51,998
464287879 ISHARES S&P SMALL-CA	AT COST	20,632	46,310
72201R205 PIMCO 1-5 YEAR US TI	AT COST	119,335	119,404
00769G543 CAMBIAR INTERNATIONA	AT COST	58,727	60,798
40168W483 GUGGENHEIM LIMITED D	AT COST	91,576	91,427
46428R107 ISHARES S&P GSCI COM	AT COST	163,823	181,795
464287309 ISHARES S&P 500 GROW	AT COST	295,456	629,911
HOPKINS - MINERAL DEED 707	AT COST	215,600	107,500
WEBSTER - MINERAL DEED 280	AT COST	16,000	1
BEGBALANCE			

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TY 2019 Other Decreases Schedule

Name: JOYCE AND VD SCOTT FOUNDATION

EIN: 26-3403693

Description	Amount
2018 GRANT	1,000
TIMING DIFFERENCE	46,638

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TY 2019 Other Expenses Schedule

Name: JOYCE AND VD SCOTT FOUNDATION

EIN: 26-3403693

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OTHER INVESTMENT FEE	626	626		0
OTHER CHARITABLE EXPENSES	13,722	0		13,722
PARTNERSHIP EXPENSES		1,300		0

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TY 2019 Other Income Schedule

Name: JOYCE AND VD SCOTT FOUNDATION

EIN: 26-3403693

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
PARTNERSHIP INCOME		27,584	

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TY 2019 Other Liabilities Schedule

Name: JOYCE AND VD SCOTT FOUNDATION

EIN: 26-3403693

Description	Beginning of Year - Book Value	End of Year - Book Value
LINE OF CREDIT	177,500	

TY 2019 Other Professional Fees Schedule

Name: JOYCE AND VD SCOTT FOUNDATION

EIN: 26-3403693

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMNT MNGMNT FEES (NON-DED	35,019	21,012		14,008

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TY 2019 Taxes Schedule

Name: JOYCE AND VD SCOTT FOUNDATION

EIN: 26-3403693

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	2,257	2,257		0
EXCISE TAX ESTIMATES	3,300	0		0
FOREIGN TAXES ON NONQUALIFIED	323	323		0