

For calendar year 2019, or tax year beginning 01-01-2019, and ending 12-31-2019

Name of foundation CHARLES K & ESTHER KRIEGER FOUNDATION INC		A Employer identification number 26-2680294	
Number and street (or P.O. box number if mail is not delivered to street address) CO J GROSS 75 LIVINGSTON AVE		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code ROSELAND, NJ 07068		B Telephone number (see instructions) (973) 325-7800	
G Check all that apply: <div><input type="checkbox"/> Initial return</div> <div><input type="checkbox"/> Initial return of a former public charity</div> <div><input type="checkbox"/> Final return</div> <div><input type="checkbox"/> Amended return</div> <div><input checked="" type="checkbox"/> Address change</div> <div><input type="checkbox"/> Name change</div>		D 1. Foreign organizations, check here..... 2. Foreign organizations meeting the 85% test, check here and attach computation ...	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here .....	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶\$ 12,566,740		J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	
		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here .....	

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch. B . . . . .				
	3 Interest on savings and temporary cash investments . . . . .				
	4 Dividends and interest from securities . . . . .	377,837	377,837		
	5a Gross rents . . . . .				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	49,845			
	b Gross sales price for all assets on line 6a 1,241,374				
	7 Capital gain net income (from Part IV, line 2) . . . . .		60,318		
	8 Net short-term capital gain . . . . .				
	9 Income modifications . . . . .				
	10a Gross sales less returns and allowances _____				
Operating and Administrative Expenses	b Less: Cost of goods sold . . . . .				
	c Gross profit or (loss) (attach schedule) . . . . .				
	11 Other income (attach schedule) . . . . .	3,103	3,103		
	12 Total. Add lines 1 through 11 . . . . .	430,785	441,258		
	13 Compensation of officers, directors, trustees, etc.	75,000	7,500		67,500
	14 Other employee salaries and wages . . . . .				
	15 Pension plans, employee benefits . . . . .				
	16a Legal fees (attach schedule) . . . . .	11,165	1,116		10,049
	b Accounting fees (attach schedule) . . . . .	9,957	8,961		996
	c Other professional fees (attach schedule) . . . . .				
	17 Interest . . . . .				
	18 Taxes (attach schedule) (see instructions) . . . . .	4,824	4,824		0
	19 Depreciation (attach schedule) and depletion . . . . .				
	20 Occupancy . . . . .				
	21 Travel, conferences, and meetings . . . . .				
	22 Printing and publications . . . . .				
	23 Other expenses (attach schedule) . . . . .	16	16		0
	24 Total operating and administrative expenses. Add lines 13 through 23 . . . . .	100,962	22,417		78,545
	25 Contributions, gifts, grants paid . . . . .	520,000			520,000
	26 Total expenses and disbursements. Add lines 24 and 25	620,962	22,417		598,545
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	-190,177			
	b Net investment income (if negative, enter -0-)		418,841		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	<b>1</b> Cash—non-interest-bearing . . . . .	512,714	50,376	50,376
	<b>2</b> Savings and temporary cash investments . . . . .			
	<b>3</b> Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	<b>4</b> Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	<b>5</b> Grants receivable . . . . .			
	<b>6</b> Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	<b>7</b> Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	<b>8</b> Inventories for sale or use . . . . .			
	<b>9</b> Prepaid expenses and deferred charges . . . . .			
	<b>10a</b> Investments—U.S. and state government obligations (attach schedule)			
	<b>b</b> Investments—corporate stock (attach schedule) . . . . .	5,932,821	5,720,931	6,868,693
	<b>c</b> Investments—corporate bonds (attach schedule) . . . . .	94,338	94,338	98,321
	<b>11</b> Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	<b>12</b> Investments—mortgage loans . . . . .			
	<b>13</b> Investments—other (attach schedule) . . . . .	5,047,483	5,546,732	5,549,350
	<b>14</b> Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
<b>15</b> Other assets (describe ▶ _____)	11,677	0	0	
<b>16</b> <b>Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I)	11,599,033	11,412,377	12,566,740	
Liabilities	<b>17</b> Accounts payable and accrued expenses . . . . .			
	<b>18</b> Grants payable . . . . .			
	<b>19</b> Deferred revenue . . . . .			
	<b>20</b> Loans from officers, directors, trustees, and other disqualified persons			
	<b>21</b> Mortgages and other notes payable (attach schedule) . . . . .			
	<b>22</b> Other liabilities (describe ▶ _____)			
	<b>23</b> <b>Total liabilities</b> (add lines 17 through 22) . . . . .	0	0	
Net Assets or Fund Balances	<b>Foundations that follow FASB ASC 958, check here</b> ▶ <input type="checkbox"/> <b>and complete lines 24, 25, 29 and 30.</b>			
	<b>24</b> Net assets without donor restrictions . . . . .			
	<b>25</b> Net assets with donor restrictions . . . . .			
	<b>Foundations that do not follow FASB ASC 958, check here</b> ▶ <input checked="" type="checkbox"/> <b>and complete lines 26 through 30.</b>			
	<b>26</b> Capital stock, trust principal, or current funds . . . . .	11,599,033	11,412,377	
	<b>27</b> Paid-in or capital surplus, or land, bldg., and equipment fund	0	0	
	<b>28</b> Retained earnings, accumulated income, endowment, or other funds	0	0	
	<b>29</b> <b>Total net assets or fund balances</b> (see instructions) . . . . .	11,599,033	11,412,377	
<b>30</b> <b>Total liabilities and net assets/fund balances</b> (see instructions) .	11,599,033	11,412,377		

**Part III Analysis of Changes in Net Assets or Fund Balances**

<b>1</b> Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) . . . . .	<b>1</b>	11,599,033
<b>2</b> Enter amount from Part I, line 27a . . . . .	<b>2</b>	-190,177
<b>3</b> Other increases not included in line 2 (itemize) ▶ _____	<b>3</b>	4,305
<b>4</b> Add lines 1, 2, and 3 . . . . .	<b>4</b>	11,413,161
<b>5</b> Decreases not included in line 2 (itemize) ▶ _____	<b>5</b>	784
<b>6</b> Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	<b>6</b>	11,412,377

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1 a</b> PUBLICLY TRADED SECURITIES	P		
<b>b</b> PUBLICLY TRADED SECURITIES	D		
<b>c</b> CAPITAL GAINS DIVIDENDS	P		
<b>d</b>			
<b>e</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> 210,803		222,546	-11,743
<b>b</b> 972,855		958,510	14,345
<b>c</b> 57,716			57,716
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
<b>a</b>			-11,743
<b>b</b>			14,345
<b>c</b>			57,716
<b>d</b>			
<b>e</b>			

<b>2</b> Capital gain net income or (net capital loss) <div style="float: right; border-left: 1px solid black; padding-left: 5px;">           If gain, also enter in Part I, line 7            If (loss), enter -0- in Part I, line 7         </div>	<b>2</b>	60,318
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	<b>3</b>	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	700,915	11,749,965	0.059653
2017	644,955	9,550,313	0.067532
2016	0	0	0.000000
2015			
2014			

<b>2</b> Total of line 1, column (d)	<b>2</b>	0.127185
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	0.042395
<b>4</b> Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	<b>4</b>	11,817,872
<b>5</b> Multiply line 4 by line 3	<b>5</b>	501,019
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	4,188
<b>7</b> Add lines 5 and 6	<b>7</b>	505,207
<b>8</b> Enter qualifying distributions from Part XII, line 4	<b>8</b>	598,545

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . .	<b>1</b>	4,188
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>	0
<b>3</b>	Add lines 1 and 2. . . . .	<b>3</b>	4,188
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>	0
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0- . . . . .	<b>5</b>	4,188
<b>6</b>	Credits/Payments:		
<b>a</b>	2019 estimated tax payments and 2018 overpayment credited to 2019	<b>6a</b>	6,563
<b>b</b>	Exempt foreign organizations—tax withheld at source . . . . .	<b>6b</b>	
<b>c</b>	Tax paid with application for extension of time to file (Form 8868) . . . . .	<b>6c</b>	2,000
<b>d</b>	Backup withholding erroneously withheld . . . . .	<b>6d</b>	0
<b>7</b>	Total credits and payments. Add lines 6a through 6d. . . . .	<b>7</b>	8,563
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached.	<b>8</b>	0
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . . ▶	<b>9</b>	
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . . ▶	<b>10</b>	4,375
<b>11</b>	Enter the amount of line 10 to be: <b>Credited to 2020 estimated tax</b> ▶ 4,375 <b>Refunded</b> ▶	<b>11</b>	0

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .		No
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). . . . . <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		No
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .		No
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: <b>(1)</b> On the foundation. ▶ \$ 0 <b>(2)</b> On foundation managers. ▶ \$ 0		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ 0		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . <i>If "Yes," attach a detailed description of the activities.</i>		No
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> . . . . .		No
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .		No
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .		
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . <i>If "Yes," attach the statement required by General Instruction T.</i>		No
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	Yes	
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i> . . . . .	Yes	
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NJ		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation .</i>	Yes	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i> . . . . .		No
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i> . . . . .		No

**Part VII-A Statements Regarding Activities** (continued)

<b>11</b>	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions. . . . .	<b>11</b>		<b>No</b>
<b>12</b>	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions . . . . .	<b>12</b>		<b>No</b>
<b>13</b>	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <u>N/A</u>	<b>13</b>	<b>Yes</b>	
<b>14</b>	The books are in care of ▶ <u>JONATHAN M GROSS</u> Telephone no. ▶ <u>(973) 325-7800</u>			

Located at ▶ 75 LIVINGSTON AVENUE ROSELAND NJ ZIP+4 ▶ 07068

<b>15</b>	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —check here . . . . . ▶ <input type="checkbox"/>		
	and enter the amount of tax-exempt interest received or accrued during the year . . . . . ▶ <b>15</b>		
<b>16</b>	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .	<b>16</b>	<b>Yes</b> <b>No</b>
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶			

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

		<b>Yes</b>	<b>No</b>
<b>1a</b>	During the year did the foundation (either directly or indirectly):		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions . . . . . <input type="checkbox"/>	<b>1b</b>	
<b>c</b>	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019? . . . . .	<b>1c</b>	<b>No</b>
<b>2</b>	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
<b>a</b>	At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
<b>b</b>	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions.) . . . . .	<b>2b</b>	
<b>c</b>	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____		
<b>3a</b>	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019.) . . . . .	<b>3b</b>	
<b>4a</b>	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	<b>4a</b>	<b>No</b>
<b>b</b>	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	<b>4b</b>	<b>No</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

		Yes	No
<b>5a</b>	During the year did the foundation pay or incur any amount to:		
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions. . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>b</b>	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions . . . . .		<b>5b</b>
<b>c</b>	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . . If "Yes," attach the statement required by Regulations section 53.4945–5(d).	<input type="checkbox"/> Yes <input type="checkbox"/> No	
<b>6a</b>	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>b</b>	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . . If "Yes" to 6b, file Form 8870.		<b>6b</b> No
<b>7a</b>	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction? . . . . .		<b>7b</b>
<b>8</b>	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

<b>1 List all officers, directors, trustees, foundation managers and their compensation. See instructions</b>				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JONATHAN M GROSS 348 ORENDA CIRCLE WESTFIELD, NJ 07090	PRESIDENT 5.00	25,000	0	0
ELLIOT BERKOWITZ 110-55 72ND RD APT 410 FOREST HILLS, NY 11375	VICE PRESIDENT 5.00	25,000	0	0
ELAINE WEIDMANN 5005 SANLO PLACE WOODLAND HILLS, CA 91364	SECRETARY/TREASURER 5.00	25,000	0	0
<b>2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."</b>				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
<b>Total number of other employees paid over \$50,000.</b> . . . . .				<b>0</b>

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**
**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
<b>Total</b> number of others receiving over \$50,000 for professional services. . . . . ►		0

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
<b>1</b>	
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
<b>1</b>	
<b>2</b>	
All other program-related investments. See instructions.	
<b>3</b>	
<b>Total.</b> Add lines 1 through 3 . . . . . ►	0

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities. . . . .	<b>1a</b>	11,634,335
<b>b</b>	Average of monthly cash balances. . . . .	<b>1b</b>	363,505
<b>c</b>	Fair market value of all other assets (see instructions). . . . .	<b>1c</b>	0
<b>d</b>	<b>Total</b> (add lines 1a, b, and c). . . . .	<b>1d</b>	11,997,840
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	<b>1e</b>	0
<b>2</b>	Acquisition indebtedness applicable to line 1 assets. . . . .	<b>2</b>	0
<b>3</b>	Subtract line 2 from line 1d. . . . .	<b>3</b>	11,997,840
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	<b>4</b>	179,968
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	11,817,872
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5. . . . .	<b>6</b>	590,894

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6. . . . .	<b>1</b>	590,894
<b>2a</b>	Tax on investment income for 2019 from Part VI, line 5. . . . .	<b>2a</b>	4,188
<b>b</b>	Income tax for 2019. (This does not include the tax from Part VI.). . . . .	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b. . . . .	<b>2c</b>	4,188
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1. . . . .	<b>3</b>	586,706
<b>4</b>	Recoveries of amounts treated as qualifying distributions. . . . .	<b>4</b>	0
<b>5</b>	Add lines 3 and 4. . . . .	<b>5</b>	586,706
<b>6</b>	Deduction from distributable amount (see instructions). . . . .	<b>6</b>	0
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1. . . . .	<b>7</b>	586,706

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26. . . . .	<b>1a</b>	598,545
<b>b</b>	Program-related investments—total from Part IX-B. . . . .	<b>1b</b>	0
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes. . . . .	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required). . . . .	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule). . . . .	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	598,545
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions. . . . .	<b>5</b>	4,188
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4. . . . .	<b>6</b>	594,357

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
<b>1</b> Distributable amount for 2019 from Part XI, line 7				586,706
<b>2</b> Undistributed income, if any, as of the end of 2019:				
<b>a</b> Enter amount for 2018 only. . . . .			0	
<b>b</b> Total for prior years: 20____, 20____, 20____		0		
<b>3</b> Excess distributions carryover, if any, to 2019:				
<b>a</b> From 2014. . . . .				
<b>b</b> From 2015. . . . .				
<b>c</b> From 2016. . . . .				
<b>d</b> From 2017. . . . .				178,829
<b>e</b> From 2018. . . . .				122,291
<b>f</b> <b>Total</b> of lines 3a through e. . . . .	301,120			
<b>4</b> Qualifying distributions for 2019 from Part XII, line 4: ► \$ 598,545				
<b>a</b> Applied to 2018, but not more than line 2a			0	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .		0		
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
<b>d</b> Applied to 2019 distributable amount. . . . .				586,706
<b>e</b> Remaining amount distributed out of corpus	11,839			
<b>5</b> Excess distributions carryover applied to 2019. (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
<b>6</b> <b>Enter the net total of each column as indicated below:</b>				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	312,959			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b. . . . .		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .		0		
<b>d</b> Subtract line 6c from line 6b. Taxable amount—see instructions. . . . .		0		
<b>e</b> Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions. . . . .			0	
<b>f</b> Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020. . . . .				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .	0			
<b>8</b> Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions). . . . .	0			
<b>9</b> <b>Excess distributions carryover to 2020.</b> Subtract lines 7 and 8 from line 6a. . . . .	312,959			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2015. . . . .				
<b>b</b> Excess from 2016. . . . .				
<b>c</b> Excess from 2017. . . . .				178,829
<b>d</b> Excess from 2018. . . . .				122,291
<b>e</b> Excess from 2019. . . . .				11,839

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. . . . . ▶

**b** Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

**2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .

	Tax year	Prior 3 years			(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon:					
<b>a</b> "Assets" alternative test—enter:					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . . .					
<b>c</b> "Support" alternative test—enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

**a** The name, address, and telephone number or email address of the person to whom applications should be addressed:

**b** The form in which applications should be submitted and information and materials they should include:

**c** Any submission deadlines:

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i> See Additional Data Table				
<b>Total</b> . . . . .			<b>3a</b>	520,000
<b>b</b> <i>Approved for future payment</i>				
<b>Total</b> . . . . .			<b>3b</b>	0

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
<b>1</b> Program service revenue:					
<b>a</b> _____					
<b>b</b> _____					
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>f</b> _____					
<b>g</b> Fees and contracts from government agencies					
<b>2</b> Membership dues and assessments. . . .					
<b>3</b> Interest on savings and temporary cash investments . . . . .					
<b>4</b> Dividends and interest from securities. . . .			14	377,837	
<b>5</b> Net rental income or (loss) from real estate:					
<b>a</b> Debt-financed property. . . . .					
<b>b</b> Not debt-financed property. . . . .					
<b>6</b> Net rental income or (loss) from personal property					
<b>7</b> Other investment income. . . . .			01	3,103	
<b>8</b> Gain or (loss) from sales of assets other than inventory . . . . .			18	49,845	
<b>9</b> Net income or (loss) from special events:					
<b>10</b> Gross profit or (loss) from sales of inventory					
<b>11</b> Other revenue: <b>a</b> _____					
<b>b</b> _____					
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>12</b> Subtotal. Add columns (b), (d), and (e). .		0		430,785	0
<b>13</b> <b>Total.</b> Add line 12, columns (b), (d), and (e). . . . . (See worksheet in line 13 instructions to verify calculations.)			<b>13</b>		<b>430,785</b>

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

## Part XVII

	Yes	No
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<b>1a(1)</b>	<b>No</b>
--------------	-----------

1a(2)	No
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<b>1b(1)</b>	<b>No</b>
--------------	-----------

<b>1b(2)</b>		<b>No</b>
--------------	--	-----------

<b>1b(3)</b>		<b>No</b>
--------------	--	-----------

<b>1b(4)</b>		<b>No</b>
--------------	--	-----------

<b>1b(5)</b>		<b>No</b>
--------------	--	-----------

<b>1b(6)</b>		<b>No</b>
--------------	--	-----------

1c		No
----	--	----

value  
ue

[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations

described in section 501(c) (other than section 501(c)(3)) or in section 527? . . . . . ☐ Yes ☒ No

**b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

<p><b>Sign Here</b></p> <p>*****</p> <hr/> <p>Signature of officer or trustee</p>	<p>2020-11-12</p> <hr/> <p>Date</p>	<p>*****</p> <hr/> <p>Title</p>
---	-------------------------------------	---------------------------------

May the IRS discuss this return with the preparer shown below

(see instr.) ☒ **Yes** ☐ **No**

May the IRS discuss this return with the preparer shown below

(see instr.) ☒ Yes ☐ No

<b>Paid Preparer Use Only</b>	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed <input type="checkbox"/>	PTIN  P01399041
	ERIN AVNET				
	Firm's name ▶ CITRIN COOPERMAN & COMPANY LLP				Firm's EIN ▶ 22-2428965
	Firm's address ▶ 290 W MT PLEASANT AVENUE 3310 LIVINGSTON, NJ 07039				Phone no. (973) 218-0500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
AMERICAN ASSOCIATES BEN-GURION UNIV 1880 CENTURY PARK EAST SUITE 612 LOS ANGELES, CA 90067	N/A	501C3	GENERAL	75,000
AMERICAN BICYCLING EDUCATION ASSOCIATION INC PO BOX 2466 ORLANDO, FL 32802	N/A	501C3	GENERAL	500
AMERICAN COMMITTEE FOR SHAARE ZEDEK 55 W 39TH STREET 4TH FLOOR NEW YORK, NY 10018	N/A	501C3	GENERAL	25,000
<b>Total . . . . . ▶ 3a</b>				520,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
ASPCAPO BOX 96929 WASHINGTON, DC 200777127	N/A	501C3	GENERAL	2,500
CITY OF HOPE1500 EAST DUARTE ROAD DUARTE, CA 91010	N/A	501C3	GENERAL	2,500
DEFIANCE COLLEGE701 N CLINTON DEFIANCE, OH 43512	N/A	501C3	GENERAL	100,000
<b>Total . . . . . ▶ 3a</b>				520,000


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
TEMPLE BETH -EL JERSEY CITY (MEMORY OF PARENTS) 2419 KENNEDY BLVD JERSEY CITY, NJ 07304	N/A	501C3	GENERAL	25,000
HADASSAH MEDICAL RELIEF ASSOCIATION INC 40 WALL STREET NEW YORK, NY 10005	N/A	501C3	GENERAL	250,000
JAFKO INC4200 UNIVERSITY LANE SUNRISE, FL 33351	N/A	501C3	GENERAL	2,000
<b>Total . . . . . ▶ 3a</b>				520,000



Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
NEW ENGLAND LAB RESCUE INC PO BOX 58 LIMERICK, ME 04048	N/A	501C3	GENERAL	1,000
PROCTOR TERRACE PTA ELEMENTARY 930 GEORGIA STREET LOS ANGELES, CA 900151322	N/A	501C3	GENERAL	5,000
ST BARNABAS NICU 95 OLD SHORT HILLS ROAD WEST ORANGE, NJ 07052	N/A	501C3	GENERAL	3,000
<b>Total . . . . . ▶ 3a</b>				520,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
UNITED STATES HOLOCAUST MUSEUM 100 RAOUL WALLENBERG PL SW WASHINGTON, DC 20024	N/A	501C3	GENERAL	10,000
WORLD CENTRAL KITCHEN INC 1342 FLORIDA AVENUE NW WASHINGTON, DC 20009	N/A	501C3	GENERAL	2,500
ADAPTIVE DESIGN ASSOCIATION INC 313 WEST 36TH STREET NEW YORK, NY 10018	N/A	501C3	GENERAL	1,500
<b>Total . . . . . ▶ 3a</b>				520,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
BEST FRIENDS ANIMAL SOCIETY 307 WEST BROADWAY NEW YORK, NY 10013	N/A	501C3	GENERAL	1,000
AHEPA SERVICE DOGS FOR WARRIORS INC PO BOX 724 HOLMDEL, NJ 07733	N/A	501C3	GENERAL	10,000
HISTORY PLACE 49 WEST 45TH STREET 2ND FLOOR NEW YORK, NY 10036	N/A	501C3	GENERAL	1,000
<b>Total . . . . . ▶ 3a</b>				520,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
JEWISH WORLD WATCH 5551 BALBOA BLVD ENCINO, CA 91316	N/A	501C3	GENERAL	2,500
<b>Total</b> . . . . .  <b>3a</b>				520,000

# TY 2019 Accounting Fees Schedule

**Name:** CHARLES K & ESTHER KRIEGER FOUNDATION  
INC

**EIN:** 26-2680294

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING AND BOOKKEEPING FEES	9,957	8,961		996

## TY 2019 Investments Corporate Bonds Schedule

**Name:** CHARLES K & ESTHER KRIEGER FOUNDATION  
INC

**EIN:** 26-2680294

### Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
GOLDMAN SACHS GRP INC NOTES MEDIUM TERM NOTES CLLB	94,338	98,321

**TY 2019 Investments Corporate Stock Schedule**

**Name:** CHARLES K & ESTHER KRIEGER FOUNDATION  
INC  
**EIN:** 26-2680294

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ALTRIA GROUP INC	130,287	99,820
AMERICAN ELECTRIC POWER INC	66,120	94,510
AMERICAN EXPRESS COMPANY	69,059	104,945
AMERICAN STATES WTR CO	53,868	103,968
AMERIPRISE FINANCIAL INC	171,878	213,222
AMPAL AMERICAN ISRAEL	1	0
ANNALY CAPITAL MANAGEMENT INC REIT	10,910	9,420
AT&T INC	76,520	78,160
BERKSHIRE HATHAWAY INC SERIES B NEW	113,463	135,900
BLACKROCK INC	27,847	35,189
BLACKSTONE MORTGAGE TRUST INC	123,258	148,880
BP PLC SPONS ADR	54,768	60,384
CELANESE CORPORATION SERIES A	55,071	75,103
CISCO SYSTEMS INC	49,240	47,960
CITIGROUP INC NEW	61,540	79,890
CME GROUP INC	57,150	90,324
CMS ENERGY INC	66,195	94,260
COCA COLA COMPANY	45,407	55,350
CONSOLIDATED EDISION INC	72,371	85,948
CSX CORP	73,815	108,540
CUMMINS INC	50,441	59,057
CVS HEALTH CORPORATION	53,288	55,718
DEERE & CO	76,170	86,630
DEVON ENERGY CORP	44,630	25,970
DIAGEO PLC SPONSORED ADR NEW	23,313	34,526
DISNEY WALT COMPANY	55,520	72,315
DOMINION ENERGY INC	76,250	82,820
EATON CORP PLC	78,316	94,720
ENBRIDGE INC	40,511	39,134
EVERGY INC	57,814	77,848

## Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
EVERSOURCE ENERGY	60,784	85,070
FIFTH THIRD BANCORP	27,212	30,740
FIRSTENERGY CORP	94,680	145,800
GENERAL ELECTRIC COMPANY	114,722	42,408
GENERAL MILLS INC	58,780	53,560
GILEAD SCIENCES INC	74,165	64,980
GOLDMAN SACHS GROUP INC	55,134	55,183
HAWAIIAN ELEC INDS INC	33,440	46,860
HSBC HOLDINGS PLC-SPON ADR	28,588	27,363
I SHARES SILVER TR	16,759	16,680
INTEL CORP	97,417	119,700
ISHARES ETF RUSSELL 2000 GROWTH IWO	50,542	66,408
ISHARES ETF PFD & INCOME SECS	57,600	56,385
ISHARES IBOXX & ETF INVESTMENT GRADE CORP BD	119,325	127,960
ISHARES MSCI ETF EMERGING MARKETS	61,882	71,971
JOHNSON & JOHNSON	28,368	29,174
JPMORGAN CHASE & CO	71,216	99,671
MANULIFE FINL CORP	28,272	31,450
MEDTRONIC PLC	98,484	136,140
MERCK & CO INC NEW	91,039	125,056
MICROSOFT CORP	81,250	157,700
MONDELEZ INTL INC	41,805	55,080
NESTLE S A REG ADR	38,295	54,130
NEW JERSEY RES CORP	88,221	99,168
NEXTERA ENERGY INC	65,999	123,502
NORTHROP GRUMMAN CORP NEW	184,448	257,978
PFIZER INCORPORATED	45,108	39,180
PROCTER & GAMBLE CO	131,779	187,350
PUBLIC SVC ENTERPRISE GROUP INC	67,359	88,575
ROCHE HOLDINGS LTD ADR	61,983	81,320



## Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ROYAL DUTCH SHELL PLC ADR CL A	70,977	76,674
SCHLUMBERGER LTD	72,755	40,200
SOUTH JERSEY INDS INC	52,815	49,470
SOUTHERN COMPANY/THE	100,602	127,400
SPDR BLOOMBERG ETF BARCLAYS CONVERTIBLE SECURITIES ETF	95,540	111,000
SPDR GOLD TRUST ETF	119,060	142,900
SUNCOR ENERGY INC NEW	58,750	61,664
TARGET CORP	72,864	128,210
TENNECO INC	20,290	6,550
THERMO FISHER SCIENTIFIC INC	37,558	76,344
TJX COS INC NEW	24,320	37,857
TOTAL S.A. SPONS ADR	52,029	55,300
UBS AG JERSEY BRH ETN E-TRACS LKD TO ALERIAN MLP INFRSTRE IDX	59,940	38,840
UNION PACIFIC CORP	68,268	112,090
UNITED PARCEL SERVICE-B	43,930	46,824
UNITEDHEALTH GROUP INC	33,588	58,796
VANGUARD INTERMEDIATE ETF TERM CORP BOND	90,445	91,330
VANGUARD INTL EQUITY ETF INDEX FDS FTSE EMERGING MKTS ETF	61,638	69,729
VERIZON COMMUNICATIONS COM	106,229	122,800
VIAD CORPORATION (NEW)	6,033	8,572
WEC ENERGY GROUP INC	59,832	73,784
XCEL ENERGY INC	68,253	93,330
CONOCOPHILLIPS COP	42,243	45,521
EXXON MOBILE XOM	78,320	69,780
VANGUARD INDEX FDS ETF REAL ESTATE INDEX FD VNQ	46,833	46,395
WISDOMTREE GLOBAL ETF EX-US REAL ESTATE FUND	31,113	31,350
DUPONT DE NEMOURS INC	78,919	64,200
DOW INC	58,110	54,730

**TY 2019 Investments - Other Schedule**

**Name:** CHARLES K & ESTHER KRIEGER FOUNDATION  
INC

**EIN:** 26-2680294

**Investments Other Schedule 2**

<b>Category/ Item</b>	<b>Listed at Cost or FMV</b>	<b>Book Value</b>	<b>End of Year Fair Market Value</b>
GNMA II PASS THRU POOL 1884	AT COST	177	153
GNMA II PASS THRU POOL 1922	AT COST	34	28
GNMA II PASS THRU POOL 381322	AT COST	834	774
GNMA II PASS THRU POOL 3458	AT COST	1,578	1,495
AB CAP FD INC RELATIVE VALUE FD	AT COST	74,216	73,296
AQUILA THREE PEAKS OPPORTUNITY GROWTH FUND CLASS A	AT COST	110,029	110,290
CALAMOS INVT TR NEW CONVERTIBLE FD CL A	AT COST	56,885	62,069
COHEN & STEERS PFD SECS & INCOME FD INC CLASS A	AT COST	184,107	187,789
GUGGENHEIM FDS TR MACRO OPPORTUNITIES FD CS A	AT COST	100,098	94,437
DIAMOND HILL FDS RESEARCH OPPTYS FD CL A	AT COST	55,661	53,601
EATON VANCE ADV SR FLTNG RT ADVANTAGE FUND CLASS A	AT COST	268,580	264,408
JOHN HANCOCK REGIONAL BANK CLASS A	AT COST	146,427	152,276
CALVERT GLOBAL WATER FUND CL A	AT COST	100,305	120,892
LORD ABBETT INVT TR FLOATING RATE FD CLASS A	AT COST	130,007	123,437
LORD ABBETT BD-DEB A	AT COST	254,912	251,143
DAVIS REAL ESTATE FUND CL A	AT COST	151,739	167,449
BLACKROCK FUNDS GLOBAL LONG/SHORT CR FD INSTITUTIONAL	AT COST	217,891	212,059
JPMORGAN DIVERSIFIED FUND CL A JDVAX	AT COST	129,181	123,751
VIRTUS FUNDS	AT COST	46,676	52,445
PIMCO FUNDS PIMCO INCOME FD CLASS A	AT COST	71,065	93,696
PIMCO FOREIGN BOND FD INST CL	AT COST	104,878	77,390
PIONEER FUND CLASS A SHARES	AT COST	165,371	160,795
PRINCIPAL INV FUND REAL ESTATE SECS FD CL INSTL	AT COST	177,498	210,583
PGIM HIGH YIELD CL A	AT COST	236,747	233,760
PGIM FLOATING RATE INCOME CL A	AT COST	73,783	70,437
PUTNAM FOCUSED EQUITY FD CL A	AT COST	29,161	24,529
PUTNAM FDS TR FLTG RATE INCOME FD CLASS Y	AT COST	107,509	105,210
PUTNAM FLOATING RATE INCOME FD CL A	AT COST	219,494	214,581
AQUILA THREE PEAKS HIGH INCOME CLASS A	AT COST	173,921	170,227
RMB MENDON FINL LONG/SHORT FD CL A	AT COST	175,021	152,557

**Investments Other Schedule 2**

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
PIMCO REAL ESTATE REAL RETURN STRATEGY CL A	AT COST	128,861	139,584
RYDEX SERIES FUNDS BIOTECHNOLOGY FD CL A	AT COST	151,624	153,834
TEMPLETON GLOBAL BOND FUND CL A	AT COST	100,007	82,716
ABERDEEN ISRAEL FD INC	AT COST	25,729	23,576
CALAMOS STRATEGIC TOTAL RETURN FUND	AT COST	106,400	129,200
EATON VANCE TAX ADVANTAG GLOBAL DIVIDEND INCOME	AT COST	57,757	66,674
EATON VANCE UNDS TAX MANAGED DIVERSIFIED EQUITY	AT COST	22,180	25,040
EATON VANCE HIGH INCOME 2021 TARGET TERM TR COM SH BEN INT	AT COST	101,131	100,700
KAYNE ANDERSON ENERGY DEVELOPMENT CO	AT COST	40,487	26,760
ALABAMA PWR CO 5% CUM PERP MATURITY CALL 10/01/22 @ 25	AT COST	49,741	50,098
CHARLES SCHWAB 6% CORP SER C PERP MTY PERP CALL 12/01/20 @ 25	AT COST	34,576	33,956
HSBC HOLDINGS PLC 6.2% SERIES A PERP CALL 04/03/17 @25	AT COST	51,240	53,760
JPMORGAN 6.125% PFD PERPETUAL NON-CUM CALLABLE 03/01/2020 @25	AT COST	53,597	51,160
JPMORGAN 6.15% PFD PERP NON-CUM SER BB MTY CALLABLE 09/01/20	AT COST	52,900	51,480
MORGAN STANLEY 6.375% PFD SER I FIX/FLTG PERP MTY CALL 10/15/	AT COST	50,040	50,706
AAM GNMA ADVANTAGE INCOME PORT SER 14 CASH	AT COST	11,116	9,310
ADVISORS ASSET MGMT GNMA ADVANTAGE ICOME PORT SER 11 CASH	AT COST	41,719	30,931
FIRST TRUST CORP HIGH INCOME PORT SER 23 CASH	AT COST	95,990	96,735
FIRST TRUST INVESTMENT GRADE MUTLI-ASSET INCM PRT LT 25 C	AT COST	90,819	103,176
BOND FUND AMERICA CL A	AT COST	25,007	23,714
BNY MELLON INTL BOND	AT COST	59,014	55,337
DIAMOND HILL FDS CORPORATE CREDIT FUND CLASS A DSIAX	AT COST	50,007	48,315
HARTFORD MUT FDS INC	AT COST	30,007	28,847
MAINSTAY MACKAY CONVERTIBLE FUND	AT COST	40,007	38,285
NUVEEN INVT TR V NWQ FLEXIBLE INCOME FD CLASS A	AT COST	100,007	97,628
PRINCIPAL INVS FD REAL ESTATE SECS FD	AT COST	75,014	71,082
BANK AMER CORP 5.375% PFD KK NON CUM PERP MTY	AT COST	53,839	53,200
DUKE ENERGY 5.625% PFD JR SUB NOTES 09/15/2078	AT COST	51,956	54,180
GOVT PROP INC TR PFD 5.875%	AT COST	50,422	51,940
KEYCORP NEW 5.625% NON CUM SER G PERP MTY	AT COST	30,185	30,612

**Investments Other Schedule 2**

<b>Category/ Item</b>	<b>Listed at Cost or FMV</b>	<b>Book Value</b>	<b>End of Year Fair Market Value</b>
NEXTERA ENERGY 5.65%	AT COST	40,371	41,145
PS BUS PARKS 5.25% PFD PERPETUAL SER X CUM	AT COST	26,161	26,100
WEBSTER FINL CRP5.25%PFD NON-CUM PERP MTY SER F	AT COST	26,959	25,860
FIRST TRUST DIV & INCOME SLCT CLOSED END PORT SER 74 CASH	AT COST	49,034	49,917
INVESCO ENERGY PORTFOLIO 2019-2	AT COST	9,043	8,235

# TY 2019 Legal Fees Schedule

**Name:** CHARLES K & ESTHER KRIEGER FOUNDATION  
INC

**EIN:** 26-2680294

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	11,165	1,116		10,049

## TY 2019 Other Assets Schedule

**Name:** CHARLES K & ESTHER KRIEGER FOUNDATION  
INC

**EIN:** 26-2680294

### Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
DUE FROM BROKER	11,677	0	0

**TY 2019 Other Decreases Schedule**

**Name:** CHARLES K & ESTHER KRIEGER FOUNDATION  
INC

**EIN:** 26-2680294

Description	Amount
BOOK BASIS ADJUSTMENT	784

**TY 2019 Other Expenses Schedule**

**Name:** CHARLES K & ESTHER KRIEGER FOUNDATION  
INC

**EIN:** 26-2680294

**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT EXPENSE	16	16		0



**TY 2019 Other Income Schedule**

**Name:** CHARLES K & ESTHER KRIEGER FOUNDATION  
INC

**EIN:** 26-2680294

**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
OTHER INCOME FROM BROKERAGE	3,103	3,103	3,103

**TY 2019 Other Increases Schedule**

**Name:** CHARLES K & ESTHER KRIEGER FOUNDATION  
INC

**EIN:** 26-2680294

Description	Amount
INCOME TAX REFUND FOR THE YEAR 2017	4,305

**TY 2019 Taxes Schedule**

**Name:** CHARLES K & ESTHER KRIEGER FOUNDATION  
INC

**EIN:** 26-2680294

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX WITHHELD	4,824	4,824		0